



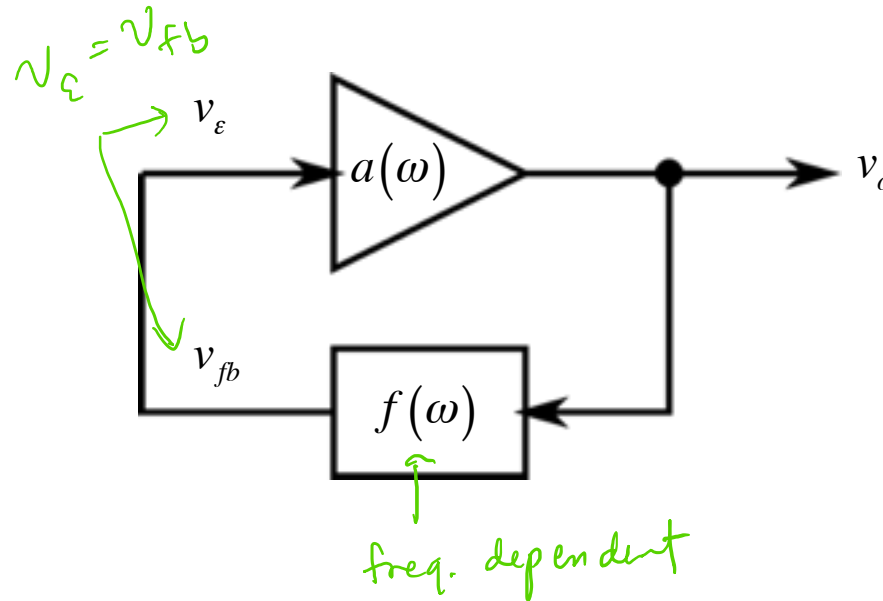
EEE 51: Second Semester 2017 - 2018

Lecture 23

Oscillators

General Form of an Oscillator

(Positive Feedback)



$$A_{cl}(s) = \frac{a(s)}{1 - T(s)}$$

$$a(\omega) = \frac{v_o}{v_\epsilon} = \frac{v_o}{v_{fb}}$$

$$f(\omega) = \frac{v_{fb}}{v_o} \rightarrow a(\omega) = \frac{1}{f(\omega)}$$

Barkhausen Criteria:

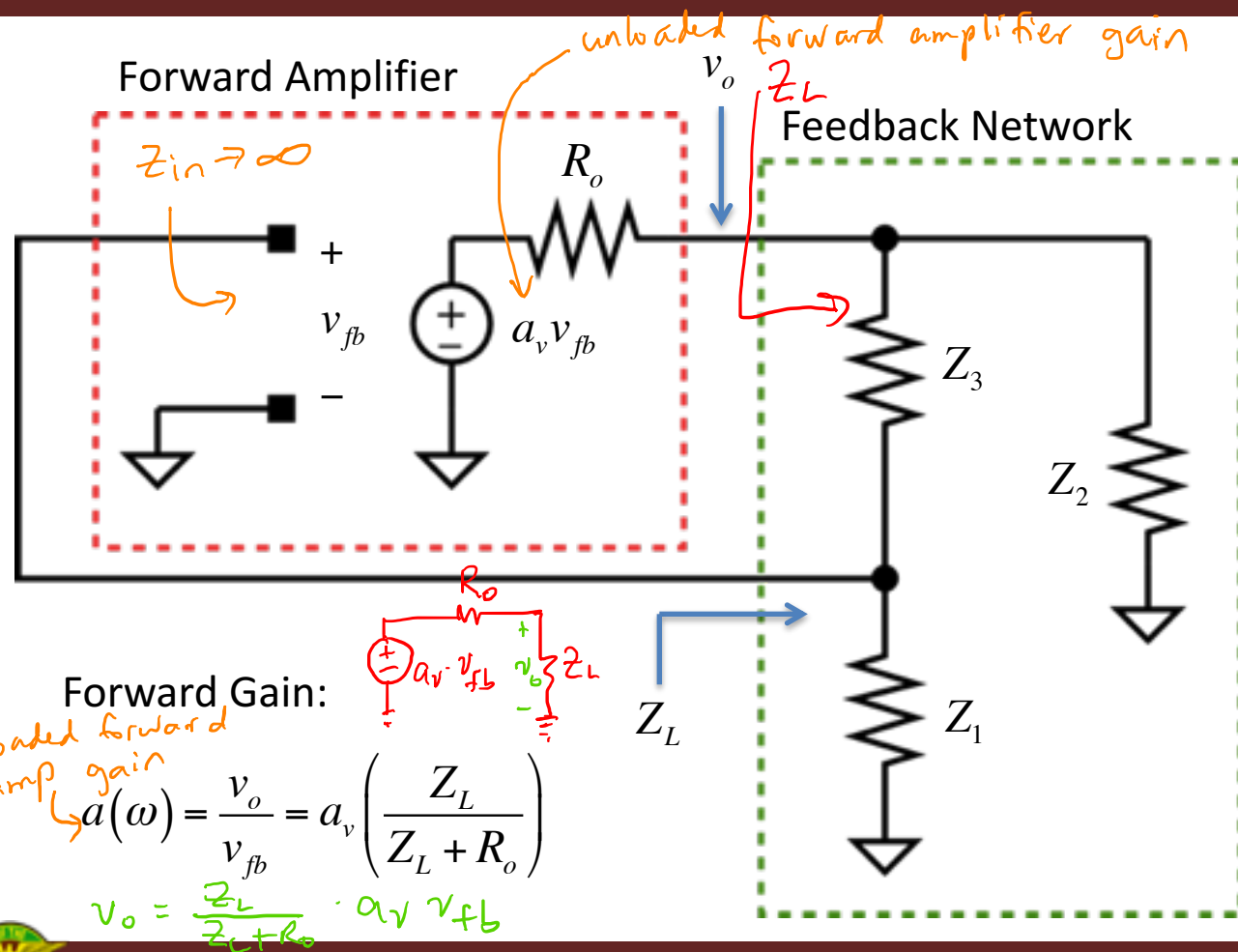
$$|T(\omega)| = |a(\omega) \cdot f(\omega)| \stackrel{\geq 1}{=} 1$$

and

$$\angle T(\omega) = 360^\circ \cdot n \quad n \in 0, 1, 2, 3, \dots$$



General Form of an Oscillator



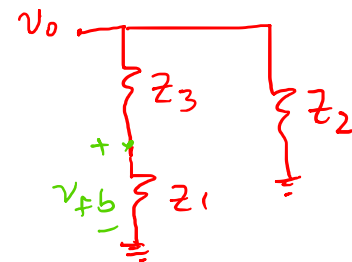
Barkhausen Criteria:

$$T(\omega) = a(\omega) \cdot f(\omega) = 1 \angle 0^\circ$$

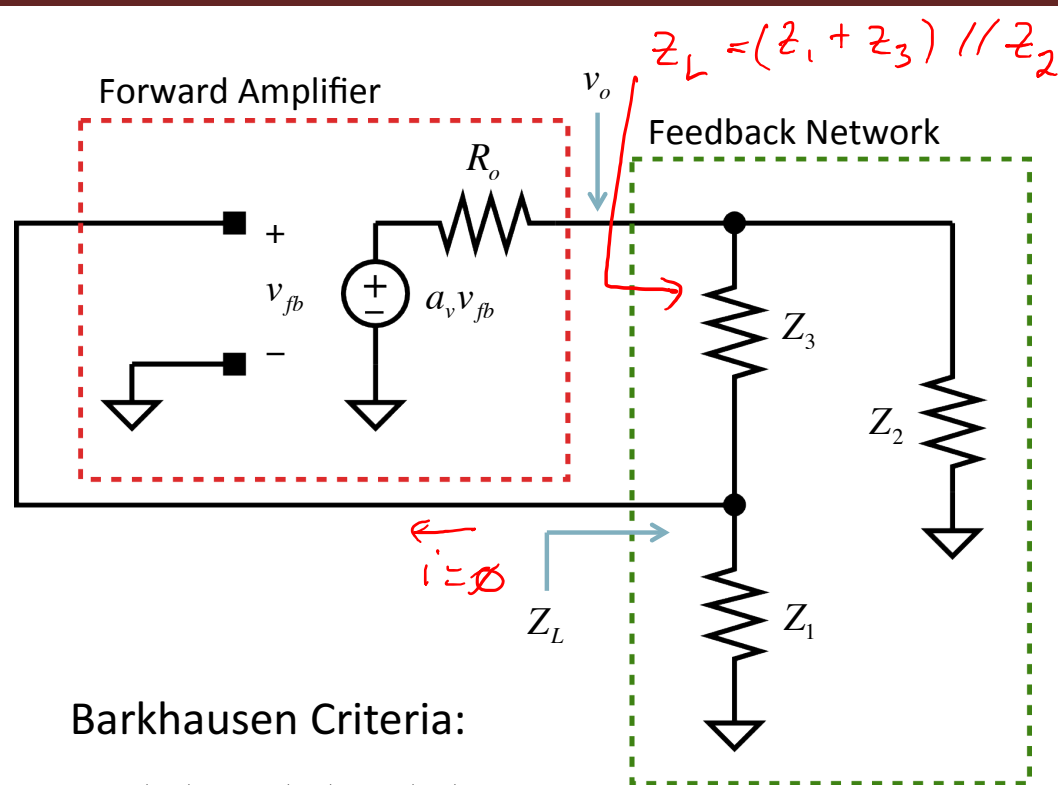
$$v_{fb} = \frac{Z_1}{Z_1 + Z_3} \cdot v_o$$

Feedback Factor:

$$f(\omega) = \frac{v_{fb}}{v_o} = \frac{Z_1}{Z_1 + Z_3}$$



General Form of an Oscillator



$$a(\omega) = a_v \left(\frac{Z_L}{Z_L + R_o} \right)$$

$$f(\omega) = \frac{Z_1}{Z_1 + Z_3}$$

Loop Gain:

$$\begin{aligned} T(\omega) &= a(\omega) \cdot f(\omega) \\ &= a_v \frac{Z_L}{Z_L + R_o} \frac{Z_1}{Z_1 + Z_3} \end{aligned}$$

$$\begin{aligned} Z_L &= Z_2 \parallel (Z_1 + Z_3) \\ &= \frac{Z_2 (Z_1 + Z_3)}{Z_1 + Z_2 + Z_3} \end{aligned}$$

Barkhausen Criteria:

$$\begin{aligned} T(\omega) &= a(\omega) \cdot f(\omega) \\ &= 1 \angle 0^\circ = 1 + j0 \end{aligned}$$



General Form of an Oscillator

Loop Gain: $T(\omega) = a(\omega) \cdot f(\omega)$

$$\begin{aligned} &= a_v \cdot \frac{Z_L}{Z_L + R_o} \cdot \frac{Z_1}{Z_1 + Z_3} \\ &= a_v \cdot \frac{\frac{Z_2 (Z_1 + Z_3)}{Z_1 + Z_2 + Z_3}}{\frac{Z_2 (Z_1 + Z_3)}{Z_1 + Z_2 + Z_3} + R_o} \cdot \frac{Z_1}{Z_1 + Z_3} = a_v \cdot \frac{Z_2 Z_1}{Z_2 (Z_1 + Z_3) + R_o (Z_1 + Z_2 + Z_3)} \end{aligned}$$

Barkhausen Criteria:

$$\begin{aligned} T(\omega) &= a(\omega) \cdot f(\omega) \\ &= a_v \cdot \frac{Z_2 Z_1}{Z_2 (Z_1 + Z_3) + R_o (Z_1 + Z_2 + Z_3)} = 1 \angle 0^\circ \end{aligned}$$



General Form of an Oscillator

Barkhausen Criteria: $T(\omega) = a(\omega) \cdot f(\omega)$

$$= a_v \cdot \frac{Z_2 Z_1}{Z_2(Z_1 + Z_3) + R_o(Z_1 + Z_2 + Z_3)} = 1 \angle 0^\circ$$

If we assume that Z_1 , Z_2 and Z_3 are purely reactive elements: $\begin{cases} Z_1 = jX_1 \\ Z_2 = jX_2 \\ Z_3 = jX_3 \end{cases}$

if not:

$$Z_1 = R_1 + jX_1$$

$$Z_2 = R_2 + jX_2$$

$$Z_3 = R_3 + jX_3$$

note: $j^2 = -1$

$$\begin{aligned} T(\omega) &= a_v \cdot \frac{Z_2 Z_1}{Z_2(Z_1 + Z_3) + R_o(Z_1 + Z_2 + Z_3)} = a_v \cdot \frac{jX_2 \cdot jX_1}{jX_2(jX_1 + jX_3) + R_o(jX_1 + jX_2 + jX_3)} \\ &= a_v \cdot \frac{-X_2 \cdot X_1}{-X_2(X_1 + X_3) + jR_o(X_1 + X_2 + X_3)} \end{aligned}$$



General Form of an Oscillator

Loop Gain: $T(\omega) = a_v \cdot \frac{-X_2 \cdot X_1}{-X_2(X_1 + X_3) + \underbrace{jR_o(X_1 + X_2 + X_3)}}_{\text{green bracket}}$

For oscillations to occur: $\text{Im}\{T(\omega)\} = 0 \Rightarrow \underbrace{X_1 + X_2 + X_3}_{\text{red bracket}} = 0 \quad \xrightarrow{\text{blue arrow}} \quad \underbrace{X_1 + X_3 = -X_2}_{\text{red arrow}}$

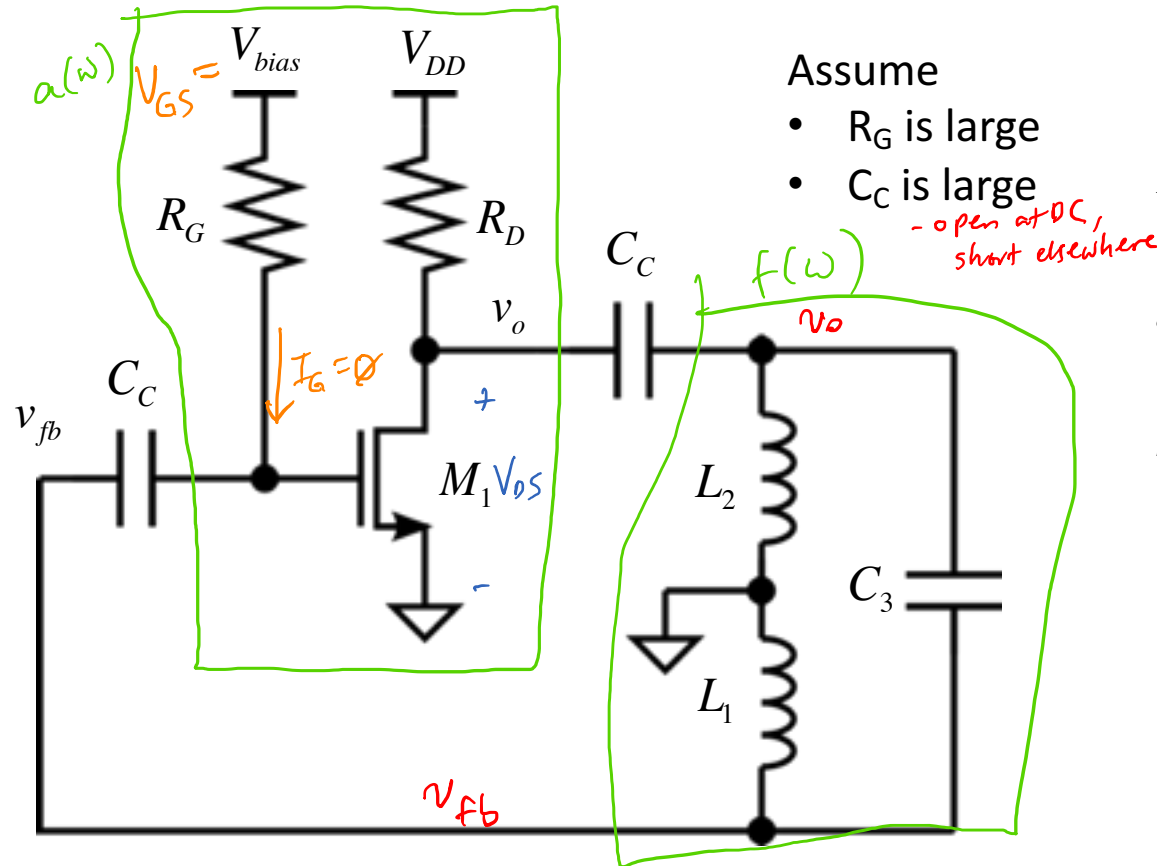
Thus: $T(\omega_0) = a_v \cdot \frac{\cancel{+X_2} \cdot X_1}{\cancel{+X_2}(X_1 + X_3)} = a_v \cdot \frac{X_1}{\underbrace{(X_1 + X_3)}_{\text{red circle}}} = \underbrace{-a_v \cdot \frac{X_1}{X_2}}_{\text{green box}} = 1 \angle 0^\circ$

Case 1: $a_v > 0$ $\rightarrow X_1$ and X_2 must have different signs

Case 2: $a_v < 0$ $\rightarrow X_1$ and X_2 must have the same sign



Example: The Hartley Oscillator

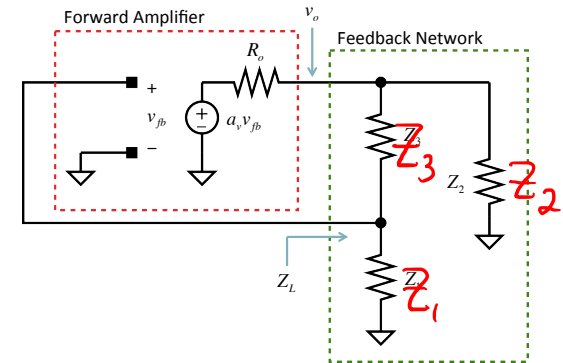


MOSFET bias

$$I_D = k(V_{GS} - V_{TH})^2 = k(V_{bias} - V_{TH})^2$$

$$g_m = \frac{\partial I_D}{\partial V_{GS}} = 2k(V_{GS} - V_{TH})$$

$$r_o \rightarrow \infty$$

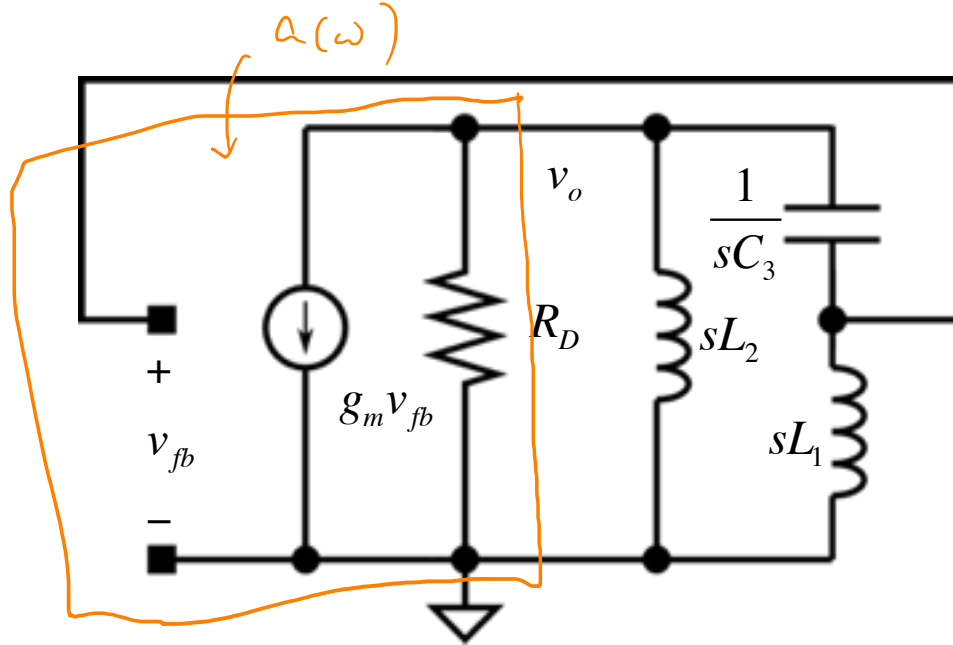


Named after Ralph Vinton Lyon Hartley (November 30, 1888 – May 1, 1970)



Example: The Hartley Oscillator

Small-signal model:



$$\underline{X_1 = \omega L_1} \quad \underline{X_2 = \omega L_2} \quad \underline{X_3 = -\frac{1}{\omega C_3}}$$

Forward unloaded amplifier:

$$a_v = -g_m R_D$$

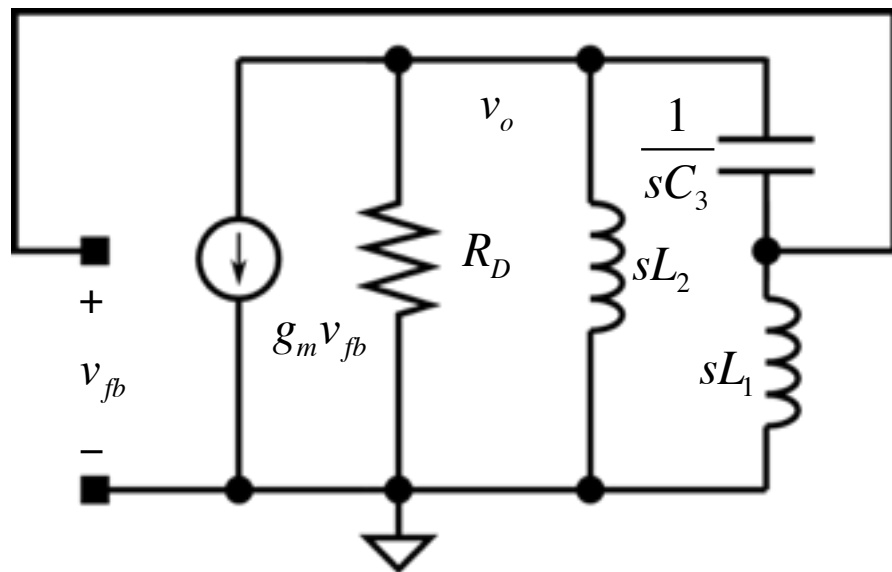
$$R_o = R_D = R_D \parallel r_o \text{ if } r_o \text{ is finite.}$$

Note: X_1 and X_2 must have the same sign since $a_v < 0$



Example: The Hartley Oscillator

Small-signal model:



$$a_v = -g_m R_D \quad X_2 = \omega L_2 \quad X_3 = -\frac{1}{\omega C_3}$$

$$R_o = R_D \quad X_1 = \omega L_1$$

Recall:

$$T(\omega) = a_v \cdot \frac{-X_2 \cdot X_1}{-X_2(X_1 + X_3) + jR_o(X_1 + X_2 + X_3)}$$

To oscillate:

$$\textcircled{1} \quad X_1 + X_2 + X_3 = 0 \Rightarrow \omega_0(L_1 + L_2) = \frac{1}{\omega_0 C_3}$$

$$\omega L_1 + \omega L_2 - \frac{1}{\omega C_3} = 0$$

Thus,

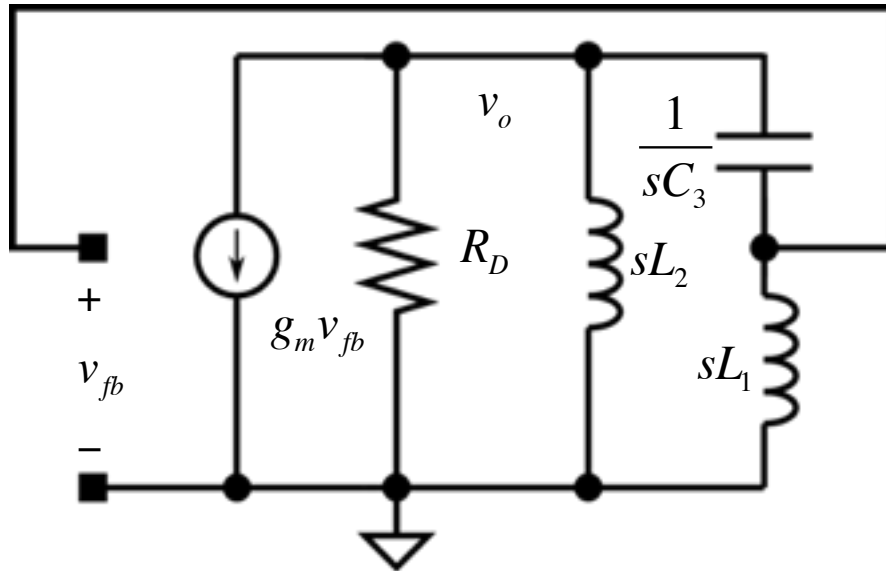
$$\omega_0 = \frac{1}{\sqrt{(L_1 + L_2)C_3}}$$

$$\omega_0^2 = \frac{1}{(L_1 + L_2)C_3}$$



Example: The Hartley Oscillator

Frequency of oscillation: $\omega_0 = \frac{1}{\sqrt{(L_1 + L_2)C_3}}$



$$a_v = -g_m R_D \quad X_2 = \omega L_2 \quad X_3 = -\frac{1}{\omega C_3}$$

$$R_o = R_D \quad X_1 = \omega L_1$$

$$a_v = -g_m R_D$$

Loop Gain:

$$T(\omega_0) = -a_v \cdot \frac{X_1}{X_2} = 1 \angle 0^\circ$$

$$= g_m R_D \frac{L_1}{L_2} = 1 \angle 0^\circ$$

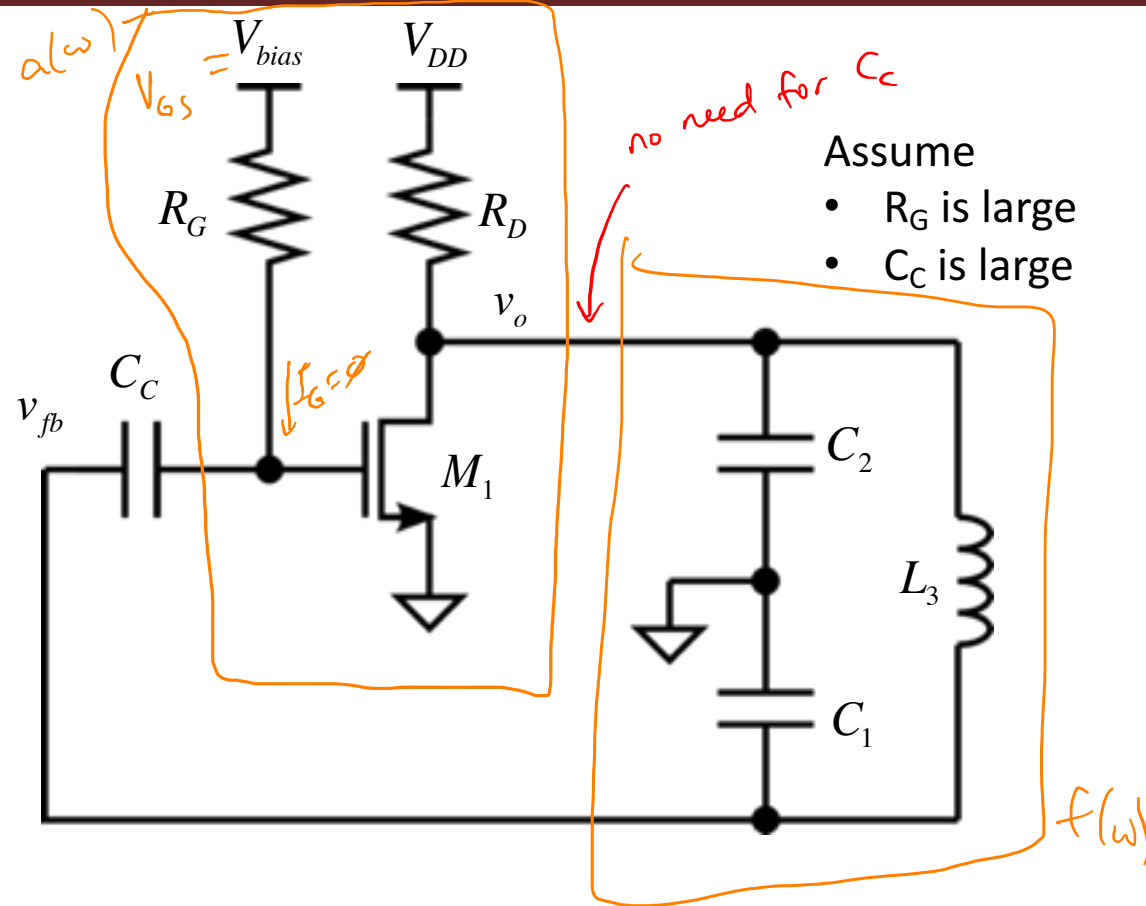
For $L_1 = L_2$: $g_m R_D = 1$

if $g_m R_D = 10$, $\frac{L_1}{L_2} = \frac{1}{10}$

$$L_2 = 10 \cdot L_1$$



The Colpitts Oscillator

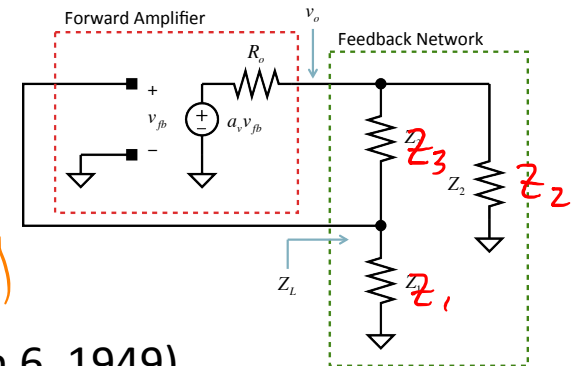


MOSFET bias

$$I_D = k(V_{GS} - V_{TH})^2 = k(V_{bias} - V_{TH})^2$$

$$g_m = \frac{\partial I_D}{\partial V_{GS}} = 2k(V_{GS} - V_{TH})$$

$$r_o \rightarrow \infty$$



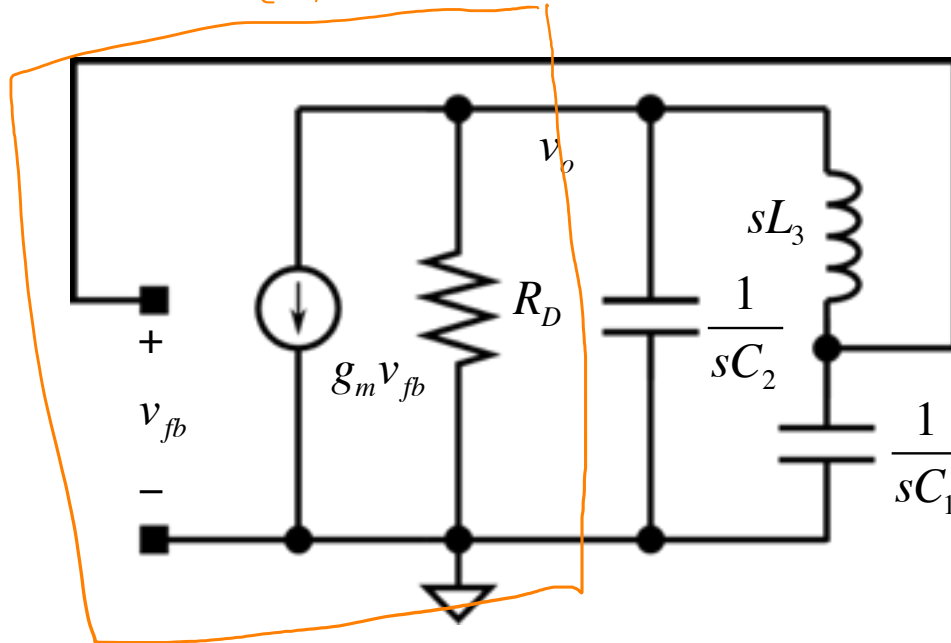
Named after Edwin Henry Colpitts (January 19, 1872 - March 6, 1949)



The Colpitts Oscillator

Small-signal model:

$a(\omega)$



$$\underline{X_1 = -\frac{1}{\omega C_1}} \quad \underline{X_2 = -\frac{1}{\omega C_2}} \quad \underline{X_3 = \omega L_3}$$

Forward unloaded amplifier:

$$a_v = -g_m R_D$$

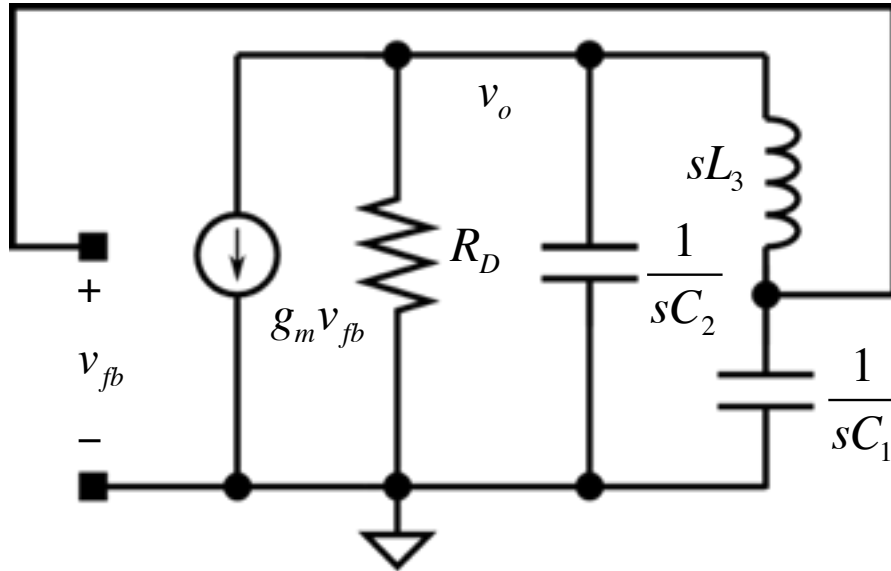
$$R_o = R_D$$

Note: X_1 and X_2 must have the same sign *since $a_v < 0$.*



The Colpitts Oscillator

Small-signal model:



Recall:

$$T(\omega) = a_v \cdot \frac{-X_2 \cdot X_1}{-X_2(X_1 + X_3) + jR_o(X_1 + X_2 + X_3)}$$

To oscillate:

$$\textcircled{1} \quad \underline{X_1 + X_2 + X_3 = 0} \Rightarrow \omega_0 L_3 = \frac{1}{\omega_0} \left(\frac{1}{C_1} + \frac{1}{C_2} \right)$$

Thus,
$$\omega_0 = \frac{1}{\sqrt{L_3 \cdot \frac{1}{\frac{1}{C_1} + \frac{1}{C_2}}}}$$

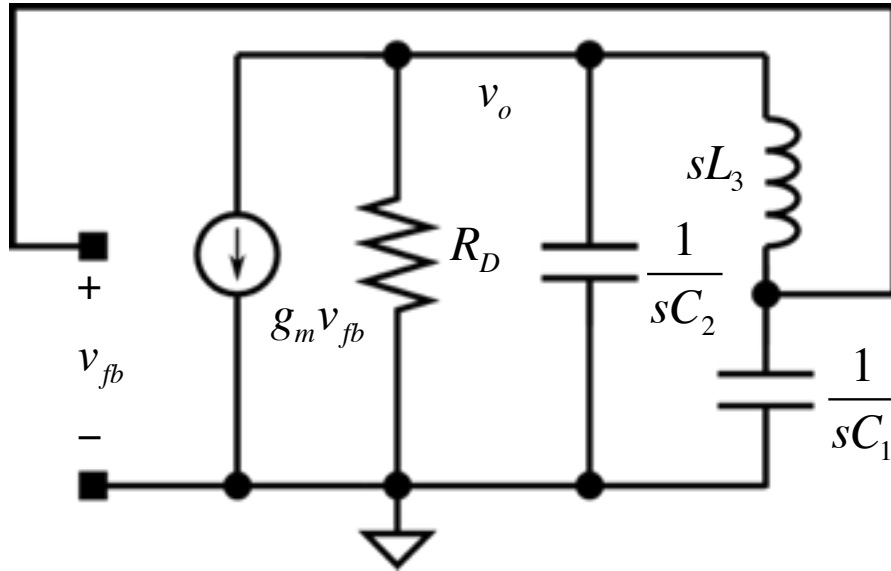
$$a_v = -g_m R_D \quad X_1 = -\frac{1}{\omega C_1} \quad X_2 = -\frac{1}{\omega C_2}$$

$$R_o = R_D \quad X_3 = \omega L_3$$



The Colpitts Oscillator

Frequency of oscillation: $\omega_0 = \frac{1}{\sqrt{L_3 \cdot \frac{C_1 C_2}{C_1 + C_2}}}$



$$a_v = -g_m R_D \quad X_1 = -\frac{1}{\omega C_1} \quad X_2 = -\frac{1}{\omega C_2}$$

$$R_o = R_D \quad X_3 = \omega L_3$$

Loop Gain:

$$T(\omega_0) = -a_v \cdot \frac{X_1}{X_2} = 1 \angle 0^\circ$$

$$= g_m R_D \frac{C_2}{C_1} = 1 \angle 0^\circ$$

For $C_1 = C_2$: $g_m R_D = 1$

if $g_m R_D = 10$; $\frac{C_2}{C_1} = \frac{1}{10}$ or $C_1 = 10 \cdot C_2$

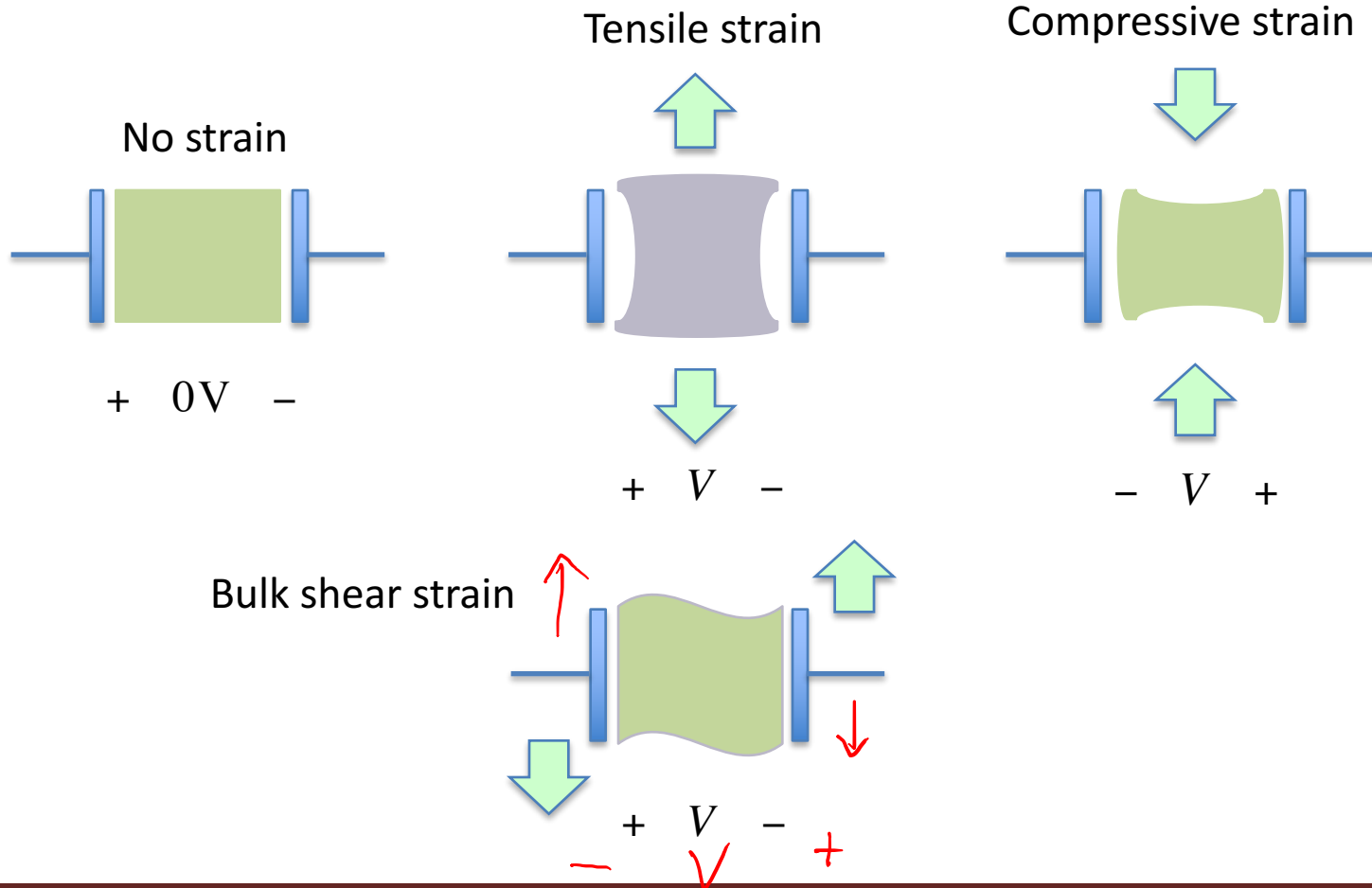


Crystal Oscillators

- Crystals are materials that exhibits the piezoelectric effect
 - When stress is applied, voltage is generated between opposite faces of the crystal
 - When a voltage is applied, the crystal deforms at the frequency of the applied voltage
- Mechanical to electrical energy conversion
- Provides stable frequency of oscillation
 - Resonant frequency dependent on physical crystal size
 - 1 ppm/°C or 0.0001%/°C
 - Compare with LC oscillator: ~1% drift

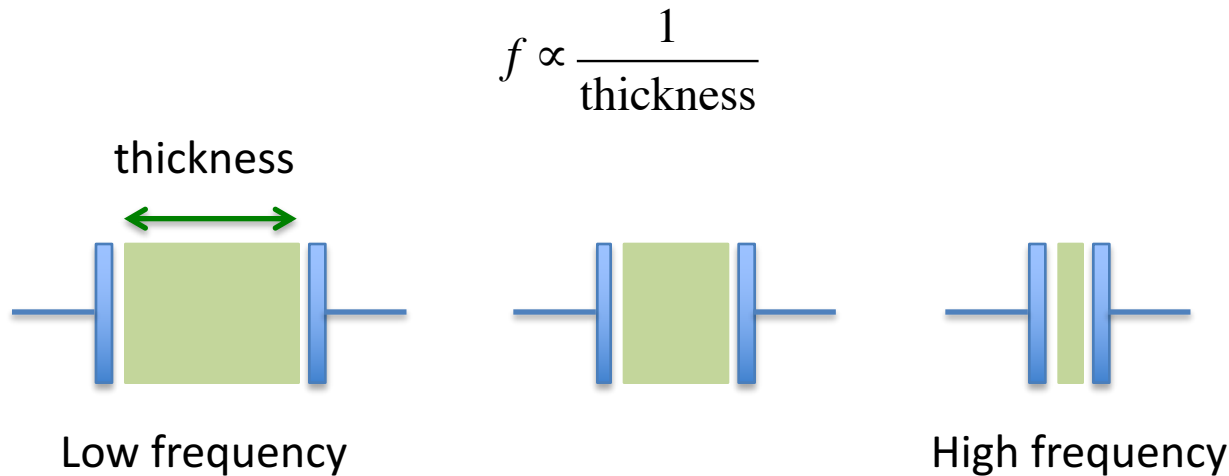


Crystal Strain



Natural Crystal Frequency

- Proportional to crystal thickness



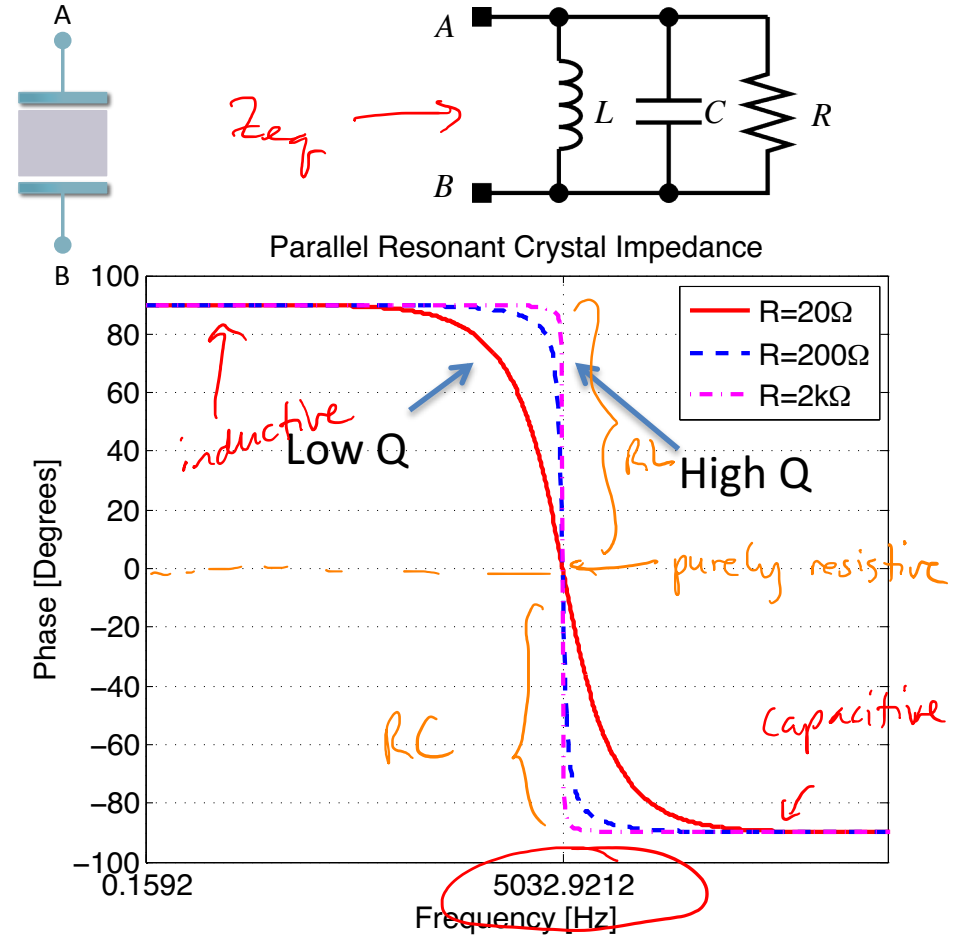
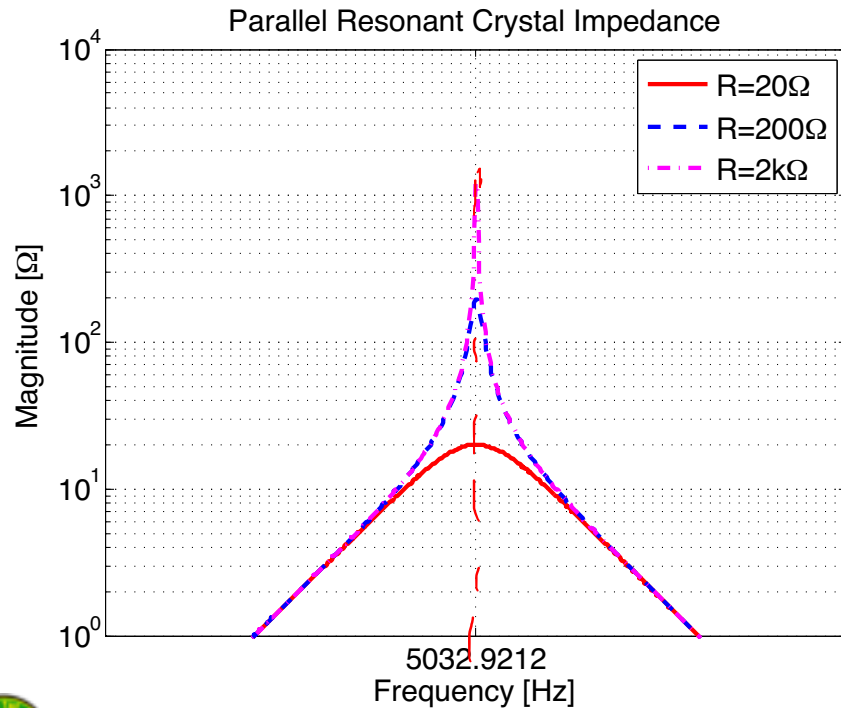
Typical natural frequencies below 20-30 MHz

- For 100 MHz, thickness $\sim 17\mu\text{m}$ thick



The Parallel Resonant Mode

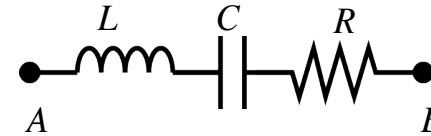
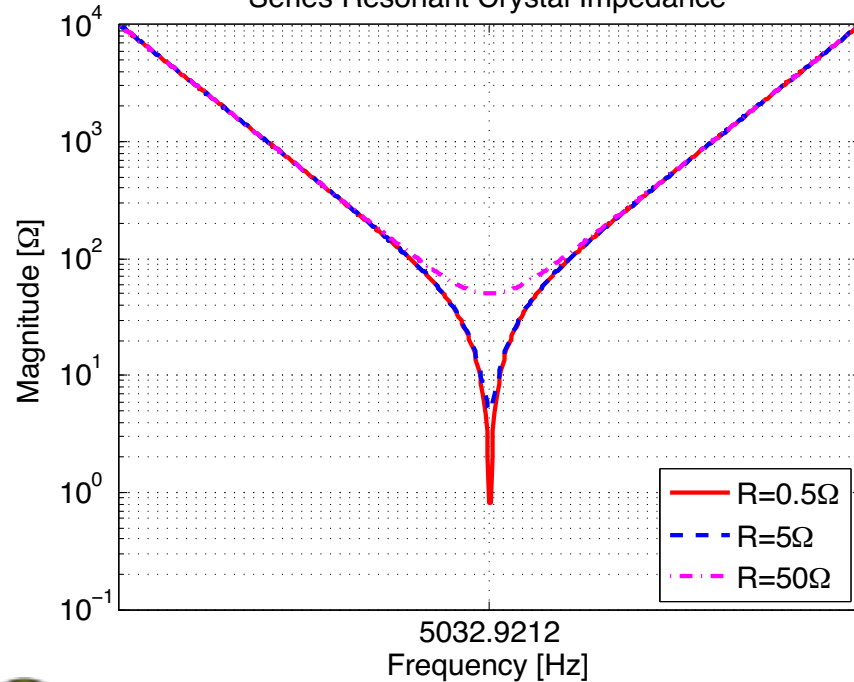
$$L = 1\text{mH} \quad C = 1\mu\text{F}$$



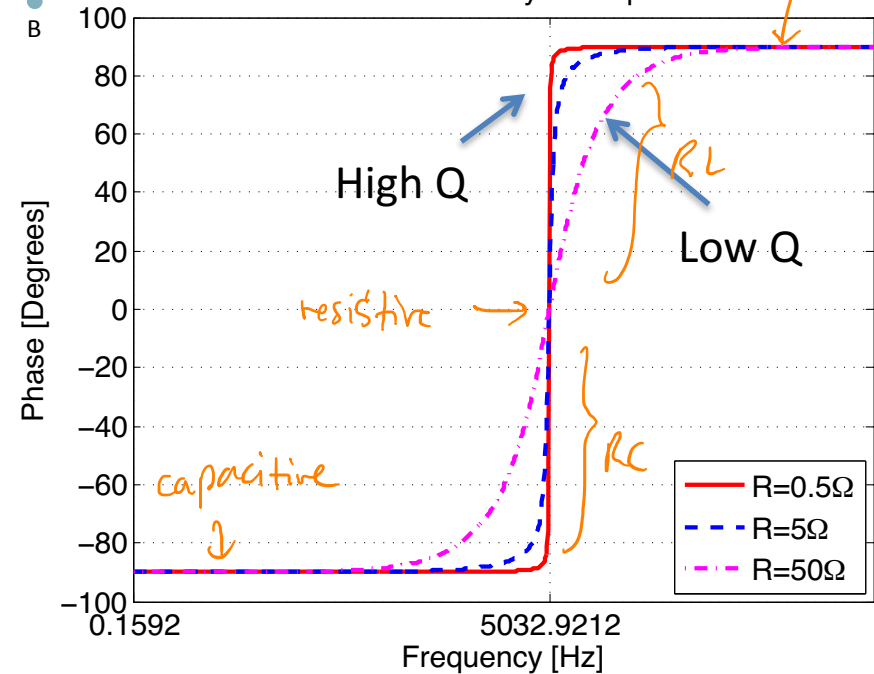
The Series Resonant Mode

$$L = 1\text{mH} \quad C = 1\mu\text{F}$$

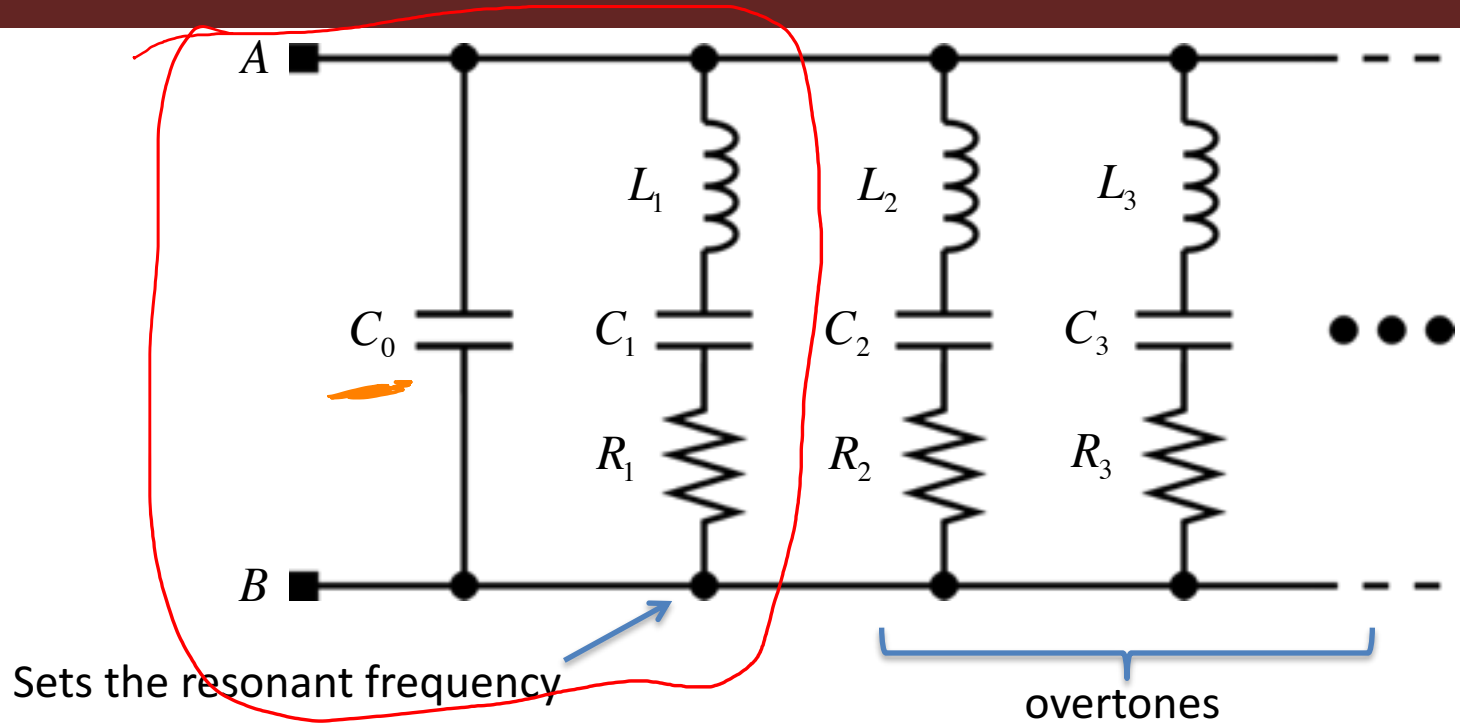
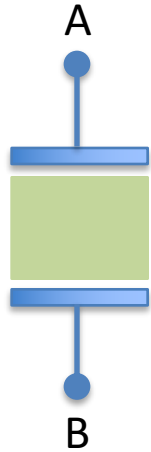
Series Resonant Crystal Impedance



Series Resonant Crystal Impedance



Electrical Equivalent Circuit



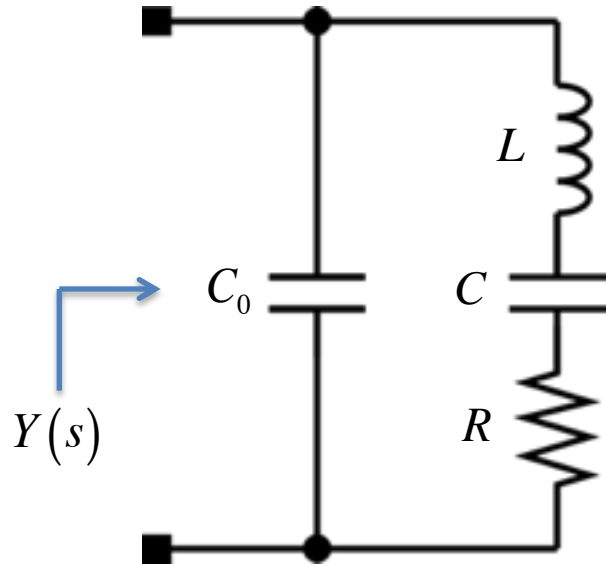
C_0 \equiv parallel capacitances due to contacts and wires

L_i, C_i \equiv mechanical energy storage (mass & spring effects)

R_i \equiv electrical losses due to mechanical effects (e.g. friction)



Crystal Equivalent Circuit



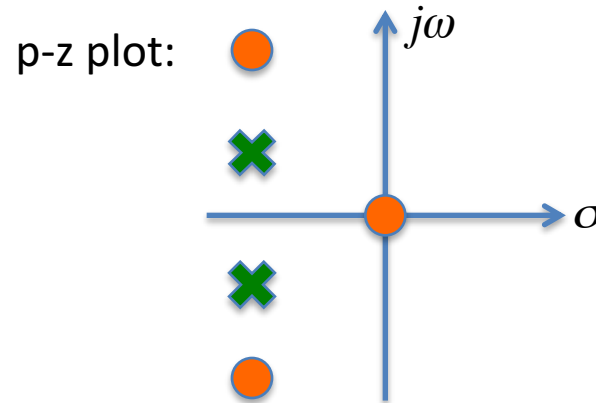
$$\omega_0 = \frac{1}{\sqrt{LC}}$$

$$Z(s) = \frac{1}{Y(s)}$$

admittance

$$Y(s) = sC_0 + \frac{1}{sL + \frac{1}{sC} + R} = sC_0 + \frac{sC}{s^2LC + sRC + 1}$$

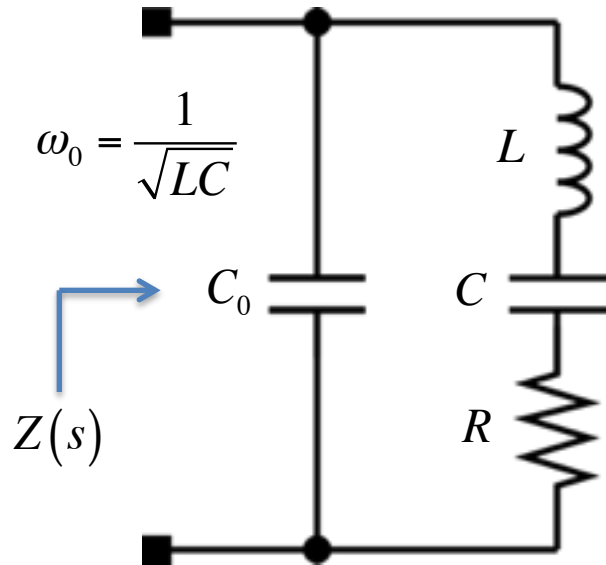
$$= \frac{sC_0 \left[s^2 + s\frac{R}{L} + \left(\frac{C}{C_0} + 1 \right) \omega_0^2 \right]}{s^2 + s\frac{R}{L} + \omega_0^2}$$



$$Z(s) = \frac{1}{Y(s)}$$



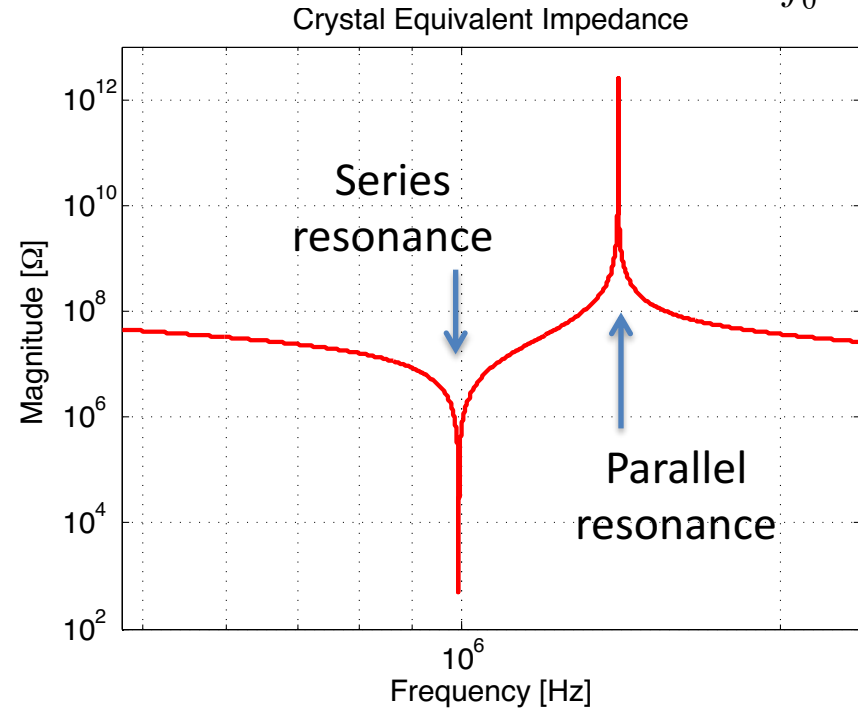
Crystal Equivalent Circuit



$$\omega_0 = \frac{1}{\sqrt{LC}}$$

$$Z(s) = \frac{s^2 + s\frac{R}{L} + \omega_0^2}{sC_0 \left[s^2 + s\frac{R}{L} + \left(\frac{C}{C_0} + 1 \right) \omega_0^2 \right]}$$

→ $L = 10\text{H}$ $C = C_0 = 3.2\text{fF}$ $R \approx \frac{5 \times 10^8}{f_0}$



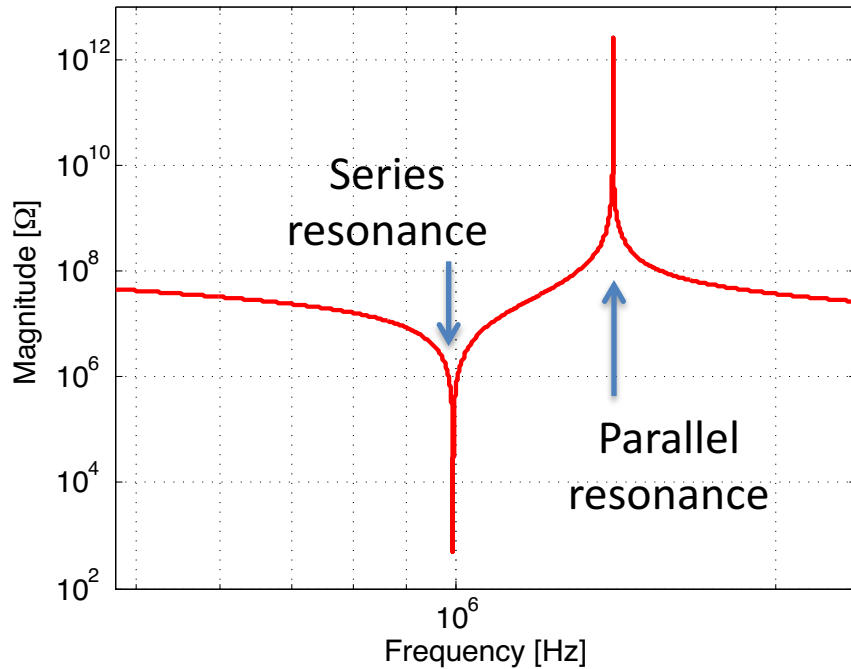
How can we use this to create an oscillator?



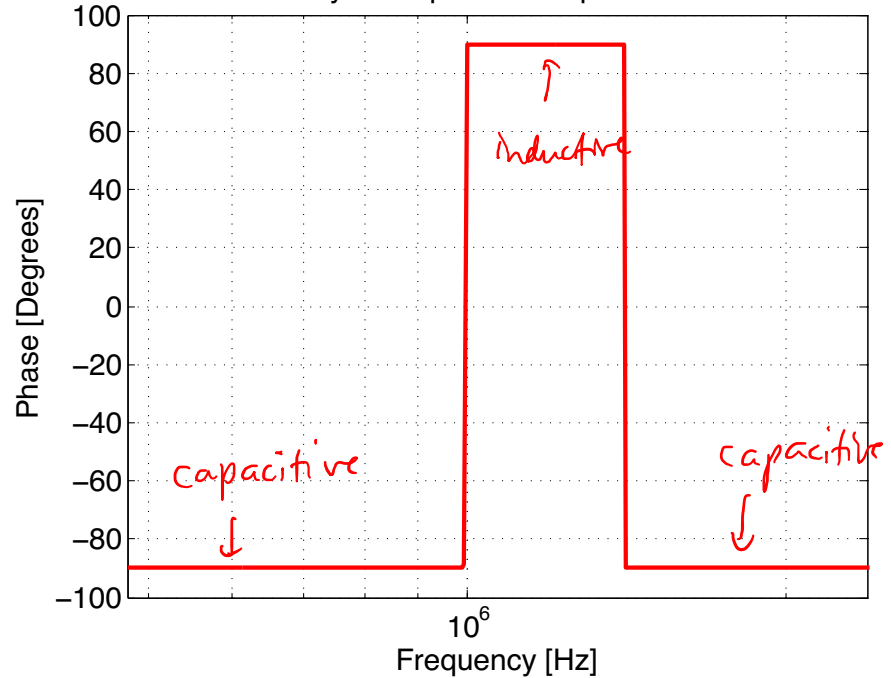
Crystal Equivalent Circuit

$$L = 10\text{H} \quad C = C_0 = 3.2\text{fF} \quad R \approx \frac{5 \times 10^8}{f_0}$$

Crystal Equivalent Impedance



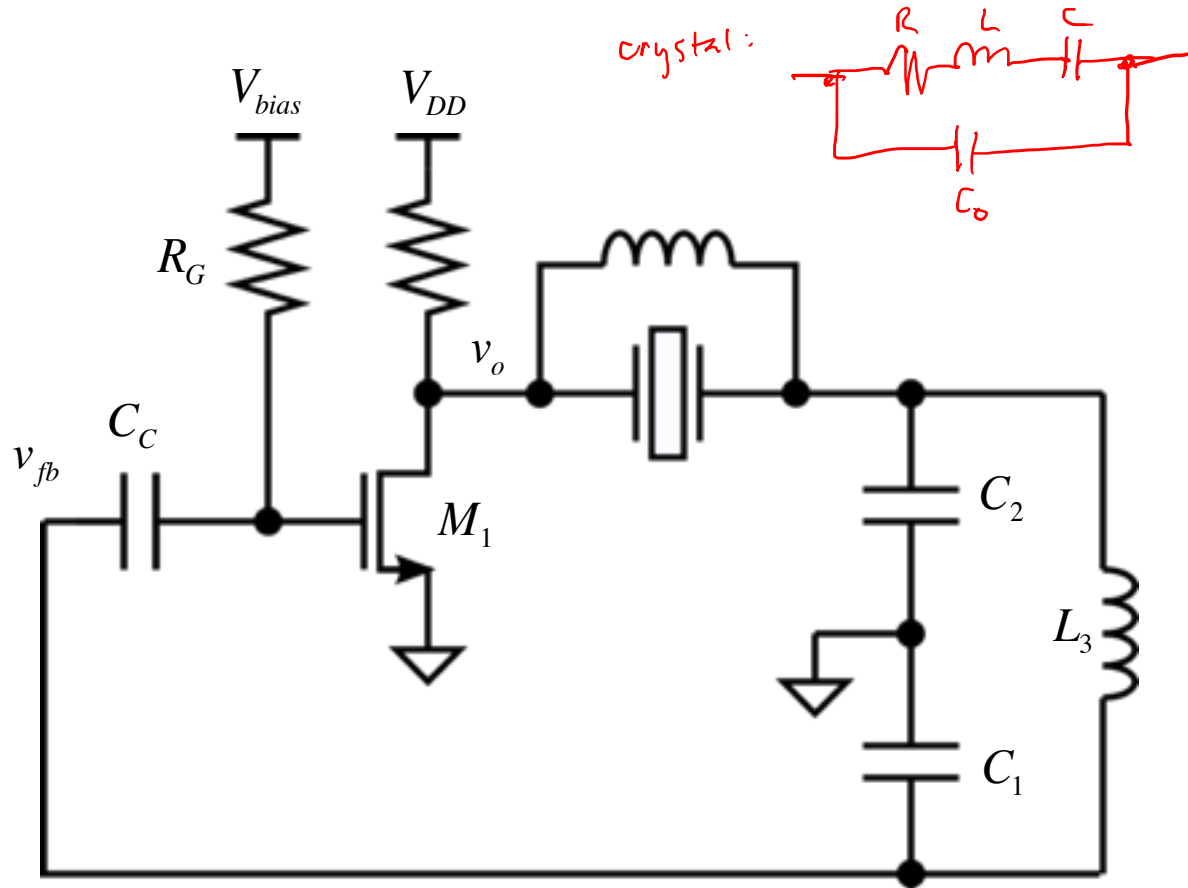
Crystal Equivalent Impedance



How can we use this to create an oscillator?



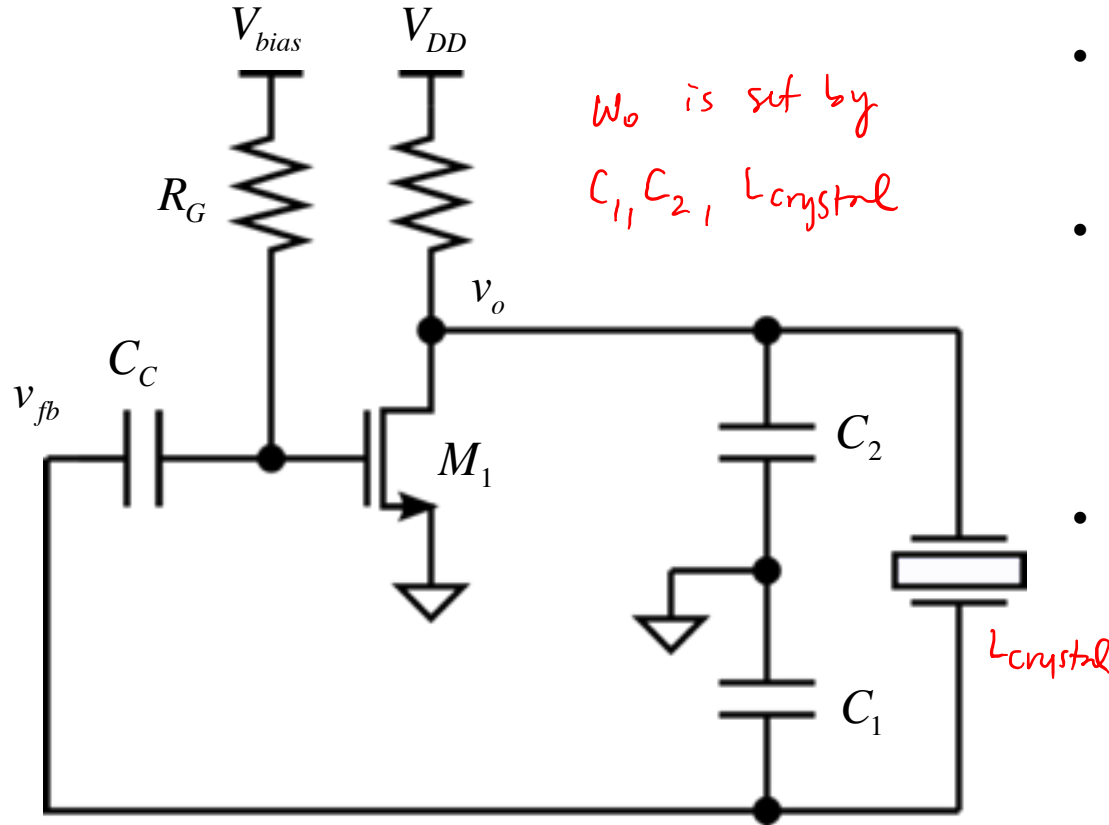
Direct Application: A Colpitts Crystal Oscillator



- The crystal is used to close the feedback loop only at the desired frequency
- The parallel inductance is used to cancel out the crystal parallel capacitance C_0
 - Only the series RLC branch controls the feedback path



Another Colpitts Crystal Oscillator



- The crystal is used as an inductance
- The oscillation frequency is set to be a little above series resonance
 - The crystal impedance is inductive
- Note that the crystal series resonant frequency is not the same as the output oscillation frequency
 - Crystal is cut to oscillate at a specified load capacitance



Frequency Bands



Frequency Range	Designation	Wavelength	
3 kHz – 30 kHz	VLF	100 km – 10 km	Phase shift
30 kHz – 300 kHz	LF	10 km – 1 km	
300 kHz – 3 MHz	MF	1 km – 100 m	LC
3 MHz – 30 MHz	HF	100 m – 10 m	Crystal
30 MHz – 300 MHz	VHF	10 m – 1 m	LC, ring oscillators, SAW, MEMS
300 MHz – 3 GHz	UHF	1 m – 0.1 m	
3 GHz – 30 GHz	SHF	0.1 m – 1 cm	
30 GHz – 300 GHz	EHF	1 cm – 1 mm	LC, distributed, MEMS



Next Meeting

- Negative Resistance Oscillators

