

15-819 : Computational Type Theory

Plan: Contrast of CTT vs Formal TT by means of simple type theory. Historical context and higher Type theory. The analysis of higher-order type theory will require both CTT and FTT.

In some order:

0. fundamentals of CTT (in zero dim's)
1. RedPRL implementation of CTT
2. guarded ~~comp'l~~ comp'l type theory.
3. higher type theory / cubical type theory
of cubical infrastructure
(b) univalence, inductive types.
4. CTT as a spec language for
~~prog~~ prog verification.

→ types are more than just propositions! Down with Curry-Howard!

TYPE Theory as a theory of proof (CH)
vs as a theory of truth (BHK)

Type theory accounts for more than just proofs.

5. Comparison with various higher FTTs:
CCHM, ABCFHL (cartesian form).
(KloeselKloene refm)

e.g.) Nanevski (IMDEA)'s type theory for concurrency, which seems to be computational, vs session types, which are formal/C-HI motivated.

Formal TT
aka structural
prescriptive
axiomatic

formal logic.

You're defining an R.E. set.
"Inductively defining
"judgments" (KwH thinks
this is regrettable naming).
 $\Gamma \vdash A \text{ type}$ } defining terms
 $\Gamma \vdash M : A$ } and types.

From $x_1 : A_1, \dots, x_n : A_n$

Defined by a collection of
rules. Often equipped
with a notion of equality
(`definitional equality')
 $\Gamma \vdash A \equiv B$, $\Gamma \vdash M \equiv N : A$

that often captures comp.,
where typing, etc, are stable
under definitional equality.

$\Gamma \vdash M : A$ $\Gamma \vdash A \equiv B$
 $\Gamma \vdash M : B$.

The methodology:

1. You have a concept formal
logic) $\Gamma \vdash A \text{ prop}$

$\sim \Gamma \vdash A \text{ type}$,

often by construction.

But then you have step
types like nat and list that
don't really correspond to props
 $\Gamma \vdash A \text{ true} \sim \Gamma \vdash M : A$ (JM).

2. It ought to be constructive
in two senses (?):

a) the proof terms have
a computational interpretation
& interpretation and
correspond to programs. Or
so you would like:
many fail at this, like HoTT.

Computational TT

Type theory is all about
computation! Type theory
is computational foundational
in that it is a self-
standing theory of truth.
i.e., Brouwer: truth is
based on computation
because that is the
fundamental human faculty.
Start with a programming
language: a deterministic
transition system $M \xrightarrow{*} M'$,
with a specification of when
you're finished: M Value/Canonical
MUV. Then you define what
are types and elements
using meaning explanations
in terms of computation.

1. Types are certain programs:
they run, and when evaluated,
their values designate a specific
Lj nor specific programs that
evaluate either to zero or the
successor of another. Then

"if true then not else IT" is a type!

2. Elements of a type are programs
that satisfy or adhere to the
spec given by the type (in terms of eval)

Lj: if true then ~~else~~ 0 else false
& next,

G if true then not else IT.

$\Gamma \gg M \in A$ } judgments of CTT.
 $\Gamma \gg A \text{ type}$ }

There are in no sense checkable
 $\Gamma \gg M \equiv N : A$ } "exact equality"
 $\Gamma \gg A \equiv B$ } (2)

b) absence of LEM or DNE or... This is desired for "axiomatic freedom": The fewer axiomatic commitments you have, the freer you are to explore in diff directions. Somehow it's the computational grounds that get rid of LEM.

RWT thinks a) is the engine and b) is the smoke.

3. Decidability. The judgments are computably enumerable by definition and people insist on decidability: $\Gamma \vdash M : A$ or not.

$\Gamma \vdash A$ type or not

$\Gamma \vdash M \in N : A$ or not

$\Gamma \vdash A = B$ or not.

idea: Type checking is proof checking (The complexity of the decision problem - "horrendous beyond belief" — is conveniently ignored).

4. Computational meaning, if any, is given extrinsically, imposed after the fact.

What they're really interested in is the interpretation of their formal system into various mathematical structures, à la model theory.

e.g. $\text{als} \doteq \text{id} : \text{nat} \rightarrow \text{nat}$

$\text{als} \neq \text{id} : \text{int} \rightarrow \text{int}$

Specify behaviour instead of structure.

The role of proof theories is to access the truth. It's never definitive definition of anything. The proof theories will be inductively defined

$\vdash \Gamma \gg A$ [Ext M]

$\vdash A \text{ is true}^n$ extracts m.

This is an example of a refinement logic (Cantwell and Barnes)

[Chet Murthy ported the ideas of NuPRL to coq during a workshop at INRIA].

Don't care about the proof theory's properties: only that it's useful.

Case Study: By Simply Typed λ -calculus \mathcal{T}^b .
functions + base types.

Types $A ::= b \downarrow A \rightarrow B$ ← base type, for illustr today w
2 distinct inhabs)
terms $M ::= z \downarrow c \downarrow \lambda x:A. M \mid MN$
Gts $\Gamma ::= \cdot \mid \Gamma, x:A$ distinct variables

$\Gamma \vdash M:A$ is the typing judgment } ind
 $\Gamma \vdash M = N:A$ is definitional equality } defined.

In particular, closed terms $M:A$ (perhaps base type b)

Technical stuff: $[M/n]M'$ is substitution
 $\gamma: \Delta \rightarrow \Gamma^P$, $\Delta \vdash \gamma(x):A$ for each
 $x:A \in \Delta$
 $\gamma(M)$ extends to terms.

Any notion of computation comes from
the outside and is extrinsic.

$\Gamma \vdash M = N:A$ includes computation and
is Refl Sym Trans Congruence
For example:

$$\frac{\Gamma, x:A \vdash M:B \quad \Gamma \vdash N:A}{\Gamma \vdash (\lambda x:A. M) = (\lambda x:A. N) : B}$$

Computation: We'll look at two computational
interpretations of this formalism:

1. closed terms aka programs (maybe
of base type). This greatly influences
the meaning of variables and equality.

Closed evaluator. ↳ range over closed terms (values)

2. open terms of any type and open
reduction. Variables range over open
terms.

Intuition: If $x:A \vdash M:B$, x is restricted to closed
terms, equality much fewer things are
going to be equal. I.g.

$$x:\text{nat} \vdash M = N:\text{nat}$$

only for M, N constant natural numbers.

④

Over open terms, then you can get
 $x:\text{nat} + z + z \equiv 2z : \text{nat}$.

1. Closed computation

We'll define 3 judgments:

$$\begin{array}{c}
 M \text{ val} \quad M \Downarrow V \stackrel{\Delta}{=} M \mapsto^* V \text{ val} \\
 M \mapsto M' \\
 \hline
 C \text{ val} \quad \lambda x:A. M \text{ val} \quad \frac{M \mapsto M'}{MN \mapsto M'N} \quad \left. \begin{array}{l} "head\ reduction" \\ (\text{though this} \\ \text{term is usually} \\ \text{reserved for} \\ \text{open reduction}) \\ \text{or} \\ (\text{Something like} \\ \text{typically used in} \\ \text{proofs?}) \end{array} \right\} \\
 \hline
 (\lambda x:A. M)N \mapsto [xN/x]M
 \end{array}$$

Evaluation contexts

$\Sigma ::= \cdot \mid \Sigma M$ "spines"
 Define $\Sigma\{M\}$ to fill the hole in M .
 Don't need to worry much because we're dealing only w/ closed terms.

We can now write

$$\begin{array}{c}
 M \mapsto M' \text{ iff } M = \Sigma\{P\} \quad \text{Evaluation} \\
 \qquad \qquad \qquad M' = \Sigma\{P'\} \quad \text{Content} \\
 \text{and } P \underset{\Sigma}{\text{contr}} P' \quad \underbrace{\text{Semantics}}_{(\text{Felleisen})} \\
 (\lambda x:A. M)N \mapsto_p [xN/x]M
 \end{array}$$

Felleisen thinks ECS is easier to formalize.
 Bob thinks SOS is easier to formalize
 and is what se and tare used to formalize
 SML in Twelf.

Goal: Show that all well-typed programs terminate. (Closed)

(X) Claim: If $M:A$ then $M \Downarrow$ ($\exists V. M \Downarrow V$)

We will then want to show:

Goal: Show that all well-typed open terms normalize:
If $\Gamma \vdash M : A$ then M normal.

Pf (X): By induction on syntax of $A[M]$.

Var: impossible

Const $M = c$: The $A = b$ and c val ✓

1: $M = \lambda x : B. N$ $A = B \rightarrow C$, λ is val ✓

Hyp: $M = NP$, $N : B \rightarrow A$ $NP \Downarrow$
 $P : B$ $P \Downarrow$
ind hyp

TS: $NP \Downarrow$. Not obvious.

Notice: $(\lambda n : x_n) (\lambda x_n : x_n)$ doesn't terminate
 \Downarrow \Downarrow

So we're stuck: application of terminating terms need not terminate. But we're saved by the fact that we have types!

Idea: Strengthen the IH! (Is clear due to Tait?)

Define a property called hereditary termination $HT_A(M)$ s.t.

1. implies termination
2. induction goes through.

Defined by induction on A .

$HT_b(M)$ iff $M \Downarrow$ behavioural, not structural (or).

$HT_{A \rightarrow B}(M)$ iff $\forall N, (HT_A(N) \text{ implies } HT_B(MN))$.

This is called a "negative" formulation.
There exists a positive formulation.

For fun, imagine we had polymorphism $HT_{\text{main}}(M)$?

Claim: If $M:A$ then $HT_A(M) \models$

Pf: Var \vdash ^{impor}
const \vdash ^{cst} \vdash ^{from} $HT_B(c) \stackrel{c \in}{\checkmark}$

app $MN:B$ \vdash $c \in M:A \rightarrow B, N:A$

$HT_{A \rightarrow B}(M), HT_A(N)$

$\therefore HT_B(MN) \checkmark$

lam: $\lambda x:A.M : A \rightarrow B \vdash c : A \vdash M:B$

Stuck! can't apply ITC b/c M is
not closed!

TS: $HT_{A \rightarrow B}(\lambda x:A.M)$.

Suffices TS:

if $\not \vdash HT_A(N)$ then $HT_B((\lambda x:A.M)N)$

read under!

Suppose $HT_A(N)$. Know that

$(\lambda x:A.M)N \mapsto [N/x]M$.

Idea: Say something about open terms
as "mappings" given by subst.
 $x:\text{not } \vdash x+\alpha : \text{not}$
"not \rightarrow not".

Strengthen the ITC even further:

If $\Gamma \vdash M:A$ then

for all $\gamma : \cdot \rightarrow \Gamma$ (closing subst)
if $HT_\gamma(\gamma)$, then $HT_A(\gamma(M))$.

Cor: If $M:b$ then $M\gamma$

Pf: Var: $\frac{\Gamma; x:A \vdash x:A}{\Gamma} \quad \gamma(x) = \gamma(x) : A$.

Our assumption $HT_A(\gamma(x)) \checkmark$.

Const: immediate

$\boxed{\hat{M} = \gamma(M)}$

App: By ITC, $HT_{A \rightarrow B}(\hat{M}), HT_A(\hat{N})$

$\therefore HT_B(M\hat{N})$, and $\hat{M}\hat{N} = \hat{M}\hat{N}$.

(T)

lam.

$$\frac{\Gamma, x:A \vdash M:B}{\Gamma \vdash \lambda x:A. M : A \rightarrow B}.$$

Suppose $HT_P(\gamma)$. TS: $HT_{A \rightarrow B}(\lambda x:A. \tilde{M})$.

Suppose $HT_A(N)$. TS: $HT_B((\lambda x:A. \tilde{M})N)$.

By IH, $HT_B([N/x]\tilde{M})$ because

$$\frac{HT_{P, x:A}(\underbrace{\gamma[x \mapsto N]}_{\gamma'})}{\Gamma'} , \text{ i.e., } HT_B(\hat{\gamma}'(M))$$

[Lemma ("head expansion"): If $HT_A(M')$ and $M \mapsto M'$, then $HT_A(M)$.
↳ determinism matters.]

The result then follows by head expansion and
 $(\lambda x:A. \tilde{M})N \mapsto [N/x]\tilde{M}$. \square

Last time

We tried showing

Mm: If $\frac{}{} M : A$, then M term_B.

We introduced hereditary termination to accomplish this. We defined:

- $HT_B(M)$ iff M term_B
 - $HT_{A \rightarrow B}(M)$ iff $\forall N$ if $HT_A(N)$ then $HT_B(MN)$
- Instead of showing M term_B, we show $HT_B(M)$ and then

Mm: If $HT_A(M)$ then M term_B.

We had to generalize to $HT_{\Gamma}(T)$ —

Mm: If $\Gamma \vdash M : A$ and $HT_A(\gamma)$, then

Here

$HT_{\Gamma}(\gamma)$ iff $\forall x : A \in \Gamma, HT_A(\gamma(x))$, and $\text{dom } \Gamma = \text{dom } \gamma$.

Remark: $HT_{\emptyset}(\emptyset)$, $\emptyset(M) = M$.

Lemma (head expansion):

If $\# HT_A(M')$ and $M \mapsto M'$ then $HT_A(M)$.

Pf.: By induction on the structure of A . □

Case $A = A_1 \rightarrow A_2$:

Suppose $HT_{A_1 \rightarrow A_2}(M')$ and $M \mapsto M'$.

TS: $HT_{A_1 \rightarrow A_2}(M)$.

Suppose $HT_A(N)$. STS $HT_{A_2}(MN)$.

By assumption $HT_{A_2}(MN)$ so by induction (on the type!), $HT_{A_2}(MN)$. □

This works exactly because we have head reduction $\frac{}{} M \mapsto M'$. This is $MN \mapsto M'N$

Why head reduction is the way it is.

(+) Wanted: If $\text{HT}_A(M)$, then $M \text{ term}$.

Pf: By induction on A .

Case: $A = b$. immediate

Case: $A = A_1 \rightarrow A_2$: Suppose $\text{HT}_{A_1 \rightarrow A_2}(M)$. Then we're stuck, because we don't know where to get an argument of type A_1 . ?

What to do?

1. Give up! Expect properties only at observables/base type. Change the theorem to: If $M : b$, then $M \text{ term}$.
2. Change the definition of $\text{HT}_{A_1 \rightarrow A_2}(M)$ to also insist $M \text{ term}$. Then (+) becomes true by definition, but you need to push this requirement through everywhere else. But this is easy because we know termination is closed under head expansion.

Another way — the positive way — of defining HT :
(or the ^{prior} negative way)

- $\text{HT}_b(M)$ iff $M \xrightarrow{\text{fc:lo}} c$
- $\text{HT}_{A_1 \rightarrow A_2}(M)$ iff $M \xrightarrow{\text{no real change}} \lambda x:A.M'_2$ and $\{ \text{for all } M_1 \vdash M \text{ HT}_{A_1}(M_1), \text{ we have } \text{HT}_{A_2}(\text{fv}_{A_1}(x; M_1)) \}$

This is called the method of Canonical forms:

$\text{HT}_A(M)$ iff $M \xrightarrow{\text{val s.t. CF}_A(V)}$

where $\text{HV}_b(c) \vdash \text{R.C:lo}$ and $\text{HV}_{A_1 \rightarrow A_2}(\lambda x:A.M) \vdash \{ \text{R.C:lo} \}$

Then the intro rules become easy:

$$\frac{\Gamma, x:A_1 \vdash M_2 : A_2}{\Gamma \vdash \lambda x:A_1. M_2 : A_1 \rightarrow A_2} \quad \begin{array}{c} \text{HT}_A^+(M_1) \\ \text{HT}_A^+(M_1/x[M_2]) \end{array}$$

OTOH, elim rule is not immediate
and you need head expansion. \square

(For comparison, you can write

$$\text{HT}_A^+(M) \triangleq \text{HT}_A^-(M).$$

Let's go back to the negative form.

Claim: The "give up" option only works
for CBN! You only ever observe
termination at base type under
CBN, not CBV. Why?

The key idea of CBN is that you
evaluate something of function type
only if it is applied.

CBV: Not true! $F(M)$ You need to evaluate
 $(A \rightarrow B)C \rightarrow B$ M regardless of
whether you evaluate it

Ans $\text{HV}_{A_1 \rightarrow A_2}^{\text{cbn}}(\lambda x:A. M) \text{ iff } \text{HT}_{A_1}^+(M_1) \supset \text{HT}_{A_2}^+([M_1/x]M)$

$$\text{HV}_{A_1 \rightarrow A_2}^{\text{cbv}}(\lambda x:A. M) \text{ iff } \text{HV}_{A_1}(V_1) \supset \text{HT}_{A_2}^+([V_1/x]M).$$

Now consider what happens when you try
to show (CBV)

Claim: If $\Gamma \vdash M : A$ and $\text{HV}_n(Y)$, then $\text{HT}_A^+(\Gamma(M))$.

Then $\text{HT}_{A \rightarrow A_n}^+(M)$ ad $\text{HT}_{A_1}^+(N)$. TS: $\text{HT}_{A_n}^+(N)$. \square

But you must know

$N \xrightarrow{*} V$ and $H_{T_A}(V)$. But here you can't just give up: you must show this.

To summarize:

i) If $\Gamma \vdash M : A$ and $H_{T_p}(Y)$, then $H_{T_p}(\tilde{Y}(M))$.
The formalism/syntax " $\Gamma \vdash M : A$ " has a semantics "if $H_{T_p}(Y)$, then $H_{T_p}(\tilde{Y}(M))$ ".

Notation:

- i) A type. (grammar)
- ii) $M : A$ iff $H_{T_A}(M)$
 \hookrightarrow This is a behavioural specification.
 $M : A$ has no such meaning: you just derived it from a bunch of rules. You can, however, prove the implication \Rightarrow we did.
- iii) $\Gamma \gg M : A$ means $\forall \in \Gamma$ implies $\tilde{Y}(M) \in A$.

The fundamental theorem then says:

safety { If $\Gamma \vdash M : A$, then $\Gamma \gg M : A$.

grammatical things make true statements about their behaviour.

What you care about is the truth and a formalism acts simply as a window on the truth and a means of accessing the truth, and as such is never canonical.

Aside: the type annotations are irrelevant and are only there for protocol.

$|M|_{\text{names}} : |\exists x : A.M| = |\exists x.M|$

Changing gears:

Normalization

Interpret $\Gamma \vdash M : A$ as a mapping
on open terms (not closed / values)
→ variables are indeterminates.

Now the notion of behaviour is
given by

$M \text{ norm}_\beta$ means $M \xrightarrow{\beta} N \text{ nf}_\beta$.

$(\lambda x : A. M) N \text{ cont}_\beta [N/x] M$.

$$\frac{M \text{ cont}_\beta N}{M \xrightarrow{\beta} N} \quad \frac{M \xrightarrow{\beta} M' \quad N \xrightarrow{\beta} N'}{MN \xrightarrow{\beta} M'N \quad MN \xrightarrow{\beta} M'N'}$$

$$\frac{M \xrightarrow{\beta} M'}{\lambda x : A. M \xrightarrow{\beta} \lambda x : A. M'} \quad (!)$$

Reduction is restricted to defined for
open terms.

$N \text{ nf}_\beta$ iff $N \not\xrightarrow{\beta}$.

Ex: ind characterize $N \text{ nf}_\beta$.

hm: If $\Gamma \vdash M : A$, then $M \text{ norm}_\beta$,
as an open term!

Pf: By induction on typing.

We again get stuck at application.

$M \text{ norm}_\beta \quad N \text{ norm}_\beta$

TS: $MN \text{ norm}_\beta$?

Stuck w again.

(B)

Def (wrong) inadequate. ~~Chered norm~~ Chered norm)

$\text{HN}_\beta(M)$ iff M norm _{β}

$\text{HN}_{A_1 \rightarrow A_2}(M)$ iff if $\text{HN}_{A_1}(M_1)$ then $\text{HN}_{A_2}(MM_1)$.

Idea:

1) If $\Gamma \vdash M : A$, then $\text{HN}_A(M)$
if $\text{HN}_P(\gamma)$, then $\text{HN}_A(\hat{\gamma}(M))$. treat as a mapping

Problem: Want to interpret the image
of vars as also being open. So
write $\Delta \vdash \gamma : \Gamma$ for $\gamma : \Delta \rightarrow \Gamma$, then:

if $\text{HN}_P^\Delta(\gamma)$, then $\text{HN}_A^\Delta(\hat{\gamma}(M))$.

2) $\text{HN}_A^\Delta(M)$ implies M norm _{β}

3) $\text{HN}_P^\Gamma(\text{id}_P)$.

2017-0-25

Terminology / credits:

- hereditarily \times (most common)
- logical relations (Folman)
- Tait's method (W. Tait)
- Girard's method (J.-Y. Girard)
- computability method (Tait)
- reducibility method (Girard ?)

Recall that we generalized our account
to cope with open terms and
normalization:

$\text{HN}_A^\Delta(M)$ s.t. $\Delta \vdash M : A$

We tried

Ans: $\Gamma \vdash M : A$ implies $M \text{ norm}_{\beta}$ \times Can't prove

(then try) implies these

Ans: $\Gamma \vdash M : A$ implies $HN_A^{\Delta}(M)$ \times directly

(then try) implies

Ans: ref $\Gamma \vdash M : A$ and $\Delta \vdash \gamma : P$ and
 $HN_A^{\Delta}(M)$ then $HN_A^{\Delta}(\gamma(M))$.

Our first attempt at $HN_A^{\Delta}(M)$ will be
too naive:

$$\begin{aligned} HN_A^{\Delta}(M) &\quad \text{H. } \Delta \vdash M : A \\ HN_P^{\Delta}(M) &\quad \text{iff } M \text{ norm}_{\beta} \\ HN_{A_1, A_2}^{\Delta}(M) &\quad \text{iff } \left[\begin{array}{l} HN_{A_1}^{\Delta}(P_{A_1}) \text{ implies} \\ HN_{A_2}^{\Delta}(\cancel{M_{A_2}}) \text{ implies } M_{A_2} \end{array} \right] \end{aligned}$$

Lemma

1. $HN_P^{\Delta}(\text{id}_P)$ — i.e., $HN_A^{F_{\text{id}} : A}(x)$
2. $HN_A^{\Delta}(M)$ implies $M \text{ norm}_{\beta}$.

Pf of #:

• $\beta, x : A \vdash x : A$. Then $\tilde{\gamma}(x) = \gamma(x)$ by assumption
 $HN_P^{\Delta}(\gamma(x))$

• $\Gamma \vdash c : b$, $\tilde{\gamma}(c) = c \text{ norm}_{\beta}$ ✓

• $\frac{\Gamma \vdash M : A \rightarrow B \quad \Gamma \vdash N : A}{\Gamma \vdash M(N) : B}$ $\left. \begin{array}{l} HN_{A \rightarrow B}^{\Delta}(M) \\ HN_A^{\Delta}(N) \end{array} \right\} \text{IH}$

$\therefore HN_B^{\Delta}(\tilde{M}\tilde{N})$ ✓

$\frac{1}{MN}$

(15)

$\Gamma, x:A \vdash M:B$

IH: If $\overline{HN_A^\Delta(N)}$, then

$\Gamma \vdash \lambda x:A. M : A \rightarrow B$

$HN_{A \rightarrow B}^\Delta(\lambda x:A. \hat{M})$

TS: $HN_{A \rightarrow B}^\Delta(\lambda x:A. \hat{M})$.

↓ head reduces

Suppose: $HN_A^\Delta(N)$. TS: $HN_B^\Delta((\lambda x:A. \hat{M})N)$

Result follows by head expansion D.
So still need to show.

Lemma:

3. If $M \mapsto M'$ and $HN_A^\Delta(M')$ then $HN_A^\Delta(M)$.

Pf: (3) Proc by induction on A.

• $A = b$: immediate, because $M \mapsto M'$ norm implies M' norm.

• $A = A_1 \rightarrow A_2$: suppose $HN_{A_1 \rightarrow A_2}^\Delta(M')$ and $M \mapsto M'$.
OTS: $HN_{A_1 \rightarrow A_2}^\Delta(M)$.

Suppose: $HN_{A_1}^\Delta(M_1)$. STS: $HN_{A_2}^\Delta(M(M_1))$

$HN_{A_2}^\Delta(M'(M_1))$.

But that follows by the IH, because
the type got smaller. D

Pf of (1) and (2): By induction on A.

1. • $A = b$: TS: $HN_{P, x:b}^\Delta(x)$. i.e., x norm ✓

• $A = A_1 \rightarrow A_2$: TS: $HN_{P_2: B_1 \rightarrow A_2}^\Delta(x)$.

Suppose $HN_{P_2: B_1 \rightarrow A_2}^\Delta(M_1)$. TS: $HN_{A_2}^\Delta_{P_2: B_1 \rightarrow A_2}(x(M_1))$.

We're a bit stuck: varably applied to
something... But!

By IH(2), M_1 norm B_1 . But we're stuck, so
we need to "strengthen" the IH! See

$\exists A \in b$: spec $HN_b^r(b)$. TS: M_{norm_B} . ✓

$A = A_1 \rightarrow A_2$: spec $HN_{A_1 \rightarrow A_2}^r(M)$. TS: M_{norm_B} .

But we don't have any A_1 to apply this to, so we're stuck.

But $IH_1, (MN_{A_1}^r(x))$ (Dubruijn steps, but ignore this)
is $HN_{A_2}^r(Mx)$. \therefore by $IH_2, Mx \text{ norm}_B$.
Check this inference carefully.

→ Dubruijn because Γ might be empty or not have any variables of type A_1 .

The standard trick for decades was to use "stated contexts" $\tilde{\Gamma}$ containing ∞ -many stars for ∞ -many types, and then you show $\tilde{\Gamma}$ a compactness property where if

9) Strengthen (the old way) ctd on 18
1. If $\tilde{\Gamma} \vdash x : A_1 \rightarrow A_2 \rightarrow \dots \rightarrow A_n \rightarrow A$ slightly
and $M_1, \text{norm}_B \dots M_n, \text{norm}_B$ clumsy.
then $HN_{A_1 \rightarrow A_2 \rightarrow \dots \rightarrow A_n}^r(M_1, \dots, M_n)$. See 19
for cleaner form

Patch up pf of 1.

$A \in b$ TS: $MN_b^{r, \text{patch}}$ ($\approx M_1 - M_n$). B/c $M_i : \text{norm}_B$, M_i normalizes to N_i ,
 $\approx M_1 - M_n \rightarrow_B^* \approx N_1 - N_n \rightarrow_B^*$.

(Just normalize the M_i to normalize $M_1 - M_n$).

$A = A'_1 \rightarrow A'_2$: TS: $HN_{A'_1 \rightarrow A'_2}^{r, \text{patch}}$ ($\approx M_1 - M_n$)

spec $HN_{A'_1 \rightarrow A'_2}^{r, \text{patch}}(M_{\text{norm}_B})$. TS: $HN_{A'_2}^{r, \text{patch}}(\approx M_1 - M_n, M_{\text{norm}_B})$
By IH(2) M_{norm_B} . So by IH(1) ✓

A better approach than to:

Older: Kripke semantics and presheaves.

With our computer hacker hat on, you realize that what you really want to do is allocate new variables for ℓ . You want malloc.

Worry: Why should the property of hereditary normalization be stable under such an act. I've proved these facts in a world w 100 variables, how do I know it will still hold in a world w 101 variables?

Key: Only consider facts that will be true in all future worlds.

So we change the definition of HN.

Let $\Delta' \geq \Delta$ iff Δ' extends Δ
 Δ' "future world". (trans & refl)

~~ex $\Delta' \geq \Delta$ and~~ Then

$HN_{A_1 \rightarrow A_2}^{\Delta}(M)$ iff $\left\{ \begin{array}{l} \text{if } \Delta' \geq \Delta \text{ and } HN_{A_1}^{\Delta'}(M_1) \\ \text{then } HN_{A_2}^{\Delta'}(M(M_1)) \end{array} \right\}$ demand
that func^s
anticipates alloc
of new vars.

Fact (monotonicity/functoriality):

If $HN_A^{\Delta}(M)$ and $\Delta' \geq \Delta$, then $HN_A^{\Delta'}(M)$.

Now you can go through the proof and allocate
 m_A at η , "by IH₁, $HN_{A_1}^{P_{1,2}, A_1}(\eta)$ "

Now: if $\Gamma \vdash M : A$ and $\Delta \vdash T : \Gamma$ and
 $M N_A^\Delta(T)$, then $M N_A^\Delta(\tilde{T}(M))$

Point: $D, x:A \vdash id_D : D$, i.e., weakening is
a substitution.

Go through all the proofs to push through
the allow. They should go through
straightforwardly.

Lemma: $E ::= \cdot | E(M)$

1) If $\mathcal{E} : \mathcal{E} C \rightsquigarrow A$ Don't you need
some context here?
T hole has type C, whole thing has type A.
and E norm _{β} (all arguments M are norm _{β})
then $M N_A^{\mathcal{E}, x:C}(\mathcal{E}\{\cdot\})$. (Cleaner statement
of (12))

Use, $\mathcal{E}\{\cdot\}(M) = \mathcal{E}(M)\{\cdot\}$.

2) if $M N_A^\Delta(M)$ then M norm _{β} .

Rant about strong normalization.

There is an obscurio in the literature about
strong ~~so~~ normalization, sn _{β} .

RWTH claims that the only important thing
about SN _{β} is

→ Never important in itself!

Only useful as a means to other things,
as a tool.

Usual definition:

no ω reduction sequences:

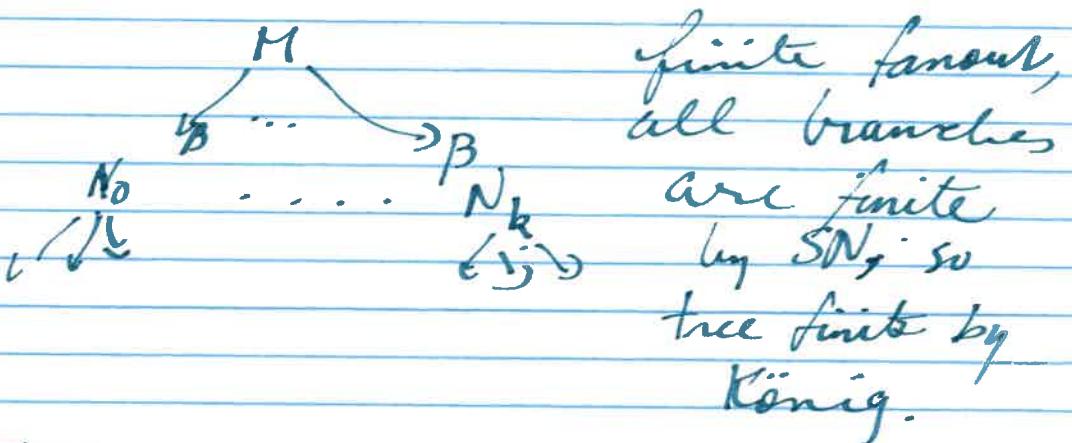
already suspect $\neg \exists M. M = M_0 \xrightarrow{\beta} M_1 \xrightarrow{\beta} M_2 \xrightarrow{\beta} \dots$
as a contradiction

What this means is that the principle of transfinite induction on reductions is valid:

$$(\forall M (\forall N s(M \rightarrow N, P(N)) \supset P(M)) \supset \forall M P(M)).$$

So no inf red sequences = TIR is valid.
This follows from König's lemma.

Each M has finitely many β -redexes
 N_0, \dots, N_k



This is the only reason you care about SN.

By $\vdash \varepsilon : C \text{ and } A$, (part (1) of the lemma on 19),
TRTH means
 $\Delta \vdash x : C, \quad \Delta \vdash \varepsilon : C \rightarrow A$

[chtt - s18. slack.com]

Last time:

FTLR: If $\Gamma \vdash M : A$ and $\gamma : \Delta \rightarrow \Gamma$, then
 $HN_{\Gamma}^{\Delta}(\gamma)$ implies $HN_{\Gamma}^{\Delta}(\gamma(M))$. ⊕

Cor: if $\Gamma \vdash M : A$, then M norm_p.

Structure " $\Gamma \vdash M : A$ " determines behaviour
" $HN_{\Gamma}^{\Delta}(\gamma(M))$ ".

To show ⊕, you need to show that
 $HN_{\Gamma}^{\Delta}(\text{id})$, and to do so, you must
show that
if $\Delta \vdash x : A$, then $HN_A^{\Delta}(x)$.

But you get this by using

of \mathcal{E} norm_p, then $HN_A^{\Delta}(\mathcal{E}\{x\})$ ($\mathcal{E} : \mathcal{C} \rightarrow A$
 $\Delta \vdash x : \mathcal{C}$)

Nts: $x : M$ ~~not~~ is HN when M is HN.

Nts: $HN_{A_1 \rightarrow A_2}^{\Delta}(M)$ implies M norm_p.

Idea: Allocate a fresh variable at type
 A , and consider Mx at A_2 .
∴ Mx norm_p
∴ M norm_p.

Claim: Kripke logical rel's are the
means for talking about state in PL.

When allocating a fresh variable,
you move from Δ to $\Delta, x : A$, where $x \notin \Delta$.
But you need a stability property,
which tells you that moving to a
future world does not affect
hereditary normalization.

($HN_A : \text{Worlds} \rightarrow \text{Rel} (\rightarrow \text{sets})$
 Δ ordered
 $\hookrightarrow \hookrightarrow$)

Exercise: (closed and open — do both)

Add "negative" products. → defined by
 $\langle M_1, M_2 \rangle$ val elim form /
 $M_1 \cdot 1, M_2 \cdot 2$ projections projections,

$$\begin{aligned}\langle M_1, M_2 \rangle \cdot 1 &\mapsto M_1 \\ \cdot 2 &\mapsto M_2.\end{aligned}$$

Define 1) $HT_{A_1 \times A_2}(M)$ — reprove term.

2) $HN_{A_1 \times A_2}^{\Delta}(M)$ — reprove norm.

Positive Types

(Exercise: do positive products)

let \leftrightarrow be M in N } defined by pattern
let $\langle x, y \rangle$ be M in $N_{x,y}$ } matching.

Prime example: booleans.

true, false are values/intros/forms.
if_A(M; P; Q) elim

$$E ::= \dots \mid \text{if}_A(E; P; Q).$$

$$\begin{array}{c} \text{if}_A(\text{true}; P; Q) \rightsquigarrow P \\ \text{if}_A(\text{false}); Q \end{array}$$

$$\frac{\Gamma \vdash \text{true}: \text{bool} \quad \Gamma \vdash \text{false}: \text{bool}}{\Gamma \vdash \text{if}_A(M; P; Q): A}$$

$$\frac{\Gamma \vdash M : A \quad \Gamma \vdash P : A \quad \Gamma \vdash Q : A}{\Gamma \vdash \text{if}_A(M; P; Q) : A}$$

Need to account for that there exist infinitely many booleans in the open case, but that if only knows ~~that~~ about two of them.

if is a "resolver", which witnesses that bool is inductively defined by true, false.

Let's revisit the termination proof for closed terms. This should go through straightforwardly.

$\text{HT}_{\text{bool}}(M)$ iff either $M \mapsto^* \text{true}$
or $M \mapsto^* \text{false}$

Remark: for function types, you can give a pos or neg characterization of term. But with you're using positive types, you have no choice but to give a positive characterization of HT_{bool} .

Easy: if $\text{HT}_{\text{bool}}(M)$, then $M \text{ term}_\beta$.

Easy: Head expansion.

FTLR: if $M \vdash M : A$ and $\text{HT}_\gamma(\gamma)$, then } closed
 $\text{HT}_A(\gamma(M))$

Cor: if $M : A$, then $M \text{ term}_\beta$.

Exercise: do HT for positive products.

$$\begin{aligned} \text{HT}_1(M) &\text{ iff } M \mapsto^\infty \langle \rangle \\ \text{HT}_{A_1 \times A_2}(M) &\text{ iff } M \mapsto^* \langle M_1, M_2 \rangle \\ &\quad \text{s.t. } \text{HT}_{A_1}(M_1) \text{ and } \text{HT}_{A_2}(M_2). \end{aligned}$$

Aside: Could it somehow give a negative characterization of bool , that is, defined in terms of if. If it could, it'd want to say something along the lines of:

$$\begin{aligned} \text{HT}_{\text{bool}}(M) &\text{ iff } \left\{ \begin{array}{l} 1) \text{HT}_A(P) \\ 2) \text{HT}_A(Q) \end{array} \right. \\ \text{if } & \left. \begin{array}{l} \text{then } \text{HT}_A(\text{if}(M; P; Q)). \end{array} \right\} \end{aligned}$$

what's wrong with this?

The problem with this that it violates inducts definition by recursion on the type: we define HT_{bool} in terms

We're characterizing the behaviour of `bool`
in terms of arbitrary types A .

Vertigo

$$\text{bool} = \forall A. A \rightarrow A \rightarrow A$$

are the Church booleans.

RWH claims they arose because of
the above considerations.

End of Circle

Onto open terms

To show (norm. for open terms)

if $P \vdash M : A$ and $\gamma : \Delta \rightarrow P$, then
 $\text{HN}_P^A(\gamma)$ implies $\text{HN}_A^{\Delta}(\gamma(M))$.

How do we define $\text{HN}_{\text{bool}}^A(M)$? (This won't
generalize nicely to cover e.g., meta.)

Cannot: $M \rightsquigarrow^* \text{true}$ or $M \rightsquigarrow^* \text{false}$!

Because M is open and could be " x ",
or " xN " or " $\text{if } (xN; P; Q)$ " or ...

Constraints: We must validate:

- 1) $\text{HTF}_{\text{bool}}^A(\text{true})$ and $\text{HTF}_{\text{bool}}^A(\text{false})$
2) if $\text{HTF}_{\text{bool}}^A(\hat{M})$ and $\text{HTF}_A^A(\hat{P})$ and $\text{HTF}_A^A(\hat{Q})$,
3) then $\text{HTF}_A^{\Delta}(\gamma_A(\hat{M}; \hat{P}; \hat{Q}))$.
0) $\text{HTF}_{\text{bool}}^A(M)$ implies M norm.
- (Motivate by prove stronger)
→ by structural rules.

What do we know about \hat{M} ? That \hat{M} norm.

Fact: if M norm $_B$, then $M \rightsquigarrow_p^* N \vdash_{\beta}^*$

You're forced to do the head- β -reduction. N norm $_B$.

\hat{M} reduces to something that is head red $_B$, i.e.,
is hnf.

Define $\text{HN}^{\Delta}(M)$ iff M norm_p

(This will only work for enumeration types, not natural numbers).

By defn of (1), \tilde{M} norm_p.

Lemma (head exp) ...

Lemma (work horse).

- 1) If $\text{HN}^{\Delta}(M)$, then M norm_p
- 2) If E norm_p, then $\text{HN}^{\Delta}(E\text{if } \beta)$.

By Lemma on (2) and (3), we have
 \hat{P}, \hat{Q} norm_p.

\tilde{M} norm_p, so $\exists N$. N is a M norm_p N .

We provide by case analysis on N .

$N = \text{true}$:

TS: $\text{HN}^{\Delta}(\text{if } (\tilde{M}; \hat{P}; \hat{Q}))$

In

true

↪ \hat{P} , which we know $\text{HN}^{\Delta}(\hat{P})$ by (2).

So we're done by head exp.

$N = \text{false}$: symmetric.

Otherwise: What do we know?

N is in Laf and is of type bool . So it must be

This is the crucial lecture: ($N = E\{x\}$ s.t. E norm_p)
part of today's lecture

$\therefore \text{HN}^{\Delta}(E\{x\})$ by Lemma 2)

$\therefore \text{HN}^{\Delta}(\text{if } (E\{x\}; \hat{P}; \hat{Q}))$

$E\{x\}$

then use head expansion.

Today: Finish our discussion of positive types

Last time we discussed Booleans:

"Running code": 1. Closed interp - variables range over ^{closed} _{open} ^{compute by eval} ^{compute by eval}

"Dalg algebra": 2. open interp - variables are indeterminates,
compute by calculations

Defn

Defined $\text{HT}_{\text{bool}}(M)$ iff $M \mapsto \{\text{true}, \text{false}\} \vdash M \vee \perp$

Δ is the "state" — available indeterminates

$\text{HT}_{\text{bool}}^{\Delta}(M)$ iff $M \text{ norm}_{\beta} \Delta$ (1)

The important point is that there are infinitely many booleans in the open case and yet you can push them through FTLR w (i).

Before bool, the logical order of ideas
in the open case was:

- After HT_{bool} , the order changes:
 1. head exp workhouse lemma
 2. FTLR invented by Bob this week
 3. Workhouse lemma
 (2. Workhouse lemma) needed to prove
 3. FTLR

Let's look at

Sums more generally

Want the types

$$\begin{array}{c} 0 \\ A+B \end{array}$$

aka void

If you have
1, unit
 $A+B$,
 $\text{base} = 2 \stackrel{?}{=} 1+1$

RW type
calling sublibrary
case "about"
→ PFP because
student hits
it will cause
about.

Formal setting:

$$\Gamma + M : \text{void}$$

$$\text{Prcase}_A M \Sigma : A$$

$$\frac{\Gamma, x:A + P : C \quad \Gamma, x:B + Q : C}{\Gamma \vdash \text{case}_C M \{ 1 \cdot x \text{cop} | 2 \cdot x \text{cop} \} : C} \quad *$$

$$\Gamma \vdash M : A$$

$$\Gamma \vdash M : B$$

$$\Gamma \vdash L \cdot M : A + B$$

$$\Gamma \vdash 2 \cdot M : A + B$$

+ dyn.

$$L, M \in \{1, 2\} \Sigma A, B \} (M)$$

1. Closed setting: straightforward

$\text{HTvar}(M)$ iff (Value)

i.e., $\neg \text{HTbad}(M)$ (any M)

pos condns. $\begin{cases} \text{HTA}\Delta(M) & \text{iff either } M \xrightarrow{\alpha} 1 \cdot N \text{ and } \text{HT}_A(N) \\ & \text{or } M \xrightarrow{\alpha} 2 \cdot N \text{ and } \text{HT}_B(N). \end{cases}$

Check head exps, FTR.

If you were to attempt a negative def'n, you'd run into the same problem as 'boof':

" $\forall X. (A \rightarrow X) \rightarrow (B \rightarrow X) \rightarrow X$ " $\not\models$

The whole inductive character of our enterprise breaks down. Rmk: $\not\models$ comes from considering the negative form and this is how you come up with these encodings in general.

2. Open case:

We'll move on less respectable last time

$\text{HT}\Delta(M)$ iff ?

Considering $\not\models$ will tell us what we need. By IH, we'll know:

1) $\text{HT}_{A\Delta}(M)$

$\begin{cases} 2) \text{ if } \text{HN}_A^\Delta(N) \text{ then } \text{HN}_C^\Delta([N/x]P) \\ 3) \text{ if } \text{HN}_B^\Delta(N) \text{ then } \text{HN}_C^\Delta([N/x]Q) \end{cases}$

semantic of judgment is by substituting
Horn normalizing terms
 $P, x : A \rightarrow P : C$

These are wrong, b/c they do not respect the fundamental property of maps, namely, that they be invariant under allocation.

So insert

So switch

2) if $\forall \Delta \geq \Delta$, $HN_A^\Delta(N)$ then $HN_C^\Delta([N/x]P)$

TS : $HN_C^\Delta(\text{case})$.

Think of how the proof may go:
Proceed by case analysis on

1) $M \rightarrow M'$: head reduction/head exp.

2) $M \rightsquigarrow$, e.g., b/c \tilde{M} so a var

3)

So:

Proceed by case analysis on $\tilde{M} \rightsquigarrow^* N \in S$:

1) $N = 1 \cdot N'$: case $\rightarrow [N'/x]\tilde{P}$, and we want this to be HN_C^Δ . But then we want N' to be HN_C^Δ .

And then you get what you want by head exp

2) $N = 2 \cdot N'$: symmetric.

3) $N = E\{z\}$: Then case $E\{z\} \{ 1 \cdot x \rightsquigarrow P / z \cdot x \rightsquigarrow Q \}$

Set $E' = \text{case } E \{ \quad \}$
Then apply the workhorse lemma and done.

$HN_{A+B}^\Delta(M)$ iff

- 1) M normal and
- 2) if $M \rightsquigarrow 1 \cdot N$, then $HN_A^\Delta(N)$, and
- 3) if $M \rightsquigarrow 2 \cdot N$, then $HN_B^\Delta(N)$.

Rmk: Now we're in a perfect position
to be able to do positive products
let \leftrightarrow be M in N
let \rightarrow be M in N by

Natural Numbers : Gödel's T

$$A ::= \text{nat} \mid A_1 \rightarrow A_2$$

$$M ::= \text{zero} \mid \text{succ}(M)$$

$$\text{rec}_A(P; x.Q)(M)$$

See PFPL for details
"primitive recursive".

N.B. Clash of terminology
over primitive recursive.
You can define Ackerman
There, but Wikipedia
will also tell you Ackerman
is not PR.

$$F + M : \text{nat} \quad P : \text{P.i.C} \quad P.M.C + Q : \text{C}$$

$$F + \text{rec}_C(P, x.Q)(M) : C$$

$$\text{"}\forall X. X \rightarrow (X \rightarrow X) \rightarrow X\text{"}.$$

1. If $M : A$, then M term_p (if you want, you
can restrict
 A to "ans" of
answer values)
2. If $P + M : A$, then M mon_p.

We're going to show a stronger prop
than HN.

If $P + M : A$ and $H\Gamma_P(\gamma)$, then $H\Gamma_A(\gamma(M))$.

$HT_{\text{nat}}(M) \uparrow ?$ This should imply
 M term_p.

We're talking about positive types,
so you do the obvious thing,

(29)

$\text{HT}_{\text{nat}}(M)$ iff either $M \rightarrow^* \text{zero}$
or $M \rightarrow^* \text{succ}(N)$ and
 $\text{HT}_{\text{nat}}(N)$.

Remark: The definition is circular and not all circular defns make sense, so you need to be careful.

You have "vertical" HT inductions defined on structure of A.

This is a "horizontal" one at the level of nat.

(?) Define $\text{HT}_{\text{nat}}(-)$ to be the strongest P on nat s.t. if $M \rightarrow^* \text{zero}$, then $P(M)$
if $M \rightarrow^* \text{succ}(N)$, then $P(M) \text{ and } P(N)$

Alternatively, you could say

$$\text{HT}_{\text{nat}} = \bigcup_{i \geq 0} F^{(i)}(\emptyset),$$

where $\text{HT}_{\text{nat}}^{(0)}$ never, and $\text{HT}_{\text{nat}}^{(k+1)}(M)$ undefined in terms of $\text{HT}_{\text{nat}}^{(k)}$...

At the end of the day, you need to accept some version of the above. It's a psychological matter, and depending on how you've been "trained", you'll find a diff formulation acceptable.

You can't say you believe in set theory but not nats!

In the rule \star you're going to need to carry the M out and write some abuse of the form: reformulation

$$\Gamma + P : C \quad \Gamma, x : C \vdash Q : C$$

$$P, q : \text{nat} \vdash \text{rec}_C(P; x : Q)(q) : C$$

(30)

The point is that that case is proven by the horiz inductive principle, and you're applying it to $\text{succ}(W)$.

The P of \dagger is

$$P(N) \text{ iff } \text{HTC}(\text{rec}(W)).$$

Remark: \dagger is not mathematical induction! You're reasoning about a program's computation!

If you accept \dagger , then you're accepting the consistency of PA.

Part 2: Do it all over again for open terms.

$\text{HT HN}_{\text{nat}}(M)$ iff M normal and

- and
- 1) if $M \xrightarrow{*}$ zero then (true)
 - 2) if $M \xrightarrow{*} \text{succ}(N)$, then $\text{HN}_{\text{nat}}(N)$.

The story so far:

- products
- sums
- functions
- inductive types (coinductive types)

We understand these as

- 1) computation (CS / PL)
- 2) calculation (algebra).

"You want to be with the
Nick Benton's of the world
Obviously one of my favourite
computer scientists"
— RWH

Next

1. Equality!
 2. Richer type structure
 - a. polymorphism
 - b. dependent types
 - c. cubical type theory.
- : ;

} need to talk about
equality before you can
talk about
here.

Let's talk about:

Equality

Formal Type Theory

"definitional equality" (forget that definitional
has any meaning)

Introduce a judgment

$$\Gamma \vdash M \equiv M' : A$$

Inductively def'd
by rules, e.g.,
recursive.

\equiv is refl, trans, symm, congruency, \rightarrow wellfounded
 β -principles, \sim .

All of the issues of dep TT can be found
encoded in disputes over \equiv .

This is where you exceed computation
in FTT, except that it's not directed!

$$\Gamma \vdash M \equiv M' : A \rightarrow B$$

$$\Gamma \vdash N \equiv N' : A$$

$$\Gamma \vdash MN \equiv M'N' : B$$

$$\frac{\Gamma, n:A \vdash M:B \quad \Gamma \vdash N:A}{\Gamma \vdash (\lambda x:A.M)N \equiv (\lambda x:A.B)M : B}$$

$$\frac{\Gamma, n:A \vdash M:B \quad \Gamma \vdash N:A}{\Gamma \vdash (\lambda x:A.M)N \equiv (\lambda x:A.B)M : B}$$

You get these horrid issues like

$$\text{In-nat. } \lambda y:\text{nat. } \pi y \not\equiv \lambda y:\text{nat. } \pi y + z$$

(32)

$0 + x \equiv x$ b/c you may have
but $x + 0 \not\equiv x$ defined & forced on first & 2nd

Computational TT

"exact equality" - terminology by Carsten A
and RWH.

(A type)

widely not n.e.

$M \in A$

$M = M' \in A$

not even arithmetic!

$\{ \begin{array}{l} M \neq V \\ HV_A(V) \end{array} \}$

" $M = m$ are exactly equal as
elems of type A^m .

Recall examples from first
lecture.

Maps respect exact equality!

$x : A \Rightarrow P : P' \in B$

means

if $M = M' \in A$ then

$(M/x)P = (M'/x)P' \in B.$

} functionality
it

Open terms are functions of their
variables.

Then the fundamental theorem tells us

FTR If $\Gamma \vdash M = M' : A$, then $\Gamma \Rightarrow M = M' \in A$.

So far, we've considered

$$\underbrace{\text{nat} \quad \text{bool}}_{\text{inductive}}, \quad \underbrace{0 \quad +}_{\text{pos}} \quad \underbrace{1 \quad \times \quad \rightarrow}_{\text{neg}}$$

(won't do coinductive types → neg).

Today: polymorphism: $\lambda^2\mathcal{F}$ — second order quant.

This is type / ^{or} type quantification.
 $\forall X. A, \exists X. A$
 ↪ visible types

We'll begin by a formal definition:

$$\Gamma, x:A \vdash x:A$$

$$\begin{array}{c} A ::= X \mid ans \\ \text{ans} \end{array}$$

$$A_1 \rightarrow A_2 \mid \forall X. A$$

$$\Gamma \vdash t : ans$$

$$\Gamma \vdash b : ans$$

$$\frac{\Gamma, x:A_1, M_2:A_2 \vdash M_1:A_1}{\Gamma \vdash \lambda x:A_1. M_2:A_2 \rightarrow A_1}$$

$$\frac{\Gamma \vdash M:A_1 \rightarrow A_2 \quad \Gamma \vdash M_1:A_1}{\Gamma \vdash MM_1 : A_2}$$

$$\frac{\Gamma, X:M : A \vdash M : A}{\Gamma \vdash \lambda X. M : \forall X. A}$$

$$\frac{\Gamma \vdash M : \forall X. A \quad \Gamma \vdash B \text{ type}}{\Gamma \vdash M[B] : CB \mid X \mid A}$$

It's a bit hokey to mix term variables and type variables, so it's not uncommon to split contexts.

$$\begin{array}{l} \text{type vars: } \Theta; \Gamma \vdash M : A \\ \text{fd vars: } \text{finite set of } \Gamma \xrightarrow{\Theta} \text{var. } A \end{array}$$

Erasure: So far we've written

$M : A$ implies M terms.

But really, we mean

(#) $M : A$ implies $|M| \text{ terms}$,

because the notion of computation
doesn't care about types!

Similarly, we really mean

$\Gamma \vdash M : A$ and $H_{T_p}(\gamma)$ imply
 $H_{T_p}(\gamma \cup |M|)$

Idea: the semantics (i.e. H_{T_p}) is
defined on erased terms!

In our polymorphic setting, there
are two possible approaches.

(I) ...

$|[\lambda x. M]|^{\sharp} = |M|^{\sharp}$ "full erasure" / "type assignment"
 $|M[A]|^{\sharp} = |M|^{\sharp}$ common in the Italian school -
and was used in ML, but has problems
Unsoundness in ML polymorphism due to turning value $|\lambda x. M|$ to nominal $|M|$

(II) ...

$|\lambda x. M|^{\sharp\sharp} = \lambda_. |M|^{\sharp}$ - delays
 $|M[A]|^{\sharp\sharp} = |M|^{\sharp\sharp} (\downarrow)$ - activates

Our goal is to show (#). It doesn't really
matter which erasure style you use, but
RwTT likes II, so we'll do that. $|M| = |M|^{\sharp\sharp}$

Rite of Passage for any PL person:

a) Try to prove (#) and fail

b) Find your own proof - there are many.

What makes the proof difficult is that
you need to think in 2nd order

logic, and all of math is done
in $\text{FOL}^{\text{order}}$ math. And Gödel's
Incompleteness Theorem tells us that you cannot do the
proof in FOL .

Diversions attempt

Key: Generalize to $\text{HT}_A(M)$ and use
Tait's method. So, something like:

$\text{HT}_{\text{ans}}(M) \text{ iff } M \rightarrow^* \downarrow \text{ or } \top$

(mug) $\text{HT}_{A_1 \rightarrow A_2}(M) \text{ iff } \text{HT}_{A_1}(M_1) \supset \text{HT}_{A_2}(M(M_1))$.

But what do you do w/ $\forall X A$? or X ?

Perhaps on a first cut stick to closed types.

$\text{HT}_{\forall X A}(M) \text{ iff } \left(\begin{array}{l} \text{\forall closed B,} \\ \text{$\text{HT}_{[B/X]A}(M[B])$} \end{array} \right) \begin{array}{l} \text{"generics".} \\ \text{\Rightarrow you don't} \\ \text{know what you're} \\ \text{doing.} \end{array}$

Why does RWH dismiss this?

Rmk: you don't need HT_x in this case.

Rmk: Be careful: this is supposed to be
defined on erased terms, in which case
you wouldn't write $M[B]$, but $M(\downarrow)$.

Does not work! The issue is that it
is not inductive! $[B/X]A$ can be
larger than $\forall X. A$! e.g.

$$\forall X. X \rightarrow X \rightsquigarrow (\forall X. X \rightarrow X) \rightarrow (\forall X. X \rightarrow X),$$

Your next failed move: use a different
measure of size!
"Never gonna work".

Metamathematical reasons make it so. But
remark you can do something very

similar for dependent type and succeed!

Another idea: Try to "factor out" the substitution: use

$$HT_A(M) \quad [S : \Theta] \quad \text{"A" is more arbitrary than } \Theta$$

[open A: $\Theta \vdash A$ -type
closing w.r.t. $S : \Theta$

$$HT_X(M) [S : \Theta] \text{ iff } HT_{S(X)}(M)$$

$$HT_{\forall X. A}(M) [S : \Theta] \text{ iff for all closed } B,$$

$$HT_A(M(\downarrow)) [S[X \mapsto B]; \Theta, X]$$

still ill-defined!

Try: Define HT on the skeleton of the type!

$$HT_A(M) [S : \Theta] \quad \underbrace{\eta}_{\text{so together}}$$

idea: $\eta^S(X)$ is the interp of X as given by S .
— predicate

$$HT_X(M) [S[X \mapsto A], \underbrace{\eta(X \mapsto T)}_{\eta} : \Theta] \text{ iff } T(M) \quad (\text{closed on } M)$$

— want $\eta^S(X)(M)$

$$HT_{\forall X. A}(M) \text{ iff for all closed } B \quad \text{"standard interp of } B^n$$

$$HT_A(M(\downarrow)) [S[X \mapsto B], X \mapsto HT_B(-)[S, \eta]]$$

Remark: This is only a refactoring of the previous def'n! Except for the technical formulation.

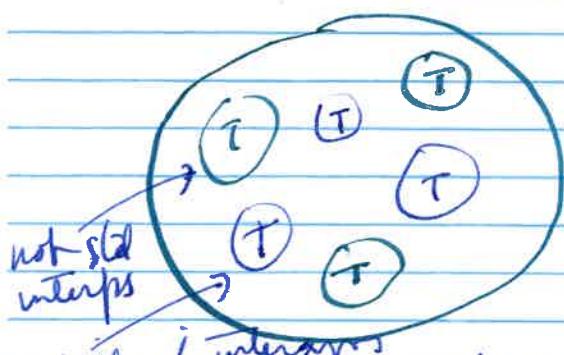
Rmk: The A is getting smaller! (37)

This "standard interp" is what will hang you because you could have $B = A$ and you would be stuck.

What to do?

Type Candidates!

aka, admit non-standard interps of types.



"possible types".

You need to accept second-order comprehension — quantification over all types — to accept this proof. And the proof is equivalent to consistency of FOArith., which by Gödel can't be done in FOL. So you need something else, and SOC is this something else.

$HT_X(M) \in S, \eta] \iff \eta(X) / M]$

$HT_{\forall X}(M) [S, \eta] \iff$ for all closed B

possible types T

$$HT_A(M(\psi)) [S[X \mapsto B], \eta[X \mapsto T]] .$$

Remark: We're not ~~forgetting~~ mentioning HT on the right! This is what saves us. HT is one of the meatballs in the soup, but we don't name it!

Also: how can it be that you demand polymorphic types ~~satisfy~~ properties that aren't even expressible in the language?

What are type Candidates?

What made it possible to do the proof of the FTR in the case of HT?

[What makes the proof work?]

• defn of $HT_{A_1 \rightarrow A_2} = HT_{A_1} \rightarrow HT_{A_2}$

$HT_{A_1 \times A_2} = HT_{A_1} \times HT_{A_2}$

• head expansion!

if $T(M')$ and $M \rightarrow M'$, then $T(M)$.

So: possible type are sets of closed terms
(erased terms) that are closed under head expansion.

2018-02-08

Girard's Method, aka Candidates

We want to define HT_A for system F.
What to do about $HT_{X \rightarrow Y}$?

Crucial idea: demand more of your programs than is evidently required, admitting NON-STANDARD TYPES / TYPE CANDIDATES.

Use 2nd order comprehension - "sets of sets".

And allowing these inexpressible types is crucial for leveraging the full power of polymorphism, otherwise you end up with the impoverished notion of "generics".

They are not just a technical trick!

$HT_A(M)[\delta, \eta; \Theta]$ [η is a candidate assignment,]
[the semantics of XG]

$$\left\{ \begin{array}{l}
 HT_X(M)[\eta] \text{ iff } \eta(X)(M) \\
 HT_{\text{ans}}(M)[\eta] \text{ iff } M, N \rightsquigarrow \downarrow \text{ or } \top \\
 HT_{A_1 \rightarrow A_2}[\eta] \\
 HT_{A_1, A_2}(M[\eta]) \text{ iff } HT_{A_1}(M_1)[\eta] \text{ implies } \\
 \qquad \qquad \qquad HT_{A_2}(M(M_1))[\eta] \quad \oplus \\
 HT_{\forall x.B}(M)[\eta] \text{ iff } \text{for all } A^{\text{closed}} \text{ type candidates} \\
 \text{for all } \delta, \text{ for all } T, \\
 \qquad \qquad \qquad HT_B(M(\delta))[\delta[x \mapsto A], \eta[x \mapsto T]].
 \end{array} \right.$$

Cannot restrict attention to standard types! (cannot insist $T = HT_A(-)[\delta; \eta]$.)

What is a type candidate? It depends on what you want to prove.

1. Closed under head expansion: this is key to pushing the proof of HT through.
2. Depending on your goal, you may or may not need: if T is a type cand (t.c.) and $T(M)$, then M term^r.
3. If you had, e.g., recursion, then you need the admissibility of fixed point induction, see PFPL for details.

Remark: \oplus and \oplus are formulated negatively which will impact what you can get out of the theorem below. To get a props-as-types interp, you need a positive form, and you can push this through.

The FTLR becomes more technical.

~~FTLR~~
~~iff~~

Rmk: If you want $HT_A(M)[\phi, \phi]$ implies "M term^r" for all M , then you need to use the pos formulation of \oplus . Otherwise, we restrict $A = \text{ans}$.

FTLR

key: interpret this as a map

if $\Theta \vdash M : A$, and

$\delta : \Theta$ closed types

$\eta : \Theta$ candidate assignment } double-ups
idea

$HT_A(\gamma)[\delta, \eta]$

then

$HT_A(\hat{\gamma}[\delta(M)])[\delta, \eta]$.

Rank: $|\hat{\gamma}(M)| = |M|$! So we use

$HT_A(\hat{\gamma}(M))$

as our conclusion instead.

"There are those who teach, and those who are powerpoint" - RWH,
on the use of chalk.

Lemma (Compositionality)

We want to relate $HT_A(M)[\delta, \eta]$ and
 $HT_B(M)[\delta[X \mapsto A], \eta[X \mapsto HT_A(-)[\delta, \eta]]]$. Claim:

$HT_{A \times B}(M)[\delta, \eta]$ iff $HT_B(M)[\delta[X \mapsto A], \eta[X \mapsto HT_A(-)[\delta, \eta]]]$.

If: By induction on the structure of B . \square .

Pf of FTLR:

Lemma: For all A, δ, η , $HT_A(-)[\delta, \eta]$ is closed under head-exp. Pf: as above. \square

Case 1) $\Theta, X; \Gamma \vdash M : B$
 $\Theta; \Gamma \vdash \lambda X M : \forall X. B$

Fix $\delta : \Theta, \eta : \Theta, HT_A(\gamma)[\delta, \eta]$.
Want: ~~HT_A(λX.M)~~

WTS: $HT_{\forall X. B}(\hat{\gamma}(\lambda _. |M|))[\delta, \eta]$.

Rank: $\hat{\gamma}(\lambda _. |M|) = \lambda _. \hat{\gamma}(M)$.

Fix a closed A , candidate T .

SIS: $HT_B((\lambda _. \hat{\gamma}(M))(_) [\delta[X \mapsto A], \eta[X \mapsto T]])$.

by lemma: SYS: $HT_B(\hat{\gamma}(M))[\delta', \eta']$.

By the IH, $HT_B(\hat{\gamma}(M))[\delta', \eta']$. \checkmark

41

2) $\Theta; \Gamma \vdash M : \forall X. B$ $\Theta \vdash A \text{ type}$
 $\Theta; \Gamma \vdash M[A] : [A/X]B$

Fix δ, η, γ . WTS: $HT_{[A/X]B}(\hat{\gamma}[M[A]])(\delta, \eta)$
 $(\hat{\gamma}[M])(\delta)$

By compositionality: STS:

$HT_B((\hat{\gamma}[M])(\delta))[\delta[X \mapsto A], \eta[X \mapsto HT_A(-)(\delta, \eta)]]$

need to show
this is a candidate.

By KC III,

$HT_{\forall X. B}(\hat{\gamma}[M])(\delta, \eta)$

$\therefore HT_B((\hat{\gamma}[M])(\delta))[\delta, \eta]$, and we're done \checkmark \square

Cor: $HT_{\text{ans}}(M)[\phi, \psi]$ implies M term

(To get more than just ans, use por dafuq and t.c. = h.e. + term).

The critical point that makes this injon in arithmetic is the set of sets point. This termination proof can be used to show the consistency of 2nd order arith. The third-order aspect in the proof is when we said "for all type candidates".

Plan going forward:

- wb poly 1. Equality: formal / semantic / structural
- 2. Can do parametricity / data abstr by binding the foregoing arguments

3. Props-as-types \rightarrow dep types
4. Dep types, PCF
5 HDTT

~~~~~

Data abstraction program view

| signature | reference                                | candidate                             |
|-----------|------------------------------------------|---------------------------------------|
| type t    | type t = A,<br>val f : t $\rightarrow$ t | type t = A,<br>val f : M <sub>1</sub> |
| val e : t | val e : N <sub>1</sub>                   | val e : N <sub>2</sub>                |

$\exists t. (t \rightarrow t) \vee t$

$M_1, t \rightarrow t \vdash M_2 \quad (t = Q)$   
 $N_1, t \vdash N_2 \quad (\text{else})$

$\Delta \forall u. (\forall t. (t \rightarrow t) \rightarrow t \rightarrow u) \rightarrow u$

Client is polymorphic and the polymorphism  
ensures the implementation respects  
the relation relating the ref & local  
implementations.

$\Rightarrow$  Client can't tell the implementations  
apart

$\Rightarrow$  impl/repr independence

~~~~~

Back to simple types: ans, \rightarrow

I could throw in 0, 1, x, +, not if you wanted

1. Formal/structural \leftrightarrow
inductively defined / i.e.,

$\Gamma \vdash M : A$ interpreted as a mapping
over open terms.

(43)

Variables are indeterminates, calculation is "poly'ts", open terms
 This is a proof theory, a means of accessing the \vdash truth.

- has no notion of programs
- the calculation done via $\Gamma \vdash M \sqsubseteq N : A$ where $P \vdash M, N : A$
 \sqsubseteq is the equality given by Gentzen's inversion principle: "cancels intro".

Semantic / behavioral TT.

$\Gamma \gg M : A$, i.e., if $\vdash M : A$, then $\vdash M : \hat{A}(\hat{\tau}(M))$.

These two are related by FTLR.

This TT is a truth theory about programs

→ Start w/ programs, types are specs.

Defn of $\vdash M \sqsubseteq N : A$

$$\frac{\Gamma \vdash M : A}{\Gamma \vdash M \sqsubseteq N : A} \quad \frac{\Gamma \vdash M \sqsubseteq N : A}{\Gamma \vdash N \sqsubseteq M : A} \quad \frac{\Gamma \vdash M \sqsubseteq N : A \quad P \vdash N \not\vdash P : A}{\Gamma \vdash M \not\vdash P : A} \quad \begin{cases} \text{equiv} \\ \text{prime} \end{cases}$$

$$(\exists) \frac{\Gamma \vdash A \vdash M \sqsubseteq N : B}{\Gamma \vdash \exists A. M \sqsubseteq \exists A. N : A \rightarrow B}$$

$$\frac{\Gamma \vdash M \sqsubseteq M' : A \rightarrow B \quad \Gamma \vdash N \sqsubseteq N' : A}{\Gamma \vdash M N \sqsubseteq M' N' : B}$$

$$\frac{\Gamma, x : A \vdash M \vdash B \quad \Gamma \vdash A : A}{\Gamma \vdash (\lambda x : A. M)(A) \in \Gamma \vdash M : B} \quad \begin{cases} \text{univ} \end{cases}$$

Notice: Very strict! And no hypothetical reasoning.

(Suppose you also had the rules for nots.)

Then you can define double & plus.

But

$\lambda x. \text{nat. double}(x)$

\neq

$\lambda x. \text{nat. plus}(x, x)$,

beware, not
equality!

even though $\text{double}(m) = \text{plus}(m, m)$
for any m you want.
Similarly:

$\lambda x. \text{nat. plus}(x, 0) \equiv \lambda x. \text{nat. } x$

but $\lambda x. \text{nat. plus}(0, x) \neq \lambda x. \text{nat. } x$.

2018-02-15

Equality, heading to dependency.

Last time: in formal type theory, we have
def'l equivalence

$$\Gamma \vdash M \equiv N : A$$

axiomatized as the least congruence containing
 β rules. (Please) Methodologically, intended
to be decidable (never efficiently so, as Hatman
showed). An issue is, of, Shannon expansion.

In behavioural/semantic type theory, we have
a full-throated notion of equality.

Motivation "Binarize logical relations"

FTR def $\Gamma \vdash M : A$, then $\Gamma \Rightarrow |M| \in A$, where
 $\Gamma \Rightarrow |M| \in A$ means $\forall \gamma, \text{HT}_A(\gamma) \text{ implies } \text{HT}_A(\gamma(M))$.

Want at a min: if $\Gamma \vdash M \equiv N : A$, then $\Gamma \Rightarrow |M| = |N| \in A$
"exact equality"

Also want a characterization of exact equality in terms of program behaviour.

Notation:

$$\begin{array}{l} M \in A \\ \Gamma \Rightarrow M \in A \\ M \doteq N \in A \end{array}$$

means $HT_A(M)$

means $\forall T : \Gamma. HT_P(T) \text{ implies } HT_A(\tau(M))$.

means $EQ_A(M, N)$

idea: "binary HT"

means $M \doteq M' \in A$.

Then define $M \in A$

\doteq will be shown to be a PER

$$\Gamma \Rightarrow M \doteq M' \in A$$

means extensional / functional

maps (open terms) are functions.

Explicitly, if $T \doteq T' \in \Gamma$, then $\tilde{T}(M) \doteq \tilde{T}'(M') \in A$

e.g., $x:A \Rightarrow M \in B$ defines a function that respects exact equality in $x:A$.

if $P \doteq Q \in A$, then $[P/x]M \doteq [Q/x]M \in B$.

Let's go through each of the judgments again:

long induction

aside
induction

$$M \doteq M' \in A \text{ iff }$$

either $M, M' \Downarrow \top$ or $M, M' \Downarrow \perp$.

$$M \doteq M' \in \text{nat}$$

either $M, M' \Downarrow 0$, or $M \Downarrow s(N)$, $M' \Downarrow s(N')$

$$M \doteq M' \in A_1 \rightarrow A_2 \text{ iff }$$

and $N \doteq N' \in \text{nat}$

$M \Downarrow \lambda x.M_1, M' \Downarrow \lambda x.M'_1$, and if $M_i \doteq M'_i \in A_i$, then $[M_i/x]M_{2i} \doteq [M'_i/x]M'_{2i} \in A_2$.

Fact 1) $M \doteq M' \in A$ implies $\gamma_1 \doteq M \in A$.

2) $M \doteq M' \doteq M'' \in A$ implies $M \doteq M'' \in A$.

Pf: By induction on A . (the determinism of reduction in 2) $\vdash A_1 \rightarrow A_2$)

Aside parametricity = semantic equivalence for polymorphic types.

$M \doteq M' \in A$ iff Girard's Method / Reynolds's Method

Funny: you can't show directly show transitivity of parametricity.

The reason is: when you use Girard's method, you're no longer working with equivalence relns. You're working in heterogeneous relns, they're then simulation relns. You have to show the equivalence of candidates & reference impls to clients. If you understand this, you understand data abstraction, and nothing else is data abstraction.

Fact (head expansion):

if $M \equiv M' \in A$ and ~~for some x: nat~~ $N \mapsto^* M$ and
 $N' \mapsto^* M'$, then $N \equiv N' \in A$.

Mm: Definitional equivalence suffices for exact equality.

Pf: By induction on the derivation.

eg) $\frac{\Gamma + M : A}{\Gamma + M \equiv M : A}$ FTLR+ if $\Gamma \gg M \in A$
then $\Gamma \gg M \equiv M \in A$.

eg) $\frac{\Gamma + M \equiv M' : A}{\Gamma + M' \equiv M : A}$ $\Gamma \gg M \equiv M' \in A$
 $\therefore \Gamma \gg M' \equiv M \in A$ (?) We haven't proven this!

Lemma: If $\Gamma \gg M \equiv M' \in A$, then $\Gamma \gg M' \equiv M \in A$.

Pf: Use $\gamma \equiv \gamma' \in \Gamma$. Because γ, γ' are closed,
know $\gamma \equiv \gamma' \in \Gamma$. WTS: $\hat{\gamma}(M) \equiv \hat{\gamma}'(M) \in A$.

By assumption, $\hat{\gamma}M \equiv \hat{\gamma}'M' \in A$, so by sym, \square

eg) $\frac{\Gamma, x:A, t: M_2 : A_2 \quad \Gamma + M_1 : A_1}{\Gamma + (\lambda x : A_1. M_2) M_1 \equiv [M_1/x] M_2 : A_2}$

Suppose $\gamma \equiv \gamma' \in \Gamma$. TS: $(\lambda x : A. \hat{\gamma}(M_2))(\hat{\gamma}(M_1)) \equiv$
 $[\hat{\gamma}'(M_1)/x] \hat{\gamma}'(M_2) \in A_2$.

① FTLR₂: $\hat{\gamma}(M_1) \equiv \hat{\gamma}'(M_1) \in A_1$,

FTLR₃: if $N \equiv N' \in A_1$, then $[N/x] \hat{\gamma}(M_2) \equiv [N'/x] \hat{\gamma}'(M_2) \in A_2$.
Instantiate N and N' w/ ① and use head-exp.

eg) $\frac{\Gamma + M \equiv M' : A_1 \rightarrow A_2 \quad \Gamma + M_1 \equiv M'_1 : A_1}{\Gamma + M(M_1) \equiv M'(M'_1) : A_2}$

Suppose $\gamma \equiv \gamma' \in \Gamma$. TS: $\hat{\gamma}(M)(\hat{\gamma}(M_1)) \equiv \hat{\gamma}'(M')(\hat{\gamma}'(M'_1)) \in A_2$.

Use: Lemma: If $M \equiv M' \in A_1 \rightarrow A_2$ and $M_1 \equiv M'_1 \in A_1$,
then $M(M_1) \equiv M'(M'_1) \in A_2$.

Pf: Use head exp. \square

Fact Assume $x : \text{nat} \gg M, M' \in A$. WTS when

$\Rightarrow x : \text{nat} \gg M \equiv M' \in A$. Claim:

STS: 1) $[0/x] M \equiv [0/x] M' \in A$

2) if ~~for all~~ for all $N, N' \in \text{nat}$, if $N \equiv N' \in \text{nat}$ and

$[N/x] M \equiv [N'/x] M' \in A$, then

$[s(N)/x] M \equiv [s(N')/x] M' \in A$.

Right is pretty sure the above is right,
but check it.

You might need to change 1) to
 1) if $N \ll_0$ and $N' \ll_0$, then
 $[N/x]M = [N'/x]M' \in A$
 and update 2) as in a similar manner.

Remark: We aren't using mathematical induction, but rather the inductive structure of the type nat.

Remark: 1) and 2) look a lot like the functionality statement, so we can use pseudo-notatio and say:

$$[y/x]M = [y/x]M \in A \quad y:\text{nat} \quad [s(y)/x]M = [s(y)/x]M' \in A$$

↓ functionally / generically in y

We can use this as a way static. What does this actually mean? That a certain fake hypothetical judgment holds:

$$y:\text{nat}, " [y/x]M = [y/x]M' \in A" \Rightarrow [s(y)/x]M = [s(y)/x]M' \in A$$

You can get this if you treat equations as types and have realizers for them.

$$y:\text{nat}, z: \text{Eq}_A([y/x]M, [y/x]M') \Rightarrow \exists z \in \text{Eq}_A([s(y)/x]M, \dots)$$

Remark Eq_A is a type to terms in it. This is where B_λ dependent type come in.

Will make these hand-wavy intuitions precise next week.

Last time

1. Equational reasoning in formal and semantic type theory

$$\text{eg) } M = N \in \text{Nat} \rightarrow P(M, N)$$

STS) a) if $M, N \in \mathbb{N}$, then $P(M, N)$

b) if $M, N \in s(M'), s(N')$ and $P(M', N')$, then $P(M, N)$

2. broached topic of proofs-as-types principle, heading towards dependent type

Modes of reasoning are very similar to the ~~historical~~ modes of reasoning used to define \rightarrow type construction

proofs-as-types aka proofs-as-programs

unification of logic and types

Two key-players:

- 1. Brower - developed a theory of truth } Church
based on computation
- 2. Hilbert - ~~developed~~ a theory of formal proof } Gentzen

van Heijenoort
From Frege to Gödel

semantics vs. syntax
truth proof.
derived from the axiomatics
incisive understanding consistent?
of algorithms

What does it mean for $M \rightarrow A \supset B$ to be true? ~~These~~ Brower: there is an effective procedure that takes evidence for A to evidence for B.

Hilbert gave an inductive by definition
 $M : A$. The idea is that M is a
formal derivation that of A true.

$M : A$ is by definition / defn meaningless.
 $M \in A$ is by def'n meaningful.

RWH: formal proof is at most a
sufficient condition for truth.

Formal proof (in logic)

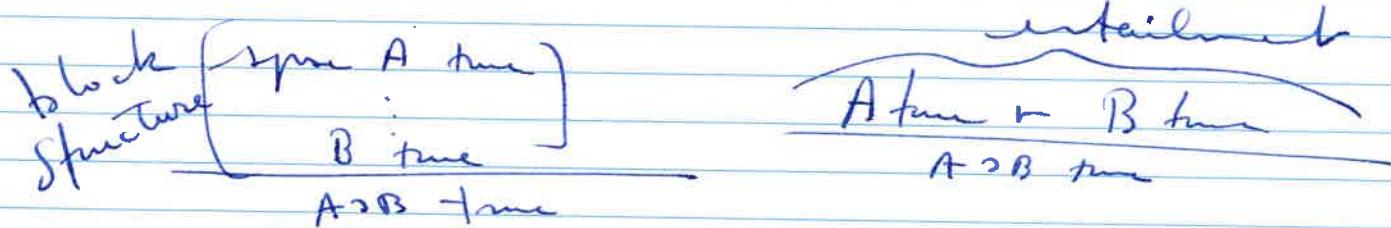
1. Hilbert-type systems (combinators)
2. Gentzen-type System (1-terms)

Hilbert system - emphasis on unconditional truth.

$$\begin{aligned} A \supset A & \text{ true} \\ A \supset (B \supset A) & \text{ true} \\ (A \supset B \supset C) \supset (A \supset B) \supset (A \supset C) & + \text{MP.} \end{aligned}$$

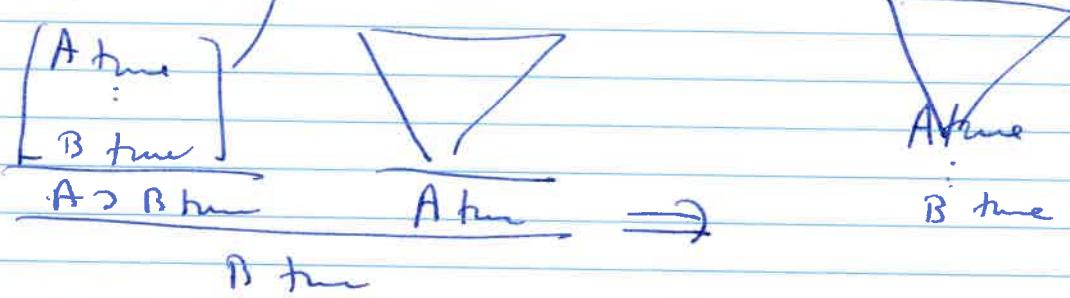
Gentzen-type system

Based on entailment
and hypothetical reasoning
Uses natural deduction



Proof simplification

Gentzen: You have a rule



When are two proofs the same?
When are two algs the same?

Proof simplification is based on substitution

Church: λ -calculus
Based on substitution

Formal concept b/w λ -calc + pfs.

Ans. Should be renamed to
Church-Gentzen Correspondence.

This is a meaningless concept, and only
of historical relevance.

Formal correspond.

1. Pre-existing logics correspond to typing systems for λ -terms
2. w/ Gentzen's input induced a notion of provability equivalence

Today: both formal logic & type
systems are given by defining

$\frac{P \vdash M : A}{J \text{ justified entailment is formal.}}$
 $\frac{P \vdash M : N : A}{\text{is equivalent justifications.}}$

Until Gentzen, there was no notion of equality, and so the correspondences didn't even line up properly. Plus, what propedeus "was" corresponds to?

The "Curry-Howard Isomorphism" is a meaningless correspondences between a meaningless thing & something of interest. Only of historical note.

Brouwerian conception

Theory of truth, not formal proof based on proof which is algorithmic, a human dialog.

<u>P.m-t</u>	Judgments	$M \in A$	M is a proof of A
	Semantic quality	$M \models N \in A$	evidence for constituency understanding of truth of A .

Meaning of $M \in A$ (and why we care so much abt termination):

- 1) M evaluates to a value (can. form)
- 2) that value obeys the specification given by A .

the notion of truth depends on termination!

The meaning of $M \models N \in A$ is also based on running code/computation.

Semantic Correspondences (Props-as-types)

T	\top	\perp	Nat ↓ \bar{A} (partially) ↓ ?
\perp	0		
$A \rightarrow B$	$A \rightarrow B$		
$A \wedge B$	$A \times B$		
$A \vee B$	$A + B$		
$\neg A = A \Rightarrow \perp$	$A \Rightarrow 0$	(A cont)	

There are many types that have nothing to do in logic and \top comes first.

E.g. \bar{A} is partial ~~form~~ and hence its ^{inhalts} usual not terminable. ~~so~~ No corresp to truth.

Infamous issue

$$A \vee \perp$$

$$A + (A \rightarrow 0)$$

(for any A)

A is either true or false
provable refutable
and you know which it is.

2 things that were missed but in call

1. Weaker disjunction: $\neg\neg(A \vee \perp)$
~~or~~ $\neg(\neg A \wedge \neg\neg A)$.

You can recover everything from Classical L,
it just depends which version of \vee
you actually meant.

2. You can postulate an oracle

$$\mathcal{O}_o \in A_o \vdash (A_o \rightarrow \perp)$$

You can't run these proofs, but you
can't run the classical proofs either.

Type/Inf is no more restrictive than CL.

Point: Too much is made of the formal corresp. The real one is the semantic corresp.

Formal Dependent Type Theory

Terminology: often called "ITT" (Intensional Type Theory)

This is a formal type theory — inductively defined.

Its key idea is using type-indexed families of types. From a prop-as-type perspective, these capture predicates/relations.

In particular: $I_A(M, N)$, where $M, N \in A$
 $\text{Vec}(M)$ where $M : \text{nat}$

Today: "core" ITT with Σ, Π, Id_A .

Syntactic judgments look like those we had before.

$$\begin{array}{l} \Gamma \vdash M : A \\ \Gamma \vdash M \equiv M' : A \end{array}$$

$$\begin{array}{ll} \Gamma \vdash A \text{ type} & \Gamma \text{ ctxt} \\ \Gamma \vdash A = A' & \Gamma \equiv \Gamma' \end{array}$$

Warning: the details are delicate and will be ignored. See Martin Hofmann's ^{survey} paper for these.

Structural Properties (axiomatically)

(LR) The key point is that "a" can appear ~~anywhere~~ in the type in Γ . The contexts are ordered.

Remark that A is a type ~~in~~ in the ctxt Γ , and it remains a type when we weaken with Γ' .

$$\frac{\Gamma \vdash A \text{ type} \quad \Gamma \vdash B \text{ type} \quad (W)}{\Gamma, a : A \text{ type} \vdash B \text{ type}}$$

"B type" could be replaced by any atomic judgment \bot

"order doesn't matter (P) \equiv is R, S, T
unless it does" and compat is constructors

$$\frac{\Gamma, a:A, q:b:A, \Gamma' \vdash J}{\Gamma, z:A, [z,z]^{a \rightarrow b} \Gamma' \vdash [z,z]^{a,b} J} \quad (\text{C})$$

$$\Gamma \vdash M:A \quad \frac{\Gamma, a:A, \Gamma' \vdash J}{\Gamma[M/a]\Gamma' \vdash [M/a]J} \quad (\text{S})$$

(Trans / Cut)

Variables are treated structurally,
instead of substructurally.

(Aside: In Church's original λ -calculus,
there was no weakening, so you couldn't
write $\lambda x. \lambda y. x$)

(At Pitt in the 1960s, Nuel Belnap and
his students developed relevance logic
as an early investigation into substructural
logic, where you could use a variable
as many times as you wanted, as long as
you used it at least once.)

↓ Invariance

$$\frac{\Gamma \vdash M:A \quad \Gamma \vdash A \equiv A'}{\Gamma \vdash M: \underset{M=M'}{\cancel{A \equiv A'}}}$$

What should $A \equiv A'$ be? It could be
empty, but choosing a "good" \equiv ~~before~~
drives everything. It makes no difference
in the simply-typed setting, but
in the dependently-typed setting
it really matters and is induced
by term equivalence.

Booleans

Surprise! Hard to capture.

$\Gamma \text{ ctxt}$
 $\Gamma + \text{Bool type}$

$\Gamma + \text{tt} : \text{Bool}$

$\Gamma + \text{ff} : \text{Bool}$

Want to write:

$\Gamma \vdash M : \text{Bool}$ $\Gamma \vdash P : A$ $\Gamma \vdash Q : A$
 $\Gamma \vdash \text{if } (M; P; Q) = P : A$

$\left\{ \begin{array}{l} \Gamma \vdash \text{if } (\text{tt}; P; Q) = P : A \\ \Gamma \vdash \text{if } \text{ff} = Q \end{array} \right.$

P.S. 3 Do we want the Shannon expansion? (No)

The reason this is insufficient is that we
1) normally want to be able to read the type
of a term. But \hookrightarrow B/c of decidability,
 $\text{if } (M; P; Q)$

Doesn't have a clear type: You could
read

$\Gamma \vdash P : A$ and $\Gamma \vdash Q : A'$
and then what do you do?
So we add a type annotation

$\text{if}_A (M; P; Q)$.

2) Dependency. Normally want an open world
and not have to export one const from
others.

Suppose, indeed, that you have

(56)

$$\frac{\Gamma \vdash a : \text{Bool} \quad \Gamma \vdash P :: A \quad \Gamma \vdash Q :: A}{\Gamma \vdash \text{if}_A (a : P; Q) :: A}$$

Then P and A and Q could depend on A . So you want to generalize the type of the condition a and propagate upstairs.

$$\frac{\Gamma \vdash M :: \text{Bool} \quad \Gamma \vdash P :: [\text{Ett}/a] A \quad \Gamma \vdash Q :: [\text{ff}/a] A}{\Gamma \vdash \text{if}_a A (M; P; Q) :: [M/a] A}$$

What are meaningful examples of $a : A$? Well, you might want to write something of the form

$$\text{if}_a ? (M; 17; "17") : \underbrace{\text{if}(CM, \text{Nat}, \text{Str})}_{???$$

What is this? You need a distinction between terms and types, so you instead use a "Large Elim"

$$\text{if}_a ? : \text{If}(CM, \text{Nat}, \text{Str})$$

This problem is not restricted to Booleans, but rather all positive types. Types is a mapping our property.

- 3) "Large elim": mapping into type-
- 4) The issue w/ the Shannon exp is: it's the universal property for the Booleans

But you can't get this in the formal setting (57)

Π, Σ

There's an issue as to whether you treat Σ as pos or neg. Well treat Σ negatively.

Before: $\frac{\Gamma \vdash A \text{ type} \quad \Gamma \vdash B \text{ type}}{\Gamma \vdash A \times B \text{ type}}$

Now:

$$\frac{\Gamma \vdash A \text{ type} \quad \Gamma, a : A + B \text{ type}}{\Gamma \vdash a : A \rightarrow B \text{ type} \quad \underbrace{a : A \times B \text{ type}}_{\text{MuPRL's syntax}} \quad (\Pi x : A. B) \quad (\Sigma x : A. B)}$$

$$\frac{\Gamma \vdash A \text{ type} \quad \Gamma, a : A + B \text{ type} \quad \Gamma, a. A \vdash M. B}{\Gamma \vdash \lambda a : A. M : a : A \rightarrow B}$$

$$\frac{\Gamma \vdash M. a : A \rightarrow B \quad \Gamma \vdash N : A}{\Gamma \vdash M(N) : [N/a] B}$$

$$\dots - - -$$

$$\Gamma \vdash (\lambda a : A. M) N \equiv [N/a] M : [N/a] B$$

Won't be able to write $\langle M, N \rangle$, what you'll really mean is something like

pair (M, N)
 $A \times B$

But lazy, so:

$\Gamma \vdash A$ type

$\frac{\Gamma \vdash M : A}{\Gamma \vdash M : A}$

$\Gamma, a : A \vdash B$ type

$\Gamma \vdash N : [M/a]B$

$\Gamma \vdash \langle M, N \rangle : a : A \times B$

Negative formulation of Σ :

$\Gamma \vdash A$ type

$\Gamma, a : A \vdash B$ type

$\Gamma \vdash M : a : A \vee B$

$\left\{ \begin{array}{l} \Gamma \vdash \text{fst}(M) : A \\ \Gamma \vdash \text{snd}(M) : [\text{frst}(M)/a] B \end{array} \right\}$

$\left\{ \begin{array}{l} \Gamma \vdash \text{fst}(M) : A \\ \Gamma \vdash \text{snd}(M) : [\text{frst}(M)/a] B \end{array} \right\}$

$\left\{ \begin{array}{l} \Gamma \vdash \text{fth}(\text{pair}_{A \times B}(M, N)) = M : A \\ \Gamma \vdash \text{nd}(\text{pair}_{A \times B}(M, N)) = N : [M/a]B \end{array} \right.$

Identity Types

Only now do we know what's really going on, though this has been a vexed question for ages.

Idea: internalize "equality".

1. Defl equivalence is defined for typed terms, but is not type dependent.

2. RWH forgot "DK"

3. "judgmental concepts precede type concepts".

Just like you have the judgmental notion of map

$$\Gamma, a : A \vdash M : B$$

which you internalize as

$$\Gamma \vdash \lambda a : A. M : a : A \rightarrow B,$$

you want to do the same for equality.

$$\frac{\Gamma \vdash A \text{ type} \quad \Gamma \vdash M, N : A}{\Gamma \vdash \text{Id}_A(M, N) \text{ type}}$$

What is $\text{Id}_A(M, N)$? It's the least reflexive relation. This isn't what you think it is though and has been the cause of much consternation.

$$\frac{\Gamma \vdash A \text{ type} \quad \Gamma \vdash M : A}{\Gamma \vdash \text{refl}_A(M) : \underbrace{\text{Id}_A(M, M)}_{\text{family of types}}} \quad (\text{I})$$

$\text{Id}_A(M, N)$ is a family of types over $A \times A$.

For particular choices of M, N , it might not be populated.

This type is a ~~subsingleton~~ at most _{1 elem}. We use this terminology instead of saying "0 or 1 inhabitant" because we don't necessarily know which

The leastness is given by
 a mapping out property Cannor
McBrade's
/ Tamiy
for the
induction

$$\Gamma, a:A, b:A, c:\text{Id}_A(a,b) \vdash C \text{ type} \quad \text{"the motive"} \\ \Gamma \vdash P : \text{Id}_A(M,N)$$

$$\Gamma, a:A \vdash Q : [a.a.\text{refl}_A(a)/a.b.c] C$$

$$\Gamma \vdash f_{a,b,c,C} (a.Q)(P) : [M,N,P/a.b,c] C$$

$$\text{fam}_{a,c} (a.Q) (\text{refl}_A(M)) \equiv [M/a] Q \\ \therefore [M.M.\text{refl}_A(M)/a.b.c] C.$$

What rel'm is it?

$$P : \text{Id}_A(M,N) \text{ iff what?}$$

Fact

1) Symmetric:

$$a : \text{Id}_A(M,N) \xrightarrow{\text{sym}_{A,M,N}(a)} \text{Id}_N(N,M)$$

2) Transitive:

$$a : \text{Id}_A(M,N), b : \text{Id}_A(N,P) \vdash \frac{}{a.b} : \text{Id}_A(M,P)$$

$$\text{trans}_{A,M,N,P}(a,b)$$

Exercise: Find sym + trans.

Trans: Use Yoneda.

Ex: Show that the following
 "equalities"

$$\text{Id}_{\frac{\text{Id}_A(M, N)}{A}} (\text{sym}(\text{refl}(M)), \cancel{\text{refl}(M)})$$

$$\text{Id}_{\frac{\text{Id}(M, N)}{A}} (\text{true}(\text{refl}(M), u), u)$$

Groupoid laws.

2018-02-27

Notation is cumbersome, so we write

$\underline{- =_A -}$ for the type $\text{Id}_A(-, -)$

$=_A$ is an equivalence relation

1. Reflexivity

$\Gamma, a : A \vdash a =_A a \text{ true}$
 witness: $\text{refl}_A(a)$.

2. Symmetry:

$\Gamma, a : A, b : A, p : a =_A b \text{ true} \vdash b =_A a \text{ true}.$
 witness:

$\text{sym}(a(b))(p) : b =_A a$

often abbreviated as p^{-1}

$\rightarrow J[a, b, \dots, b =_A a](a, \underbrace{\text{refl}_A(a)}, p) :$

$: b =_A a = C[a, b, p].$

Note: $\text{sym}(a)(a)(\text{refl}_A(a)) = \text{refl}_A(a)$
 is a consequence of the exact term used
 to define symmetry.

(62)

3. Transitivity:

$a, b, c : A, p : a =_A b, q : b =_A c \vdash a =_A c \text{ true}$

Witness: $\text{trans}(a)(b)(c)(p)(q) :$

Notation: $\frac{p \cdot q}{(g \circ p)}$

Auxiliary:

$a, b, c : A, p : a =_A b \vdash (c : A \rightarrow (b =_A c \rightarrow a =_A c)) \text{ true}$
(like point version of Yoneda)

$$\int [a, b, \dots, c : A \rightarrow (b =_A c \rightarrow a =_A c)] \underbrace{(a, \exists c : A, \text{id}_{a =_A c} ; p)}_{\text{ex. } x} \vdash c : A \rightarrow (b =_A c \rightarrow a =_A c)$$

Then apply this to c and g .

Note: $\text{trans}(b)(g)(c)(\cancel{\text{refl}_A(b)})(q) = g$.

$\text{trans}(a)(b)(b)(p)(\cancel{\text{refl}_A(b)}) \neq p$

Summary

$\text{refl}_A(M)$ aka $\text{id}(M)$
 $\frac{P}{P \cdot g}$ symmetry
 trans

$$(\text{id}(M))^{-1} \equiv \text{id}(M)$$

$$\text{id}(M) \cdot g = g$$

Tantalizing thought: This looks like group structure.

1. Respect for identity, aka transport,
aka Leibniz's Principle of indiscernibility of identities

transport

$$\frac{\Gamma \vdash P : M =_A N}{\Gamma, a : A \vdash B \text{ type} \quad \frac{}{\vdash \text{tr}[a.B](P) : B[M] \rightarrow B[N]}}$$

Definable from \mathcal{T} such that

$$\text{tr}[a.B](\text{refl}_A(M)) = R$$

(You need to think about these things:
though it behaves like identity,
whether or not it actually equals. If
identity depends on how you write
the code)

$$\begin{aligned} \text{tr}[a.B](P) &\stackrel{\Delta}{=} \underbrace{\mathcal{T}[a, b, - : B[a] \rightarrow B[b]]}_{: C[M, N, P] \equiv B[M] \rightarrow B[N]}(a, \text{id}_{B[a]}; P) \\ &\quad : B[a] \rightarrow B[a] \end{aligned}$$

Because of the way you wrote the code. You
get

$$\text{tr}[a.B](\text{refl}_A(M)) = \text{refl}_P(M),$$

but this is purely coincidental

∴ "Id is a notion of equality".

Or is it?

Consider the following:

X $b : \text{Bool} \vdash \text{if_Bool}(b; \text{tt}; \text{ff}) =_{\text{Bool}} b$ - type

Need a term of the stated "equality"
type. (Can show this)

But it is not the case that

$$\vdash \lambda b : \text{Bool}. \text{if_Bool}(b; \text{tt}; \text{ff}) =_{\text{Bool} \rightarrow \text{Bool}} \lambda b : \text{Bool}. b \text{ true}$$

Why? M-L's Mn (approx 1977):

$$M =_A N \text{ true iff } M \equiv N : A.$$

And you can show that the two functions are not definitionally equal.

This is weird because then $A \rightarrow B$ is not a function type (like you learned in school).

So if you try to mechanize mathematics using Id as your notion of equality then you are screwed.

(+) Proof is by induction on b.

$$\text{if } (\lambda a. \text{if}_{\neg\text{-Bool}}^{(a, \text{tt}, \text{ff})} =_{\text{Bool}} a) \quad (b; \text{refl}_{\text{Bool}}^{(\text{tt})}; \text{refl}_{\text{Bool}}^{(\text{ff})})$$

$$\therefore \text{if}_{\neg\text{-Bool}}^{(b; \text{tt}; \text{ff})} =_{\text{Bool}} b. \quad \square$$

Terminology: You do not have function extensionality
 $\text{intensional} \triangleq \text{not (extensional)}$.

What to do?

0) be happy: Id is internalized definition equality

1) But: I want $\text{Bool} \rightarrow \text{Bool}$ to be a type of functions (Boor you! The secret to happiness is not to want too much).

a) Go straight to setoid hell.

Setoid = type + equiv reln
maps = respect equivalence.

Then take

where $(\text{Bool} \rightarrow \text{Bool}) / E$

$E(F, G)$ iff $\exists n: \text{Bool} \vdash F(n) =_{\text{Bool}} G(n)$.

Laborious.

b) Cheat: I_{TT}.

Postulate equality reflection

$$\frac{\Gamma \vdash P : \text{Id}_A(M, N)}{\Gamma \vdash M = N : A} (\text{ER})$$

and uniqueness of identity proofs.

$$\frac{\Gamma \vdash P, Q : \text{Id}_A(M, N)}{\Gamma \vdash P = Q : \text{Id}_A(M, N)} (\text{UIP})$$

$$\Gamma \vdash P = Q : \text{Id}_A(M, N)$$

Perfectly valid on closed terms,
except type checking \neq proof checking,

which blows the entire dome of I_{TT} out of the water.

c) reuse: add new elements of $\text{Id}_A(-, -)$.

$$i) \text{FUNEXT}_{A, B} : \prod_{F, G : A \rightarrow B} (\prod_{a : A} F(a) =_B G(a)) \rightarrow \text{Id}_{A \rightarrow B}(F, G).$$

Adding this constant/axiom breaks M-L's Mn.

observation What else is wrong? What's the comput. content? What is

$$(07) \quad J[-](-; \text{FUNEXT}_{A, B}(F, G, P)) \stackrel{?}{=} ???$$

→ ad-hoc answer to this.

(65)

(HOTT)

ii) Univalence Axiom (Voevodsky) (later).

"Bing is " some new term UA

$\text{Equiv}(A, B, E) \rightarrow \text{Id}_U(A, B)$

"isomorphism"

universe

You still have the exact same problem.

What would^{be} a comp. interp of I_{TT} be?

Informally, want to run closed terms
 ② in few vars.

1. Type - types are irrelevant at run-time

↳ Extraction - recovering a "raw program" from a derivation

2. Deterministic operational semantics,
 head reduction

Notice: Transport is a no-op at run-time
 → All the identity hacking amounts to nothing

Key issue with DTT/HOTT is that this idea of computational content does not work.

Let's examine the computational content of I_{TT}.

"Computational Meaning Explanation" - FTLR.

Recall

1. We gave a semantics in terms of computation for \vdash , which did not have dep type.

- If $\Gamma \vdash M : A$, then $\Gamma \Rightarrow M \in A$
- Tait's, Girard's, and Reynolds' methods.

N.B.: Starts with programs. Extraction is fundamental.

How do we generalize this to $\overbrace{\text{dependent types}}$?

Crucial idea: Types are programs.

They run and yield programs specifications. (67)

Eg: if $(M, 17, "17") \in$ if (M, Nat, Sta) .

You need to give up the phase distinction.

Consequently, the meaning explanation must generalize substantially for running types as with programs.

We must generalize the meanings as follows.

$\Gamma \vdash A$ type implies $\Gamma \triangleright A$ type

Where $\Gamma \triangleright A$ type means at least that A is well-behaved as a program. By abuse of notation, " $HT_{Type}(A)$ ". Also

$\Gamma \vdash A \equiv B$ type implies $\Gamma \triangleright A \doteq B$ type.

" $EQ_{Type}(A, B)$ ".

Computational Dep Type Theory.

Given an op sem for closed erased terms

$A, M \Downarrow V$

$A, M \mapsto^* V$

V val } deterministic

Define the ff semantic judgments:

A type "I know that A is a type"

$A = A'$ "I know that A and A' are equal type"

$M \models A$ "Given that I know A type, I know that M exhibits the behaviour specified by A / satisfies A "

$M \doteq M' \in A$ "... M and M' equisatisfy A "

A type means $A \Downarrow V$ and V is/names a specification (of behaviour)

The literature says " V is a canonical type", where "canonical type" should be read as a noun "canonical-type", rather than as adj+noun. adj+noun i.e., a special ~~to~~ sort of "type".

eg) Bool is a specification (see below)
 $\text{Bool} \rightarrow \text{Bool}$
if ($M, \text{Nat}, \text{String}$) evaluates to a spec.

$A \doteq A'$ means $A \Downarrow V, A' \Downarrow V'$, V and V' are equivalent/ equal specs.

$M \doteq M'$ where $A \Downarrow V$ spec
 $M \Downarrow W$ and W satisfies V
" W is a Canonical element of V "
eg) th sats Bool }
ff sats Bool }
(nothing else) } Bool is inductive

$M \doteq M' \in A$ where $A \Downarrow V$ spec
 $M \Downarrow W, M' \Downarrow W'$, W and W' equivalently satisfy V .

(Subsumes def'l equivalence)

Eg: $\text{id} = \begin{cases} \text{abs} & \in \text{Nat} \rightarrow \text{Nat} \\ \text{id} \neq \text{abs} & \in \mathbb{N} \rightarrow \mathbb{N} \end{cases}$

All of this can be consolidated as

: $A \doteq A'$ equal specs
: $M \doteq M' \in A$ equally sat by specs.

Will be sym + trans, and therefore refl on their field
(PBOs)

- V and V' are equal specs.
- V and V' eq. sat W

If: $\langle M, N \rangle \in A \times B$ (values)
iff $M \in A$ and $N \in B$ (non-values)

There are categorical judgments (stated w/o any conditions), not to be confused w categorical judgments.

We need hypothetical judgments
(in M-L: hypothetico-general)

→ Semantics of variables:
range over elements of their type!
(values?)

(Choice of elements vs values in CBN vs CBU)

1) $\bullet \gg J$ means J .

2) Given that A type,

A) $a : A \gg B$ type means
(first, ^{abst} insufficient)

if $M \in A$ then $[M/a]B$ type

Want need more, because types are defined
by PERs, and you need to ensure this
is repeated: $M \in A$ does not pick out a canonical
expr for the equiv class, it's just a piece of code.

So you need:

if $M = M' \in A$, then $[M/a]B = [M'/a]B$ type

And what you really mean, seeing that you're
working w/ PERs, is that

~~This is a type~~ $a : A \gg B = B'$ type means

if $M = M' \in A$ then $[M/a]B = [M'/a]B'$ type.

B) $a : A \gg N = N' \in B$

(given that $a : A \gg B = B'$)

if $M = M' \in A$ then

$[M/a]N = [M'/a]N' \in [M/a]B (= [M/a]B')$

"FUNCTIONALITY" maps/open terms are
functional (i.e. resp equality) in their
free vars.

This generalizes in an inductive manner

$a_1 : A_1, a_2 : A_2(a_1), \dots, a_n : A_n(a_1, \dots, a_{n-1})$ ctx.

$a_1 : A_1, \dots, a_n : A_n \gg A \models A'$
 $M \models M' \in A$

"iterated functionality" (CMCP)

→ Check that the formal structural properties are validated as hypothetical

$P, a : A, \Gamma' \gg N \in B$ $\Gamma \gg M \in A$

$P[M/a] \Gamma' \gg [M/a] N \in [M/a] B$.

You'll discover that all of the properties of hypothetical judgements are true: we have more than just entailment, you're given a semantics of variables.

e.g.) Bool as a type

1. Bool and Bool are equal types.
2. tt and ff equally satisfy Bool
ff and ff ~~and~~
nothing else.

V and V' e.g. sat Bool iff
either

$$\begin{array}{ll} V = V' = \text{tt} & (\text{syntactically equal}) \\ \text{or } V = V' = \text{ff}. & \end{array}$$

Fact This is not a definition! ~~It is a fact:~~

If $b : \text{Bool} \gg A = A$ (unitive)

$$M \models M \in \text{Bool}$$

$$N \models N \in [\text{tt/b}] A$$

$$P \models P \in [\text{ff/b}] A,$$

then if $(M; N; P) \models \wp(M', N', P') \in [M/b] A$.

We will see a proof next time.

(7/1)

Recall

hypothetical (general) judgments define what is a mapping — functionality.

$$x_1 : A_1, \dots, x_n : A_n \vdash A \doteq A'$$

$$M \doteq M' \in A$$

$n=0$: categorical

$n+1$: consider

$x_1 : A_1, \dots, x_n : A_n \vdash A$ is type
define

$$x_1 : A_1, \dots, x_{n+1} : A_{n+1} \vdash \begin{cases} A \doteq A' \\ M \doteq M' \in A \end{cases}$$

Consider all pairs of instances
if $M_i \doteq M'_i \in A_i$,

$$M_1 \doteq M'_1 \in [M_i/x_i] A_2,$$

...

$$\text{then } [M_i/x_i]_{i=1}^{n+1} A \doteq [M'_i/x_i]_{i=1}^{n+1} A'$$

$$\text{————— } M \doteq M' \in [M_i/x_i]_{i=1}^{n+1} A.$$

Check for categorical, and then hyp judgments

- 1. symmetry } (check)
- 2. transitivity } (check)

Use the "P&R trick" if $M \doteq M' \in A$ then $M' \doteq M \in A$.

the $M = M$ case.

Check structural properties of hypotheticals
corr to logical entailment.

- 1) $\Gamma, x : A, P \vdash x \in A$ (reflexivity)
- 2) If $\Gamma \vdash J$ and $P \vdash A$ type, then $\Gamma, n : A \vdash J$ (weakening)
- 3) If $\Gamma, x : A, P \vdash J$ and $P \doteq M \in A$, then
 $\Gamma \vdash [M/x] P \vdash [M/x] J$.

Once the computational framework is set up,
we can see how logic is embedded.

1. inhabitation

$$\boxed{\Gamma, A \text{ true}, P \vdash A \text{ true}}$$

etc

2. plus compose introduce / impose concept (72)

of (exact) equality of proofs.

↳ Dependent on the props!

This is the semantic props-as-types principle.

Next

Populate the theory w/ types.

1. $\text{Bool} \doteq \text{Bool}$.

Def'n: Least type containing `tl`, `ff`.
→ properties of conditional are derived from this definition.

2. $\prod x:A.B$, aka $x:A \rightarrow B$

$\Sigma x:A.B$, aka $x:A \times B$.

Key: the elim forms are theorems/facts.

→ function equality is extensional (wrt \doteq)

$\lambda(x.M) \doteq \lambda(x.M') \in x:A \rightarrow B$ } equality of
iff $\underline{x:A \vdash M \doteq M' \in B}$ } behaviour
closed values.
Respect for equality

Equality types

Internalize judgmental equality

$$\vdash \left\{ \begin{array}{l} \mathbb{E}_{\mathcal{B}_A}(M, N) \doteq \mathbb{E}_{\mathcal{B}_A}(M', N') \\ \text{if} \\ A \doteq A', M \doteq M' \in A \\ N \doteq N' \in A \end{array} \right.$$

$$\text{Defn} \quad \boxed{\text{Id}}^{\text{defn}} \quad * \doteq * \in \mathbb{E}_{\mathcal{B}_A}(M, N) \text{ iff } M \doteq N \in A$$

Could write "refl" instead of " Id ", and $\text{Id}(M) = \text{refl}$.

(73)

Note: $\text{refl} \in \text{Eq}_{\text{nat}}(\lambda a. 2x_a, \lambda a. a+a)$

WTS: That the computational interp is a valid interp of the formal theory:

- 1) If $\Gamma \vdash M : A$ then $|\Gamma| \gg |M| \in |A|$
- 2) If $\Gamma \vdash M \equiv M' : A$, then $|\Gamma| \gg |M| \doteq |M'| \in |A|$
- 3) If $\Gamma \vdash A \text{ type}$, then $|\Gamma| \gg |A| \doteq |A'|$
- 4) If $\Gamma \vdash A = A'$, then $|\Gamma| \gg |A| \doteq |A'|$

proof theory

truth theory

Crucial Part

$$|\text{Id}_A(M, N)| \triangleq \text{Eq}_{|A|}(|M|, |N|)$$

$$|\text{refl}_A(M)| \triangleq \text{refl}$$

$$|\text{J}[a,b,c.C](a,Q,p)| \triangleq [|M|/a] [Q] .$$

where $P : \text{Id}_A(M, N)$

↳ literally does nothing.

$$\underbrace{|\Gamma, a:A, b:A, c: \text{Id}_A(b,c)|}_{|\Gamma|, a:|A|, b:|A|, c: \text{Eq}_{|A|}(a,b)} \gg |B| \text{ type}$$

$$|\Gamma|, a:|A|, b:|A|, c: \text{Eq}_{|A|}(a,b)$$

$$|\Gamma| \gg |\text{P}| \in |\text{Id}_A(M, N)| = \text{Eq}_{|A|}(|M|, |N|)$$

$$|\Gamma| \gg |M| \doteq |N| \in |A|$$

$$|\Gamma|, a:|A| \gg |Q| \in |\text{B}[a, e, \text{refl}_A(a)]| =$$

$= |B|[\text{can}, \text{refl}]$

Then $|\Gamma| \gg \underbrace{[|M|/a] [Q]}_{\text{defn } |\text{J} \dots|} \in \underbrace{|\text{B}[|M|, |N|, |\text{P}|]|}_{|\text{B}[M, N, P]|}$

Why have we defined anything at all?

Bool

Need to know:

$a:A \rightarrow B$

(A type, $a:A \rightarrow B$ type)

$a:A \times B$

"Prior to

$\{g_A(M, N)\}$

$a:A \rightarrow B$

$[M/a] B$

as many such instances

Principles of definition of these?

→ Predicativity: "structural" induction.
You need to know what came prior

→ "local def'n's" such as Bool.

2018-03-07

What exactly justifies the construction of a type system?

Recall: With simple types it's easy.

1. Define types $A ::= b / A_1 \rightarrow A_2 / \dots$

2. Define predicates by induction on the structure of $HT_{A_1 \rightarrow A_2} = HT_A \rightarrow HT_{A_1}$.

With dependency, it's stickier because types are tied up with elements.

e.g.) $Eg_A(M, N)$ type when A type
 $M, N \in \mathbb{N}$

and $P \in Eg_A(M, N)$ when $M \neq N \in \mathbb{N}$

With inductive definitions, another concern arises.

Recall Bool values are the least PER over tt, ff, s, t.

$$\begin{aligned} tt &= tt \in_0 \text{Bool} \\ ff &= ff \in_0 \text{Bool} \end{aligned}$$

} define values

(Then) Bool computations are

$M \doteq N \in \text{Bool}$ iff $M \Downarrow V, N \Downarrow W$ and $V \doteq W \in \text{Bool}$

Nat is inductively defined as follows

$\text{zero} \doteq \text{zero} \in_0 \text{Nat}$

$\text{succ}(M) \doteq \text{succ}(M') \in_0 \text{Nat}$ when $M \doteq M' \in \text{Nat}$

$M \doteq M' \in \text{Nat}$ iff $M \Downarrow V, M' \Downarrow V'; V \doteq V' \in \text{Nat}$

Not \in_0 !

75

Today we'll go after a more careful construction by Allen '87.

1. This should give us clarity going forward
2. We can "calibrate the metatheory": where does the construction take place? Deal w/ the infinite regress of justifications for the semantic model.
"ordinal analysis" \equiv calibrate using ordinals.

Let's make explicit the inductive construction that define computational dependent type theory.

→ We have fixed points for monotone operators, by the Knaster-Tarski Theorem:

Let L be a complete lattice:

1. a pre-order $\leq_L \subseteq L \times L$
2. all subsets have meets (gfb) and joins (lub):

if $X \subseteq L$, then $\bigwedge X \in L$ and $\bigvee X \in L$.

A plain lattice has binary meets and joins $x \wedge y$, $x \vee y$, and \top and \perp .

Then Tarki's Non says:

An order preserving function (monotone)
 $f: L \rightarrow L$ (L - complete lattice)
has a complete lattice of
fixed points. In particular,
 f has a least fixed point given
by $\bigwedge \{x \in L \mid f(x) \leq x\}$.

Concretely, L is often a powerset $\wp(A)$
ordered by inclusion, where meets
are \cap and joins are \cup .

When $F(X) \subseteq X$, we say " X is closed
under F ", or " X is F -closed".

We'll stratify our construction to leverage Knaster-Tarski into two levels: a Candidate type system, and then the real type system, which will be a well-behaved candidate in a sense to be explained.

O. We have a programming language to $M \rightarrow M$ and M Val as judgments.

1. Candidate type system

$$\tau(A, B, \varphi)$$

$$\varphi(v, w)$$

e.g.)

$$\tau(\text{Bool}, \text{Bool}, \beta)$$

$$\beta(\text{tt}, \text{t}), \beta(\text{ff}), \text{nothing else}$$

A, B — closed values
 v, w — values. "Value reln"

τ tells you when you have "equal types".

along to their equivalent terms

2. A Real type system is a well-behaved candidate in the following sense:

a) τ is functional:

if $\tau(A, B) \vdash \tau_f(A, B, \varphi)$ and $\tau(A, B, \varphi')$,
then $\varphi = \varphi'$.

In other words, you don't get to assign two different term relns to the same type.

b) PER-valued: if $\tau(A, B, \varphi)$, then φ is a value-PER (sym + trans)

c) type equality is a PER:

if $\tau(A, B, \varphi)$ then $\tau(B, A, \varphi)$

if $\tau(A, B, \varphi)$ and $\tau(B, C, \varphi)$, then $\tau(A, C, \varphi)$.

Remark: τ forms a complete lattice under inclusion.

3. Define a monotone operator on candidate type systems:

$$\Phi(\tau) \triangleq \text{BOOL}(\tau) \cup \text{EQ}(\tau) \cup \text{PI}(\tau) \cup \text{SIGMA}(\tau)$$

Show monotonicity.

$\therefore \tau_0 := \mu \mathbb{F}$ least fixed point

is the type system defined by \mathbb{D} .
Show τ_0 is a real type system.

4. Define truth relative to a real type system

$$\begin{array}{ll} \tau \vdash A \doteq B & \text{iff } \tau \downarrow(A, B, -) \\ \tau \vdash M \doteq N : A & \text{iff } \begin{array}{l} \tau \downarrow(M, N) \text{ when} \\ \tau \downarrow(A, A, \varphi) \end{array} \end{array}$$

where the liftings are defined as follows:

- if φ is a value relation,
then $\tau \downarrow(M, N)$ iff $M \Downarrow V, N \Downarrow W, \varphi(V, W)$
- if τ is a card. type syst.
then $\tau \downarrow(A, B, \varphi)$ iff $A \Downarrow V, B \Downarrow W, \tau(V, W, \varphi)$

5. Show that typical rules are true
wrt τ_0 , the initial type system

$$\begin{array}{l} \text{eg) if } \tau_0 \vdash M : A \rightarrow B \\ \text{and } \tau_0 \vdash N : A \\ \text{then } \tau_0 \vdash M(N) : B \end{array}$$

The $\rightarrow, x, (\pi, \Sigma)$ have their full universal properties.

Remark: You must accept Knaster-Tarski if you want to accept this construction. There are different constructions you could use that don't need K-T, but at the end of the day, you need to accept some form of inductive definition.

6. Make $\mathbb{D}(\tau)$ explicit:

- $\text{BOOL}(-)(\text{Bool}, \text{Bool}, \beta)$ as before
- ~~$\text{EQ}(\tau)(A, B)$~~

• $\text{EQ}(\mathcal{T})(A_0, A'_0, \varepsilon)$ iff (def'n)

1. $A_0 = \text{Eq}_A(M, N)$ val
2. $A'_0 = \text{Eq}_{A'}(M', N')$ val
3. $\exists \varphi \text{ s.t. } \mathcal{T}^\Psi(A, A', \varphi)$
4. $\varphi^*(M, M')$ and $\varphi^*(N, N')$
5. $\varepsilon(\text{refl}, \text{refl})$ iff $\varphi^*(M, N)$ iff $\varphi^*(M', N')$.

• $\text{PI}(\mathcal{T})(A_0, A'_0, g)$ iff (def'n)

1. $A_0 = x : A \rightarrow B_{A_0}$, $A'_0 = x : A' \rightarrow B_{A'_0}$
2. $\mathcal{T}^\Psi(A, A', \alpha)$ ($\exists \alpha$)
3. if $\alpha^*(M, M')$ then → Might need to require φ respects computation.
3. $\exists \Psi : (\text{Type} \times \text{Type} \rightarrow \text{ValRel}) \rightsquigarrow \mathcal{R}$.
for all M, M' , if $\alpha^*(M, M')$, then $\mathcal{T}^\Psi([M/x]B, [M'/x]B', \Psi(M, M'))$
then $\mathcal{T}^\Psi([M/x]B, [M'/x]B', \Psi(M, M'))$
4. $g(M, M')$ iff (def'n)
 - $M = \lambda a. N$, $M' = \lambda a. N'$
 - if $\alpha^*(P, P')$, then $\Psi(P, P')^{\Psi([P/x]N, [P'/x]N')}$.

• $\text{SIGMA}(\mathcal{T})$ is analogous.

Check: These are all monotone.

The gist / core idea is that " A and all $[M/x]B$ for $M \in A$ are prior to $x : A \xrightarrow{*} B$ "

The $[M/x]B$ are not substructures of $x : A \rightarrow B$ and $x : A \times B$. But they are prior and are never lost when you extend the type system by applying \mathbb{E} .

If you try to formalise this, you need to be able to handle inductively-defined families, to be able to grasp the above distinction.

Contrast

1. cf. $\forall X. A$. You cannot consider all $(B/X)A$ prior to $\forall X. A$! This is because B might be $\forall X. A$!

You can't add

$\text{POLY}/\text{ALL}(\mathcal{T})(A, B, \alpha)$

$$\text{ALL/POLY}(\kappa)(\forall x.A, \forall x.A', \dots \vdash T([B/x]A, [B/x]A'))$$

2. Cannot "expand" or "revise" the definition of any prior type by any monotone const.
Consider the (bad) λ :

$$X(\tau)(\text{Nat}, \text{Nat}, \eta')$$

where $\tau(\text{Nat}, \text{Nat}, \eta)$ and $\eta' \neq \eta$.

(and maybe $\eta \neq \eta'$?)

Because previous levels types may have depended on the def'n of Nat, and by changing Nat you may invalidate them.

Part spring break

2018-03-20

1.5 weeks ago, we saw pre-type systems
Recall we never define an $F(\tau)$ where $F(\tau)$ is defined if by a condition
"if $F(\tau)$ then $F(\tau)$ ". \rightarrow you loose monot.

Only ever of the form "if τ then $F(\tau)$ "

We defined

$$T_0 = \text{lfp } \Phi$$

(Renamed Φ to T today).

T_0 is a pre-type system by construction.

1) functionality.

(and)

TS: if $T_0(A, B, \varphi)$ then if $T_0(A, B, \varphi')$
then $\varphi = \varphi'$ ($\varphi(M, N) \text{ iff } \varphi'(M, N)$)

(Note, A, B, M, N are values we haven't lifted φ, T_0 .)

$\mathcal{T}(A, B, \varphi) \hat{=} \text{ if } \mathcal{I}_0(A, B, \varphi) \text{ then } \varphi = \varphi$.

STS: $\mathcal{I}(\tau) \subseteq \mathcal{T}$ "a pre-fixed point"

$\therefore \mathcal{I}_0 \subseteq \mathcal{T}$. } To show this, it is sufficient to consider each clause of \mathcal{I} .

Today: "Gödelian wheel" renamed to "Gödelian spiral".

Let's revisit formal type theory - I TT:

Inductively defined.

We showed that if $\Gamma \vdash M : A$, then $\underbrace{[\Gamma] \gg [M] / \mathcal{E} / A^{\dagger}}$
"erasure"/
computed

Called out that $\mathcal{I}_A(M, N)$ is not an adequate notion of equality, though it is a ^{partial} equivalence rel'n and has transport / provides indiscernability of identicals. But the empty rel'n also provides this. ~~But you also don't have a notion of function extensionality.~~
The problem is that \mathcal{I}_A is defined uniformly in A .

Indiscernability of identicals:

Idea: families respect identity predicates.

Weaker from: { if $B(M)$ true and $\mathcal{I}_A(M, N)$,
then $B(N)$. } predicative family prof of idents

$\Gamma, a : A \vdash B \text{ type}$ $\Gamma \vdash P : \mathcal{I}_A(M, N)$
 $\Gamma \vdash Q : [M/a] \vdash B$ prof of $[M/a] \vdash B$

$\Gamma \vdash \mathcal{J}[\dots](\dots; P)(Q) : [N/a] \vdash B$
Transport

$$\text{tr}[a.B](\text{refl}_A(M))(Q) \equiv Q : [M/a]B$$

B-rule / simplification

The trouble arises because, as the identity, it never does anything.

The idea is that P is evidence for the interchangeability of $M, N:A$. The family $a.B$ has an action on such identifications in its index element.

To be a valid family, you must build in the property that it respects this. But in IIT, it's not the family that knows this: it's built in to the system generically. And by $M-i:j$, now, the only identification is refl . So the whole setup breaks.

WTS

$\rightarrow \text{Id}_{\text{Nat}}(\text{zero}, \underbrace{\text{succ}(\text{zero})}_{\text{one}})$ true,

i.e., p: $\text{Id}_{\text{Nat}}(\text{zero}, \text{one}) \vdash M:\perp$ true,
where \perp is the nullary sum.

What could M be?

Suppose we had a $P \vdash M$.

$$[\text{zero}, \text{one}/a.b]P \equiv \perp$$

$$[M, M/a, b]P \equiv T$$

$$\frac{M:A \quad A \ni g}{m.g}$$

Take

$$J[a, b, -]P(a. \leftrightarrow, p) : (\text{yes}, \text{no}/a.b]P.$$

Use transport:

$$\begin{aligned} \text{tr}[a.P](p: \text{Id}_A(\text{zero}, \text{one}))(\leftrightarrow) &: \perp \\ : (\text{zero}/a)P &\rightarrow (\text{one}/a)P. \end{aligned}$$

$$\equiv$$

$$\equiv$$

$$\perp$$

where $[\text{one}(a)]P \equiv \perp$ and $[\text{zero}/a]P \equiv T$.
How do you define P ?

(Large elims:)

NATREC($T; \dots, \dots, \perp$)^(a)
_{Nat}

$a = \text{zero}$ gives T
 $a \neq \text{zero}$ gives \perp .

Unfortunately, there's no NATREC in ITT. And in fact, you can show that there is no such P in ITT, and you can't show $\neg \text{Id}_A(\text{zero}, \text{one})$, i.e., $\text{Id}_A(\text{zero}, \text{one})$ is consistent w/ ITT!

Two moves:

1. Add or allow "large elim"
2. use natrec ... but what is the type of this particular use? Add a "type of types", i.e., a universe U .

$$\frac{M : U}{M \text{ type}} \quad \frac{\text{PROJ } M = M : U}{M = M' : U}$$

But this causes more problems.
Cf. Russell's paradox.

$M : U$'s first type theory was inconsistent
b/c U had $U : U$.

2018-03-22

Last time

We wanted to show that
 $\neg \text{Id}_{\text{Nat}}(\text{zero}, \text{one})$ true
The crucial idea was that we had to define a type by induction on natural numbers:

$$\begin{cases} T(a_{\text{Nat}}) = \text{NATREC}(T; \dots, \dots, \perp) \\ T(\text{zero}) = T \\ T(\text{one}) = \perp \end{cases}$$

This indicates one thing left out by the formal system (Cf. Gödel).

But analogously, set theory provides no size for the set of reals. The difference is that is, set theory has no notion of truth. A formalism will always be incomplete, but at least in CT₄ we have a notion of truth that gives meaning/a basis upon which we can judge any new axioms we add.

Continuing from last time:

1. Add it explicitly, but saying b below should be a type is very dangerous:

$$\times \quad \frac{\Gamma \vdash A_0 \text{ type} \quad \Gamma, a : \text{Nat}, b : ? \vdash A_i \text{ type}}{\Gamma \vdash \text{NATREC}(A_0, a, b, A_i)(M) : \text{type}}$$

So instead, use

2. Universes: ("maximal") collections of types (or if you really want, of codes for types).

$$\frac{\Gamma \vdash A_0 : U \quad \Gamma, a : \text{Nat}, b : U \vdash A_i : U}{\Gamma \vdash \text{univer}(A_0, a, b, A_i)(M) : U} \quad \left. \begin{array}{l} \text{You compute} \\ \text{a type out} \\ \text{of a number} \\ \text{eliminating into} \\ \text{the "type of type".} \end{array} \right\}$$

well-defined given that we have U .

Remark: adding universes is a non-conservative extension: it gives rise to more inhabitants of types than you would have had otherwise.

If you want to use codes, you say elements of U are types ("El" decodes the code A into a type): (84)

$$\frac{\Gamma \vdash A : \mathcal{U}}{\Gamma \vdash A \text{ type}} \quad \text{El}(A)$$

$$\frac{\Gamma \vdash A \in B : \mathcal{U}}{\Gamma \vdash A : \mathcal{B}} \quad (\text{Elim})$$

So If you do this, you need syntax for the codes for your base types, e.g., $\text{El}(\text{nat}) = \text{Nat}$
 $\text{El}(\text{bool}) = \text{Bool}$, etc.

Continuing w/o codes:

$$\frac{\Gamma \vdash \text{Nat} : \mathcal{U}}{\begin{array}{l} \text{Bool} \\ \text{Void} \\ \text{Unit} \end{array}}$$

$$\frac{\Gamma \vdash A : \mathcal{U} \quad \Gamma \vdash B : \mathcal{U}}{\Gamma \vdash A + B : \mathcal{U}}$$

$$\frac{\Gamma \vdash A : \mathcal{U} \quad \Gamma, a : A \vdash B : \mathcal{U}}{\begin{array}{l} \Gamma \vdash \pi a : A . B : \mathcal{U} \\ \Sigma a : A . B : \mathcal{U} \end{array}}$$

$$\frac{\Gamma \vdash A : \mathcal{U} \quad \Gamma \vdash M, N : A}{\Gamma \vdash \text{cld}_A(M, N) : \mathcal{U}}$$

With universes, we now have the ability to compute types.

Note: You do not have $\mathcal{U} : \mathcal{U}$!

Instead, cld: use an infinite cumulative hierarchy of universes

$$\mathcal{U}_0 : \mathcal{U}_1 : \mathcal{U}_2 : \dots$$

$$\frac{\Gamma \vdash M : \mathcal{U}_i}{\Gamma \vdash M : \mathcal{U}_{i+1}} \quad \text{cumulatively}$$

Big question

Before, Id_A was defined uniformly in A . This was not very nice, because you don't have

1) $\text{Id}_{\text{A}, \text{B} = \text{Nat}}(f, g)$ iff $\Pi a : \text{Nat} (fa, ga)$ X

2) What is $\text{Id}_A(A, B)$? When trying to answer this, V. Voevodsky came up with some answers to 1).

(Remark: The entire question is illegitimate: just because you want Id to be "equality" doesn't make it so.)

One could take $\text{Id}_A(A, B)$ to be isomorphism:

$f: A \rightarrow B, g: B \rightarrow A, \tilde{\omega} g \circ f = \text{id}_A$

What are these " $=$ "?

But $(g \circ f)(a) = a$ is too fine, you can't really get this in general.

$\text{Id}_A(\tilde{\omega}(f(a)), a)$ is a bit better.

What V.V. came up with is, at a high level, isomorphism up to isomorphism.

Idea: explore the generic structure of identity types.

Previously:

$\text{refl}_A(M)$ $\text{Id}_A(M, M)$ $\bullet = \bullet$
 $\text{sym}_A(P): \text{Id}_A(N, M)$ if $P: \text{Id}_A(M, N)$

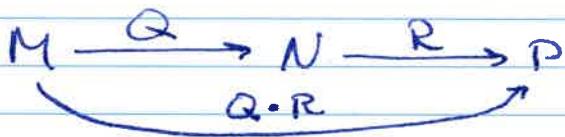
$$\begin{array}{c} M \xrightarrow{P} N \rightsquigarrow N \xleftarrow{P^{-1}} M \end{array}$$

$$\text{sym}_A(P) = \text{refl}_A(P) \equiv P^{-1}$$

$\text{trans}_A(Q, R) : \text{Id}_A(M, P)$

if $Q : \text{Id}_A(M, N)$

$R : \text{Id}_A(N, P)$



Concatenate the paths

$\text{cat}_A(Q, R) \quad Q \cdot R$

Properties $(\text{refl}_A(M))^{-1} = \text{refl}_A(M)$ ("inevitable")

Depending on the code for $\text{trans}_A(Q, R)$, we may have

$\text{trans}_A(Q, \text{refl}_A(N)) = Q$ right ind

Or we may not. It entirely depends on your implementation.

The problem with dependent type theory is that the code goes into the classifier, so modularity goes out the window: you depend on a particular implementation.

You may also have

$\text{trans}_A(\text{refl}_A(N), R) = R$. left ind

If you do double induction on the paths, then you only get

$\text{trans}_A(\text{refl}_A(M), \text{refl}_A(M)) = \text{refl}_A(M)$.

What do you get if you do

$P \cdot P^{-1}$ or $P^{-1} \cdot P$?

$\not\models \text{refl}_A(M)$

in general. How about

$P \cdot (Q \cdot R) ? (P \cdot Q) \cdot R$

$$(P^{-1})^{-1} \quad ? \quad P$$

$$\begin{array}{c} P \cdot \text{refl} \quad ? \quad P \\ \text{refl} \cdot P \quad ? \quad P \end{array}$$

These are called the groupoid laws.

The expectation is that these laws should hold for identifications. They do hold:

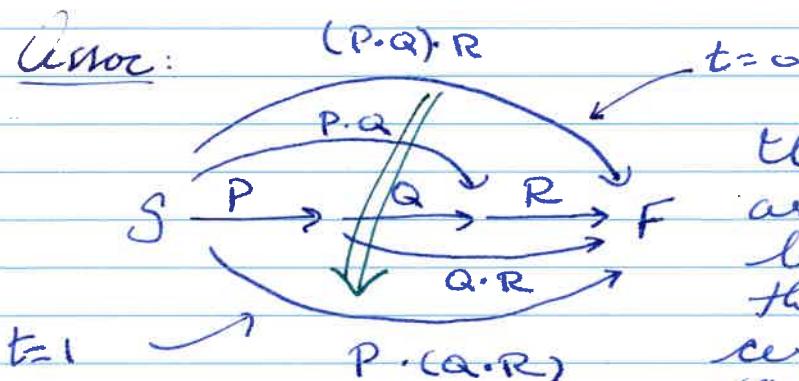
Suppose $P: \text{Id}_A(M, N)$ so that $P^{-1}: \text{Id}_A(N, M)$.

Claim: $\exists : \text{Id}_{\text{Id}_A(M, N)}(P \cdot P^{-1}, \text{refl}_A(M))$.

Exercise: use \mathcal{T} (Id-elim) on P .

The critical idea is this iterated identification: you have identification of identifications. (Yes, we know all closed instances of Id are refl .)

Issue:



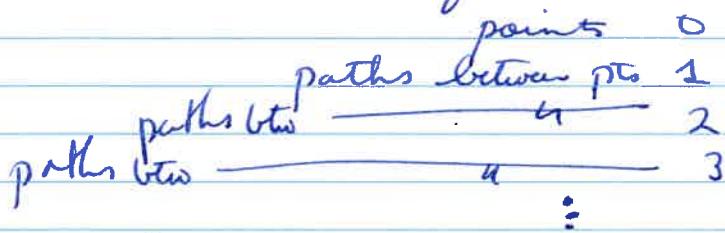
In what sense are the top and bottom paths the same? They certainly aren't syntactically equal.

Instead, what you loosely have is some homotopy / continuous deformation from the top to the bottom. But we're programming, so you need some composition comprehension principle for them. The whole point of univalence was to add enough such deformations.

$$\exists : \text{Id}_{\text{Id}_A(S,F)} ((P \cdot Q) \cdot R, P \cdot (Q \cdot R))$$

Do it using path induction / T.

In short, the groupoid laws hold "up to higher identification". These iterated identifications bring in dimensionality.



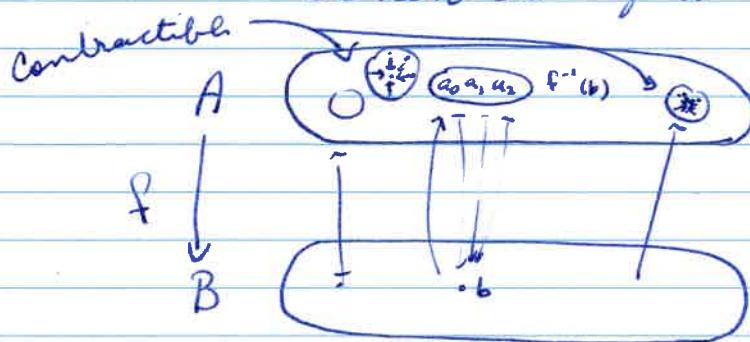
The structure you get is that of an ∞ -groupoid.

Next

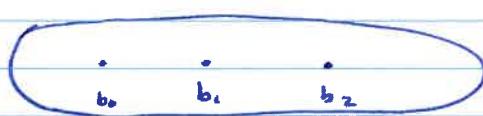
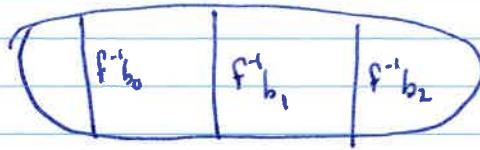
→ Develop the univalence axiom (VV)

The key technical idea is inspired by the following idea:

When is $f: A \rightarrow B$ a bijection?



$f^{-1}(b)$ - preimage: $\Sigma_{a:A} \text{Id}_B(fa, b)$
"fiber of f over b"



f is a bijection exactly when each of these fibers consists of a single point \perp to identify, i.e., is contractible.

Homotopy Type Theory (HoTT)

extension of I_{TT} w/ two ideas:

1. univalence - types are identified up to equivalence (isomorphism up-to iso)
2. (higher) inductive types that specify points and also paths/identifications

Notation

$\text{Id}_A(M, N)$ identity type
 $M =_A N$ identification type
 "path type"

elem form: "path induction"
 induction form: $\text{refl}_A(M) : M =_A M$

Last time we saw the groupoid laws/
 structure of ident.

$\text{refl}_A(M) : M =_A M$ (prin)
 if $P : M =_A M'$, then $P^{-1} : M' =_A M$ (def'ble)
 if $P : M =_A M'$ and $Q : M' =_A M''$, then
 $P \cdot Q : M =_A M''$ (def'ble)

s.t.l. there are all inhabitable:

- $\text{refl}_A(M) \cdot Q =_{M =_A M'} Q$

- $P \cdot \text{refl}_A(M') =_{M =_A M'} P$

- $\text{refl}_A(M)^{-1} =_{M =_A M} \text{refl}_A(M)$

- $P^{-1} \cdot P =_{\sim} \text{refl}_A(M)$

- $(P^{-1})^{-1} =_{\sim} P$

- $P \cdot (Q \cdot R) =_{\sim} (P \cdot Q) \cdot R$

Remarks:

If $f: A \rightarrow B$ and $P: M =_A M'$, then there is an operation $\text{ap}_f(P) : f(M) =_B f(M')$ "applied" (which we will later write as " $P(f)$ ") such that $\text{ap}_f(\text{refl}_A(M)) = \text{refl}_B(f(M))$.

Pf: By path induction on P :

$$\boxed{\text{J}[\text{a}, b, \dots, f(a) =_B f(b)] (\text{a} \cdot \text{refl}_B(f(a))) (P)} \\ : f(M) =_B f(M') \quad \square$$

Though HoTT is not computational, it has a notion of computation via proof reduction à la Gentzen.

Recall

$$a : A + B \text{ type} \quad P : M =_A M' \\ \text{tr}[a \cdot B](P) : [M/a]B \xrightarrow{\sim} [M'/a]B \\ \text{"refl}_A(M)(Q) = \text{id}_{[M/a]B}$$

If $f: \prod a : B \cdot B(a)$ and $P: M =_A M'$, then you would like something like

$$\frac{f(M) =_B f(M')}{[M/a]B \quad [M'/a]B}$$

The two sides live in different types... Use "heterogeneous paths", say P induces some path $\text{apd}_f(P)$:

$$\begin{array}{ccc} f(M) & \xrightarrow{\text{horizontal}} & f(M') \\ : [M/a]B & \text{apd}_f(P) & : [M'/a]B \end{array}$$

↑
vertical

$$M \xrightarrow{P} M'$$

$\text{apd}_f(P) \triangleq$

$$J[a, b, p. \text{tr}[a.B](p)(f(a)) =_{[b(a)]B} f(b)](a. \text{refl}_B(f(a))(P))$$

$$\therefore \text{tr}[a.B](P)(f(M)) =_{[M^{\prime}/a]B} f(M')$$

(Also in λHOT book)

$$\text{"apd}_f(P) : f(M) =_P^{a.B} f(M')"$$

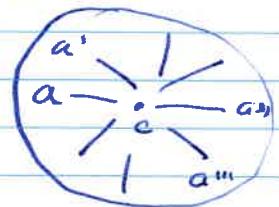
is the notation we use in the dep case.

Singletons aka contractibility express the idea of unique existence (up to identificatio). Both a boon and a bane: many things are equal and you don't need to worry about differences, but you also don't have fine shades of distinction.

is Singleton(A) aka isContractible(A)

$$\sum c:A . \prod a:A . \underbrace{a =_A c}_{\text{"center"}}$$

identification



$f: A \rightarrow B$ is an equivalence iff "f is a bijection",
iff " $f^{-1}(b)$ is a singleton for all $b:B$ ".

$$\sum a:A . f(a) =_B b.$$

You can then define f^{-1} by sending each b to the ~~center~~ a that is the centre of the preimage.

What do we mean by "equality of types"?
Ideas: "pre-equivalence"

$$A =_u B \text{ iff } \underline{A \simeq B}$$

$$\sum f: A \rightarrow B . \underline{\text{is Equiv}(f)}$$

$$\prod b: B . \underline{\text{is Contr}(f^{-1}(b))}$$

Univalence gives you more than this.

$$\underbrace{A =_{\text{u}} B}_{\substack{\text{a type w/ elements} \\ \text{paths identifying} \\ A \simeq B}} \quad \text{iff} \quad \underbrace{A \simeq B}_{\substack{\text{a type of equivalence} \\ \text{between } A \text{ & } B}}$$

Want to establish some
equivalence btw these 2 types.
But we can say even more!

Define $\text{idtoEquiv}_{A,B} : A =_{\text{u}} B \rightarrow A \simeq B$

using path induction:

(At the end of the day, can only be refl)

$$\lambda p : A =_{\text{u}} B. \quad \text{idtoEquiv}_{A,B}(p) : A \simeq B$$

$$\text{J}[a, b, \dots, a \simeq b] (a. \langle \text{id}_A, \text{t} \rangle) (p)$$

$\text{equiv}_{\text{u}}(aa)$

t fibres are contractible

Univalence Axiom:

"idtoEquiv is an equivalence"

$$\text{ua}(A, B, E) : \text{Id}_{A =_{\text{u}} B} (E)$$

$$E : A \simeq B$$

depends on the axiom and is irreducible
and cannot be reduced to refl!

The upside from a mechanization POV,
is that you identify $\#$ types w/ equivalence

This is the start for a theory of symm coercion!

Problem

$$\sim \text{J}[a, b, p, C] (a. Q) (\text{ua}(A, B, E)) \equiv ?$$

How do we "execute" / "simplify" / "compute"
w/ univalence? This is a stuck state
and as well see, there's no escape short of

rethinking everything.

Idea: express it judgmentally, and then internalize it.

Idea: $\text{tr}_{\alpha} [X.X] (\text{ua}(F)) (M:A) \underset{\alpha=B}{\equiv} "E(M)"$

Should come out to be true. And indeed, in the model of the cov of semiprincipal sets, it does come out to be true.

The only reason to worry about all of these things is that we want computation.

Use "Martin-Löf's ^{main} martial arts moves where he hardly moves and everybody is on the floor."

(Higher) Inductive Definition

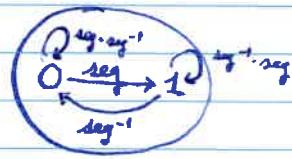
Idea: specify the free type on some generators. In group theory, you have free groups on generators satisfying some relns, but in ~~one~~ TT, you don't have just relns.

Example: Interval type I

0 : I "points"

1 : I

seg : $0 =_I 1$ "line"



$\Sigma_{a,b:I} . \text{Id}_I(a,b)$

To speak, there's additional stuff brought in by the fact that you're generating the free ∞ -groupoid on the generators. The reason to worry about it is that when you map out, you not only need to worry about what to do on 0 and 1 (as you would with bowl), but also on seg, but also all of the other stuff.

Next time will derive the elimintro-E-elimrec. (94)

Univalence

implies that equal types in a universe are exactly equivalent types

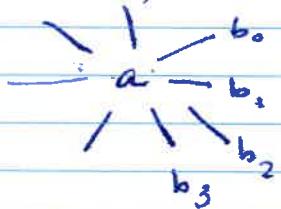
$$\text{idtoEquiv} : A =_{\mathcal{U}} B \rightsquigarrow A \simeq B$$

\Rightarrow definable by path induction
 \Leftarrow axiom. a way to build paths in \mathcal{U} out of equivalence
 $(\text{eval}(A, B, E))$ is a "new" identification

Claim (V.V.): univalence suffices for function extensionality.

Def (total path space)

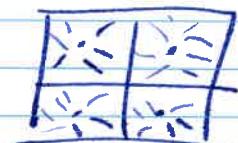
$$\text{Paths}(A) = \sum_{a:A} (\sum_{b:A} a =_A b)$$



"based path space at a "
 "star at a "
 "equivalence class at a "

Remark that path spaces are contractible singletons.

Fact: $A \simeq \text{Paths}(A)$



Indeed, every elem of A has a path space and every path space contracts to an equiv elem of A $\underline{Q(A)}$

And so by univalence, $\boxed{A =_{\mathcal{U}} \text{Paths}(A)}$

~~Left!~~

Remark: $J \Rightarrow$ transport + contr based path spaces.

But also $J \Leftarrow$
 See the work by C. Angizi for details. (95)

Def.: $\text{Htpy}(A, B) \triangleq \sum f, g : A \rightarrow B. f \sim g$

where $f \sim g \triangleq \forall a : A. f(a) =_B g(a)$.

Fact: $(A \rightarrow \text{Paths}(B)) \simeq \text{Htpy}(A, B)$

Pf: (\rightarrow) ~~$\exists F. \langle \lambda a : A. F(a) \cdot 1, \lambda a : A. F(a) \cdot 2, \lambda a : A. F(a) \cdot 3 \rangle$~~

(\Leftarrow) $\exists H. \lambda a : A. \langle (H \cdot 1)(a), (H \cdot 2)(a), (H \cdot 3)(a) \rangle$.

You can show these are mutually \square inverse.

Remark: $\text{Paths}(A \rightarrow B) \simeq \text{Htpy}(A, B)$

expresses function extensionality.

$\text{Htpy}(A, B) \hookrightarrow \text{Paths}(A \rightarrow B)$ is easily definable.

\hookleftarrow is as follows:

$$\text{Paths}(A \rightarrow B) =_u A \rightarrow B \quad \left\{ \begin{array}{l} \vdash [A \rightarrow -](Q(B)) \\ \vdash [A \rightarrow \text{Paths}(B)] \end{array} \right.$$

These are equalities $\rightarrow =_u \text{Htpy}(A, B)$.

Cor: Univalence entails function extensionality.

Inductive types

Key idea: inductive types are characterized by a "mapping out" property - initial objects.

e.g) natural numbers

$$\frac{\Gamma \vdash n : \text{nat}}{\Gamma \vdash 0 : \text{nat}} \quad \frac{\Gamma \vdash M : \text{nat}}{\Gamma \vdash \text{succ}(M) : \text{nat}} \quad \begin{array}{l} \text{I-zero} \\ \text{-succ} \end{array}$$

You say this is the least clear by saying if you want to map out, it's suff to consider only 0 and $s(0)$.

$$\begin{array}{c} \Gamma \vdash M : \text{Nat} \quad \Gamma \vdash A \text{ type} \\ \Gamma \vdash M_0 : A \quad \Gamma, a : A \vdash M_{\text{succ}} : A \\ \hline \Gamma \vdash \text{natrec}(M_0; a. M_{\text{succ}})(M) : A \end{array}$$

Dependently if you want:

$$\begin{array}{c} \Gamma \vdash M : \text{Nat} \quad \Gamma, a : \text{Nat} \vdash A \text{ type} \\ \Gamma \vdash M_0 : A(0) \quad \Gamma, a : A \vdash n : \text{Nat}, a : A(n) \vdash M_{\text{succ}} : A(\text{succ}(n)) \\ \hline \Gamma \vdash \text{natrec}(M_0; a. M_{\text{succ}})(M) : A(M) \end{array}$$

(This second elim is definable from the simply-typed one + Σ -types)

$$\text{natrec}(M_0; n, a. M_{\text{succ}})(0) \equiv M_0 : A(0)$$

$$\begin{aligned} \text{natrec}(M_0; n, a. M_{\text{succ}})(\text{succ}(m)) &\equiv \\ &\equiv [M, \text{natrec}(-)(M)/n, a] M_{\text{succ}} : A(\text{succ}(m)) \end{aligned}$$

+ Claim / postulate uniqueness up to identification.

"Higher" Inductive Types

idea: A is somehow coupled to $\text{Id}_A(-, -)$

$$\text{Id}_A(-, -) \dashv \vdash \text{Id}_{\text{Id}_A(-, -)}(-, -)$$

Therefore we can consider a certain form of definition that populates not only the type A but also its companion Id types.

("The beauty of formal type theory)
is that you can write down whatever."

Example "the interval" I , which is inductively defined as follows:

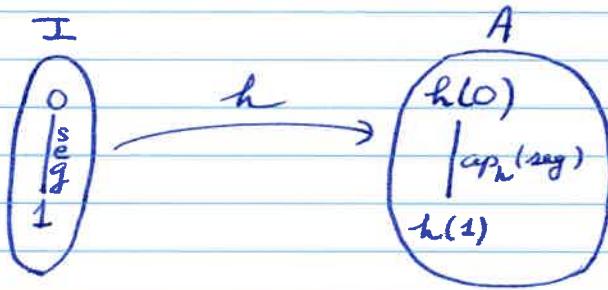
There are $\left\{ \begin{array}{c} \Gamma \vdash 0 : I \\ \Gamma \vdash 1 : I \end{array} \right. \xrightarrow{\quad} \text{"points"}$

$\Gamma \vdash \text{seg} : \text{Id}_I(0, 1)$

the intro rules & whnf def

seg Fact I is contractible.

Consider some $h: I \rightarrow A$



The eliminator is:

$$\frac{\Gamma \vdash M : I \quad \Gamma, i : I \vdash A \text{ type}}{\Gamma \vdash M_0 : A(0) \quad \Gamma \vdash M_1 : A(1)}$$

$$\Gamma \vdash M : I \quad \Gamma \vdash A \text{ type}$$

$$\Gamma \vdash M_0 : A \quad \Gamma \vdash M_1 : A$$

$$\underline{\Gamma \vdash M_{\text{red}} : \text{Id}_A(M_0, M_1)}$$

$$\Gamma \vdash \text{Inec}(M_0, M_1, M_{\text{seg}})(M) : A$$

$$\text{Inec}(-)(0) = M_0$$

$$\text{Inec}(-)(1) = M_1$$

$$ap(\text{Inec}(-)(-))(\text{seg}) =_{(M_0 =_A M_1)} M_{\text{seg}}$$

no computation here

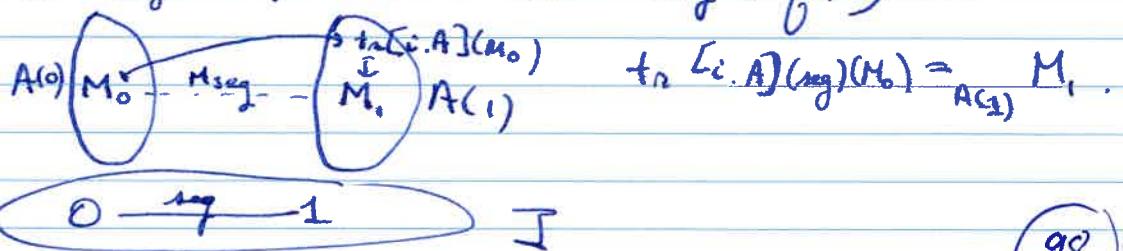
$$\Gamma \vdash M : I \quad \Gamma, i : I \vdash A \text{ type}$$

$$\Gamma \vdash M_0 : A(0) \quad \Gamma \vdash M_1 : A(1)$$

$$\underline{\Gamma \vdash M_{\text{seg}} : M_0 =_{\text{seg}} M_1}$$

$$\Gamma \vdash \text{Inec}(M_0, M_1, M_{\text{seg}})(M) : A(M)$$

where $M_0 =_{\text{seg}} M_1$ means (by def'n)



and $I_{\text{rec}}(_) (0) \equiv M_0$ } "computational"
 $I_{\text{rec}}(_) (1) \equiv M_1$
and $(I_{\text{rec}}(_)(_))(\text{seg}) = \underbrace{M_0 =_{\text{seg}} M_1}_{\text{heterogeneous}} H_{\text{seg}}$ } equations / + comp
path space.

Fact $\text{Paths}(A) =_{\text{u}} I \rightarrow A$
 $\sum_{a, a' : A} a =_A a'$

Pf $\forall (a, a', p) . \exists i : I . I_{\text{rec}}[A](a, a', p)(i)$

$\exists h : I \rightarrow A . \langle h(0), h(1), ap_h(\text{seg}) \rangle$

+ check these are mutually inverse. \square

$\text{Paths}(A \rightarrow B) =_{\text{u}} I \rightarrow (A \rightarrow B)$

$=_{\text{u}} (I \times A) \rightarrow B$

$=_{\text{u}} (A \times I) \rightarrow B \quad \leftarrow$

$=_{\text{u}} A \rightarrow (I \rightarrow B) .$

$=_{\text{u}} A \rightarrow \text{Paths}(B)$

$=_{\text{u}} \text{Htpy}(A, B).$

So the interval also gives you function extensibility.

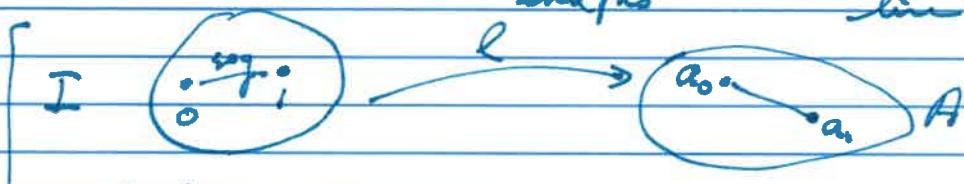
Remark: This is the standard textbook version of homotopy.

Last time

"Higher" inductive def'ns — idea is to simultaneously define (by specifying generators) types A , Id_A , Id_{Id_A} , ...

Motivation: A is "coupled" with its path spaces.

1) We introduced the abstract interval I . $\underline{0..1:I}$ say: $\text{Id}_{\frac{\#_2}{\#_1}}(0,1)$
endpts line path



"draws a line in A "

$I^n \hookrightarrow A$ n-cubl in A for $n \geq 0$

Eliminations for I ..

$$\begin{array}{ll} \Gamma \vdash M : I & \Gamma, i : I \vdash C \text{ type} \\ \Gamma \vdash M_0 : [0/i] C & \\ \Gamma \vdash M_1 : [1/i] C & \\ \Gamma \vdash M_{\text{neg}} : M_0 =_{\text{neg}}^i M_1 & \left. \begin{array}{l} (\text{Merg: } \text{tr}[i:C](\text{neg}) M_0 \\ = M_1) \end{array} \right\} \\ \hline \Gamma \vdash I\text{-ind}[i:C](M_0; M_1; M_{\text{neg}})(H) : [H/i] C \end{array}$$

$$1) I\text{-ind}[i:C](M_0; M_1; M_{\text{neg}})(0) \equiv M_0 : [0/i] C$$

$$(1) = M_1 : [1/i] C.$$

$$2) \text{loopd } (I\text{-ind}[i:C](M_0; M_1; M_{\text{neg}})(-) \text{ neg}) = M_{\text{neg}}$$

Also a bit strange.
with = if then
this is for so
not for so

This is a bit strange because you're neither here nor there, because you have a notion of computation but you don't at the same time.

Circle C aka S^1 (the left loop)

base: C

loop: $\text{Id}_C(\text{base}, \text{base})$.



$\Gamma, c : C + C$ type

$\Gamma + M : C$

$\Gamma + \cancel{M} = M_{\text{base}} : [c, c]_C$

$\circledast \quad \Gamma + M_{\text{loop}} : M_{\text{base}} = \stackrel{c, c}{\text{loop}} M_{\text{base}}$

$\Gamma + C\text{-ind}[c, C](M_{\text{base}}, M_{\text{loop}})(M) : [M/c]C$

$\rightarrow \underbrace{\Gamma[c, C](\text{loop})(M_{\text{base}})}_{[c, c]_C} = \underbrace{[c, c]_C}_{[c, c]_C} M_{\text{base}}$

You could have written $\Gamma + M_{\text{loop}} : M_{\text{base}} = \cancel{[c, c]_C} M_{\text{base}}$ or \circledast . It would have type-checked, but would be wrong because it doesn't respect the loop in any real sense: loop isn't brought to a "loop" in C .

There's the loop space
base point in A

$$\Omega(A; a_0) \cong \underbrace{a_0 =_A a_0}_{\text{Id}_A(a_0, a_0)}$$

in A . The important thing is that the groupoid laws become group laws (where the group structure is up-to higher identification) because the endpoints are the same.

If you want to ensure this is actually a group, you take the "zero-truncation" $\Omega\Omega(A; a_0)_{\parallel 0}$, which squashes down all of the higher-dimensions.

The truncated loop space of $C = (\mathbb{Z}, +, 0)$
 \rightarrow requires univalence to get the equality, but it avoids mucking about with equivalences.

Q: What is the computational meaning of "HoTT"?

Two ways of looking at it

{ 1. constructivism

{ 2. prog lang type systems (coercion, aka homotopy)

Cf. Martin-Löf's papers on judgments (epistemics for type theory).

Idea: Judgments come first — before connectives and type constructors.

Gentzen's key contribution was the stress on entailment (hypothetical judgment) as prior to implication.

Cf. Hilbert systems which have only implication

Cf. λ vs. combinators

The entire idea is $\frac{A \text{ true} \quad B \text{ true}}{A \supset B \text{ true}}$ (entailment)
(internalization of)

This is a "ninja move"

The generalization of entailment is something similar for universal quantification

$$\frac{\lambda x (A \text{ true})}{\forall x A \text{ true}} \quad \begin{array}{l} \text{(generality)} \\ \text{(internalization)} \end{array}$$

Constructivism (the idea that the evidence for the truth of a prop is a thing)
suggests consolidation:
"hypothetical-general judgments"

$$\underbrace{x_1 : A_1, \dots, x_n : A_n \vdash M : A}_{\text{variables}}$$

This emphasizes the centrality of variables and what they mean in type theory:

$$1) \begin{array}{l} \text{formal:} \\ \text{indeterminates} \\ \text{"derivability"} \\ \Gamma \vdash M : A \\ \Gamma \vdash M = N : A \end{array} \quad \Rightarrow \quad \begin{array}{l} \text{semantic} \\ \text{placeholders} \\ \text{"admissibility"} \\ \Gamma \gg M : A \\ \Gamma \gg M = N : A \end{array}$$

They both share the structural properties and present a consequence rel'n.

(compositor) Substitution / transitivity

~~$\frac{\Gamma, x : A \vdash N : B}{\Gamma \vdash M : A}$~~

$$\frac{\Gamma, x : A \stackrel{\gg}{\vdash} N : B}{\frac{\Gamma \stackrel{\gg}{\vdash} M : A}{\Gamma \stackrel{\gg}{\vdash} [M/x]N : B}}$$

(id)

reflexivity / variable

weakening / "extra" variables

contraction / "duplicate" variables

Aside: The first version of Church's λ -calc required you to use all vars. so you couldn't write $\lambda x. \lambda y. x$. This was the λi (λI ?) calc. He then changed his mind and then you get the λK calculus (note, $K = \lambda x. \lambda y. x$).

Back to the idea that judgments come first:

Judgmental Structure of Identification Paths.

Idea: want to think about paths as an intrinsic concept in type theory.

You can't just check in axioms to a TT, because it destroys

the computational content of it].

Introduce some way of talking about paths prior to any connectives. Multiple ways of doing it, but the world has settled on a cubical structure of dimensions. The dimension in HoTT corresponds to the number of iterations of Id .

$$A \xrightarrow{\text{Id}_A} \text{Id}_{\text{Id}_A} \dots$$

We want to give these names:

points lines squares cubes ...



We want judgments that capture these.

Quine: "No entity without identity"

$$M = M' : A @ 0 \text{ (points)}$$

$$M = M' : A @ 1 \text{ lines. ?? What is it.}$$

To be able to answer this, we must first answer what is it.

$$A = A' \text{ type } @ 0 \text{ familiar}$$

$$A = A' \text{ type } @ 1 \text{ unfamiliar.}$$

The thing to recall is that families of types $\{F_a\}_{a:A}$ are central to DTT.

$F[M/a]$ instance

The point is that lines in A induce lines between instances.

$$\underbrace{M \longrightarrow M'}_{\text{abstract identification}}$$

vvv)

$$F[M] \longrightarrow F[M']$$

coercions
transport.

The thing you care about at the end of the day is how the classifier work. The line is reversible, so you can go back. What if you go there 104

and back? Developing a symmetric theory of coercion is hard work and that's what we're after.

Univalence turns equivalences between types into lines between types.
So

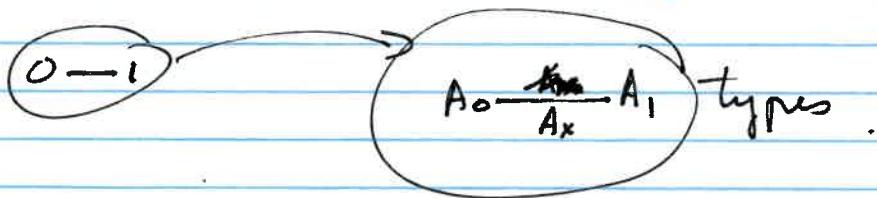
$M \equiv M' \& A$ @ 1 is lines in lines / heterogeneous paths

Back to the centrality of vars.

Idea: Else Cartesian coordinates.

Specify the dimensionality by contexts of variables that "range over" an interval

$$A^x = A_x \text{ type } \underbrace{[x, \dots, x_n]}_{\text{---}} \quad (n > 0) \quad \begin{matrix} \text{---} \\ n=0 \text{ is the} \\ \text{one who} \\ \text{wants to} \end{matrix}$$
$$M^x = M_x \text{ type } [x_1, \dots, x_n]$$



" $x, \dots, x_n : A$ " suggestively means " $x_1 : I \dots x_n : I \vdash A$ "
c.e., " $x : I^n \vdash A$ type"

So you get n -cubes.

There's no notion of distance: you're either one of the endpoints $\frac{\text{left}}{\text{right}}$, never "half way" along the interval.
Oddly enough, just like plugging in an endpoint causes the code to run, so can do plugging in a variable $\langle y/2 \rangle$.

The lines in our setup will tell us how to how to coerce.

Dimension contexts & dim substs

$$\Phi = x_1, \dots, x_n \quad (\text{in } \mathbb{O})$$

are "Cartesian coords in n -space"

Let $\Psi: \Phi' \rightarrow \mathbb{I}$ be a subst for
dim vars. If this $\Psi = x_1, \dots, x_n$,
then $\Phi' \vdash \Psi(x_i)$ dim

$$\Gamma := \mathbb{O} \mid \cdot \mid x$$

Dimension contexts are structural.

$$\begin{array}{ccc} \mathbb{I}, x & \xrightarrow{\text{insert}} & \mathbb{I} \\ \mathbb{I}, y & \xrightarrow{\text{c2, c1, R}} & \mathbb{I}, x, y \\ \mathbb{I}, x, y, \mathbb{I}' & \xrightarrow{\text{iter}} & \mathbb{I}, y, x, \mathbb{I}' \end{array} \begin{array}{l} \text{weakening} \\ \text{contraction} \\ \text{exchange} \end{array}$$

\swarrow M term is dim var \mathbb{I}

If M tm [Φ] and $\Psi: \Phi' \rightarrow \mathbb{I}$ the
 $M \langle \Psi \rangle$ tm [\mathbb{I}].

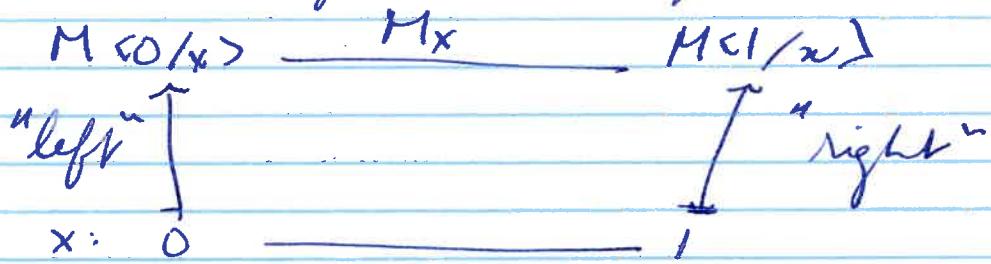
: Variant functional action of Ψ on terms
"pullback"

This preserves identity and
composition "on the nose"

$$\begin{aligned} M \langle \text{id} \rangle &= M \\ M \langle \Psi_1 \circ \Psi_2 \rangle &= (M \cdot \Psi_1) \cdot \Psi_2 \end{aligned}$$

Ψ can give a presheaf semantics
- Kripke semantics where
worlds = dimensions

Substitution of 0, 1 picks out "sides"



We have a Cubical programming lang

$$\left. \begin{array}{l} M \text{ val}_x \\ M \xrightarrow{\mathbb{I}} M' \end{array} \right\} M \nparallel^{\mathbb{I}} M'$$

$$\xrightarrow{\mathbb{I}} \in \text{Tr}(\mathbb{I}) \times \text{Tr}(\mathbb{I})$$

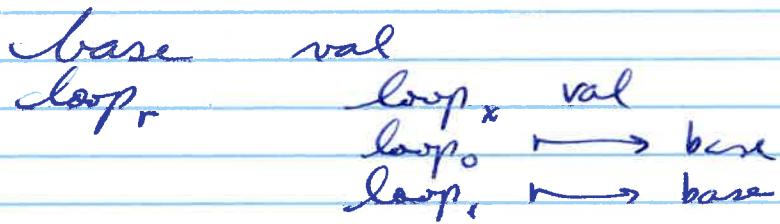
Could omit the dimension ctxts \mathbb{I} if wanted.

Note, these relns do not commute with subst in general!

$M \text{ val}_x$ but not $M < 0/x > \text{val}_0$

$M \xrightarrow{\mathbb{I}} M'$ but not $M < 0/x > \xrightarrow{\mathbb{I}} M' < 0/x >$.

eg) circle C in computation HT.



In some Dctx $[\Psi]$:

$$\hookrightarrow \text{C-elim}[c.C](M_{\text{base}}; x.M_{\text{loop}})(M) \quad \text{if } \frac{M}{M'} \quad \text{be careful!}$$

$$\begin{array}{ccc} \mathbb{I} & \xrightarrow{\quad} & \downarrow & (base) \mapsto M_{\text{base}} \\ \mathbb{I}, x & \xrightarrow{\quad} & \downarrow & (loop_x) \mapsto M_{\text{loop}} \end{array} \quad \text{in } M_{\text{loop}} \text{ when } \text{loop}_x$$

Remark that these reductions work at all dimensions.

Note: substituting into a loop changes a value into a non-value!

Loop_x val, but loop \rightarrow base!

Also note that reduction doesn't commute w/ subst!

$$\text{C-elim } (__)(\text{loop}_x) \langle 0/x \rangle = \begin{array}{l} \text{---} \#(\text{loop}_x) \\ \mapsto \# \text{ (base)} \\ \mapsto M_{\text{base}} \end{array} \quad \left. \begin{array}{l} \text{---} \#(\text{loop}_x) \\ \mapsto \# \text{ (base)} \\ \mapsto M_{\text{base}} \end{array} \right\} \text{Axioms}$$

but C-elim $(__)(\text{loop}_x) \mapsto M_{\text{loop}}$

and if you then do the subst, then $\models M_{\text{loop}} \langle 0/x \rangle \rightarrow ?$
you have a semantic confluence, but
not a syntactic confluence, and
you cannot get a coherence
via the op sem. The equality
is a semantic notion via the type.

What does the judgment $M \in A[\Psi]$ mean?

(Informal account first)

w/ Ψ , it means $A \Downarrow A_0$ and $M \Downarrow M_0$ } + when
and " M_0 is a value of type A_0 " } are they
equal?

(it's all about progs running and
specifying their value).

A first cut w/ Ψ :
Desideratum \vdash

$A \Downarrow A_0, M \Downarrow M_0, "M_0 \text{ is a } \Psi\text{-value of } A_0"$

\Rightarrow Need to explain what the Ψ -values are.

But consider: ~~loop_x~~.

if $\text{loop}_x \in C[x]$ then $\text{loop}_x \in C[\cdot]?$
You want this to be true.

base $\frac{\text{loop}_x}{\text{loop}_x}$ - loop But you need
 $\frac{\text{loop}_x}{\text{loop}_x}$ to make it so.

The meaning of must account for face maps ("subst to get 'side'")

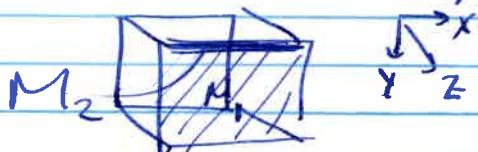
Desideratum #2: (generalization #1)

If $A \Downarrow A_0$, then for all $\phi: \mathbb{F}' \rightarrow \mathbb{F}$ (" \mathbb{F} -aspect")

$M \Downarrow A_0[\mathbb{F}']$
i.e., $M \Downarrow M_0$ and M_0 is a \mathbb{F}' -value
of A_0 .

e.g. $\text{loop}_x \in \mathbb{C}[x]$ implies }
 $\text{loop}_0 \in \mathbb{C}[\cdot]$ } should be (and
 $\text{loop}_1 \in \mathbb{C}[\cdot]$ } is) valid

Issue: Suppose you have a cube



$M < 1/z > \Downarrow M_1$ versus $M < 1, 0/z, y > \Downarrow M_2$
 $M_1 \Downarrow M_2$

Is $M_2 = M_3$?

Want to demand that they be exactly equal in whatever type they're in, i.e.,

$$M_2 \doteq M_3 \in A_0[x]$$

(*)

Nothing forces this, could write down bad programs. But: lots of ~~untyped~~ "bad" programs exist and you just decide not to give them a type. Your types are expected to be specifications of "good" programs, so you just design your typed system to ensure (*) holds.

Desideratum #3: COHERENT ASPECTS

if $M \in A[\Psi]$ then
 $A \Downarrow A_0, M \Downarrow M_0$
 $\text{iff } \gamma_1: \mathbb{P}_1 \rightarrow \mathbb{P}_2, \Psi: \mathbb{P}_0 \rightarrow \mathbb{P}_1 \text{ then}$
 $M \Psi_1 \Downarrow M_1, M, \Psi_2 \Downarrow M_2, M(\Psi_1, \Psi_2) \Downarrow M_{12}$
 Then ~~$M_{12} = M_2 \in A_0[\Psi_2]$~~ .

This is still not enough! What about DEPENDENCY?

→ types can vary in a dimension!

F A -indexed family of types
 $F[M]$, where $M \in A[\mathbb{I}]$ is a type
 varies in the dimension!

$$F[M]_{\leq 0/x} = F[M_{\leq 0/x}] \quad \left(\begin{array}{l} \text{later + other} \\ \text{intrinsically} \\ \text{ways this can} \\ \text{arise} \end{array} \right)$$

↳ Dep Des #1:

$$\forall \psi: \mathbb{P}' \rightarrow \mathbb{P}$$

$$M \Psi \in A[\Psi']$$

i.e., $M \Psi \Downarrow M_0$ and M_0 is a \mathbb{P}' value
 $A[\Psi] \Downarrow A_0$ of A_0 .

The picture for coherent aspects becomes

$$M \boxed{\square} \in \boxed{\square} A[\Psi_1, \Psi_2]$$

the front face of M should inhabit
 $\frac{\text{the}}{A}$

the top right edge of M ————— etc.

It all has to cohere.

Degs Degs #2: Coherent aspects
in higher dimensions

if $M \in A(\mathbb{F})$ then

$A \otimes A, M \otimes M_0$

$$\left\{ \begin{array}{l} \psi_1: \mathbb{F}_1 \rightarrow \mathbb{F}_2 \quad \psi_2: \mathbb{F}_2 \rightarrow \mathbb{F} \\ \mu_{\psi_1} \otimes M_1, \quad M_1 \psi_2 \otimes M_2 \\ A \psi_1 \otimes A_1, \quad A_1 \psi_2 \otimes A_2 \\ M(\psi_1, \psi_2) \otimes M_{12} \\ A(\psi_1, \psi_2) \otimes A_{12} \end{array} \right.$$

then

and $M_{12} = M_2 \in A_2(\mathbb{F}_2)$

$A_{12} = A_2$ type.

Aside: Equality is prior to paths. Because you can only "glue paths" if it together "to form cycles/squares if you have a notion of equality of homologants."

Hypotheticogeneral judgments

What's the meaning of

$a: A \gg \cancel{M \in A \otimes B} N \in B(\mathbb{F}) ?$

Want this to be a mapping, so you could say:

if $M = M' \in A(\mathbb{F})$, then $N[M/a] = N[M'/a]$
 $\in B[M/a] = B[M'/a] [\mathbb{F}]$.

But this is not enough, you need something stronger!

You need more than just taking equal things to equal things!

You need to ensure it works just as the current dimension but at all accessible dims, so that you respect paths.

So you actually want:

$$A \dashv : \mathbb{F}' \rightarrow \mathbb{F}$$

$\forall M = M' \in A \vdash$ then

$$N \psi[M/a] = N \psi[M'/a] \in B \psi[M/a] = B \psi[M'/a] [\psi]$$

So the "and" behaviour from hott is built-in!

\mathbb{F}' could be \mathbb{F}, x .

* So if you have a line between \mathbb{F} -cubes of type A, you get one between \mathbb{F} -cubes of type B.

In summary: make everything behave properly as presheaves.

Hypothetical Judgments

- 1) ... ($a : A \Rightarrow B$ type) [\ddagger] (families)
 2) ... ($a : A \Rightarrow N \in B$) [\ddagger] (maps)

~~at~~ Nicely ^(incorrectly), these are "separate facts" at each \ddagger .

$$\begin{aligned} 1) \quad & \text{if } M = M' \in A[\ddagger] \text{ then } B[M/a] = B[M'/a] [\ddagger] \\ 2) \quad & \text{if } \dots \text{ then } N[M/a] = N[M'/a] \in B[M/a] [\ddagger] \\ & \qquad \qquad \qquad (= B[M'/a]) \end{aligned}$$

The problem is that this isn't strong enough. You want your mapping (captured by the judgment) to be uniform in a sense (natural/ polymorphic / etc). Motivated ~~at~~ by a Kripke semantics point of view; if and you observe that the above is insufficient to maintain truth at the relevant future worlds. More precisely:

$$\begin{aligned} 1) \quad & \text{for all } \psi : \mathbb{F}' \rightarrow \mathbb{F} \text{ "aspects" (faces, diagonals, degeneracies)} \\ & \text{if } M = M' \in A[\psi], \text{ then} \\ & \qquad N[M/a] = N[M'/a] \in B[\psi][M/a]. \end{aligned}$$

Our possible worlds are the \mathbb{F} and the reachability relation is given by the aspects.

In particular, consider what happens when ψ is a ~~subset~~ weakening $\psi : \mathbb{F}, x \rightarrow \mathbb{F}$.

$$\begin{cases} \text{if } M = M' \in A[\mathbb{F}, x]; \\ \text{then } N[M/a] = N[M'/a] \in B[M/a][\mathbb{F}, x] \end{cases}$$

i.e., N must take lines to lines and respect paths. A, N and B do ~~might~~ not involve x . But ~~the~~ M

and M' might (and so be lines), so N takes lines equal lines M and M' in A to equal lines in B .

This generalizes to any number of variables.

Crucially

- 1) Dimension variables behave like independent indeterminates replaced by dimensions in vars. They do not range over closed terms. This is similar^{as} to variables in formal type theory, and they are given a presheaf semantics.
- 2) Term variables behave like placeholders for closed terms, just as variables in CTT.

So, in the higher-dimensional case, we combine both types and their techniques.

Note: $M \in A[\mathbb{F}, n] \Leftrightarrow M \langle 0/x \rangle \in A \langle 0/x \rangle$
 $M \langle 1/x \rangle \in A \langle 1/x \rangle$

There is more to a line than just its endpoints.

Let's define some types

(Warning: This will be both boring
and wrong.)

e.g. $\text{Bool} \doteq \text{Bool}[\mathbb{F}]$ (at any dimension \mathbb{F})

Bool is a degenerate cube on \mathbb{F}
(\rightarrow doesn't depend on \mathbb{F}).

$$\begin{aligned} \text{true} &= \text{true} \in \text{Bool}[\mathbb{F}] \\ \text{false} &= \text{false} \in \text{Bool}[\mathbb{F}] \end{aligned}$$

true val false val Bool Val

$$M \mapsto M'$$

$$\text{if } [a.c](M; M_0; M_1) \mapsto \text{if } [a.c](M'; M_0; M_1)$$

$$\text{if } [a.c](\text{true}; M_0; M_1) \mapsto M_0$$

$$\text{if } [a.c](\text{false}; M_0; M_1) \mapsto M_1$$

We're informally working in the fixed points that define the types, in a constructor that generalizes the one we saw earlier.

Assuming these transitions are stable under dim. subst. This doesn't always hold.

Fact: ~~If $a \in \text{Bool}$ $a : \text{Bool} \Rightarrow C[\text{type}[\mathbb{F}]$~~

$$\text{and } M \in \text{Bool}[\mathbb{F}]$$

$$\text{and } M_0 \in [\text{true}/a] [\mathbb{F}]$$

$$M_1 \in [\text{false}/a] [\mathbb{F}]$$

$$\text{then } \text{if } [a.c](M; M_0; M_1) \in C[M/a][\mathbb{F}]$$

Rank: These are coherent instantiations

Proof is similar as before.
(Var is similar)

$$\alpha : A \rightarrow B \text{ type } [\mathbb{F}] \text{ iff }$$

$$A \text{ type } [\mathbb{F}]$$

$$a : A \Rightarrow B \text{ type } [\mathbb{F}]$$

Note the apparent circularity. This is not a problem because of the construction uses a monad operator and we're working in its fixed point.

$$(*) \quad \lambda a. M \in a : A \rightarrow B [\mathbb{F}] \text{ iff (def)} \\ a : A \Rightarrow M \in B [\mathbb{F}]$$

Fact. If $F \in a : A \rightarrow B [\mathbb{F}]$ and $M \in A [\mathbb{F}]$,

then $F(M) \in B[M/a] [\mathbb{F}]$.

Note. We're not writing these things down in inference rules because we want to make it clear that we're not

~~before~~ ~~introducing~~
inductively defining some
formal objects.

Remark: Because functions internalize
mappings (see \otimes), it is
sufficient to get mappings
right to get functions right.

In HoTT, we needed apd.
Here, we don't, because there's
an intrinsic notion of action on
path built-in to the system.

$Eq_A(M, N)$ could relate this type as " $M =_A N$ ". Do not confuse w/ a judgment.
type [\mathbb{I}] (intuition; internal equality)

iff A type [\mathbb{I}]
 $\iff M \in A[\mathbb{I}]$
 $N \in A[\mathbb{I}]$

$P \in Eq_A(M, N)[\mathbb{I}]$

f) iff $P \Downarrow refl(R)$ } inductive def'n
 $M = R = N \in A[\mathbb{I}]$

Notice

Nothing really to say:
obvious by def'n.
"equality reflection": if $P \in Eq_A(M, N)[\mathbb{I}]$
then $M = N \in A[\mathbb{I}]$.

It internalizes exact equality.

Fact: $Eq_A(-, -)$ is the least reflexive rel'n.

This can be internalized as an
elim form, giving you the T you
had before.

Path type

(Idea: internalize the path structure, and go back & forth between paths, and points, similarly to how we internalized the mapping structure as functions)

$\text{Path}_{x:A}(M, N)$ type [\mathbb{I}]

iff A type [\mathbb{I}, x]
(def) $M \in A <0/x>$
 $N \in A <1/x>$.

$P \in \text{Path}_{x:A}(M, N)$ [\mathbb{I}] iff (def)

$P \Downarrow (x.Q)$ ("quoting" a line)
 $Q \in A[\mathbb{I}, x]$
 $Q <0/x> = M \in A <0/x>[\mathbb{I}]$
 $Q <1/x> = N \in A <1/x>[\mathbb{I}]$

There, paths wear their endpoint on their sleeve. You could alternatively have a "Path" type as in RedPRL that doesn't mention them

Define $M @ r \mapsto M @ r$ when $M \rightsquigarrow M'$
 $(x.Q) @ r \mapsto Q <r/x>$

Fact: ref. $P \in \text{Path}_{x:A}(M, N)$ then

$$\begin{aligned} P @ 0 &= M \in A <0/x> \\ P @ 1 &= N \in A <1/x> \end{aligned}$$

Last time

We saw a cubical semantics for ctt :

$$\Gamma \gg A = B \quad [\mathbb{F}]$$

$$\Gamma \gg M = N \in A \quad [\mathbb{F}]$$

satisfying a presheaf condition
 if $f[\mathbb{F}]$ and $\tau : \mathbb{F} \rightarrow \mathbb{F}'$, then $f[\mathbb{F}']$.
 In particular, degeneracies give
 rise to a path preserving condition.

Standard types have standard explanations via a fixed point construction.

Note: pre-sheaf cond ensures that functions act on args from all accessible worlds.

$$\begin{aligned} E_{\mathbb{F}A}(M, N) \text{ type } [\mathbb{F}] \\ \text{iff } A \text{ type } [\mathbb{F}], M, N \in A[\mathbb{F}] \\ \text{refl}(R) \in E_{\mathbb{F}A}(M, N)[\mathbb{F}] \text{ iff } M = R = N \in A[\mathbb{F}]. \end{aligned}$$

This ends up (as we'll see) only being a "pre-type". No need for an elim form, b/c $P \in E_{\mathbb{F}A}(M, N)[\mathbb{F}]$ iff $M = N \in A[\mathbb{F}]$.

$$\begin{aligned} \bullet \text{Path}_{x.A}(M, N) \text{ type } [\mathbb{F}] \text{ iff} \\ A \text{ type } [\mathbb{F}, x], M \in A<0/x>[\mathbb{F}], N \in A<1/x>[\mathbb{F}] \\ \langle x. P \rangle \in \text{Path}_{x.A}(M, N) \text{ iff} \end{aligned}$$

$$P \in A[\mathbb{F}, x]$$

$$P<0/x> = M \in A<0/x>[\mathbb{F}]$$

$$P<1/x> = N \in A<1/x>[\mathbb{F}]$$

When $x.A$ is degenerate, then this looks a lot like $\text{Id}_A(M, N)$ in HoTT (homogeneous lines) $\approx \text{Path}_{-A}(M, N)$.

Define $M @ r$ as follows:

$$\frac{}{M \vdash M}$$

$$M @ r \mapsto M' @ r \quad \langle x. P \rangle @ r \mapsto P(r/x)$$

Fact: If $P \in \text{Path}_{\mathbb{Z}, A}(M, N)[F]$, then

$$\begin{aligned}P @ x &\in A_x[F, x] \\P @ 0 &= M \in A[0/x][F] \\P @ 1 &= N \in A[1/x][F]\end{aligned}$$

Though $E_{\mathbb{A}}(M, N)$ has no elim form, J is "definable" for $E_{\mathbb{A}}$

$$J[a, b, c, C](a, Q)(\text{refl}(M)) \mapsto Q[M/a].$$

Under suitable conditions we've seen before we have

- 1) $Q[M/a] \in C[M, M, \text{refl}(M)]/a, b, c]$
- 2) b/c $P \in E_{\mathbb{A}}(M, N)[F]$, we know
 - a) $P = \text{refl}(M) \in E_{\mathbb{A}}(M, N)[F]$
 - b) $M = N \in A[F]$
- 3) We can "transport" $Q[M/a]$ from $C[M, M, \text{refl}(M)]$ to $C[M, N, P]$: by a remark from last time, these two types are equal/the same.

Fact: $\text{Path}_{\mathbb{Z}, A}(-, -)$ admits a J too, but it will not compute on degeneracies!

Pf. Need to know about Kan operations, introduced below. \square

(Kan ops needed to define Transport & to build a line between $C[M, M, \text{refl}(M)] \rightarrow C[N, N, P]$.)

(Understanding this fact was a very important development.)

Kan conditions arise from the following:

1. Of what use is a path?

→ paths between types are what matter — they induce coercions $\xrightarrow{\text{equivalency}}$ and the only use of paths within types

is to define paths between types.

Q. What paths are there?

- univalence
- paths induced by families
- closure conditions

→ We saw the following faux def'n of C:

$$\begin{array}{c} \text{C type } [T] \\ \text{base } \in C[T] \\ \text{loop}_x \in C[T, z] \end{array} \rightsquigarrow \begin{array}{c} \text{base } \xrightarrow{\quad} \text{base} \\ \text{loop}_x \xrightarrow{\quad} \text{base} \end{array}$$

$$\frac{C\text{-elim}[c.C](M_{\text{base}}, z.M_{\text{loop}})(\text{base}) \xrightarrow{\quad} M_{\text{base}}}{\text{loop}_x \xrightarrow{\quad} M_{\text{loop}}}$$

This runs!

This works and you can prove various things to it, but it isn't what you want: there are no elements $\text{loop} \cdot \text{loop}_x$ or loop_x' capturing going around the loop multiple times or in the opposite direction. You want this to be the free type on these generators, that because this is inductively defined. We'll see what we mean by this later, but these are the closure conditions.

Will see a nice interplay between the pos & neg types: the negative types will already have all of the closure structure req'd; pos type will need to be augmented. (11)

Every inductive type (including C)
is positive

Idea

Lines represent "identifications"
of their end points (it is
misleading to call them equal).
Identifications are witnesses
to interchangeability.

$$M_0 \xrightarrow{M_x} M_1$$

- 1) They should be sym & trans if they are to be thought of as identifications.

$$M_1 \xrightarrow{N_x} M_0 ?$$

$$M_0 \xrightarrow{M_x} M_1 = \cancel{N_0} \underbrace{\xrightarrow{N_x} N_1}$$

adjacent

(Equality must be prior to identification, because if your lines are "equality", then how do you know they have the same endpoints? Using another line? Repeat ad infinitum.)

$$M_0 \xrightarrow{M_x \circ N_x} N_1$$

- 2) Substitution - coercion prop.

if $M_0 \xrightarrow{M_x} M_1$,
and F is a family, then you
want

$$F[M_0] \xrightarrow{F[M_x]} F[M_1]$$

→ Witness interchangeability.

Question

if $p \in \text{Path}_{-A}(M, N) [\mathbb{F}]$
 then $q \in \text{Path}_{-A}(N, P) [\mathbb{F}]$,
 ? $p \cdot q \in \text{Path}_{-A}(M, P) [\mathbb{F}]$?

Define $F(-) \stackrel{\sim}{=} \text{Path}_A(M, -)$.

↳ an A -indexed family of types:

$a : A \gg F(a)$ type $[\mathbb{F}]$

(Need to use the action of F on paths)

Notice $g @ x \in A[\mathbb{F}, x]$ A is constants/homogeneous case.

$g @ 0 = N \in A[\mathbb{F}]$ the important thing is
 $g @ 1 = P \in A[\mathbb{F}]$ that we have this line

Looking at the presheaf condition:
 Then

And $\underline{F[g]}$ type $[\mathbb{F}, x]$ is a line

$$F[g @ x] \langle 0/x \rangle = F[g @ 0] = F[N]$$

$$F[g @ x] \langle 1/x \rangle = F[g @ 1] = F[P]$$

$$\begin{array}{ccc} F[N] & \xrightarrow{F[g @ x]} & F[P] \\ \downarrow & & \downarrow \\ \text{Path}_A(M, N) & & \text{Path}_A(M, P) \end{array}$$

We have a line $\text{Path}(M, N) - \text{Path}(M, P)$ induced by the line $N - P$.

Ought to be that we can transport $p \in \text{Path}_A(M, N) [\mathbb{F}]$ along $\text{Path}_A(M, g @ x) [\mathbb{F}, x]$ to obtain $p \cdot q \in \text{Path}_A(M, P) [\mathbb{F}]$.

Claim: In order for transitivity to hold,
 there ought to be coercion.

Coerce from 0 to 1.

$$(\text{coe}_{x \in \text{Path}_A(M, N)}^{0 \rightsquigarrow 1} : \text{Path}_A(M, N) \rightarrow \text{Path}_A(M, N))$$

If you have an n-line, it ought to go from the left endpoint to the right endpoint

Coercion along a type line

Intuition: the line will give you everything you need to do the coercion.

Want a generic notion of coercion

$$\text{coe}_{x:A}^{r \rightarrow r'} : A(r/x) \rightarrow A(r'/x)$$

(this will end up being an equivalence)

given A type [F, x]

s.t. $r, r' \in \text{dim}[F]$ same start & end dim.

$$\text{coe}_{x:A}^{r \rightarrow r'}(M) \doteq M \in A(r/x)$$

This is critical

How could this work? coe looks at A to know what to do, and A tells you how to coerce. coe unpacks the equiv given by A, applies it to the left end & gets the right end.

Cases of coercion

Easiest $\text{coe}_{x:A}^{0 \rightsquigarrow 0} = \text{id}_{A(0/x)}$

$$\text{coe}_{x:A}^{1 \rightsquigarrow 1} = \text{id}_{A(1/x)}$$

Easy $\text{coe}_{x:A}^{0 \rightsquigarrow 1} : A(0/x) \rightarrow A(1/x)$ depends on A!

$$\text{coe}_{x:A}^{1 \rightsquigarrow 0} : A(1/x) \rightarrow A(0/x)$$

free/ambient dimension

Harder: $\text{coe}_{x,A}^{0 \rightsquigarrow x} \in A \langle 0/x \rangle \rightarrow A[\mathbb{F}, x]$
(NB: $x \neq \mathbb{F}$, x is bound below & free above)

This is an x -line!

$$(\text{coe}_{x,A}^{0 \rightsquigarrow x}) \langle 0/x \rangle = \text{coe}_{x,A}^{0 \rightsquigarrow 0} = \text{id}$$

$(\text{coe}_{x,A}^{0 \rightsquigarrow x}) \langle 1/x \rangle = \text{coe}_{x,A}^{0 \rightsquigarrow 1}$ is what it is.

$$(\text{coe}_{x,A}^{0 \rightsquigarrow 0})(M_0 \in A \langle 0/x \rangle) = M_0 \in A \langle 0/x \rangle$$

$\text{coe}_{x,A}^{0 \rightsquigarrow x}(M_0)$ is the x -line connecting M_0 to what it coerces to. It's

$$(\text{coe}_{x,A}^{0 \rightsquigarrow 1}(M_0) \in A \langle 1/x \rangle)$$

a heterogeneous line: the lines are classified by lines

$$\begin{array}{ccc} M_0 & \xrightarrow{\text{coe}^{0 \rightsquigarrow x}} & \text{coe}^{0 \rightsquigarrow 1}(M_0) \\ \cap & & \cap \\ A_0 & \xrightarrow{A} & A_1 \end{array}$$

Next time: $\text{coe}_{x,A}^{x \rightsquigarrow y}$ ends up being a square.

Last time

- Cubical "pre-type" theory
Types & elements are structured as cartesian cubes of arbitrary dimension

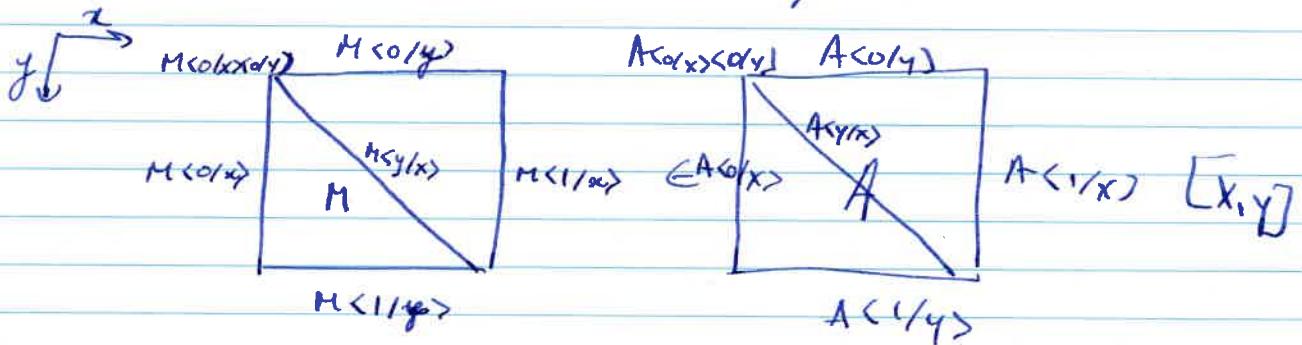
$$\begin{aligned} A &\doteq A' \text{ type } [\mathbb{F}] \\ M &\doteq M' \text{ type } [\mathbb{F}] \end{aligned}$$

NB: hyp judgments work naturally/uniformly wrt dimension

$$a : A \gg NGB[\mathbb{F}]$$

$$\text{iff } \forall \psi : \mathbb{F} \rightarrow \mathbb{F} \text{ if } M \doteq M' \in A \text{ then } N[M[x]] \doteq N[M'[x]] \in [M[x]]B$$

- Mental picture to keep in mind
(The Swedes draw it upside down)



Type lines are what matter!
(Element lines are there to induce type lines)

$$a : A \gg F \text{ type } [\mathbb{F}, x]$$

Sends x -lines in A to type lines:
if $M \in A[\mathbb{F}, x]$, $F(M[x])$ type $[\mathbb{F}, x]$

An important "other" type line is given by an equivalence (is up to path). Approx: if " $A \simeq B$ induces $\nu_A(E)$ type $[\mathbb{F}, x]$ "
s.t. $\nu_A(E) = A$ & $\nu_B(E) = B$ "

To be a type (as opposed to a pre-type) requires additional conditions called the Kan conditions:

I. Coercion along a type line

$$\text{coe}_{x:A}^{r \rightsquigarrow r'} : A \langle r/x \rangle \rightarrow A \langle r'/x \rangle$$

idea: think of A as induced by F

$$\underbrace{\text{coe}_{x:A}^{r \rightsquigarrow r'} : A \langle r/x \rangle \rightarrow A \langle r'/x \rangle}_{\text{HoTT}} \quad \text{F}[M] \quad \text{F}[N]$$

coe represents the transport in HoTT & does so in 2 stages:

1. It induces a type line
2. The coercion activates (runs it as a program) the type line

$$\text{coe}_{x:A}^{r \rightsquigarrow r'} : A \langle r/x \rangle \rightarrow A \langle r'/x \rangle$$

\approx

$$\text{tr}_{[a.F]}(P)$$

We examined various coe 's.

There's a funny, subtle interplay between type oblivious computation & type awareness.

$$\begin{aligned} \text{coe}_{x:A}^{r \rightsquigarrow r}(M) &\mapsto M & \} & \text{type-indep} \\ \text{coe}_{x:A}^{r \rightsquigarrow r}(M) &\mapsto M & \} & \text{depends on } A! \\ \text{coe}_{x:A}^{r \rightsquigarrow r}(M), \text{ coe}_{x:A}^{r \rightsquigarrow r}(M) &\} & & \text{depends on } A! \\ \text{coe}_{x:A}^{r \rightsquigarrow r}(M \in A \langle r/x \rangle) &\in A \langle r/x \rangle [x,y] & \} & \end{aligned}$$

these are both y -lines

Remark, they could already have varied in y .

$$\text{coe}_{x:A \langle 0/y \rangle}^{r \rightsquigarrow r}(M \langle 0/y \rangle \in A \langle 0/y \rangle \langle 0/x \rangle) \in A \langle y/x \rangle \langle 0/y \rangle$$

$$\doteq M \langle 0/y \rangle \in A \langle x/y \rangle \langle 0/x \rangle$$

$$\text{coe}_{x:A \langle 1/y \rangle}^{r \rightsquigarrow r}(M \langle 1/y \rangle \in A \langle 1/x \rangle \langle 1/y \rangle) \in A \langle y/x \rangle \langle y/y \rangle$$

$$= A \langle 1/y \rangle \langle 1/x \rangle$$

deps on $A \langle 1/y \rangle$. Could be computable

due to taking a face. This is why you need coherence: taking faces commutes in computation

$\text{coe}_{x:A}^{y \neq y}(M) \leftrightarrow M$ — symmetric to previous

$\text{coe}_{x:A}^{y \neq y}(M) \leftrightarrow M$. This should strike you as odd because we're mixing formal & semantic variables. In PL, you can never run when you have variables lying around — they're gone by that point! But here we're talking about equality between variables and it complicates things b/c you need to worry about coherence.

Suppose $y \neq y'$. Then the only possible reductions of $(\text{coe}_{x:A}^{y \neq y'}(M)) \xrightarrow{*} M'$

are determined by $A \xrightarrow{\text{a billion steps}} M'$

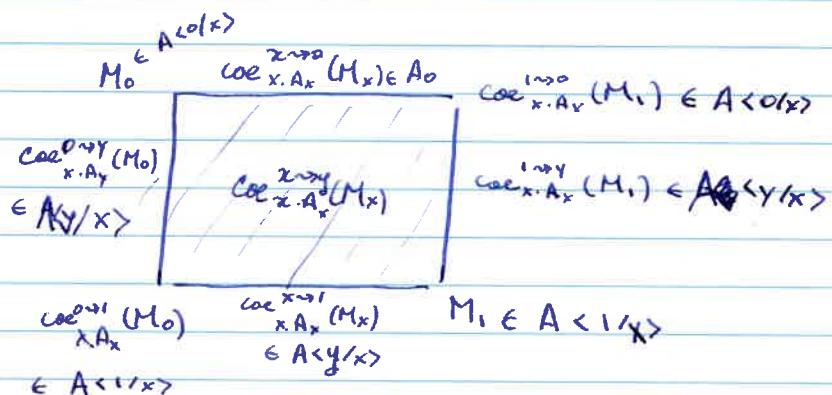
Now suppose you take

$$\begin{aligned} \text{coe}_{x:A}^{y \neq y'}(M) \langle y/y' \rangle &= \text{coe}_{x:A}^{y \neq y}(M) \xrightarrow{*} M \\ &= \text{coe}_{x:A}^{y \neq y}(M \langle y/y' \rangle) \xrightarrow{*} M \langle y/y' \rangle \xrightarrow{*} \end{aligned}$$

and you need to make sure you get the same thing.

Given $M \in A[\mathbb{F}, x]$,

$$M_0 \xrightarrow{M} M_1 \in A \xrightarrow{A} A[\mathbb{F}, x]$$



Type-specific coercion

$$\text{coe}_{x:A}^{r \rightsquigarrow r'}(M) \quad (r \neq r')$$

Bool is closed, so it doesn't depend on the var.
Let's figure out what this should be:

$$\text{coe}_{\substack{-: \text{Bool} \\ \text{Nat} \\ \mathbb{C}}}^{r \rightsquigarrow r'}(M \in \frac{\text{Bool}}{\text{Nat}}) \mapsto M$$

No variation \Rightarrow nothing to do.

Now assume we have variation

We're in the strict
booleans, that have
only refl all the
way up

$$\text{coe}_{x:A \rightarrow B}^{r \rightsquigarrow r'}(M \in A \langle r/x \rangle \rightarrow B \langle r/x \rangle) \in A \langle r'/x \rangle \rightarrow B \langle r'/x \rangle$$

[?]

$$= \lambda a \in A \langle r'/x \rangle. \text{coe}_{x:B}^{r \rightsquigarrow r'}(M(\text{coe}_{x:A}^{r \rightsquigarrow r}(a)))$$

$\underbrace{\phantom{\lambda a \in A \langle r'/x \rangle. \text{coe}_{x:B}^{r \rightsquigarrow r'}(M(\text{coe}_{x:A}^{r \rightsquigarrow r}(a)))}}_{\in A \langle r/x \rangle}$
 $\underbrace{\phantom{\lambda a \in A \langle r'/x \rangle. \text{coe}_{x:B}^{r \rightsquigarrow r'}(M(\text{coe}_{x:A}^{r \rightsquigarrow r}(a)))}}_{\in B \langle r/x \rangle}$
 $\underbrace{\phantom{\lambda a \in A \langle r'/x \rangle. \text{coe}_{x:B}^{r \rightsquigarrow r'}(M(\text{coe}_{x:A}^{r \rightsquigarrow r}(a)))}}_{\in B \langle r'/x \rangle}$

What makes this correct? CIC requires a story, which we'll get into later.

$$\text{coe}_{x:(a:A \rightarrow B)}^{r \rightsquigarrow r'}(M \in a: A \langle r/x \rangle \rightarrow B \langle r/x \rangle) \in a: A \langle r'/x \rangle \rightarrow B \langle r'/x \rangle$$

$$\lambda a \in A \langle r'/x \rangle.$$

?

$$(M(\text{coe}_{x:A}^{r \rightsquigarrow r}(a)))$$

$\underbrace{\phantom{(M(\text{coe}_{x:A}^{r \rightsquigarrow r}(a)))}}_{B_a \langle r/x \rangle [\text{coe}_{x:A}^{r \rightsquigarrow r}(a)/a]}$
 $\underbrace{\phantom{(M(\text{coe}_{x:A}^{r \rightsquigarrow r}(a)))}}_{B_a \langle r/x \rangle [a/a]}$

We're kind of stuck.
We want,

$B_a \langle r/x \rangle [a/a] \leftarrow$ and we've changed
what we're substituting in
for $a = B$...

Is there any way to get

$$B \langle r/x \rangle [\text{coe}_{x:A}^{r \rightsquigarrow r}(a)/a] \xrightarrow{?} B \langle r/x \rangle [a/a]$$

Build a bin & rely on the action of B on lines!

$$B \in \mathbb{R}/x \left[\underbrace{\text{coe}_{x \cdot A}^{r \rightsquigarrow r'}(a)/a}_{x\text{-line}} \right] \quad \left. \right\} x\text{-line}$$

Then you get the answer.

$$\lambda a \in A \in \mathbb{R}'/x.$$

$$\text{coe}_{x \cdot B_x \left[\underbrace{\text{coe}_{x \cdot A}^{r \rightsquigarrow r'}(a)/a}_{x\text{-line}} \right]}^{r \rightsquigarrow r'} \left(M \left(\text{coe}_{x \cdot A}^{r \rightsquigarrow r'}(a) \right) \right)$$

$$B \in \mathbb{R}'/x \left[\text{coe}_{x \cdot A}^{r \rightsquigarrow r'}(a)/a \right]$$

\curvearrowright

$$B \in \mathbb{R}'/x [a/a]$$

Exercises:

$$1. \text{coe}_{x \cdot (A \times B)}^{r \rightsquigarrow r'} (M \in A \in \mathbb{R}_x \times B \in \mathbb{R}_x) \in A \in \mathbb{R}'_x \times B \in \mathbb{R}'_x$$

$$2. \text{coe}_{x \cdot (a : A \times B)}^{r \rightsquigarrow r'} (M \in a : A \in \mathbb{R}_x \times B \in \mathbb{R}_x) \in a : A \in \mathbb{R}'_x \times B \in \mathbb{R}'_x$$

Just carefully follow your nose.

Consider now

$$\text{coe}_{x \cdot \text{Path}_{y \cdot A}(P_x, Q_x)}^{r \rightsquigarrow r'} (R \in \text{Path}_{y \cdot A \in \mathbb{R}_x} (P_x, Q_x))$$

$$(P \in \mathbb{R}'_x, Q \in \mathbb{R}'_x)$$

$$\begin{matrix} & \nearrow & \searrow \\ & R & \\ \nearrow & & \searrow \\ A \in \mathbb{R}'_x \times \mathbb{R}'_x & & A \in \mathbb{R}'_x \times \mathbb{R}'_x \end{matrix}$$

$$\in \text{Path}_{y \cdot A \in \mathbb{R}'_x} (P \in \mathbb{R}'_x, Q \in \mathbb{R}'_x)$$

$$\begin{matrix} & \nearrow & \searrow \\ & R & \\ \nearrow & & \searrow \\ A \in \mathbb{R}'_x \times \mathbb{R}'_x & & A \in \mathbb{R}'_x \times \mathbb{R}'_x \end{matrix}$$

Recall, These are guided paths of the form $\langle y, p \rangle$.

This is non-trivial and forces us to consider another condition on paths called Kan composition.

Then we will get that a

type = pre-type
+ Kan coercion
+ Kan composition (enough lines)

Given $M \in A[\bar{Y}, x]$

$$M_0 \xrightarrow{M} M_1 \in A_0 \xrightarrow{A} A_1$$

we have the square

$$\begin{array}{ccccc}
 & \xrightarrow{x} & & & \\
 y \downarrow & M_0 & \xrightarrow{\text{coe } \frac{x \rightsquigarrow 0}{x \cdot A_0}(M_0)} & \text{coe } \frac{x \rightsquigarrow 0}{x \cdot A_0}(M_0) & \\
 \text{coe } \frac{x \rightsquigarrow 0}{x \cdot A_0}(M_0) \in A_y & \downarrow & \text{coe } \frac{x \rightsquigarrow 0}{x \cdot A_x}(M_x) & & \text{coe } \frac{x \rightsquigarrow 0}{x \cdot A_x}(M_x) \in A_y \\
 & \downarrow & & & \\
 \text{coe } \frac{x \rightsquigarrow 1}{x \cdot A_x}(M_0) & \xrightarrow{\text{coe } \frac{x \rightsquigarrow 1}{x \cdot A_x}(M_x) \in A_1} & M_1 \in A_1 & &
 \end{array}$$

(In $\text{coe } \frac{x \rightsquigarrow 0}{x \cdot A_x}(M_x)$, the x in " $x \rightsquigarrow 0$ " & " M_x " are free, and the x in $x \cdot A_x$ is bound)

For all types A , $\text{coe } \frac{x \rightsquigarrow 0}{x \cdot A}(M) \mapsto M$. The other cases depend on A .

being able to realize $x \rightsquigarrow x$ is the same variable is important

$$\text{coe}_- \text{Bool}(M) \mapsto M$$

$\frac{c}{\text{Nat}}$

$$\text{coe } \frac{r \rightsquigarrow r}{A \leftarrow r/x} \rightarrow B \leftarrow r/x$$

$$\begin{aligned}
 \text{coe } \frac{r \rightsquigarrow r}{x \cdot A \rightarrow B}(M) &\in A \leftarrow r'/x \xrightarrow{\text{coev}} B \leftarrow r'/x \\
 &\triangleq \lambda a \in A \leftarrow r'/x . \text{coe } \frac{r \rightsquigarrow r}{x \cdot B} (M(\text{coe } \frac{r \rightsquigarrow r}{x \cdot A}(a)))
 \end{aligned}$$

$$\begin{aligned}
 \text{coe } \frac{r \rightsquigarrow r'}{x \cdot (a \cdot A \rightarrow B(a))}(M \triangleq a \cdot A \leftarrow r/x \rightarrow B(a) \leftarrow r/x) &\triangleq \lambda a \in A \leftarrow r'/x . \text{coe } \frac{r \rightsquigarrow r'}{x \cdot B[\text{coe } \frac{r \rightsquigarrow r}{x \cdot A}(a)/a]}(M(\text{coe } \frac{r \rightsquigarrow r}{x \cdot A}(a))) \\
 &\quad \underbrace{\text{EA} \leftarrow r/x}_{\in B[\text{coe } \frac{r \rightsquigarrow r}{x \cdot A}(a)/a] \leftarrow r/x} \\
 &= B \leftarrow r/x [\text{coe } \dots /a]
 \end{aligned}$$

$$\text{coe } \frac{r \rightsquigarrow x}{x \cdot A} G A \leftarrow r/x \rightarrow A \leftarrow x/x$$

$$\text{coe } \frac{r' \rightsquigarrow r}{x \cdot A}(a) \quad \text{coe } \frac{r' \rightsquigarrow x}{x \cdot A}(a) \quad \text{coe } \frac{r \rightsquigarrow r'}{x \cdot A}(a) = a$$

$$\begin{aligned}
 B[\xrightarrow{a} /a] &\xrightarrow{B[\xrightarrow{y} /a] \rightarrow B[\xrightarrow{a} /a]} B \leftarrow r'/x \leftarrow \neg a /a = \\
 &= B \leftarrow r/y/x \leftarrow a
 \end{aligned}$$

$\begin{array}{c} x \\ \downarrow \\ y \end{array}$ $\text{coe}_y. \text{Path}_{x.A}(P_0, P_1) \in \text{Path}_{x.A < r/y>} (P_0 < r/y>, P_1 < r/y>) \rightarrow \text{Path}_{x.A < r'/y>} (P_0 < r'/y>, P_1 < r'/y>)$ [F]

where $P_0 \in A < r/x>$, $P_1 \in A < r'/x>$ $[F, y]$
 A type $[F, x, y]$

Given $M \in \text{Path}_{x.A < r/y>} (P_0 < r/y>, P_1 < r/y>)$ [F],

we know $M @ 0 = P_0 < r/y> \stackrel{M @ x}{\sim} M @ 1 = P_1 < r/y> \in A < r/y> [F, x]$

Want $\left\{ \begin{array}{l} ? \\ ? \\ ? \end{array} \right\} \in A < r'/y> [F, x]$ $\left\{ \begin{array}{l} ? \\ ?'' \\ ?'' \end{array} \right\} \in A < r'/x> < r'/y> [F, x]$

$P_0 < r'/y> \in A < r/x> < r'/y> [F, x]$

Then $\langle x, ? \rangle \in \text{Path}_{x.A < r'/y>} (P_0 < r'/y>, P_1 < r'/y>)$ [F],

which is what we want.

What if we took the line

$\left\{ \begin{array}{l} \text{coe}_y. \text{Path}^{r \rightsquigarrow r'}(M @ x) \in A < r'/y> [F, x] \\ \text{coe}_y. A < r/x> (M @ 0) \stackrel{A < r/y>}{\sim} \text{coe}_y. A < r/x> (M @ 1) \end{array} \right. ?$

$P_0 < r/y> \in A < r/x> < r'/y> [F]$ $P_1 < r/y> \in A < r/x> < r'/y> [F]$

We know that $P_0 \in A < r/x> [F, y]$, so $P_0 < r/y> \in A < r/x> < r/y> [F]$
 $P_1 \in A < r/x> [F, y]$, so $P_1 < r/y> \in A < r/x> < r/y> [F]$.

The endpoints $\langle ? \rangle$ are in the right type,
but the endpoints aren't the ones,
we want $(P_1 < r'/y> \text{ vs. } \text{coe}_y. A < r/x> (M @ ?))$.

Note: 1) $\text{coe}_y. A < r/x> (P_0) \in A < r/x> < r/y> [F, y]$

2) $\text{coe}_y. A < r/x> (P_1) \in A < r/x> < r'/y> [F, y]$.

When $y = r$, we get

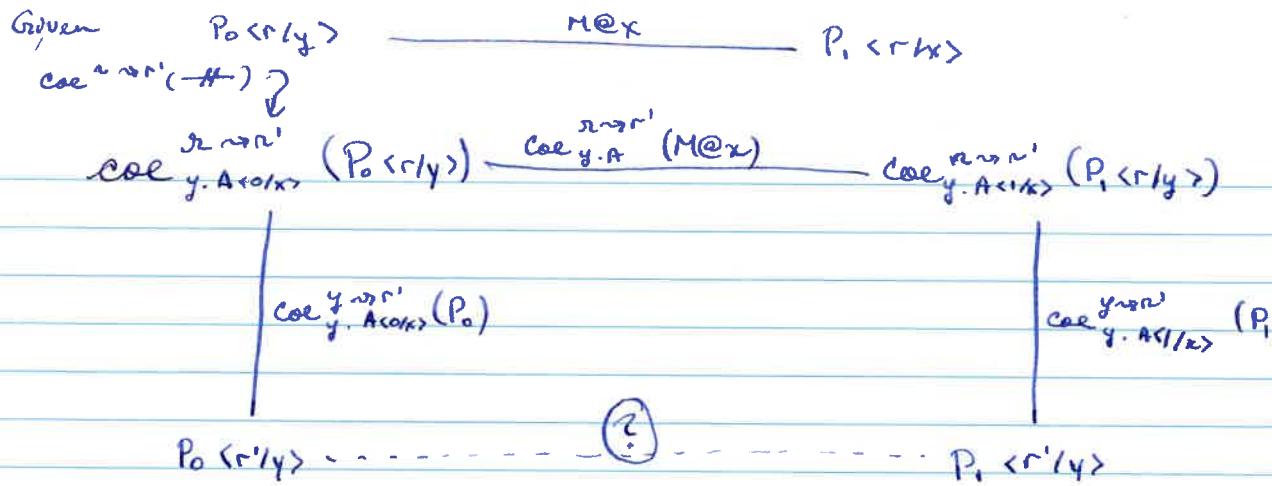
1) $\text{coe}_y. A < r/x> (P_0 < r/y>)$

2) $\text{coe}_y. A < r/x> (P_1 < r/y>)$

when $y = r'$

$\text{coe}_y. A < r/x> (P_0 < r'/y>) \mapsto P_0 < r/y>$

$\text{coe}_y. A < r/x> (P_1 < r'/y>) \mapsto P_1 < r/y>$



If lines are data witnessing the interchangeability of endpoints, it stands to reason that we should be able to interchange the $P_i \langle r'/y \rangle$. To get this, we require our types to have/satisfy Kan composition, which we introduce now:

$$? = \text{hcom}_{A(r/y)}^{r \rightsquigarrow r'} \left(\text{coe}_{y. A}^{r \rightsquigarrow r'} (M @ x); \begin{cases} x=0 \hookrightarrow y. \text{coe}_{y. A @ 0/x}^{r \rightsquigarrow r'} (P_0) \\ x=1 \hookrightarrow y. \text{coe}_{y. A @ 1/x}^{r \rightsquigarrow r'} (P_1) \end{cases} \right)$$

the "system", which describes what to glue at the endpoints.

Cleaner notation: " $\text{com}_{y. A}^{r \rightsquigarrow r'} (M @ x; \{ \begin{cases} x=0 \hookrightarrow y. P_0 \\ x=1 \hookrightarrow y. P_1 \end{cases} \})$ "

and then the image of M becomes the quotation of this composition.

coe = what do you do w/ the lines you have
 hcom = you have enough lines.

Topic Kan conditions on pre-types sufficient to be types

Pretypes — cubical structure

- ramifications into dim
- higher dims are supposed to represent identifications (evidence for interchangeability)

"Why?" { 1. coercion along a type identification
 $\text{coe}^{x \rightsquigarrow A}(M) \in A <^r/x>$

"What?" { 2. composition of identifications
 $\text{hcom}_A^{x \rightsquigarrow r}(M; f_i \rightarrow g_i . N_i)$

A motivation for composition:
 derive coe in a Path type.

$$\text{coe}^{y \rightsquigarrow P_0 \langle r/y \rangle}(P_0 \langle r/y \rangle) \xrightarrow{\text{coe}^{y \rightsquigarrow A}(M @ x)} \text{coe}^{y \rightsquigarrow P_1 \langle r/y \rangle}(P_1 \langle r/y \rangle)$$

? ----- ?

$$\text{coe}^{y \rightsquigarrow P_0 \langle r/y \rangle}(P_0) \quad \text{and} \quad \text{coe}^{y \rightsquigarrow P_1 \langle r/y \rangle}(P_1)$$

$$\text{coe}^{y \rightsquigarrow \text{Path}_x(P_0, P_1)}(M) = ?$$

The identifications we want is notated thus:

$$\text{hcom}_A^{x \rightsquigarrow r}(\text{coe}^{y \rightsquigarrow A}(M @ x); \begin{cases} x=0 \rightarrow y . \text{coe}^{y \rightsquigarrow P_0 \langle r/y \rangle}(P_0) \\ x=1 \rightarrow y . \text{coe}^{y \rightsquigarrow P_1 \langle r/y \rangle}(P_1) \end{cases})$$

"Caps" "Tubes"

homogeneous (We have implicit adjacency constraints that when $x=0$ & $y=x$, then the ends are the same, & similarly when $x=1$ & $y=x$, then the lines meet up in the corners in the above diagram)
 aka $\text{com}_{x \cdot A}^{x \rightsquigarrow r}(M @ x; \{x=0 \rightarrow y . P_0\})$

Derived from

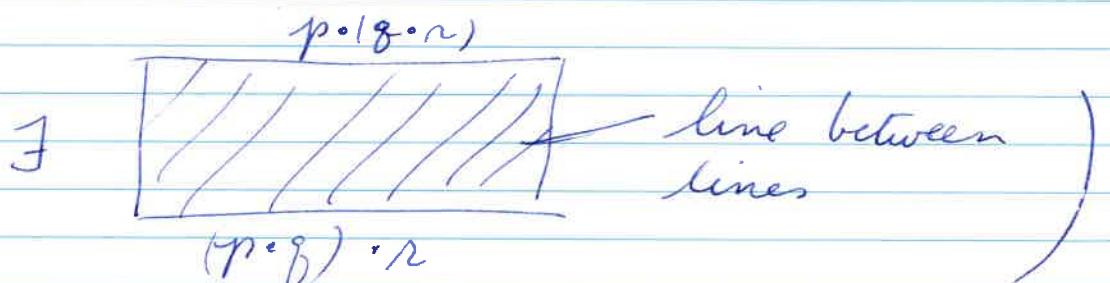
↳ see (137)

We claim this is the general form/case.
 eg.) $a \cdot p \cdot b$ is a || q Transitivity!

$\frac{p \cdot q \cdot c}{a \cdot \dots \cdot c}$ concat (135)



(Can derive higher identifications
witnessing groupoid laws



The validity of such an ~~comp~~ is due to a higher ident~~comp~~, which holds due to a higher identification, and it's "lies all the way up" and nobody can ever catch you out.

Two ways you can justify this:

1. Interpret in terms of some more complicated theory.
2. Accept it via a computational outlook.
(The only way we finite beings can speak of the infinite is via computation.)

Kan conditions (to be a type)

A type $[\mathbb{F}]$ means

- 1) $\mathbb{F} \models A_0$ and A_0 is a canonical type in \mathbb{F}
- 2) coercion structure

if $\mathbb{F} = \mathbb{F}', x$, then for all r, r'

$$\text{co}^{\frac{r \circ r'}{x}}_{x, A} : A \langle r/x \rangle \rightarrow A \langle r'/x \rangle$$

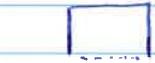
then $\text{co}^{\frac{r \circ r'}{x}}_{x, A} = \text{id}_{A \langle r/x \rangle} [\mathbb{F}]$

3) composition structure

The following exist



1-D line



$\text{hcom}_A^{x \sim y}$

"composite"



$\text{hcom}_A^{x \sim y}$

2-D filler

$\text{hcom}_A^{x \sim y}$

2-D filler

"window shade"

} which fills forms
the square

$\text{hcom}^{y \sim z}$

$\text{hcom}^{y \sim z}$?

Special case

Given $M \in A[\mathbb{F}, x]$ "cap"

$N_0 \in A[\mathbb{F}, x, y \mid x=0]$ "tube"

$N_1 \in A[\mathbb{F}, x, y \mid x=1]$ "tube"

s.t. $N_0 \langle r/y \rangle \doteq M \in A[\mathbb{F}, x \mid x=0]$

we obtain $N_1 \langle r/y \rangle \doteq M \in A[\mathbb{F}, x \mid x=1]$

we obtain

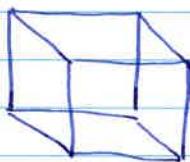
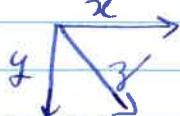
s.t. $\text{hcom}_A^{x \sim y} (M; \{ \begin{matrix} x=0 \rightarrow y \cdot N_0 \\ x=1 \rightarrow y \cdot N_1 \end{matrix} \}) \in A[\mathbb{F}, x]$

if $r=r'$, then $\text{hcom}(*) \doteq M \in A[\mathbb{F}, x]$

if $r \neq r'$, then $\text{hcom}(*) \doteq N_0 \langle r'/y \rangle \in A[\mathbb{F}, x \mid x=0]$
 $\text{hcom}(*) \doteq N_1 \langle r'/y \rangle \in A[\mathbb{F}, x \mid x=1]$

This covers the picture on 134

What happens when you go up one dimension
and the cap is a square, the composite
is a square, and the filler is a cube?



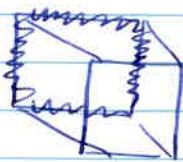
3 { back face "cap"
front face "comp"
xy



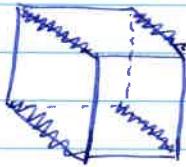
Top, bottom, L, R faces = tube
Entire cube = filler

You have 2 sets of adjacency constraints:

1) the tube faces must be adj to the caps

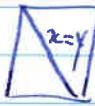


2) the tube faces must be adjacent to each other



A general naturality condition tells us that we should be able to do things incrementally or all at once & get the same thing: e.g. Start w/ Back, Top, Bottom then fill in sides then get front vs. Start out w/ the 7 faces & then do the front.

What about diagonals?



They are a way of expressing coherence!!! This will be the key to making univalence work.

General Case

This is a theorem about the semantics.

cf A type [\mathbb{F}]

$M \in A$ [\mathbb{F}]

$r_i = r'_i$ valid

p. (138)

(cap adj)

$(\forall i) N_i \cdot \text{crys} \Rightarrow M \in A [\mathbb{F} \mid r_i = r'_i]$

(tube adj)

$(\forall ij) N_i = N_j \in A [\mathbb{F} \mid r_i = r'_i, r_j = r'_j]$

then $\text{hcom}_A^{n \times n'}(M; \overrightarrow{r_i = r'_i \rightarrow g_i N_i}) \in A[\mathbb{F}]$

s.t. if $r = r'$, then $\text{hcom}(\#) = M \in A[\mathbb{F}]$

$\# r \neq r'$, then $\text{hcom}(\#) = N_i \cdot \text{crys} \in A[\mathbb{F} \mid r_i = r'_i]$

(138)

$r_i = r'_i$ valid:

either

1) r_i is r'_i ($\exists i$)
 $0=0, 1=1, x_i = x_i$

or

2) $\underbrace{r_i \neq r_j}, \underbrace{r'_i \neq 0} \quad r'_j \neq 1$ ($\exists i, j$)
the same

$$\begin{array}{ll} x=0 & x=1 \\ \| & \| \\ x_i & x_j \end{array}$$

What are the computation rules?

$\text{hcom}_A^{r \rightsquigarrow r}(M, _ _) \mapsto M$

$\text{hcom}_A^{r \rightsquigarrow r'}(M; \xi_i \rightsquigarrow y. N_i, _ _)$

$\mapsto N_i \langle r'_i / y \rangle$ left-most, b/c we
want a determinate op. sem.

$\text{hcom}_A^{r \rightsquigarrow r'}(M; \xi_i \rightsquigarrow y. N_i)$

$\mapsto \text{fcom}_A^{r \rightsquigarrow r'}(M; \xi_i \rightsquigarrow y. N_i) \text{ val}$

"free composition"
"formal composition"

(Q: What is it supposed to do when
it encounters one of these formal
objects? If thinks there are only
two bools.)

Last time: Kan conditions

(What) 1. Coercions $\text{coer}_{x:A}^{r \rightsquigarrow r'} : A(r/x) \rightarrow A(r'/x)$
 s.t. $\text{coer}_{x:A}^{r \rightsquigarrow r'}(M) \mapsto M$.

(Which) 2. Composition $\text{hcom}_A^{r \rightsquigarrow r'}(M; \xi_i \rightarrow y.N_i)$

ξ_i are are elim equations s.t.
 one is true or there is a pair $r=0, r=1$.

$\text{hcom} \in A[\mathbb{F}]$ when

$$M \in A[\mathbb{F}]$$

$$N_i \in A[\mathbb{F}, y | \xi_i]$$

adjacency

$$\begin{cases} N_i \llcorner x/y \models M \llcorner \xi_i/x \in A \\ N_i = N_j \in A[\mathbb{F} | \xi_i, \xi_j] \end{cases}$$

t.t.

$$\text{hcom}_A^{r \rightsquigarrow r}(M; -) \mapsto M$$

$$\text{hcom}_A^{r \rightsquigarrow r}(M; - \quad \xi_i \rightarrow y.N_i \quad -) \mapsto N_i \llcorner y$$

leftmost true

Visually, it helps to think of the cube and
 (the 0, 1 cases)

Defining hcom_A for various A

1) strict booleans ("built-in" / "by hand")

Bool — observables for canonicity:
 Closed terms should evaluate to
 true or false. Implies termination

Bool val

Bool \doteq Bool type [\mathbb{F}]

tt, ff val

tt \doteq tt \in Bool [\mathbb{F}]

ff \doteq ff \in Bool [\mathbb{F}]

$$\text{if}_{x:A}(M; M_0, M_1) \mapsto \text{if}_{x:A}(M'; M_0, M_1) \text{ if } M \mapsto M'$$

$$\text{if } (\text{tt}; M_0; M_1) \mapsto \text{if } M_0$$

$$\text{if } (\text{ff}; M_0; M_1) \mapsto \text{if } M_1$$

We claim this is a Kan type, so we must

give compositions.

$$\text{coe}^{\text{r} \rightsquigarrow \text{r}'(\text{M}) \rightsquigarrow \text{M}}_{\text{Bool}}$$

$$\text{hcom}^{\text{r} \rightsquigarrow \text{r}'}_{\text{Bool}}(\text{M}; \xi_i \rightarrow y, N_i) \rightsquigarrow \text{M}$$

No lines! (Other than degeneracies)

Check that the required conditions hold to be a valid Kan structure!
(This is so degenerate in every way that this is almost automatically Kan)

2) wBool weak booleans ("ind. defined")

"the ^{free} least Kan type generated by true & false generators: $\underline{\text{tt}}, \underline{\text{ff}} \in \text{wBool}[\mathbb{F}]$ "

For technical reasons, last time we had $\text{hcom} \mapsto \text{from val}$. We'll just do, for today, the following:

$$\text{hcom}^{\text{r} \rightsquigarrow \text{r}'}_{\text{wBool}}(\text{M}; \xi_i \rightarrow y, N_i) \text{ val}_\pm$$

a $\begin{bmatrix} \text{t} \\ \text{f} \end{bmatrix} \in \text{wBool}[\mathbb{F}]$ } We are oblivious of the fact that adjunction requirements force only squares to be uninteresting instances of ~~these~~ tttt or ffff. So we just threw in the hcom as a formal object & call it a day.

∴ There are infinitely many booleans!

$$\forall_{a:A} (\text{M}; M_0; M_1) \in A[\text{M}/a][\mathbb{F}]$$

"property of M"

provided $\begin{cases} M_0 \in A[\text{tt}/a] & M_1 \in A[\text{ff}/a] \\ a: \text{Bool} \Rightarrow A \text{ type} \end{cases} [\mathbb{F}]$

But what do you do for

$$\text{if}_{a,A} (\text{hcom}_{\text{wBool}}^{\text{row}} (M; \xi_i \rightarrow y.N_i); \text{Hof}_A) \rightarrow ?$$

$\in \text{wBool} (F_A)$

The hcom is an x-line. This feels a lot like the embarrassing situation we were in where we had inhabitants of an identity type that ~~were~~ weren't refl.

What to do?

$$\text{if}_{a,A} (-; M_0; M_1) = F(-)$$

$$\text{Want } b : \text{Bool} \Rightarrow F(b) \in A[b/a] [\exists]$$

What do we know about these mappings?

We have a presheaf semantics!

The mapping \rightarrow sends points to points

\rightarrow sends lines to lines

\hookrightarrow preserves identifications!

$$\text{if}_{a,A} (\text{hcom}(-); M_0; M_1) \mapsto ?$$

T

Want to preserve the hcom line.

What does this mean?

" $F \circ \text{wBool} \rightarrow A$ "

$$\begin{array}{ccc} \text{line} \in \text{wBool} & \rightarrow & \text{line} \in A \\ M \vdash N_1 & \xrightarrow{\text{F}(M)} & F(N_1) \end{array}$$

I hcom

Does this hcom exist?

This is not quite right
see (143)

\rightarrow takes advantage of the motive

$$F(\text{hcom}_{\text{wBool}}^{\text{row}} (M; \xi_i \rightarrow y.N_i)) \mapsto$$

$$\mapsto \cancel{\text{hcom}_{\text{wBool}}^{\text{row}}} (F(M); \xi_i \rightarrow y.F(N_i)) \in$$

$A[\exists/a]$

\rightarrow $\cancel{\text{EA}[M/a]}$ different types $\rightarrow \cancel{\text{EA}[N_i/a]}$

~~(*)~~ ~~different types~~

$$\in A[\text{hcom}_{\text{wBool}}^{\text{row}} (M; \xi_i \rightarrow y.N_i)/a]$$

middle

Can assume A is Kan, because our whole setup assumes we live in a world where all types are Kan $\Rightarrow A$ has composition!

Take the line in wBool and ask A to make a line out of it. (142)

Need to know the motive!

What should \otimes be?

Let's look at the z line

$\oplus \text{ hcom}_{w\text{Bool}}^{r \rightsquigarrow y} (M; \xi_i \rightarrow y.N_i)$

z line @ $r = M$

z line @ $r(r) = \text{hcom}_{w\text{Bool}}^{r \rightsquigarrow r} (-4-)$

So we have a z line

$M \xrightarrow{\text{hcom}_{w\text{Bool}}^{r \rightsquigarrow r}} (-4-)$

$A[\text{hcom}^{r \rightsquigarrow r}(-)] \xrightarrow{A[\text{hcom}^{r \rightsquigarrow r}(-)]} A(\text{hcom}^{r \rightsquigarrow r}(-))$

Need to show $F(M) \in A[\text{hcom}^{r \rightsquigarrow r}(-)] \stackrel{r \mapsto r}{=} A(M)$
 $F(N_i) \in A[-] \stackrel{r \mapsto r}{=} A(N_i)$

Because the type varies in z , we can't use
~~hcom~~, the hcom \bar{w} \oplus for \otimes .
Rather, we must generalize to a com:

heterogeneous composition

$\text{com}_{z.A}^{r \rightsquigarrow r'}(M; \xi_i \rightarrow y.N_i)$
line

$\cong \text{hcom}_{A(r/r')}^{r \rightsquigarrow r'}(\underbrace{\text{coe}_{z.A}^{r \rightsquigarrow r'}(M)}_{\in A(r/r')}; \xi_i \rightarrow y. \underbrace{\text{coe}_{z.A}^{r \rightsquigarrow r'}(N_i)}_{A(r/r')})$

So we get:

$F(\text{hcom}_{w\text{Bool}}^{r \rightsquigarrow r'}(M; \xi_i \rightarrow y.N_i)) \mapsto$

$\mapsto \text{com}_{z.A[\oplus/\alpha]}^{r \rightsquigarrow r'}(F(M); \xi_i \rightarrow y.F(N_i))$

$\text{coe}(F(M))$
 $\text{coe}(F(N_i))$

So in short, we know what to do on H, H' ,
and when we see an hcom , we just

rotate the composite out / shift it off onto A.

Remark: Can't do any of this if you don't know what the motive is!

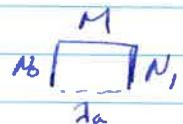
Let's look at more examples.

3) $A \rightarrow B$ (no dep)

$$\text{hcom}_{A \rightarrow B}^{\text{no dep}} (M; \xi_c \hookrightarrow g.N_i)$$

$$\mapsto \exists a. \text{hcom}_{B}^{\text{no dep}} (M(a), \xi_c \hookrightarrow g.N_i(a))$$

"a list of functions is
a function of lists"
 $\in A \rightarrow B$



Adjacency still holds because if the two functs are adj, then they remain adj when applied.

$$N_i \quad \boxed{N_i} \xrightarrow{M(a)} \boxed{N_i(a)} \quad | \quad N_i(a)$$

4) $a: A \rightarrow B(a)$

$$\text{hcom}_{a: A \rightarrow B(a)}^{\text{no dep}} (M; \xi_c \hookrightarrow g.N_i)$$

$$\mapsto \exists a. \text{hcom}_{B(a)}^{\text{no dep}} (M(a); \xi_c \hookrightarrow g.N_i(a))$$

5) $a: A \times B(a)$

$$\text{hcom}_{a: A \times B(a)}^{\text{no dep}} (M; \xi_c \hookrightarrow g.N_i)$$

Need to be careful: dependency trips you up.
With dependency, just map the dep.

$$\in a: A \times B(a)$$

$$\xrightarrow[\text{A} \times \text{B}]{} \langle \text{hcom}_{A}^{\text{no dep}} (\text{fst}(m), \xi_c \hookrightarrow g.\text{fst}(N_i)), \text{snd}(m), \text{fst}(\text{fst}(m)) \rangle$$

$$\xrightarrow[\text{A} \times \text{B}]{} \langle \text{hcom}_{A}^{\text{no dep}} (\text{fst}(m), \xi_c \hookrightarrow g.\text{fst}(N_i)), \text{snd}(m), \text{fst}(\text{fst}(m)) \rangle$$

6) Check for yourself: $\text{Path}_{x,A}(P; Q)$

$\text{hcomp}_{\text{Path}_{x,A}(P; Q)}^{\text{root}}(M; \overrightarrow{\xi_i \rightarrow y_i N_i})$

Hint: Dimension shifts: augment constraints
 $\hat{w} \quad x=0, x=1.$