

## 1 Fundamental Concepts

**Reference** How does the mapping between form and meaning work? Does it work at all?

**Compositionality** How are complex utterances built from smaller units? Are they built from smaller units at all? **Combinatorial structure** a small number of meaningless building blocks (phonemes, parts of syllables) combined into an unlimited set of utterances (words and morphemes)

**Compositional structure** meaningful building blocks (words and morphemes) are combined into larger meaningful utterances (phrases and sentences)

## 2 Information Theory

**Information Content (Surprisal)**

$-log_2 p(x)$  the more frequent the word, the lower its information content. e.g. the word type "blue" occurs ca. 3750 times in 10000 tokens, and its information content is  $-log_2(3750/10000) \approx 1.42$  bits.

**Shannon Entropy**

$H(X) = -\sum p(x) log_2 p(x)$  entropy as probability, the average information content of information encoding units in the language. Measure of information encoding potential of a symbol system.

The higher the uncertainty, the larger the entropy. e.g.  $H_{char}(Morse) = -(\frac{86}{136} * log_2(\frac{86}{136}) + \frac{50}{136} * log_2(\frac{50}{136})) \approx 0.949$  bits per character.

A series of studies proposed to use entropic measures to distinguish human writing from other types of symbol systems.

**Joint Entropy, Conditional Entropy**

Joint Entropy:  $H(X,Y) = -\sum \sum p(x,y) log_2 p(x,y)$

Conditional Entropy:  $H(Y|X) = -\sum p(x) \sum p(y|x) log_2 p(y|x)$  The more ambiguity in language (uncertainty), the higher conditional entropy. No ambiguity  $\rightarrow 0$ .

Ackerman & Malouf (2013) propose two entropic measures for morphological complexity: the average entropy as  $e$ -complexity, and the average conditional entropy as  $f$ -complexity measure. They are related to learnability.

**Probability Estimation**

Maximum Likelihood (ML)

Problems: unit problem, sample size problem, interdependence problem, extrapolation problem

Methods: frequency-based, language models, experiments with humans

**Mutual Information**

$I(X;Y) = H(X) - H(X|Y) = H(Y) - H(Y|X)$  reduction in the uncertainty of X given Y.

compromise between minimum learning cost  $H(Y)$  and maximum explicitness  $I(X;Y)$ .

Entropy is the upper bound on the mutual information between forms and meanings

Is the entropy rate zero?  $\rightarrow$  asymptotic determinism of human utterances.

## 3 Propositional Logic

Why formal logic? overcome ambiguity, determine relationships between meanings of sentences, determine meanings of sentences, model compositionality, recursive system.

**Definition**

**Proposition** The meaning of a simple declarative sentence. The proposition expressed by a sentence is the set of possible cases [situations] of which that sentence is true.

**Extensions** real-world situations they refer to

**Frege's Generalization** The extension of a sentence S is its truth value

propositional variables: p, q, r

propositional operators:  $\neg, \wedge, \vee, XOR, \rightarrow, \leftrightarrow$

**Syntax: Recursive Definition**

(i) Propositional letters in the vocabulary of L are formulas in L.

(ii) If  $\phi$  is a formula in L, then  $\neg\phi$  is too.

(iii) If  $\phi$  and  $\psi$  are formulas in L, then  $(\phi \wedge \psi), (\phi \vee \psi), (\phi \rightarrow \psi), (\phi \leftrightarrow \psi)$  are too.

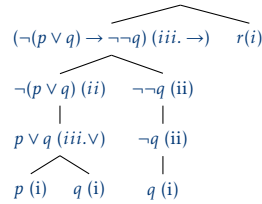
(iv) Only that which can be generated by the clauses (i)-(iii) in a finite number of

steps is a formula in L.

invalid:  $\neg(\neg\neg p), \neg(p \wedge q)$

**Construction Trees**

$(\neg(p \vee q) \rightarrow \neg\neg q) \leftrightarrow r$  (iii.  $\leftrightarrow$ )



**Valuation Functions**

For every valuation V and for all formulas  $\phi$ :  $V(\phi \leftrightarrow \psi) = 1$  iff  $V(\psi) = V(\phi)$ .

## 4 Predicate Logic

Introduce constants and variables representing individuals and predicates to capture the main structural building blocks of sentences. Introduce quantifiers to allow for quantified statements.

**Definition**

constant symbols: a, b, c

variable symbols: x, y, z

n-ary/n-place predicate symbols: A, B, C, reflect relations between n elements (n>0)

connectives:  $\neg, \wedge, \vee, \rightarrow, \dots$

quantifiers:  $\forall, \exists$

round brackets ( ), equal sign =

**Syntax: Recursive Definition**

(i) If A is an n-ary predicate letter in the vocabulary of L, and each of  $t_1, \dots, t_n$  is a constant or a variable in the vocabulary of L, then  $At_1, \dots, t_n$  is a formula in L.

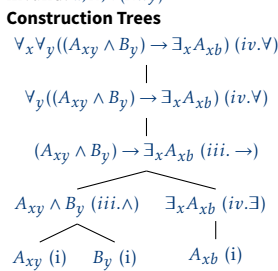
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(iii) If  $\phi$  and  $\psi$  are formulas in L, then  $(\phi \wedge \psi), (\phi \vee \psi), (\phi \rightarrow \psi), (\phi \leftrightarrow \psi)$  are too.

(iv) If  $\phi$  is a formula in L and x is a variable, then  $\forall x\phi$  and  $\exists x\phi$  is too. (v) Only that which can be generated by the clauses (i)-(iv) in a finite number of steps is a formula in L.

invalid:  $a, A, \forall(Axy)$

**Construction Trees**



**Semantics**

domain (D): set of entities

interpretation functions  $I = \{ \langle m, e, \rangle, \langle s, e, \rangle, \langle v, e, \rangle \}$ ,  $I(m) = e$ ,  $I(s) = e$ ,  $I(v) = e$ .

model M: consists of a domain D and an interpretation function I which conforms to:

(i) if c is a constant in L, then  $I(c) \in D$ . (ii) if B is an n-ary predicate letter in L, then  $I(B) \subseteq D$

**valuation function  $V_M$ :**

If  $Aa_1, \dots, a_n$  is an atomic sentence in L, then  $V_M(Aa_1, \dots, a_n) = 1$  iff  $\langle I(a_1), \dots, I(a_n) \rangle \in I(A)$ .

...

$V_M(\forall x\phi) = 1$  iff  $V_M(\langle c/x \rangle \phi) = 1$  for all constants c in L.

$V_M(\exists x\phi) = 1$  iff  $V_M(\langle c/x \rangle \phi) = 1$  for at least one constant c in L.

If  $V_M(\phi) = 1$ , then  $\phi$  is said to be true in model M.

**Formula vs. Sentence**

A sentence is a formula in L which lacks free variables.

Sentence:  $Aa, \forall x(Fx), \forall x(Ax \rightarrow \exists yBy)$

Not a sentence (but Formula):  $Ax, Fx, Ax \rightarrow \exists yBy$

## 5 Second-Order Logic

CR (CX: X is a predicate with the property of being a color; Rx: x is red)

$\exists X(CX \wedge Xm)$ : Mars has a color.

$\exists X(Xj \wedge Xp)$ : John has at least one thing in common with Peter.

$\forall x(\exists X((AX \wedge Xx) \wedge Jx) \rightarrow \exists Y(Yx \wedge CY))$ : All animals that live in the jungle have a color.  $\forall x(\exists X((Xx \wedge AX) \wedge Gx) \rightarrow Ex)$ : If an animal is grey, then it is an elephant.

**Vocabulary extension**

1st-order predicate variables: X, Y, Z

2nd-order predicate constants: A, B, C

e.g.  $AX$ : X is a property with the property of being an animal

**Syntax: Recursive Definition**

(i) If A is an n-ary first-order predicate letter in the vocabulary of L, and each of  $t_1, \dots, t_n$  are individual terms in L, then  $At_1, \dots, t_n$  is an (atomic) formula in L.

(ii) If X is a [first-order] predicate variable and t is an individual term (both constants and variables) in L, then  $Xt$  is an atomic formula in L;

(iii) If A is an n-ary second-order predicate letter/constant in L, and  $T_1, \dots, T_n$  are first-order unary predicate constants, or predicate variables, in L, then  $AT_1, \dots, T_n$  is an (atomic) formula in L;

(iv) If  $\phi$  is a formula in L, then  $\neg\phi$  is too.

(v) If  $\phi$  and  $\psi$  are formulas in L, then  $(\phi \wedge \psi), (\phi \vee \psi), (\phi \rightarrow \psi), (\phi \leftrightarrow \psi)$  are too.

(vi) If  $\phi$  is a formula in L and x is a variable, then  $\forall x\phi$  and  $\exists x\phi$  is too.

(viii) If X is a [first-order] predicate variable, and  $\phi$  is a formula in L, then  $\forall X\phi$  and  $\exists X\phi$  is too.

(viii) Only that which can be generated by the clauses (i)-(vii) in a finite number of steps is a formula in L.

invalid:  $x, X, Xab, \forall(Xa)$

**Semantics**

just as a 1st-order predicate denotes a set of entities, a 2nd-order predicate denotes a set of a set of entities.

## 6 Type theory

Tools to get to grips with frequent compositional structures in natural language (adj-n, adv-v, art-n, prep-np... combis), a higher-order logic

**Definition**

(i)  $e, t \in T$

(ii) if  $a, b \in T$ , then  $\langle a, b \rangle \in T$

(iii) nothing is an element of T except on the basis of clauses (i) and (ii).

invalid:  $et, \langle e, e, t \rangle, \langle e, e, t \rangle$

**Functional Application**

If  $\alpha = \langle e, t \rangle$  and  $\beta = t$  then  $\alpha(\beta) = t$ .

If  $\alpha = \langle t, t, e \rangle$  and  $\beta = \langle t, e \rangle$  then  $\alpha(\beta)$  is not defined.

**Semantic Types**

individual: e

sentences: t

1-place predicates (intransitive verb):  $\langle e, t \rangle$

2-place predicates (transitive verb):  $\langle e, e, t \rangle$

3-place predicates (ditransitive verb):  $\langle e, e, e, t \rangle$

common nouns (e.g. dog):  $\langle e, t \rangle$

NP (e.g. the dog): e

determiners (e.g. the):  $\langle e, e, t \rangle$

adjectives:  $\langle e, t \rangle$

adjectives as predicate modifiers (e.g. happy dog):  $\langle e, t \rangle, \langle e, t \rangle$

adverbs(predicate modifier):  $\langle e, t \rangle, \langle e, t \rangle$

sentence modifier (e.g. not):  $\langle t, t \rangle$

function(entity to entity) (e.g. the farther of):  $\langle e, e \rangle$

1-place 1nd-order predicate:  $\langle e, t \rangle, t$

2-place 2nd-order predicate:  $\langle e, t \rangle, \langle e, t \rangle, t$

**Syntax: Recursive Definition**

(i) If  $\alpha$  is a variable or a constant of type a in L, then  $\alpha$  is an expression of type a in L.

(ii) If  $\alpha$  is an expression of type  $\langle a, b \rangle$  in L, and  $\beta$  is an expression of type a in L, then  $\alpha(\beta)$  is an expression of type b in L.

(iii) If  $\phi$  and  $\psi$  are formulas in L, then so are  $\neg\phi, (\phi \wedge \psi), (\phi \vee \psi), (\phi \rightarrow \psi), (\phi \leftrightarrow \psi)$ .

(iv) If  $\phi$  is an expression of type t in L and v is a variable (of arbitrary type a), then  $\forall v\phi$  and  $\exists v\phi$  are expression of type t in L.

(v) If  $\alpha$  and  $\beta$  are expressions in L which belong to the same type, then  $\alpha = \beta$  is an expression of type t in L.

(vi) Every expression L is to be constructed by means of (i)-(v) in a finite number of steps.

**formulas:** expressions of type t.

Difference to Predicate Logic:

Jumbo befriends Maya.

Predicate Logic: Bjm

Type-theoretic logic:  $(B(m))\langle f \rangle$  or alternatively  $B(m)\langle f \rangle$

**Semantics**

Truth values via pariticular interpretation functions defined for different types of expressions. e.g. interpretation function I for which it holds that:  $I(W)(d) = 1$  iff  $d \in W$ , otherwise 0.

## 7 Lambda Calculus

To represent parts of sentences or predicates in a fully compositional account. Allows to capture the compositionality of language

**Syntax**

Add another clause to the type-theoretic language syntax

(vii) If  $\alpha$  is an expression of type a in L, and v is a variable of type b, then  $\lambda v(\alpha)$  is an expression of type  $\langle b, a \rangle$  in L.

**Lambda-Abstraction**

We say that  $\lambda v(\alpha)$  has been formed from  $\alpha$  by abstraction over the formerly free variable v. Hence, the free occurrences of v in  $\alpha$  are now bound by the  $\lambda$ -operator  $\lambda x$ .

e.g. expression:  $S(x)$  of type t

$\lambda$ -abstraction:  $\lambda x(S(x))$  of type  $\langle e, t \rangle$

$\lambda x(x)$  of type  $\langle e, e \rangle$

$\lambda x(B(y)(x))$  of type  $\langle e, t \rangle$

$\lambda X(X(a) \wedge X(b))$  of type  $\langle e, t \rangle, t \rangle$

**Lambda-Conversion**

remove the  $\lambda$ -operator and plug an expression into every occurrence of the variable which is bound by the  $\lambda$ -operator.

e.g.  $\lambda x(S(x))(c) = S(c)$

$\lambda x(\lambda y(A(y)(x)))(c)(d) = \lambda y(A(y)(c))(d) = A(d)(c)$

$\lambda$ -conversion is only valid when vairable v is not bound by a quantifier  $\forall$  or  $\exists$

**Modelling Compositionality**

John smokes:  $\lambda x(S(x))(j) = S(j)$

smokes:  $\lambda x(S(x))$

smokes and drinks:  $\lambda x(S(x) \wedge D(x))$  Jumbo is

grey:  $\lambda x(G(x))(j) = G(j)$

is grey:  $\lambda x(G(x))$

Jumbo is:  $\lambda X(X(j))$

is:  $\lambda X(\lambda X(X(x)))$

**Truth Valuation**

For all entities d in the domain D it holds that  $h(d) = 1$  iff  $I(W)(d) = 1$ . This illustrates that the denotation if  $\lambda x(W(x))$  is indeed the same as one would expect for just the word walks represented by W.

## 8 Modality

A category of linguistic meaning having to do with the expression of possibility and necessity.

**Modal Strength(Force)**

Statements can express stronger or weaker commitment to the truth of base proposition.

**High:** Arthur must/have to be home.

**Medium:** Arthur should be home.

**Low:** Arthur might/could be home.

**Modal Type(Flavor)**

**Epistemic** modality: relative to speaker's knowledge of the situation

John didn't show up for work. He must be sick. (spoken by co-worker)

The older students might/may(?) leave school early (unless the teachers watch them carefully).

It has to be raining. [Seeing people outside with umbrellas]

**Deontic:** relative to authoritative person or code of conduct

John didn't show up for work. He must be sick. (spoken by boss)

Visitors have to leave by 6pm.

**Dynamic:** Concerned with properties and dispositions of persons

John has to sneeze.

Anne is very strong. She can list this table.

**Teleological:** achieving goals or serving a purpose

To get home in time, you have to take a taxi.

Anne must be in Paris at 5pm. She can't/must take the train to go there.

**Polysemy Controversy**

In some languages, modal auxiliaries can be used for different types of modality.

**Ambiguity(polysemy) vs. Indeterminacy**

**Contradiction Test** (If a sentence of the form X but not X can be true, then expression must be ambiguous.

e.g. They are not children any more, but they are still my children.

John must be sick, but he must not be sick. John can be sick, but he cannot be sick...

If considered non-contradictory, then the modal auxiliaries are polysemous with regards to modal type

**Adverbial Phrase Test:**

e.g. Dynamic: (In view of his physical abilities,) John can lift 200 kg.

If adverbial phrases in parentheses are not redundant, type of modality is not lexically specified but inferred from context, i.e. indeterminate

**Modal Logical Operators**

$\diamond p$ : it is possible that p...

$\square p$ : it is necessary that p...

modality as quantification over possible worlds:  $\diamond p \equiv \exists w[w \in p], \square p \equiv \forall w[w \in p]$

Modal propositional logic: add one more syntactic clause to the syntax of propositional logic:

(v) if  $\phi$  is a formula in L, then  $\square\phi$  and  $\diamond\phi$  are too.

valid formulas:  $\square\diamond p, \neg\diamond(p \wedge q), p \rightarrow \square\diamond p$

**Fundamental tautologies:**

$\diamond\phi \leftrightarrow \neg\square\neg\phi$ : something is possible if and only if it is not the case that it is necessarily not the case

$\square\phi \leftrightarrow \neg\diamond\neg\phi$ : something is necessary if and only if it is not the case that it is possibly not the case.

**Modality and Truth-Conditions**

Both epistemic and root modality can be part of the proposition and contribute to its truth conditions.

**Challenge Test:** Is the epistemic modal marker part of what can be challenged about a proposition?

A: John must have killed the old man's death, he must be the murderer. B: That's not true; he could be the murderer, but he doesn't have to be.

**Yes-No Question Test:** Can the epistemic modal marker be the focus of a yes-no question?

A: Must John be the murderer? B: Yes, he must. or: No, he doesn't have to be.

**Negation Test:** does negation scope over and hence include the modal marker as part of the negated proposition?

Smith cannot be the candidate.  $\neg\diamond p$

Smith might not be the candidate.  $\diamond\neg p$

**8.1 Cross-Linguistic Variation**

Epistemic possibility: verbal constructions/affixes on verbs/other

Situational possibility: affixes on verbs/verbal constructions/other</

set of sentences are anomalous, or not possible utterances.

*Functional:* attempts to explain facets of linguistic structure by reference to non-linguistic pressures and causes.

*Context:* part of performance, explicate the reasoning of speakers and hearers in working out the correlation in a context of a sentence token with a proposition.

*Grammaticalization:* study of those relations between language and context that are grammaticalized, or encoded in the structure of a language.

*Truth-Conditional:* those aspects of the meaning of utterances which cannot be accounted for by straightforward reference to the truth conditions of the sentences uttered.

*Inter-Relation:* interaction of context-dependent aspects of language structure and principles of language usage, relations between language and context

*Appropriateness/Felicity:* study of the ability of language users to pair sentences with the contexts in which they would be appropriate.

*List:* study of deixis (at least in part), implicature, presupposition, speech acts, and aspects of discourse structure.

More promising: Inter-Relation, Truth-Conditional

## 11 Discourse Representation Theory

To deal with issues in the semantics and pragmatics of anaphora and tense

Discourse representation structures:a hearer builds up a mental representation of the discourse as it unfolds, and that every incoming sentence prompts additions to that representation.

### Anaphora Resolution

Anaphora as co-reference: *John* likes *his* donkey.

Anaphora as binding: *No farmer* likes *his* donkey.

Anaphora as neither co-reference nor binding: John owns *a donkey*. It is grey.

### Discourse Representation Structures

Merging: [x, y: farmer(x), donkey(y), chased(x,y)] + [v, w: caught(v, w)] = [x, y, v, w: farmer(x), donkey(y), chased(x,y), caught(v, w)]

Anaphora Resolution: = [x, y, v, w: v=x, w=y, farmer(x), donkey(y), chased(x,y), caught(v,w)] = [x, y: farmer(x), donkey(y), chased(x,y), caught(x,y)]

### Complex DRS Conditions

*Negation:* John doesn't own a donkey. It is grey. [1x,z: John(x), ¬[2y: donkey(y), owns(x,y)], grey(z)], y is not accessible to z. x and z are accessible to y.

*Conditionals:* If John owns a donkey, he likes it. [1:[2x,y: John(x), donkey(y), owns(x,y)]→[3v,w: likes(v,w,w)]]=[1:[2x,y,v,w: v=x, w=y, John(x), donkey(y), owns(x,y)]→[3: likes(v,w)]]=[1:[2x,y: John(x), donkey(y), owns(x,y)]→[3: likes(x,y)]] x and y are accessible to v and w.

*Quantification:* Every farmer who owns a donkey, likes it. [1:[2x,y: farmer(x), donkey(y), owns(x,y)]∀x[3v,w: likes(v,w)]]

### Accessibility

$K' \vee K''$ , then K is accessible to K' and K''. Note: in this particular case K' is not accessible to K''.

### Semantics

The truth-conditional semantics of the DRS language is given by defining when an embedding function verifies a DRS in a given model M.

Embedding function f in DRT:

f verifies a DRS K iff f verifies all conditions *Con<sub>k</sub>*. f verifies P(x1,...,xn) iff <f(x1),...,f(xn)> ∈ I(P).

## 12 Implicature

Tools to get to grips with frequent compositional structures in natural language (adj-n, adv-v, art-n, prep-np... combis), a higher-order logic

### Grice's Maxims

*The cooperative principle:* contribution as required

*Maxim of Quality:* nothing false or lacks evidence

*Quantity:* as informative as required

Relation(or Relevance)

*Manner:* clear and easy to understand

Failure to fulfill a maxim:

(i) quietly violate a maxim. Politician: Yes, this is what we stand for.

(ii) opt out from adhering to the maxim or the cooperative principle. Politician: I won't answer this question.

(iii) a clash, impossible to adhere to one maxim without not adhering to another. Politician: We are still deciding on the matter. I'm hopeful that yes, but I cannot tell you for sure.

(iv) flout a maxim. Politician: I personally think this is a good idea.

### Conversational Implicature

a type of pragmatic inference about what is said by the speaker (literal meaning) in relation to what they actually intend to convey (communicative intention).

*Group A:* no maxim is violated. A: C doesn't seem to have a partner these days. B: He/she has been paying a lot of visits to New York lately. Implicature: He/she might have a partner in New York.

*Group B:* a maxim is violated, can be explained by a clash with another maxim.

A: Where does C live? B: Somewhere in the South of France. Implicature: I don't know the exact name of the place where C lives.

*Group C:* exploitation, a maxim is flouted for the purpose of deliberately creating a conversational implicature. Recommendation letter: Dear B, C's command of English is excellent, and he has attended tutorials regularly. Kind regards, A. Implicature: I cannot recommend C as a philosopher.

### Types of Implicature

Conversational Implicatures:

*Particularized:* the intended inference depends on particular features of the specific context of the utterance. A: C managed to brake his car and get arrested for arousing public annoyance when he was drunk last night. B: Yeah, he is smart like that. *Generalized: Scalar, Connectives, Indefinite:* does not depend on specific features of the utterance context, but is instead normally implied by any use of the triggering expression in ordinary contexts.

*Scalar:* non-maximal degree modifiers. The water is warm -> The water is not hot. John has most of the documents -> John does not have all of the documents *Connectives:* sentence connectives. Susan gave Peter the key and Peter opened the door. -> She gave him the key and then he opened the door. Peter is either Susan's brother or her boyfriend -> The speaker does not know whether Peter is Susan's brother or boyfriend. *Indefinites:* indefinite article. I walked into a house. -> The house was not my house.

### Conventional Implicature

not context-dependent or pragmatically explainable [in contrast to conversational implicatures], and must be learned on a word-by-word basis. (controversial, similar to presuppositions?)Alfred has still not come -> His arrival is expected. I was in Paris last spring too -> Some other person was in Paris last spring. Even Bart has passed the test -> Bart was among the least likely to pass the test

### Entailment

1. whenever p is true, it is logically necessary that q is also true;  
2. whenever q is false, it is logically necessary that p is also false;  
3. these relations follow from the meanings of p and q, independent of the context of utterance  
I broke your Ming dynasty jar (lexical) -> Your Ming dynasty jar is broken. Hong Kong is warmer than Beijing (comparative) -> Beijing is cooler than HK

### Tests

#### Entailment

cancellable no  
suspendable no  
reinforceable no  
negation no  
question no  
*Implicature*  
cancellable yes  
suspendable yes  
reinforceable yes  
negation no  
question no  
*Presupposition*  
cancellable sometimes  
suspendable sometimes  
reinforceable no  
negation yes  
question yes

*Cancellation* HK is warmer than BJ, but BJ is not cooler than HK (NO). There is a garage around the corner, but unfortunately you cannot buy petrol there (YES)

*Suspension* HK is warmer than BJ, but I'm not sure if BJ is cooler than HK (NO). There is a garage around the corner, but I'm not sure if you cannot buy petrol there (YES)

*Reinforcement* HK is warmer than BJ, and BJ is cooler than HK (NO). There is a garage around the corner, and you cannot buy petrol there (YES)

*Negation* HK is not warmer than BJ (BJ is cooler than HK: NO). There is no garage around the corner (you cannot buy petrol there: NO)

*Question* Is HK warmer than BJ? (BJ is cooler than HK: NO). Is there a garage around the corner? (you cannot buy petrol there: YES)

## 13 Presupposition

information which is linguistically encoded as being part of the common ground at the time of utterance. common ground: everything that both the speaker and hearer know or believe, and know that they have in common.

Statement A and presupposition B: (i) if A is true, then B is true (ii) if A is false, then B is still true.

### Presupposition Triggers

*Definite descriptions:* definite noun phrases, possessive phrases, restrictive relative clauses. e.g. the, my, the man who can fly  
*Factive predicates:* regret, be aware, realize, be sorry, know

*Implicative Predicates:* manage to, forget to (presupposes other predicates, e.g. try to, intend to, to be true)

*Aspectual Predicates:* express the beginning, stopping, continuing of events. e.g. stopped, has begun, continues to, resume

*Temporal clauses:* before, after, by the time, while

*Counterfactuals:* If I were..., If you had not...I would not have...

Comparisons: as/less/more as, as old as...

*Scalars:* more, some...

### Acomodation and Failure

*Acomodation:* hearers accept the presupposition as true, or they might ask for confirmation to “officially” establish the presupposition as common ground

*Failure:* the hearer rejects the presupposition

*Group C:* exploitation, a maxim is flouted for the purpose of deliberately creating a conversational implicature. Recommendation letter: Dear B, C's command of English is excellent, and he has attended tutorials regularly. Kind regards, A. Implicature: I cannot recommend C as a philosopher.

## 14 Speech Acts

information which is linguistically encoded as being part of the common ground at the time of utterance. common ground: everything that both the speaker and hearer know or believe, and know that they have in common.

Statement A and presupposition B: (i) if A is true, then B is true (ii) if A is false, then B is still true.

### Performatives

indicative mood and present tense, use of performative verb(e.g. sentence, declare, confer, invite, request, order, accuse...), active void of a first person subject, usage of performative adverb ‘hereby’

*Felicity Conditions:*

A.1 Conventionality Condition

accepted conventional procedure

A.2 Appropriateness Cond.

appropriate persons and circumstances

B.1 Correctness Cond.

B.2 Completeness Cond.

procedure executed correctly and completely

C.1 Sincerity Cond.

person must intend so

C.2 Subsequent Conduct Cond.

person must subsequently conduct so

*Violations of Conditions:*

Misfire: conditions under A-B violated

Abuse: conditions under C violated

All sentences can be paraphrased as performatives

### Speech Acts

*Locutionary Art:* The act of performing an utterance (phonetically and grammatically) production and pronunciation of the sentence, given knowledge of the vocabulary and grammar, and the referent (i)phonetic act: uttering certain speech sounds with the speech apparatus. (ii)phatic act: use of certain strings of speech sounds belonging to a certain vocabulary and conforming to a certain grammar. (iii)rhetic act: uttering the respective words with a certain "more or less"definite sense and reference

*Illocutionary Act:* The act of performing a statement, question, command, etc. by means of its conventional force (i.e. what is the locutionary act used for?)ask or answer questions, assure or warn, announce a verdict or an intention, protest against, command, give advice...

*Perlocutionary Act:* The act of effecting the audience in a particular way stop/annoy/persuade... someone

### Direct and Indirect Speech Acts

*Direct:* the type of sentence (grammatical form) matches the type of illocutionary force

Declarative -> Statement (It is raining); Interrogative -> Question (Is it raining?); Imperative -> Command (Make it rain!)

*Indirect:* an utterance whose form does not reflect the intended illocutionary force I want you to leave now (Declarative -> command); I would like to have a cup of tea (Declarative -> request); Can you pass me the salt? (Interrogative -> command); Isn't this a beautiful day? (Interrogative -> statement)

how does the addressee figure out the intended illocutionary

force -> the Gricean method of calculating implicatures