

Attention Is All You Need:

Deriving the Seminal Transformer Architecture from First Principles

J. Setpal

September 3, 2024



Some context-relevant terms:

- a. **Neuron:** The unit of a neural network $y = \sigma(XW)$
- b. **Logit:** Pre-activation scores for the *final layer*.
- c. **Dot / Inner Product:** $\langle a, b \rangle = \sum_{i=1}^N a_i b_i$
- d. **Matrix Multiplication:** For $X \in \mathbb{R}^{a \times b}$, $Y \in \mathbb{R}^{b \times c}$,
 $Z = XY \in \mathbb{R}^{a \times c}$ s.t. $z_{ij} = \sum_{k=1}^b a_{ik} b_{kj}$
- e. **Activation:** Non-linear function over the output of matrix multiplication.
- f. **Gradient:** $\nabla_w \mathcal{L}(w)$, derivative of $\mathcal{L} : \mathbb{R}^a \rightarrow \mathbb{R}$
- g. **Latent Vector:** $h^{(\ell)}$, intermediary output from within a neural network.
- h. **Embedding:** A look-up table that translates categorical values (words) to vectors.

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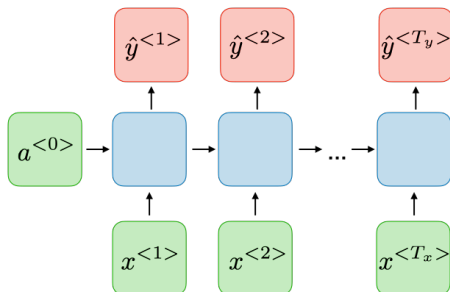
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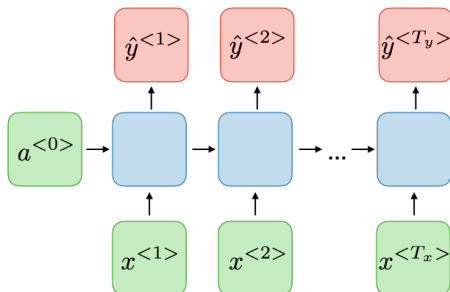
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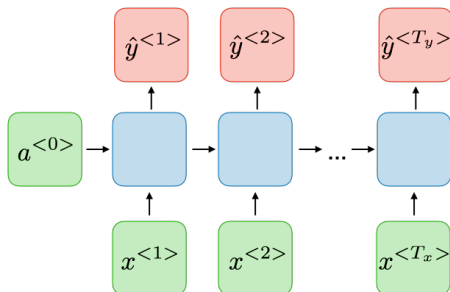
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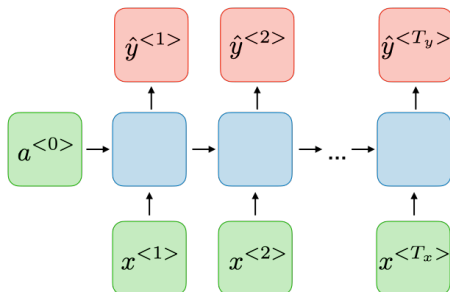
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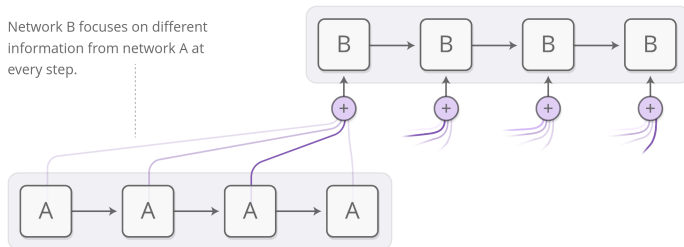
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Where q_i, k_i, v_i are each independently computed latent matrices.

$$\text{Self-Attention}(Q, K, V) = \left(\frac{QK^T}{\sqrt{d_{out}}} \right) V \quad (7)$$

Positional Encoding

A consequence of this setup, however is that we are not considering the order of the tokens anymore. It is **permutation invariant**.

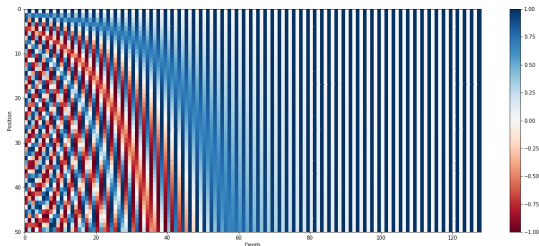
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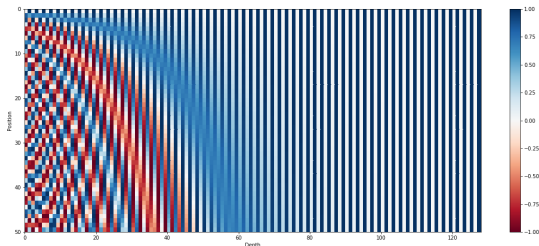
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Modern-day references: **Rotary Positional Encodings (RoPE)**.

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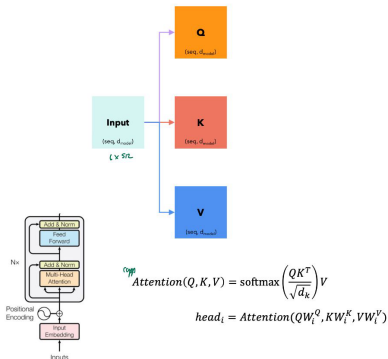
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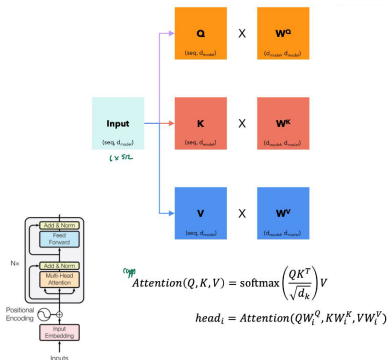
Modern-day references: **Flash Attention, Multi-Head Latent Attention**.

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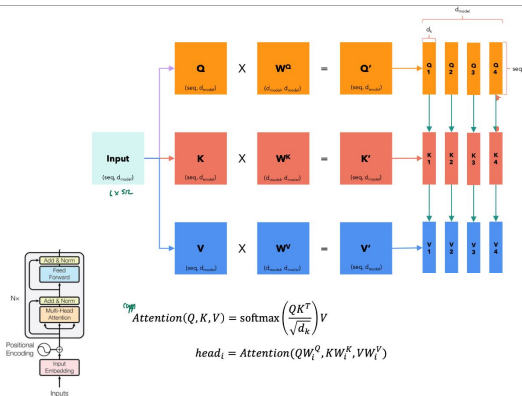
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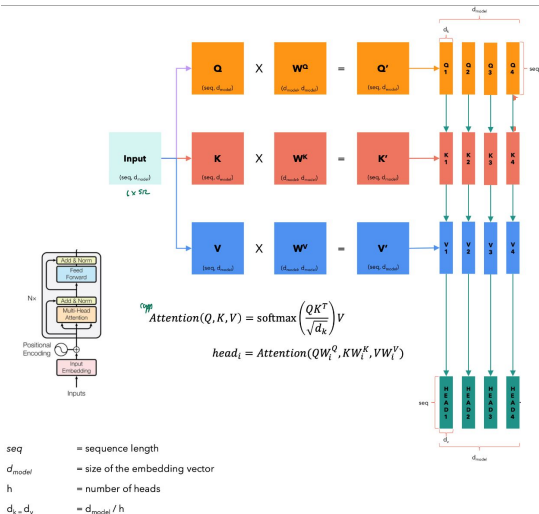
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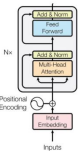


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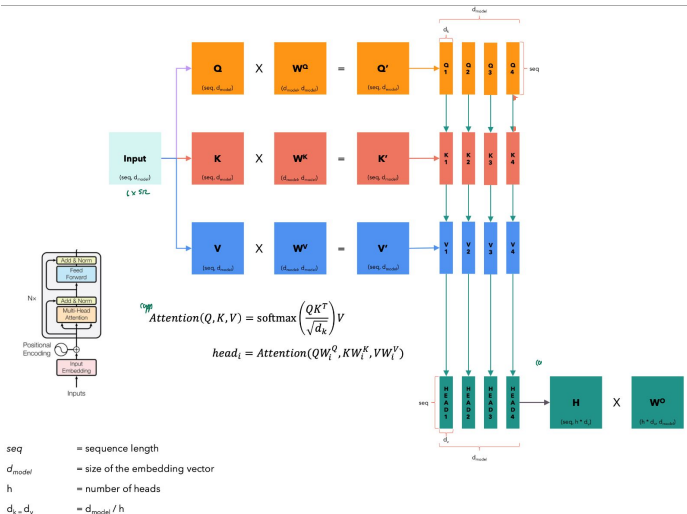


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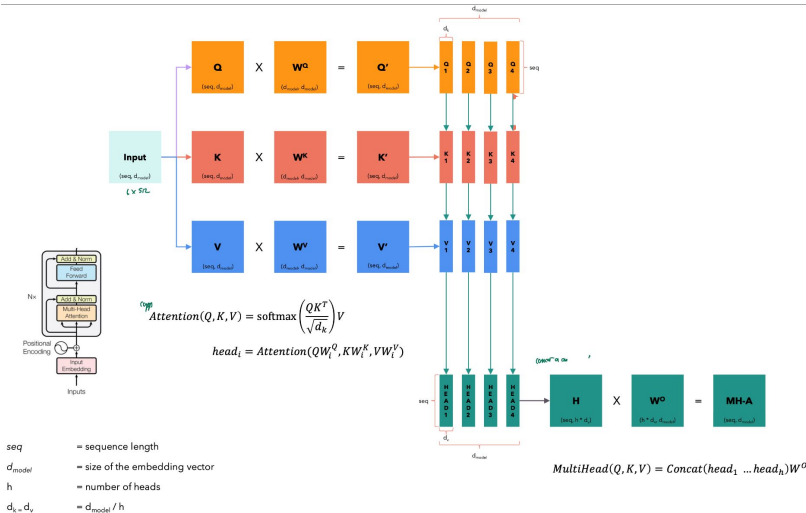


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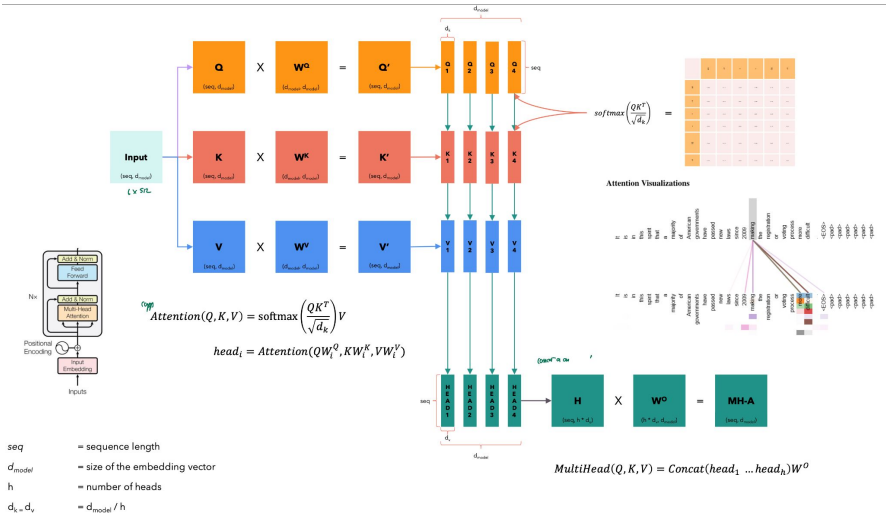


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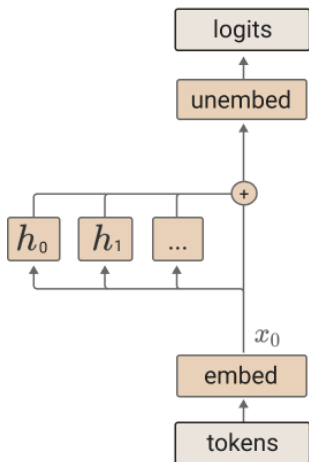
For this, a two-layer MLP is instantiated that expands and consequently contracts the input dimension.

$$FFN(x) = \sigma_{relu}(xW_1 + b_1)W_2 + b_2 \quad (12)$$

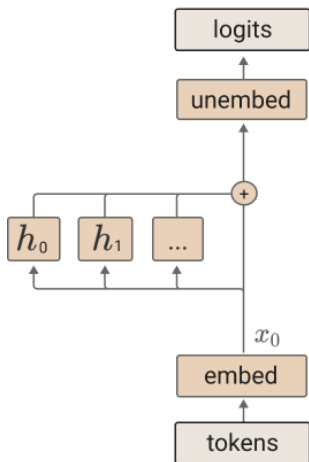
The original paper uses a factor of 4.

Scaling to Deeper Networks – A Better Interpretation

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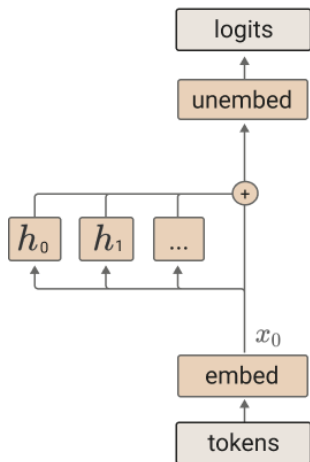
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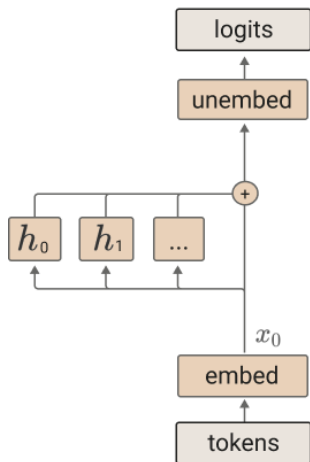


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In addition, we also perform layer normalization over the latent vectors before MLP & self-attention.

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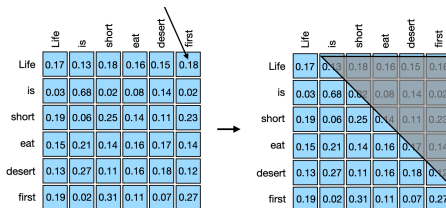
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If you can view this screen, I am making a mistake.

Thank you!

Have an awesome rest of your day!

Slides: <https://cs.purdue.edu/homes/jsetpal/slides/transformer.pdf>