

# Logical Formalisms Expressing Boundedness Properties over Infinite Trees

Nathanaël Fijalkow

Institute of Informatics, Warsaw University – Poland

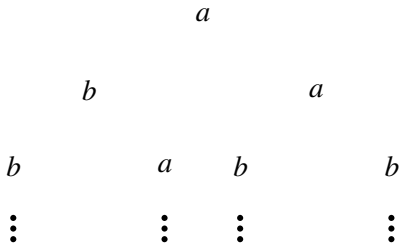
LIAFA, Université Paris 7 Denis Diderot – France

Saarland University, January 27th, 2014



# Logics over infinite (binary) trees

A tree:



A logical property:

“for all nodes  $a$ , there are finitely many nodes *below it*  
that contain a branch with infinitely many  $b$ ’s”

# Rabin's theorem: decidability of MSO

The variables  $x, y, \dots$  are interpreted by nodes,  $X, Y, \dots$  by sets of nodes.

# Rabin's theorem: decidability of MSO

The variables  $x, y, \dots$  are interpreted by nodes,  $X, Y, \dots$  by sets of nodes.

Atomic formulæ:

$$a(x) \quad | \quad x \in X \quad | \quad \textit{LeftChild}(x, y) \quad | \quad \textit{RightChild}(x, y)$$

Constructors:

$$\underbrace{\wedge, \vee, \neg}_{\text{boolean connectives}} \quad | \quad \underbrace{\exists x}_{\text{first-order}} \quad | \quad \underbrace{\exists X}_{\text{monadic second-order}}$$

# Rabin's theorem: decidability of MSO

The variables  $x, y, \dots$  are interpreted by nodes,  $X, Y, \dots$  by sets of nodes.

Atomic formulæ:

$$a(x) \quad | \quad x \in X \quad | \quad LeftChild(x, y) \quad | \quad RightChild(x, y)$$

Constructors:

$$\underbrace{\wedge, \vee, \neg}_{\text{boolean connectives}} \quad | \quad \underbrace{\exists x}_{\text{first-order}} \quad | \quad \underbrace{\exists X}_{\text{monadic second-order}}$$

## Theorem (Rabin, 1969)

*The following problem (called satisfiability problem) is decidable:*

- *Instance:*  $\phi$  an MSO formula.
- *Question:* does there exist a tree  $\mathbf{t}$  satisfying  $\phi$ ?

## Can we go further?

*i.e.* are there *decidable* extensions of MSO over infinite trees?

Can we talk about the *size* of sets?

About their *asymptotic behaviour*?

- “ $X$  is finite” ,  $|X| \geq 6$  ,  $|X| \equiv |Y| \pmod{9}$   
 $\nleftrightarrow$  already expressible in MSO



- “ $X$  is finite” ,  $|X| \geq 6$  ,  $|X| \equiv |Y| \pmod{9}$   
 $\leadsto$  already expressible in MSO
- $|X| = |Y|$  ,  $|X| \leq |Y|$  ,  $|X| = 2|Y|$   
 $\leadsto$  undecidable!

- “ $X$  is finite” ,  $|X| \geq 6$  ,  $|X| \equiv |Y| \pmod{9}$   
 $\leadsto$  already expressible in MSO
- $|X| = |Y|$  ,  $|X| \leq |Y|$  ,  $|X| = 2|Y|$   
 $\leadsto$  undecidable!
- $\mathbb{B}X, \phi$ , defined by
$$\exists N \in \mathbb{N}, \forall X, \phi(X) \Rightarrow |X| \leq N$$
  
 $\leadsto$   $\text{MSO} + \mathbb{B}$  was proposed by Bojańczyk in 2004
- ... ?

Theorem (Hummel, Skrzypczak and Toruńczyk, 2010)

$\text{MSO} + \mathbb{B}$  is topologically very hard (reaches all levels of the projective hierarchy).

Theorem (Hummel, Skrzypczak and Toruńczyk, 2010)

$\text{MSO} + \mathbb{B}$  is topologically very hard (reaches all levels of the projective hierarchy).

Theorem (Bojańczyk, Gogacz, Michalewski, Skrzypczak, 2014)

*The decidability of  $\text{MSO} + \mathbb{B}$  over infinite trees cannot be proved in ZFC.*

(The decidability of  $\text{MSO} + \mathbb{B}$  over infinite words is still open.)

Theorem (Hummel, Skrzypczak and Toruńczyk, 2010)

$\text{MSO} + \mathbb{B}$  is topologically very hard (reaches all levels of the projective hierarchy).

Theorem (Bojańczyk, Gogacz, Michalewski, Skrzypczak, 2014)

*The decidability of  $\text{MSO} + \mathbb{B}$  over infinite trees cannot be proved in ZFC.*

(The decidability of  $\text{MSO} + \mathbb{B}$  over infinite words is still open.)

## End of the story?

Theorem (Hummel, Skrzypczak and Toruńczyk, 2010)

$\text{MSO} + \mathbb{B}$  is topologically very hard (reaches all levels of the projective hierarchy).

Theorem (Bojańczyk, Gogacz, Michalewski, Skrzypczak, 2014)

*The decidability of  $\text{MSO} + \mathbb{B}$  over infinite trees cannot be proved in ZFC.*

(The decidability of  $\text{MSO} + \mathbb{B}$  over infinite words is still open.)

End of the story?  
Not quite!

Uniform versus non-uniform quantification:

Satisfiability of  $\text{MSO} + \mathbb{B}$ :

$$\begin{aligned} &\exists \mathbf{t} \text{ (tree)}, \\ &\mathbf{t} \models \phi \in \text{MSO} + \mathbb{B} \end{aligned}$$



non-uniform

Boundedness of cost MSO:

$$\begin{aligned} &\exists N \in \mathbb{N}, \\ &\forall \mathbf{t} \text{ (tree)}, \\ &t \models \phi(N) \end{aligned}$$



uniform

Theorem (Bojańczyk and Toruńczyk, 2012)

*Weak  $\text{MSO} + \mathbb{B}$  is decidable.*



## Second direction: cost MSO

Colcombet investigated *uniform* quantifications over bounds:

Add “ $|X| \leq N$ ” to MSO formulæ.

Hope (Colcombet, 2009)

*The boundedness problem is decidable:*

- *Instance:*  $\phi(N)$  a cost MSO formula.
- *Question:*  $\exists N, \forall \mathbf{t}, \quad \mathbf{t} \models \phi[N]$ ?

Colcombet investigated *uniform* quantifications over bounds:

Add “ $|X| \leq N$ ” to MSO formulæ.

Hope (Colcombet, 2009)

*The boundedness problem is decidable:*

- *Instance:*  $\phi(N)$  a cost MSO formula.
- *Question:*  $\exists N, \forall \mathbf{t}, \quad \mathbf{t} \models \phi[N]$ ?

Theorem (Colcombet 2009, Colcombet and Loeding 2011)

*The boundedness problem is decidable for finite words, for infinite words and for finite trees.*

Colcombet investigated *uniform* quantifications over bounds:

Add “ $|X| \leq N$ ” to MSO formulæ.

Hope (Colcombet, 2009)

*The boundedness problem is decidable:*

- *Instance:*  $\phi(N)$  a cost MSO formula.
- *Question:*  $\exists N, \forall \mathbf{t}, \quad \mathbf{t} \models \phi[N]$ ?

Theorem (Colcombet 2009, Colcombet and Loeding 2011)

*The boundedness problem is decidable for finite words, for infinite words and for finite trees.*

**Wide open** for infinite trees! It would solve a long-standing open problem (the decidability of the Mostowski index).



# A proof of Rabin's theorem, by Muller and Schupp

Alternating parity automata:

$$\mathcal{A} = (Q, A, q_0, \delta, \text{Parity}), \text{ where } \delta : Q \times A \rightarrow \underbrace{\mathcal{B}^+(Q \times Q)}_{\text{positive boolean combinations}}$$

# A proof of Rabin's theorem, by Muller and Schupp

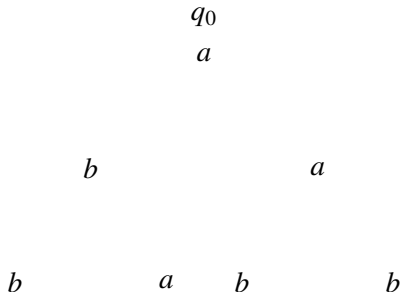
Alternating parity automata:

$$\mathcal{A} = (Q, A, q_0, \delta, \text{Parity}), \text{ where } \delta : Q \times A \rightarrow \underbrace{\mathcal{B}^+(Q \times Q)}_{\text{positive boolean combinations}}$$

A tree  $\mathbf{t}$  induces a two-player game between Eve and Adam:

- Eve chooses disjunctions,
- Adam chooses conjunctions,
- Adam chooses directions.

$\mathbf{t}$  is accepted if Eve wins the acceptance game.



# A proof of Rabin's theorem, by Muller and Schupp

Alternating parity automata:

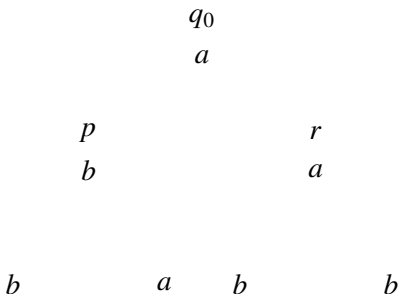
$$\mathcal{A} = (Q, A, q_0, \delta, \text{Parity}), \text{ where } \delta : Q \times A \rightarrow \underbrace{\mathcal{B}^+(Q \times Q)}_{\text{positive boolean combinations}}$$

$$(p, r) \models \delta(q_0, a)$$

A tree  $\mathbf{t}$  induces a two-player game between Eve and Adam:

- Eve chooses disjunctions,
- Adam chooses conjunctions,
- Adam chooses directions.

$\mathbf{t}$  is accepted if Eve wins the acceptance game.



# A proof of Rabin's theorem, by Muller and Schupp

Alternating parity automata:

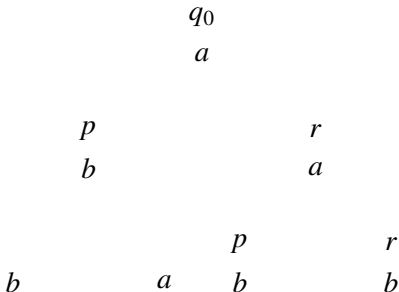
$$\mathcal{A} = (Q, A, q_0, \delta, \text{Parity}), \text{ where } \delta : Q \times A \rightarrow \underbrace{\mathcal{B}^+(Q \times Q)}_{\text{positive boolean combinations}}$$

$$(p, r) \models \delta(q_0, a)$$

A tree  $\mathbf{t}$  induces a two-player game between Eve and Adam:

- Eve chooses disjunctions,
- Adam chooses conjunctions,
- Adam chooses directions.

$\mathbf{t}$  is accepted if Eve wins the acceptance game.





We “compile” formulæ into automata. Three difficulties:

- complementation:
- existential quantification:
- emptiness check:

We “compile” formulæ into automata. Three difficulties:

- complementation:  $\neg$  relies on the **determinacy of parity games**
- existential quantification:
- emptiness check:

We “compile” formulæ into automata. Three difficulties:

- complementation:  $\neg \rightarrow$  relies on the **determinacy of parity games**
- existential quantification:  $\exists \rightarrow$  easy for non-deterministic automata
- emptiness check:  $\emptyset \rightarrow$  easy for non-deterministic automata

We “compile” formulæ into automata. Three difficulties:

- complementation:  $\neg$  relies on the **determinacy of parity games**
- existential quantification:  $\exists$  easy for non-deterministic automata
- emptiness check:  $\emptyset$  easy for non-deterministic automata

Simulating alternating automata by non-deterministic ones relies on:

- **determinization of parity automata over infinite words,**
- **positional determinacy of parity games.**

- ① Determinacy of parity games
- ② Determinization of parity automata over infinite words
- ③ Positional determinacy of parity games

- ① Define alternating  $B$ -parity and  $S$ -parity automata
- ② Show that the  $B$ - and  $S$ -variants are equivalent to each other
- ③ Show that they are equivalent to their non-deterministic variants
- ④ Show the appropriate closure properties
- ⑤ Solve the boundedness problem on non-deterministic  $S$ -automata

ND  $B$ -automata

ND  $S$ -automata

3

3

2

Alternating  $B$ -automata

Alternating  $S$ -automata

2

We need to generalize the three ingredients to *B-parity games*:

- ① Determinacy: ✓ (Borel determinacy takes over)
- ② Determinization: ✓ (history-deterministic automata fill in!)
- ③ Positional determinacy: only partial results...





controlled by Eve

controlled by Adam

controlled by Eve

controlled by Adam

controlled by Eve

controlled by Adam

controlled by Eve

controlled by Adam

controlled by Eve

controlled by Adam

controlled by Eve

controlled by Adam

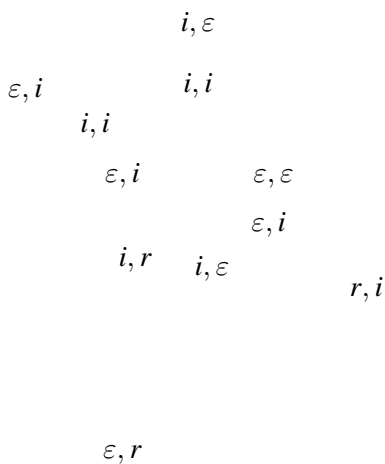
parity  
and  
all counters  
are bounded

parity condition:

the minimal priority  
seen infinitely often  
is even

4 1





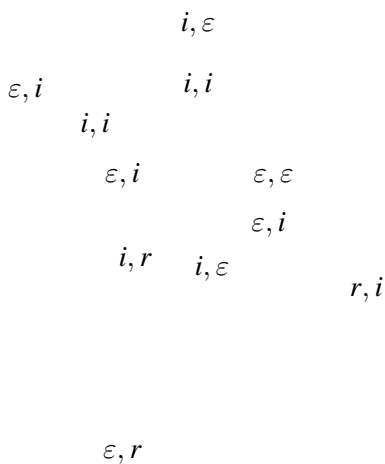
$$c_1 = 0$$

$$c_2 = 0$$

$\epsilon$  : nothing

$i$  : increment

$r$  : reset



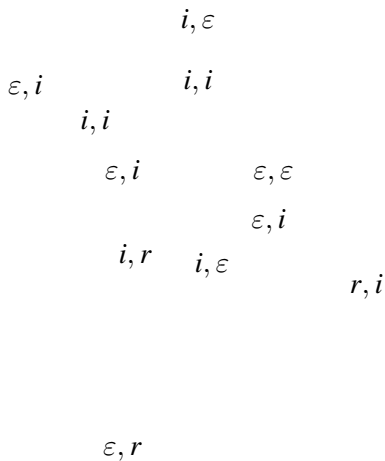
$$c_1 = 0$$

$$c_2 = 0$$

$\epsilon$  : nothing

$i$  : increment

$r$  : reset



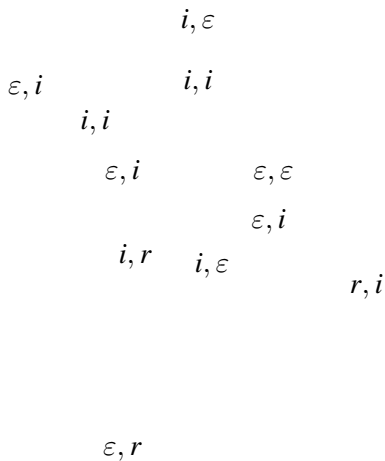
$$c_1 = 0$$

$$c_2 = 1$$

$\varepsilon$  : nothing

$i$  : increment

$r$  : reset



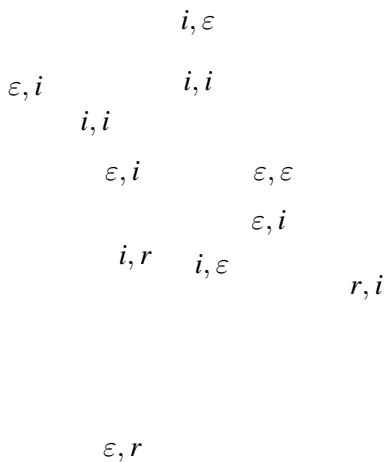
$$c_1 = 0$$

$$c_2 = 1$$

$\varepsilon$  : nothing

$i$  : increment

$r$  : reset



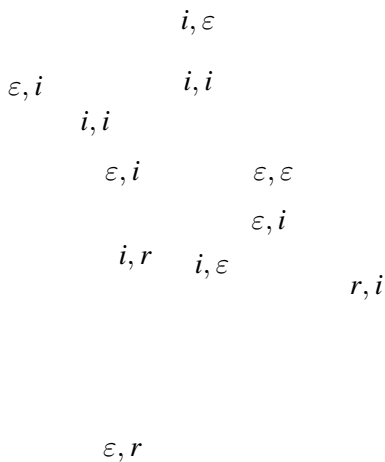
$$c_1 = 1$$

$$c_2 = 0$$

$\varepsilon$  : nothing

$i$  : increment

$r$  : reset



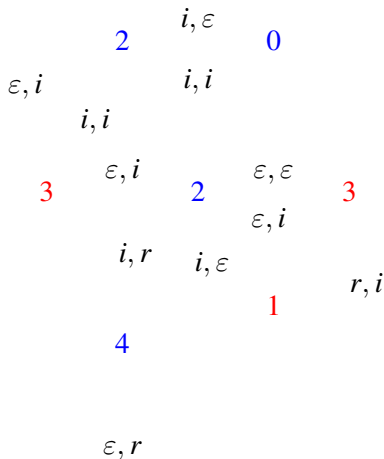
$$c_1 = 1$$

$$c_2 = 0$$

$\varepsilon$  : nothing

$i$  : increment

$r$  : reset



parity  
and  
all counters  
are bounded

# Uniform versus non-uniform quantification

Eve wins means:



$\exists \sigma$  (strategy for Eve),  
 $\forall \pi$  (paths),  
 $\exists N \in \mathbb{N}$ ,



$\exists N \in \mathbb{N}$ ,  
 $\exists \sigma$  (strategy for Eve),  
 $\forall \pi$  (paths),

$\pi$  satisfies parity and each counter is bounded by  $N$ .



# Uniform versus non-uniform quantification

Eve wins means:



$\exists \sigma$  (strategy for Eve),  
 $\forall \pi$  (paths),  
 $\exists N \in \mathbb{N}$ ,

$\pi$  satisfies parity and each counter is bounded by  $N$ .

non-uniform  
(MSO +  $\mathbb{B}$ )



$\exists N \in \mathbb{N}$ ,  
 $\exists \sigma$  (strategy for Eve),  
 $\forall \pi$  (paths),

uniform  
(cost MSO)

General form

$$\sigma : V^+ \rightarrow V$$

General form

$$\sigma : V^+ \rightarrow V$$

Positional or memoryless

$$\sigma : V \rightarrow V$$

General form

$$\sigma : V^+ \rightarrow V$$

Positional or memoryless

$$\sigma : V \rightarrow V$$

Finite-memory

$$\begin{cases} \sigma : V \times M \rightarrow V \\ \mu : M \times E \rightarrow M \end{cases}$$

Fix a game  $G$  and assume Eve wins  $B(N) \cap \text{Parity}$ .

## Observation

*Eve has a strategy **with  $N + 1$  memory states** to ensure  $B(N) \cap \text{Parity}$ .*

Fix a game  $G$  and assume Eve wins  $B(N) \cap \text{Parity}$ .

## Observation

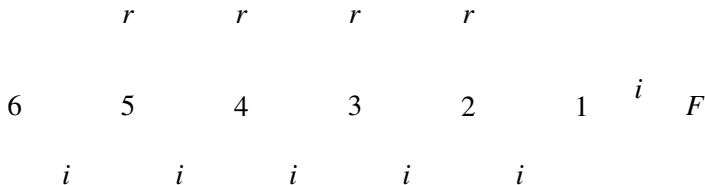
Eve has a strategy *with  $N + 1$  memory states* to ensure  $B(N) \cap \text{Parity}$ .

The conjecture involves a *trade-off* between memory and quality:

## Conjecture

*There exists a function  $\alpha : \mathbb{N} \rightarrow \mathbb{N}$  and a constant  $m \in \mathbb{N}$  such that for all games:*

*if Eve wins  $B(N) \cap \text{Parity}$ ,  
then she has a strategy *with  $m$  memory states* to ensure  
 $B(\alpha(N)) \cap \text{Parity}$ .*



Generalization:

- Eve wins  $B(N) \cap \text{Reach}(F)$  with  $N + 1$  memory states,
- Eve wins  $B(2 \cdot N) \cap \text{Reach}(F)$  with 3 memory states,





## Theorem (Vanden Boom, 2011)

*For infinite chronological games:*

- *If Eve wins  $B(N) \cap \text{Büchi}$ , then she has a strategy with 2 memory states to ensure  $B(N) \cap \text{Büchi}$ .*
- *If Eve wins  $\overline{B}(N) \cup \text{Büchi}$ , then she has a strategy with 2 memory states to ensure  $\overline{B}(N) \cup \text{Büchi}$ .*

## Corollary

*Cost weak MSO is decidable.*

## Theorem (“Folklore in the regular cost function community”)

*For infinite chronological games without  $\varepsilon$ :*

- *If Eve wins  $B(N) \cap \text{Parity}$ , then she has a strategy with 2 memory states to ensure  $B(N) \cap \text{Parity}$ .*
- *If Eve wins  $\overline{B}(N) \cup \text{Parity}$ , then she has a strategy with 2 memory states to ensure  $\overline{B}(N) \cup \text{Parity}$ .*

## Corollary

*MSO + “ $|x - y| \leq N$ ” (called temporal cost MSO) is decidable.*

A tree is **thin** if it has countably many branches.

Theorem (F.,Horn,Kuperberg,Skrzypczak, unpublished)

*Colcombet's Conjecture holds for **thin tree** games (with non-elementary bounds).*

Corollary

*Cost MSO is decidable over thin trees.*

To extend Rabin's theorem to cost MSO via Muller and Schupp's proof, the following three ingredients are required:

- ① Determinacy of  $B$ -parity games: ✓
- ② Determinization of  $B$ -parity automata over infinite words: ✓
- ③ Finite-memory determinacy for  $B$ -parity games: **ongoing**