

CITES ABBREVIATIONS AND ANNOTATIONS

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Key to abbreviations and annotations

I	=	listed in Appendix I
II	=	listed in Appendix II
III	=	listed in Appendix III
f.	=	forma
NC	=	non CITES
spp.	=	all species of a higher taxon
ssp.	=	subspecies
var.	=	variety
¹ to ⁵⁶	=	see <i>Annotations</i> <u>not</u> preceded by "#" below
#1-#13	=	see <i>Annotations</i> preceded by "#" below

Note: The coloured boxes below indicate whether the annotation applies to animal (orange) or plant (green) species.

Annotations not preceded by "#"

¹ *Antilocapra americana*

The population of Mexico is included in Appendix I. No other population is included in the Appendices.

² *Bos gaurus*

Included in Appendix I, except for the domesticated form, which is referenced as *Bos frontalis* and is not subject to the provisions of the Convention.

³ *Bos mutus*

Included in Appendix I, except for the domesticated form, which is referenced as *Bos grunniens* and is not subject to the provisions of the Convention.

⁴ *Bubalus arnee*

Included in Appendix III, except for the domesticated form, which is referenced as *Bubalus bubalis* and is not subject to the provisions of the Convention.

⁵ *Ovis canadensis*

The population of Mexico is included in Appendix II. No other population is included in the Appendices.

⁶ *Vicugna vicugna*

Included in Appendix I, except for the following populations, which are included in Appendix II.

Argentina: the populations of the Provinces of Jujuy and Catamarca and the semi-captive populations of the Provinces of Jujuy, Salta, Catamarca, La Rioja and San Juan.

For the exclusive purpose of allowing international trade in wool sheared from live vicuñas, in cloth, and in derived manufactured products and other handicraft artefacts. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the *Convenio para la Conservación y Manejo de la Vicuña*, and the selvages the words 'VICUÑA-ARGENTINA'. Other products must bear a label including the logotype and the designation 'VICUÑA-ARGENTINA-ARTESANÍA'.

All other specimens shall be deemed to be specimens of species included in Appendix I and the trade in them shall be regulated accordingly.

Chile: population of the Primera Región.

For the exclusive purpose of allowing international trade in wool sheared from live vicuñas, and in cloth and items made thereof, including luxury handicrafts and knitted articles. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the *Convenio para la Conservación y Manejo de la Vicuña*, and the selvages the words 'VICUÑA-CHILE'. Other products must bear a label including the logotype and the designation 'VICUÑA-CHILE-ARTESANÍA'.

All other specimens shall be deemed to be specimens of species included in Appendix I and the trade in them shall be regulated accordingly.

Peru: the whole population.

For the exclusive purpose of allowing international trade in wool sheared from live vicuñas and in the stock extant at the time of the ninth meeting of the Conference of the Parties (November 1994) of 3249 kg of wool, and in cloth and items made thereof, including luxury handicrafts and knitted articles. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the *Convenio para la Conservación y Manejo de la Vicuña*, and the selvages the words 'VICUÑA-PERÚ'. Other products must bear a label including the logotype and the designation 'VICUÑA-PERÚ-ARTESANÍA'.

All other specimens shall be deemed to be specimens of species included in Appendix I and the trade in them shall be regulated accordingly.

Plurinational State of Bolivia: the whole population.

For the exclusive purpose of allowing international trade in wool sheared from live vicuñas, and in cloth and items made thereof, including luxury handicrafts and knitted articles. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the *Convenio para la Conservación y Manejo de la Vicuña*, and the selvages the words 'VICUÑA-BOLIVIA'. Other products must bear a label including the logotype and the designation 'VICUÑA-BOLIVIA-ARTESANÍA'.

All other specimens shall be deemed to be specimens of species included in Appendix I and the trade in them shall be regulated accordingly.

⁷ ***Moschus spp.***

The populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan are included in Appendix I. All other populations are included in Appendix II.

⁸ ***Tayassuidae spp.***

Included in Appendix II, except for the species included in Appendix I and the populations of *Pecari tajacu* of Mexico and the United States of America, which are not included in the Appendices.

⁹ ***Canis lupus***

The populations of Bhutan, India, Nepal and Pakistan are included in Appendix I. All other populations are included in Appendix II. The domesticated form and the dingo, which are referenced as *Canis lupus familiaris* and *Canis lupus dingo*, are not subject to the provisions of the Convention.

¹⁰ ***Felidae spp.***

Included in Appendix II, except for the species included in Appendix I. Specimens of the domesticated form are not subject to the provisions of the Convention.

¹¹ ***Acinonyx jubatus***

Included in Appendix I. Annual export quotas for live specimens and hunting trophies are granted as follows: Botswana: 5; Namibia: 150; Zimbabwe: 50. The trade in such specimens is subject to the provisions of Article III of the Convention.

¹² ***Caracal caracal***

The population of Asia is included in Appendix I. All other populations are included in Appendix II.

¹³ ***Prionailurus bengalensis bengalensis***

The populations of Bangladesh, India and Thailand are included in Appendix I. All other populations are included in Appendix II.

¹⁴ ***Prionailurus rubiginosus***

The population of India is included in Appendix I. All other populations are included in Appendix II.

¹⁵ ***Puma yagouaroundi***

The populations of Central and North America are included in Appendix I. All other populations are included in Appendix II.

¹⁶ ***Aonyx capensis microdon***

The populations of Cameroon and Nigeria are included in Appendix I. All other populations are included in Appendix II.

¹⁷ ***Ursus arctos***

The populations of Bhutan, China, Mexico and Mongolia are included in Appendix I. All other populations are included in Appendix II.

¹⁸ ***CETACEA spp.***

Included in Appendix II, except for the species included in Appendix I. A zero annual export quota has been established for live specimens from the Black Sea population of *Tursiops truncatus* removed from the wild and traded for primarily commercial purposes.

¹⁹ ***Balaenoptera acutorostrata***

Included in Appendix I, except for the population of West Greenland, which is included in Appendix II.

²⁰ ***Chaetophractus nationi***

Included in Appendix II. A zero annual export quota has been established. All specimens shall be deemed to be specimens of species included in Appendix I and the trade in them shall be regulated accordingly.

²¹ ***Equus africanus***

Included in Appendix I, except for the domesticated form, which is referenced as *Equus asinus* and is not subject to the provisions of the Convention.

²² ***Ceratotherium simum simum***

Included in Appendix I, except for the populations of South Africa and Swaziland, which are included in Appendix II for the exclusive purpose of allowing international trade in live animals to appropriate and acceptable destinations and hunting trophies. All other specimens shall be deemed to be specimens of species included in Appendix I and the trade in them shall be regulated accordingly.

²³ ***Manis spp.***

Included in Appendix II. A zero annual export quota has been established for *Manis crassicaudata*, *M. culionensis*, *M. javanica* and *M. pentadactyla* for specimens removed from the wild and traded for primarily commercial purposes.

²⁴ ***Loxodonta africana***

Included in Appendix I, except for the populations of Botswana, Namibia, South Africa and Zimbabwe, which are listed in Appendix II for the exclusive purpose of allowing:

- a) trade in hunting trophies for non-commercial purposes;
- b) trade in live animals to appropriate and acceptable destinations, as defined in Resolution Conf. 11.20, for Botswana and Zimbabwe and for *in situ* conservation programmes for Namibia and South Africa;
- c) trade in hides;
- d) trade in hair;
- e) trade in leather goods for commercial or non-commercial purposes for Botswana, Namibia and South Africa and for non-commercial purposes for Zimbabwe;
- f) trade in individually marked and certified ekipas incorporated in finished jewellery for non-commercial purposes for Namibia and ivory carvings for non-commercial purposes for Zimbabwe;
- g) trade in registered raw ivory (for Botswana, Namibia, South Africa and Zimbabwe, whole tusks and pieces) subject to the following:
 - i) only registered government-owned stocks, originating in the State (excluding seized ivory and ivory of unknown origin);
 - ii) only to trading partners that have been verified by the Secretariat, in consultation with the Standing Committee, to have sufficient national legislation and domestic trade controls to ensure that the imported ivory will not be re-exported and will be managed in accordance with all requirements of Resolution Conf. 10.10 (Rev. CoP14) concerning domestic manufacturing and trade;
 - iii) not before the Secretariat has verified the prospective importing countries and the registered government-owned stocks;
 - iv) raw ivory pursuant to the conditional sale of registered government-owned ivory stocks agreed at CoP12, which are 20,000 kg (Botswana), 10,000 kg (Namibia) and 30,000 kg (South Africa);
 - v) in addition to the quantities agreed at CoP12, government-owned ivory from Botswana, Namibia, South Africa and Zimbabwe registered by 31 January 2007 and verified by the Secretariat may be traded and despatched, with the ivory in paragraph g) iv) above, in a single sale per destination under strict supervision of the Secretariat;
 - vi) the proceeds of the trade are used exclusively for elephant conservation and community conservation and development programmes within or adjacent to the elephant range; and
 - vii) the additional quantities specified in paragraph g) v) above shall be traded only after the Standing Committee has agreed that the above conditions have been met; and

- h) no further proposals to allow trade in elephant ivory from populations already in Appendix II shall be submitted to the Conference of the Parties for the period from CoP14 and ending nine years from the date of the single sale of ivory that is to take place in accordance with provisions in paragraphs g) i), g) ii), g) iii), g) vi) and g) vii). In addition such further proposals shall be dealt with in accordance with Decisions 14.77 and 14.78 (Rev. CoP15).

On a proposal from the Secretariat, the Standing Committee can decide to cause this trade to cease partially or completely in the event of non-compliance by exporting or importing countries, or in the case of proven detrimental impacts of the trade on other elephant populations.

All other specimens shall be deemed to be specimens of species included in Appendix I and the trade in them shall be regulated accordingly.

²⁵ ***Chinchilla* spp.**

Included in Appendix I, except for specimens of the domesticated form, which are not subject to the provisions of the Convention.

²⁶ **FALCONIFORMES spp.**

Included in Appendix II, except for the species included in Appendices I and III, and certain species of the family Cathartidae that are not covered by the provisions of the Convention.

²⁷ ***Falco newtoni***

The population of Seychelles is included in Appendix I. All other populations are included in Appendix II.

²⁸ **PSITTACIFORMES spp.**

Included in Appendix II, except for the species included in Appendix I and *Agapornis roseicollis*, *Melopsittacus undulatus*, *Nymphicus hollandicus* and *Psittacula krameri*, which are not included in the Appendices.

²⁹ ***Struthio camelus***

The populations of Algeria, Burkina Faso, Cameroon, the Central African Republic, Chad, Mali, Mauritania, Morocco, the Niger, Nigeria, Senegal and the Sudan are included in Appendix I. No other population is included in the Appendices.

³⁰ ***Caiman latirostris***

Included in Appendix I, except for the population of Argentina, which is included in Appendix II.

³¹ ***Melanosuchus niger***

Included in Appendix I, except for the population of Brazil, which is included in Appendix II, and the population of Ecuador, which is included in Appendix II and is subject to a zero annual export quota until an annual export quota has been approved by the CITES Secretariat and the IUCN/SSC Crocodile Specialist Group.

³² ***Crocodylus acutus***

Included in Appendix I, except for the population of Cuba, which is included in Appendix II.

³³ ***Crocodylus moreletii***

Included in Appendix I, except for the populations of Belize and Mexico, which are included in Appendix II and are subject to a zero quota for wild specimens traded for commercial purposes.

³⁴ ***Crocodylus niloticus***

Included in Appendix I, except for the populations of Botswana, Egypt (subject to a zero quota for wild specimens traded for commercial purposes), Ethiopia, Kenya, Madagascar, Malawi, Mozambique, Namibia,

South Africa, Uganda, the United Republic of Tanzania (subject to an annual export quota of no more than 1,600 wild specimens including hunting trophies, in addition to ranched specimens), Zambia and Zimbabwe, which are included in Appendix II.

³⁵ ***Crocodylus porosus***

Included in Appendix I, except for the populations of Australia, Indonesia and Papua New Guinea, which are included in Appendix II.

³⁶ ***Vipera ursinii***

The population of Europe, excluding the area which formerly constituted the Union of Soviet Socialist Republics, is included in Appendix I. Other populations are not included in the Appendices.

³⁷ **Testudinidae spp.**

Included in Appendix II, except for the species included in Appendix I. A zero annual export quota has been established for *Geochelone sulcata* for specimens removed from the wild and traded for primarily commercial purposes.

³⁸ ***Pristis microdon***

Included in Appendix II, for the exclusive purpose of allowing international trade in live animals to appropriate and acceptable aquaria for primarily conservation purposes.

³⁹ **Helioporidae spp., SCLERACTINIA spp., Tubiporidae spp., Milleporidae spp., Stylasteridae spp.**

Included in Appendix II. Fossils are not subject to the provisions of the Convention.

⁴⁰ ***Panax ginseng***

The population of the Russian Federation is included in Appendix II. No other population is included in the Appendices.

⁴¹ **CACTACEAE spp.**

Included in Appendix II, except for the species included in Appendix I, and for *Pereskia* spp., *Pereskopsis* spp. and *Quiabentia* spp., which are not included in the Appendices.

Additionally, artificially propagated specimens of the following hybrids and/or cultivars are not subject to the provisions of the Convention:

- *Hatiora* x *graeseri*
- *Schlumbergera* x *buckleyi*
- *Schlumbergera russelliana* x *Schlumbergera truncata*
- *Schlumbergera orssichiana* x *Schlumbergera truncata*
- *Schlumbergera opuntoides* x *Schlumbergera truncata*
- *Schlumbergera truncata* (cultivars)
- Cactaceae spp. colour mutants grafted on the following grafting stocks: *Harrisia* 'Jusbertii', *Hylocereus trigonus* or *Hylocereus undatus*
- *Opuntia microdasys* (cultivars).

⁴² ***Dicksonia* spp.**

The populations of the Americas are included in Appendix II. No other population is included in the Appendices.

⁴³ ***Euphorbia* spp.**

Succulent species are included in Appendix II, except for *Euphorbia misera* and the species included in Appendix I. Other species are not included in the Appendices.

Additionally, artificially propagated specimens of cultivars of *Euphorbia trigona*, artificially propagated specimens of crested, fan-shaped or colour mutants of *Euphorbia lactea*, when grafted on artificially propagated root stock of *Euphorbia neriifolia*, and artificially propagated specimens of cultivars of *Euphorbia 'Mili'* when they are traded in shipments of 100 or more plants and readily recognizable as artificially propagated specimens, are not subject to the provisions of the Convention.

⁴⁴ ***Euphorbia cremersii***

Included in Appendix I. Includes the *forma viridifolia* and the variety *rakotozafyi*.

⁴⁵ ***Euphorbia cylindrifolia***

Included in Appendix I. Includes the subspecies *tuberifera*.

⁴⁶ ***Euphorbia decaryi***

Included in Appendix I. Includes the varieties *ampanihyensis*, *robinsonii* and *spirosticha*.

⁴⁷ ***Euphorbia moratii***

Included in Appendix I. Includes the varieties *antsingensis*, *bemarahensis* and *multiflora*.

⁴⁸ ***Aloe* spp.**

Included in Appendix II, except for the species included in Appendix I. Also excludes *Aloe vera*, also referenced as *Aloe barbadensis*, which is not included in the Appendices.

⁴⁹ ***Aloe compressa***

Included in Appendix I. Includes the varieties *paucituberculata*, *rugosquamosa* and *schistophila*.

⁵⁰ ***Aloe haworthioides***

Included in Appendix I. Includes the variety *aurantiaca*.

⁵¹ ***Aloe laeta***

Included in Appendix I. Includes the variety *maniaensis*.

⁵² ***Swietenia macrophylla***

The populations of the Neotropics are included in Appendix II. No other population is included in the Appendices.

⁵³ ***Orchidaceae* spp.**

Included in Appendix II, except for the species included in Appendix I.

Additionally, artificially propagated hybrids of the following genera are not subject to the provisions of the Convention, if conditions, as indicated under a) and b), are met: *Cymbidium*, *Dendrobium*, *Phalaenopsis* and *Vanda*:

- a) Specimens are readily recognizable as artificially propagated and do not show any signs of having been collected in the wild such as mechanical damage or strong dehydration resulting from collection, irregular growth and heterogeneous size and shape within a taxon and shipment, algae or other epiphyllous organisms adhering to leaves, or damage by insects or other pests; and
- b) i) when shipped in non-flowering state, the specimens must be traded in shipments consisting of individual containers (such as cartons, boxes, crates or individual shelves of CC-containers) each containing 20 or more plants of the same hybrid; the plants within each container must exhibit a high

degree of uniformity and healthiness; and the shipment must be accompanied by documentation, such as an invoice, which clearly states the number of plants of each hybrid; or

- ii) when shipped in flowering state, with at least one fully open flower per specimen, no minimum number of specimens per shipment is required but specimens must be professionally processed for commercial retail sale, e.g. labelled with printed labels or packaged with printed packages indicating the name of the hybrid and the country of final processing. This should be clearly visible and allow easy verification.

Plants not clearly qualifying for the exemption must be accompanied by appropriate CITES documents.

⁵⁴ ***Aerangis ellisii*, *Dendrobium cruentum*, *Laelia jongheana*, *Laelia lobata*, *Paphiopedilum spp.*, *Peristeria elata*, *Phragmipedium spp.*, *Renanthera imschootiana***

Included in Appendix I. Seedling or tissue cultures obtained *in vitro*, in solid or liquid media, and transported in sterile containers are not subject to the provisions of the Convention only if the specimens meet the definition of 'artificially propagated' agreed by the Conference of the Parties in Resolution Conf. 11.11 (Rev. CoP15), i.e. plant specimens:

- a) grown under controlled conditions; and
- b) grown from seeds, cuttings, divisions, callus tissues or other plant tissues, spores or other propagules that either are exempt from the provisions of the Convention or have been derived from cultivated parental stock.

⁵⁵ ***Cyclamen spp.***

Included in Appendix II. Artificially propagated specimens of cultivars of *Cyclamen persicum* are not subject to the provisions of the Convention. However, the exemption does not apply to such specimens traded as dormant tubers.

⁵⁶ ***Taxus cuspidata* and infraspecific taxa of this species**

Included in Appendix II. Artificially propagated hybrids and cultivars of *Taxus cuspidata*, live, in pots or other small containers, each consignment being accompanied by a label or document stating the name of the taxon or taxa and the text 'artificially propagated', are not subject to the provisions of the Convention.

Annotations preceded by "#" (flora only)

#1 All parts and derivatives, except:

- a) seeds, spores and pollen (including pollinia);
- b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers;
- c) cut flowers of artificially propagated plants; and
- d) fruits and parts and derivatives thereof of artificially propagated plants of the genus *Vanilla*.

#2 All parts and derivatives except:

- a) seeds and pollen; and
- b) finished products packaged and ready for retail trade.

#3 Whole and sliced roots and parts of roots.

#4 All parts and derivatives, except:

- a) seeds, (including seedpods of Orchidaceae), spores and pollen (including pollinia). The exemption does not apply to seeds from Cactaceae spp. exported from Mexico, and to seeds from *Beccariophoenix madagascariensis* and *Neodypsis decaryi* exported from Madagascar;
- b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers;
- c) cut flowers of artificially propagated plants;

- d) fruits and parts and derivatives thereof, of naturalized or artificially propagated plants of the genus *Vanilla* (Orchidaceae) and the family Cactaceae;
- e) stems, flowers, and parts and derivatives thereof of naturalized or artificially propagated plants of the genera *Opuntia*, subgenus *Opuntia* and *Selenicereus* (Cactaceae); and
- f) finished products of *Euphorbia antisyphilitica* packaged and ready for retail trade.

#5 Logs, sawn wood and veneer sheets.

#6 Logs, sawn wood, veneer sheets and plywood.

#7 Logs, wood-chips, powder and extracts.

#8 Underground parts (i.e. roots, rhizomes): whole, parts and powdered.

#9 All parts and derivatives except those bearing a label "Produced from *Hoodia* spp. material obtained through controlled harvesting and production in collaboration with the CITES Management Authorities of Botswana/Namibia/South Africa under agreement no. BW/NA/ZA xxxxxx".

#10 Logs, sawn wood, veneer sheets, including unfinished wood articles used for the fabrication of bows for stringed musical instruments.

#11 Logs, sawn wood, veneer sheets, plywood, powder and extracts.

#12 Logs, sawn wood, veneer sheets, plywood and essential oil (excluding finished products packaged and ready for retail trade).

#13 The kernel (also known as 'endosperm', 'pulp' or 'copra') and any derivative thereof.