

# Data for the Web

INSA de Lyon

Computer Science and Information Technology Department

3<sup>rd</sup> Year

## Part 2: XML Core

Előd EGYED-ZSIGMOND

# Plan

- Introduction
- XML Core
- XML Galaxy
- NOSQL
- Conclusion



# Plan

- Introduction
- XML Core
  - Introduction to XML
  - DTD
  - XML Element
  - XML attribute
  - Reusable Objects: XML Entities
  - XML instance and example
  - Namespaces
  - XML schemas
  - Bibliography
- XML Galaxy
- NOSQL
- Conclusion

# Introduction to XML

## Examples of Uses

Ajax commu

Configuratio

Mave

GUI (Graphi

JavaFX

RSS feed

Semantic W

Web Service

Web Graphi

```
<?xml version="1.0" encoding="UTF-8"?>
<?language javascript?>
<?import javafx.scene.control.Label?>
<?import javafx.scene.layout.VBox?>
<?import javafx.scene.control.Button?>
<VBox xmlns="http://javafx.com/javafx"
      xmlns:fx="http://javafx.com/fxml">
  <Label fx:id="mainTitle" text="Hello world!"/>
  <Label fx:id="subTitle" text="This is a simple demo application."/>
  <Button fx:id="mainButton" text="Click me!" onAction="buttonClicked()"/>
  <fx:script>
    function buttonClicked() {
      mainButton.setText("Click me again!");
    }
  </fx:script>
</VBox>
```

# Introduction to XML

## Where is XML?

- Web (web pages are often XML instances)
  - Behind many CMS (content management systems)
  - In industrial materials (DocBook)
  - In the digital edition (TEI, ePub, docx)
  - Programming environments (Gradle, Maven,...)
  - ...
- 
- It is web browser compatible

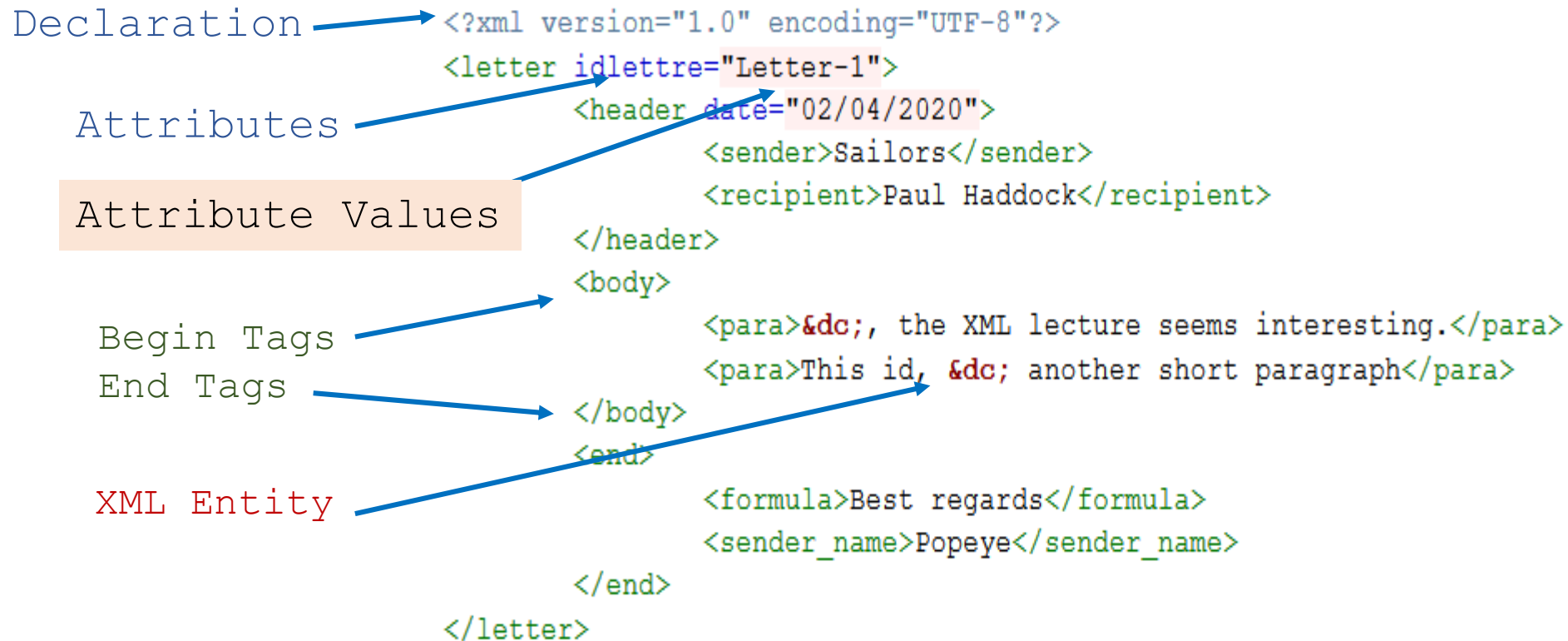
# What is XML?

- XML: a "skeleton" for creating markup languages
- You already know it!
  - syntax is identical to XHTML's:  
`<element attribute="value">content</element>`
- Languages written in XML specify:
  - Tag names in XHTML: `h1`, `div`, `img`, etc.
  - Attribute names in XHTML: `id/class`, `src`, `href`, etc.
  - Rules about how they go together in XHTML: inline vs. block-level elements

# Things that can appear in an XML document

- ELEMENTS: *simple, complex, empty, or mixed content; attributes.*
- The XML declaration
- Processing Instructions(PIs) `<? ...?>`
  - Most common is `<?xml-stylesheet ...?>`
  - `<?xml-stylesheet type="text/css" href="mys.css"?>`
- Comments `<!-- comment text -->`

# Parts of an XML document



An **XML element** is everything from (including) the element's start tag to (including) the element's end tag.



# What is XML?

XML/html comment

```
<!--DOCTYPE html-->
```

XML/html element (Tag)

```
<body id="gsr" class="hp vasq big" jsmodel="TvHxbe">
```

XML/html attribute

```
id="searchform"
```

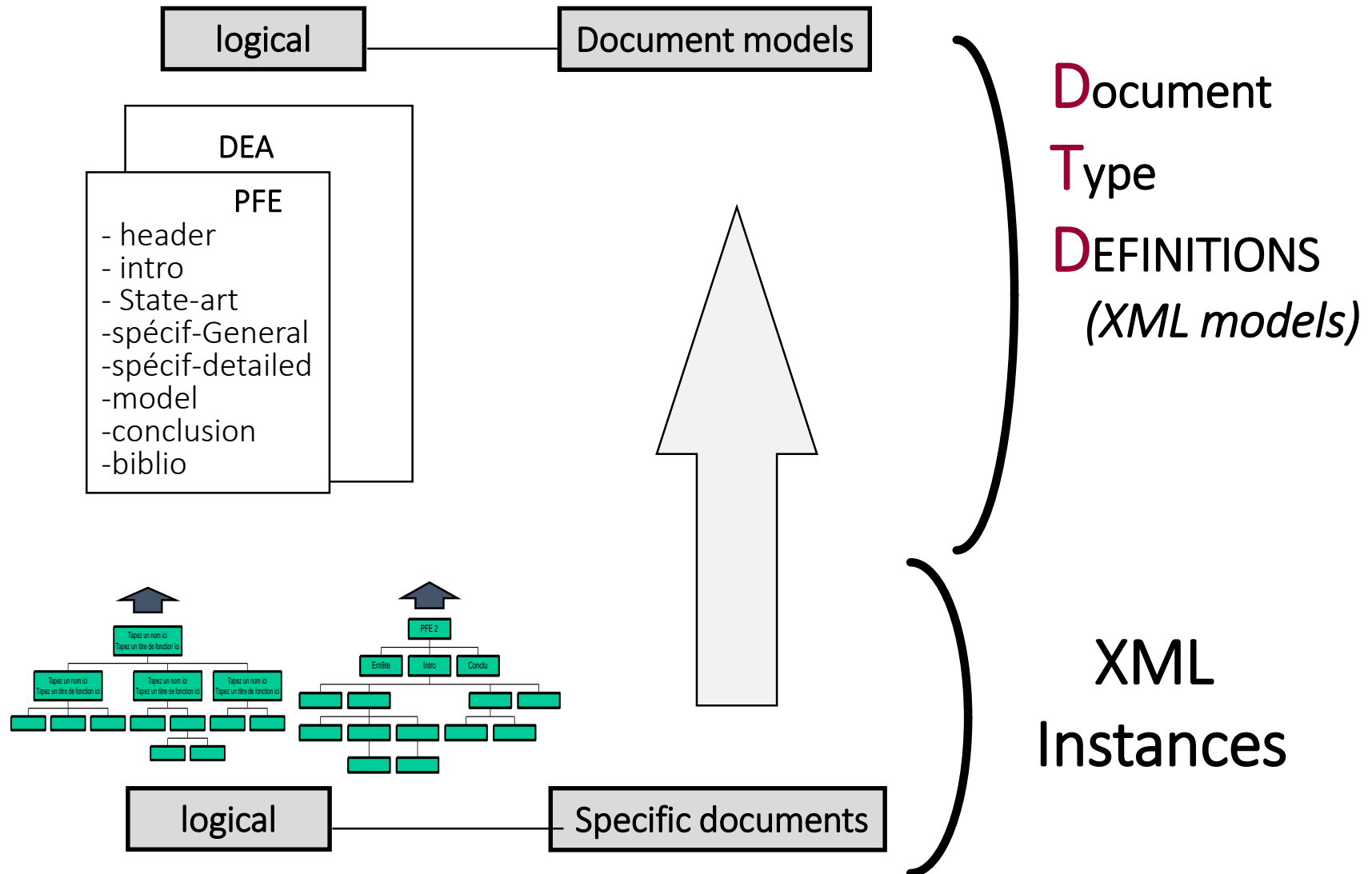
XML/html processing instruction

```
<script nonce="5rXGZKlRXoYkBPxvfcNeNQ==">
```

```
</html>
```

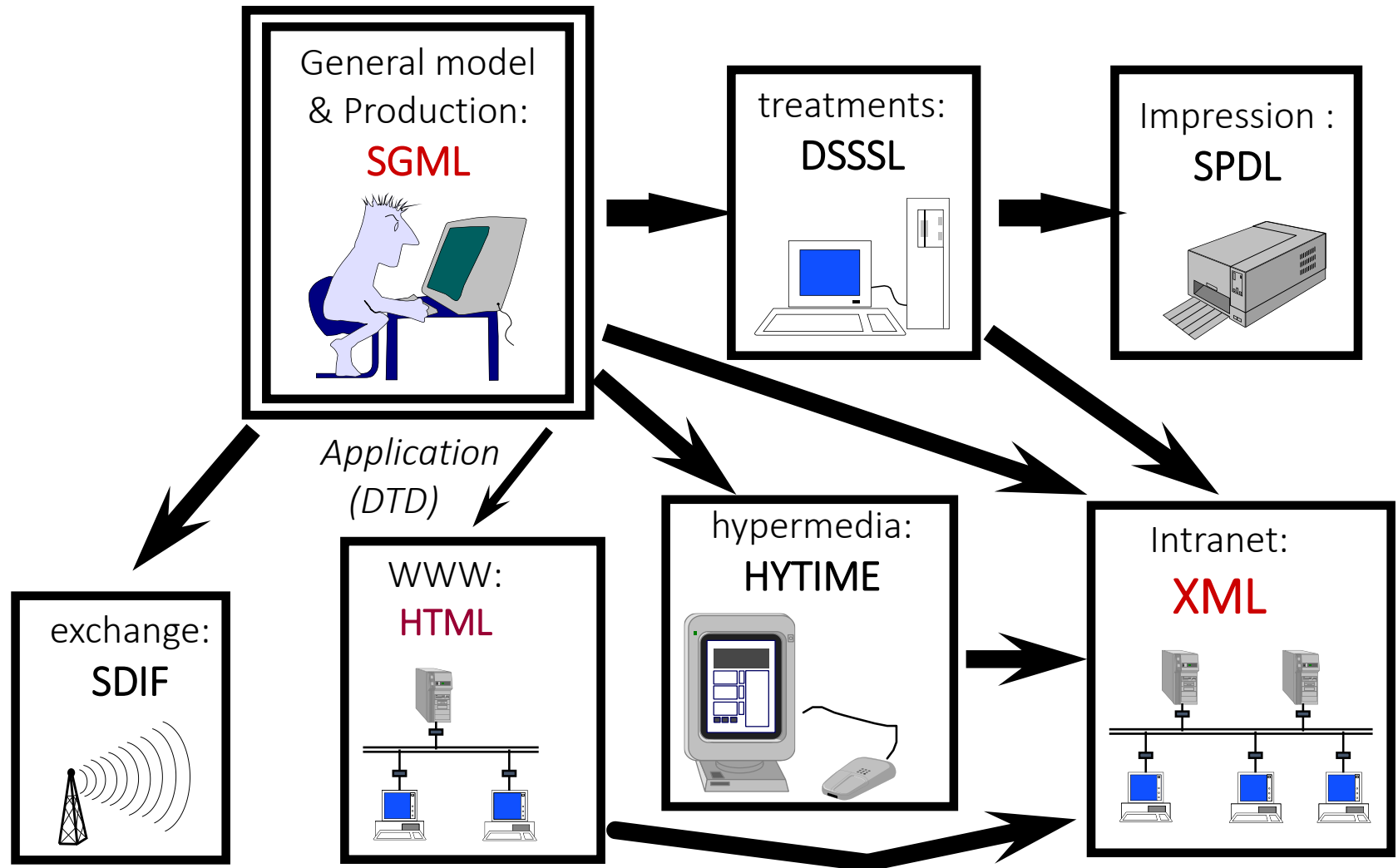
# Introduction to XML

## *SGML model inherited by XML*



# Introduction to XML

## *The SGML family*



# Introduction to XML

## Why XML?

- HTML and SGML offer imperfect solutions for document and structured information exchange on the Internet or Intranet
- SGML is **maladjusted** for **Hyperdocument** management
  - ✓ Very complex and heavy useless options
  - ✓ Does not support hyperlinking mechanisms
    - ➔ *Poorly suited to WEB*
  - ✓ No Browser / SGML Editor in public domain
    - ➔ *Solutions owners "onerous"*
- HTML is **limited** :
  - ✓ presentation oriented hypertext
  - ✓ this is a fixed SGML application (DTD + software) :
    - inability to define new tags*
    - difficult adaptation to customer specific applications*

# Introduction to XML

- XML is a simplified version of SGML
  - eXtensible Markup Language
    - Created for exchanging data on the web
    - Strict separation between content and presentation
    - Simplicity, universality and extensibility
    - Text format with support for special characters
    - Strong structuring
    - Document Templates (DTD and XML schemas)
    - Free format

# Introduction to XML

## *strong structuring of the document: Examples*

### HTML :

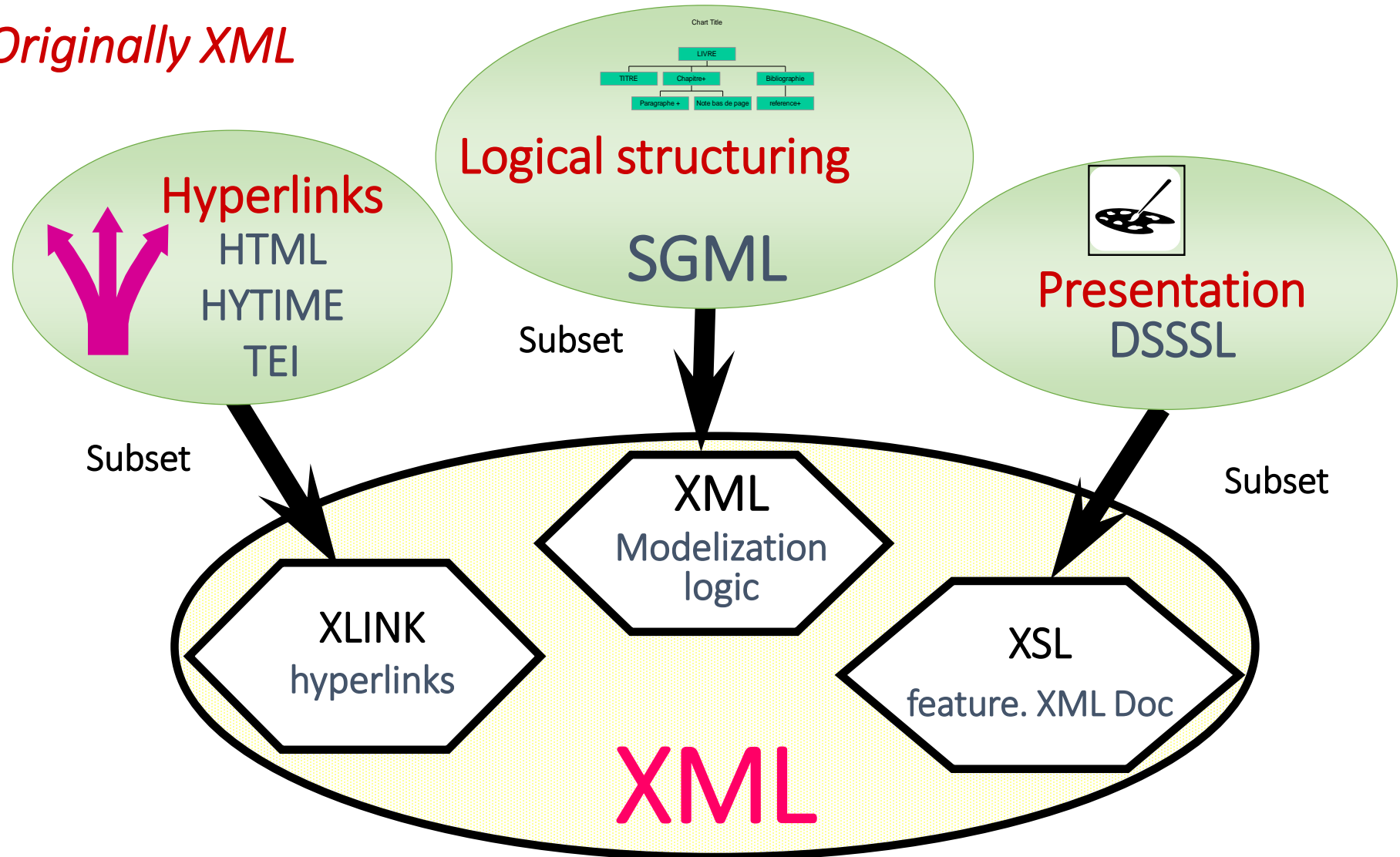
```
-----  
<html>  
<head>  
<title>  
  extraterrestrial dialogue  
</title>  
</head>  
<body bgcolor="White"  
text="dark blue">  
<P> Hi Earth! </P>  
<P> Login and land! </P>  
</body>  
</html>
```

### XML :

```
<?xml ----- ?>  
-----  
<play>  
<title>extraterrestrial  
Ddialogue  
</title>  
<conversation>  
<Greeting>Hi Earth!  
</Greeting>  
<Answer>Log in and  
land!</Answer>  
</conversation>  
</play>
```

# Introduction to XML

*Originally XML*



# XML galaxy

---

## Related Languages:

xPath, XQuery, XML Schemas, Relax-NG, XSLT, ...

## dialects:

RSS, SVG, XUL, MathML, WSDL, SOAP,  
OpenStreetMap, SAML, OpenDocument, TEI,  
DocBook, epub...



# XML file structure

## Document prolog

```
<?xml version="1.0" encoding="UTF-8"?>
<!DOCTYPE letter SYSTEM "letter.dtd" [
<!ENTITY dc "Dear Captain">
]>
```

## Comment

```
<!-- This is a comment -->
```

## Document Elements

```
<letter idlett="Letter-1">
  <header date="02/04/2020">
    <sender name="Sailors"/>
    <recipient>Paul Haddock</recipient>
  </header>
  <body>
    <para>The XML lecture seems interesting.</para>
    <para>This is another short paragraph.</para>
  </body>
  <end>
  <formula>Best regards</formula>
  <sender_name>Popeye</sender_name>
</end>
</letter>
```

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# DTD Introduction

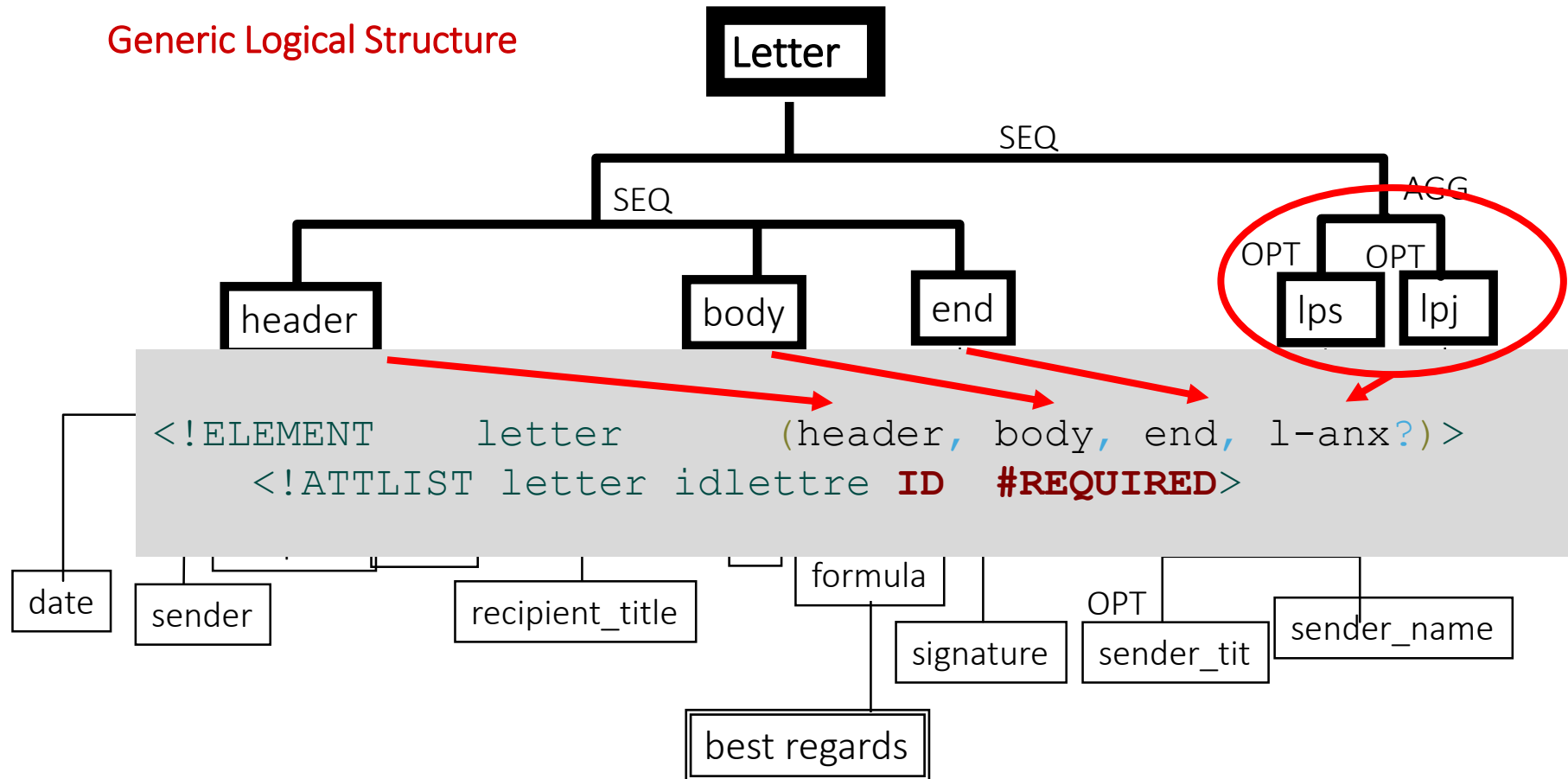
- An XML document must be well formed (syntactically correct)
- Can be valid with respect to a model
- Specifying a grammar for a language
  - automatically test its compliance with a given document
  - facilitate the exchange and sharing of documents produced by different authors;
  - help developers who create automated tools to process documents following the same DTD.

# DTD Introduction

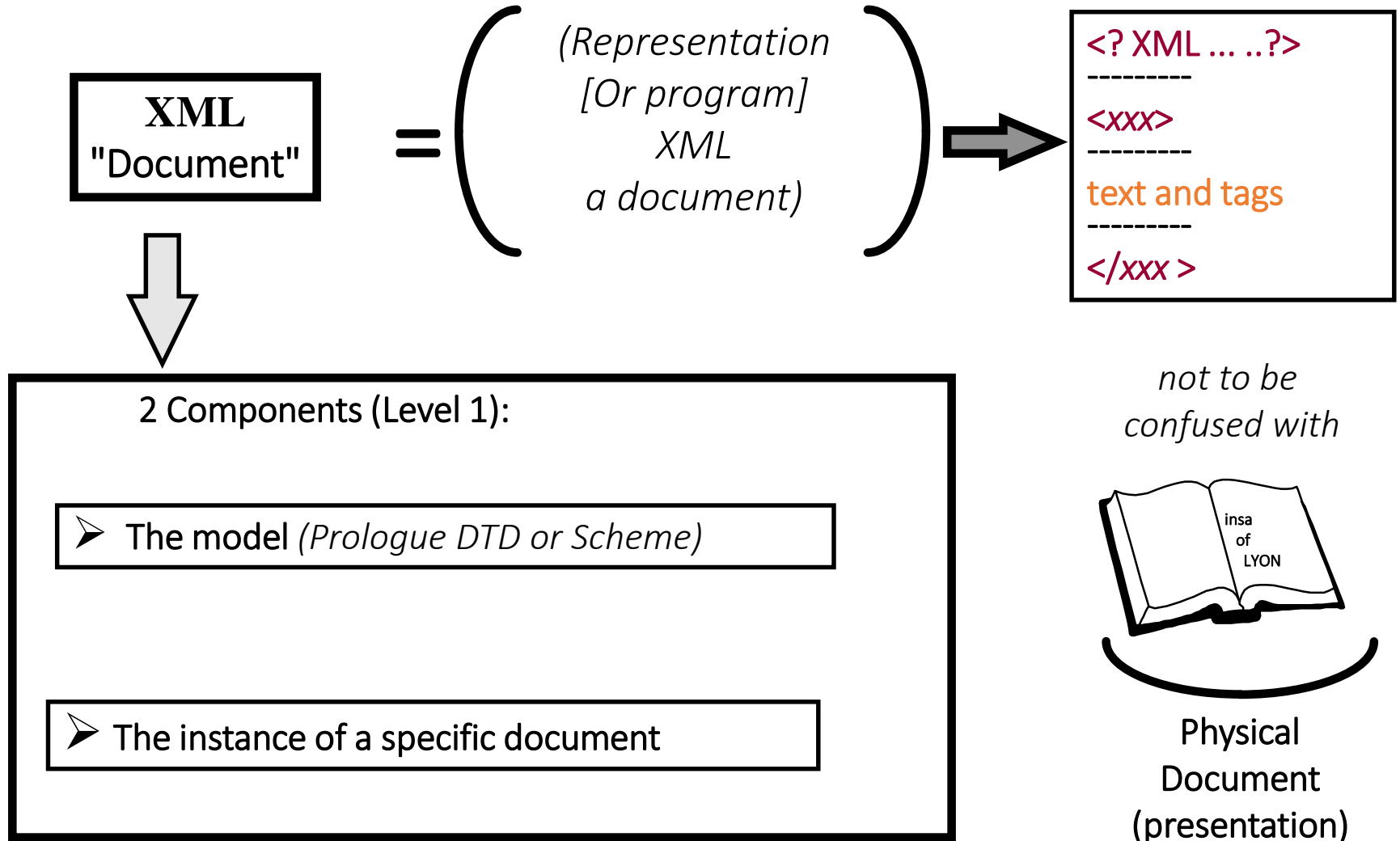
---

- DTD: mechanism by which structures are specified.
- The DTD can be directly in the document
- Necessary to verify the validity of the document
- A DTD is applicable to multiple XML documents.
- Enables defining new languages

# DTD introduction



# DTD: XML Model (1)



# DTD syntax

## ❖ *Writing conventions*

### connectors

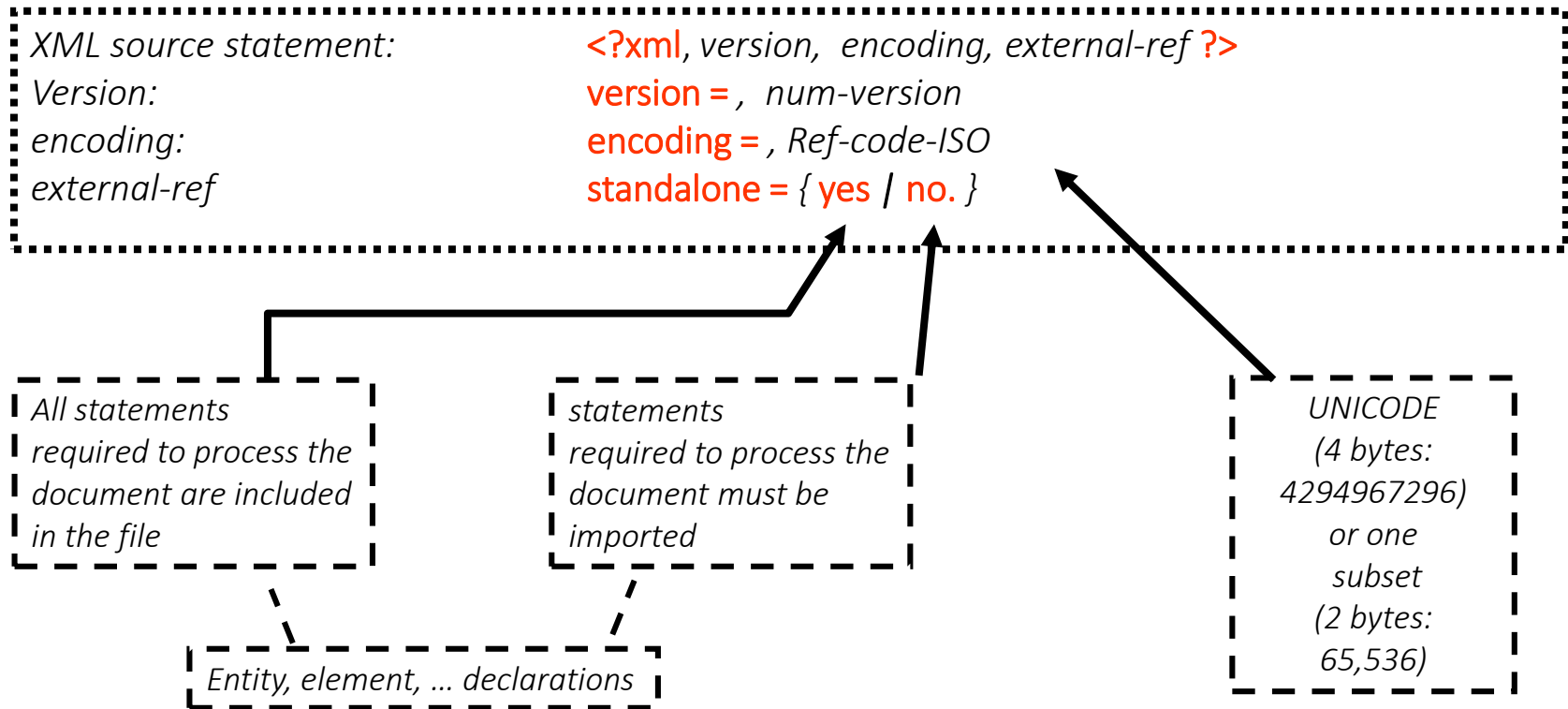
,	AND, ordered ( <i>sequence</i> )
	XOR ( <i>choice</i> )
&	AND, unordered ( <i>aggregate</i> )

### Occurrence indicators

+	One or more times
?	1 or 0
*	0, 1 or more

# DTD: XML Model (2)

## ❖ XML Source Declaration



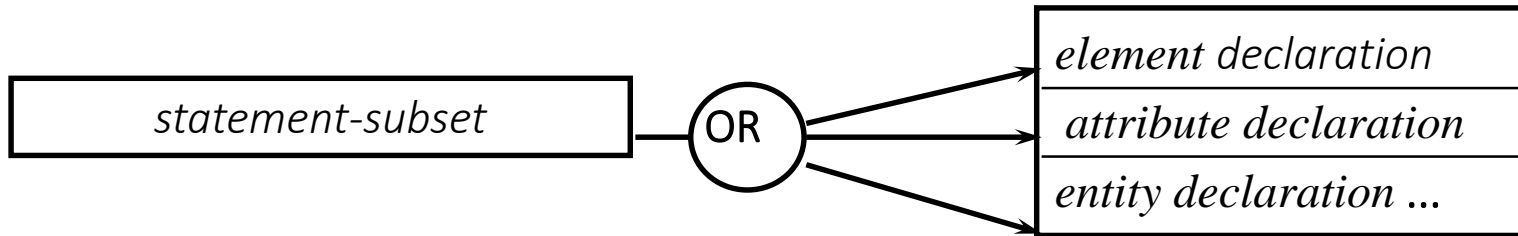
*example:*

`<?xml version = '1.0' encoding ="UTF-8" standalone = "yes" ?>`



# DTD: XML Model (3)

**<! DOCTYPE**, *dtd-name*, *external\_identifier?*,  
{ [ { *statement-subset* } + , ] } ? , **>**



Example 1:

**<! DOCTYPE** *letter* [ <-description of the components of a letter -> ] **>**

Example 2: (Reference to an external DTD)

**<! DOCTYPE** *letter* **SYSTEM** "Letter.dtd" **>**

# DTD: XML Model (3)

```
Starting x *letter.dtd x
1 <!ENTITY fp1 "Greetings">
2 <!NOTATION jpeg SYSTEM "C:\programs\displayjpeg.exe" >
3 <!ELEMENT letter (header, body, end, 1-anx?)>
4 <!-->
5 <!-->
6 <!-->
7 <!-->
8 <!-->
9 <!-->
10 <!-->
11 <!-->
12 <!-->
13 <!-->
14 <!-->
15 <!-->
16 <!-->
17 <!-->
18 <!-->
19 <!-->
20 <!-->
21 <!-->
22 <!-->
23 <!-->
```

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# XML element (1)

*Element declaration in the DTD:*

**<!ELEMENT**, *element-name*, *content model* **>**

- **1<sup>st</sup> character** : alphabetical or \_
- **after** : alphanumeric or \_ or - or.
- capital letter # lowercase
- : has a special meaning
- does not start with xml

*content model: declared Content- / composed Content / mixed Content*

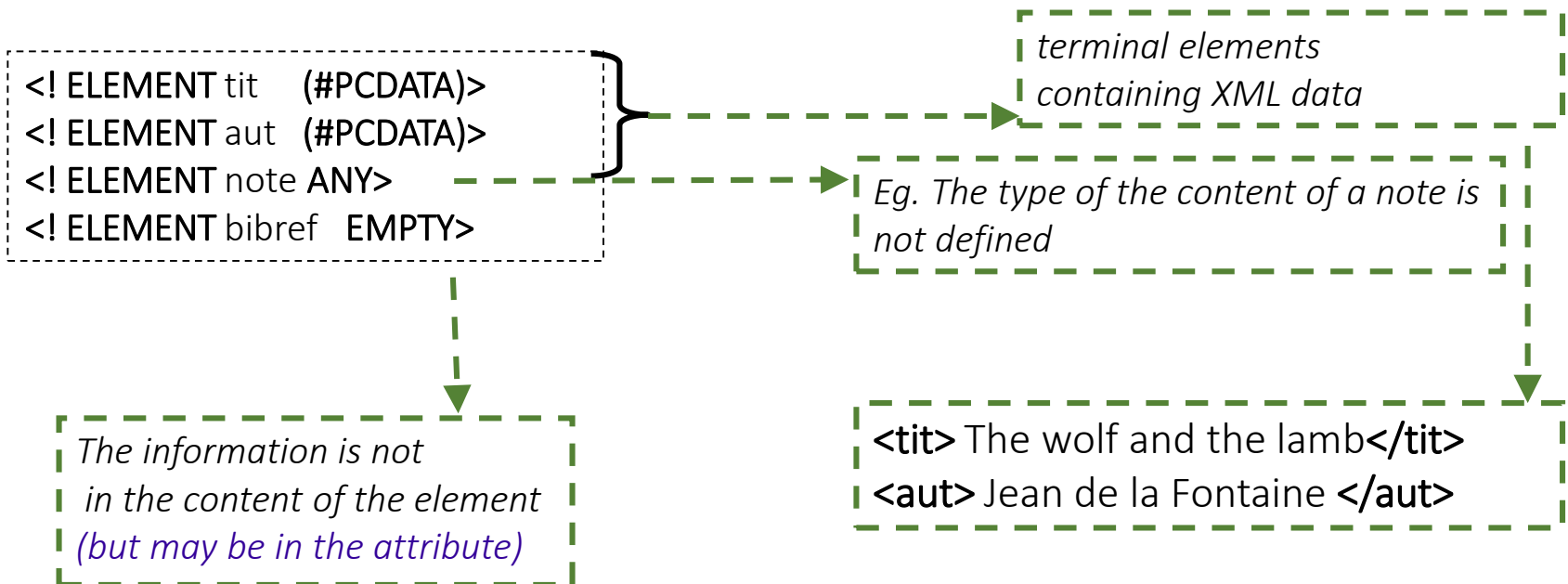
example:

**<!ELEMENT** letter (header, body, end) **>**

# XML element (2)

Declared content: **(#PCDATA)** | **EMPTY** | **ANY**

- **EMPTY** : empty element (*one tag appears* : `<Tag />`), May have attributes
- **(#PCDATA)** : "parsable" text (*Can contain text and references to entities*)
- **ANY** : free content (*Usually not set*)



# XML element (3)

*composed content:* ( ,  
{{*element name*, *occ-ind?* {*connect*, *element-name*, *occ-ind?* }} \* |  
{*composed content*, *occ-ind?* {*connect*, *composed-content*, *occ-ind?*} \*}} + , )

```
<! ELEMENT paragraph (sentence +)>  
<! ELEMENT heading (tit-doc, ss-title?, author, summary)>
```

*mixed content:* (, {**#PCDATA**, *connect*,  
{{*Element name*, *occ-ind?* {*connect*, *Element-name*, *occ-ind?* }}} \*  
|  
{{*Element name*, *occ-ind?* {*connect*, *Element-name*, *occ-ind?* } \*}  
*connect*, **#PCDATA**}, )

```
<! ELEMENT paragraph (#PCDATA | list-ord * | reference*)>  
<! ELEMENT figure (drawing, legend, #PCDATA)>
```

**Recall :** the current version does not support XML connector "Aggregate"  
2 possible connections: the sequence "," and choose "| "

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# XML: Attributes

- Attributes provide extra information about elements
- Placed inside the start tag of an element
- Attributes come in name/value pairs
- E.g. ``
- the attribute is "src".
  - value of the attribute is "computer.gif".
  - Since the element itself is empty it is closed by a " /"



# XML attribute (1)

- The syntax of DTD uses the keyword **ATTLIST**, followed by the concerned **element name**, followed by the **list of attributes** : for each, the name, type, and whether it is optional or not has to be specified.
- attribute names must be XML names:
  - the first character is any letter or \_ (underscore);
  - the following characters can be letters, numbers, underscores (\_), hyphens (-) or dots (.);
  - there is no limitation on the length of an XML name.

# XML attribute (2)

## ❖ Attribute list declaration

- ❑ XML elements can have zero, one or more **attributes**
  - attributes contain processing information
  - this information is generally not displayed
  - an attribute can be declared separately from the item to which it relates

Attribute list definition: *<!**ATTLIST**, Element-name,  
{attribute-name, attribute-type, default?} +>*



<b>CDATA</b>	alphanumeric character string
<b>ID</b>	XML element identifier
<b>IDREF   IDREFS</b>	reference(s) to one (or more) ID-s
<b>ENTITY   ENTITIES</b>	reference(s) to one (or more) entities
<b>NMTOKEN   NMTOKENS</b>	XML symbolic name(s) ( 'Private', 'public', ...)
<i>Enumeration</i>	possible value list ( 'Monday'   'Tuesday'   Thursday')
<b>RATING</b>	notation used for non-XML entities ( <i>JPEG</i> , ...)

# XML attribute (3)

## Default Declaration

<i>v-default</i>	Default Attribute value
<b>#FIXED</b> 'V'	attribute has only one value 'V' which is imposed
<b>#REQUIRED</b>	a value should always be provided
<b>#IMPLIED</b>	attribute is optional

Examples

```
<!-- ATTLIST article_loi language CDATA #FIXED language 'French' -->
<!-- ELEMENT date (#PCDATA) -->
<!-- ATTLIST date format (ANSI | ISO | EN-exp | fr-exp) #REQUIRED -->
.....
<date format = "ISO"> 2018-04-01 </date>

<!-- ELEMENT section ((ali | xref ) +) -->
<!-- ELEMENT ali (#PCDATA) -->
<!-- ATTLIST section ident ID #IMPLIED -->
<!-- ELEMENT xref EMPTY -->
<!-- ATTLIST xref ref IDREF #REQUIRED -->
.....
<section ident = 'S425'> <ali> Trick: bli bli ... content stuff section </ali> <ali> .... blo blo </ali> </section>
.....
<section> <ali> blah blah ... see section 'trick' </ali> <xref ref = 'S425' /> <ali> .... blu blu </ali> </section>
```

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# XML Entity (1)

- Intuitively, entities define shortcuts (or aliases) that will be used in XML documents related to the DTD.
- Some entities are already defined in XML:
  - `&lt;`; (`<`) ,
  - `&gt;`; (`>`) ,
  - `&amp;`; (`&`) ,
  - `&quot;`; (`"`)
  - `&apos;`; (`'`) .

More about predefined entities at :

[https://en.wikipedia.org/wiki/List\\_of\\_XML\\_and\\_HTML\\_character\\_entity\\_references](https://en.wikipedia.org/wiki/List_of_XML_and_HTML_character_entity_references)

# XML entity (2)

## Entity

- object (XML or not)
- defines a prologue (*Internal or external*)
- reusable in instances (*General entity*)  
in a DTD (*parameter Entity*)  
in an entity definition, .....

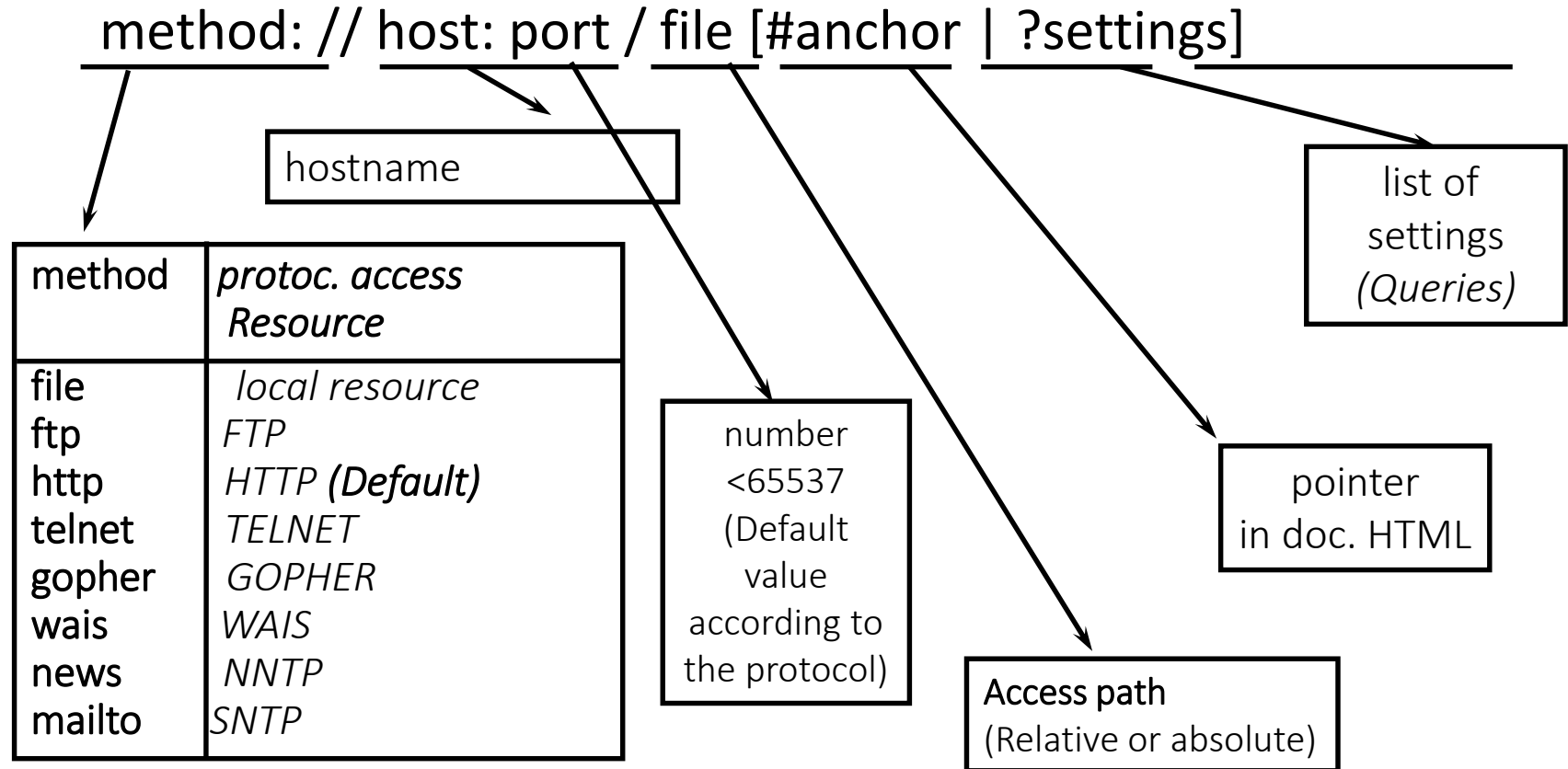
## 1) Internal general entities:

*decl-entity-gen-int* : **<! ENTITY**, *entity-name*, *entity-content* **>**  
*entity-content* XML text in quotation marks or apostrophe  
Reference to an entity: **&name-entity;**

E  
x  
e  
m  
p  
l  
e

in the DTD	<pre>&lt;!ENTITY R "Region"&gt; &lt;!ENTITY Ra "Rhone Alpes Auvergne"&gt; &lt;!ENTITY RRA "&amp;R; &amp;Ra ;" &gt;</pre>
in the XML instance	<pre>&lt;para&gt; The &amp;RRA; is one of the four dragons of Europe ....&lt;/para&gt;</pre>
generated text	The Region Rhone Alpes Auvergne is one of the four dragons of Europe .....

# URL: Universal Resource Locator



example :

`http: //www.insa-lyon.fr:80/Labos/LIRISthemes/siam.xml#dad`

`http: //www.univ-lyon1.fr/cgi-bin/phf-lyon1?Qname=egyed`

# XML Entity : Parameter Entities

## Shortcut for repeating syntax within a DTD

Example of an Employee DTD:

```
<?xml version="1.0" encoding="UTF-8"?>  
<!ELEMENT employee (firstname, surname)>  
<!ELEMENT firstname (#PCDATA)>  
<!ELEMENT surname (#PCDATA)>
```

Let's now create an in-line DTD that uses the above DTD as a parameter entity.



# XML Entity : Parameter Entities

```
<?xml version="1.0" encoding="UTF-8"?>
<!DOCTYPE payroll [
  <!ENTITY %employee_dtd SYSTEM "Employee.dtd">
  %employee_dtd;
  <!ELEMENT payroll (employee, salary, mailingaddress)>
  <!ELEMENT salary (#PCDATA)>
  <!ELEMENT mailingaddress (employee, address)>
  <!ELEMENT address (#PCDATA)>
]>
<payroll>
  <employee>
    <firstname>Mark</firstname>
    <surname>Collins</surname>
  </employee>
  <salary>£35,000.00</salary>
  <mailingaddress>
    <employee>
      <firstname>Mark</firstname>
      <surname>Collins</surname>
    </employee>
    <address>34, Narrow Lane, SE3 6DY, London</address>
  </mailingaddress>
</payroll>
```

# XML entity (8)

- Characters entities

- `&#`, code-unicode-decimal, ;
- `&#x`, code-unicode-hexadecimal, ;
- Allow the use of characters no available on the keyboard
  - ex: `&#923;` `&#953;` `&#947;` `&#959;` `Λίγο`
  - Banned in a given context
  - Ex `<aut> Smith &#38; al;. </aut> "Smith &al .; "`

- Comment

- `<!--`, character `* -->`
  - `<!-- this is a comment -->`

Exemple DTD XHTML

<https://www.w3.org/TR/xhtml1/DTD/xhtml1-strict.dtd>

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# DTD location (3 cases)

## Internal DTD

```
<?xml version="1.0" encoding="utf-8"?>
<!DOCTYPE lettre [
  <!ELEMENT lettre (entete, corps, fin, l-anx?)>
    <!ATTLIST lettre idlettre CDATA #REQUIRED>
  <!ELEMENT entete (date, exp, dest*, sujet?, titdest?)>
  <!ELEMENT corps (parag+)>
  ...
  <!ELEMENT titexp (#PCDATA)> ]>
<lettre idlettre="idlettre1">
  ...
</lettre>
```


## External DTD

```
<?xml version="1.0" encoding="utf-8" standalone="no"?>
<!DOCTYPE lettre SYSTEM "lettre.dtd" >
<lettre idlettre="lettre-1">
  ...
</lettre>
```

# DTD location

## mixed DTD

```
<?xml version="1.0" encoding="UTF-8"?>
<! ELEMENT      letter (header, body, end, l-anx?)>
<! ATTLIST      letter idlettre ID #REQUIRED>
<! ELEMENT      header  (date, exp %autres.elements.entete;)> ...
```




DTD

```
<?xml version="1.0" encoding="Utf-8"?>
<! DOCTYPE letter SYSTEM "LetterToMix.dtd" [

  <!-- Adding 1 child dest to the element header -->
  <! ENTITY %autres.elements.entete "dest">

  <!-- redeclaration Attribute idlettre of the lettre element -->
  <!-- The attribute becomes optional -->
  <!ATTLIST letter idlettre ID #IMPLIED>

  <!-- Declaration of the element recipient -->
  <!ELEMENT recipient (#PCDATA)>
  ]>
<letter>
  <header> ...
```



XML instance

# DTD drawbacks

- the root element is not specified in the DTD; a document can be valid using any tag defined in the DTD as root;
- the number of occurrences of an element can not be specified precisely, since it has only the quantifiers: ?, \* and +
  - we would like to say that an item should appear more than 2 times but still less than 5 times;
- there is no content types for attributes and elements
  - (Name, date, postal code, phone number, URL, email address, etc.);
- we can not constrain the content form
  - (Between 5 and 20 characters, containing an @ sign, etc.);
- the language used to define a DTD is not an XML language!

# XML instance (1)

❖ **The XML instance** is a tagged text that contains the **final data**

- it must **respect the rules** defined in the DTD (*This can be checked using an "XML parser"*)
- **and** be a **Well-formed XML** document (*openingTag and closing tag*)
- It is structured in elements (declared in the DTD)
- it can reference entities
- It can contain specific instructions ignored by the XML parser and treated by other related software (DBMS viewer, former). Their form is:
- `<?target, arg1..., argnot ?>`

example

```
<?xml-stylesheet href= "rapportstyle.ccs" type ="text/css"?>
```

# XML instance (2)

## ❖ Well-formed document

- ✓ its structure expressed by its markup can be interpreted as a tree; elements properly nested.
- ✓ the elements can have attributes
- ✓ the DTD of a well formed document may be unknown (none)
- ✓ Consequences
  - an XML fragment
    - is exploitable without its DTD
    - is not necessarily valid in the XML sense



# XML Example (1)



the NHI 01/12/2018

Direction

Paul Haddock

topic : *Media*

Dear Colleague

aaaaaaaaaaaaaaaaaaaaaaaaaaaa  
aaaaaaaaaaaaaaaaaaaaaaaaaaaa  
aaaaaaaaaaaaaaaaaaaaaaaaaaaa  
aaaaaaaaaaaaaaaaaaaaaaaaaaaa.

bbbbbbbbbbbbbbbbbbbbbbbbbb  
bbbbbbbbbbbbbbbbbbbbbbbbbb  
bbbbbbbbbbbbbbbbbbbbbbbbbb

ref. JD / PRF / NHI-C / 1

P 1/2

bbbbbbbbbbbbbbbbbbbbbbbbbb  
bbbbbbbbbbbbbbbbbbbbbbbbbb  
bbbbbbbbbbbbbbbbbb.

cccccccccccccccccccccccccc  
cccccccccccccccccccccccccc  
cccccccccccccccccccccccccc  
cccccccccccccccccccccccccc  
cccccccccccccccccccccccccc

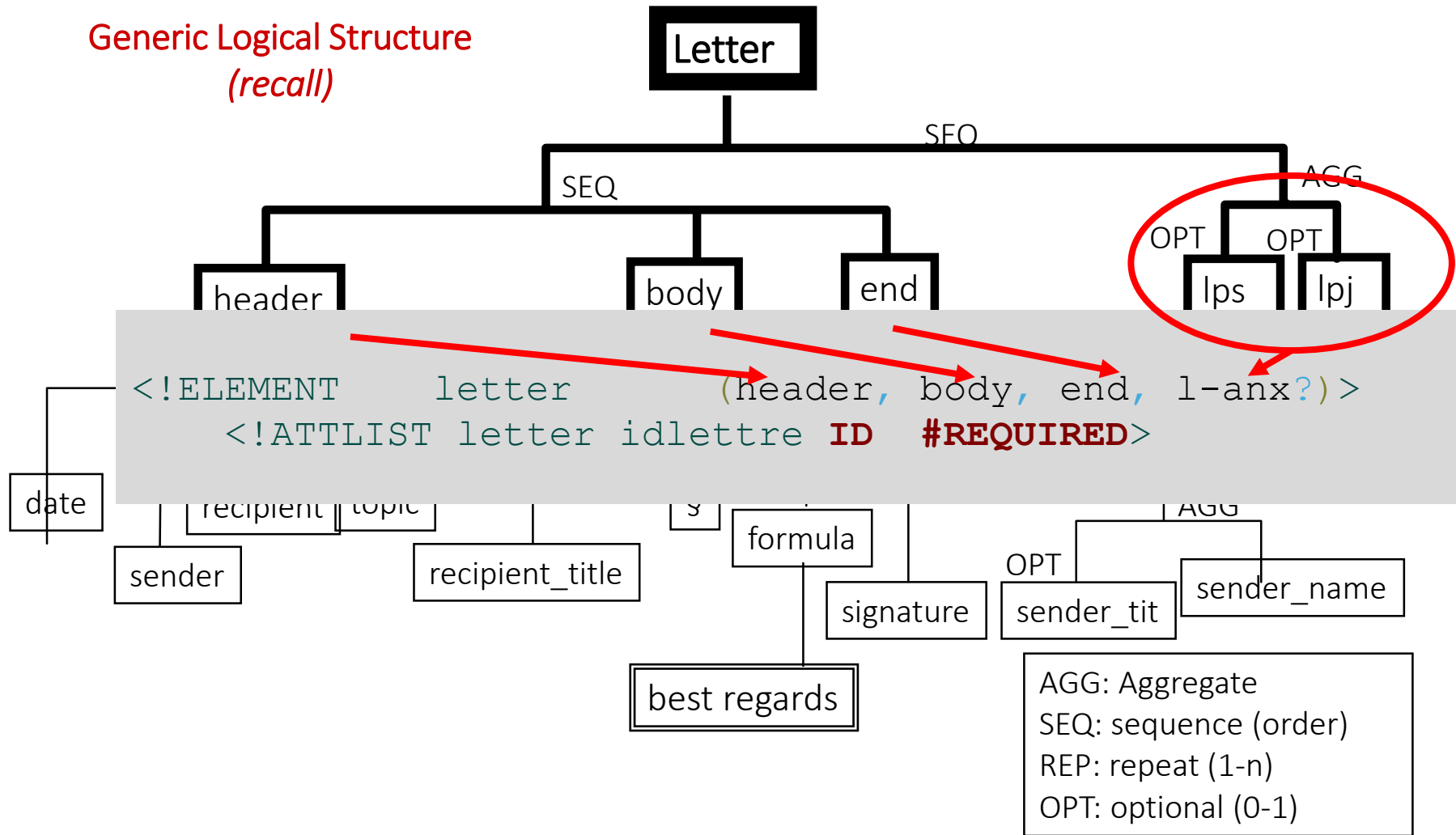
best regards

John Smith  
General manager

ref. JD / PRF / NHI-C / 1

P 2/2

# XML Example (2)



# XML Example (3)

```
<?xml version="1.0" encoding="UTF-8"?>
<!NOTATION jpeg SYSTEM "C:\programs\displayjpeg.exe" >
<!ENTITY fp "Greetings">
<!ELEMENT letter (header, body, end, l-anx?)>
  <!ATTLIST letter idlettre ID #REQUIRED>
<!ELEMENT header (date, sender, recipient* topic?,
recipient_title?)>
<!ELEMENT body (para+)>
<!ELEMENT end (formula, ((signat, sender_name,
sender_tit?)|(signat, sender_tit?, sender_name)|(sender_name,
sender_tit?, signat)|(sender_tit?, sender_name, signat)))>
<!ELEMENT l-anx (ps, ((ps* , pj*)|(pj*, ps*)))>
<!ELEMENT signat EMPTY>
  <!ATTLIST signat adfichier ENTITY #REQUIRED>
<!ELEMENT date (#PCDATA)>
<!ELEMENT sender (#PCDATA)>
<!ELEMENT dest (#PCDATA)>
<!ELEMENT topic (#PCDATA)>
<!ELEMENT sender_name (#PCDATA)>
<!ELEMENT ps (#PCDATA)>
```

**DTD (file : *lettre.dtd*)**

# XML Example (4)

```
<?xml version="1.0" encoding="Utf-8" standalone="No."?>
<! DOCTYPE letter SYSTEM "Lettre.dtd" [
<! ENTITY sdupont SYSTEM "D:\signat\dupont.jpg" NDATA jpeg> ]>
<letter idlettre="Letter-1">
  <header>
    <date>02/01/2015 </date>
    <exp> Direction </exp>
    <recipient> Paul Haddock </recipient>
    <topic> Subject: Media </topic>
    <recipient_title> Dear Colleague </recipient_title>
  </header>
  <body>
    <para> aaaaaaaaaa.....aaaaaaaaaaaaa</para>
    <para> bbbbbbbbbbbbbb.....bbbbbbbbbbbbbb</para>
    <para> cccccccccccccc.....ccccccccccccccc</para>
  </body>
  <end>
    <formula>&fp;</formula>
    <signat adfichier="sdupont"/>
    <sender_tit>General manager </sender_tit>
    <sender_name>John Smith </sender_name>
  </end>
</letter>
```

*a letter instance*

□ *The logo, presentation, page top and bottom will be processed by XSL*

# XML Example (5)

## Best practices for a DTD

- 1) modularity: reusable parts in entities
- 2) group entity declaration at the beginning of the DTD
- 3) use parameter entities in an convenient manner
  - for content models
  - for attribute declaration
- 4) simplify content models
- 5) proper use of comments
- 6) adapted DTD:
  - avoid too general DTD full of useless XML objects
  - prefer more specific DTD-s

# Plan

- Introduction
- Core XML
  - Introduction to XML
  - DTD
  - Element XML
  - XML attribute
  - Reusable Objects: XML Entities
  - XML instance and example
  - Namespaces
  - XML schemas
  - Bibliography
- XML Galaxy
- NOSQL
- Conclusion

# XML Namespaces

Reasons for using namespaces:

- Distinguish between elements and attributes from different applications with the same name.
- Group all related elements and attributes from one application to recognise them easier.
- Distinguish between elements and attributes from different applications with the same name.
- Group all related elements and attributes from one application to recognise them easier.
- One of the main feature of XML is to combine markup from various XML applications. Hence, XML Namespaces make it easier for software to understand the nature of the markup been used.

# Namespaces (1)

- Namespaces (*espaces nominaux*) enable to qualify in a unique way all the XML objects (*Elements, attributes, ...*).
  - Namespaces allow coexistence in the same document of objects with the same name but with a different connotation: like:
    - *Kitchen table*) → **kitchen:table**
    - *Table (SQL)* → **sql:table**
  - advantages:
    - *Cooperation of various XML standards, HTML, XSL, DTD, schemas, ...*
    - *Sharing different documentary tools (different DTD)*
    - *concepts and objects traceability (where was defined the attribute "time"?)*
    - *Defining a coherent vocabulary*
      - *Getting closer to ontologies*



# Namespaces (2)

Example: XML allows reusing DTD fragments defined elsewhere

```
<?xml version = '1.0' encoding= ISO-8859-1 ' standalone= 'No'?>
```

```
<! DOCTYPE article [
```

```
  <! ELEMENT item ANY>
```

```
  <! ENTITY % Basic SYSTEM 'http://-----/basic-text.dtd'
```

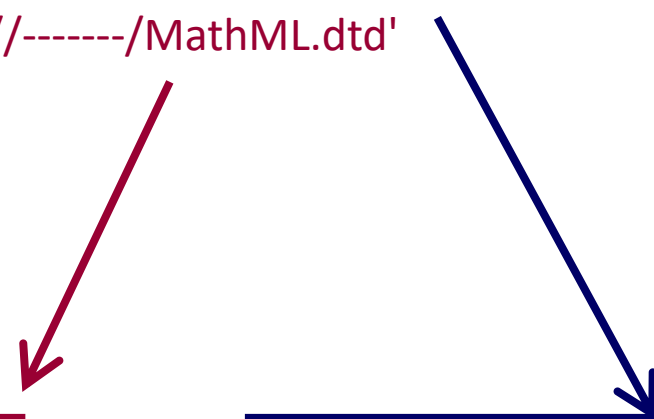
```
  <! ENTITY % math SYSTEM 'http://-----/MathML.dtd'
```

```
  <-----
```

```
%Basic;
```

```
%math;
```

```
-----]
```



*Library containing  
XML objects related  
to mathematics  
(Eg fn element:  
function)*

*Library of XML objects  
related to basic word  
processing  
(Eg fn element. Foot  
note)*

# Namespaces (3)

reusing statements (DTD parameter entities) may cause name conflicts :

- ex1: <fn> In the DTD "basic-text.dtd" means a "footnote"  
    <fn id = 'Note 10'> example of footnote on page </fn>
- ex2 <fn> In the DTD "Mathml.dtd" refers to a "function"  
    <fn>  
        <apply>  
            <int/>  
            <bvar> <ci> x </ci> </bvar>  
            <lowlimit> <cn> 0 </cn> </lowlimit>  
            <uplimit> <cn> 1 </cn> </uplimit>  
        </apply>  
    </fn>

$$\int_0^1 dx$$

# Namespaces (4)

- Solution: declare name spaces
  - Attribute **xmlns**
  - Syntax of the declaration: xmlns, prefix = URI
    - URI = **U**niforme **R**esource **I**dentifier
  - to associate a *prefix* to a URI
    - a DTD
    - a DTD fragment
    - an XML schema
    - a schema fragment, etc.
  - syntax use: *prefix* ":" tag

# Namespaces (5)

- example:

```
<report>
xmlns math = "http://www.w3.org/...../REC-MathML.dtd"
xmlns bt = "http://foo.bar.org/xml/...../Basic-text.dtd" >
<!-- further -->
    <bt:fn id = "Note 10">
        example footnote
    </bt:fn>
<!-- further -->
    <math:fn>
        <math:apply>
            <math:int/>
            <math:bvar> <math:this> X </math:this> </math:bvar>
            <math:lowlimit> <math:cn> 0 </math:cn> </math:lowlimit>
            <math:uplimit> <math:cn> 1 </math:cn> </math:uplimit>
        </math:apply>
    </math:fn>
<!-- further -->
</report>
```

# Namespaces (6)

- Scope of a namespace declaration
  - a **namespace** is valid
    - for the element in which it is declared
    - for all its children
  - default **namespaces**
    - declared without prefix
    - alleviates writing tags
    - valid for the element in which it is declared and all its children

example

```
<lab-report xmlns = "Http://servif1.insa-lyon.fr/dpt-if/rapport.dtd"
              xmlns math = "http://www.w3.org/...../REC-MathML.dtd"
              xmlns bt = http://foo.bar.org/xml/...../Basic-text.dtd >
```

-----

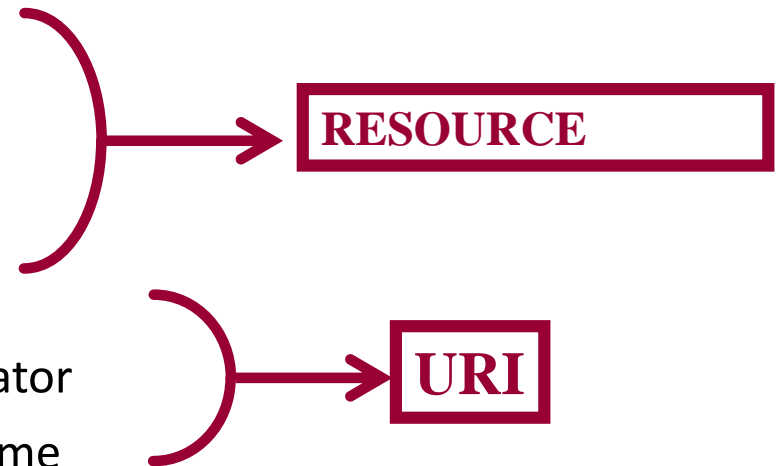
```
<Title-rap> Title TP Report </ title-rap>
```

```
<- - the title-rap element is defined in rapport.dtd - ->
```

# Namespaces (7)

- **URI** = **U**niversal **R**esource **I**dentifier

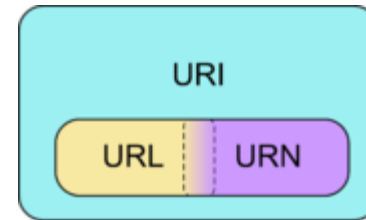
- XML allows to establish links between documents
  - stored on any server;
  - accessible by different protocols (*HTTP, FTP, GOPHER, ...*);
- These documents are:
  - files,
  - DB query results,
  - the results of programs ....
- these resources are identified:
  - physically: **U**niversal **R**ESOURCE **L**ocator
  - logically: **U**niversal **R**ESOURCE **N**ame



# Namespaces (7)

- **URI** = **U**niversal **R**esource **I**dentifier

A URI has two specializations known as URL and URN



Source: wikipedia

## Syntax of URL

scheme: subdomain/domain-name.Top-level-domain/sub-folder

## Example of URL

<https://www.geekflare.com/articles>

<mailto:mary@jane.website.com>

<file:///localhost/8.8.8.8>

## Syntax of URN

urn:<nid>:<nss></nss></nid>

## Example of URN

urn:nbn:de:101:3-2019075675872913

urn:uuid:6r4bc420-9c3a-12i9-97d9-0665700c9a66

ISBN 1-446-2776877-40

# Namespaces

- Some namespace URI's are standard, and may be recognised by some browsers

Example:

`http://www.w3.org/1999/xhtml`

`http://www.w3.org/1999/XSL/Transform`

`http://www.w3.org/2001/svg`

`http://www.w3.org/2001/MathML`

- Some prefixes are used by convention

Example:

`xmlns:html="http://www.w3.org/1999/xhtml"`

`xmlns:svg="http://www.w3.org/2001/svg"`

`xmlns:xsl="http://www.w3.org/1999/XSL/Transform"`

`xmlns:xsd="http://www.w3.org/2001/XMLSchema"`

However, prefixes can be user-defined.

Hence, you can change it but make sure it is meaningful to both a human reader and an XML parser.



# Some classic namespaces

- **XML** <http://www.w3.org/XML/1998/namespace>
- **schemas** <http://www.w3.org/2001/XMLSchema>
- **schema instances** <http://www.w3.org/2001/XMLSchema-instance>
- **XSLT** <http://www.w3.org/1999/XSL/Transform>
- **XHTML** <http://www.w3.org/1999/xhtml>
- **XLink** <http://www.w3.org/1999/xlink>
- **MathML** <http://www.w3.org/1998/Math/MathML>
- **SVG** <http://www.w3.org/2000/svg>
- **DocBook** <http://docbook.org/ns/docbook>
- **Dublin Core** <http://purl.org/dc/elements/1.1/>

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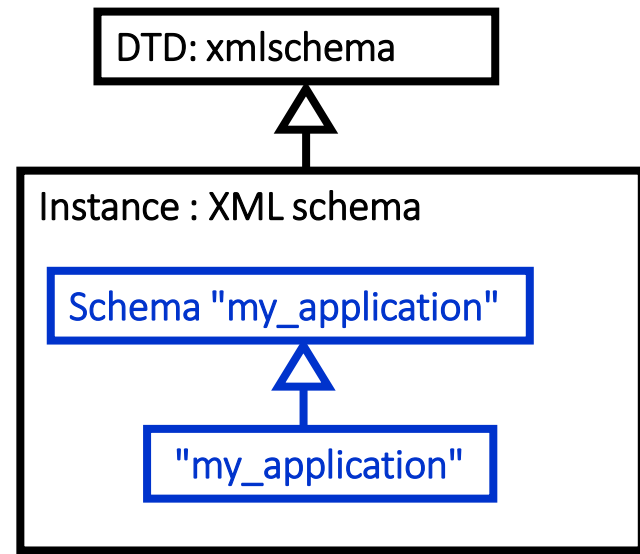
# XML schemas

- **Why this new concept?**
  - DTD: presentation oriented document modeling.
    - weak typing of final elements:
      - One predefined type: PCDATA
    - inaccurate cardinality
  - **Inadequate for structured data manipulation in advanced information systems**
- 3 concerns grow beyond the DTD:
  - Increase constraint expressivity
  - Take advantage of object oriented data modeling formalisms
  - Adopt an XML syntax to represent the schema itself

# XML schemas

- Contribution of XML schemas

- more accurate and more flexible data modelization than a DTD
- a schema is **an XML document** that defines a class of XML documents as a component structure:
  - the items (*usage, meaning, relationships, content*)
  - the attributes and values
  - data types
  - the entities
  - notations



# XML schemas

- Writing a schema

- a schema is composed of elements
  - represented by tags (*DTD was standardized: xsd*)
  - can have attributes
  - associated data types
    - simple types: *contains only text*
    - complex types: *can contain*
      - *attributes*
      - *other elements*
- elements and attributes are constrained
  - occurrence constraints
    - *optional / mandatory*
    - *default value*
- ability to group attributes
  - *to facilitate maintenance and readability*

# XML schemas

- simple types (*The most common*)
  - **string** : string of characters , ex. *Villeurbanne*
  - **boolean** : true | false | 0 | 1
  - **decimal** : decimal number, ex. *1.23, -100.25, 0, 10, .15*
  - **float** : Single precision floating number (32 bits) ex. *12.78E-2*
  - **double** : Double precision floating point number (64 bits), ex. *12.78E-2*
  - **dateTime** : ex. *2003-02-17T16:14:45.010+01:00*  
(*February 17, 2003 at 16h 14mn 45s 10ms Universal Time + 1 hour*)
  - **timePeriod** : ex. *2003-02-17T16:14*  
(*February 17, 2003 at 16h 14mn 16h15mn*)
  - **timeDuration** : ex. *P1Y2M3DT10H30M12.3S*  
(*Term 1 year 2 months 3 days 10 hours 30 minutes 12.3 seconds*)
  - **month** : ex. *2003-02: February 2003*
  - **recurring date**: ex. *--05-20: every May 20*
  - **recurring day**: ex. *----20: every 20th of each month*
  - **binary**: Binary string ex. *01110000111*
  - **uriReference** : ex. *http://www.w3.org/1999/XMLSchema*

# XML schemas

- simple types (*The most common*)
  - **name** : Name conforms to XML, ex. *para*
  - **QName** : Qualified name (with prefix) ex *math:fn*, *mt:obs*
  - **NCName** : Prefix unnamed ex. *para*
  - **integer**: Whole, ex. *100*
  - **PositiveInteger**:  $> 0$
  - **nonPositiveInteger**:  $< \text{ or } = 0$
  - **NegativeInteger**:  $< 0$
  - **nonNegativeInteger**:  $> \text{ or } = 0$
  - **byte**: Byte, ex. *100*
  - **date**:, ex. *2003-02-17*
  - **time**:, ex. *13: 20: 10 045*
  - etc.

*Complete Listing : <http://www.w3.org/TR/xmlschema-0/#CreatDt>*

# XML schemas

- types derived from simple types

- **facets** (*Simple type restriction*)

- **period, duration** address the simple types related to time and designate a period
- **maxInclusive, minInclusive, maxExclusive, minExclusive** carry on ordered types and designate high or low limits
- **precision, scale** cover numeric types and respectively specify the number of significant digits and the number of fractional digits
- **length, minlength, maxlength** indicate lengths (Strict, min or max) channels (print, binary, etc.)
- **enumeration** list of possible values. Ex "3IF", "4IF", "5if"
- **pattern** to define a shape (regular expression)  
Ex `\D{5}`: 5 decimals
- etc.

*eg. type "monetaryAmount" > or = 0, expressed as a unit or in cents*

```
<xs:simpleType name= "monetaryAmount">  
  <xs:restriction base= "xs:decimal ">  
    <xs:scale value= "2" />    (two decimal places → cents)  
    <xs:minInclusive value= "0" />  
  </xs:restriction>  
</xs:simpleType>
```

*example*



# XML Schemas: Facets of Simple Types

- Facets = additional properties restricting a simple type
- 15 facets defined by XML Schema

## Examples

- length
- minLength
- maxLength
- pattern
- enumeration
- whiteSpace
- maxInclusive
- maxExclusive
- minInclusive
- minExclusive
- totalDigits
- fractionDigits

# XML schemas

## Types derived from simple types

- lists
  - defined using the facet **enumeration**

*eg list of French departments*

```
<xs:simpleType name= "deptsFrance">  
  <xs:restriction base = "xs:string" >  
    <xs:enumeration value = "Ain" />  
    <xs:enumeration value = "Aine" />  
    -----  
  </xs:restriction> </xs:simpleType>
```

- **Pattern: Regular Expression**
  - defined using the facet **pattern**

*eg definition of a postcode*

```
<xs:simpleType name= "Postal code" >  
  <xs:restriction base = "xs:string" >  
    <xs:pattern value = "\d {5}" /> (5 decimals)  
  </xs:restriction>  
</xs:simpleType>
```

# XML schemas

## Complex types

- A complex type is either:
  - an element composed of other elements
  - an element having attributes
- it is defined with the element **complexType** who owns
  - an mandatory attribute **name**
  - an optional attribute **content** that can take the following values:
    - **elementOnly** : content will be one or more elements (default)
    - **textOnly** : the content will be of type string (~ #PCDATA)
    - **empty** : the content is empty
    - **mixed** : the content will be mixed

# XML schemas

## Attribute groups

- is defined with the keyword **attributeGroup**
  - is a child of the **schema** element
  - has a mandatory attribute **name**
  - has a child : **attribute** element
- each **attribute** element has
  - two mandatory attributes
    - **name** : *name of the elements*
    - **type**: *type of element*
  - optional attributes, e.g.
    - use (required | default | **optional** | fixed | ...).
    - value (returns the fixed or default)

# XML schemas

- Attribute group example

.....

```
<xs:attributeGroup name = "Metadata">
    <xs:attribute name = "Author" type = "xs:string" use = "required"/>
    <xs:attribute name = "Creation Date" type = "timePeriod"/>
    <xs:attribute name = "dateValid" type = "timePeriod"/>
    <xs:attribute name = "xs:language" type = "NMTOKEN" use = "default"
        value = "French"/>
    .....
```

```
</xs:attributeGroup>
```

- use

.....

```
<xs:element name = "Report">
    <xs:attributeGroup ref = "Metadata"/>
    .....
```

```
</xs:element>
```

# XML schemas

## Attribute types

- simple element types
- types derived from simple types of element (Facets, lists, forms, etc..)
- **types coming from XML DTD** (*Reserved for attributes*)
  - **ID**
  - **IDREF or IDREFS**
  - **ENTITY or ENTITIES**
  - **RATING**
  - **NMTOKEN or NMTOKENS**
  - **language** *eg en-GB, en-US, fr*
  - *etc.*

# XML schemas

## Deriving complex type

- a complex type may be derived from a simple or complex type
  - by **extension** (*enriches the primitive type by adding elements and / or attributes*)
  - by **restriction** (*force the primitive type, an optional attribute is made mandatory or minOccurs is increased or maxOccurs decreased etc..*) **Use with caution !!**
- indicated by the value of the attribute **derivedBy**

```
<xs:element name = "Price">  
  <xs:complexType base = "montantMonétaire" derived by = "extension">  
    <xs:attribute name= "Currency" type = "listeDevises"/>  
  </xs:complexType>  
</xs:element>
```

# XML schemas

## XML Schema Example

```
<?xml version = "1.0" encoding= "ISO-8859-1"?>
<xs:schema
    xmlns: xs= "Http://www.w3.org/1999/XMLSchema"
    targetNamespace= "Http: // ----- /meteoSchema"
    xmlns: mt= "Http: // ----- / meteoSchema"
    xmlns = "Http: // ----- /meteoSchema">

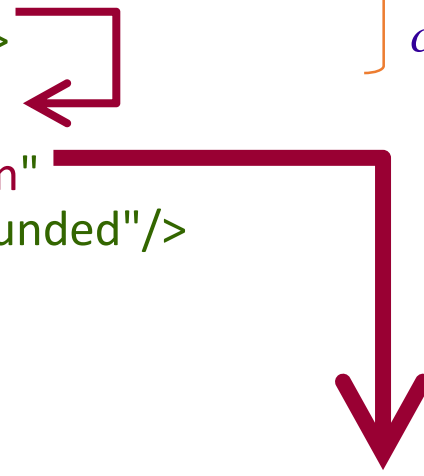
    <xs:annotation>
        <xs:documentation> Sample Schema for BDA courses
        </xs:documentation>
    </xs:annotation>

    <xs:element name= "weather" type ="mt:meteoType />
    <xs:complexType name= "meteoType">
        <xs:element name= "obs" Type ="mt:observation"
            minOccurs= "1" maxOccurs= "unbounded"/>
    </xs:complexType>
```

*root*

*child-1*

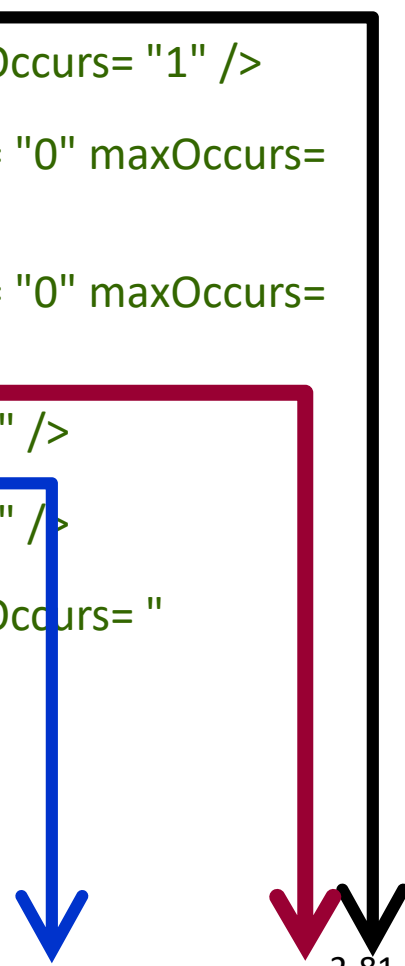
*child-2*



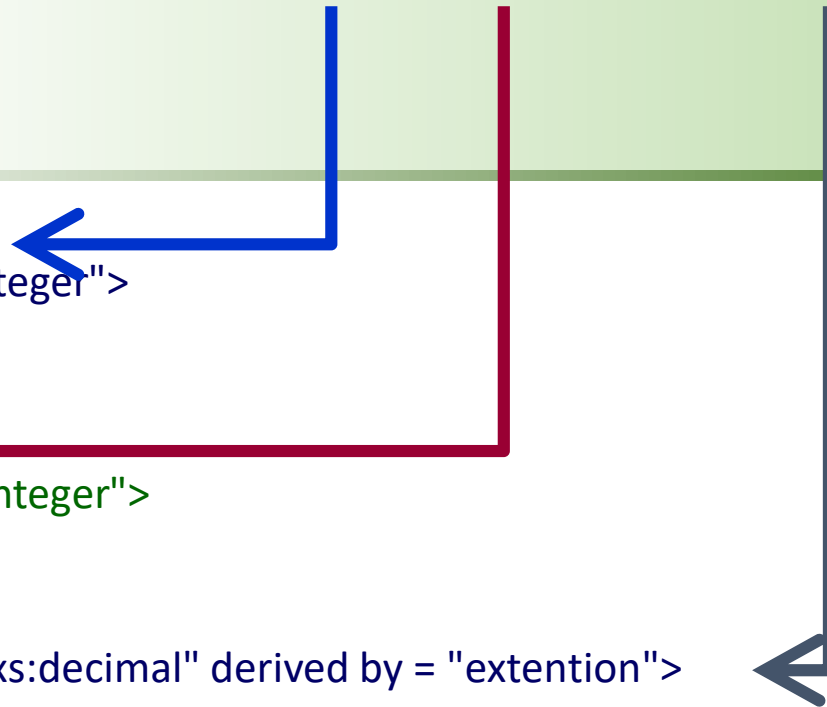


# XML schemas

```
<xs:complexType name= "observation">
  <xs:attribute name= "num" type = "xs:string" Use ="required"/>
  <xs:element name= "loc" type = "xs:string"/>
  <xs:element name= "Instant" type = "xs:timeInstant"/>
  <xs:element name= "temp" type = "mt: tempType"
                                minOccurs= "0" maxOccurs= "1" />
  <xs:element name= "anemo" type = "xs:nonNegativeInteger"
                                minOccurs= "0" maxOccurs=
"1" />
  <xs:element name= "pluvio" type = "xs:nonNegativeInteger"
                                minOccurs= "0" maxOccurs=
"1" />
  <xs:element name= "nebulo" type = "mt: Octal"
                                minOccurs= "0" maxOccurs= "1" />
  <xs:element name= "hygro" type = "mt: percent"
                                minOccurs= "0" maxOccurs= "1" />
  <xs:element name= "Message" type = "xs: string"
                                minOccurs= "0" maxOccurs= "
Unbound"/>
</xs:complexType>
```



# XML schemas



The diagram consists of several colored arrows pointing to specific parts of the XML schema code. A blue arrow points to the `percent` simple type. A red arrow points to the `octal` simple type. A dark red arrow points to the `tempUnit` simple type. A grey arrow points to the `tempType` complex type. A red arrow points to the `tempUnit` simple type again, from a different angle.

```
<xs:simpleType name= "percent"  
  <xsd restriction base = "xs:nonNegativeInteger">  
    <xs:maxInclusive value = "100" />  
  </xsd restriction>  
</xs:simpleType>  
  
<xs:simpleType name= "octal"  
  <xsd restriction base = "xs:nonNegativeInteger">  
    <xs:maxExclusive value = "8" />  
  </xsd restriction>  
</xs:simpleType>  
  
<xs:complexType name= "tempType" Base = "xs:decimal" derived by = "extention">  
  <xs:minInclusive value = "- 50.0" />  
  <xs:maxInclusive value = "50.0" />  
  <xs:attribute name= "Unit" type = "mt: tempUnit" />  
</xs:complexType>  
  
<xs:simpleType name= "tempUnit">  
  <xs:restriction base = "xs:string">  
    <xs:enumeration value = "celsius" />  
    <xs:enumeration value = "kelvin" />  
    <xs:enumeration value = "farenheight" />  
  </xs:restriction>  
</xs:simpleType>  
</xs:schema>
```

# XML schemas

- Weather data, XML Instance

```
<?xml version = "1.0" encoding= "ISO-8859-1"?>
<weather      xmlns = « http: // ----- /meteoSchema">
  <obs num= "LB54476VZ32">
    <loc> Lyon-Bron </loc>
    <date> 2003-02-17T17: 30: 15 </date>
    <temp unit = "celsius"> -5.3 </temp>
    <hygro> 58 </hygro>
    <nebulo> 2 </nebulo>
    <anemo> 48 </anemo>
    <pluvio> 6 </pluvio>
  </obs>
  <obs num= "PO63276ST44">
    <loc> Paris-Orly </loc>
    <date> 2003-02-17T17: 31:
    <temp unit = "celsius"> -
    <hygro> 62 </hygro>
    <nebulo> 6 </nebulo>
    <pluvio> 0 </pluvio>
    <message> anemometer repa
  </obs>
</weather>
```

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"But to be fair, there's a fifty percent chance of just about anything."

# XML schemas

- non-XML content inclusion
  - A **notation declaration** is used to declare a type of non-XML object (sound, video, image, ...). A notation declaration has 2 attributes
    - **name** : name used in the proceeding to describe this notation (*Eg. JPEG*)
    - one of the following two attributes:
      - **public**: MIME Type Identifier (*Eg. Image / jpeg or video/ Mpeg2*)
      - **or system**: URL component that supports treatment.
  - a notation **can be referenced** in a complex type declaration

# XML schemas

- *Example*

*<!-- notation Declaration -->*

*<xs:notation name = Jpeg public = 'image / jpeg' />*

*.....*

*<!-- Declaring an element containing a non-XML object -->*

*<xs:element name = 'Photography'>*

*<xs:complexType base = "xs:binary" derivedBy = "Extension">*

*<xs:attribute name = "Phototype" type = "xs:notation"/>*

*<xs:encoding value = 'hex' />*

*</xs:complexType*

*</xs:element*

*.....*

*In the instance we can have*

*<photography PicType = "Jpeg">*

*O2A1 97BE AABE FE07 ..... AABF*

*.....*

*EEBE O221 9743 6390 FE08 ..... </ photography>*

*You can also use  
the entity type  
attributes*

# XML schemas

- Many other concepts (not covered in this course)
  - items and attribute wildcards
  - mixed content, sequence, choice and item groups
  - items and abstract types
  - classes of equivalent elements
  - inclusion scheme
  - import types and elements
  - etc.

: consult the bibliography

# XML schemas sum up

- A Schema is an XML document (a DTD is not)
- Because it is an XML document, it must have a root element
  - The root element is `<schema>`
- Within the root element, there can be
  - Any number and combination of
    - Inclusions
    - Imports
    - Re-definitions
    - Annotations
  - Followed by any number and combinations of
    - Simple and complex data type definitions
    - Element and attribute definitions
    - Model group definitions
    - Annotations

# DTD and XML Schemas

- The two technologies coexist
- DTD easier and faster
- Schemes are more comprehensive but verbose

Xhtml, DTD and schema :

<https://www.w3.org/TR/xhtml1/DTD/xhtml1-strict.dtd>

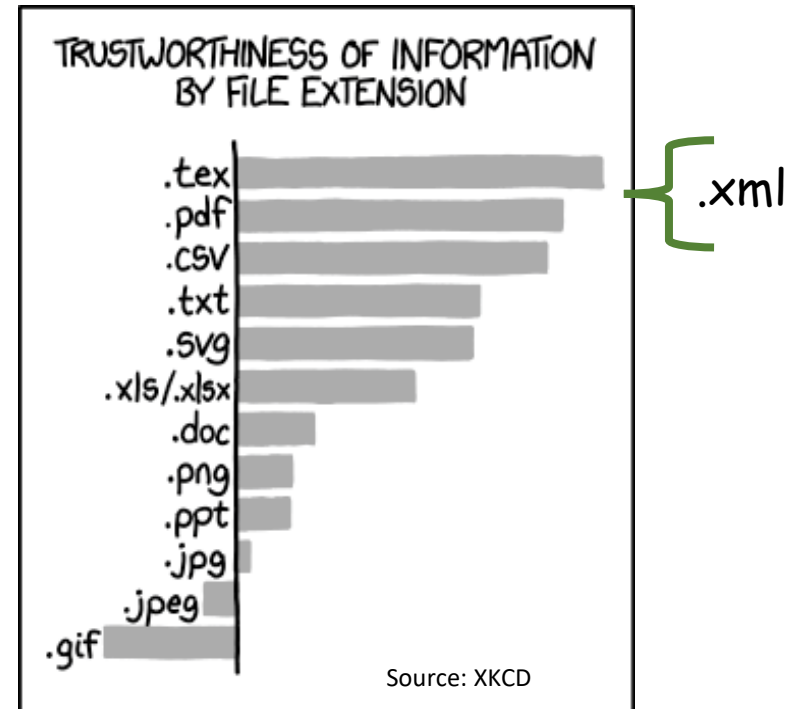
<https://www.w3.org/2002/08/xhtml/xhtml1-strict.xsd>

<http://www.w3.org/TR/2002/WD-SVG11-20020108/SVG.xsd>



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# Bibliography

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- The reference : <http://www.w3.org/TR/>
- A site to understand and take control quickly on some notions : <http://www.w3schools.com/>
- Online courses :
  - [https://www.tutorialspoint.com/xml/xml\\_schemas.htm](https://www.tutorialspoint.com/xml/xml_schemas.htm)
  - <https://www.irif.fr/~carton/Enseignement/XML/Cours/support.pdf>