

## SOLUTIONS FOR HOMEWORK 1

### DEFINITIONS FROM THE TEXTBOOK

We suggest to use  $O, o, \Omega, \Theta$  as defined in our textbook *Introduction to Algorithms, 3Ed.*

**Definition 0.1 ( $\Theta$ ).**  $\Theta(g(n)) = \{f(n) \mid \exists \text{ positive constants } c_1, c_2, \text{ and } n_0 \text{ such that } 0 \leq c_1 g(n) \leq f(n) \leq c_2 g(n) \text{ for all } n \geq n_0\}$ .

**Definition 0.2 ( $O$ ).**  $O(g(n)) = \{f(n) \mid \exists \text{ positive constants } c \text{ and } n_0 \text{ such that } 0 \leq f(n) \leq c g(n) \text{ for all } n \geq n_0\}$ .

**Definition 0.3 ( $\Omega$ ).**  $\Omega(g(n)) = \{f(n) \mid \exists \text{ positive constants } c \text{ and } n_0 \text{ such that } 0 \leq c g(n) \leq f(n) \text{ for all } n \geq n_0\}$ .

**Definition 0.4 ( $o$ ).**  $o(g(n)) = \{f(n) \mid \text{for any } c > 0, \exists \text{ a constant } n_0 > 0 \text{ such that } 0 \leq f(n) \leq c g(n) \text{ for all } n \geq n_0\}$ .

The textbook also assumes that above notations are defined in terms of functions whose domains are the set of natural numbers  $\mathbb{N}$  because we are dealing with the running time function  $T(n)$  and  $n$  represents the size of the input. However above definitions are actually applicable to functions whose domains are the set of real numbers  $\mathbb{R}$ . **Unless we make explicit requirement, you can choose whichever domain ( $\mathbb{R}$  or  $\mathbb{N}$ ) you like.**

One more thing worth to mention,  $O(g(n))$  (other notations similar) is a set of functions based on our definition. When we write  $f(n) = O(g(n))$ , it is just a convention made in the community of computer science, what we really mean here is  $f(n) \in O(g(n))$ .

**Reminders:**

- Solutions provide one possible solution process. In many cases, there are multiple correct processes that will result in the correct final answer.
- Solutions are references that may also contain errors.

**QUESTION 1**

We have neither  $f(n) = O(g(n))$  nor  $g(n) = O(f(n))$ . Let us show  $f(n) \neq O(g(n))$  here, the other direction can be proved similarly.

**Claim 0.5.**  $f(n) \neq O(g(n))$

*Proof.* Prove by contradiction. Assume  $f(n) = O(g(n))$ , by the definition, there exist constants  $c, n_0 > 0$  such that  $0 \leq f(n) \leq cg(n)$  or  $0 \leq n \leq cn^{1+\sin n}$  for all  $n \geq n_0$ . It implies

$$(0.6) \quad 0 \leq 1 \leq cn^{\sin n} \text{ for all } n \geq n_0.$$

Can it be true? To show that the answer is No, it suffices to show:

For any  $n_0, c > 0$ , we can always pick an  $n \geq n_0$  such that  $cn^{\sin n} < 1$ .

**Easy Version: use domain  $\mathbb{R}$ .** For any given  $n_0 > 0$ , let  $n = 2k\pi - \frac{\pi}{2}$  where  $k$  is a large enough integer to make  $n > \max\{c, n_0\}$ . Then  $cn^{\sin n} = \frac{c}{n} < 1$ .

**Hard Version: use domain  $\mathbb{N}$ .** First let's consider the set  $S = \{x \in \mathbb{R}^+ \mid \sin y \leq -0.5 \text{ for all } y \in (x - \frac{\pi}{3}, x + \frac{\pi}{3})\}$ , it is trivial from the graph of  $\sin x$  to see that there will be infinitely many elements in  $S$ .

Since each  $(x - \frac{\pi}{3}, x + \frac{\pi}{3})$  has length  $\frac{2\pi}{3} > 1$ , it must contain some integer, it tells us that there will be infinitely many  $n \in \mathbb{N}$  such that  $\sin n \leq -0.5$ .

Now given  $c, n_0 > 0$ , we can always pick an  $n$  that  $n > c^2, n > n_0$  and  $\sin n \leq -0.5$ , hence  $cn^{\sin n} \leq cn^{-0.5} < \frac{c}{\sqrt{n}} \leq 1$  which conflicts Inequality (0.6). □

**QUESTION 2**

**Claim 0.7.**  $f(n) = \frac{1}{n} = o(1)$ .

*Proof.* For any constant  $c > 0$ , choose an  $n_0 > \frac{1}{c}$ , for any  $n > n_0 > \frac{1}{c}$ , we have  $0 \leq \frac{1}{n} \leq \frac{1}{1/c} = c \cdot 1$ . □

**QUESTION 3**

**Claim 0.8.**  $f(n) = \Theta(g(n))$  does **not** necessarily imply  $2^{f(n)} = \Theta(2^{g(n)})$ .

*Proof.* It suffices to prove the claim by showing a counterexample:  $f(n) = \log n, g(n) = 2 \log n$ , then  $2^{f(n)} = n$  but  $2^{g(n)} = n^2$ . Clearly  $n \neq \Theta(n^2)$ . □

**Claim 0.9.**  $f(n) = \Theta(g(n))$  implies  $f^2(n) = \Theta(g^2(n))$ .

*Proof.*  $f(n) = \Theta(g(n))$  implies that there exist  $c_1, c_2, n_0 > 0$  such that  $0 \leq c_1 g(n) \leq f(n) \leq c_2 g(n)$  for all  $n > n_0$ , which further implies that  $0 \leq c_1^2 g^2(n) \leq f^2(n) \leq c_2^2 g^2(n)$  for all  $n > n_0$ . The claim follows because  $c_1^2$  and  $c_2^2$  are also positive constants. □

## QUESTION 4

**Claim 0.10.** If  $f(n) = O(g(n))$  then  $f(n) + g(n) = O(g(n))$

*Proof.*  $f(n) = O(g(n)) \Rightarrow$

there exist  $c, n_0 > 0$  such that  $0 \leq f(n) \leq cg(n)$  for all  $n \geq n_0$ .

Hence  $0 \leq f(n) + g(n) \leq (c+1)g(n)$  for all  $n \geq n_0$ .

Therefore  $f(n) + g(n) = O(g(n))$ . □

**Claim 0.11.** If  $f(n) = \Omega(g(n))$ , we do not have  $f(n) - g(n) = \Omega(g(n))$ .

*Proof.* Here is a counterexample:  $f(n) = g(n) = n$ , clearly  $f(n) = \Omega(g(n))$  but  $f(n) - g(n) = 0 \neq \Omega(n)$ . □

## QUESTION 5

Let  $T(n)$  be the running time of this algorithm and let a function  $f(n) = O(n^2)$ . The statement says that  $T(n)$  is **at least**  $O(n^2)$ . That is  $f(n) = O(T(n))$ , but it does not tell us anything about the growth rate of  $T(n)$ , because by the definition of  $O$ -notation, there exist  $c_1, c_2, n_1, n_2 > 0$  such that

$$(0.12) \quad 0 \leq f(n) \leq c_1 n^2 \text{ for all } n \geq n_1.$$

$$(0.13) \quad 0 \leq f(n) \leq c_2 T(n) \text{ for all } n \geq n_2.$$

(0.12) allows us to take  $f(n) = 0$ , substitute 0 for  $f(n)$  in (0.13),  $0 \leq T(n)$  tells us nothing about the growth rate of  $T(n)$ .

If we want to give a lower bound, we can say that “the running time of this algorithm is  $\Omega(n^2)$ ”. When we need an upper bound, we can say “the running time of this algorithm is  $O(n^2)$ ”.