

1. Exercise 1.13

- (a) two types of error, false reject ( $f(x) = +1$ ,  $h(x) = -1$ ) and false accept ( $f(x) = -1$ ,  $h(x) = 1$ ), when correctly defined, no errors.  
 False reject  $f = y$ ,  $h \neq y = \lambda(\mu)$   
 False accept  $f \neq y$ ,  $h = y = (1 - \lambda)(1 - \mu)$
- (b) 0.5, then  $P(y|x) = 0.5$  regardless of  $y = f(x)$  or  $y \neq f(x)$ , it becomes completely random.

2. Exercise 2.1

- (a)  $m_H(N) = N + 1$ , try  $k = 2$ ,  $m_H(2) = 3$ ,  $2^k = 4$ ,  $m_H(k) < 2^k$ , breakpoint is at  $k = 2$ .
- (b)  $m_H(N) = \frac{1}{2}N^2 + \frac{1}{2}N + 1$ , try  $k = 3$ ,  $m_H(3) = \frac{9}{2} + \frac{3}{2} + 1 = 7$ ,  $2^3 = 8$ ,  $m_H(3) < 2^3$ , so breakpoint is at  $k = 3$ .
- (c) no breakpoint for convex sets as  $m_H(N) = 2^N$ .

3. Exercise 2.2

- (a)  $N + 1 \leq \sum_{i=0}^{k-1} \binom{N}{i}$ , let  $N = 2$  using our previous breakpoint, then  $3 \leq \binom{2}{0} + \binom{2}{1}$ , and  $3 \leq 3$ , which is true. We can try again for  $N = 3$ , where we get the result of  $4 \leq 7$ , which is also true.
- (b)  $\frac{1}{2}N^2 + \frac{1}{2}N + 1 \leq \sum_{i=0}^{k-1} \binom{N}{i}$ , let  $N = 3$  and solving, from (2b), we know it's equal to 7, solving  $\sum_{i=0}^2 \binom{3}{i} = 7$ , if we solve for  $N = 4$ , we will get the result of  $11 \leq 15$ , which is also true.
- (c) Theorem doesn't apply since  $m_H(N) = 2^N$ , and theorem requires that  $m_H(N) < 2^N$

4. Exercise 2.3

- (a) From 2.1, we know  $k = 1$  is the largest value of  $N$  where  $m_H(N) = 2^N$ , therefore  $d_{vc} = 1$  and breakpoint  $k = 1 + 1 = 2$ .
- (b) From 2.1 we know  $k = 2$  is the largest value of  $N$  where  $m_H(N) = 2^N$ , therefore  $d_{vc} = 2$  and breakpoint  $k = 2 + 1 = 3$ .
- (c)  $d_{vc} = \infty$  since  $m_H(N) = 2^N$  for all  $N$  and there is no breakpoint.

5. Exercise 2.6

- (a) We can use formula

$$E_{out} \leq E_{in} + \sqrt{\frac{1}{2N} \ln\left(\frac{2M}{\delta}\right)}$$

$$E_{out} = \sqrt{\frac{1}{2(400)} \ln\left(\frac{2(1000)}{0.05}\right)} = 0.115$$

$$E_{test} = \sqrt{\frac{1}{2(200)} \ln\left(\frac{2(1)}{0.05}\right)} = 0.096$$

$E_{out}$  has the higher error bar

- (b) Samples used for testing cannot be used in  $E_{out}$ , this will improve  $E_{test}$ , but worsen  $E_{out}$ , which is meaningless in the end.

6. Problem 1.11

We can multiply the result by their weights corresponding in the form of

$$\frac{1}{N} \sum_{i=1}^N w_n \times [h(x_n) \neq f(x_n)]$$

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$$\frac{1}{N} \sum_{i=1}^N (1 \times [h(x_n) = +1, f(x_n) = -1] + 10 \times [h(x_n) = -1, f(x_n) = +1])$$

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$$\frac{1}{N} \sum_{i=1}^N (1000 \times [h(x_n) = +1, f(x_n) = -1] + 1 \times [h(x_n) = -1, f(x_n) = +1])$$

7. Problem 1.12

- (a) We want to minimize  $E_{in}(h)$ , so we can consider taking its derivative, and when it equals 0, it is the minimal value.

$$\begin{aligned} E_{in}(h) &= \sum_{n=1}^N (h - y_n)^2 \\ \frac{\partial E_{in}(h)}{\partial h} &= 2 \sum_{n=1}^N (h - y_n) \frac{\partial (h - y_n)}{\partial h} \text{ chain rule} \\ &= 2 \sum_{n=1}^N (h - y_n) \\ &= 2 \left( \sum_{n=1}^N h - \sum_{n=1}^N y_n \right) \\ &= 2 \left( Nh - \sum_{n=1}^N y_n \right) \\ &= 2 \left[ N \left( h - \frac{1}{N} \sum_{n=1}^N y_n \right) \right] \end{aligned}$$

When  $h = \frac{1}{N} \sum_{n=1}^N y_n$ , the equation is minimized since it equals 0. since  $2[N(0)] = 0$

(b) **TO DO**

- (c)  $h_{mean}$  becomes  $\infty$  since mean is calculated by the average, when there exists an infinitely large number, the mean gets pulled up to infinity.

$h_{med}$  will likely not change because median is calculated by the middle "ordered" value, when there exists an infinitely large number, the median will not change.