

Demo: Parameterized METE Models in R

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Introduction

The purpose of this document is to demonstrate how one can use the parameterized Maximum Entropy Theory of Ecology (pMETE) models to infer the metabolic scaling exponent b , as in the metabolic scaling relationship: $R = aM^b$, where R is the absolute metabolic rate of an individual and M is the body mass of the individual. To facilitate understanding of the modeling process, I wrote this document in RMarkdown on RStudio, with R code and output following each modeling step.

The general principle of the pMETE is to integrate the metabolic scaling relationship and the original METE developed by Harte (2011), and to infer b through fitting the predicted individual size distribution (ISD) of METE to the observed ISD. The METE is a constraint-based theory that predicts various macroecological patterns (e.g., species abundance distribution, species-area relationship, and ISD) within an ecological community and its constituent species populations. METE uses four macro-state variables: N (number of individuals, or total abundance), S (number of species), E (total rescaled metabolic rate), and A (total area) to define constraints needed for macroecological predictions. Here “rescaled” means the smallest individual metabolic rate or body mass is converted to one in the studied community or population. To predict ISD, METE first seeks the maximum entropy solution to the joint distribution of species abundance and individual metabolic rate within a community, subject to the constraints of mean species abundance (N/S) and mean total metabolic rate per species (E/S). This constrained maximization is performed using the Lagrange multiplier method. Then, by summing the product of species abundance and joint distribution over species abundance, one obtains the predicted individual metabolic rate distribution (harte2014?). Finally, using the change of variable formula (stegen2008?) and the metabolic scaling relationship, one can derive the predicted ISD. To compare the METE prediction with empirical data, b is assumed as a universal constant. Previous work has used this approach to test the predictions of METE (newman2014?, xiao2015?, brush2022?). In contrast, the pMETE models treat b as a free parameter instead of a universal constant, and allow estimation of b from the observed ISD. In addition, depending on the level that the ISD is analyzed (community or population), the pMETE models can generate an estimate of b specific to a given community or population. We denote the community-specific b as b_c and the population-specific b as b_p .

Several assumptions of the pMETE models must be realized before carrying out the models and interpreting the results:

- two ratios (N/S and E/S) provide sufficient information on the ecological constraints of a community
- ISD is intrinsically related to the metabolic rate distribution via the metabolic scaling relationship
- each community has a unique b_c and each species population has a unique b_p
- b_c and b_p are estimated when predicted ISD matches most closely with the observed ISD

Loading packages and data

Individual fish body mass data collected from the French streams are used here to demonstrate the use of pMETE models to obtain estimates of b_c and b_p . Note that the pMETE models are not restricted by

the particular structure of the French fish data examined here, and can be applied to any community or population with a vector of individual body mass.

All fishes within a single sampling event (“ope_id”) are defined as a fish community. Individual fish and fish community are filtered based on their body length (≥ 60 mm, since fish below this cut-off is underrepresented) and sample size (≥ 50 individuals, which was tested to be the smallest sample size necessary for numerical convergence of the pMETE model, see (xu2023?)).

```
library(here) # for standardizing file structure
i_am("code/METE ISD/A demo for parameterized METE/pMETE_manual_JRJ.Rmd")
library(zipfR) # for calculating incomplete gamma function for quasi-Weibull likelihood
library(tidyverse) # for data analysis and plotting
library(nleqslv) # for solving nonlinear equation

size_data_com <- read.csv(here("code/METE ISD/A demo for parameterized METE/test_data.csv"),header=T) #

test_data <- size_data_com %>%
  filter(mass!=0, length>=60, outlier==0) %>%
  group_by(ope_id) %>%
  filter(n())>=50 %>%
  ungroup() %>% # data filtering
  select(ope_id:length)
```

The table below shows the first six rows of test_data. Each row represents an individual fish within the community. ope_id identifies a fish community, species_name shows the scientific name of the fish in the community, mass and length are the body mass (in g) and total length (in mm) of an individual fish, respectively.

```
head(test_data)
```

```
## # A tibble: 6 x 4
##   ope_id species_name  mass length
##   <int> <chr>         <dbl> <int>
## 1  10601 Cottus gobio  3.25    60
## 2  10601 Cottus gobio  8.48    85
## 3  10601 Cottus gobio  6.01    75
## 4  10601 Cottus gobio  3.56    62
## 5  10601 Cottus gobio  4.05    65
## 6  10601 Cottus gobio  3.25    60
```

There are 270 fishes from 5 species in the selected community. It is believed that a community with at least 4 species is necessary to guarantee the successful implementation of the METE (personal communication with John Harte). But this belief has not been rigorously tested.

```
nrow(test_data)
```

```
## [1] 270
```

```
length(unique(test_data$species_name))
```

```
## [1] 5
```

Modeling

The following steps show how to use pMETE models to estimate b_c and b_p for a multi-species (fish) community and a particular species (brown trout) population within the community. If individual size data are available for a species population only, such as in communities with singleton species, or for a monodominant community where a single species is most predominant in number, then an approximate pMETE model at the population level (from step 3) should be used directly. This case will be demonstrated following this section.

step 1

In this step we calculate S and N from the selected community and estimate β (or $\lambda_1 + \lambda_2$). λ_1 and λ_2 are the Lagrange multipliers in the Lagrange multiplier method used to solve the joint distribution. In the original METE, λ_1 and λ_2 are solved numerically from a 2 by 2 system of nonlinear equations representing the two constraints. Also, b_c is assumed as 0.75 and E is calculated by summing the rescaled body mass raised to 0.75 (based on the metabolic scaling relationship). In the pMETE, since b_c is treated as a free parameter, E becomes a function of b_c . In addition, the nonlinear system contains two equations (from the two constraints) but three unknowns (λ_1 , λ_2 , and b_c), and is therefore underdetermined. One can rearrange the system algebraically to derive an approximate single equation for β and express λ_2 as a function of b_c , but b_c remains unknown. The realization of this step relies on two approximate conditions (see Box 7.4 in Harte (2011) and step 2).

We append `test_data` with the values of S (column “S_0”), N (column “N_0”), and β . We also added columns “E_0_mete” and “L2_com_mete”, which show, respectively, values of E and λ_2 of the original METE model. They are needed for the model comparison later in step 4.

```
eqn_beta <- function (x,N_0,S_0) sum(exp(-x*seq(1,N_0)))/sum((exp(-x*seq(1,N_0)))/seq(1,N_0))-N_0/S_0 #
com_summary <- test_data %>%
  group_by(ope_id) %>%
  summarise(N_0=length(mass),S_0=length(unique(species_name)),E_0_mete=sum((mass/min(mass))^0.75)) %>%
  ungroup() %>%
  mutate(L2_com_mete=S_0/(E_0_mete-N_0)) # this line finds lambda_2 for the original METE

beta <- com_summary %>%
  group_by(ope_id) %>%
  do(beta=nleqslv(x=1/.$N_0,N_0=.$N_0,S_0=.$S_0,fn=eqn_beta)$x) %>%
  unnest(cols = c(beta)) # solve for beta

com_analysis <- test_data %>%
  left_join(com_summary,by="ope_id") %>%
  left_join(beta,by="ope_id") # prepare data for modeling

head(com_analysis)
```

```
## # A tibble: 6 x 9
##   ope_id species_name  mass length  N_0  S_0 E_0_mete L2_com_mete    beta
##   <int> <chr>         <dbl> <int> <int> <int>   <dbl>      <dbl>   <dbl>
## 1  10601 Cottus gobio  3.25    60   270    5   2112.    0.00271 -0.00226
## 2  10601 Cottus gobio  8.48    85   270    5   2112.    0.00271 -0.00226
## 3  10601 Cottus gobio  6.01    75   270    5   2112.    0.00271 -0.00226
## 4  10601 Cottus gobio  3.56    62   270    5   2112.    0.00271 -0.00226
## 5  10601 Cottus gobio  4.05    65   270    5   2112.    0.00271 -0.00226
## 6  10601 Cottus gobio  3.25    60   270    5   2112.    0.00271 -0.00226
```

step 2

In this step we estimate b_c and λ 's by fitting the predicted ISD to the observed ISD for the fish community. λ_2 and b_c are community-level parameters and needed for the population-level modeling (see step 3). From the constraint equations, we express $\lambda_2 = S/(E - N) = S/(\sum m^{b_c} - N)$ (m is the rescaled individual body mass) and $\lambda_1 = \beta - \lambda_2$, so that λ_1 and λ_2 become functions of b_c , which is the only free parameter in the model.

The fitting is done using the maximum likelihood method. To do this we first derive the probability density function of the predicted ISD (detailed derivation in the Supporting Information of Xu (2020)), with b_c as a free parameter in the function. Then we use the observed individual size data (mass column) to calculate the log-likelihood function of the predicted ISD, and search for the b_c that maximizes the log-likelihood function using the Brent method, with boundaries of b_c from 0 to 10. Then we remove b_c stuck near the upper bound of 10 because they are empirically unlikely. Once b_c is estimated, λ_1 and λ_2 can be solved because they are functions of b_c . In this particular community, b_c is estimated as 0.654 (column "b_com_pmete" in fish_com_b).

```
negLL_com_pmete = function(par,x,xmin,beta,N_0,S_0){
  bc = par[1] # community-level metabolic scaling exponent
  L2 = S_0/(sum((x/xmin)^bc)-N_0) # lambda_2 by eqn 7.26 in Harte (2011)
  L1 = beta-L2 # lambda_1
  negLL_exact =
    -N_0*log(bc*L2)+(1-bc)*sum(log(x/xmin))+sum(L1+L2*(x/xmin)^bc)+sum(log((1-exp(-L1-L2*(x/xmin)^bc))^
  return(negLL_exact)
}

fish_com_b = com_analysis %>% group_by(ope_id) %>% do(b_com_pmete=optim(par=0.75,negLL_com_pmete,x=.$ma

fish_com_b
```

```
## # A tibble: 1 x 6
##   ope_id b_com_pmete L2_com_pmete L1_com_pmete negll_com_pmete negll_com_mete
##   <int>     <dbl>     <dbl>     <dbl>         <dbl>         <dbl>
## 1  10601      0.654      0.00395     -0.00621      1006.         1007.
```

Finally, we only keep the community if the two approximate conditions needed for solving β and expressing λ 's as functions of b_c are satisfied (for our selected community both conditions are satisfied).

```
com_analysis2 <- right_join(com_analysis,fish_com_b,by="ope_id")

com_check_pmete <- com_analysis2 %>%
  group_by(ope_id) %>%
  summarise(cond1a_pmete=sum(exp(-mean(beta)*seq(1,n()))),cond1b_pmete=sum((mass/min(mass))^mean(b_com_
  mutate(cond1_pmete=cond1a_pmete/cond1b_pmete>10,cond2_pmete=cond2a_pmete/cond2b_pmete>10) %>%
  dplyr::select(ope_id,cond1_pmete,cond2_pmete)

head(com_check_pmete)

## # A tibble: 1 x 3
##   ope_id cond1_pmete cond2_pmete
##   <int> <lgl>         <lgl>
## 1  10601 TRUE          TRUE
```

step 3

This step estimates b_p , the metabolic scaling exponent for the brown trout population from the selected community. This step is similar to step 2, but is performed at the population level. We first filter the population so that it has sufficient sample size (≥ 50). The particular population in the selected community has 219 individual brown trout. Then we derive the probability density function of the predicted ISD (detailed derivation in the Supporting Information of Xu (2020)), and fit it to the brown trout individual size data using the maximum likelihood method. Notice that in this population-level predicted ISD, b_c and λ_2 are known values from the community-level modeling (step 2). b_p is the only free parameter to be estimated. In this particular population, b_p is estimated as 0.325 (column “b_pop_pmete” in pop_stat).

```
com_data_new <- full_join(com_analysis2, com_check_pmete, by="ope_id") %>%
  group_by(ope_id) %>%
  filter(cond1_pmete==TRUE, cond2_pmete==TRUE) %>%
  ungroup() %>%
  mutate(mass_bt=ifelse(species_name=="Salmo trutta", mass, NA))

pop_data_size_filter <- com_data_new %>%
  group_by(ope_id) %>%
  summarise(n_bt=sum(species_name=="Salmo trutta")) %>%
  filter(n_bt>50) %>%
  ungroup()

com_data_new2 <- com_data_new %>%
  filter(ope_id %in% unique(pop_data_size_filter$ope_id))

com_data_new2 %>% filter(species_name=="Salmo trutta")
```

```
## # A tibble: 219 x 17
##   ope_id species_name  mass length  N_0  S_0 E_0_mete L2_com_mete    beta
##   <int> <chr>         <dbl> <int> <int> <int>   <dbl>      <dbl>   <dbl>
## 1 10601 Salmo trutta  2.58    62   270    5   2112.    0.00271 -0.00226
## 2 10601 Salmo trutta  4.04    72   270    5   2112.    0.00271 -0.00226
## 3 10601 Salmo trutta 24.8   132   270    5   2112.    0.00271 -0.00226
## 4 10601 Salmo trutta 57.6   175   270    5   2112.    0.00271 -0.00226
## 5 10601 Salmo trutta 32.8   145   270    5   2112.    0.00271 -0.00226
## 6 10601 Salmo trutta 28.3   138   270    5   2112.    0.00271 -0.00226
## 7 10601 Salmo trutta  4.21    73   270    5   2112.    0.00271 -0.00226
## 8 10601 Salmo trutta 28.3   138   270    5   2112.    0.00271 -0.00226
## 9 10601 Salmo trutta  4.57    75   270    5   2112.    0.00271 -0.00226
## 10 10601 Salmo trutta 73.6   190   270    5   2112.    0.00271 -0.00226
## # i 209 more rows
## # i 8 more variables: b_com_pmete <dbl>, L2_com_pmete <dbl>,
## #   L1_com_pmete <dbl>, negll_com_pmete <dbl>, negll_com_mete <dbl>,
## #   cond1_pmete <lgl>, cond2_pmete <lgl>, mass_bt <dbl>
```

```
negLL_pop_pmete = function(par, x, xmin, n, L2, bc, m_com){
  bp = par[1] # population-level metabolic scaling exponent
  negLL_exact = -n*log(bp*L2*n)+(1-bp)*sum(log(x/xmin))+L2*n*sum((x/xmin)^bp)+n*log(exp(-n*L2)-exp(-n*L2))
  return(negLL_exact)
}

fish_pop_b = com_data_new2 %>% group_by(ope_id) %>% do(b_pop_pmete=optim(par=0.75, negLL_pop_pmete, x=as.numeric(xmin), method="Nelder-Mead"))
```

```

pop_analysis <- right_join(com_data_new2, fish_pop_b, by="ope_id") %>%
  filter(species_name=="Salmo trutta")

pop_stat <- pop_analysis %>%
  group_by(ope_id) %>%
  do(n_0=length($.mass), L2_com_pmete=mean($.L2_com_pmete), b_pop_pmete=mean($.b_pop_pmete), aicc_pop_pmete=mean($.aicc_pop_pmete))

pop_stat

```

```

## # A tibble: 1 x 7
##   ope_id   n_0 L2_com_pmete b_pop_pmete aicc_pop_pmete L2_com_mete aicc_pop_mete
##   <int> <int>      <dbl>      <dbl>      <dbl>      <dbl>      <dbl>
## 1  10601   219      0.00395      0.325      1576.      0.00271     2392.

```

step 4

The last step involves comparing the predicted ISD between the pMETE and other models (i.e., power-law distribution, exponential distribution, Weibull distribution, and quasi-Weibull distribution) at the population level. This step is needed in order to evaluate whether the pMETE gives reasonable fit of the observed ISD. All models are fitted using the maximum likelihood method and compared using the model AICc. For each brown trout population, if AICc of the pMETE is greater than the lowest AICc by 2 or less, then the corresponding population and estimate of b_p are kept. For the brown trout population in this particular example, pMETE and Weibull models are selected because they have the lowest AICc. Hence, b_p from the pMETE is kept. The rationale of this step is: pMETE that has the most empirical support is believed to yield accurate estimate of b_p (assumption #4). Note that this step can be similarly performed to evaluate the pMETE fit at the community level (step 2). In addition, further model evaluations can be done using other statistical metrics (e.g., Kolmogorov-Smirnov test and R-squared). They are omitted here but can be included later if necessary.

```

# left-truncated exponential
negLL_exp = function(par, x, xmin, n){
  lambda = par[1] # metabolic scaling exponent
  negLL = -n*log(lambda) - n*lambda + lambda*sum(x/xmin)
  return(negLL)
}

# left-truncated power-law
negLL_pow = function(par, x, xmin, n){
  a = par[1] # power-law distribution parameter
  negLL = -n*log(a-1) + a*sum(log(x/xmin))
  return(negLL)
}

# left-truncated Weibull
negLL_wei = function(par, x, n, xmin){
  a = par[1] # scale parameter
  b = par[2] # shape parameter
  negll = -n*log(b) + n*b*log(a) + (1-b)*sum(log(x/xmin)) + sum(((x/xmin)/a)^b) - n*(1/a)^b
  return(negll)
}

# left-truncated quasi-Weibull

```

```

negLL_qwei = function(par,x,xmin,n){
  qal = par[1] # quasi-Weibull distribution parameter alpha
  qbe = par[2] # quasi-Weibull distribution parameter beta
  qga = par[3] # quasi-Weibull distribution parameter gamma
  negLL = -n*log(qal+qga)+qal*n*log(qbe)+n*log(1gamma(qal/(qal+qga),(1/qbe)^(qal+qga),lower=F))-(qal-1)
  return(negLL)
}

pop_stat_othermod <- pop_analysis %>% group_by(ope_id) %>% do(p1_pop_exp=optim(par=1,fn=negLL_exp,x=.$m

pop_stat_allmod <- full_join(pop_stat,pop_stat_othermod,by="ope_id") # combine all model statistics

pop_stat_allmod_aicc <- pop_stat_allmod %>%
  dplyr::select(ope_id,contains("aicc")) %>%
  gather(key="mod", value="aicc", 2:7) %>%
  mutate(model=substring(mod,10)) %>%
  dplyr::select(-mod) # extract aicc columns and models

pop_stat_selectmod_aicc <- pop_stat_allmod_aicc %>%
  group_by(ope_id) %>%
  mutate(aicc_diff=aicc-min(aicc)) %>%
  filter(aicc_diff<=2) %>%
  ungroup() # choose the model with a delta aicc <=2

pop_stat_selectmod_aicc # selected model for this brown trout population

## # A tibble: 2 x 4
##   ope_id aicc model aicc_diff
##   <int> <dbl> <chr>    <dbl>
## 1  10601 1576. pmete      0
## 2  10601 1578. wei      1.90

```

After this step, the remaining b_p can be combined with external factors about the sampling event (e.g., temperature, human footprint) to analyze the effect of these factors on b_p .

Cases when individual body mass are available for species population only

In this section, we suppose that individual body mass are available for the brown trout species only. For such data, we apply an approximate pMETE model for the population-level ISD. The approximate model has two free parameters: b_p and λ_2 . The approximate model does not require the community-level b_c or λ_2 as inputs, and can be directly used to estimate b_p for the focal species population. For the brown trout data, the approximate model estimates b_p as 0.305, which differs slightly from the previous estimate (0.325) due to the difference in the predicted ISD (one exact and one approximate).

```

negLL_pop_pmete_approx = function(par,x,xmin,n){
  b = par[1] # metabolic scaling exponent
  L2 = par[2] # Lagrange multiplier lambda2 as a parameter
  negLL_approx = -n*log(b*L2*n)+(1-b)*sum(log(x/xmin))+L2*n*sum((x/xmin)^b-1) # approximate likelihood
  return(negLL_approx)
}

fish_pop_only_b = com_data_new2 %>% filter(species_name=="Salmo trutta") %>% group_by(ope_id) %>% do(b_

```

```

pop_only_analysis <- right_join(com_data_new2, fish_pop_only_b, by="ope_id") %>%
  filter(species_name=="Salmo trutta")

pop_only_stat <- pop_only_analysis %>%
  group_by(ope_id) %>%
  do(n_0=length($.mass), b_pop_only_pmete=mean($.b_pop_only_pmete), aicc_pop_only_pmete=2*2+2*mean($.negl.

pop_only_stat

```

```

## # A tibble: 1 x 4
##   ope_id   n_0 b_pop_only_pmete aicc_pop_only_pmete
##   <int> <int>          <dbl>          <dbl>
## 1  10601   219            0.305            1578.

```

References

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