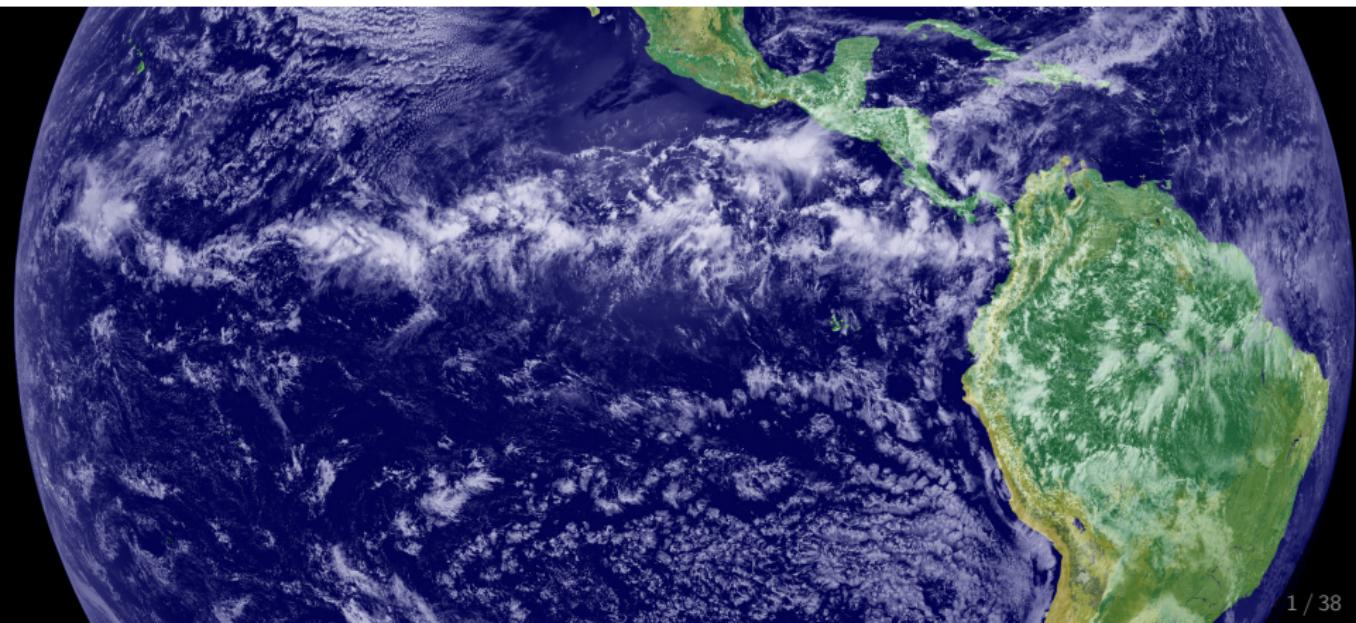
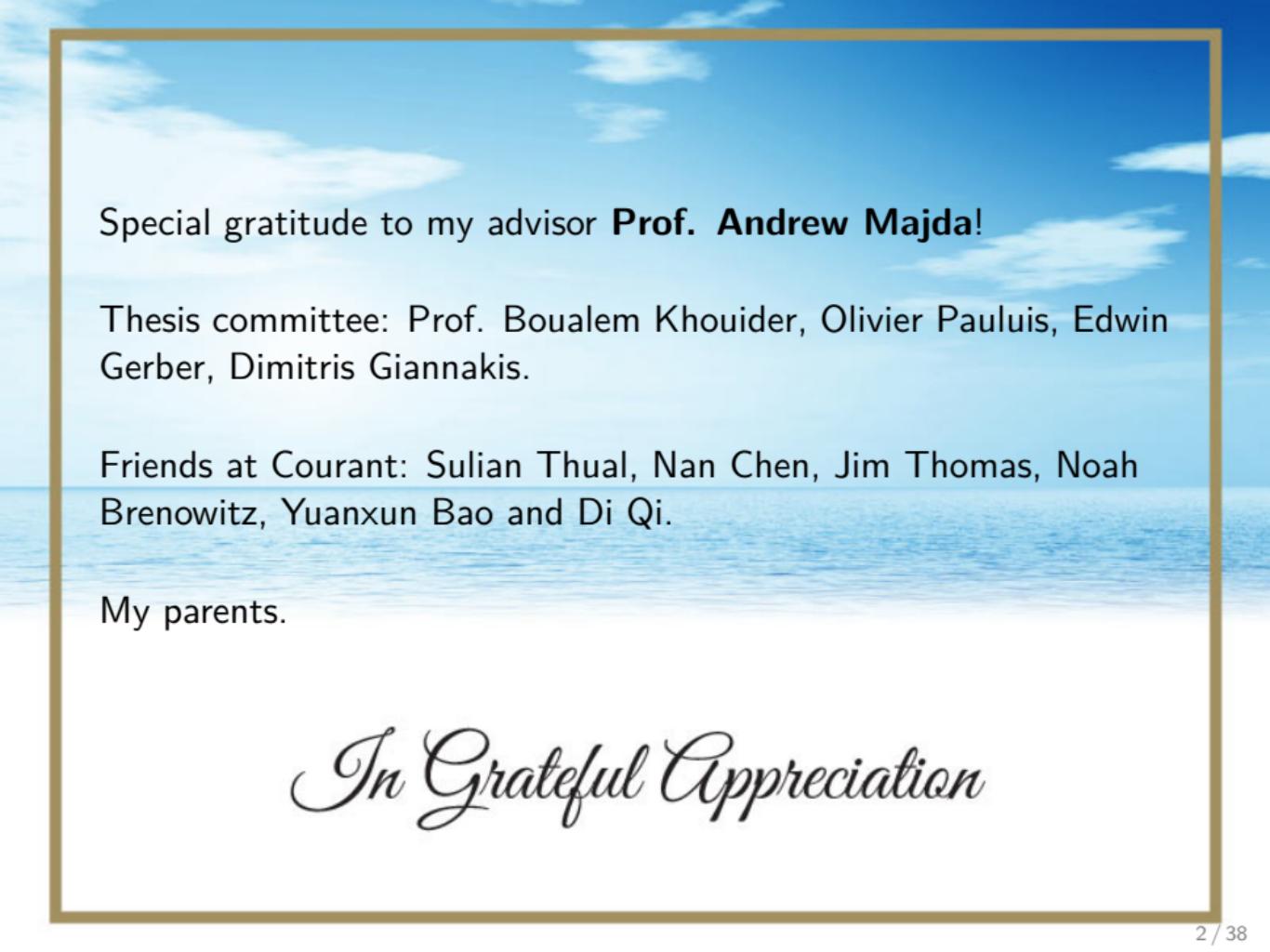


# **Multi-scale Models for the Scale Interaction of Organized Tropical Convection**

Qiu Yang

Center for Atmosphere Ocean Science, Courant Institute, New York University  
Thesis Defense, April 27th, 2017





Special gratitude to my advisor **Prof. Andrew Majda!**

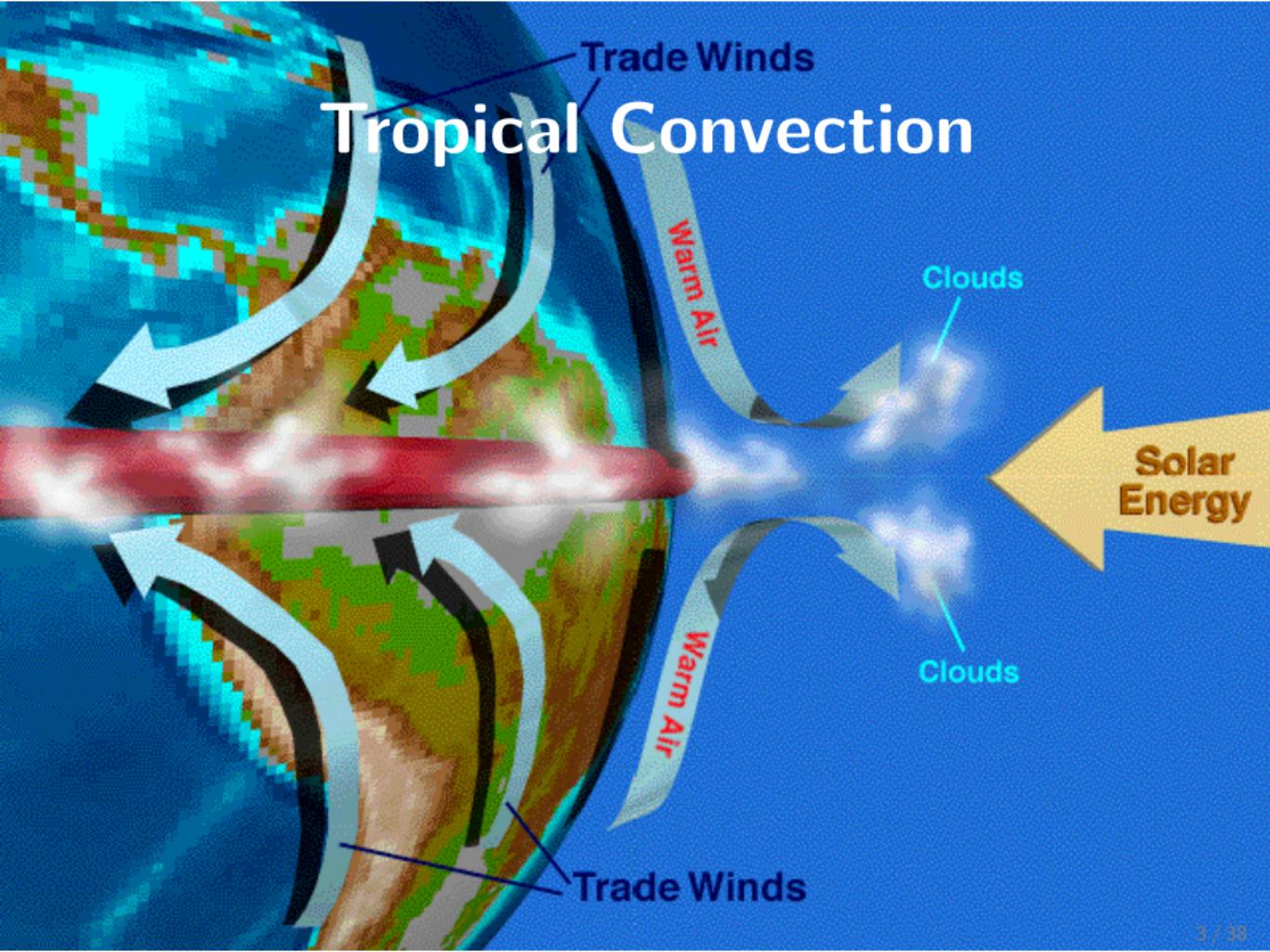
Thesis committee: Prof. Boualem Khouider, Olivier Pauluis, Edwin Gerber, Dimitris Giannakis.

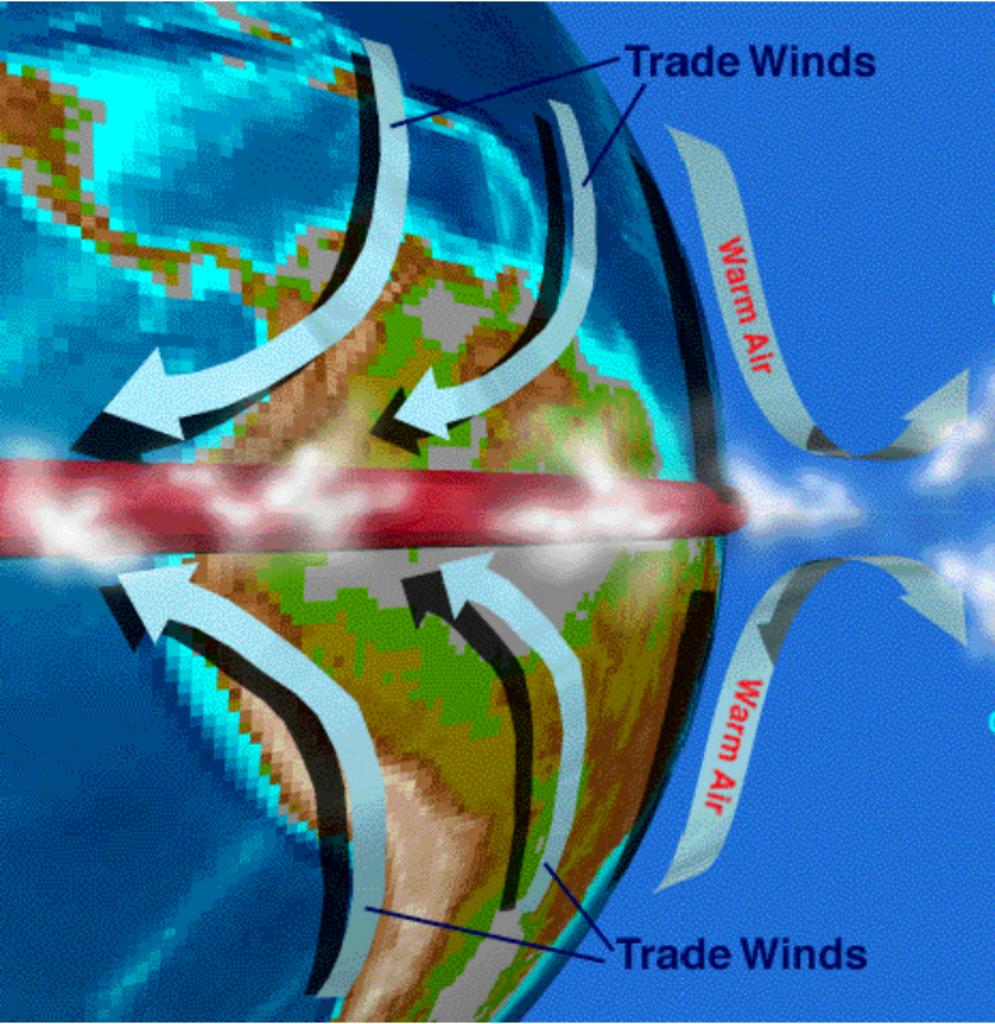
Friends at Courant: Sulian Thual, Nan Chen, Jim Thomas, Noah Brenowitz, Yuanxun Bao and Di Qi.

My parents.

*In Grateful Appreciation*

# Tropical Convection

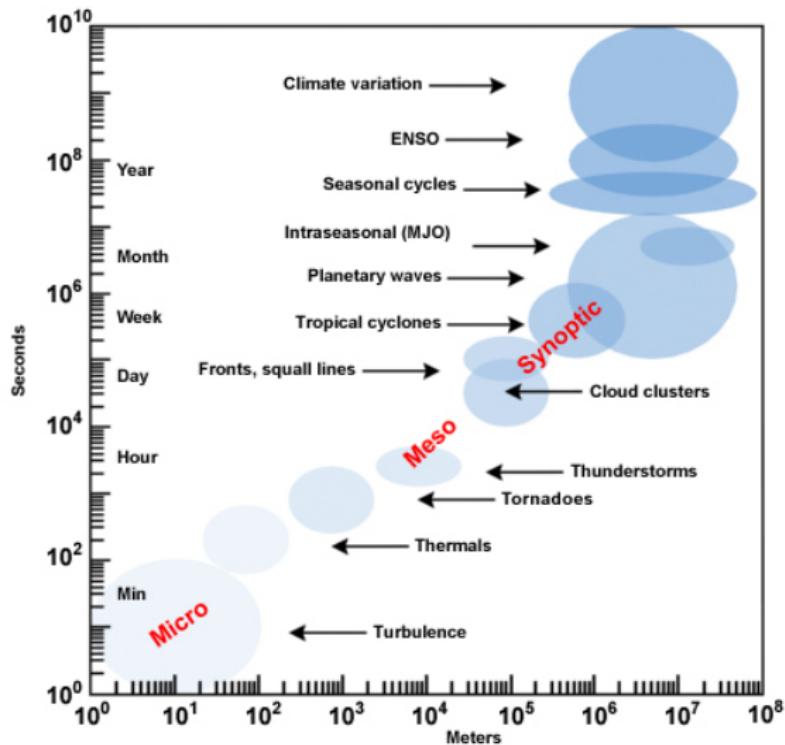




Coriolis force

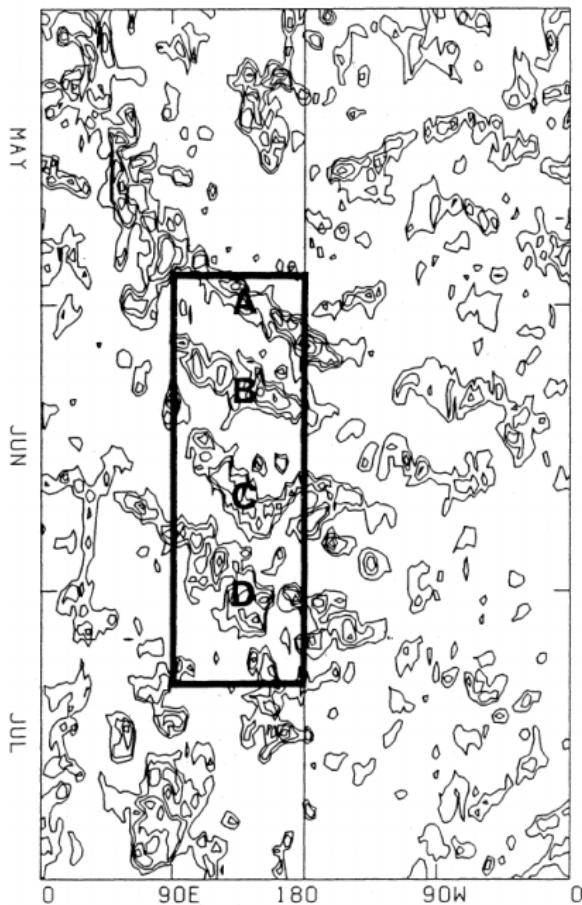
Water vapor

# Tropical Convection across Multiple Scales



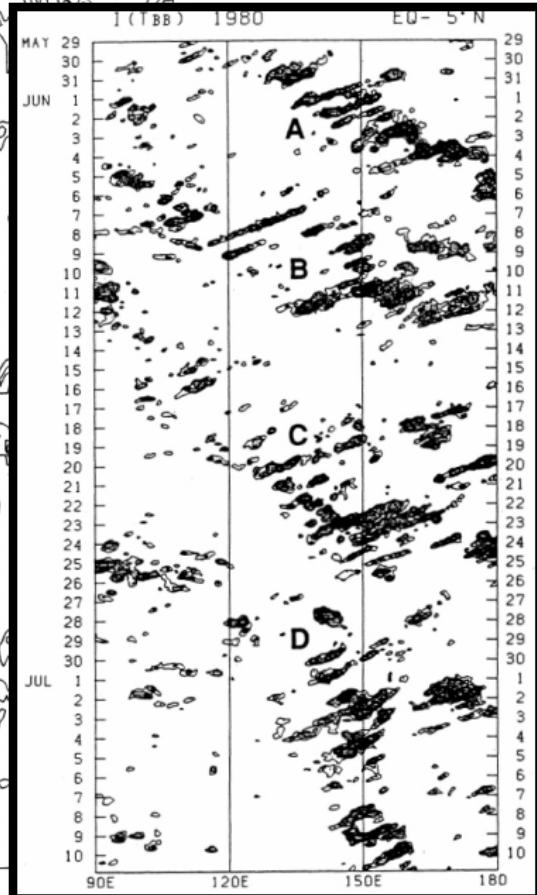
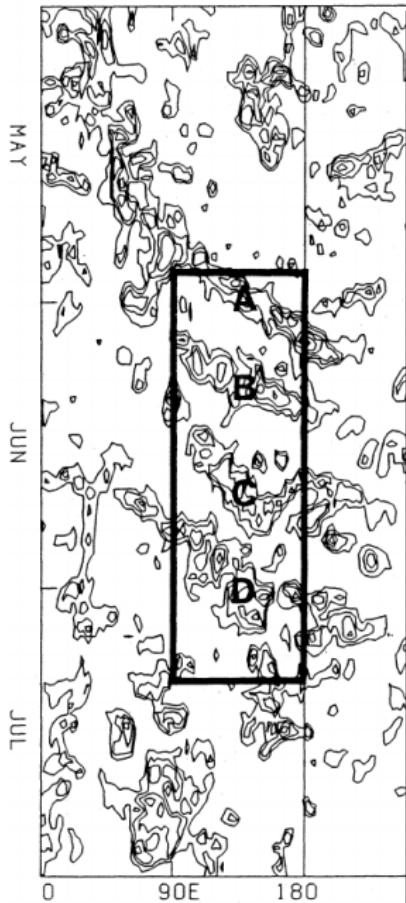
OLR 1980

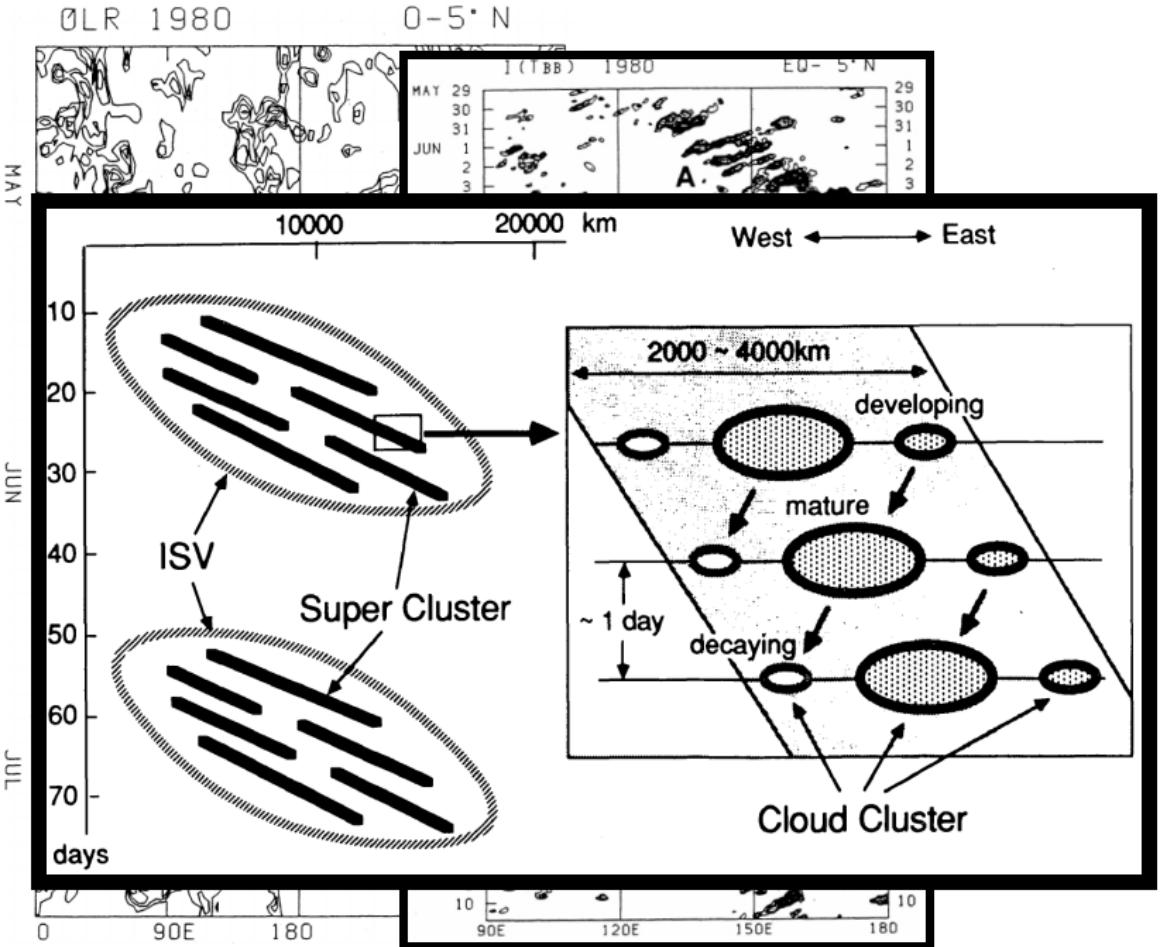
0-5° N



OLR 1980

0-5° N





(Nakazawa, 1988)

# Multi-scale models for tropical convection

- ▶ 2003 “*Systematic multiscale models for the tropics*”.  
(Majda and Klein, 2003)
- ▶ 2004 Multi-scale models for Madden-Julian Oscillation.  
(Majda and Biello, 2004; Biello and Majda, 2005, 2006)
- ▶ 2007 “*New multiscale models and self-similarity in tropical convection*”. (Majda 2007)
- ▶ 2007 “*Multiscale models with moisture and systematic strategies for superparameterization*”. (Majda 2007)
- ▶ 2010 Multi-scale models for hurricane embryo, squall lines.  
(Majda et. al., 2010; Majda and Xing, 2010)
- ▶ 2012 Multiscale models for synoptic-mesoscale interactions in the ocean. (Grooms et. al., 2012)
- ▶ 2013 Multi-scale models for ITCZ. (Biello and Majda, 2013)

# Outline

Chapter 1&2: A Multi-Scale Model for the Intraseasonal Impact of the Diurnal Cycle over the Maritime Continent on the Madden-Julian Oscillation

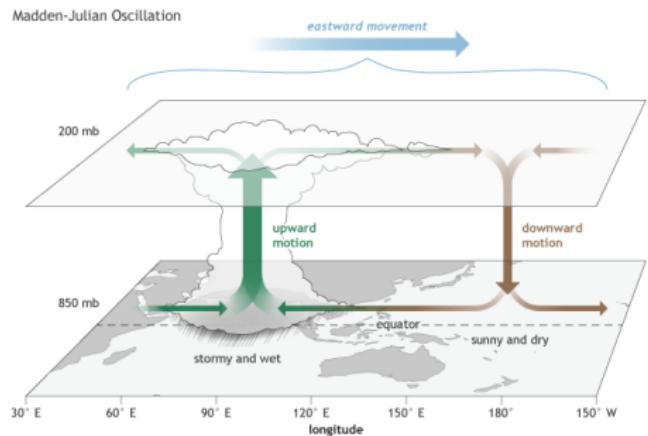
Chapter 3: ITCZ Breakdown and Its Upscale Impact on the Planetary-Scale Circulation over the Eastern Pacific

# Outline

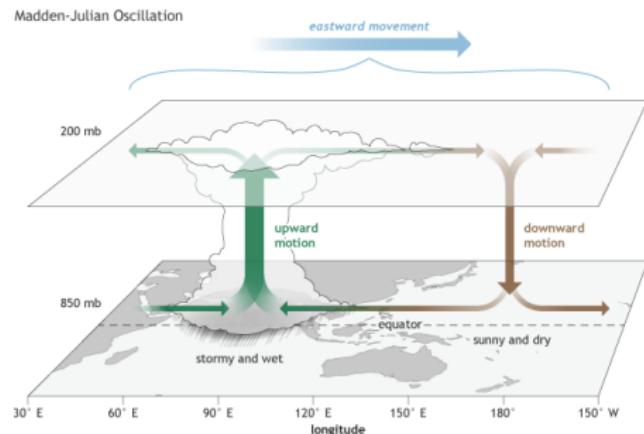
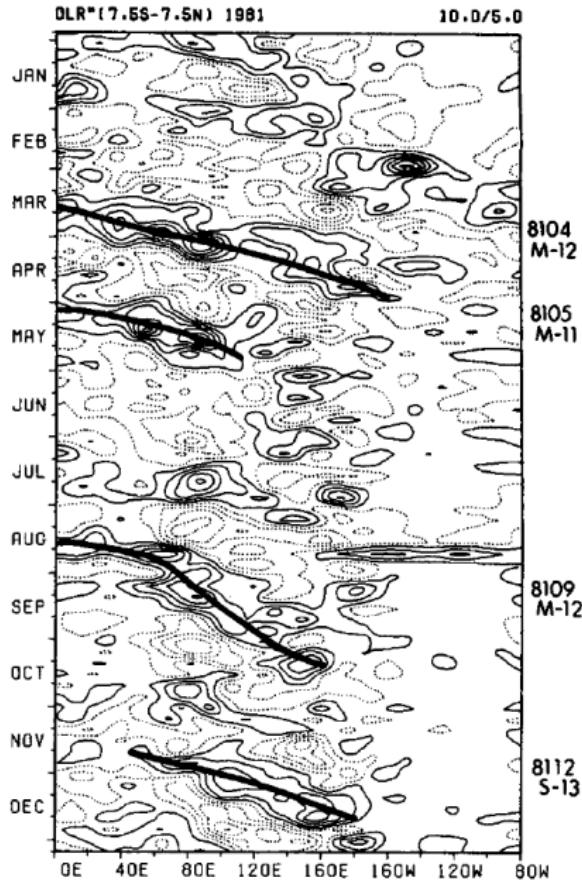
Chapter 1&2: A Multi-Scale Model for the Intraseasonal Impact of the Diurnal Cycle over the Maritime Continent on the Madden-Julian Oscillation

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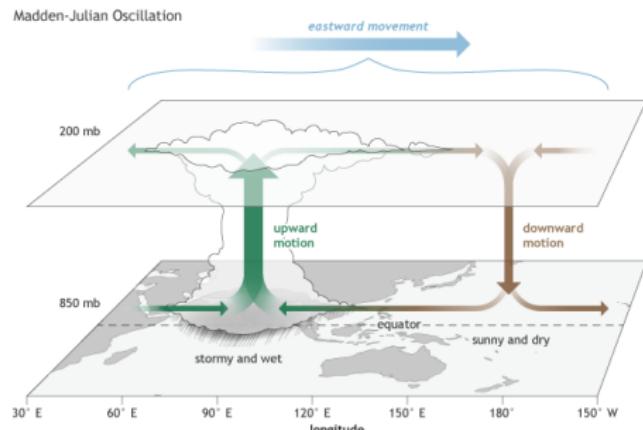
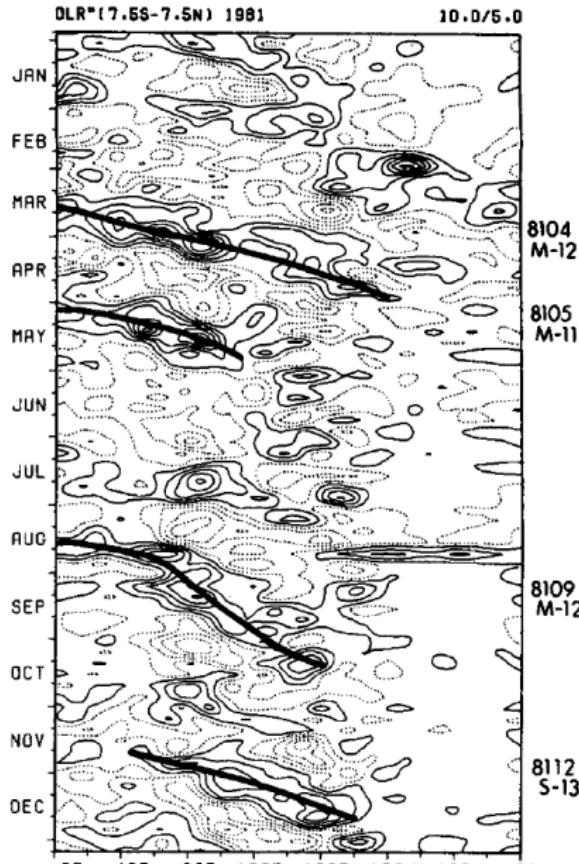
# Madden-Julian Oscillation (MJO)



# Madden-Julian Oscillation (MJO)



# Madden-Julian Oscillation (MJO)

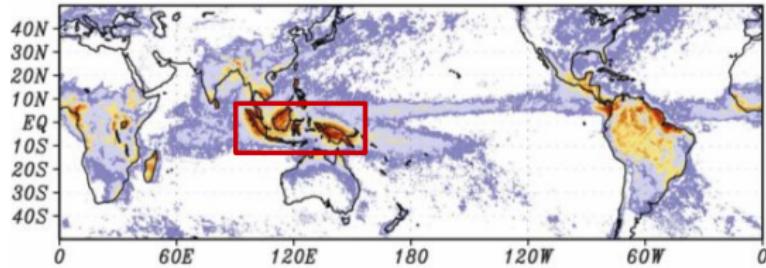


Maritime Continent is a barrier for eastward propagating MJO.

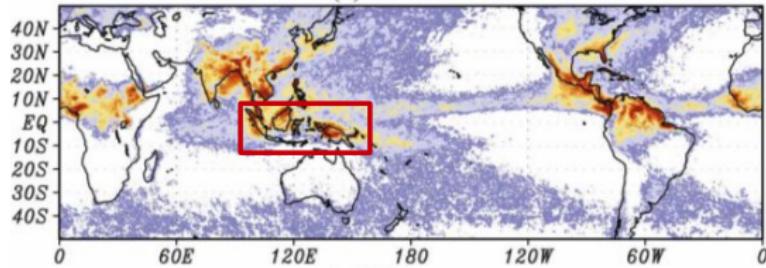
(Tung, Giannakis and Majda, 2014)

Diurnal cycle?

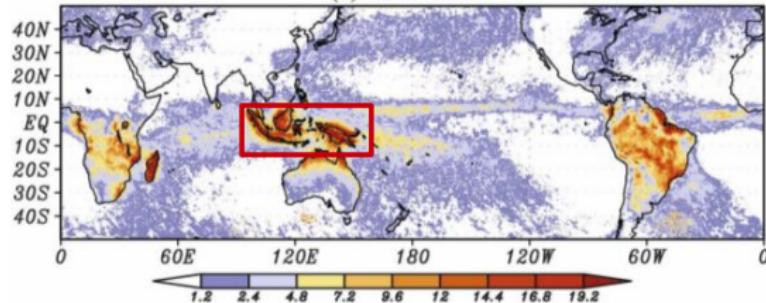
(a) Annual mean



(b) JJA mean



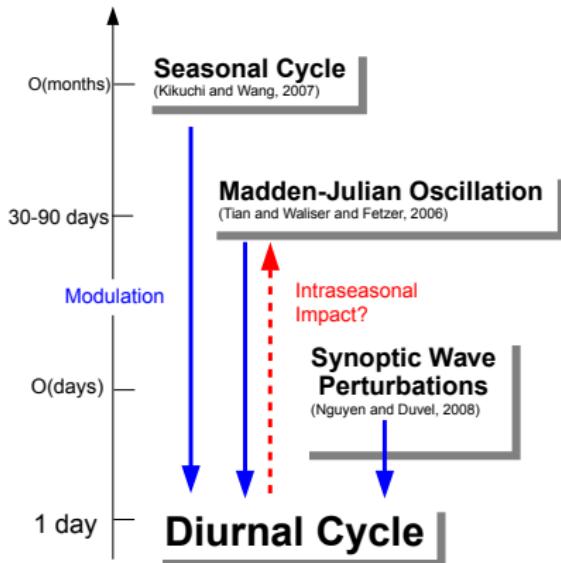
(c) DJF mean



Color: diurnal precipitation  
(TRMM datasets)

**Diurnal Range:**  
climatological daily  
maximum precipitation-daily  
minimum precipitation

kikuchi and Wang (2007)



## Challenge:

GCMs poorly represent MJO and diurnal precipitation over the Maritime Continent.

(Inness and Slingo 2003; Stratton and Stirling 2012)

## Hypothesis:

The inadequate treatment of the diurnal cycle and its scale interaction with the MJO.

## Our work:

"A multi-scale model for the intraseasonal impact of the diurnal cycle over the maritime continent on the MJO". (Majda and Yang, 2016; Yang and Majda, 2014)

Hagos et. al., (2016) says

*"Majda and Yang [2016] show that planetary-scale diurnal variability of diabatic heating can have an upscale effect on intraseasonal variability...cancel temperature anomalies associated with the MJO diurnal cycle"*

# Derivation

The primitive equations on an equatorial  $\beta$ -plane

$$\frac{D}{Dt}u - \beta yv = -\phi_x - du$$

$$\frac{D}{Dt}v + \beta yu = -\phi_y - dv$$

$$\frac{D}{Dt}\theta + N^2 w = -d_\theta\theta + S_\theta$$

$$\phi_z = \theta$$

$$u_x + v_y + w_z = 0$$

momentum dissipation for cumulus drag  $-du, -dv, d \approx \frac{1}{5\text{day}}$  (Lin et al., 2005)

radiative cooling  $-d_\theta\theta, d_\theta \approx \frac{1}{15\text{day}}$

(Mapes et al., 1995)

## Step 1: Nondimensionalization

Froude number	0.1	$\epsilon$
synoptic	1,500 km	$x, y$
daily	8.3 h	$t$
planetary	15,000 km	$X$
intraseasonal	3.5 d	$T$

## Step 2: Asymptotic expansion, e.g.

$$u = \epsilon[\tilde{u}(t, T) + U(T)] + \epsilon^2 u_2 + \mathcal{O}(\epsilon^3)$$

## Step 3: Collect Terms on the same order

## A multi-scale model for the intraseasonal impact of diurnal cycle

A model for diurnal cycle

$$\tilde{u}_t - y\tilde{v} = 0$$

$$\tilde{v}_t + y\tilde{u} = -\tilde{p}_y$$

$$\tilde{\theta}_t + \tilde{w} = \tilde{S}_{\theta}$$

$$\tilde{p}_z = \tilde{\theta}$$

$$\tilde{v}_y + \tilde{w}_z = 0$$

where  $\tilde{S}_{\theta}$  is diabatic heating. All variables are on daily time scale.

A model for planetary/intraseasonal circulation

$$U_T - yV = -P_X - dU + F^u$$

$$yU = -P_y$$

$$\Theta_T + W = -d_{\theta}\Theta + F^{\theta}$$

$$P_z = \Theta$$

$$U_X + V_y + W_z = 0$$

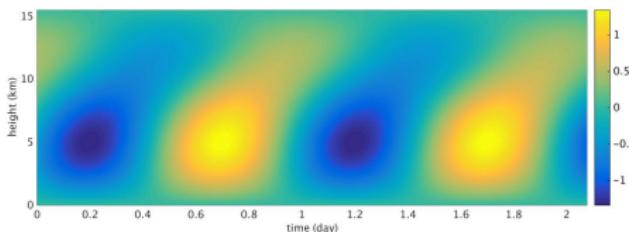
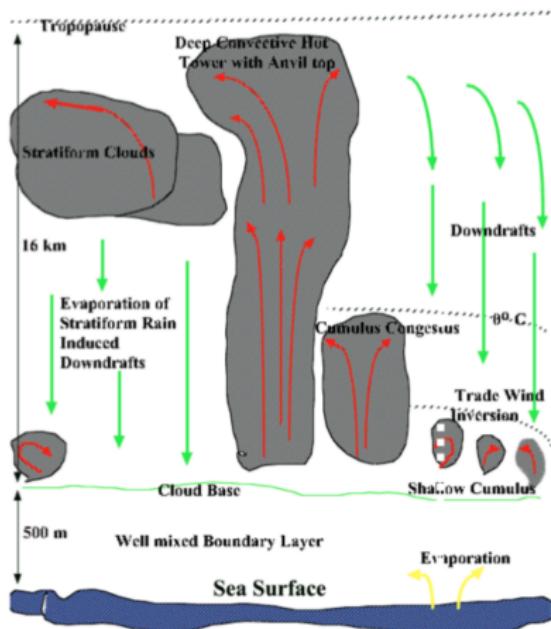
where

$$F^u = -\frac{\partial}{\partial y} \langle \tilde{v}\tilde{u} \rangle - \frac{\partial}{\partial z} \langle \tilde{w}\tilde{u} \rangle$$

$$F^{\theta} = -\frac{\partial}{\partial y} \langle \tilde{v}\tilde{\theta} \rangle - \frac{\partial}{\partial z} \langle \tilde{w}\tilde{\theta} \rangle$$

# Modeling diurnal heating

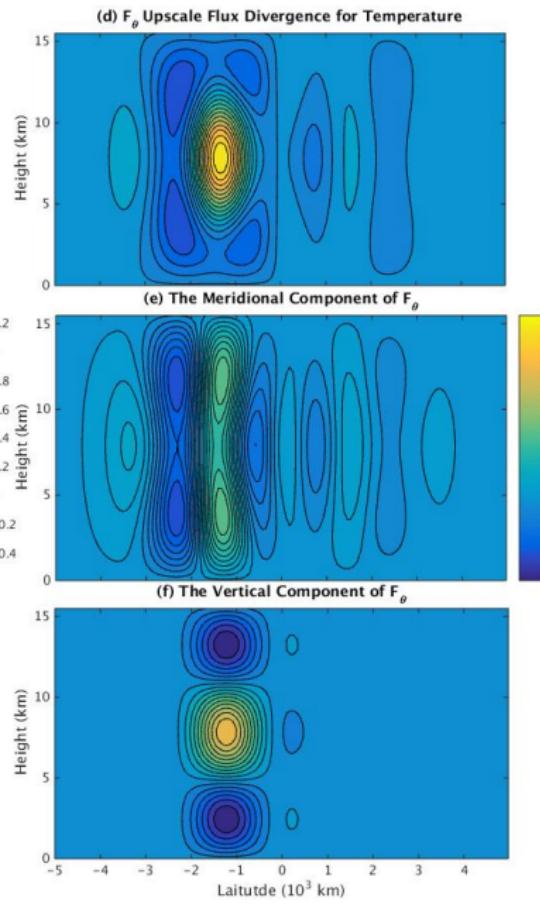
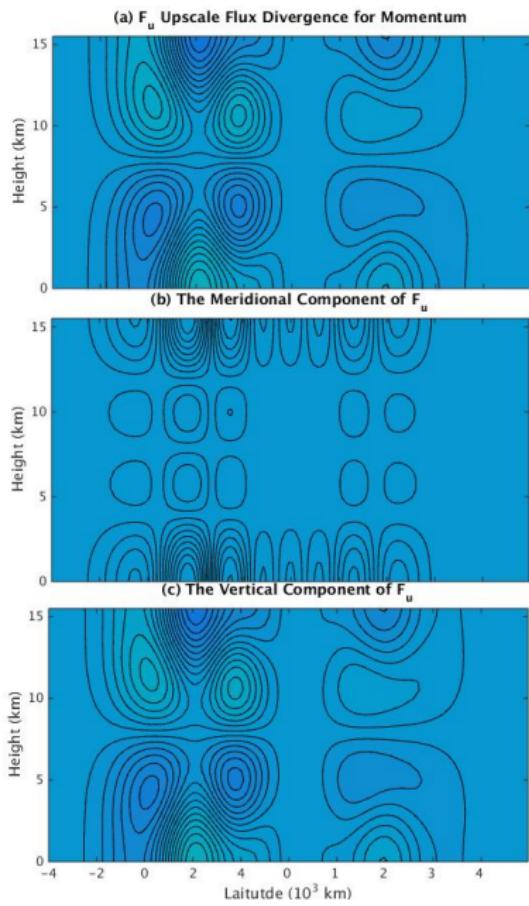
$$\tilde{S}_\theta = F(X) H(y) [\sin(kX + \omega t) \sin(z) + \alpha \sin(kX + \omega t + \beta) \sin(2z)]$$



$F(X)$  is planetary-scale envelope function  
(Maritime Continent)

$H(y)$  Gaussian function centered at  $12^{\circ}S$   
(Boreal Winter)

(Khouider and Majda, 2008)

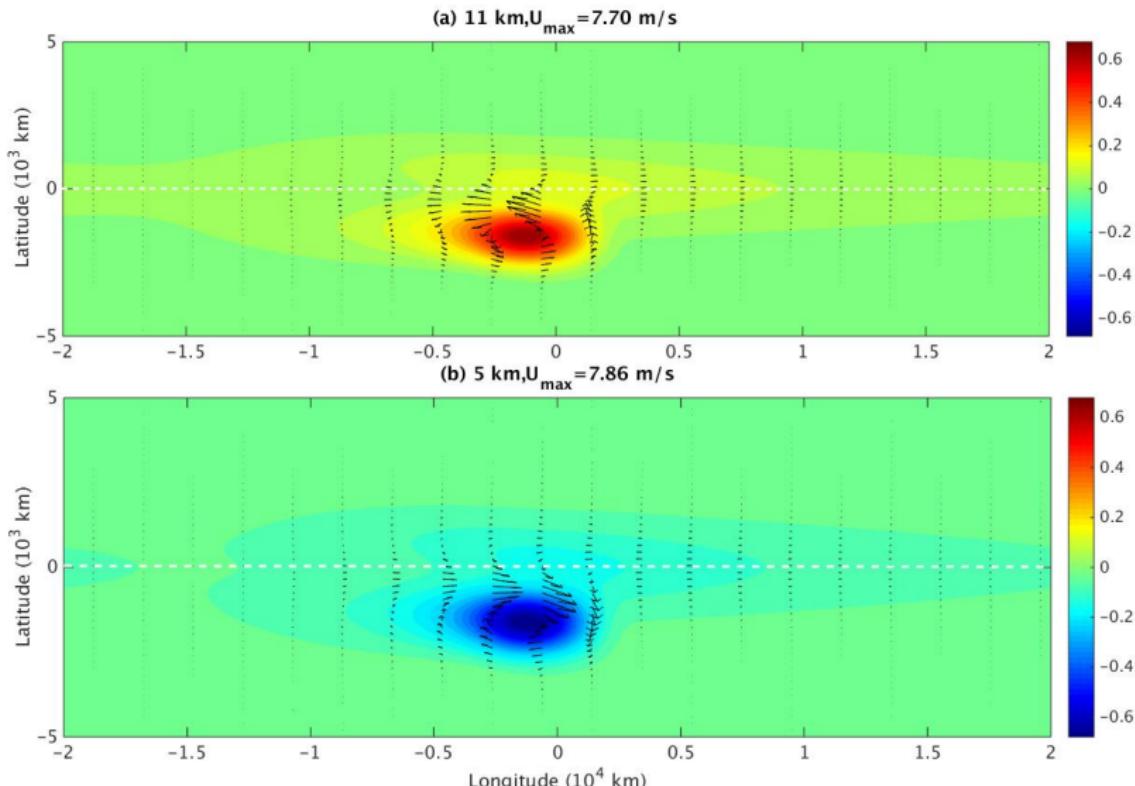


$$\mathcal{F}^u = -\frac{\partial}{\partial y} \langle \tilde{v} \tilde{u} \rangle - \frac{\partial}{\partial z} \langle \tilde{w} \tilde{u} \rangle,$$

$$F^\theta = -\frac{\partial}{\partial y} \langle \tilde{v} \tilde{\theta} \rangle - \frac{\partial}{\partial z} \langle \tilde{w} \tilde{\theta} \rangle$$

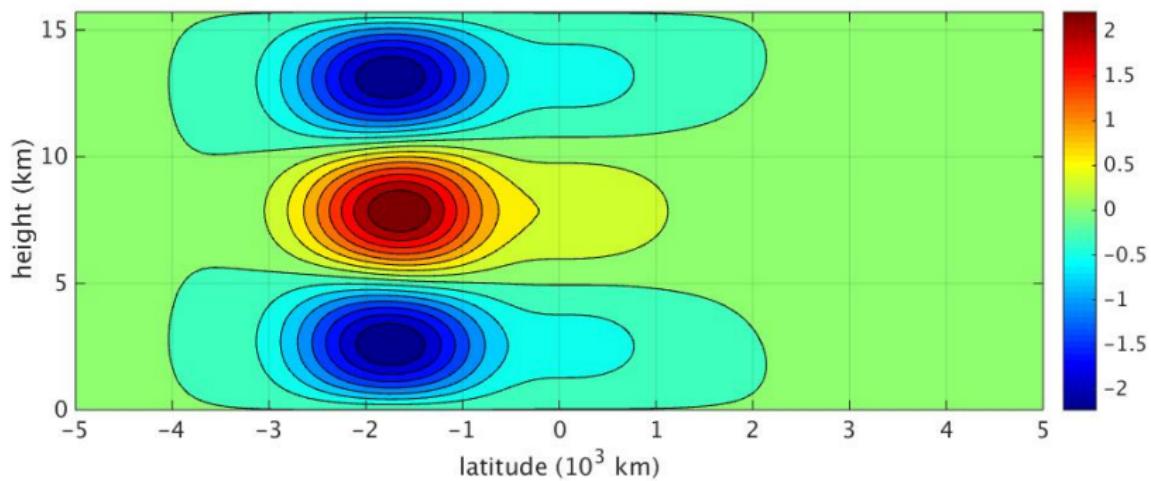
# Intraseasonal Impact of Diurnal Cycle:

horizontal velocity (arrow) and pressure perturbation (color)



# Intraseasonal Impact of Diurnal Cycle:

potential temperature anomalies  $\Theta$  (K)



# Introduce a MJO event with quadruple structure

A model for planetary/intraseasonal circulation

Planetary-scale heating from MJO

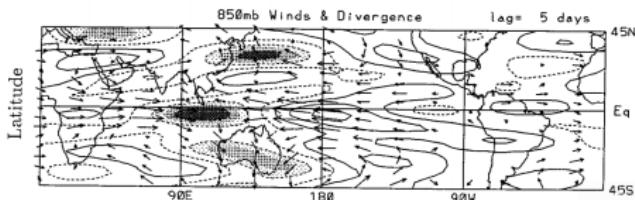
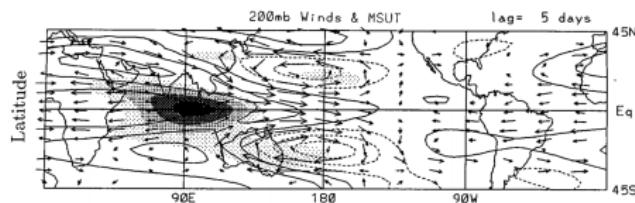
$$U_T - yV = -P_X - dU + \textcolor{blue}{F}^u$$

$$yU = -P_y$$

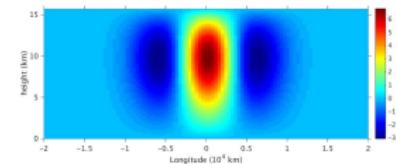
$$\Theta_T + W = -d_\theta\Theta + \textcolor{blue}{F}^\theta + \textcolor{red}{S}_{MJO}^\theta$$

$$P_z = \Theta$$

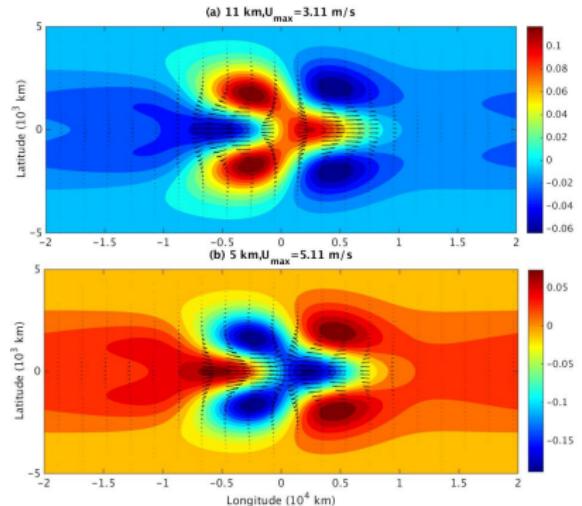
$$U_X + V_y + W_z = 0$$



(Hendon and Salby, 1994)

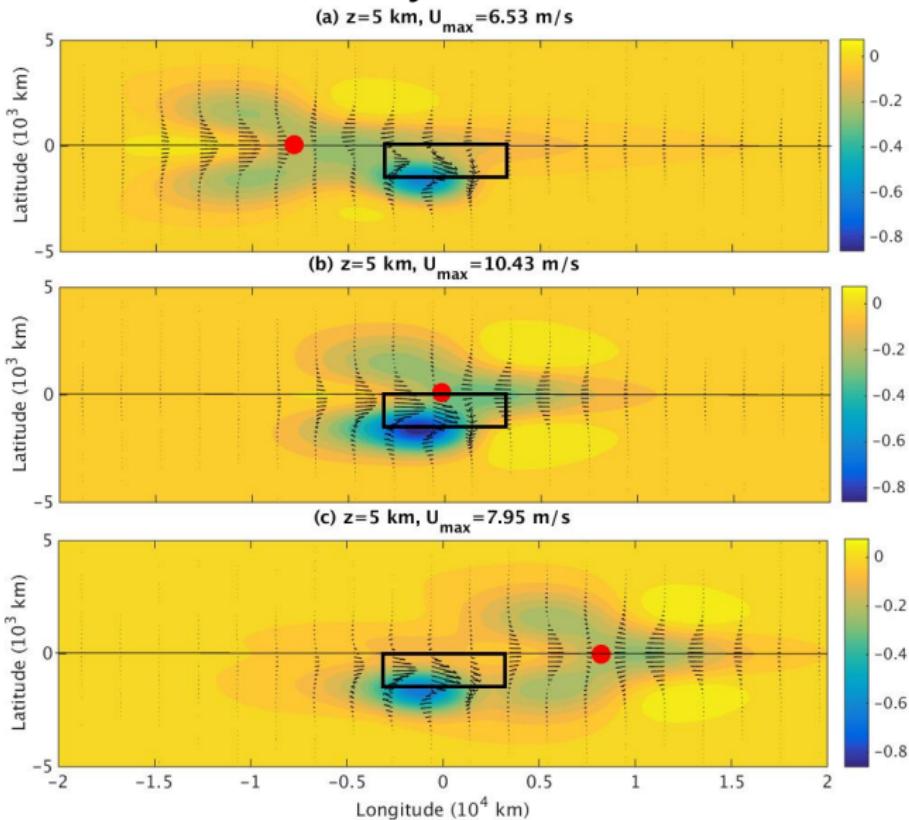


horizontal velocity, pressure (color)

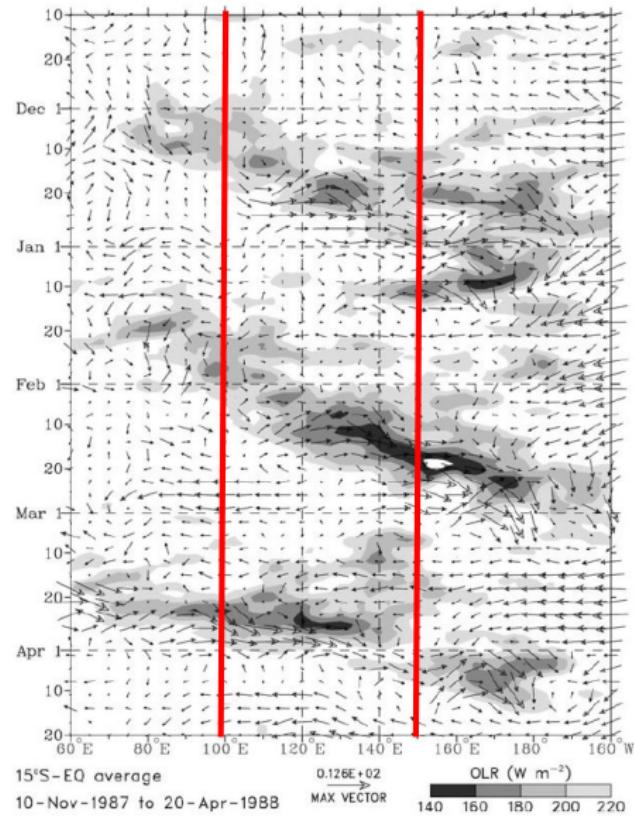


# Intraseasonal Impact of Diurnal Cycle on MJO

## Persistent low-level westerly winds over Maritime Continent



## Intraseasonal Impact of Diurnal Cycle on MJO Persistent low-level westerly winds over Maritime Continent



(Wheeler and McBride, 2012)

# Introduce a MJO event driven by two-scale heating

A model for planetary/intraseasonal circulation

$$U_T - yV = -P_X - dU + \mathcal{F}^u + \tilde{\mathcal{F}}^u$$

$$yU = -P_y$$

$$\Theta_T + W = -d_\theta\Theta + \mathcal{F}^\theta + \tilde{\mathcal{F}}^\theta + S_{MJO}^\theta$$

$$P_z = \Theta$$

$$U_X + V_Y + W_Z = 0$$

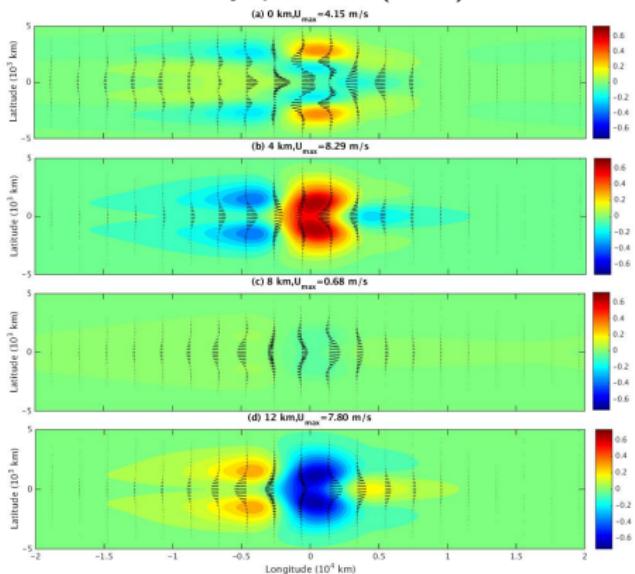
where

$$\tilde{\mathcal{F}}^u = -(\overline{u'v'})_y - (\overline{u'w'})_z$$

$$\tilde{\mathcal{F}}^\theta = -(\overline{\theta'v'})_y - (\overline{\theta'w'})_z$$

involve synoptic-scale fluctuations.

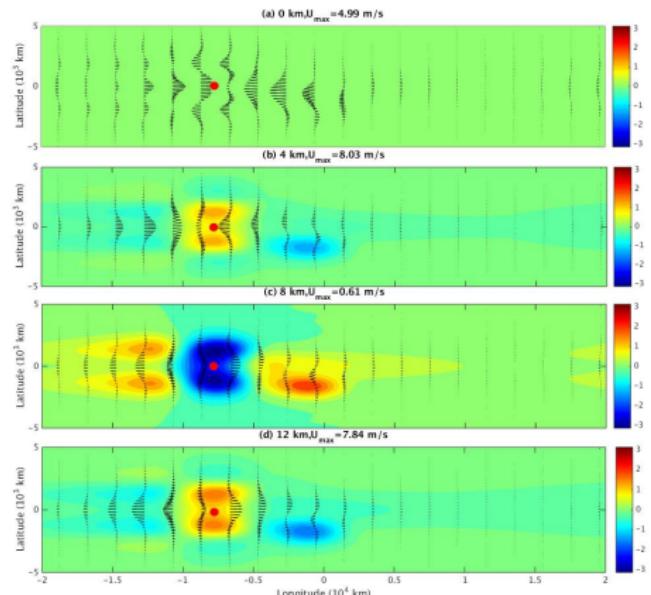
horizontal velocity, pressure (color)



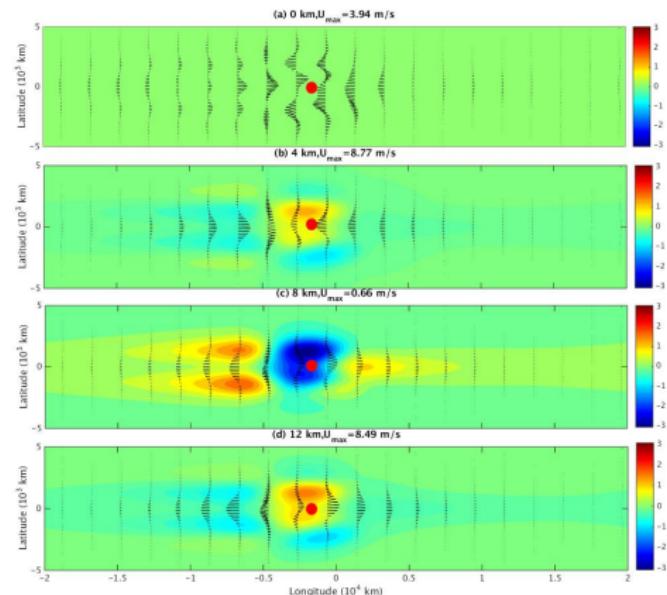
(Biello and Majda, 2005)

# Intraseasonal Impact of Diurnal Cycle on MJO

## potential temperature cancellation over Maritime Continent



before the MJO passage



during the MJO passage

# Summary

## Intraseasonal impact of diurnal cycle on MJO

- ▶ Persistent westerly winds in the lower troposphere.
- ▶ Potential temperature cancellation explains MJO weakening and stalling over the Maritime Continent.

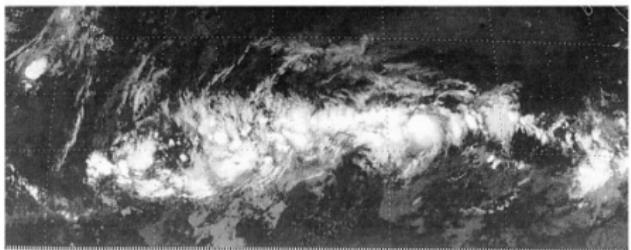
# Outline

Chapter 1&2: A Multi-Scale Model for the Intraseasonal Impact of the Diurnal Cycle over the Maritime Continent on the Madden-Julian Oscillation

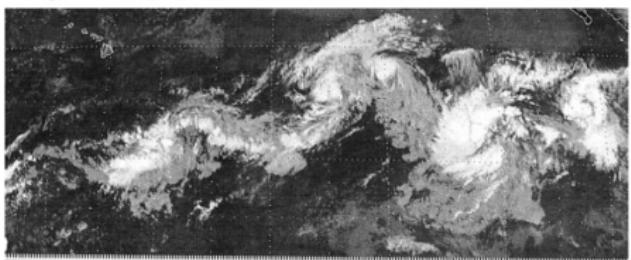
Chapter 3: ITCZ Breakdown and Its Upscale Impact on the Planetary-Scale Circulation over the Eastern Pacific

# ITCZ Breakdown

a) July 26



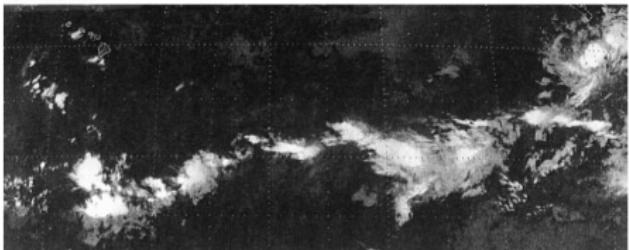
b) July 28



c) August 3



d) August 12

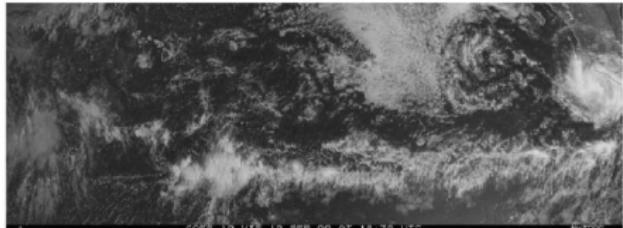


(Ferreira and Schubert, 1997)

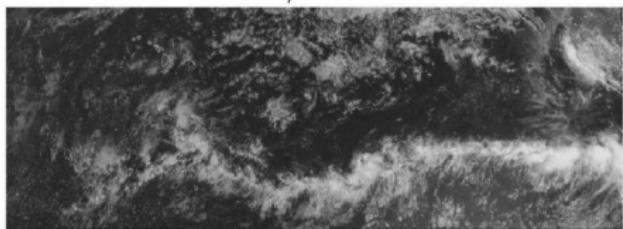
# Possible Mechanisms (Wang and Magnusdottir, 2005)

## (1) The Vortex Rollup Mechanism

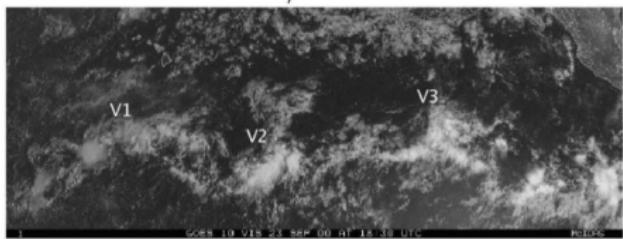
SEP/19 9:00



SEP/21 9:00

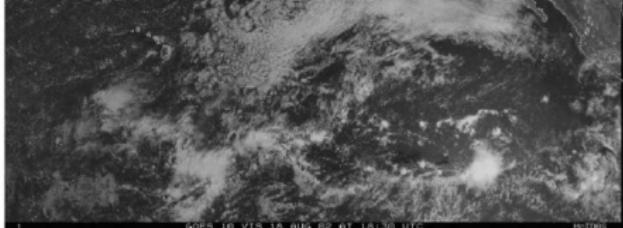


SEP/23 9:00

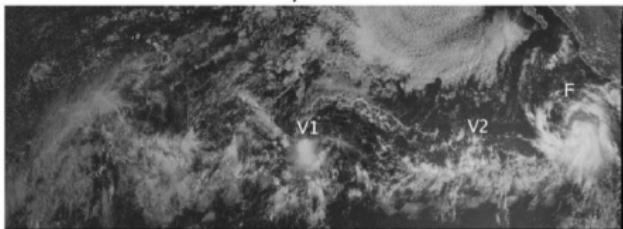


## (2) Westward-propagating Disturbances

AUG/18 9:00



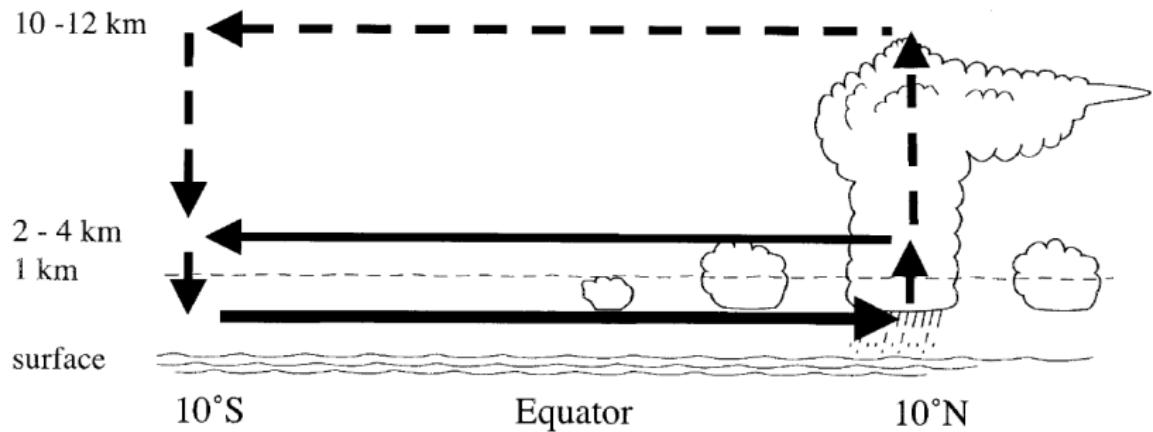
AUG/21 9:00



AUG/23 9:00



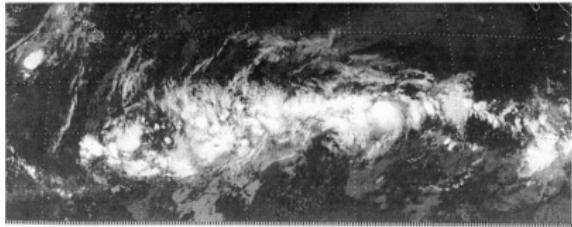
# Deep and Shallow Meridional Circulation



(Zhang and McGauley, 2003)

# Motivation

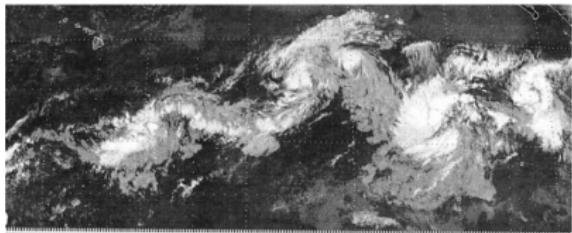
a) July 26



c) August 3



b) July 28



d) August 12



**Q1:** What is upscale impact of mesoscale fluctuations during ITCZ breakdown on planetary-scale circulation?

**Q2:** What is the difference between deep and shallow cases?

# The Modulation of the ITCZ equations (M-ITCZ)

$$\frac{Du}{Dt} - yv = -p_x - \Pi_x - du$$

$$\frac{Dv}{Dt} + yu = -p_y - dv$$

$$w = S^\theta$$

$$u_x + v_y + w_z = 0$$

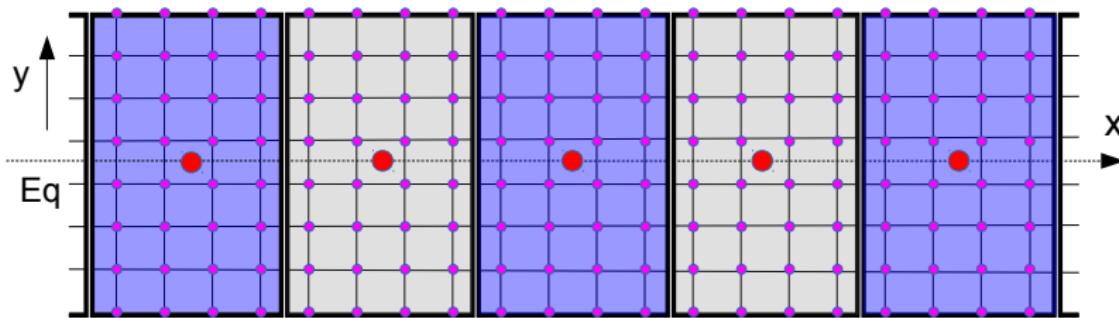
$$\Pi_x = \Pi_y = 0, \quad \Pi_z = \Theta$$

$$\Theta_t + W = 0$$

$$[\langle \bar{u} \rangle - U]_x + W_z = 0$$

planetary mesoscale time	5000km 500km 1d	X x, y t
horizontal velocity	5ms <sup>-1</sup>	u, v
potential temperature	3.3K	$\Theta$
diabatic heating	33Kday <sup>-1</sup>	$S^\theta$

(Biello and Majda, 2013)



# The Modulation of the ITCZ equations (M-ITCZ)

$$\frac{Du}{Dt} - yv = -p_x - \nabla_x u - du$$

$$\frac{Dv}{Dt} + yu = -p_y - dv$$

$$w = S^\theta$$

$$u_x + v_y + w_z = 0$$

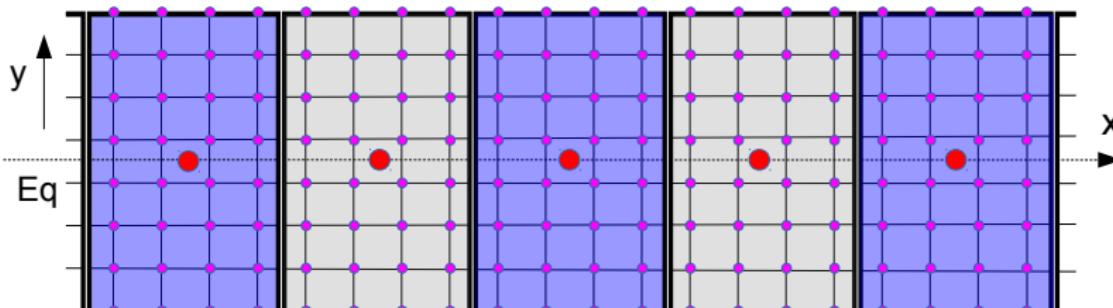
$$\nabla_x = \nabla_y = 0, \quad \nabla_z = \Theta$$

$$\Theta_t + W = 0$$

$$[\langle \bar{u} \rangle - U]_x + W_z = 0$$

planetary mesoscale time	5000km 500km 1d	X $x, y$ $t$
horizontal velocity	$5ms^{-1}$	$u, v$
potential temperature	$3.3K$	$\Theta$
diabatic heating	$33Kday^{-1}$	$S^\theta$

(Biello and Majda, 2013)



# The Modulation of the ITCZ equations (M-ITCZ)

$$\frac{Du}{Dt} - yv = -p_x - \Pi_x - du$$

$$\frac{Dv}{Dt} + yu = -p_y - dv$$

$$w = S^\theta$$

$$u_x + v_y + w_z = 0$$

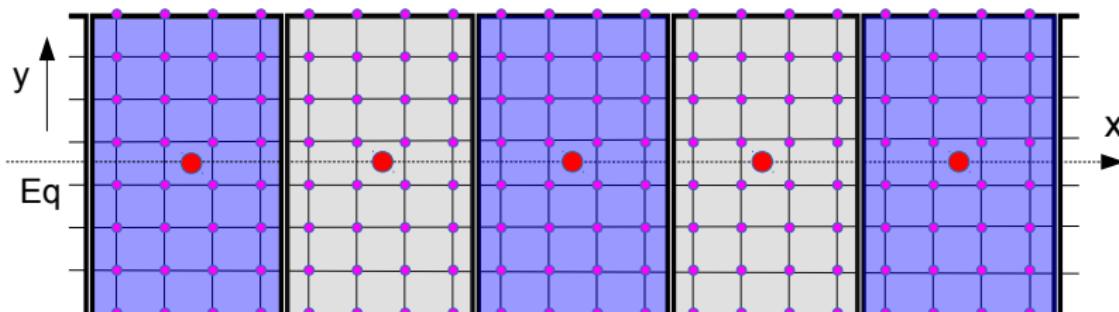
$$\Pi_x = \Pi_y = 0, \quad \Pi_z = \Theta$$

$$\Theta_t + W = 0$$

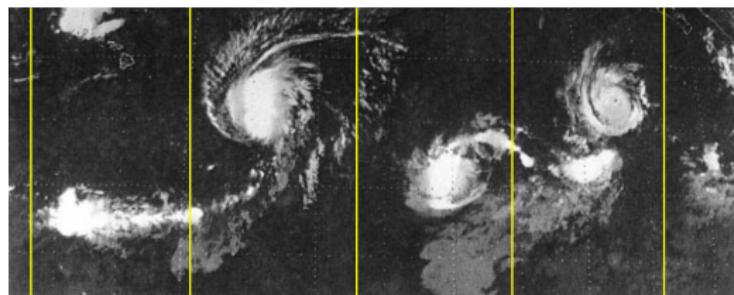
$$[\langle \bar{u} \rangle - U]_x + W_z = 0$$

planetary mesoscale time	5000km 500km 1d	X $x, y$ $t$
horizontal velocity	$5ms^{-1}$	$u, v$
potential temperature	$3.3K$	$\Theta$
diabatic heating	$33Kday^{-1}$	$S^\theta$

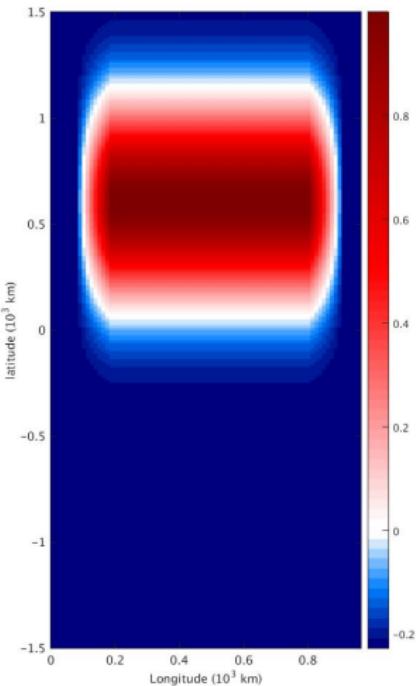
(Biello and Majda, 2013)



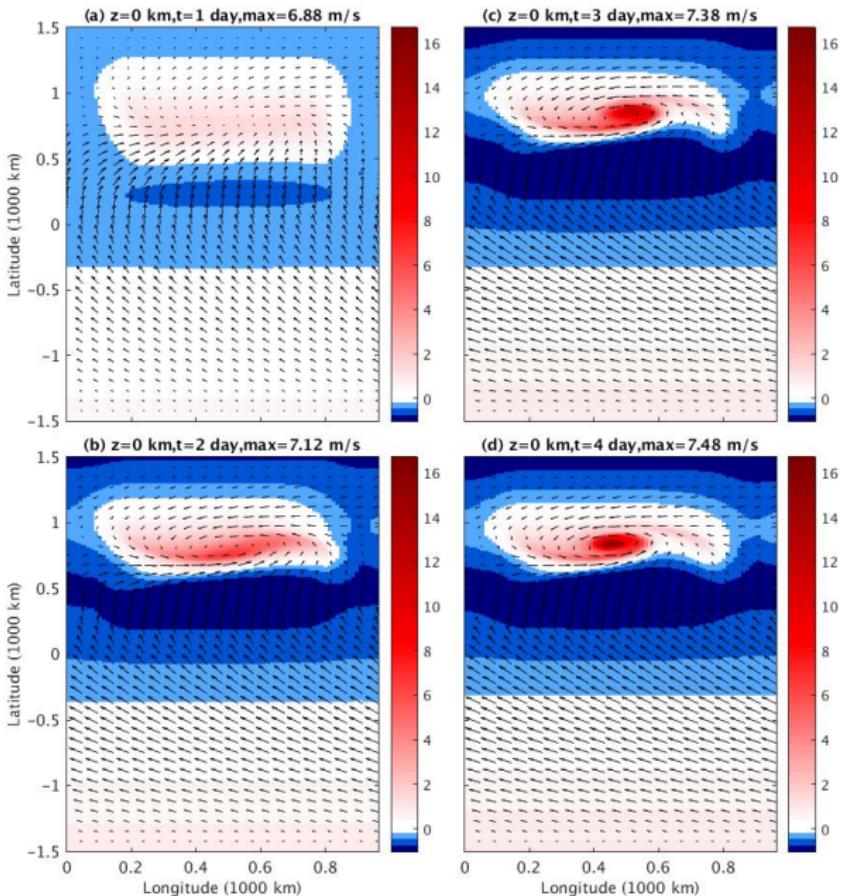
# Zonally Symmetric ITCZ on the Planetary Scale

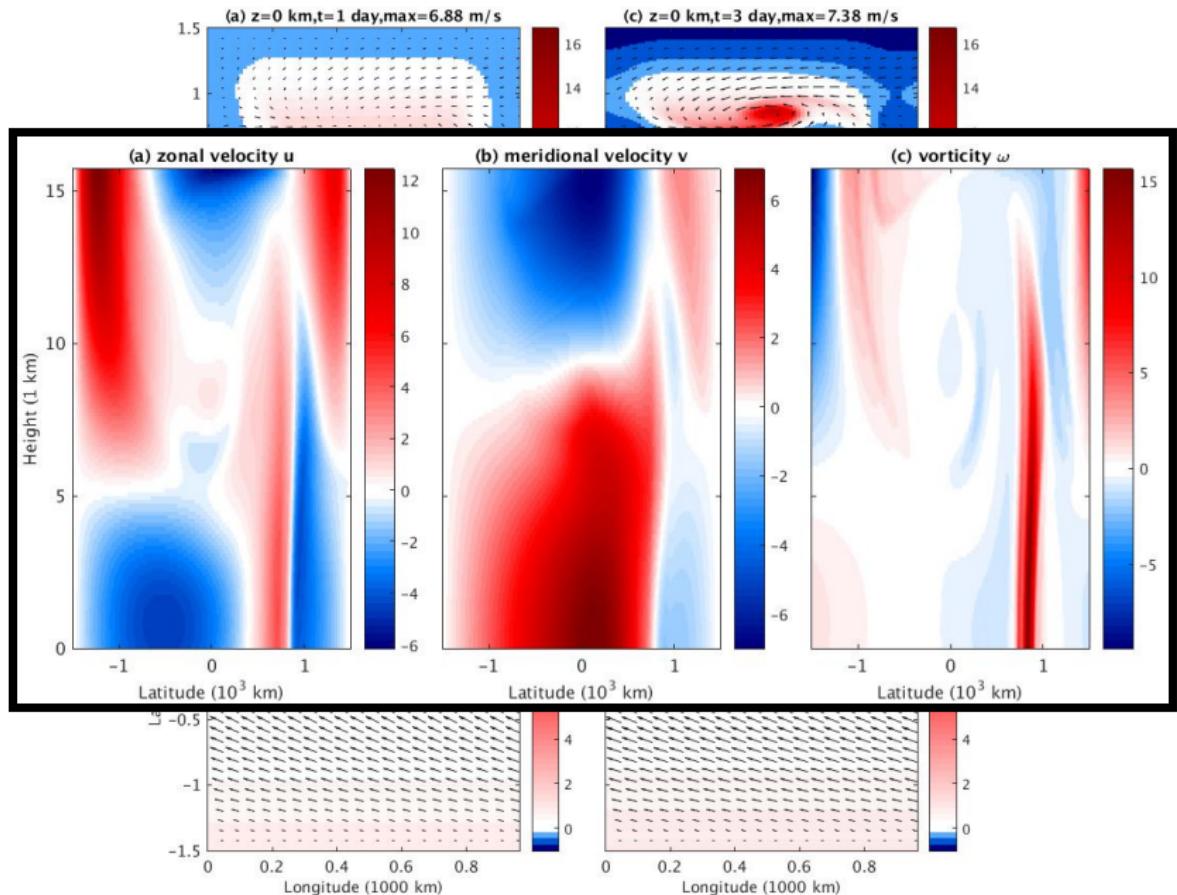


prescribed mesoscale heating



## surface-level vorticity (color)





## Q1: Upscale Impact of ITCZ breakdown on the Planetary-Scale circulation

(1) zonal momentum Equation

$$u_t + uu_x + vu_y + wu_z - yv = -p_x - du$$

## Q1: Upscale Impact of ITCZ breakdown on the Planetary-Scale circulation

(1) zonal momentum Equation

$$u_t + uu_x + vu_y + wu_z - yv = -p_x - du$$

(2) planetary-scale zonal momentum

$$\begin{aligned}\bar{u}_t + \bar{v}\bar{u}_y + \bar{w}\bar{u}_z - y\bar{v} \\ = -d\bar{u} - (\overline{v'u'})_y - (\overline{w'u'})_z\end{aligned}$$

eddy flux divergence of zonal momentum  
(m/s/day)

# Q1: Upscale Impact of ITCZ breakdown on the Planetary-Scale circulation

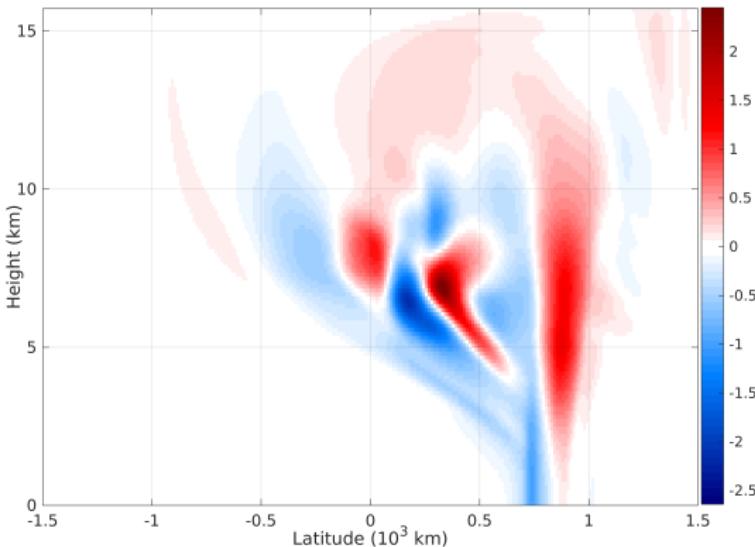
(1) zonal momentum Equation

$$u_t + uu_x + vu_y + wu_z - yv = -p_x - du$$

(2) planetary-scale zonal momentum

$$\begin{aligned}\bar{u}_t + \bar{v}\bar{u}_y + \bar{w}\bar{u}_z - y\bar{v} \\ = -d\bar{u} - (\overline{v'u'})_y - (\overline{w'u'})_z\end{aligned}$$

eddy flux divergence of zonal momentum  
(m/s/day)



## Q1: Upscale Impact of ITCZ breakdown on the Planetary-Scale circulation

(1) zonal momentum Equation

$$u_t + uu_x + vu_y + wu_z - yv = -p_x - du$$

(2) planetary-scale zonal momentum

$$\bar{u}_t + \bar{v}\bar{u}_y + \bar{w}\bar{u}_z - y\bar{v} \\ = -d\bar{u} - (\overline{v'u'})_y - (\overline{w'u'})_z$$

eddy flux divergence of zonal momentum  
(m/s/day)

(3) kinetic energy

$$\left(\frac{\bar{u}^2}{2}\right)_t + \dots = \left[-(\overline{v'u'})_y - (\overline{w'u'})_z\right] \bar{u} + \dots$$

acceleration/deceleration effects  
( $m^2 s^{-2} day^{-1}$ )

# Q1: Upscale Impact of ITCZ breakdown on the Planetary-Scale circulation

(1) zonal momentum Equation

$$u_t + uu_x + vu_y + wu_z - yv = -p_x - du$$

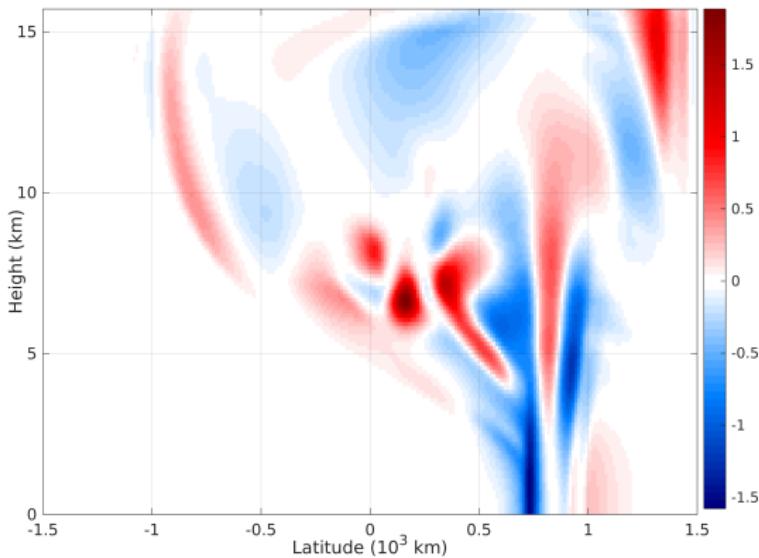
(2) planetary-scale zonal momentum

$$\bar{u}_t + \bar{v}\bar{u}_y + \bar{w}\bar{u}_z - y\bar{v} \\ = -d\bar{u} - (\bar{v}'u')_y - (\bar{w}'u')_z$$

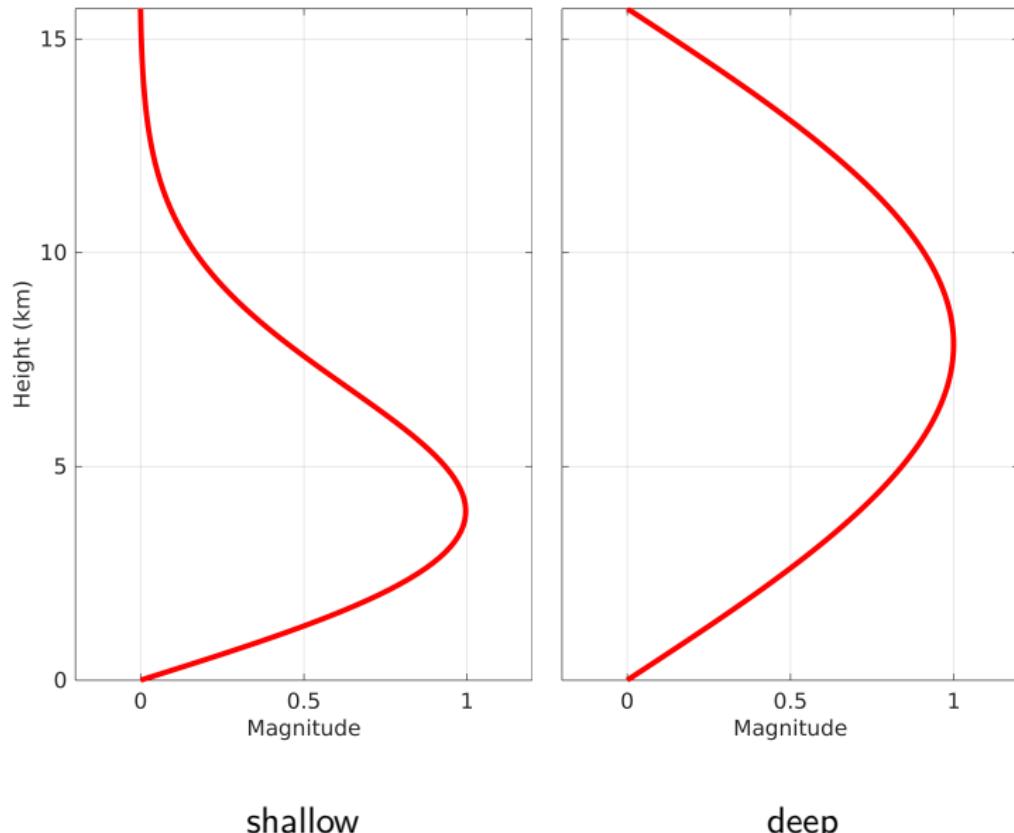
eddy flux divergence of zonal momentum  
(m/s/day)

(3) kinetic energy

$$\left(\frac{\bar{u}^2}{2}\right)_t + \dots = \left[-(\bar{v}'u')_y - (\bar{w}'u')_z\right] \bar{u} - \\ \text{acceleration/deceleration effects} \\ (m^2 s^{-2} day^{-1})$$



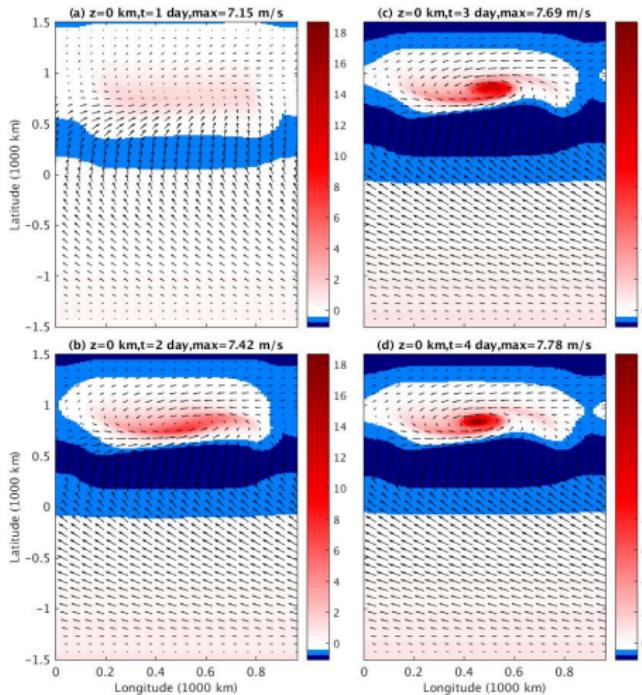
## Q2: Difference between shallow and deep heating cases



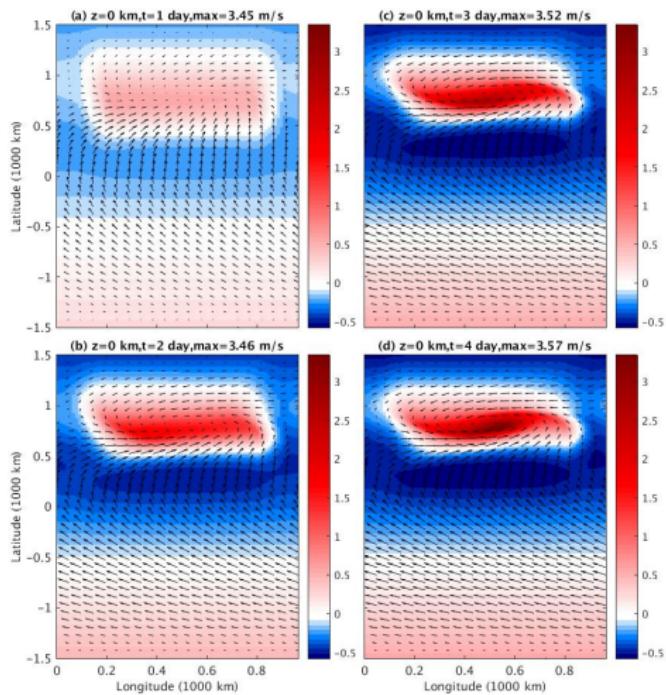
shallow

deep

# Surface-level vorticity

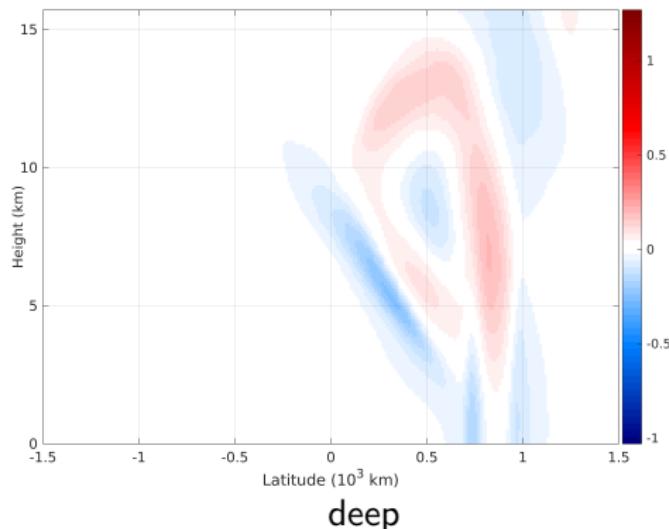
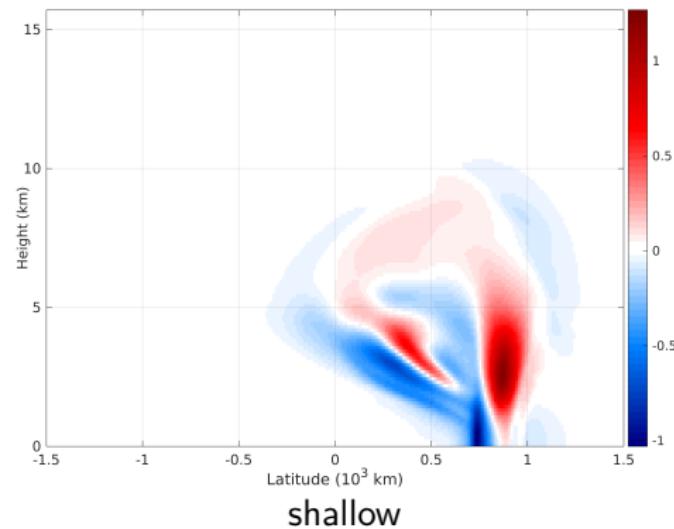


shallow



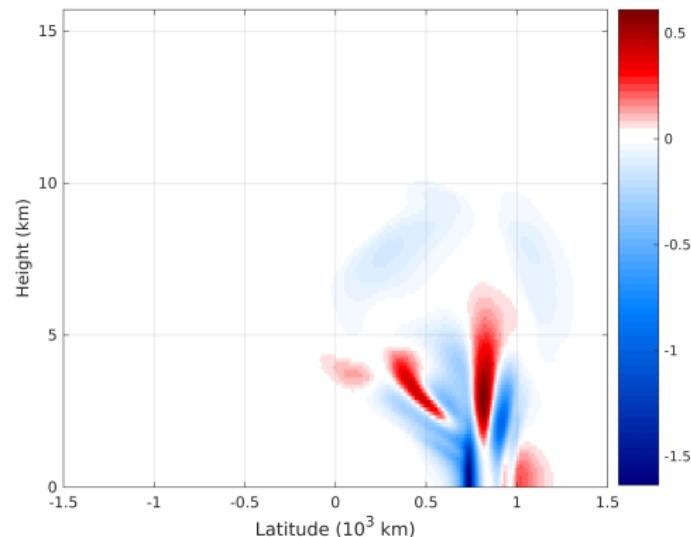
deep

## Eddy Flux Divergence of Zonal Momentum $- (\overline{v' u'})_y - (\overline{w' u'})_z$

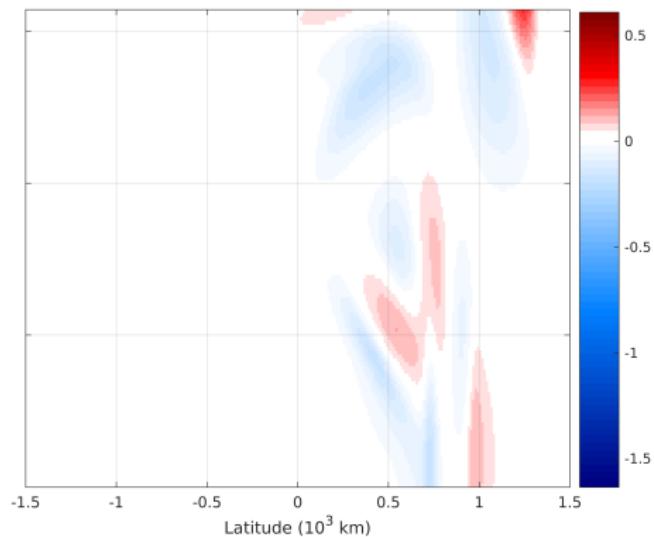


deep

$$\text{Acceleration/deceleration} \left[ -\left( v' u' \right)_y - \left( w' u' \right)_z \right] \bar{u}$$



shallow

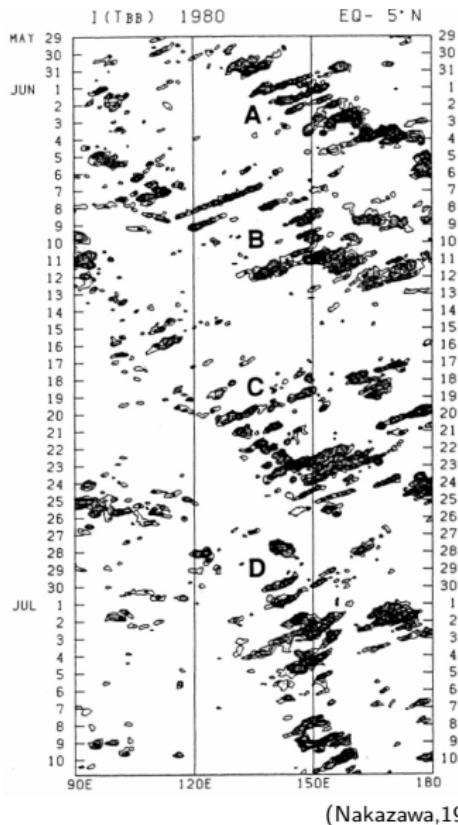


deep

## Summary

- ▶ Baroclinic aspects of ITCZ breakdown.
- ▶ ITCZ breakdown induce significant eddy flux divergence of zonal momentum and acceleration/deceleration effects on the planetary-scale zonal jets.
- ▶ Shallow heating Induce **stronger** vorticity and winds at the surface, **stronger** eddy flux divergence of zonal momentum and acceleration/deceleration effects.

# Current and Future Research (not in thesis)



Mesoscale Equatorial Synoptic Dynamics model (MESD), (Majda, 2007)

Its 2D version, “*Upscale Impact of Mesoscale Disturbances of Tropical Convection on Synoptic-Scale Equatorial Waves in Two-Dimensional Flows*”, manuscript submitted to JAS.

Its 3D version...

# *Thank you!*

## References

- [1] Majda, A. J. (2007). New multiscale models and self-similarity in tropical convection. *Journal of the atmospheric sciences*, 64(4), 1393-1404.
- [2] Yang, Q., & Majda, A. J. (2014). A multi-scale model for the intraseasonal impact of the diurnal cycle of tropical convection. *Theoretical and Computational Fluid Dynamics*, 28(6), 605-633.
- [3] Majda, A. J., & Yang, Q. (2016). A Multiscale Model for the Intraseasonal Impact of the Diurnal Cycle over the Maritime Continent on the Madden-Julian Oscillation. *Journal of the Atmospheric Sciences*, 73(2), 579-604.
- [4] Yang, Q. & Majda, A. J. & Khouider, B. (2017). ITCZ Breakdown and Its Upscale Impact on the Planetary-Scale Circulation over the Eastern Pacific. Submitted to *Journal of the Atmospheric Sciences*. In revision.
- [5] Yang, Q. & Majda, A. J. (2017). Upscale Impact of Mesoscale Disturbances of Tropical Convection on the Synoptic-Scale Equatorial Waves in Two-Dimensional Flows. Submitted to *Journal of the Atmospheric Sciences*.