

Great Ideas in Computer Architecture

Running a Program

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San Francisco ‘Tech Tax’ Proposal Shows ‘Deep Divide’ In the City

- “ 1.5% payroll tax — the so-called Fair Share measure — would raise an estimated \$120 million, which would be dedicated to fighting homelessness and funding affordable housing, as well as lowering costs for small businesses.”
- “This would be penalizing an industry that has led to the lowest unemployment rate in the country”
- “The proposal reflects the deep divide in our city as a few have prospered while so many are pushed out by rising costs”
- [Online Article](#)



Review

- *Instruction formats* designed to be similar but still capable of handling all instructions

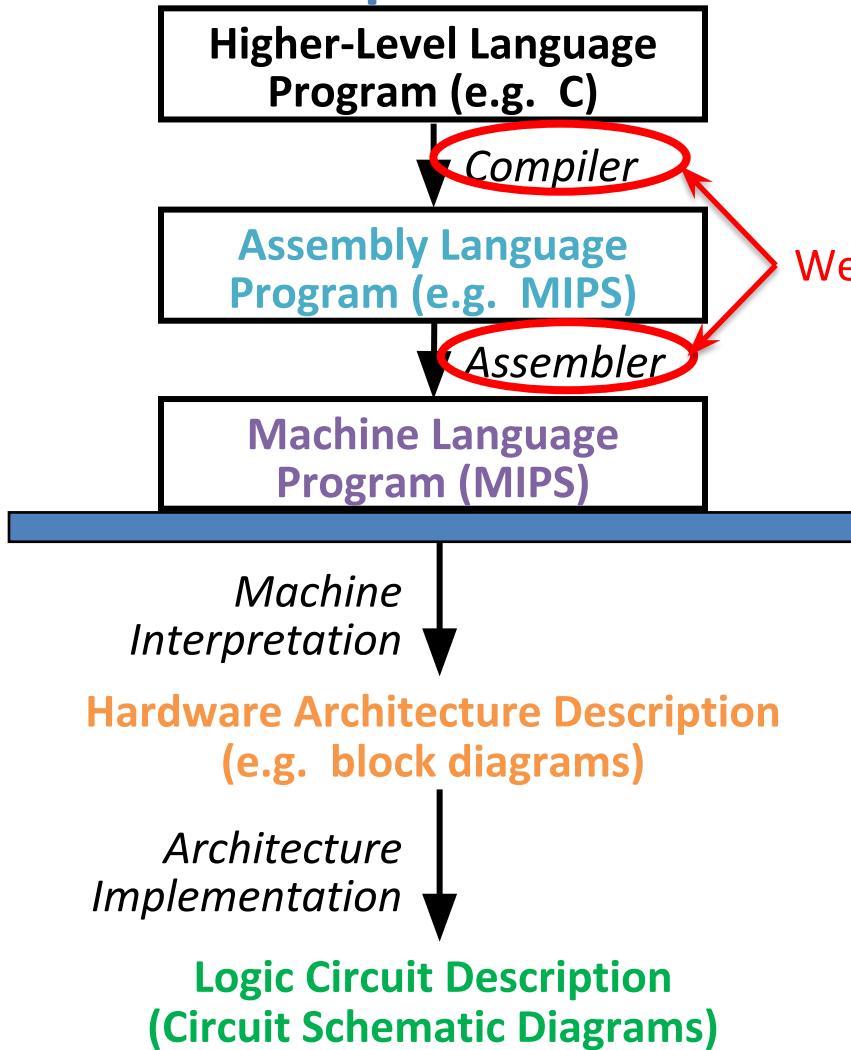
R:	opcode	rs	rt	rd	shamt	funct
I:	opcode	rs	rt		immediate	
J:	opcode		target	address		

- Branches move relative to current address,
Jumps go directly to a specific address
- Assembly/Disassembly: Use MIPS Green
Sheet to convert

Question: Which of the following statements is TRUE?

- (A) \$rt (target register) is misnamed because it never receives the result of an instruction
- (B) All of the fields in an instruction are treated as unsigned numbers
- (C) We can reach an instruction that is $2^{16} * 4 = 2^{18}$ bytes away with a branch
- (D) We can reach more instructions forward than we can backwards with a branch

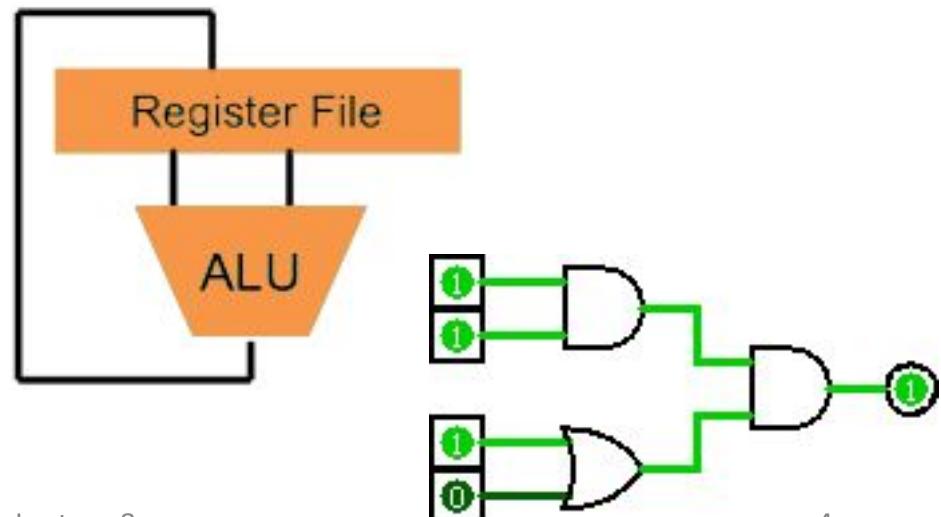
Great Idea #1: Levels of Representation/Interpretation



temp = v[k];
v[k] = v[k+1];
v[k+1] = temp;

lw	\$t0, 0(\$2)
lw	\$t1, 4(\$2)
sw	\$t1, 0(\$2)
sw	\$t0, 4(\$2)

0000 1001 1100 0110 1010 1111 0101 1000
1010 1111 0101 1000 0000 1001 1100 0110
1100 0110 1010 1111 0101 1000 0000 1001
0101 1000 0000 1001 1100 0110 1010 1111



Agenda

- Translation vs. Interpretation
- Compiler
- Administrivia
- Assembler
- Linker
- Loader
- C.A.L.L. Example

Translation vs. Interpretation (1/3)

- How do we run a program written in a source language?
 - **Interpreter**: Directly executes a program in the source language
 - **Translator**: Converts a program from the source language to an equivalent program in another language
- Directly *interpret* a high level language when efficiency is not critical
- *Translate* to a lower level language when increased performance is desired

Translation vs. Interpretation (2/3)

- Generally easier to write an interpreter
- Interpreter closer to high-level, so can give better error messages (e.g. MARS, stk)
- Interpreter is slower (~10x), but code is smaller (~2x)
- Interpreter provides instruction set independence: can run on any machine

Translation vs. Interpretation (3/3)

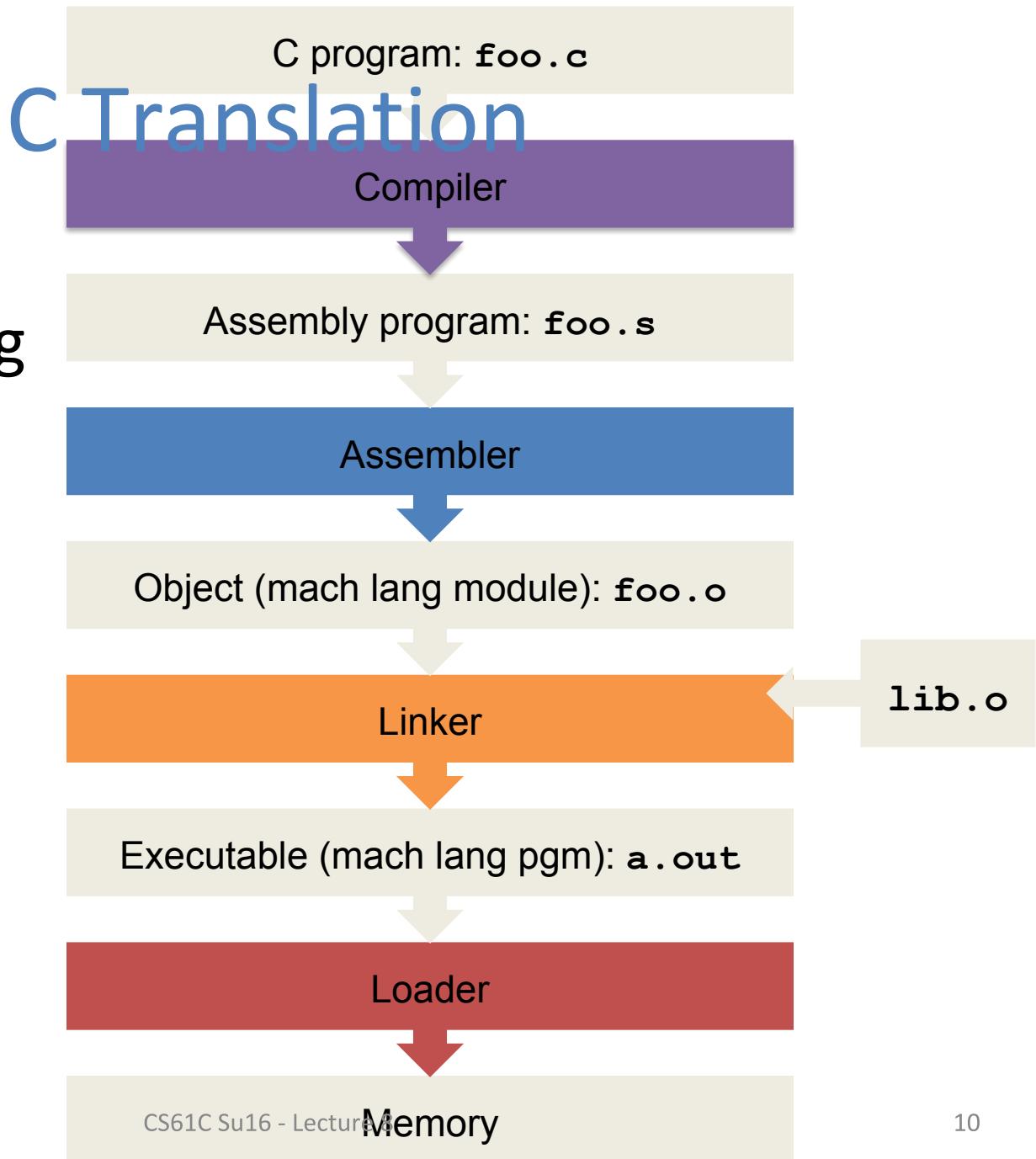
- Translated/compiled code almost always more efficient and therefore higher performance
 - Important for many applications, particularly operating systems
- Translation/compilation helps “hide” the program “source” from the users
 - One model for creating value in the marketplace (e.g. Microsoft keeps all their source code secret)
 - Alternative model, “open source”, creates value by publishing the source code and fostering a community of developers

C Translation

- **Recall:** A key feature of C is that it allows you to compile files *separately*, later combining them into a single executable
- What can be accessed across files?
 - Functions
 - Static/global variables

Steps to Starting a Program:

- 1) Compiler
- 2) Assembler
- 3) Linker
- 4) Loader



Compiler

- **Input:** Higher-level language (HLL) code (e.g. C, Java in files such as `foo.c`)
- **Output:** Assembly Language Code (e.g. `foo.s` for MIPS)
- Note that the output may contain pseudo-instructions
- In reality, there's a preprocessor step before this to handle `#directives` but it's not very exciting

Compilers Are Non-Trivial

- There's a whole course about them – CS164
 - We won't go into further detail in this course
 - For the very curious and highly motivated: <http://www.sigbus.info/how-i-wrote-a-self-hosting-c-compiler-in-40-days.html>
- Some examples of the task's complexity:
 - Operator precedence: $2 + 3 * 4$
 - Operator associativity: $a = b = c;$
 - Determining locally whether a program is valid
 - if (a) { if (b) { ... /*long distance*/ ... } } } //extra bracket

Compiler Optimization

- gcc compiler options
 - Level of optimization specified by the flag capital ‘O’ followed by a number (i.e. `-O1`)
 - The default is equivalent to `-O0` (no optimization) and goes up to `-O3` (heavy optimization)
 - Can also optimize for space usage using `-Os`
- Trade-off is between compilation speed, output file size/performance, and possibly debug ability
- For more details, see: <http://gcc.gnu.org/onlinedocs/gcc/Optimize-Options.html>

Benefit of Compiler Optimization

- Example program shown here:

BubbleSort.c

```
#define ARRAY_SIZE 20000
int main() {
    int iarray[ARRAY_SIZE], x, y, holder;

    for(x = 0; x < ARRAY_SIZE; x++)
        for(y = 0; y < ARRAY_SIZE-1; y++)
            if(iarray[y] > iarray[y+1]) {
                holder = iarray[y+1];
                iarray[y+1] = iarray[y];
                iarray[y] = holder;
            }
}
```

Unoptimized MIPS Code

\$L3:	addu \$2,\$3,\$2 lw \$4,80020(\$sp)	\$L11:	lw \$3,80020(\$sp) addu \$2,\$3,1
	slt \$3,\$2,20000 addu \$3,\$4,1		move \$3,\$2 sll \$2,\$3,2
	bne \$3,\$0,\$L6 move \$4,\$3		addu \$3,\$sp,16 addu \$2,\$3,\$2
	j \$L4 \$L6:		lw \$3,80020(\$sp) addu \$2,\$3,\$2
	.set noreorder nop		move \$4,\$3 sll \$3,\$4,2
	.set reorder sw \$0,80020(\$sp)		addu \$3,\$sp,16 addu \$2,\$3,\$2
\$L7:	lw \$2,80016(\$sp) slt \$2,\$3,\$2	\$L8:	lw \$3,80020(\$sp) addu \$3,\$2,1
	beq \$2,\$0,\$L9 lw \$3,80020(\$sp)		sw \$3,80016(\$sp) j \$L3
	bne \$3,\$0,\$L10 addu \$2,\$3,1	\$L5:	lw \$2,80016(\$sp) addu \$3,\$2,1
	j \$L5 \$L10:		sw \$3,80016(\$sp) j \$L3
	lw \$2,80020(\$sp) sll \$2,\$3,2	\$L4:	lw \$4,0(\$2) j \$L3
	move \$3,\$2 addu \$3,\$sp,16	\$L2:	sw \$4,0(\$2) lw \$2,80020(\$sp)
	sll \$2,\$3,2 addu \$3,\$sp,16		move \$3,\$2 sll \$2,\$3,2
			addu \$3,\$sp,16 addu \$2,\$3,\$2
			lw \$3,80024(\$sp) sw \$3,0(\$2)

-O2 Optimized MIPS Code

```
li    $13,65536          slt   $2,$4,$3
ori   $13,$13,0x3890      beq   $2,$0,$L9
addu  $13,$13,$sp        sw    $3,0($5)
sw    $28,0($13)         sw    $4,0($6)
move  $4,$0
addu  $8,$sp,16
$L6:
move  $3,$0
addu  $9,$4,1
.p2align 3
$L10:
sll   $2,$3,2
addu $6,$8,$2
addu $7,$3,1
sll   $2,$7,2
addu $5,$8,$2
lw    $3,0($6)
lw    $4,0($5)

               slt   $2,$3,19999
               bne  $2,$0,$L10
               move  $4,$9
               slt   $2,$4,20000
               bne  $2,$0,$L6
               li    $12,65536
               ori   $12,$12,0x38a0
               addu $13,$12,$sp
               addu $sp,$sp,$12
               j     $31
               .
```

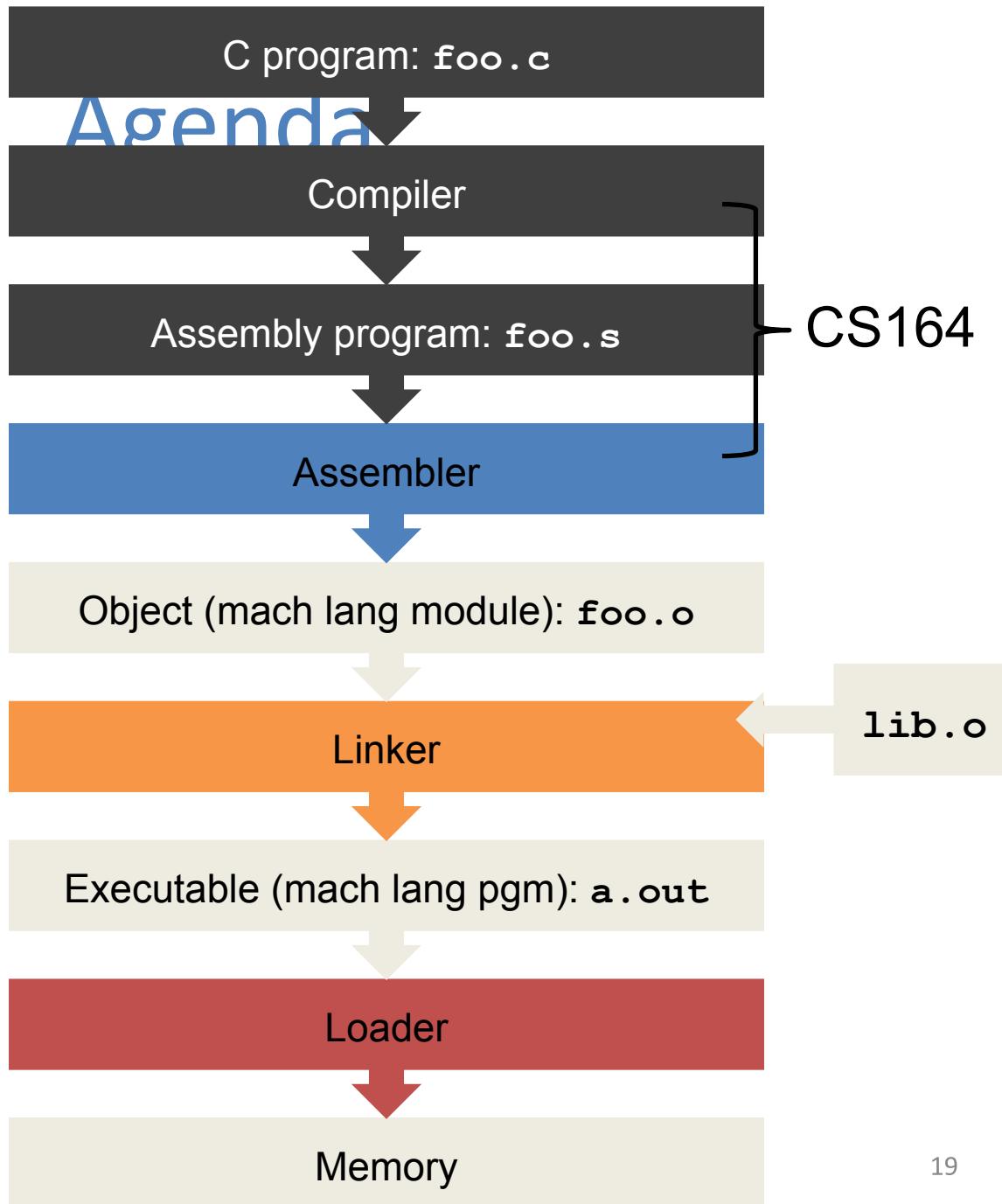
Administrivia

- The majority of the programming portion of this class is done! (other than parallelism)
- Project 1 and HW1 due Sunday (7/3)
- HW2 due Sunday (7/10)
- Midterm is ONE WEEK from now (7/7)
 - Covers material up to and including today (6/30)
 - Allowed one 8.5" x 11" double-sided, handwritten, cheat sheet
 - TA-run review Session on Tuesday 7/5 from 5-8PM in 100 GPB

My Study Plan

1. Review lecture slides and take notes as I go
 - a. Notes serve as rough draft of my cheat sheet
2. Take a past exam. Time myself and only use my rough draft cheat sheet
 - a. Take note of where I felt confused or uncertain
3. Go over solutions and figure out why the answers are what they are (especially for any questions I get wrong).
4. Go back to lecture slides, book, discussion worksheet, lab exercises, etc. and update my cheat sheet.
5. Repeat until day before midterm.
 - Night before midterm: SLEEP!

- Translation
- Compiler
- Administrivia
- **Assembler**
- Linker
- Loader
- Example



Assembler

- **Input:** Assembly language code (MAL)
(e.g. `foo.s` for MIPS)
- **Output:** Object code (TAL), information tables
(e.g. `foo.o` for MIPS)
 - Object file
- Reads and uses **directives**
- Replaces pseudo-instructions
- Produces machine language

Assembler Directives

(For more info, see p.B-5 and B-7 in P&H)

- Give directions to assembler, but do not produce machine instructions
 - `.text`: Subsequent items put in user text segment (machine code)
 - `.data`: Subsequent items put in user data segment (binary rep of data in source file)
 - `.globl sym`: declares `sym` global and can be referenced from other files
 - `.asciiz str`: Store the string `str` in memory and null-terminates it
 - `.word w1...wn`: Store the n 32-bit quantities in successive memory words

Pseudo-instruction Replacement

Pseudo: Real:

subu \$sp,\$sp,32	addiu \$sp,\$sp,-32
sd \$a0, 32(\$sp)	sw \$a0, 32(\$sp)
sw \$a1, 36(\$sp)	
mul \$t7,\$t6,\$t5	mul \$t6,\$t5
mflo \$t7	
addu \$t0,\$t6,1	addiu \$t0,\$t6,1
ble \$t0,100,loop	slti \$at,\$t0,101
bne \$at,\$0,loop	
la \$a0, str	lui \$at, left(str)
ori \$a0,\$at,right(str)	

Producing Machine Language (1/3)

- Simple Cases
 - Arithmetic and logical instructions, shifts, etc.
 - All necessary info contained in the instruction
- What about Branches?
 - Branches require a *relative address*
 - Once pseudo-instructions are replaced by real ones, we know by how many instructions to branch, so no problem

Producing Machine Language (2/3)

- “Forward Reference” problem
 - Branch instructions can refer to labels that are “forward” in the program:

```
    or    $v0, $0, $0
L1: slt   $t0, $0, $a1
    beq   $t0, $0, L2
    addi  $a1, $a1, -1
    j     L1
L2: add   $t1, $a0, $a1
```

- Solution: Make two passes over the program
 - First pass remembers position of labels
 - Second pass uses label positions to generate code

Producing Machine Language (3/3)

- What about jumps (`j` and `jal`)?
 - Jumps require *absolute address* of instructions
 - Forward or not, can't generate machine instruction without knowing the position of instructions in memory
- What about references to data?
 - `la` gets broken up into `lui` and `ori`
 - These will require the full 32-bit address of the data
- These can't be determined yet, so we create two tables...

Symbol Table

- List of “items” that may be used by other files
 - *Each* file has its own symbol table
- What are they?
 - **Labels**: function calling
 - **Data**: anything in the .data section;
variables may be accessed across files

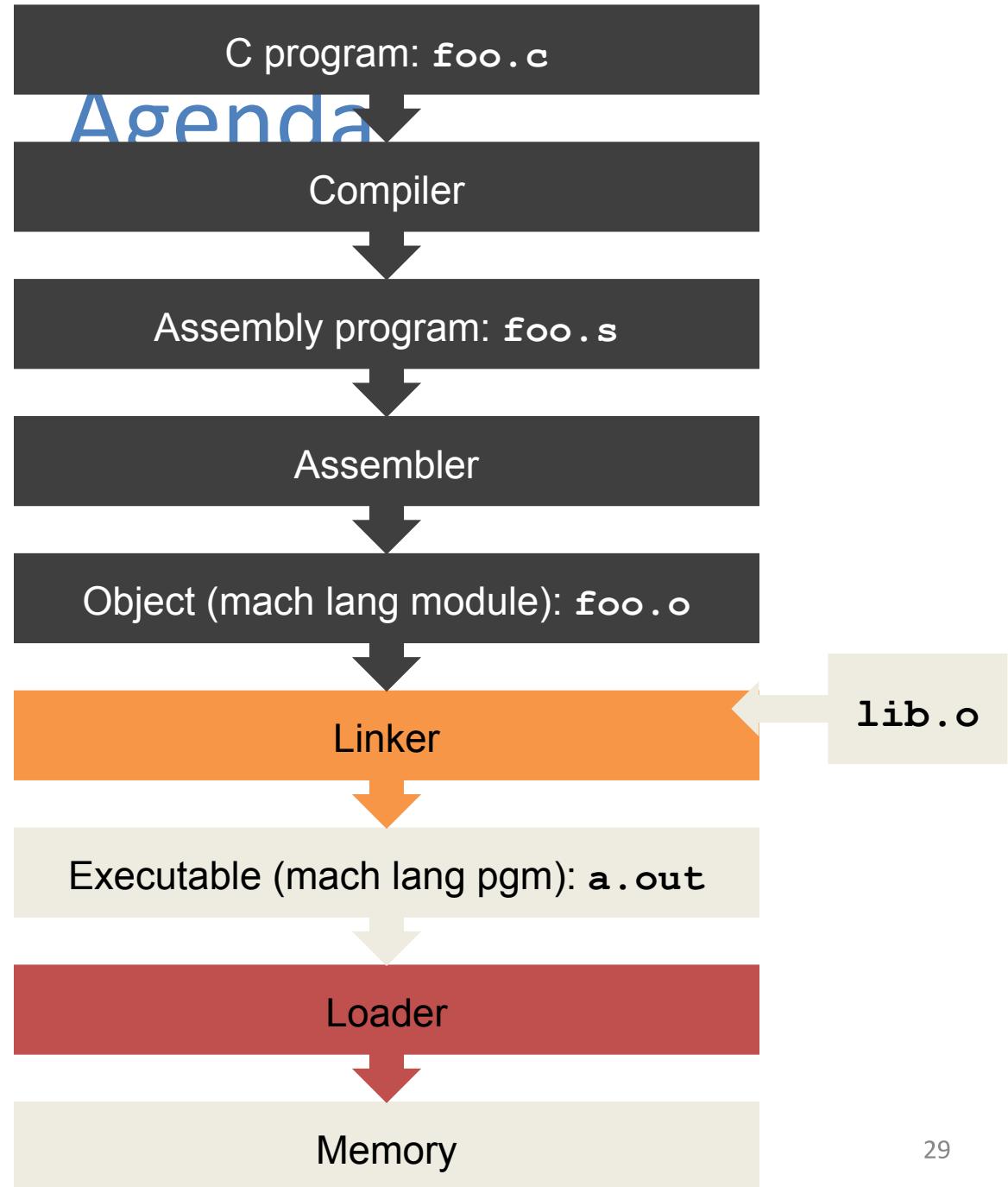
Relocation Table

- List of “items” this file will need the address of later (currently undetermined)
- What are they?
 - Any **label** jumped to: `j` or `jal`
 - internal
 - external (including library files)
 - Any piece of **data**
 - such as anything referenced by the `la` instruction

Object File Format

- 1) **object file header**: size and position of the other pieces of the object file
- 2) **text segment**: the machine code
- 3) **data segment**: data in the source file (binary)
- 4) **relocation table**: identifies lines of code that need to be “handled”
- 5) **symbol table**: list of this file’s labels and data that can be referenced
- 6) **debugging information**
 - A standard format is ELF (except MS)
http://www.skyfree.org/linux/references/ELF_Format.pdf

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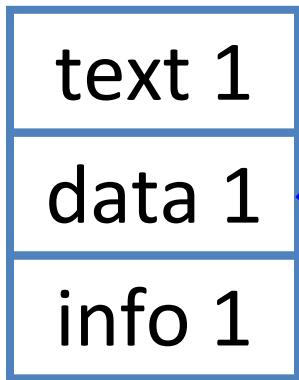


Linker (1/3)

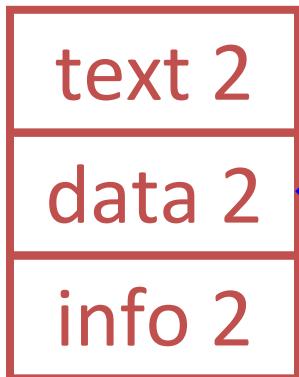
- **Input:** Object Code files, information tables (e.g. `foo.o`, `lib.o` for MIPS)
- **Output:** Executable Code (e.g. `a.out` for MIPS)
- Combines several object (`.o`) files into a single executable (“**linking**”)
- **Enables separate compilation of files**
 - Changes to one file do not require recompiling of whole program
 - Old name “Link Editor” from editing the “links” in jump and link instructions

Linker (2/3)

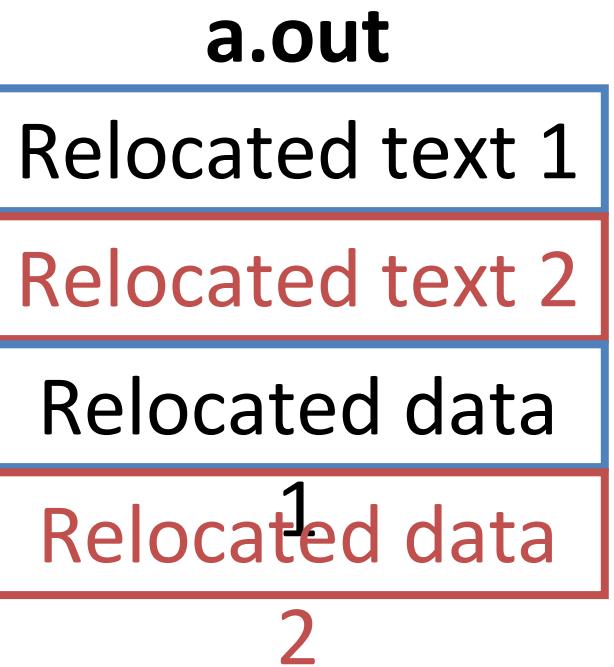
object file 1



object file 2



Linker



Linker (3/3)

- 1) Take text segment from each .o file and put them together
- 2) Take data segment from each .o file, put them together, and concatenate this onto end of text segments
- 3) Resolve References
 - Go through Relocation Table; handle each entry
 - i.e. **fill in all absolute addresses**

Four Types of Addresses

- PC-Relative Addressing (beq, bne)
 - Never relocate
- Absolute Address (j, jal)
 - Always relocate
- External Reference (usually jal)
 - Always relocate
- Data Reference (often lui and ori)
 - Always relocate

Absolute Addresses in MIPS

- Which instructions need relocation editing?
 - J-format: jump, jump and link

j/jal	xxxxx
-------	-------

- Loads and stores to variables in static area,
relative to global pointer (\$gp)

lw/sw	\$gp	\$xx	address
-------	------	------	---------

- What about conditional branches?

beq/bne	\$rs	\$rt	address
---------	------	------	---------

- PC-relative addressing is preserved even if code moves

Resolving References (1/2)

- Linker assumes the first word of the first text segment is at **address 0x00000000**.
 - More later when we study “virtual memory”
- Linker knows:
 - Length of each text and data segment
 - Ordering of text and data segments
- Linker calculates:
 - Absolute address of each label to be jumped to (internal or external) and each piece of data being referenced

Resolving References (2/2)

- To resolve references:
 - 1) Search for reference (data or label) in all “user” symbol tables
 - 2) If not found, search library files (e.g. `printf`)
 - 3) Once absolute address is determined, fill in the machine code appropriately
- Output of linker: executable file containing text and data (plus header)

Static vs. Dynamically Linked Libraries

- What we've described is the traditional way:
statically-linked libraries
 - The library is now part of the executable, so if the library updates, we don't get the fix (have to recompile if we have the source files)
 - It includes the *entire* library even if not all of it will be used
 - Executable is self-contained (all you need to run)
- An alternative is **dynamically linked libraries** (DLL), common on Windows & UNIX platforms

Dynamically Linked Libraries (1/2)

- Space/time issues
 - + Storing a program requires less disk space
 - + Sending a program requires less time
 - + Executing two programs requires less memory (if they share a library)
 - + At runtime, there's time overhead to do link
- Upgrades
 - + Replacing one file upgrades every program that uses that library
 - + Having the executable isn't enough anymore

Dynamically Linked Libraries (2/2)

- Overall, dynamic linking adds quite a bit of complexity to the compiler, linker, and operating system
- However, it provides many benefits that often outweigh these
- For more info, see:

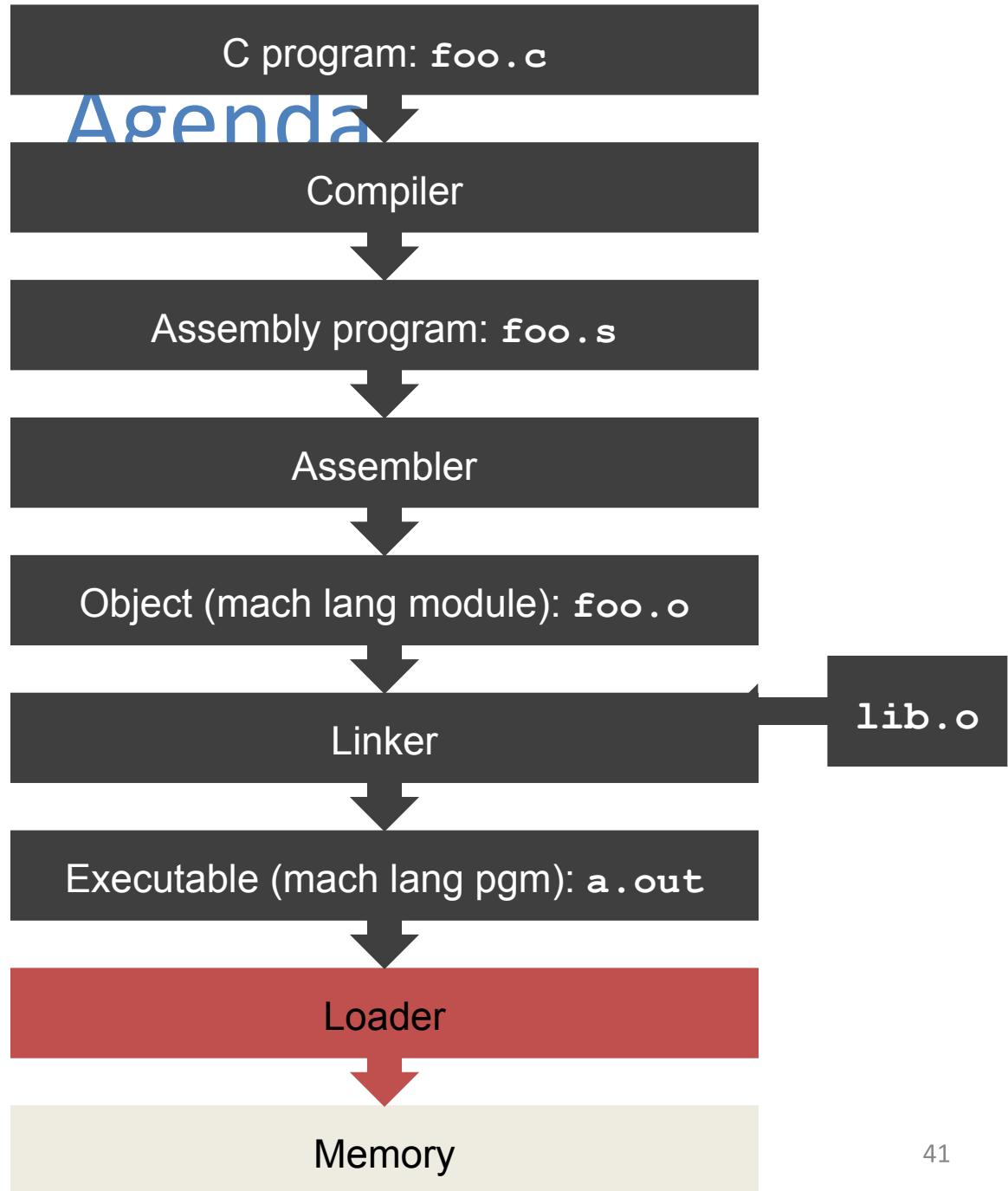
http://en.wikipedia.org/wiki/Dynamic_linking

Get To Know Your Staff

- Category: **Games**

	Justin		Shreyas	
Favorite Video Game	Final Fantasy Tactics		Eve Online	
Favorite Board/Card Game	Hanabi		Agricola	
Favorite Smash Bros. Character	Fox		Ice Climbers	
Favorite Original Pokémon	Alakazam		Charizard	

- Translation
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- **Loader**
- Example



Loader

- **Input:** Executable Code (e.g. `a.out` for MIPS)
- **Output:** <program is run>
- Executable files are stored on disk
- When one is run, loader's job is to load it into memory and start it running
- In reality, loader is the operating system (OS)
 - loading is one of the OS tasks

Loader

- 1) Reads executable file's header to determine size of text and data segments
- 2) Creates new address space for program large enough to hold text and data segments, along with a stack segment
<more on this later>
- 3) Copies instructions and data from executable file into the new address space

Loader

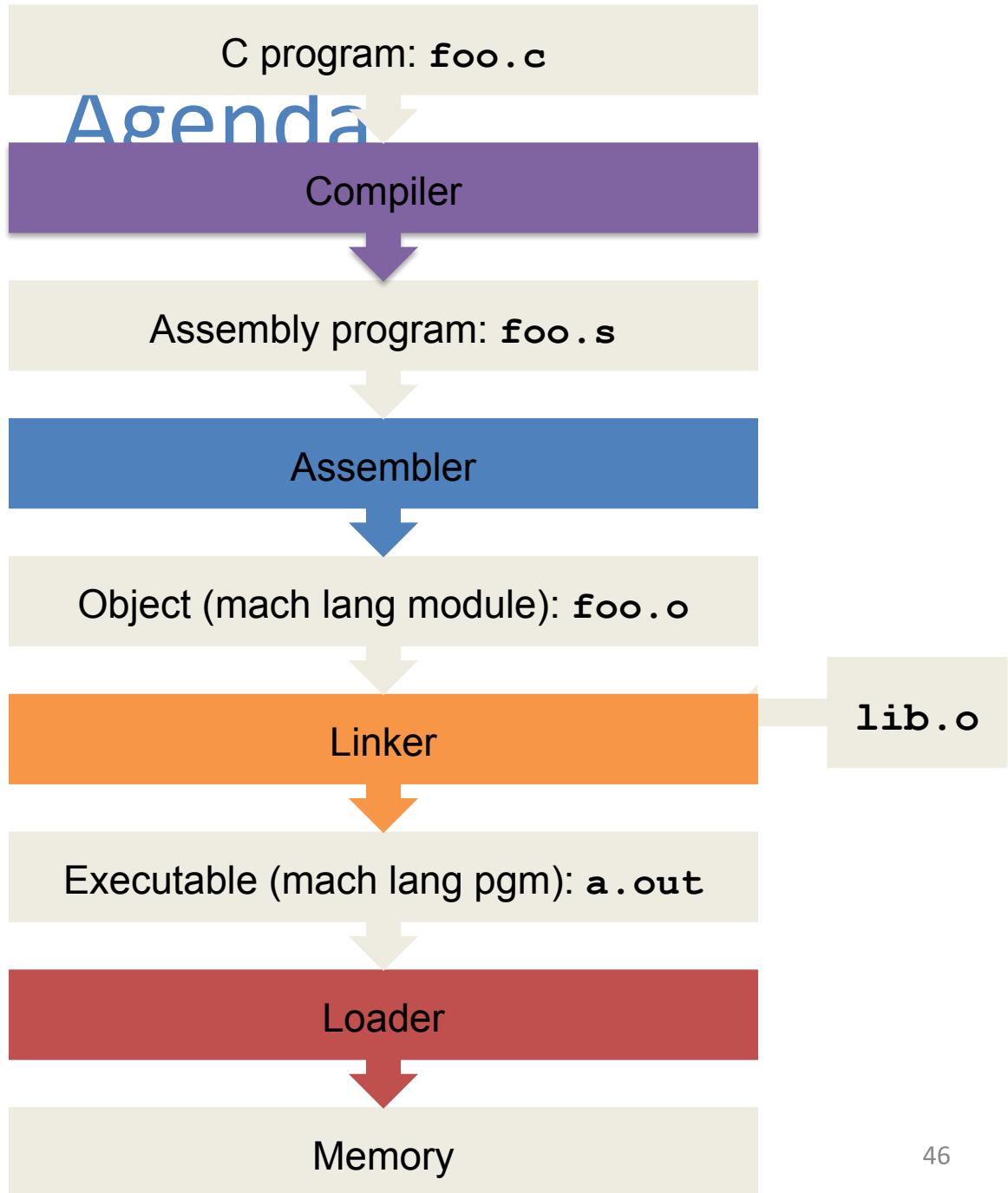
- 4) Copies arguments passed to the program onto the stack
- 5) Initializes machine registers
 - Most registers cleared, but stack pointer assigned address of 1st free stack location
- 6) Jumps to start-up routine that copies program's arguments from stack to registers and sets the PC
 - If main routine returns, start-up routine terminates program with the exit system call

Question: Which statement is TRUE about the following code?

```
        la    $t0,Array  
Loop: lw    $t1,0($t0)  
        addi $t0,$t0,4  
        bne  $a0,$t1,Loop  
Exit:  nop
```

- (A) The `la` instruction will be edited during the link phase
- (B) The `bne` instruction will be edited during the link phase
- (C) Assembler will ignore the instruction `Exit: nop` because it does nothing
- (D) This was written by a programmer because compilers don't allow pseudo-instructions

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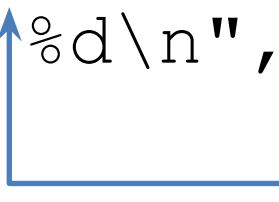


C.A.L.L. Example

C Program Source Code (prog.c)

```
#include <stdio.h>

int main (int argc, char *argv[] ) {
    int i, sum = 0;
    for (i = 0; i <= 100; i++)
        sum = sum + i * i;
    printf ("The sum of sq from 0 .. 100
    is %d\n", sum);
}
```



printf lives in stdio.h

Compilation: MAL

```
.text
.align 2
.globl main
main:
    subu $sp, $sp, 32
    sw    $ra, 20($sp)
    sd    $a0, 32($sp)
    sw    $0, 24($sp)
    sw    $0, 28($sp)
loop:
    lw    $t6, 28($sp)
    mul   $t7, $t6,
    $t6
    lw    $t8, 24($sp)
    addu $t9, $t8,
    $t7
    sw    $t9, 24($sp)
```

```
addu $t0, $t6, 1
sw    $t0, 28($sp)
ble   $t0, 100,
loop
    la    $a0, str
    lw    $a1, 24($sp)
    jal   printf
    move $v0, $0
    lw    $ra, 20($sp)
    addiu $sp, $sp, 32
    jr    $ra
.identify the
    .data
    .align 0
    .pseudo
    .instructions!
str:
    .asciiiz "The sum
    of sq from 0 ..
    100 is %d\n"
```

Compilation: MAL

```
.text
.align 2
.globl main
main:
    subu $sp, $sp, 32
    sw    $ra, 20($sp)
    sd    $a0, 32($sp)
    sw    $0,   24($sp)
    sw    $0,   28($sp)
loop:
    lw    $t6, 28($sp)
    mul  $t7, $t6,
    lw    $t8, 24($sp)
    addu $t9, $t8,
    $t7
    sw    $t9, 24($sp)
```

```
addu $t0, $t6, 1
sw    $t0, 28($sp)
ble  $t0, 100,
loop
    la    $a0, str
    lw    $a1, 24($sp)
    jal   printf
    move $v0, $0
    lw    $ra, 20($sp)
    addiu $sp,$sp,32
    jr    $ra
.data
.align 0
str:
    .asciiiz "The sum
of sq from 0 ..
100 is %d\n"
```

Assembly

1) Remove pseudoinstructions, assign addresses

00	addiu	\$29, \$29, -32
04	sw	\$31, 20(\$29)
08	sw	\$4, 32(\$29)
0c	sw	\$5, 36(\$29)
10	sw	\$0, 24(\$29)
14	sw	\$0, 28(\$29)
18	lw	\$14, 28(\$29)
1c	multu	\$14, \$14
20	mflo	\$15
24	lw	\$24, 24(\$29)
28	addu	\$25, \$24, \$15
2c	sw	\$25, 24(\$29)

30	addiu	\$8, \$14, 1
34	sw	\$8, 28(\$29)
38	slti	\$1, \$8, 101
3c	bne	\$1, \$0, loop
40	lui	\$4, l.str
44	ori	\$4, \$4, r.str
48	lw	\$5, 24(\$29)
4c	jal	printf
50	add	\$2, \$0, \$0
54	lw	\$31, 20(\$29)
58	addiu	\$29, \$29, 32
5c	jr	\$31

Assembly

2) Create relocation table and symbol table

- Symbol Table

Label	Address (in module)	Type
main:	0x00000000	global text
loop:	0x00000018	local text
str:	0x00000000	local data

- Relocation Table

Address	Instr. type	Dependency
0x00000040	lui	l.str
0x00000044	ori	r.str
0x0000004c	jal	printf

Assembly

3) Resolve local PC-relative labels

00	addiu	\$29, \$29, -32
04	sw	\$31, 20(\$29)
08	sw	\$4, 32(\$29)
0c	sw	\$5, 36(\$29)
10	sw	\$0, 24(\$29)
14	sw	\$0, 28(\$29)
18	lw	\$14, 28(\$29)
1c	multu	\$14, \$14
20	mflo	\$15
24	lw	\$24, 24(\$29)
28	addu	\$25, \$24, \$15
2c	sw	\$25, 24(\$29)

30	addiu	\$8, \$14, 1
34	sw	\$8, 28(\$29)
38	slti	\$1, \$8, 101
3c	bne	\$1, \$0, -10
40	lui	\$4, <u>l.str</u>
44	ori	\$4, \$4, <u>r.str</u>
48	lw	\$5, 24(\$29)
4c	jal	<u>printf</u>
50	add	\$2, \$0, \$0
54	lw	\$31, 20(\$29)
58	addiu	\$29, \$29, 32
5c	jr	\$31

Assembly

4) Generate object (.o) file:

- Output binary representation for:
 - text segment (instructions)
 - data segment (data)
 - symbol and relocation tables
- Using dummy “placeholders” for unresolved absolute and external references
 - Use all zeros where immediate or target address should be (see next slide)

Text Segment in Object File

0x0000000	0010011101111011111111111111100000
0x0000004	101011110111110000000000010100
0x0000008	1010111101001000000000000100000
0x000000C	1010111101001010000000000100100
0x0000010	1010111101000000000000000110000
0x0000014	1010111101000000000000000111000
0x0000018	1000111101011000000000000111000
0x000001C	1000111101110000000000000110000
0x0000020	000000011100111000000000011001
0x0000024	0010010111001000000000000000001
0x0000028	00101001000000010000000001100101
0x000002C	1010111101010000000000000111000
0x0000030	0000000000000000000111100000010010
0x0000034	00000011000011111100100000100001
0x0000038	0001010000100001111111111110111
0x000003C	101011110111001000000000011000
0x0000040	0011110000000100000000000000000
0x0000044	1000111101001010000000000000000
0x0000048	00001100000100000000000000011101100
0x000004C	001001000000000000000000000000000
0x0000050	100011110111110000000000010100
0x0000054	0010011101111010000000000100000
0x0000058	00000011111000000000000000000001000
0x000005C	000000000000000000000001000000100001

← l.str
← r.str
← printf

Link

1) Combine `prog.o` and `libc.o`

- Merge text/data segments
- Create absolute memory addresses
- Modify & merge symbol and relocation tables
- Symbol Table
 - Label Address

main:	0x00000000
loop:	0x000000018
str:	0x10000430
printf:	0x000000cb0
	...

- Relocation Table
 - Address Instr. Type Dependency 0x00000040 lui

l.str	
0x00000044	ori r.str
0x0000004c	jal printf ...

Link

2) Edit addresses in relocation table

- Shown in TAL for clarity, but done in binary

00	addiu	\$29, \$29, -32	30	addiu	\$8, \$14, 1
04	sw	\$31, 20(\$29)	34	sw	\$8, 28(\$29)
08	sw	\$4, 32(\$29)	38	slti	\$1, \$8, 101
0c	sw	\$5, 36(\$29)	3c	bne	\$1, \$0, -10
10	sw	\$0, 24(\$29)	40	lui	\$4, <u>4096</u>
14	sw	\$0, 28(\$29)	44	ori	\$4, \$4, <u>1072</u>
18	lw	\$14, 28(\$29)	48	lw	\$5, 24(\$29)
1c	multu	\$14, \$14	4c	jal	<u>812</u>
20	mflo	\$15	50	add	\$2, \$0, \$0
24	lw	\$24, 24(\$29)	54	lw	\$31, 20(\$29)
28	addu	\$25, \$24, \$15	58	addiu	\$29, \$29, 32
2c	sw	\$25, 24(\$29)	5c	jr	\$31

Link

3) Output executable of merged modules

- Single text (instruction) segment
 - Single data segment
 - Header detailing size of each segment
-
- **NOTE:** The preceding example was a much simplified version of how ELF and other standard formats work, meant only to demonstrate the basic principles

Summary

- **Compiler** converts a single HLL file into a single assembly file $.c \rightarrow .s$
- **Assembler** removes pseudo-instructions, converts what it can to machine language, and creates a checklist for linker (relocation table) $.s \rightarrow .o$
 - Resolves addresses by making 2 passes (for internal forward references)
- **Linker** combines several object files and resolves absolute addresses $.o \rightarrow .out$
 - Enable separate compilation and use of libraries
- **Loader** loads executable into memory and begins execution