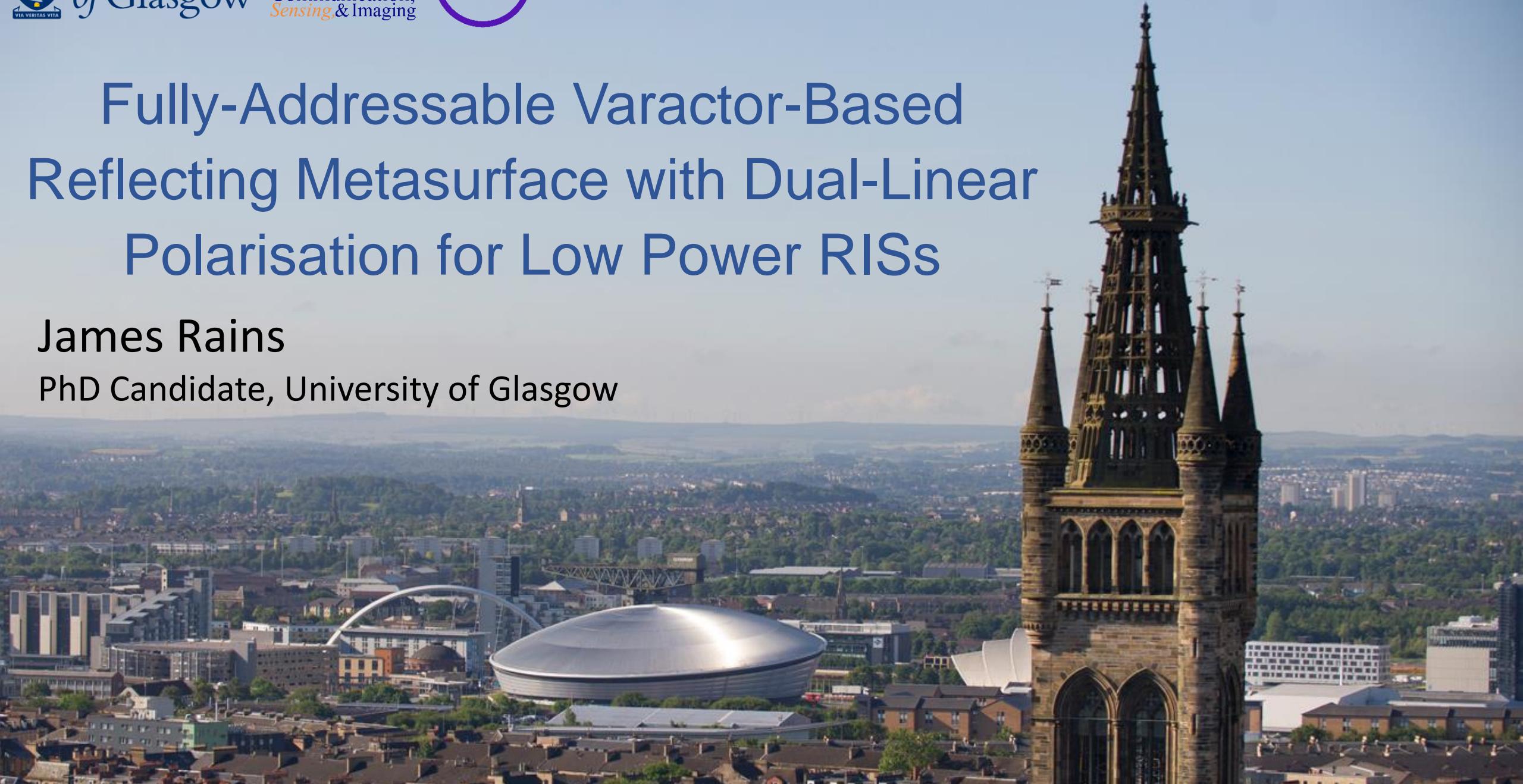


Fully-Addressable Varactor-Based Reflecting Metasurface with Dual-Linear Polarisation for Low Power RISs

James Rains

PhD Candidate, University of Glasgow

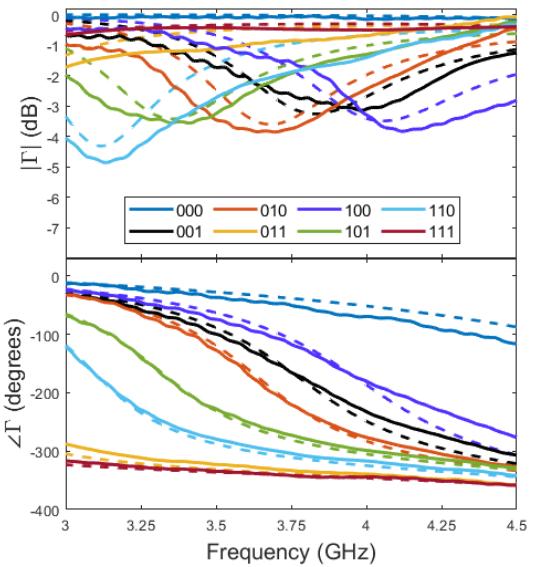
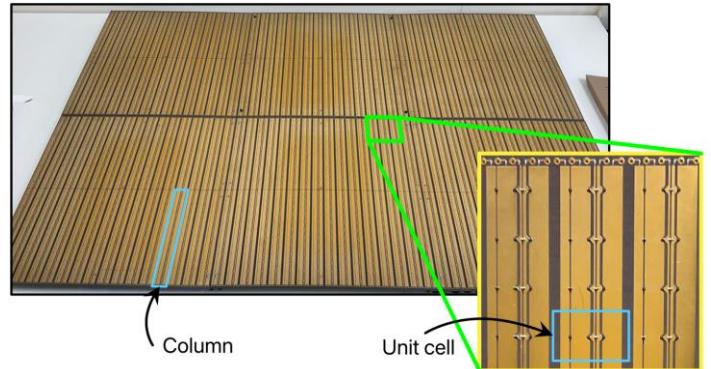
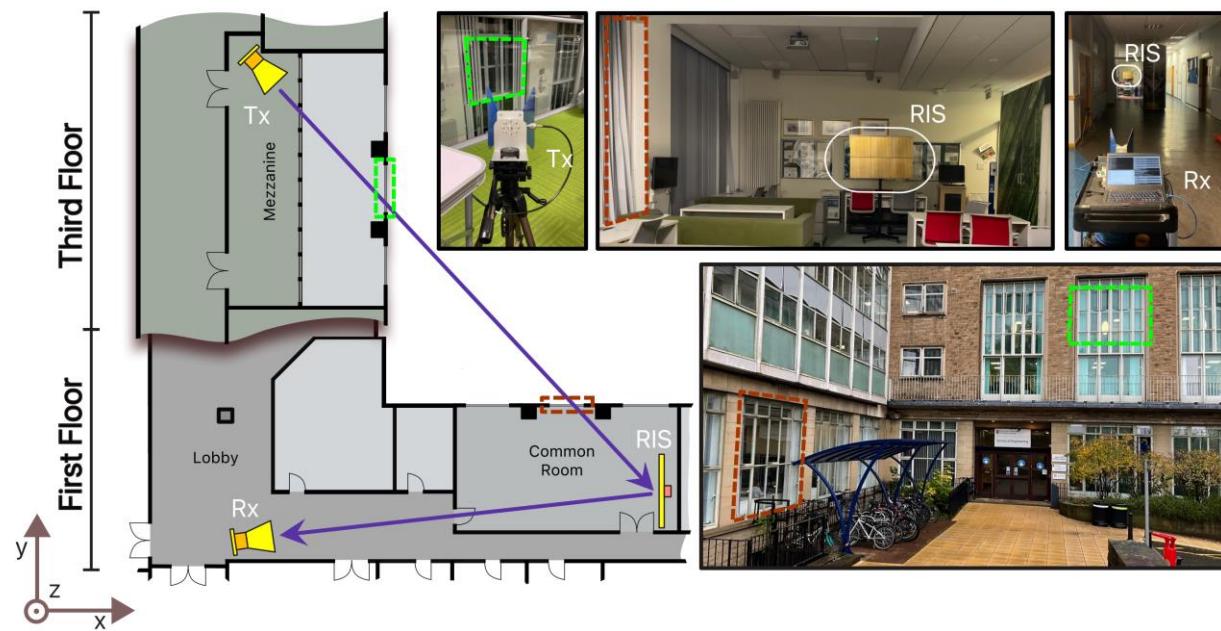


Reconfigurable intelligent surfaces at University of Glasgow

Prototyping and field trials

Small team (currently 2 people)

RIS-enabled wireless
communications and sensing



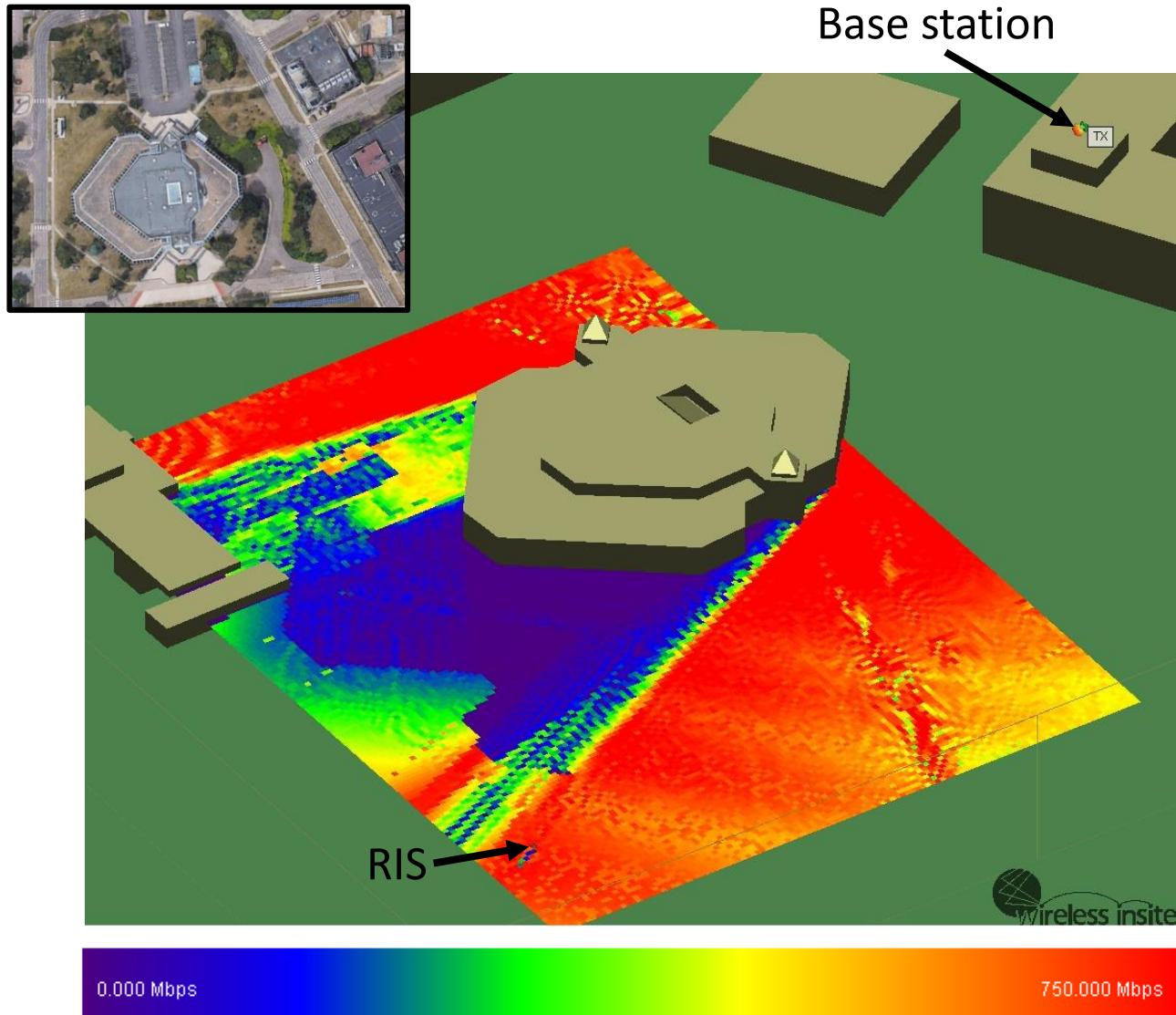
Reconfigurable intelligent surfaces for wireless coverage enhancement

Adoption of RIS within existing and soon-to-be deployed wireless networks

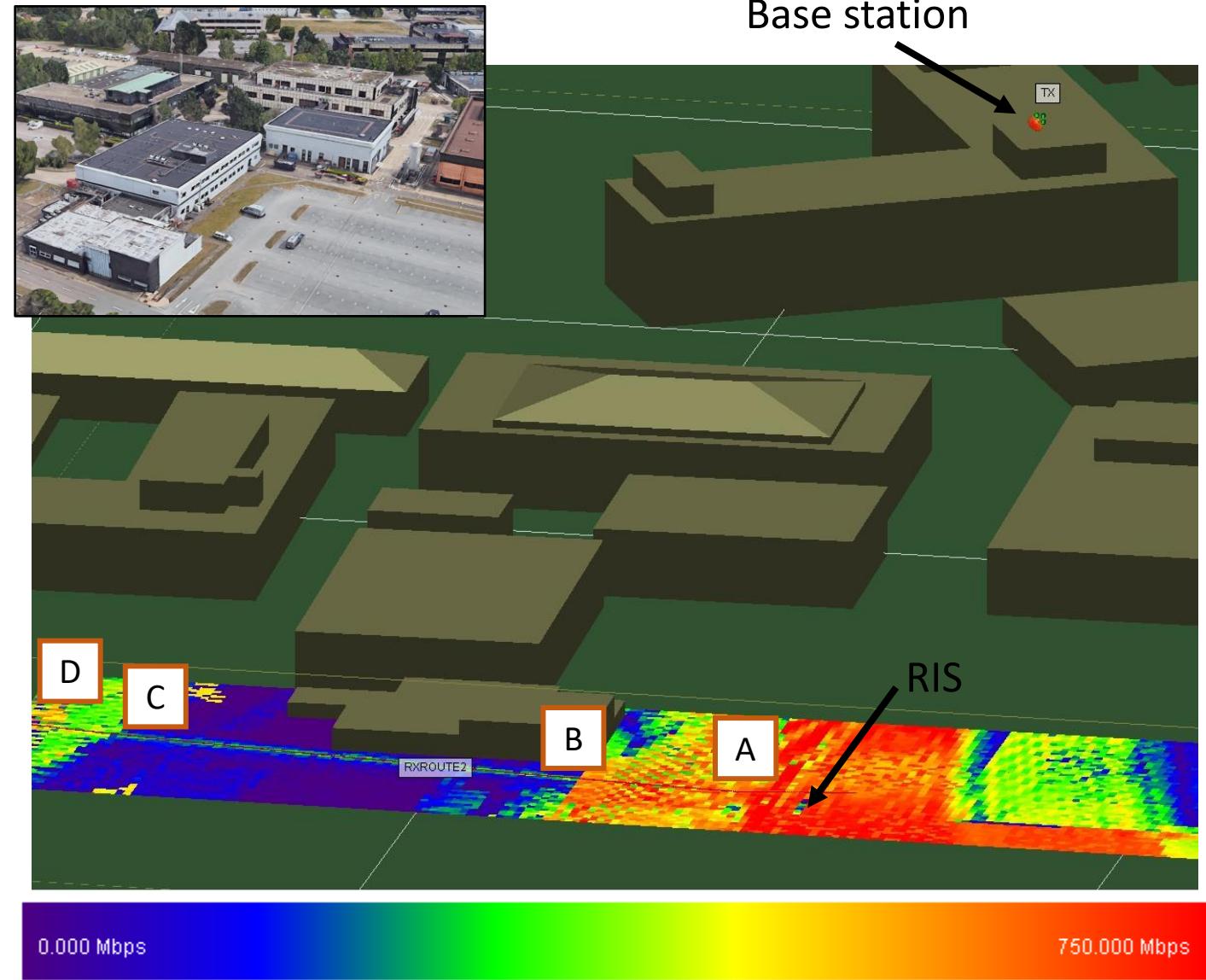
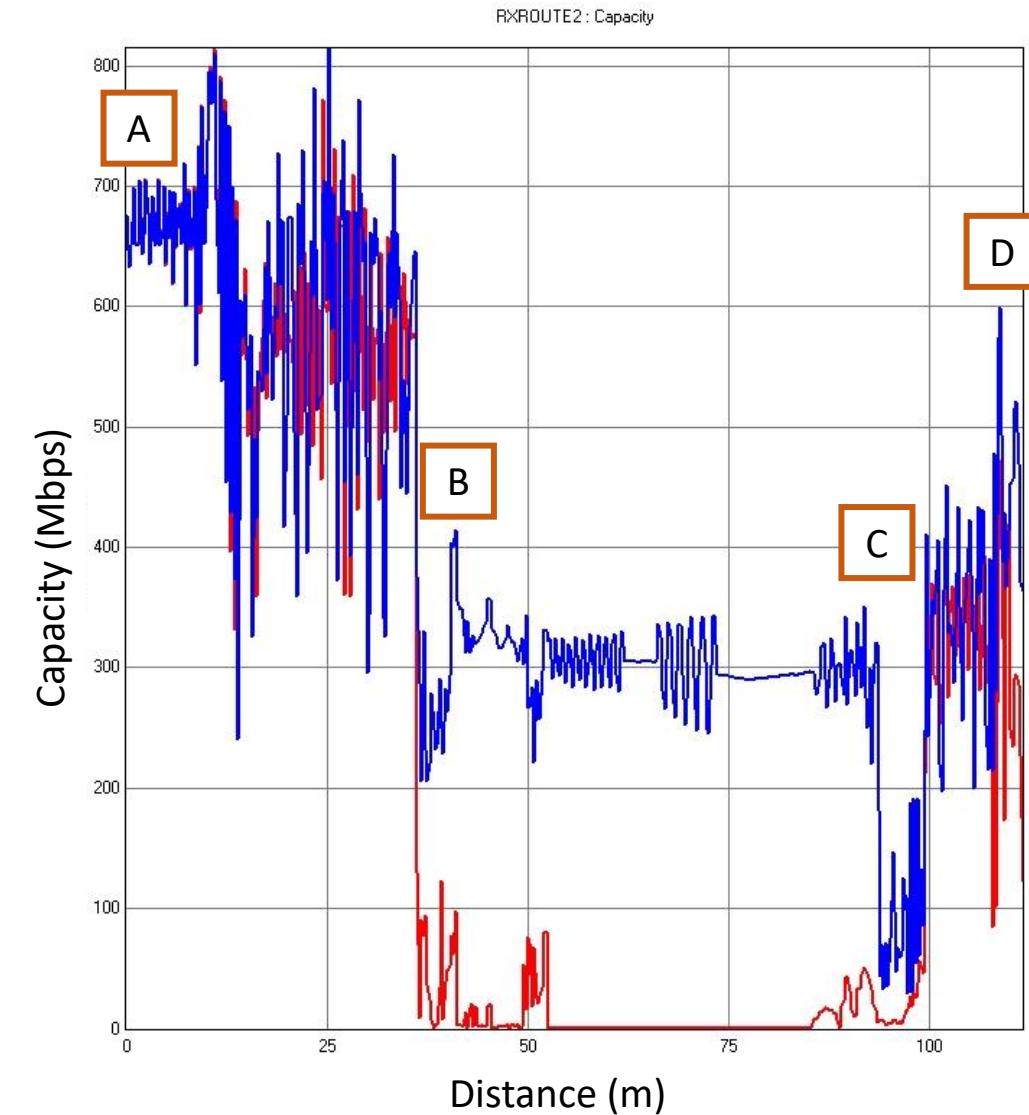
Can extend 5GNR sub-6 GHz coverage to blockage regions

LoS-ish performance behind occlusions

Still lacking extensive outdoor field trials



Reconfigurable intelligent surfaces for wireless coverage enhancement



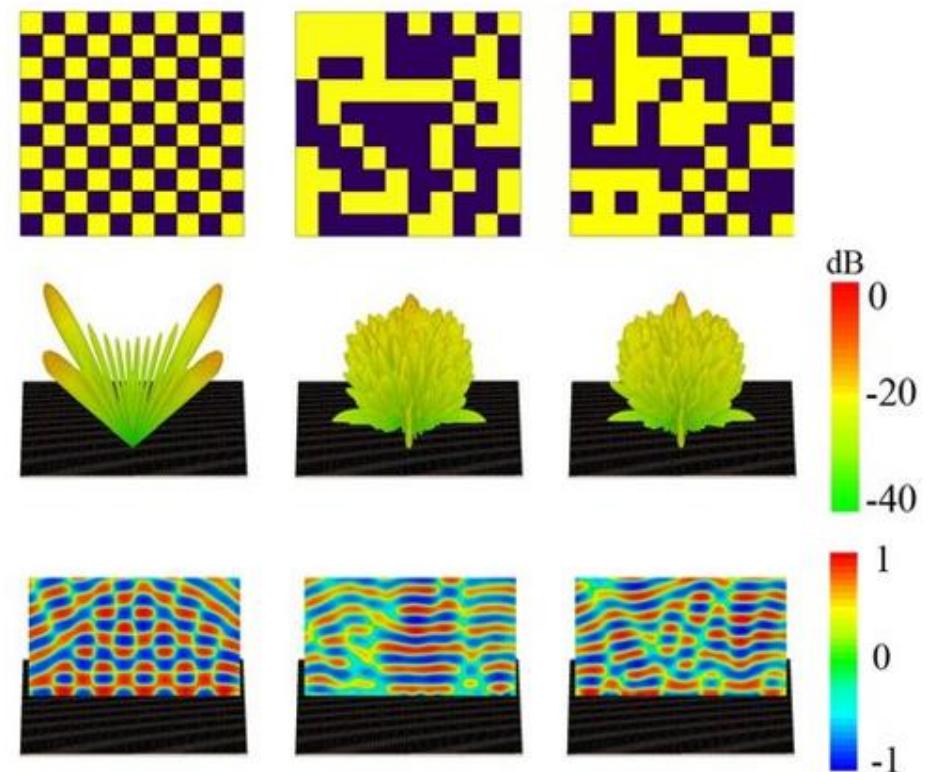
Digital metasurfaces

By spatially modulating the sheet impedance profile of a metasurface, we can couple incident waves into desired spatial harmonics

Ideally we want access to a continuous range of impedance values

Complexity is large for continuous tuning, especially if we desire full polarisation and azimuth/elevation control

Can utilise discrete sheet impedance steps to lower complexity at the expense of lower efficiency of coupling between spatial harmonics



Design goals

3.2 – 3.8 GHz operating band

>100 MHz instantaneous bandwidth

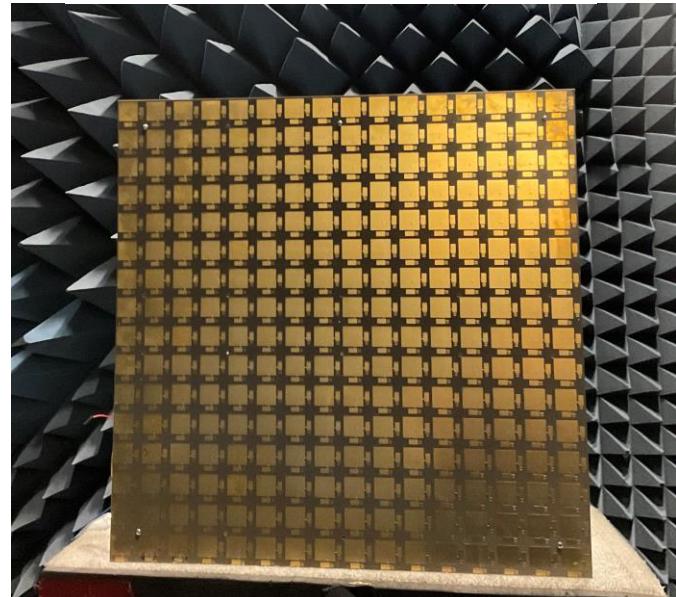
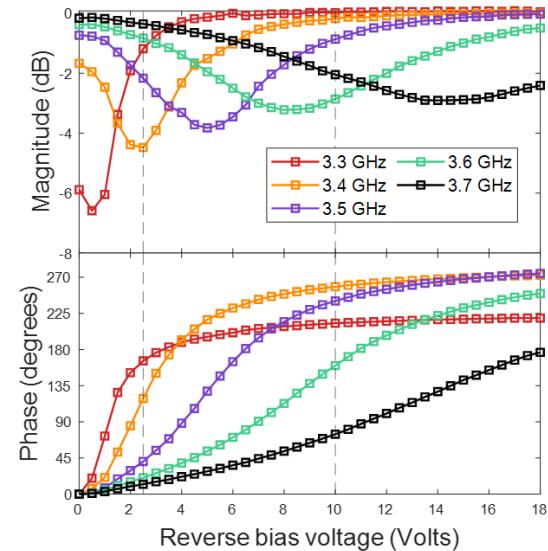
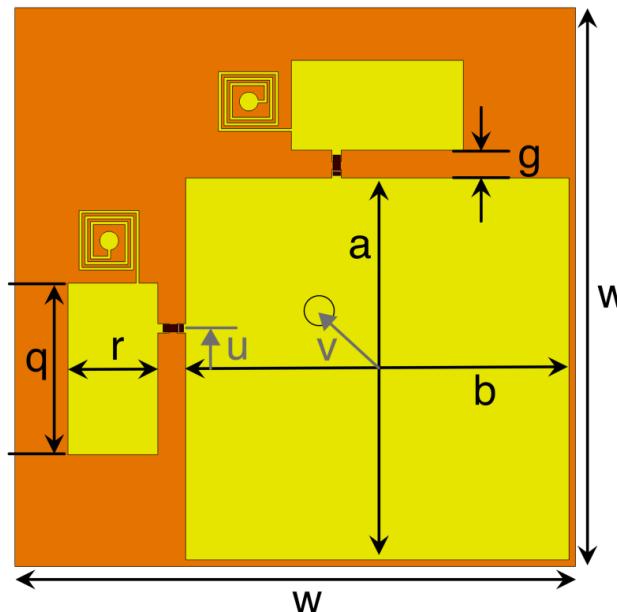
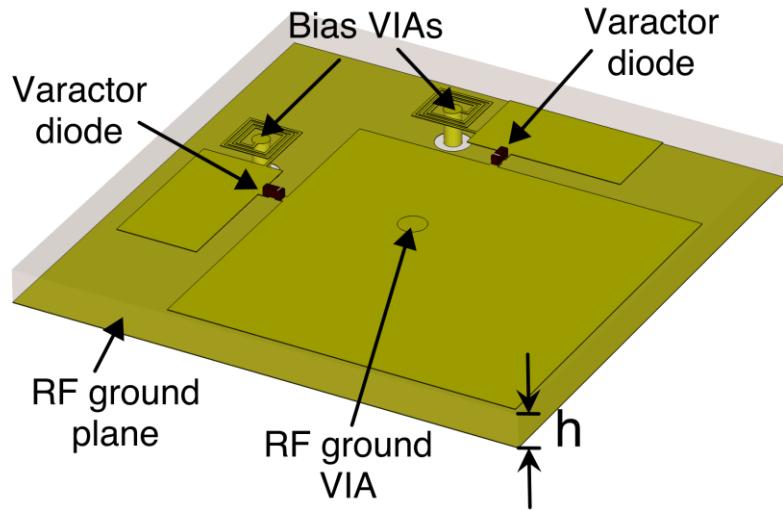
Band-tunable, 1-bit, dual-polarisation

Azimuth and elevation beam steering

Scalable design

Minimal number of components

<100 mW per 16 x 16 tile

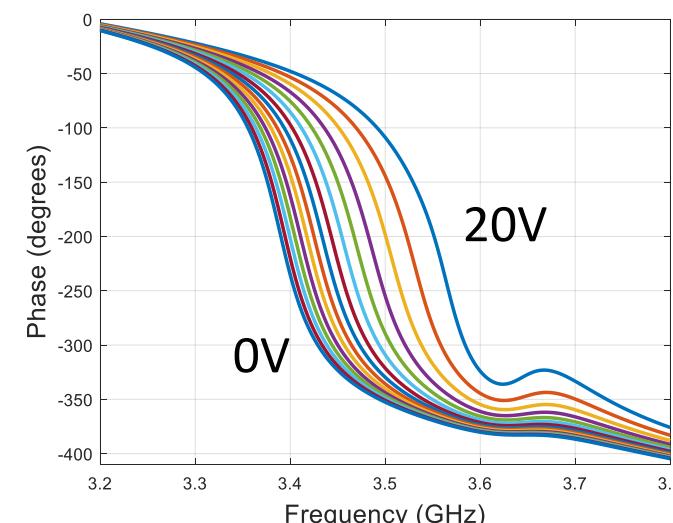
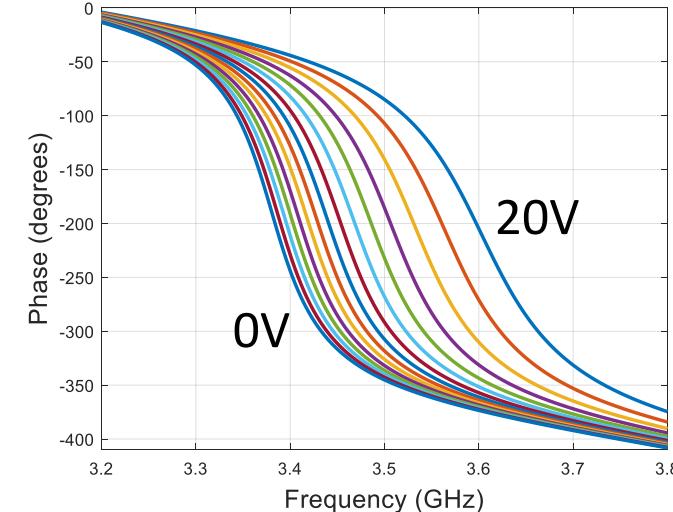
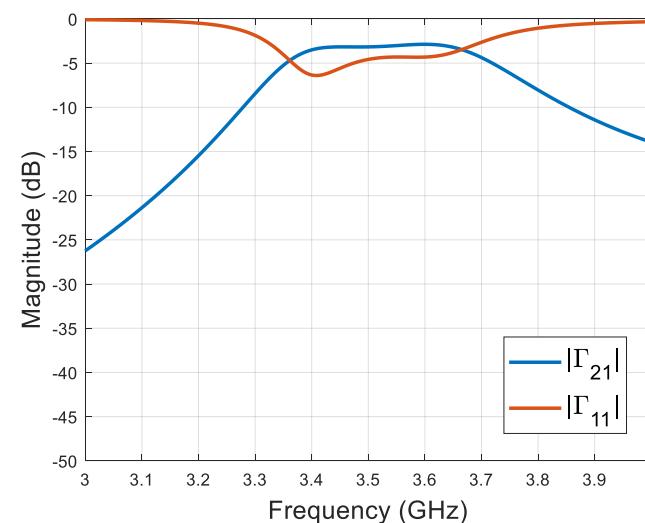
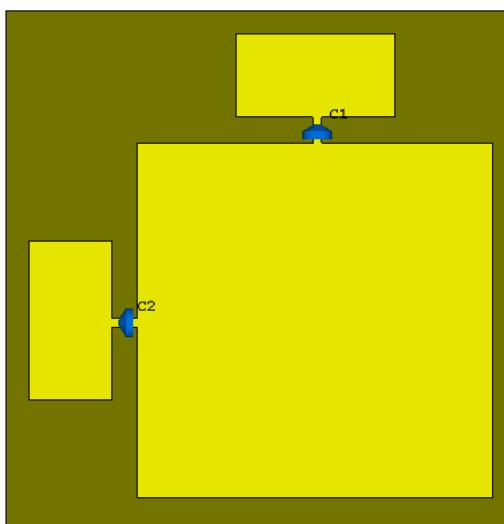
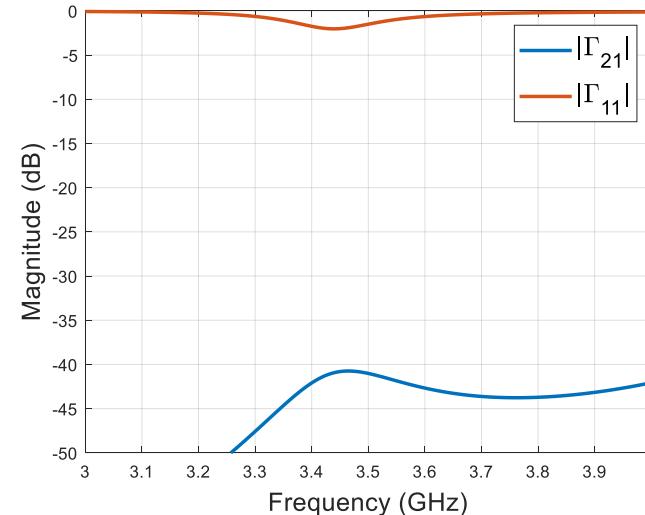
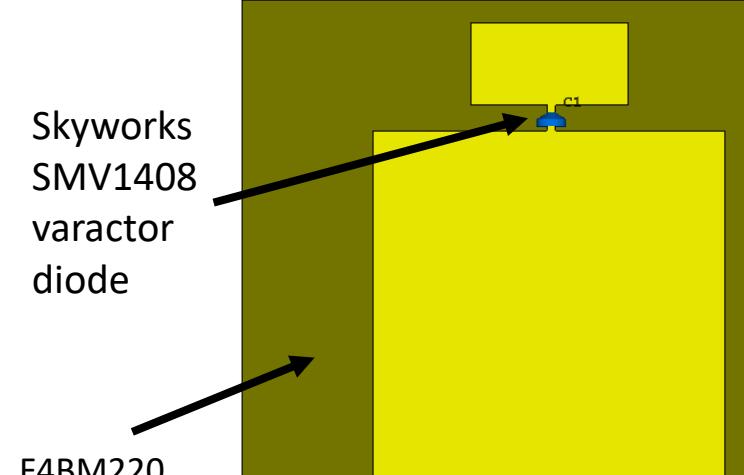


Fully-Addressable Varactor-Based Reflecting Metasurface with Dual-Linear Polarisation for Low Power RISs



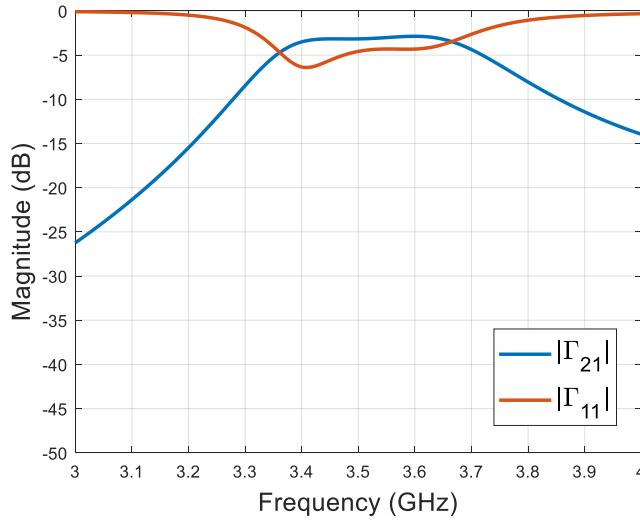
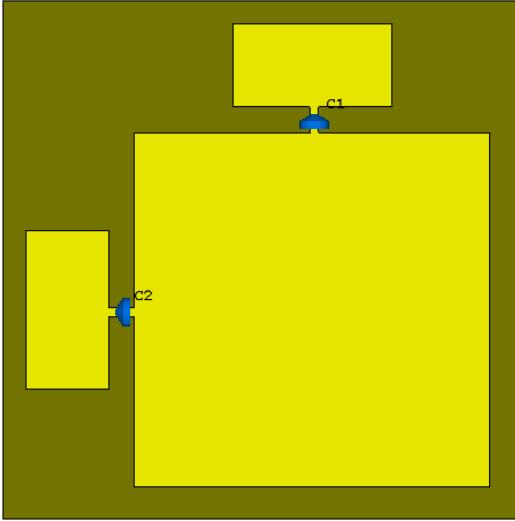
University
of Glasgow
CSI
Communication,
Sensing,& Imaging

Unit cell design evolution

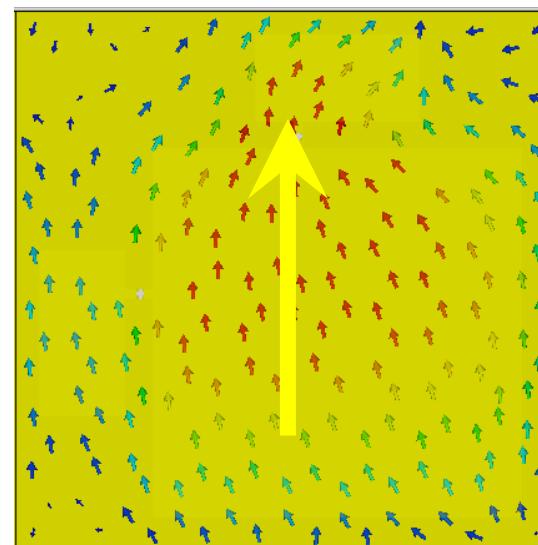
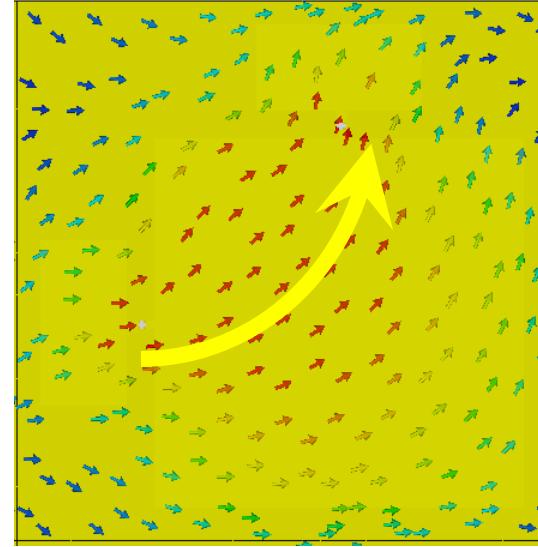
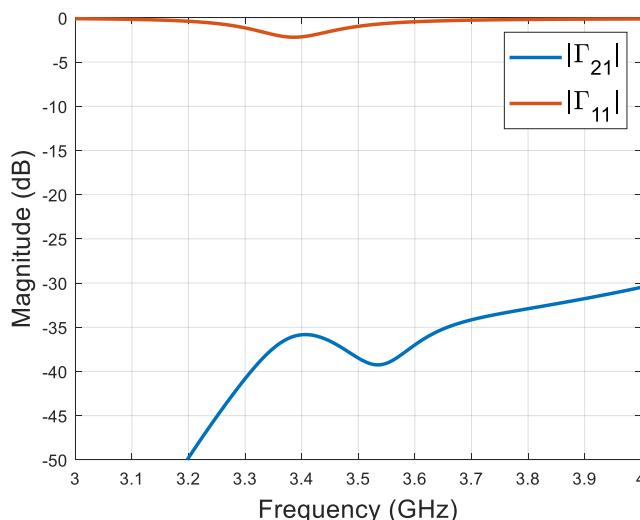
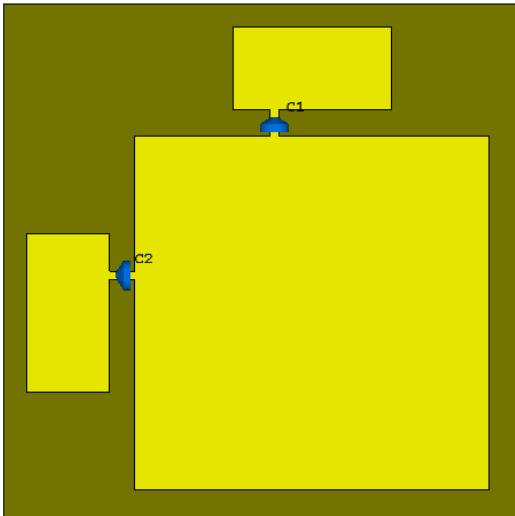


Current distribution for offset varactors

No offset



Offset



Optimisation procedure

$$\begin{bmatrix} S_{L11} & S_{L12} \\ S_{L21} & S_{L22} \end{bmatrix} = \mathbf{S}_A + \mathbf{S}_B \Gamma (\mathbf{I} - \mathbf{S}_D \Gamma)^{-1} \mathbf{S}_C$$

$\mathbf{S}_A = \begin{bmatrix} S_{11} & S_{12} \\ S_{21} & S_{22} \end{bmatrix}$ Floquet ports (orthogonally polarised, normal incidence)

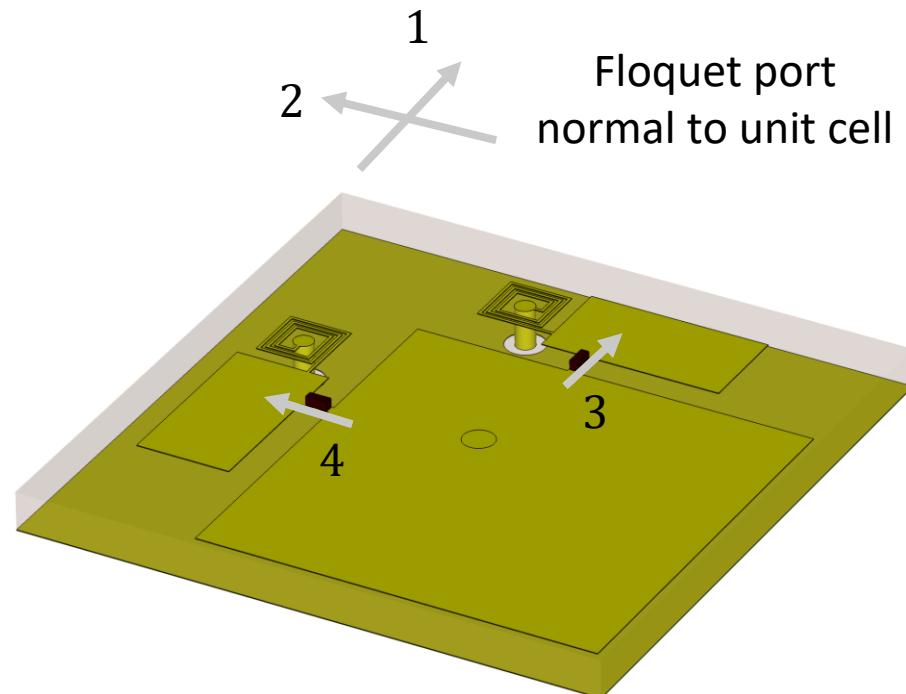
$\mathbf{S}_B = \begin{bmatrix} S_{13} & S_{14} \\ S_{23} & S_{24} \end{bmatrix}$ Floquet ports to varactor diode ports

$\mathbf{S}_C = \begin{bmatrix} S_{31} & S_{32} \\ S_{41} & S_{42} \end{bmatrix}$ Varactor diode ports to Floquet ports

$\mathbf{S}_D = \begin{bmatrix} S_{33} & S_{34} \\ S_{43} & S_{44} \end{bmatrix}$ Varactor diode ports to varactor diode ports

$\Gamma = \begin{bmatrix} \Gamma_1 & 0 \\ 0 & \Gamma_2 \end{bmatrix}$ Obtained by transforming two-port network .s2p files to series impedance and calculating reflection coefficients

Γ_1 to Γ_2 generated from S2P files of Skyworks SMV1408 varactor diodes at 0V to 20V



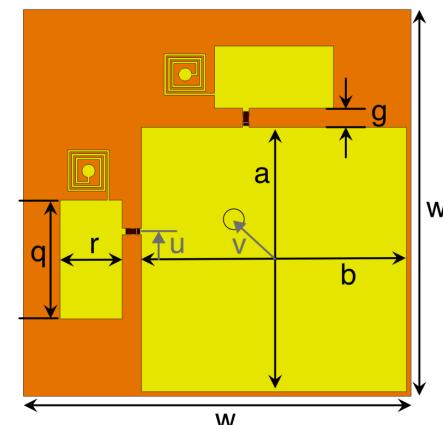
Constraints

Substrate thickness ≤ 2 mm

Periodicity $\leq 0.35\lambda_0$

Feasible patch geometry

Varactor voltage constraints



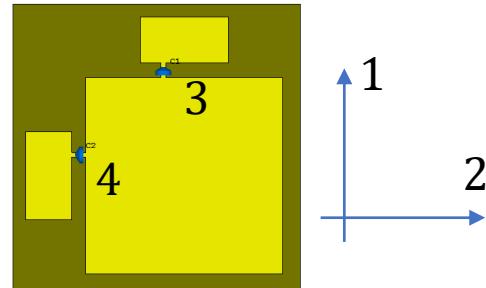
Optimisation procedure

Goals at 3.4, 3.5, 3.6 GHz:

Minimise reflection loss

Minimise phase error

Minimise average cross polarisation



Per particle cost derivation:

- Simulate unit cell (frequency domain solver, 3 frequency points – 3.4, 3.5, 3.6 GHz)
- Test set of capacitances and select C_1, C_2 pair with best phase error performance. Curve fitting performed on capacitance versus voltage of SMV1408:

$$C_1(V) = \beta_1 + \frac{\beta_2}{V} + \frac{\beta_3}{V^2} + \frac{\beta_4}{V^3}$$

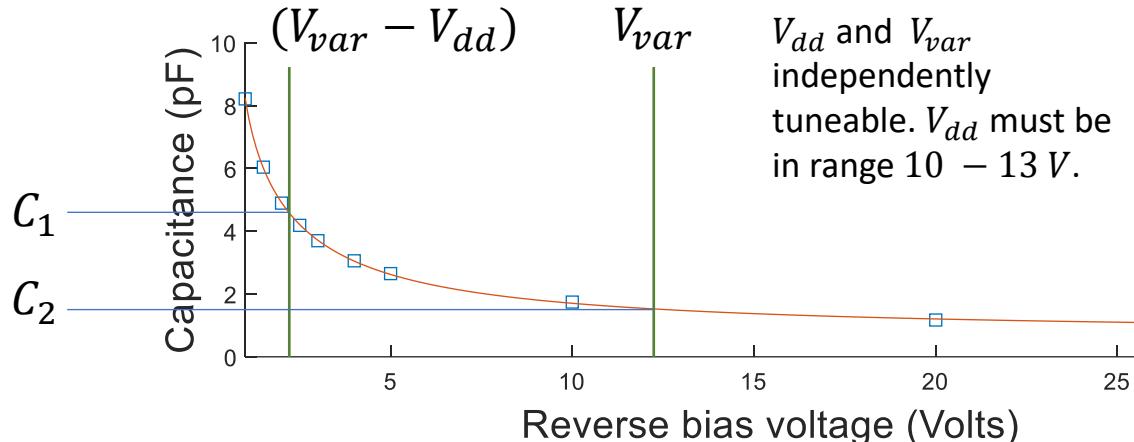
$$C_2(V) = C_1(V + V_{dd})$$

$$V_{dd} \approx 10 \text{ V}$$

- Calculate cost for selected capacitance pair:

$$f_{cost} = \alpha_1 \left[1 - \overline{S_{L11,22}(C_1, C_2)} \right] + \alpha_2 \overline{S_{L21}(C_1, C_2)} + \alpha_3 \epsilon(C_1, C_2)$$

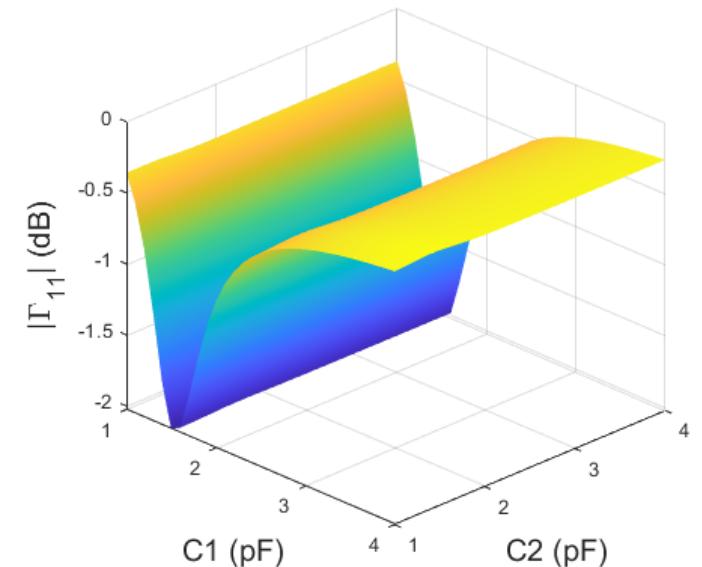
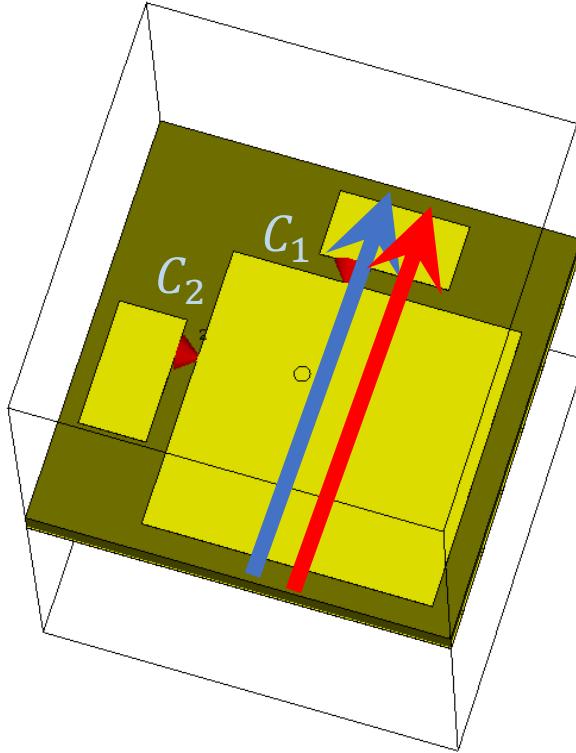
$$\epsilon(C_1, C_2) = \pi - |\phi(C_2) - \phi(C_1)|$$



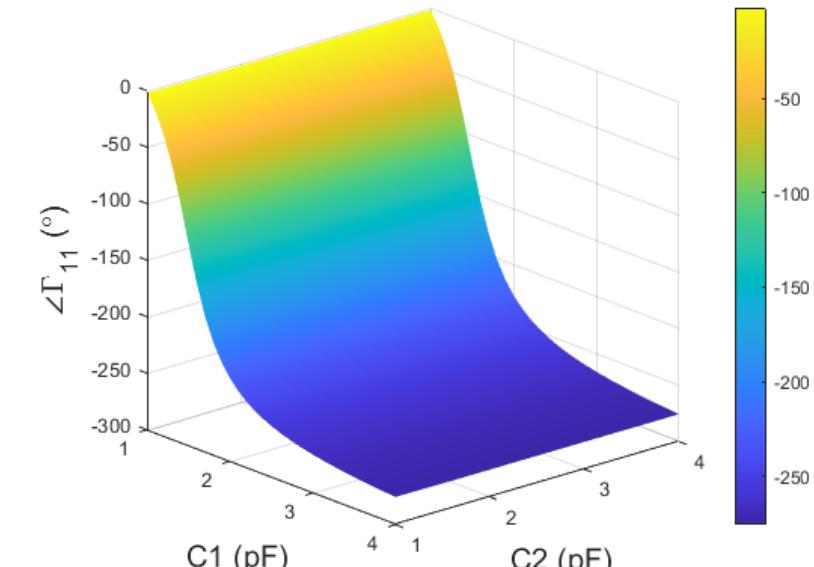
$$\begin{bmatrix} S_{L11} & S_{L12} \\ S_{L21} & S_{L22} \end{bmatrix} = \mathbf{S}_A + \mathbf{S}_B \boldsymbol{\Gamma} (\mathbf{I} - \mathbf{S}_D \boldsymbol{\Gamma})^{-1} \mathbf{S}_C$$

$\boldsymbol{\Gamma}$ diagonal 2×2 matrix of reflection coefficients of shorted varactor diodes

Optimisation results: co-polar magnitude and phase at 3.5 GHz



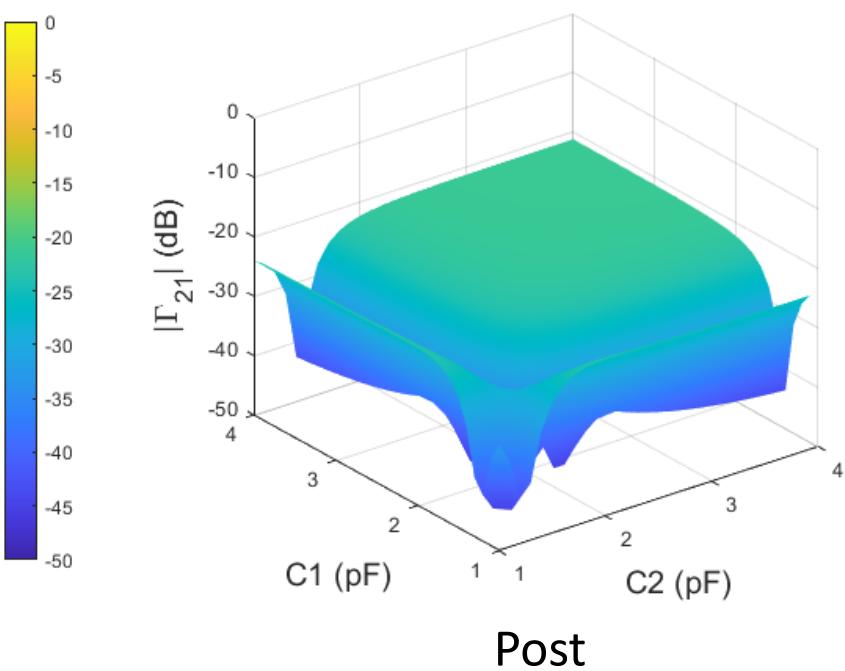
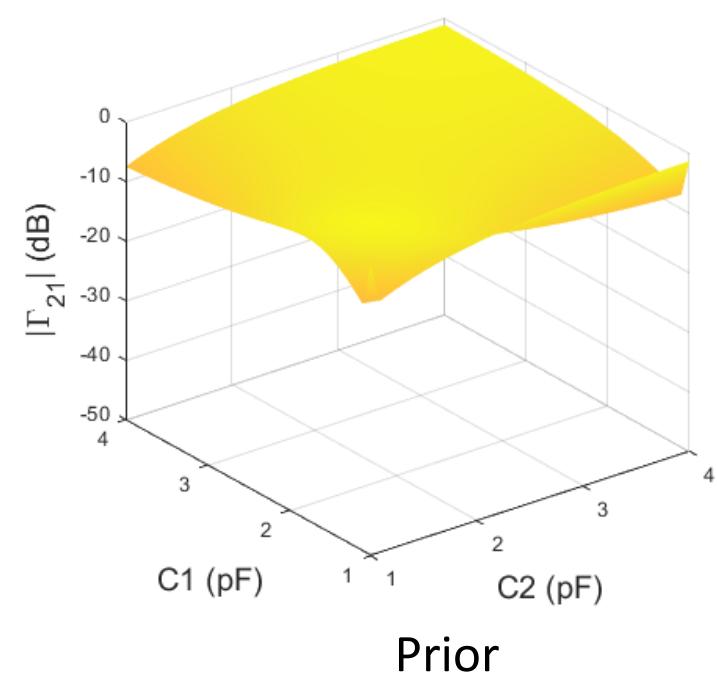
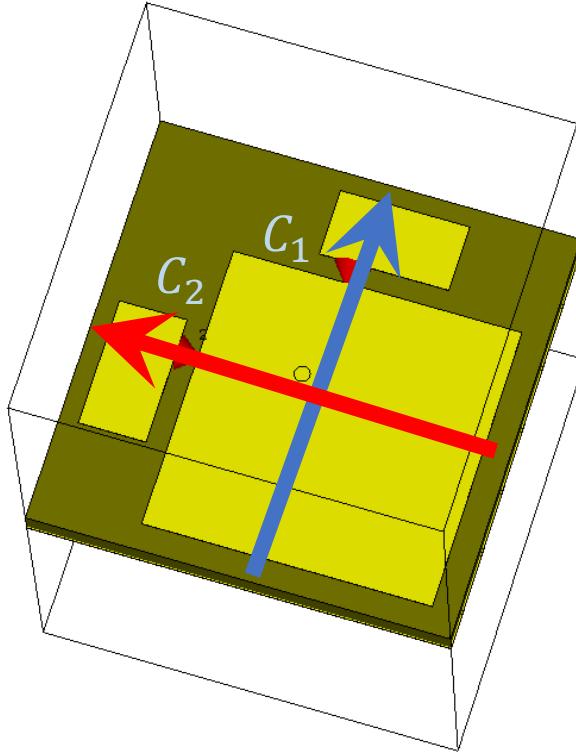
Magnitude



Phase

No discernible change in co-polar reflection response with variation in cross-polar varactor capacitance

Optimisation results: cross-polar magnitude at 3.5 GHz



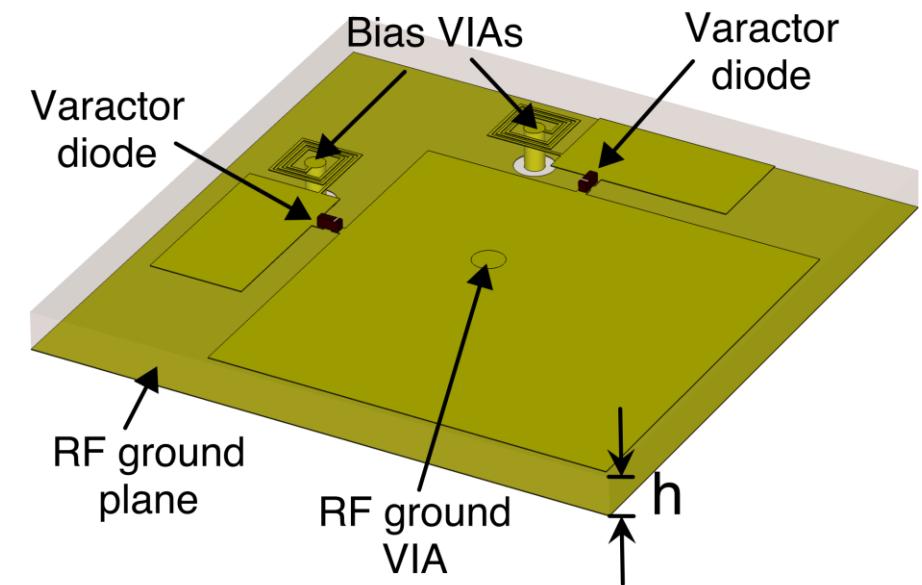
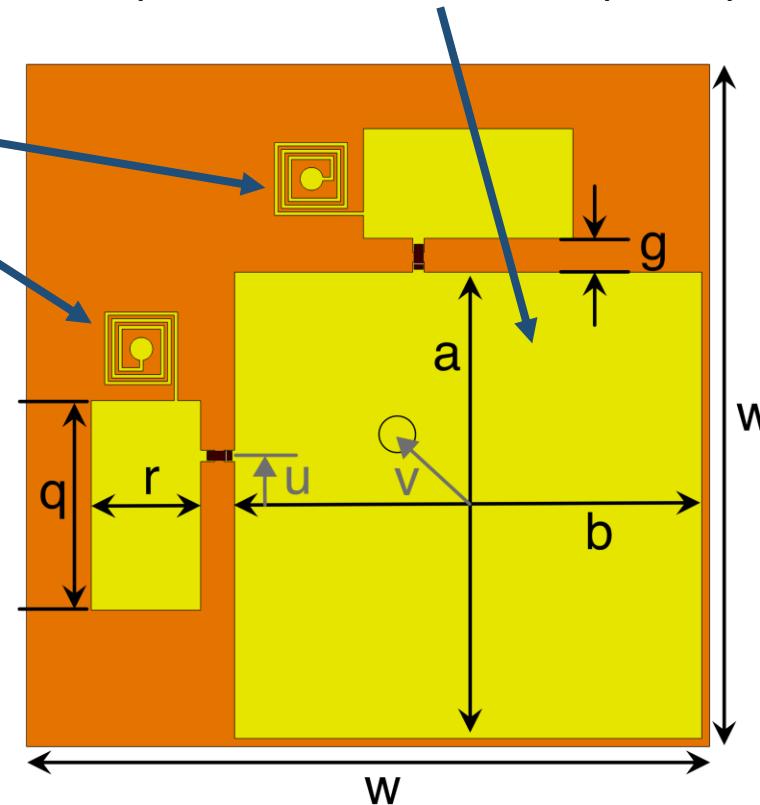
Cross-polar isolation of 30-40 dB can be achieved for subset of varactor capacitances. Minimum 21 dB.

Biasing network

Parasitic patches connected
to control circuit through
spiral inductors

Optimised separately in
Microwave Office

Second voltage applied to RF ground
plane connected to square patch



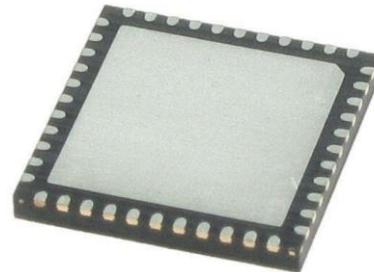
Configuration circuitry

Problem: varactors require higher voltages than conventional digital circuitry typically provides – high volume of circuitry!

Consumes virtually no current in the tuning elements

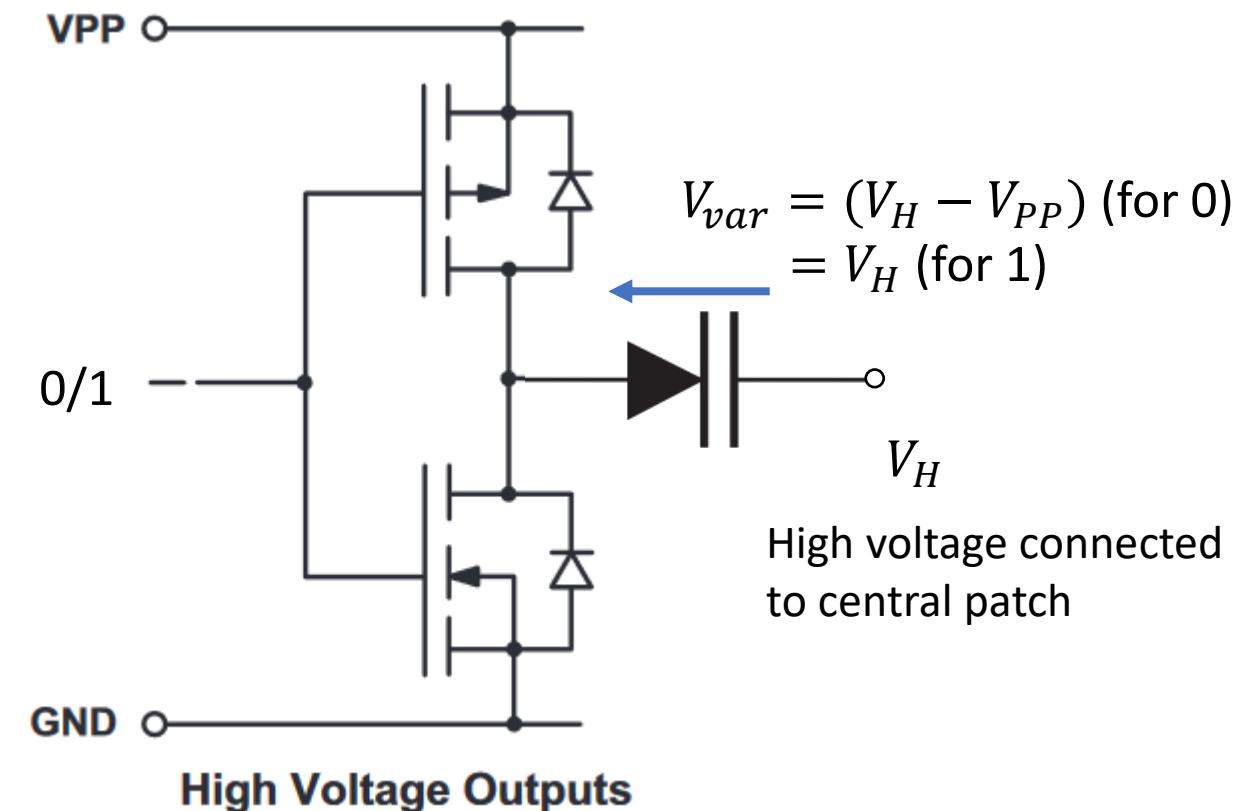
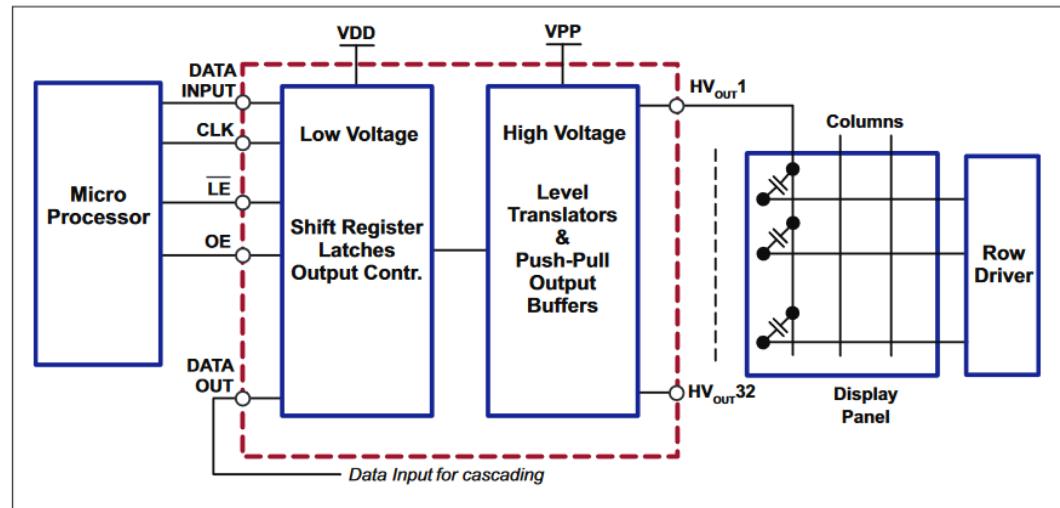


Solution: HV5308 high voltage serial to parallel converter



32 channels per IC – serving independent polarisations of 16 unit cells

Typical Application Circuit



Configuration circuitry

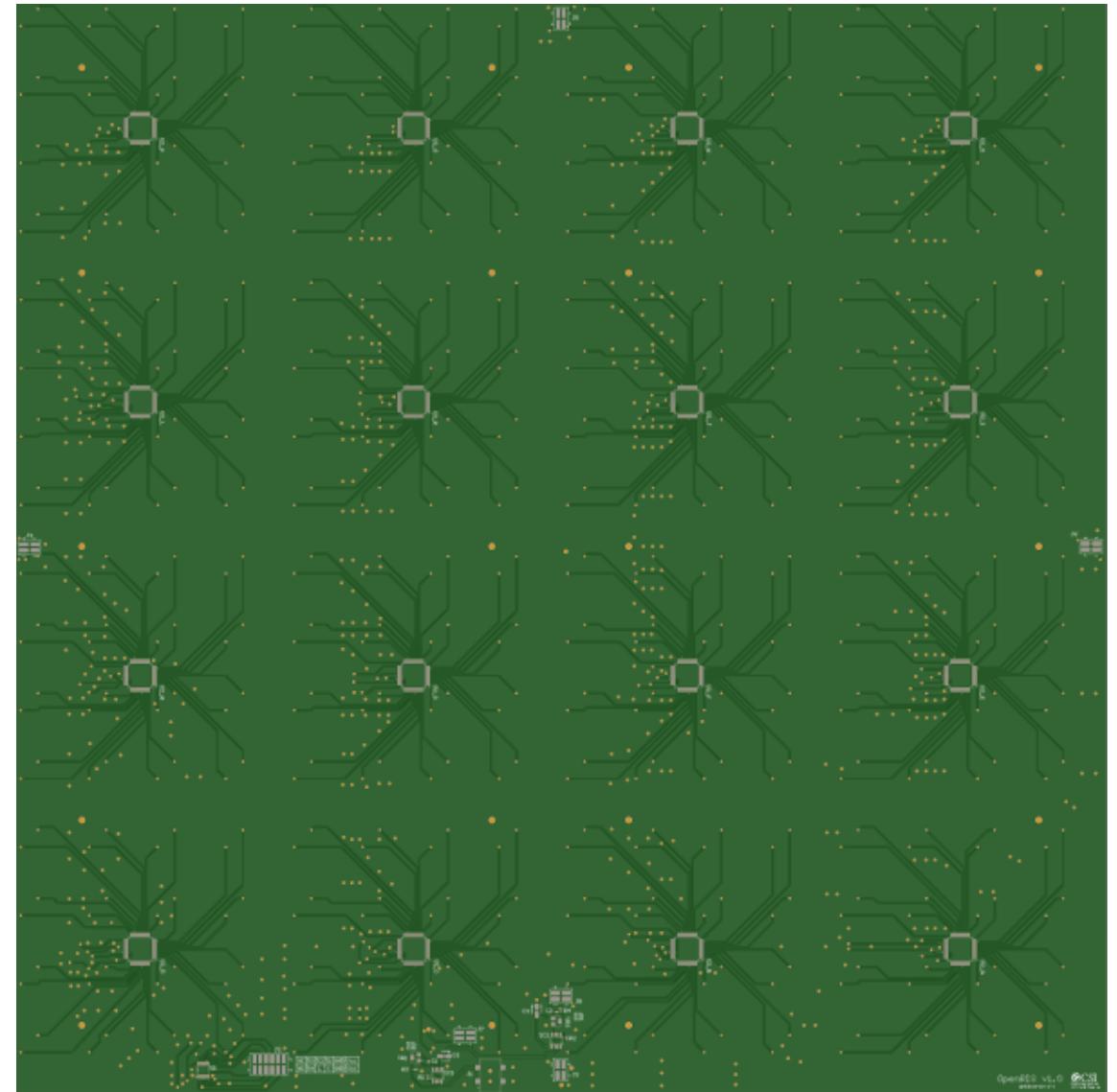
16 x 32-channel high voltage shift registers in push-pull configuration.

Two independent control voltages for each unit cell

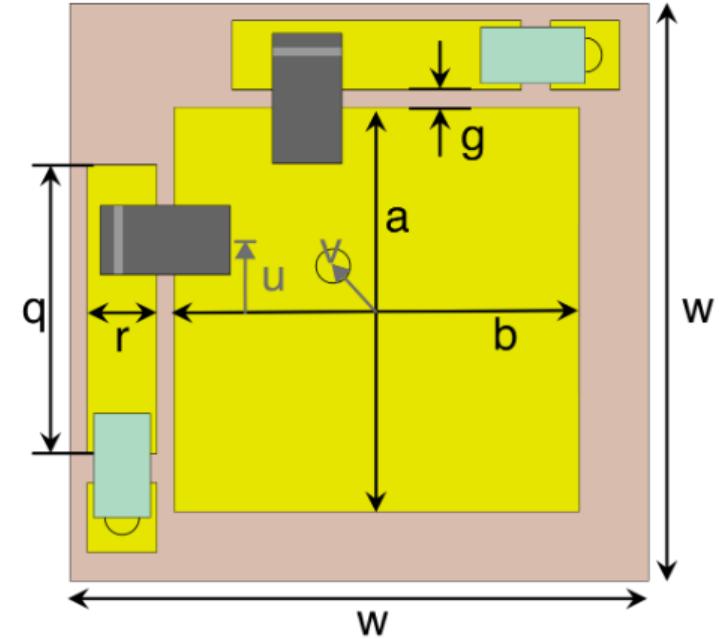
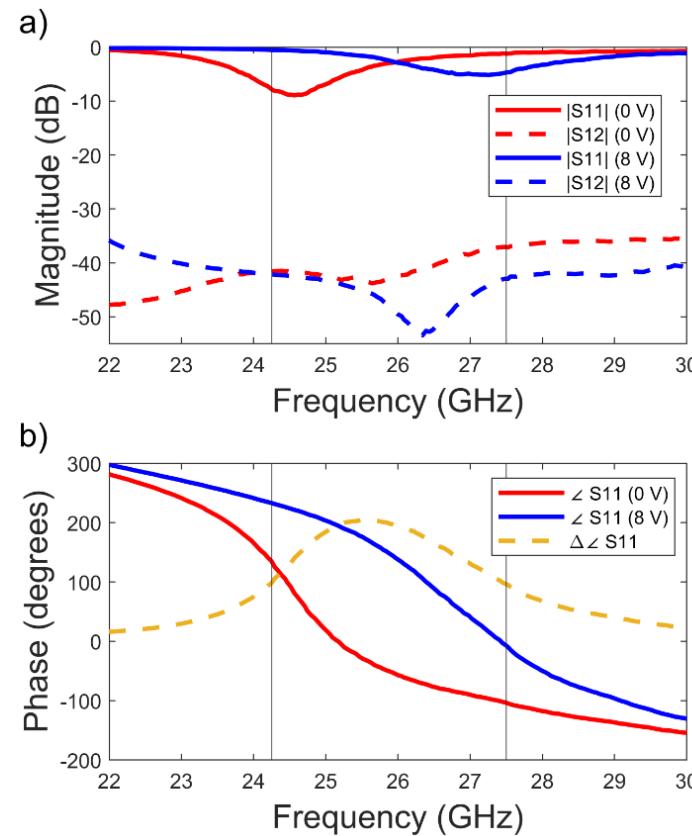
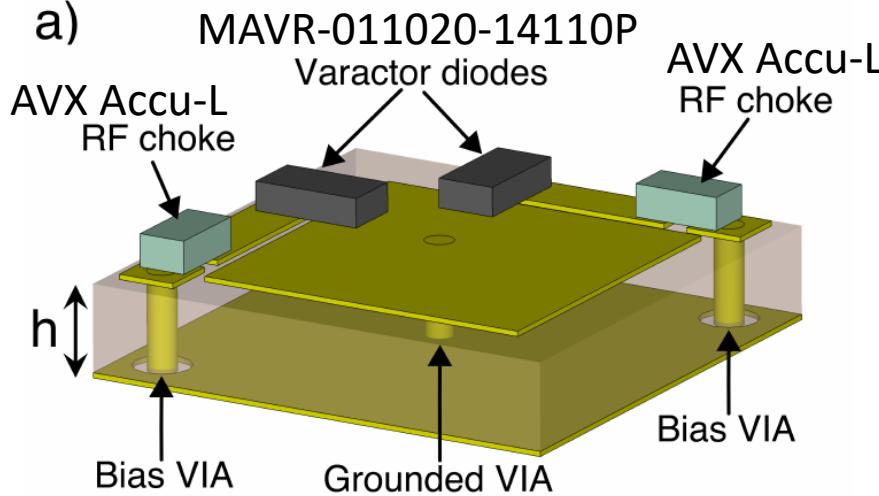
Digital control via a serial interface. Compatible with any off-the-shelf microcontroller system.

Operating band set by 2 voltage levels determined by ultra-low power voltage regulators.

Each 256-element tile consumes less than 40 mW

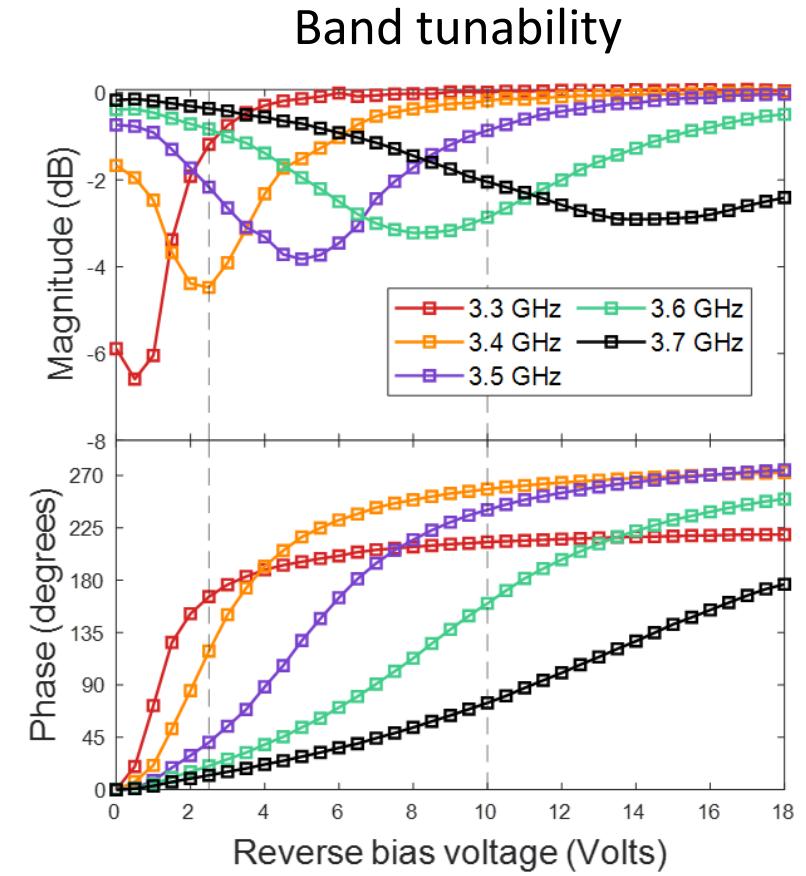
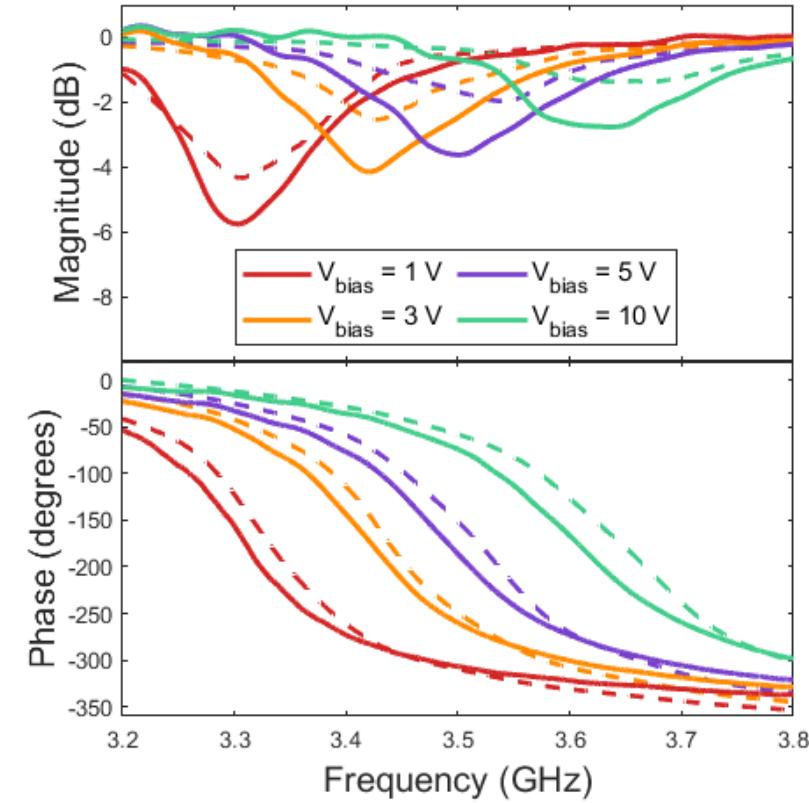
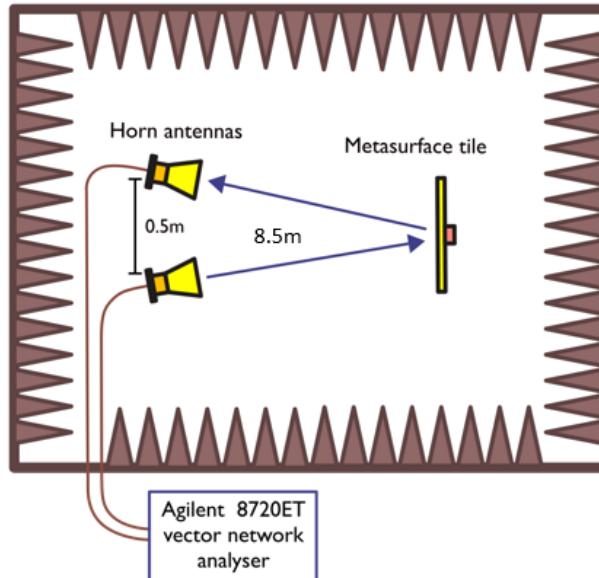
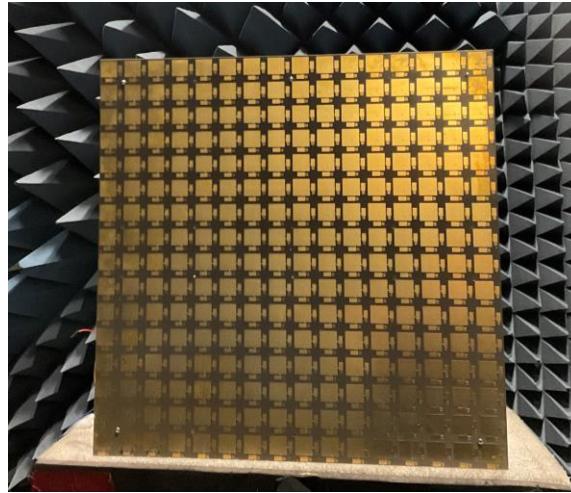


Scalability: design for European mmWave 5G band 24.25 - 27.5 GHz



| Parameter | Length (mm) | Parameter | Length (mm) |
|-----------|-------------|-----------|-------------|
| w | 3.1 | h | 0.6 |
| g | 0.1 | a, b | 2.2, 2.2 |
| q, r | 1.6, 0.4 | u, v | 0.4, 0.25 |

Global reflection measurements



Far-field to far-field beamsteering performance

Configurations generated from a numerical approximation:

$$P_r = P_t \frac{G_t G_r d_x d_y \lambda^2}{64\pi^3}$$

$$\times \left| \sum_{m=1-\frac{M}{2}}^{M/2} \sum_{n=1-\frac{N}{2}}^{N/2} \frac{\sqrt{F_{n,m}^{\text{combine}}} \Gamma_{n,m}}{r_{n,m}^t r_{n,m}^r} e^{-j \frac{2\pi}{\lambda} (r_{n,m}^t + r_{n,m}^r)} \right|^2$$

N = number of rows

M = number of columns

$r_{n,m}^q$ = distance between transmitter/receiver and element (n,m)

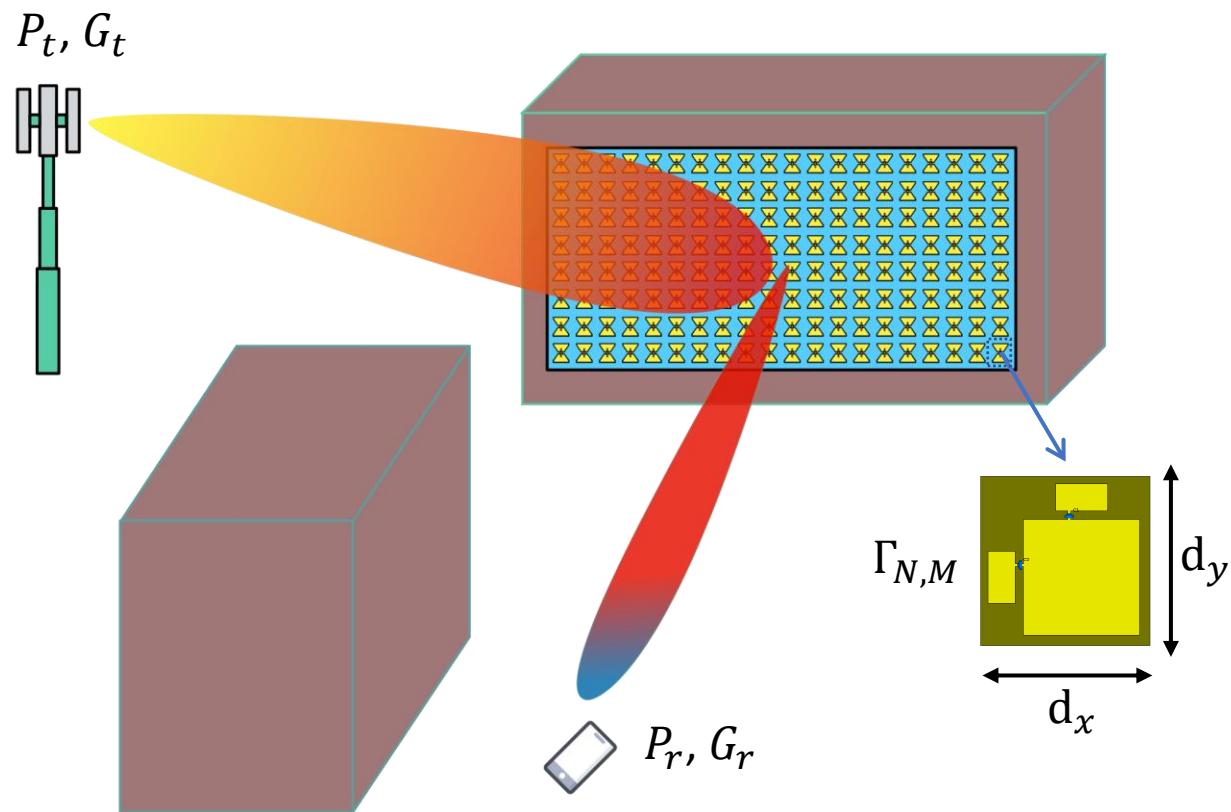
G_q = transmitter/receiver gain

G = unit cell gain

$F_{n,m}^{\text{combine}}$ = factor taking into account normalised transmitter, receiver, and unit cell radiation patterns

d_x and d_y = unit cell transverse dimensions

$\Gamma_{n,m}$ = reflection coefficient of unit cell (n,m)



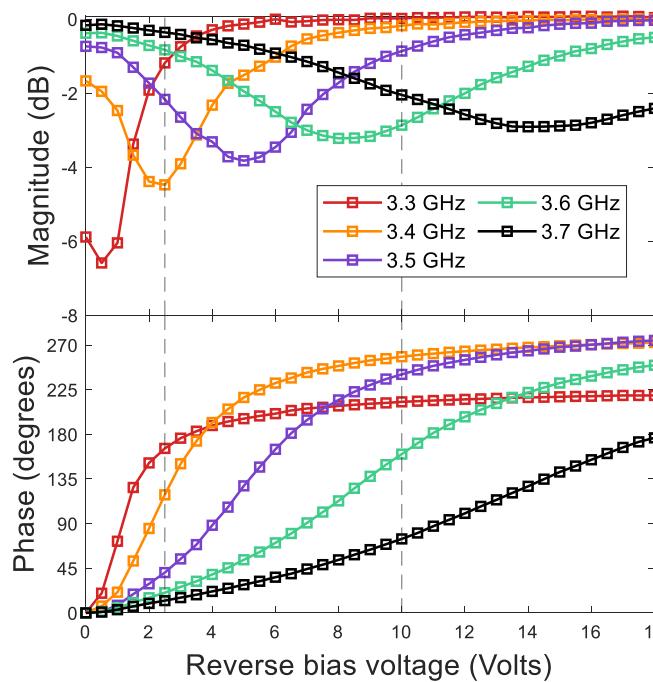
V. D. Esposti et al., Reradiation and Scattering from a Reconfigurable Intelligent Surface: A General Macroscopic Model, *IEEE Transactions on Antennas and Propagation*, 2022

W. Tang et al., Wireless Communications With Reconfigurable Intelligent Surface: Path Loss Modeling and Experimental Measurement, *IEEE Transactions on Wireless Communications*, 2021

Beam pattern measurements

Configurations selected via:

Γ selected from measured
global reflection coefficients:



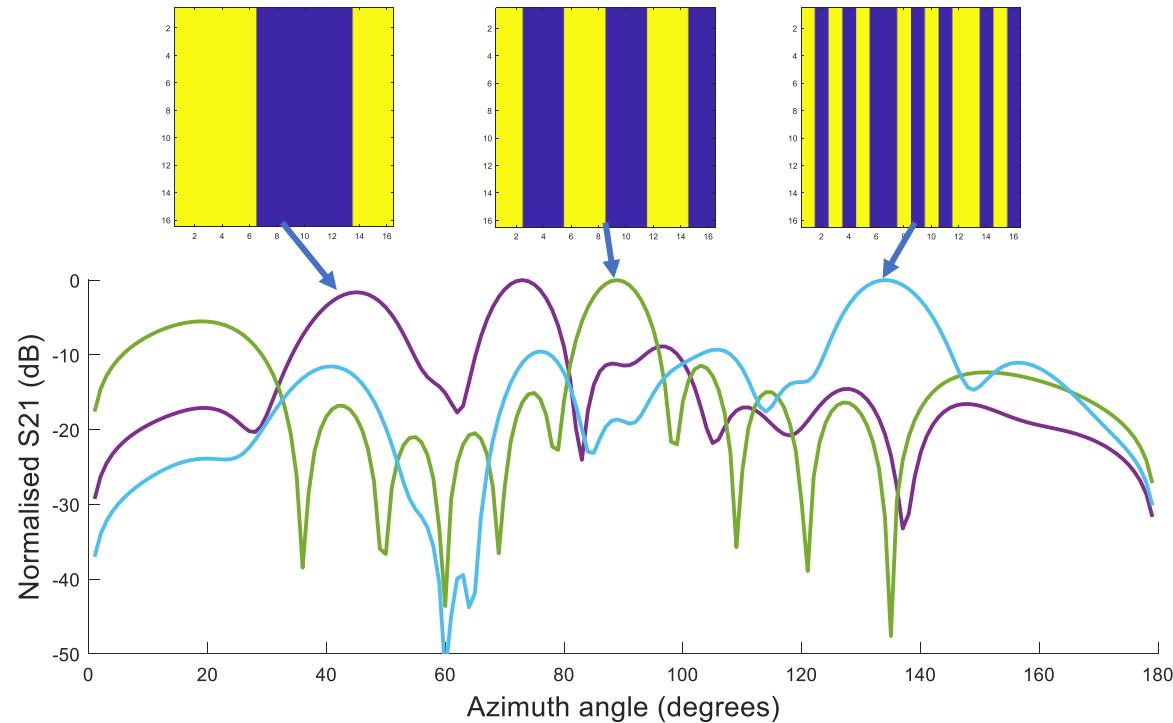
$$P_r = P_t \frac{G_t G_r d_x d_y \lambda^2}{64\pi^3} \times \left| \sum_{m=1-\frac{M}{2}}^{M/2} \sum_{n=1-\frac{N}{2}}^{N/2} \frac{\sqrt{F_{n,m}^{combine}} \Gamma_{n,m}}{r_{n,m}^t r_{n,m}^r} e^{-j \frac{2\pi}{\lambda} (r_{n,m}^t + r_{n,m}^r)} \right|^2$$

[10.1109/TAP.2022.3149660](https://doi.org/10.1109/TAP.2022.3149660)
[10.1109/TAP.2022.3216555](https://doi.org/10.1109/TAP.2022.3216555)

16 x 16 unit cells
(0.48 m x 0.48 m)

Tx-RIS, Rx-RIS
100m

$\phi_{tx} = 120^\circ$



Approximated reradiation
patterns of single RIS tile

1-bit far-field to far-field will often result in a split beam due to pattern symmetry

Fully-Addressable Varactor-Based Reflecting Metasurface with Dual-Linear Polarisation for Low Power RISs



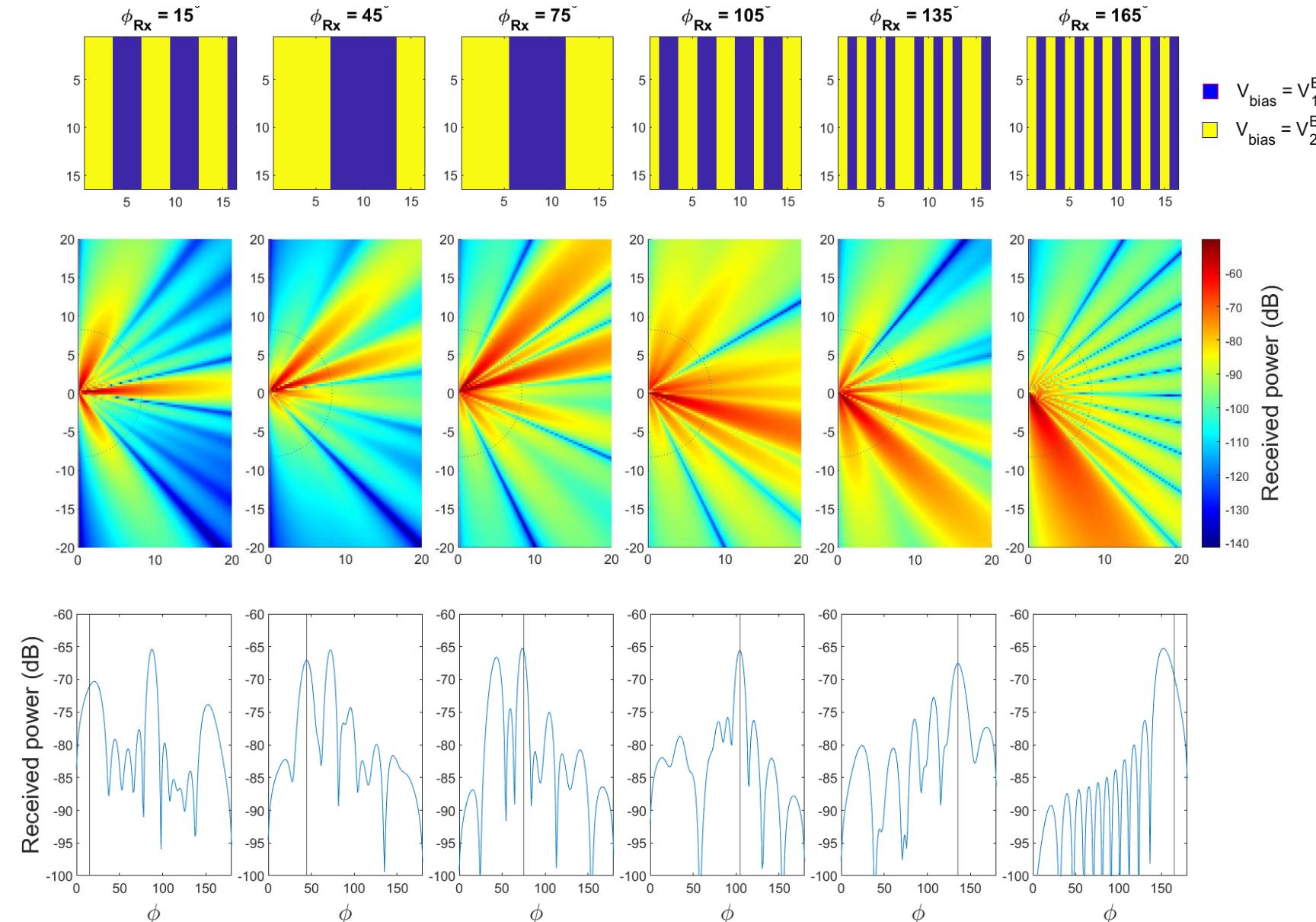
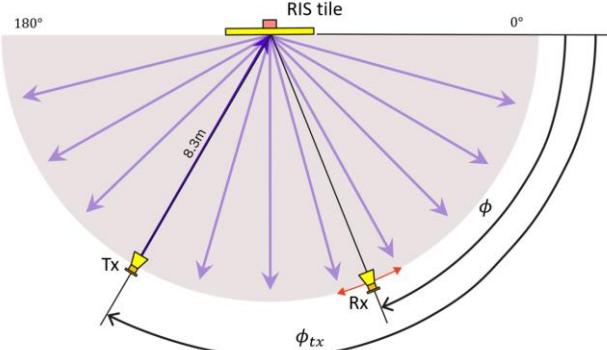
University
of Glasgow

CSI
Communication,
Sensing,& Imaging

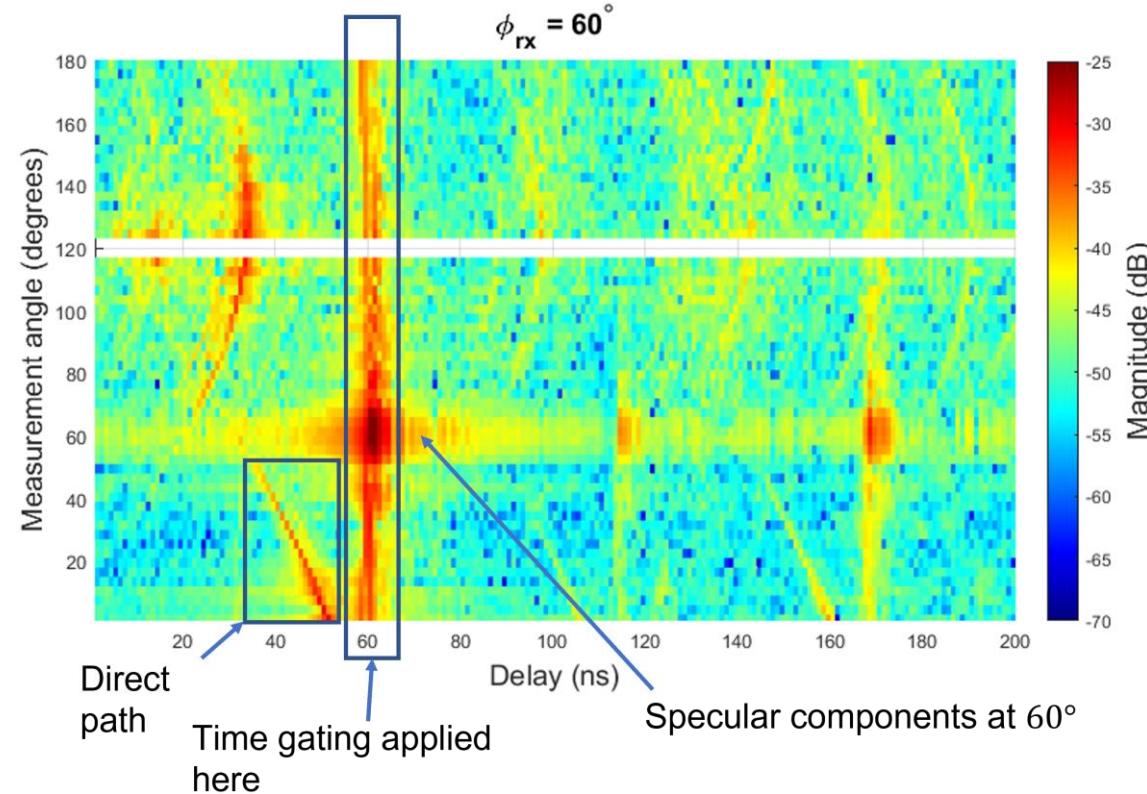
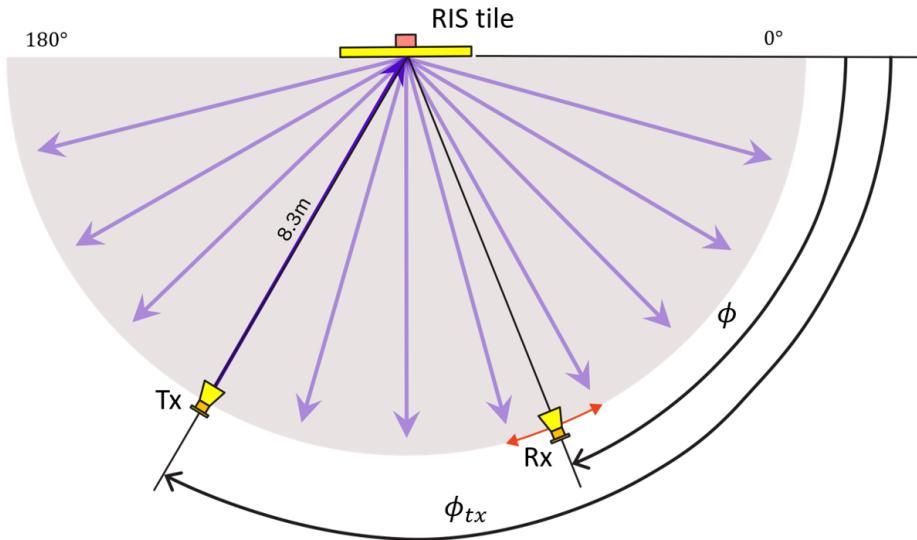
Codebook

Set of configurations to cover
half-space at 15° steps

$$\phi_{tx} = 120^\circ$$



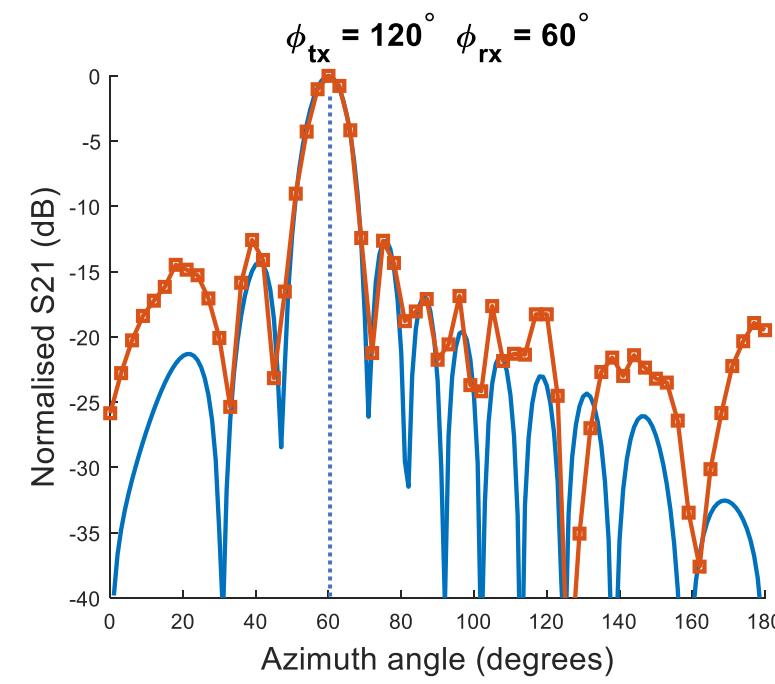
Measurement setup



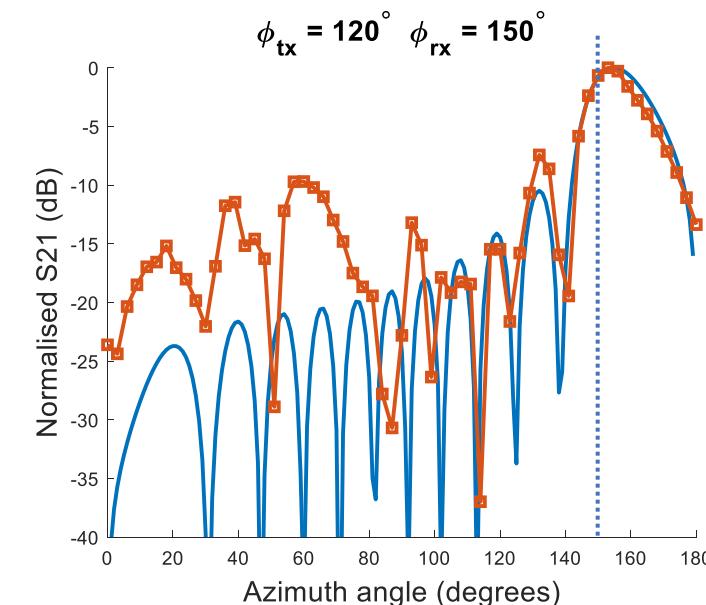
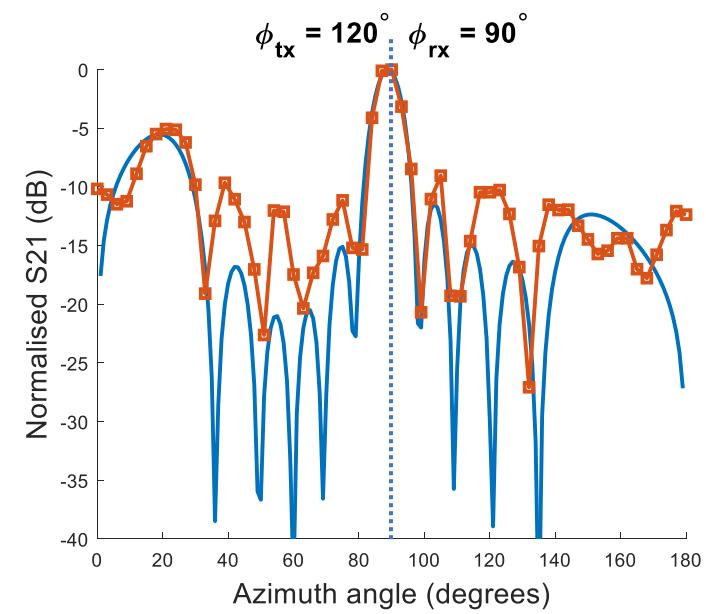
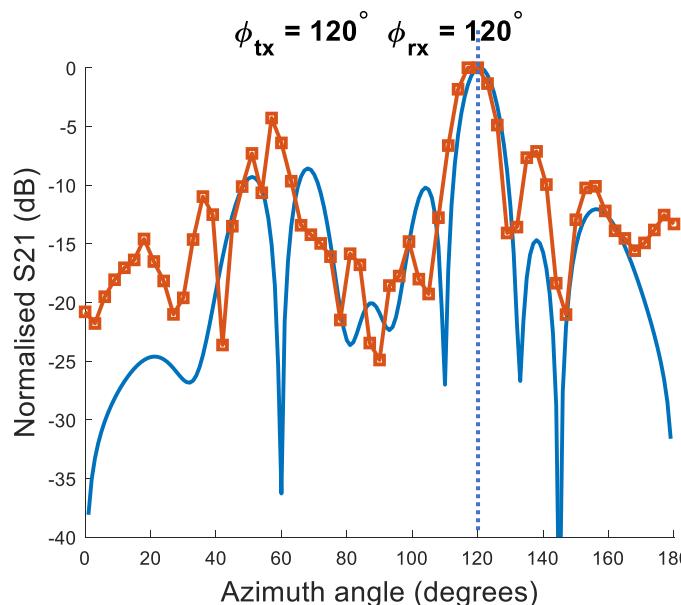
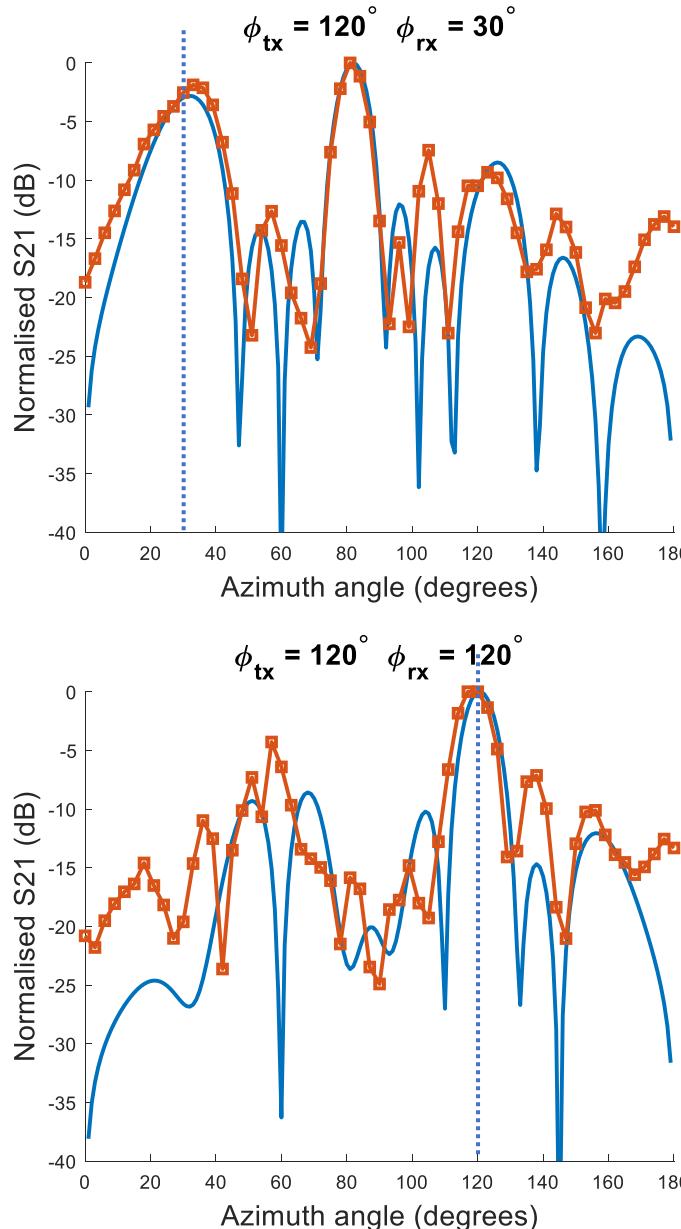
- Standard gain ridged horn antennas
- Keysight PNA vector network analyser
- Calibration plane at antenna ports
- Time gating applied to reduce multipath effects

Fully-Addressable Varactor-Based Reflecting Metasurface with Dual-Linear Polarisation for Low Power RISs

Results

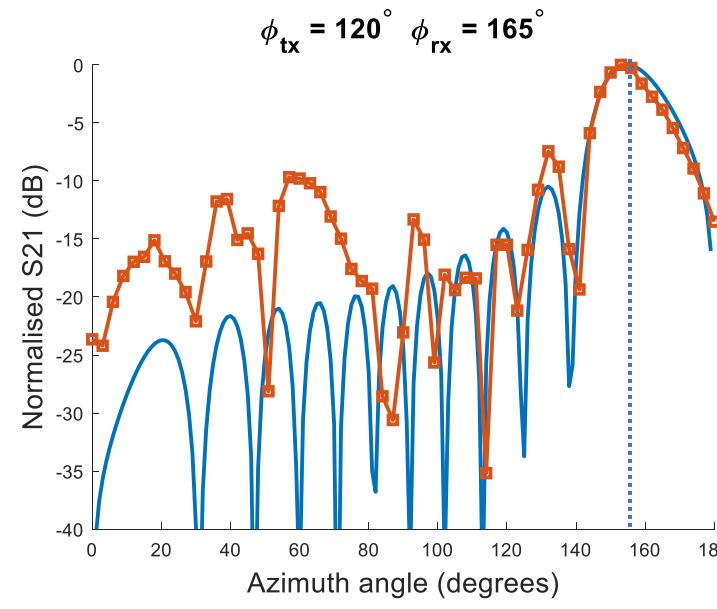
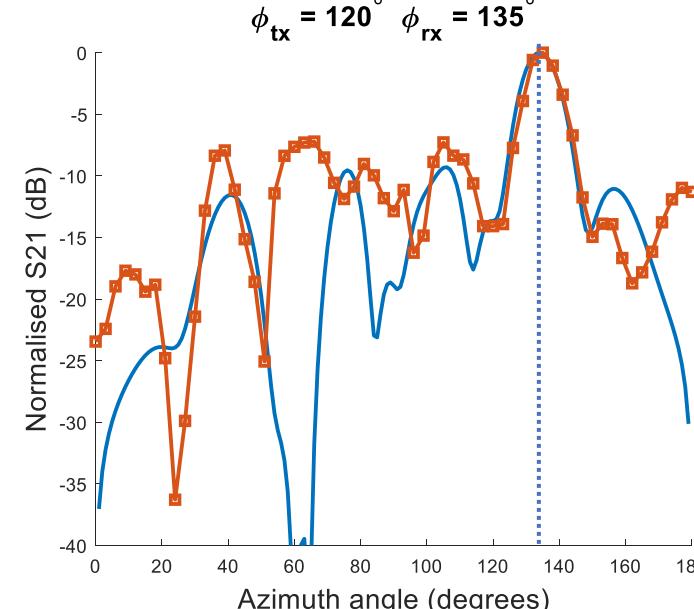
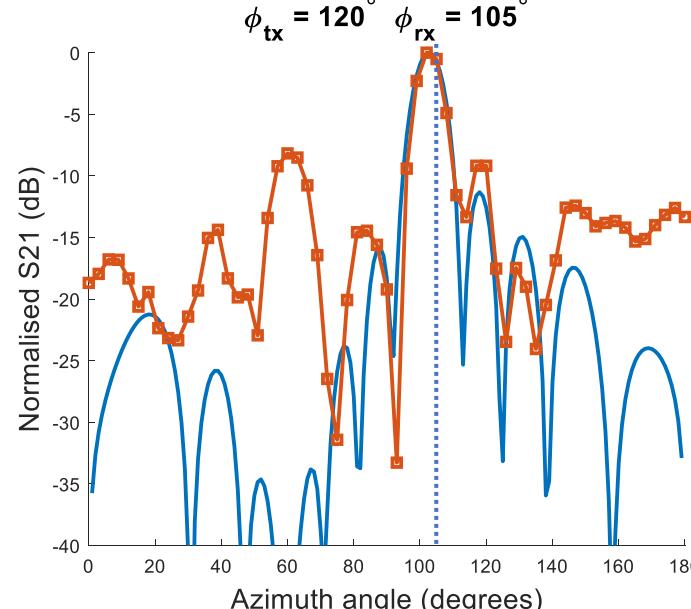
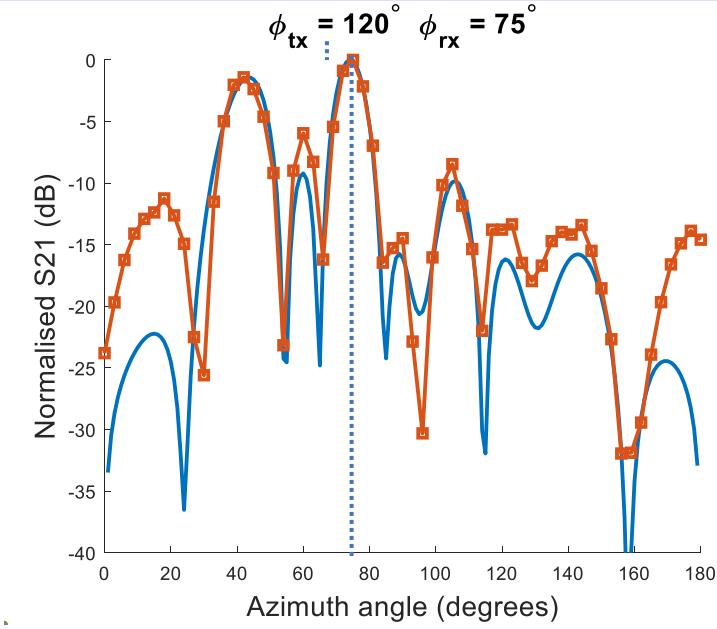
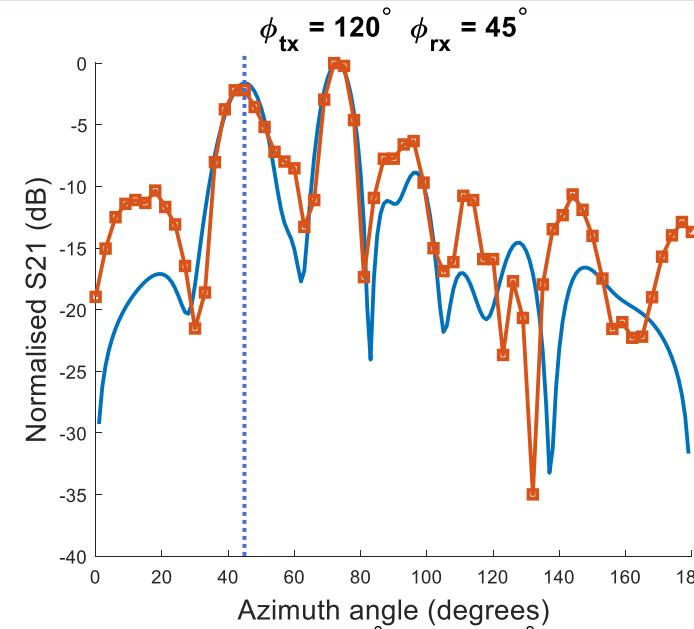
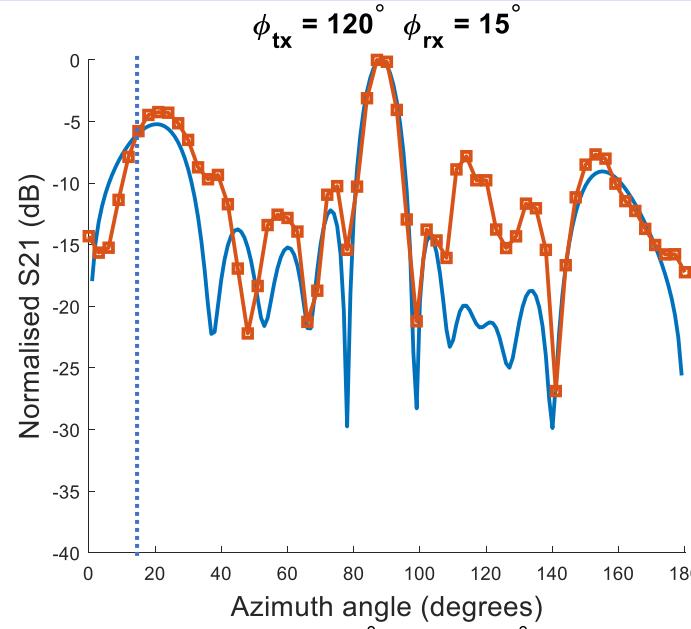


Specular reflection



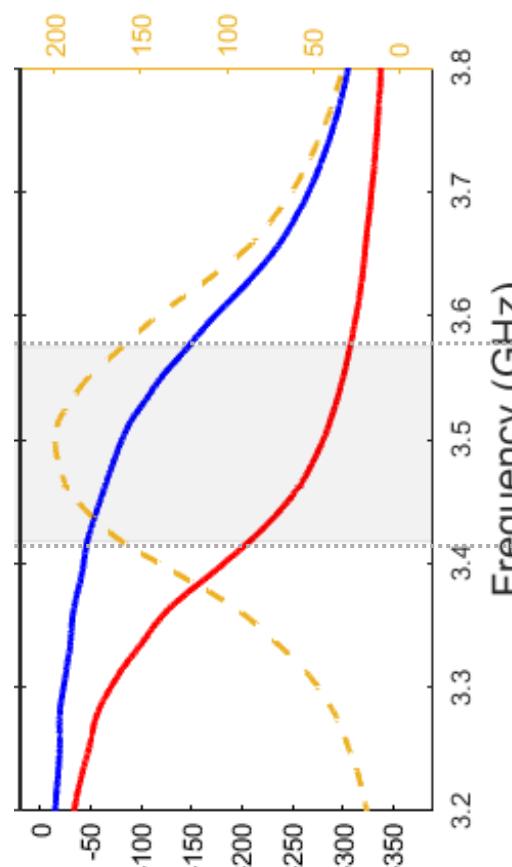
Fully-Addressable Varactor-Based Reflecting Metasurface with Dual-Linear Polarisation for Low Power RISs

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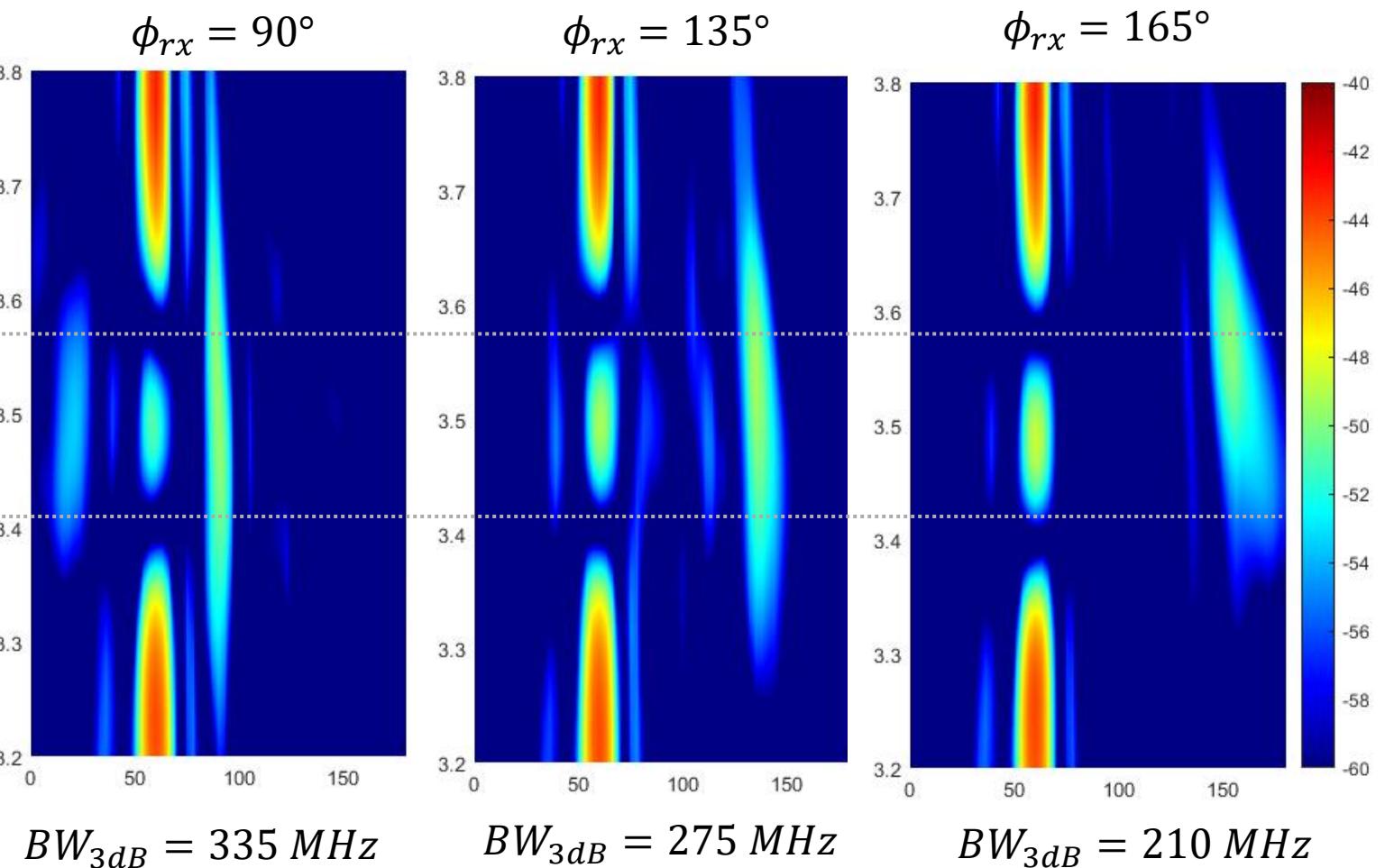


Instantaneous bandwidth

Operational voltages selected for maximum 1-bit bandwidth

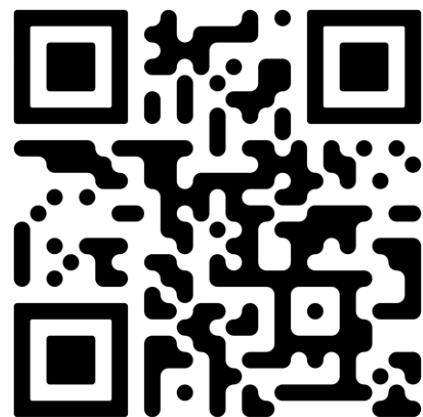
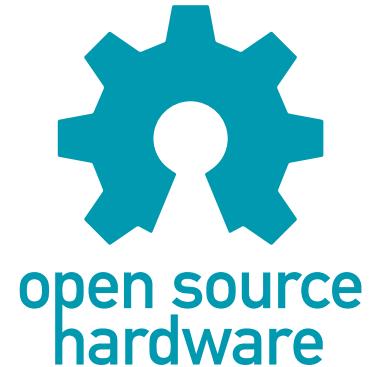


Received power versus frequency versus azimuth angle for $\phi_{tx} = 120^\circ$



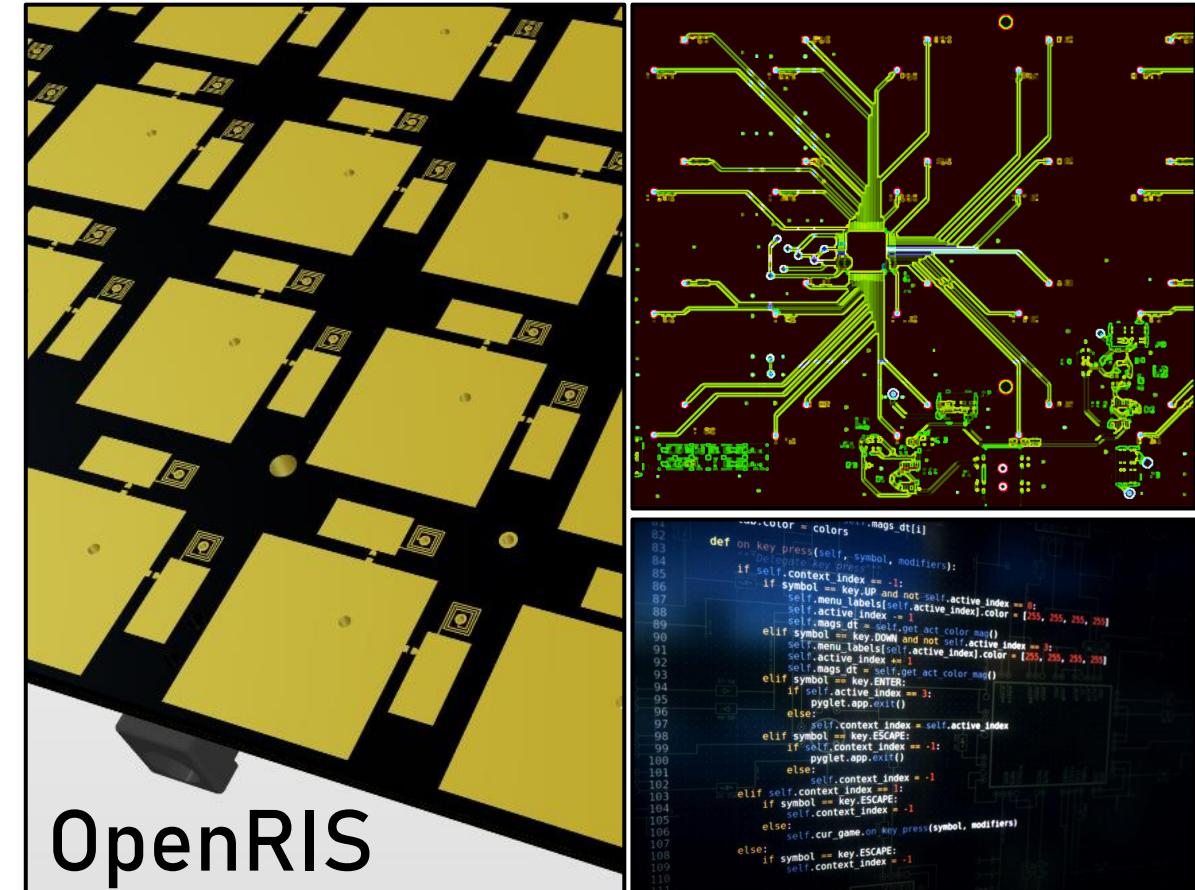
Build your own RIS

Documentation, schematics,
measurement data, and code
all available on GitHub.

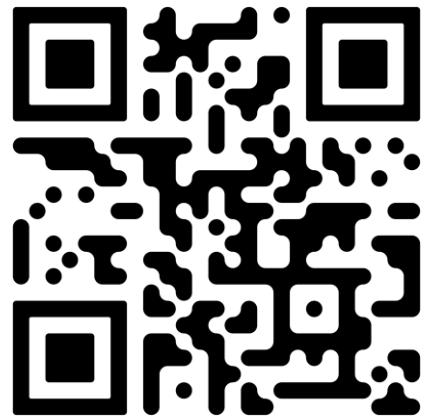


OpenRIS
GitHub

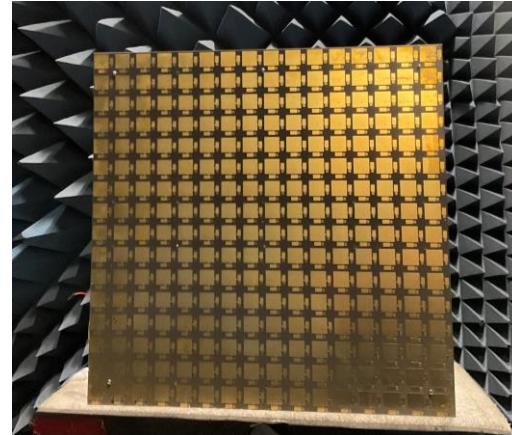
<https://github.com/jimrains/OpenRIS>



Questions



OpenRIS
GitHub



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