DeepSeek R1: Reasoning via RL¹ \$6M Is All You Need?

J. Setpal

January 30, 2025



Welcome to Reading Group!

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I probably get some things wrong, and definitely can't answer every question. Idea is to work through it together.

Outline

RL Review

2 Training Details

Performance Evals

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Performance Evals

PPO

We start with the standard clipped surrogate objective introduced in PPO:

$$\mathcal{J}_{PPO}(\theta) := \mathbb{E}[q \sim P(Q), \{o_i\}_{i=1}^{G} \sim \pi_{\theta_{old}}(O|q)] \\
\frac{1}{G} \sum_{i=1}^{G} \min\left(\frac{\pi_{\theta_{old}}(o_i|q, o_{< i})}{\pi_{\theta}(o_i|q, o_{< i})} A_i, \operatorname{clip}\left(\frac{\pi_{\theta_{old}}(o_i|q, o_{< i})}{\pi_{\theta}(o_i|q, o_{< i})}, 1 \pm \varepsilon\right) A_i\right) \tag{1}$$

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One issue with this is A_i , which is computed using the **Generalized** Advantage Estimator² –

- 1. Estimates long-range trajectory rewards.
- 2. However, requires a neural reward model which is expensive to train and can be unstable.

²Schulman et. al [ICLR 2016]

We'll review the RLHF pipeline per Zeiger et al. It has 3-primary phases:

1. **Supervised Fine-Tuning (SFT)**: A pre-trained LLM (π_{PT}) is fine-tuned on high-quality, domain-specific datasets to obtain π_{SFT} .

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$$\mathcal{D} := \{(x_j, y_1, y_2)\}_{i=1, j=1}^{N, K} \sim \pi_{SFT}(y|x), \{x_i\}_{i=1}^{K}$$
 (2)

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is the preference distribution optimized over negative log-likelihood on a parameterized model $r_{\phi}(x,y)$. Some notes:

- a. Rewards are normalized over x to motivate lower variance.
- b. r_{ϕ} is π_{SFT} with the final linear layer returning the scalar reward.

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Mathematically, RLHF posits the following optimization problem:

$$\max_{\pi_{\theta}} \mathbb{E}_{\mathbf{x} \sim \mathcal{D}, \mathbf{y} \sim \pi_{\theta}(\mathbf{y}|\mathbf{x})}(r_{\phi}(\mathbf{x}, \mathbf{y})) - \beta \mathbb{D}_{\mathsf{KL}}[\pi_{\theta}(\mathbf{y}|\mathbf{x}) \mid\mid \pi_{\mathsf{SFT}}(\mathbf{y}|\mathbf{x})]$$
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This is equivalent to the reward function:

$$r(x,y) = r_{\phi}(x,y) - \beta(\log \pi_{\theta}(y|x)) - \log(\pi_{SFT}(y|x))$$
 (6)

Which is maximized using Proximal Policy Optimization.

GRPO (1/2)

For each question q, GRPO samples outputs $\{o_1, \ldots, o_G\}$ with the training objective being to maximize (1):

$$\mathcal{J}_{\textit{GRPO}}(heta) := \mathbb{E}[q \sim P(Q), \{o_i\}_{i=1}^{\textit{G}} \sim \pi_{ heta_{old}}(\textit{O}|q)]$$

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(7)

$$D_{KL}(\pi_{\theta}||\pi_{\mathsf{ref}}) = \frac{\pi_{\mathsf{ref}}(o_i|q)}{\pi_{\theta}(o_i|q)} - \log \frac{\pi_{\mathsf{ref}}(o_i|q)}{\pi_{\theta}(o_i|q)} - 1 \tag{8}$$

$$A_i = \frac{r_i - \bar{r}}{\sigma_{std}(r)}; \ r \in \{r_1, \dots, r_G\}$$
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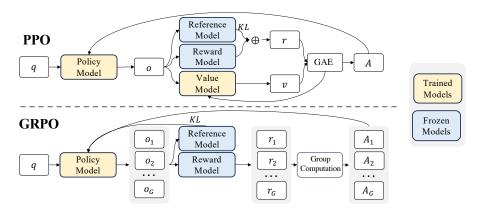
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Approach Overview

The training process can largely can be broken down into three stages:

- 1. RL on a base model gets us DeepSeek-R1-Zero.
- 2. **RL+SFT on a checkpoint** gets us DeepSeek-R1.
- 3. **Distillation** gets us DeepSeek-R1-Distill-<model-name>.

We'll discuss each of these in detail next.

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³https://arxiv.org/abs/2305.11206

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This, combined with **carefully selected data** are key to the incredible benchmark performance.

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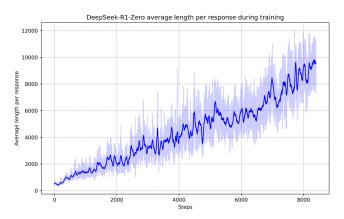
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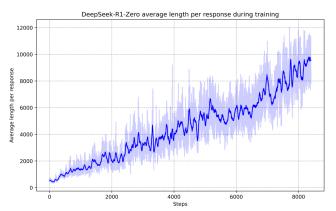
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Thinking more, and thinking anthropomorhically as emergent properties.

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Solution? **Cold Start**. Use SFT *briefly*, to encourage approaching problems consistently.

So, what is cold start?

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- 1. Few shot prompting using a long CoT as examples.
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- 3. Manually refining DeepSeek-R1-Zero outputs to remove language mixing, fixing readibility and general response refinment.

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SFT DeepSeek-V3-Base for 2 epochs over \approx 800K sample dataset. **Profit**.

Secondary RL Stage

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Helps with: improving helpfulness and harmlessness.

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Quiet Part Out Loud

Probably was used to filter out Tiananmen Square Massacre information, whatever additional censorship they desire.

Distillation

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Opinion

I disagree, they could've used RL to fine-tune DeepSeek-V3-Base with RL-checkpointed data but chose SFT.

What <u>Didn't</u> Work & Why

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Aside

Approaches do exist that combine these two and exhibit strong performance in specific specialized domains. ^{ab}

ahttps://arxiv.org/abs/2406.03816

^bhttps://arxiv.org/abs/2501.07301

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- 3. It doesn't work well with few-shot prompting.
- 4. It doesn't work well for SWE tasks.

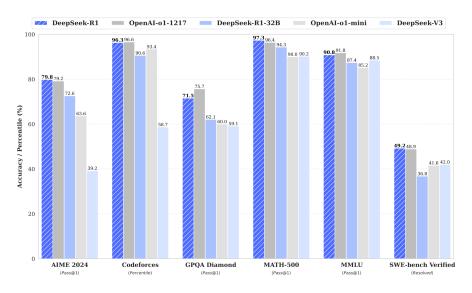
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Reasoning



Other Stuff

[Refer to paper directly]

Thank you!

Have an awesome rest of your day!

Slides: https://jinen.setpal.net/slides/dsr1.pdf