

1 **Patterned progression of gut microbiota**
2 **predisposes preterm infants to necrotizing**
3 **enterocolitis and late-onset sepsis: data**
4 **from a non-Western population**

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27 **ABSTRACT**

Background and Objectives

Intestinal microbiota dysbiosis might predispose preterm infants to necrotizing enterocolitis(NEC) and late-onset sepsis(LOS). In this observational prospective study, we aimed to profile and compare microbiota progression pattern in non-Western preterm patients who subsequently developed these two diseases.

Methods

We enrolled preterm infants with gestational age less than 33 weeks and birth weight more than 950g, from July 2013 to December 2014, among whom four subsequently developed NEC, three LOS, and the rest 17 were healthy controls. We began fecal sample collection from the the first stool after birth and prospectively collected until discharge. Bacterial V3 V4 region of 16s rRNA genes from each stool sample were amplified, sequenced and analyzed.

Results

A total of 192 fecal samples from 24 patiens were studied, of whom four developed NEC, three LOS; the remaining 17 were used as controls. [The postpartum gut microbiota colonization started to diverge among NEC, LOS and their matched control groups, from the second week after birth. Microbiota of the LOS infants was the least diversified (Shannon index=1.66), while that of the control group was the most diversified(Shannon index=0.88, $p=0.01$). Potentially pathogenic genus *Enterococcus* (20.86%) and *Staphylococcus* (8.67%) were prominent in NEC patients and *Klebsiella* (42.15%) in LOS group. Both two groups addressed lower proportion of *Lactococcus* (7.98% and 13.76% in NEC and LOS group, respectively) than the control group (3.66%).]

Conclusions

Postpartum colonization pattern of gut microbiome might predispose preterm newborns to NEC or LOS, in which reduced diversity of the whole microbiota community and potentially pathogenic genus could have played an essential role in disease progression. Still, more studies are needed to identify etiological strains, underlying mechanisms and correspondent microbial patterns.

INTRODUCTION

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METHODS

Ethics

This study was approved by the joint committee of ethics of Shanghai Children's Medical Center, School of Medicine Shanghai Jiao Tong University (SCMCIRB-K2013022). Detailed written informed consent was obtained from the parents prior to fecal sample collection.

Patients

Newly born preterm infants with gestational age less than 33 weeks, birth weight over 950g were enrolled from Neonatal Intensive Care Unit at Shanghai Children's Medical Center from July 2013 to December 2014. The exclusion criteria were 1) diagnosed with early-onset sepsis, 2) hepatic diseases, 3) renal impairment ($Cr > 88 \mu M$), 4) diagnosed with intestinal obstruction, 5) in foreseeable need of cardiovascular or abdominal surgeries (except for male circumcision or PDA ligation), 6) estimated parenteral support to supply over 50% of daily caloric intake for more than four days, 7) given intravenous antibiotics administration (except prophylactic regimen of cefotaxime, piperacillin-tazobactam and/or metronidazole), 8) history of oral antibiotics administration, 9) grossly bloody stools at admission, and 10) over five days old.

NEC cases were defined as infants who met the criteria for Stage II and Stage III NEC diagnosis(Bell et al., 1978), including radiographic intestinal dilation, ileus, pneumatosis intestinalis, and/or absent bowel sounds with or without abdominal tenderness, and/or mild metabolic acidosis and thrombocytopenia. LOS cases was diagnosed if 1)an infant had a positive hemoculture or other suspicious loci of infection after 72 hours of life, with septic signs/symptoms reviewed independently by at least two neonatologists, and had been treated with advanced antibiotics (e.g., Meropenem) after diagnosis. Infants with no infectious complications or sepsis were regarded as controls.

80 **Sample collection and handling**

81 Fecal samples collection began from neonatal meconium till discharge. Although we intended to collect
82 fecal samples on a daily basis, due to working shifts and flexible clinical scheduling, we set seven days as
83 the maximum interval between two collections from every infant. Every sample was collected within 30
84 minutes of defecation from patients' diaper with a sterile spatula. The samples were immediately placed
85 in a cryogenic vial on dry ice and stored at 80°C within 30 minutes without additives. All samples were
86 collected and stored before knowing the diagnosis of respective patients.

87 **DNA extraction and quality control amplification and 16s rRNA gene sequencing**

88 Microbial genomic DNA was isolated from each fecal specimen using the E.Z.N.A.® Soil DNA Kit
89 (Omega Bio-Tek, Norcross, GA, U.S.) according to manufacturer's protocols. The concentration and
90 purity of the DNA were determined by NanoDrop 2000 UV-vis spectrophotometer (Thermo Scientific,
91 Wilmington, USA), and the DNA quality was checked by 1% agarose gel electrophoresis.

92 **Broad-range PCR and High-throuput Sequencing of 16s rRNA gene amplicons**

93 The V3-V4 hypervariable regions of the bacterial 16S rRNA gene were amplified from each sample using
94 bacterial/archaeal primers 338F (5'-ACTCCTACGGGAGGCAGCAG-3') and 806R (5'-GGACTACHVGG
95 GTWTCTAAT-3') using thermocycler PCR system (GeneAmp 9700, ABI, USA). The PCR reactions
96 were as follows: 3 min of denaturation at 95 °C, 27 cycles of 30 s at 95 °C, 30 s annealing at 55 °C and
97 45 s elongation at 72 °C, and a final extension at 72 °C for 10 min. The PCR reactions were performed in
98 triplicate, with each 20 µL mixture containing 4 µL 5X FastPfu Buffer, 2 µL 2.5 mM dNTPs, 0.8 µL
99 of each primer (5 µM), 0.4 µL FastPfu Polymerase and 10 ng template DNA. The PCR products were
100 extracted from a 2% agarose gel and further purified using the AxyPrep DNA Gel Extraction Kit (Axygen
101 Biosciences, Union City, CA, USA), and quantified using QuantiFluor™-ST (Promega, USA) according
102 to the manufacturer's protocols.

103 Equimolar amounts of purified amplicons were pooled and paired-end sequenced (2 x 300) on an
104 Illumina MiSeq platform (Illumina, San Diego, USA) according to the standard protocols of Majorbio
105 Bio-Pharm Technology Co. Ltd. (Shanghai, China). The reads were de-multiplexed using the Illumina
106 software and separate FASTQ files were generated for each specimen and deposited to the Sequence Read
107 Archive NCBI under the BioProject accession PRJNA470548.

108 **RESULTS**

110 **Patients and samples characteristics**

111 **ACKNOWLEDGMENTS**

112 We appreciate the support from the enrolled patients and their family, and all the staff who worked for
113 these patients from Shanghai Children's Medical Center; we are grateful for the emotional support from
114 Mr Panpan Chang, Ms Liqing Xie, Mr Yinjie Liu and Ms Feng Jiang.

115 **SOME L^AT_EX EXAMPLES**

116 Use section and subsection commands to organize your document. L^AT_EX handles all the formatting and
117 numbering automatically. Use ref and label commands for cross-references.

118 **Figures and Tables**

119 Use the table and tabular commands for basic tables — see Table 1, for example. You can upload a figure
120 (JPEG, PNG or PDF) using the project menu. To include it in your document, use the includegraphics
121 command as in the code for Figure 1 below.

Item	Quantity
Widgets	42
Gadgets	13

Table 1. An example table.

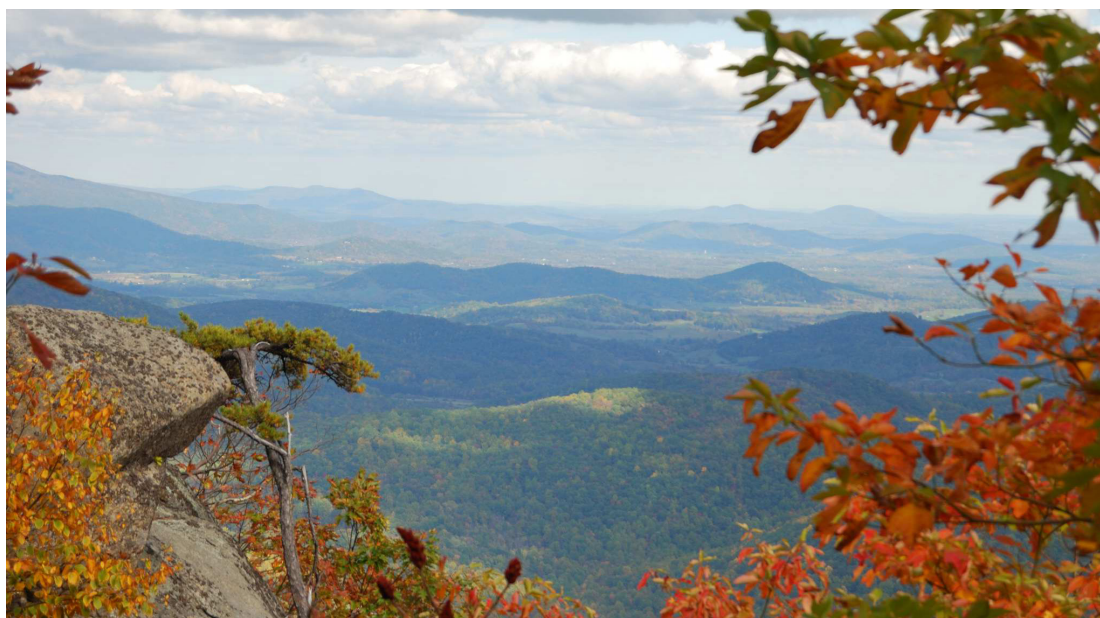


Figure 1. An example image.

Citations

LaTeX formats citations and references automatically using the bibliography records in your .bib file, which you can edit via the project menu. Use the cite command for an inline citation, like ?, and the citep command for a citation in parentheses (?).

Mathematics

LaTeX is great at typesetting mathematics. Let X_1, X_2, \dots, X_n be a sequence of independent and identically distributed random variables with $E[X_i] = \mu$ and $\text{Var}[X_i] = \sigma^2 < \infty$, and let

$$S_n = \frac{X_1 + X_2 + \dots + X_n}{n} = \frac{1}{n} \sum_i^n X_i$$

denote their mean. Then as n approaches infinity, the random variables $\sqrt{n}(S_n - \mu)$ converge in distribution to a normal $\mathcal{N}(0, \sigma^2)$.

Lists

You can make lists with automatic numbering ...

1. Like this,
2. and like this.

... or bullet points ...

- Like this,
- and like this.

... or with words and descriptions ...

Word Definition

Concept Explanation

Idea Text

We hope you find writeLaTeX useful for your PeerJ submission, and please let us know if you have any feedback. Further examples with dummy text are included in the following pages.

142 METHODS

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$$\cos^3 \theta = \frac{1}{4} \cos \theta + \frac{3}{4} \cos 3\theta \quad (1)$$

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155 Subsection

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186 Reference to Figure 2.

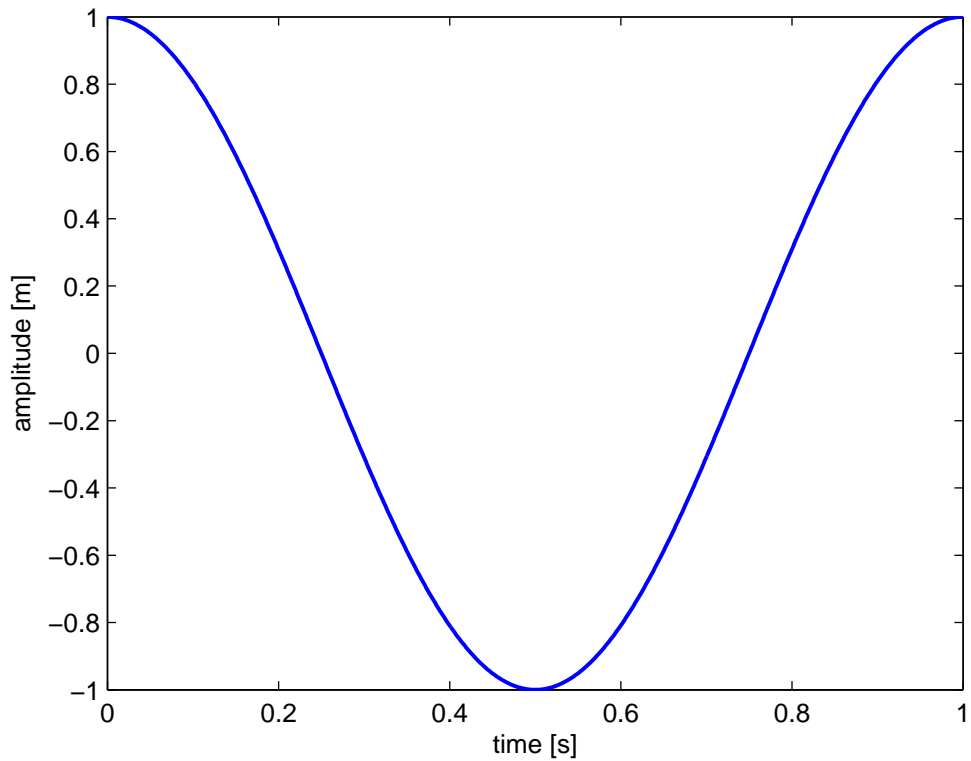


Figure 2. In-text Picture

RESULTS AND DISCUSSION

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So long and thanks for all the fish.

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