
Neural Event-Triggered Control with Optimal Scheduling

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Abstract

Learning-enabled controllers with stability certificate functions have demonstrated impressive empirical performance in addressing control problems in recent years. Nevertheless, directly deploying the neural controllers onto actual digital platforms **requires** impractically high communication resources due to a continuously updating demand from the closed-loop feedback controller. We propose a framework aimed at learning the event-triggered controller (ETC) with optimal scheduling, i.e., minimal triggering times, to address this challenge in resource-constrained scenarios. Our framework Neural ETC consists of two practical algorithms: the path integral algorithm, which is based on the neural event ODE method, and the Monte Carlo algorithm based on our new theoretical results regarding lower bound of inter-event time. In comparison to the conventional neural controllers, our empirically finding indicates that the Neural ETC significantly decreases the required communication resources and improve the control performance in limited communication resources scenarios.

1 Introduction

Stabilizing the complex nonlinear systems represents a formidable focal task within the realms of mathematics and engineering. Previous research in the field of cybernetics has applied the Lyapunov stability theory to formulate stabilizing policies for linear or polynomial dynamical systems, including the linear quadratic regulator (LQR) [1] and the sum-of-squares (SOS) polynomials, using the semi-definite planning (SDP) [2]. Stabilizing more intricate dynamical systems with high dimension and nonlinearity, as encountered in real applications, has prompted the integration of machine learning techniques into the cybernetics community[3]. Recent advancements in learning neural networks based controllers with certificate functions, such as Lyapunov function [4, 5], LaSalle function [6], barrier functions [7] and contraction metrics [8], have demonstrated outstanding performance in controlling diverse dynamics [9]. Nevertheless, it is noteworthy that all these controllers require updating the control signal continuously over time, leading to a considerable communication cost between controller and platform.

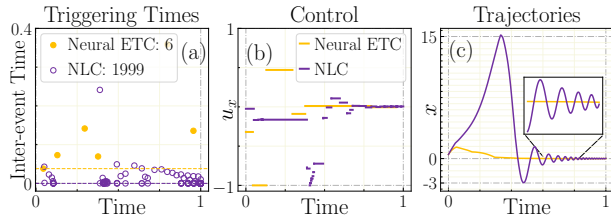


Figure 1: Comparison of Neural ETC (yellow) and neural Lyapunov control (NLC, purple) in stabilizing the Lorenz system under the event-triggered control setting. (a): The inter-event time of consecutive triggering events. The dashed lines represent the minimal inter-event time of each control. (b): The control values acting on variable x in the control process. (c): The controlled trajectories of the variable x .

The periodic control is mostly advocated for implementing feedback control laws on digital platforms [10]. However, such implementations often incur significant over-provisioning of the communication network, especially in the recently developed large-scale resource-constrained wireless embedded control systems [11]. To mitigate this issue, the event-triggering mechanism is introduced to generate sporadic transmissions across the feedback channels of the system. Compared to the periodic control which updates the control signal at a series of predefined explicit times, event-triggered control updates the control signal at the instants when the current measurement violates a predefined triggering condition, thereby triggering a state-dependent event [12]. Given that these instants are implicitly determined by the state trajectories, the scheduling of computation and communication resources for event-triggered control becomes a very challenging problem, involving the minimization of the number of events and the increase of inter-event time. While significant strides have been made in designing the stabilizing event-triggered control for specific dynamics in recent years, the task of designing event-triggered control for general nonlinear and large-scale dynamics with optimal scheduling remains an open problem [13, 14, 15, 16].

Our goal is to design event-triggered control for general complex dynamics, ensuring both stability guarantee and optimal scheduling, i.e., to implement event-triggered control with the minimal triggering times and the maximal inter-event time. Fig. 1 depicts the comparison of control performance of the Neural ETC and the NLC in the event-triggered realization to stabilize a Lorenz dynamic. In Fig. 1(a)-1(b), it is evident that the triggering times of Neural ETC are significantly fewer than those of NLC, and the minimal inter-event time of consecutive triggering times of Neural ETC considerably exceeds that of NLC. These disparities lead to the different behaviors of the controlled trajectories, as depicted in Fig. 1(c). Under Neural ETC, the trajectory rapidly converges to the target state, while the NLC exhibits violent oscillation around the target.

Contribution. The principal contributions of this paper can be summarized as follows:

- We propose Neural ETC, a framework for learning event-triggered controllers ensuring both stability guarantee and optimal scheduling. We provide a general form of event function to provide exponential stability guarantee for the event-triggered controlled system. We firstly propose a path integral approach to realize the implementation of the machine learning framework based on the root solver and neural event ODE solver that calculate the trainable event triggering times.
- Secondly, we theoretically address the estimation of the minimal inter-event time of the event triggered controlled system, which leading to the Monte Carlo approach of our framework that circumvents the expensive computation cost of back-propagation through ODE solvers. The two approaches trade off in terms of stabilization performance and training efficiency, which is convenient for users to flexibly choose the specific approach according to the task in hand.
- Finally, we evaluate Neural ETCs on a variety of representative physical and engineering systems. Compared to existing stabilizing controllers, we find that Neural ETCs exhibit significant superiority in decreasing the triggering times and maximizing the minimal inter-event time. The code for reproducing all the numerical experiments is released at `anonymous/Neural-Event-triggered-Control`.

2 Background

Notations. Denote by $\|\cdot\|$ the L^2 -norm for any given vector in \mathbb{R}^d . Denote by $\|\cdot\|_{C(\mathcal{D})}$ the maximum norm on continuous function space $C(\mathcal{D})$. For $A = (a_{ij})$, a matrix of dimension $d \times r$, denote by $\|A\|_F^2 = \sum_{i=1}^d \sum_{j=1}^r a_{ij}^2$ the Frobenius norm. Denote $\max(a, 0)$ by $(a)^+$. Denote $\mathbf{x} \cdot \mathbf{y}$ as the inner product of two vectors.

2.1 Neural Lyapunov Control

To begin with, we consider the feedback controlled dynamical system of the following general form:

$$\dot{\mathbf{x}} = \mathbf{f}(\mathbf{x}, \mathbf{u}(\mathbf{x})) \triangleq \mathbf{f}_{\mathbf{u}}(\mathbf{x}), \quad \mathbf{x} \in \mathbb{R}^d, \quad \mathbf{u} \in \mathbb{R}^m, \quad (1)$$

where $\mathbf{f}_u(\mathbf{x}) : \mathcal{D} \rightarrow \mathbb{R}^d$ is the Lipschitz-continuous vector field acting on some prescribed open set $\mathcal{D} \subset \mathbb{R}^d$. The solution initiated at time t_0 from \mathbf{x}_0 under controller \mathbf{u} is denoted by $\mathbf{x}_u(t; t_0, \mathbf{x}_0)$. For brevity, we let the unstable equilibrium $\mathbf{x}^* \in \mathcal{D}$ be origin, i.e., $\mathbf{f}(\mathbf{0}, \mathbf{0}) = \mathbf{0}$. One major problem in cybernetics field is to design stabilizing controller $\mathbf{u}(\mathbf{x})$ [17] such that $\lim_{t \rightarrow \infty} \mathbf{x}_u(t; t_0, \mathbf{x}_0) = \mathbf{0}$, for any initial value $\mathbf{x}_0 \in \mathcal{D}$.

Theorem 2.1 [18] *Suppose there exists a continuously differentiable function $V : \mathcal{D} \rightarrow \mathbb{R}$ that satisfies the following conditions: (i) $V(\mathbf{0}) = 0$, (ii) $V(\mathbf{x}) \geq c\|\mathbf{x}\|^p$ for some constants $c, p > 0$, (iii) and $\mathcal{L}_{\mathbf{f}_u} V < -\delta V$, for some $\delta > 0$.¹ Then, the system is exponentially stable at the origin, that is, $\limsup_{t \rightarrow \infty} \frac{1}{t} \log \|\mathbf{x}_u(t; t_0, \mathbf{x}_0)\| \leq -\frac{\delta}{p}$. Here V is called a Lyapunov function.*

Previous works parameterize the controller and the Lyapunov function as \mathbf{u}_ϕ , V_θ , and integrate the sufficient conditions for Lyapunov stability into the loss function as $L(\theta, \phi) = \frac{1}{N} \sum_{i=1}^N \left((c\|\mathbf{x}_i\|^p - V_\theta(\mathbf{x}_i))^+ + (\mathcal{L}_{\mathbf{f}_{\mathbf{u}_\phi}} V_\theta(\mathbf{x}_i) + \delta V_\theta(\mathbf{x}_i))^+ \right) + V_\theta(\mathbf{0})^2$ [4, 5, 19]. The learned Lyapunov V plays a role of stability certificate function.

Remark 2.2 *Unlike model-free reinforcement learning (RL) approaches that search for an online control policy guided by a reward function along the trajectories of the dynamical systems. [20], the neural Lyapunov control searches for an offline policy and a certificate function V that proves the soundness of the learned policy [9]. Nevertheless, updating the feedback policy continuously in the implementation process incurs prohibitive high communication cost.*

2.2 Event-triggered Control

Although the feedback controller works well in numerical simulations, updating and implementing the controller continuously is impractical in most real-world digital platforms under communication constraints [21]. To conquer this weakness, event-triggered stabilizing control is introduced as follows [12],

Definition 2.1 (Event-triggered Control) *Consider the controlled system (1), the event-triggered controller is defined as $\mathbf{u}(t) = \mathbf{u}(\mathbf{x}(t_k))$, $t_k \leq t < t_{k+1}$, where the triggering time is decided by $t_{k+1} = \inf\{t > t_k : h(\mathbf{x}(t)) = 0\}$ for some predefined event function h . The largest lower bound τ^* of $\{t_{k+1} - t_k\}$ is called as minimal inter-event time. For example, if there exists a Lyapunov function V for the feedback controlled system (1), then the event function is set to guarantee the Lyapunov condition on each event triggering time interval, i.e., $\nabla V \cdot \mathbf{f}(\mathbf{x}(t), \mathbf{u}(\mathbf{x}_{t_k})) < 0$, $t \in [t_k, t_{k+1})$.*

Problem Statement. We assume that the zero solution of the uncontrolled system in Eq. (1) is unstable, i.e. $\lim_{t \rightarrow \infty} \mathbf{x}_{u=0}(t; t_0, \mathbf{x}_0) \neq \mathbf{0}$. We aim at stabilizing the zero solution using event-triggered control based on neural networks (NNs) with optimal scheduling, i.e., the least triggering times, which is urgently required by the digital platforms wherein the communication resources of updating the control value are limited. Notice that in an average sense, the triggering times are inversely proportional to the inter-event time, our goal is equivalently to leverage the NNs to design an appropriate controller \mathbf{u} with $\mathbf{u}(\mathbf{0}) = \mathbf{0}$ such that the controlled system under event-triggered implementation is steered to the zero solution with the maximal inter-event time. We summarize the problem formulation as the following optimization problem,

$$\begin{aligned} & \max_{\mathbf{u}} \left(\min_{\{t_k \leq T\}} (t_{k+1} - t_k) \right) + \lambda_1 \|\mathbf{u}(\mathbf{x})\|_{C(\mathcal{D})} \\ \text{s.t. } & \dot{\mathbf{x}}(t) = \mathbf{f}(\mathbf{x}(t), \mathbf{u}(\mathbf{x}(t_k))), \quad t \in [t_k, t_{k+1}), \\ & \mathbf{x}(0) = \mathbf{x}_0 \in \mathcal{D}, \lim_{t \rightarrow T} \mathbf{x}(t) = \mathbf{0}, \end{aligned} \quad (2)$$

here the triggering time $\{t_k : t_k \leq T\}$ depends on the controller \mathbf{u} and the triggering mechanism, and $T \leq \infty$ is the prefixed time limit according to the specific tasks. We aim at devising controller \mathbf{u} and triggering mechanism to solve this problem based on the known model \mathbf{f} and time limit T .

The major difficulty of this problem comes from that the implicitly defined triggering times are not equidistant, and are only known when the events are triggered [22]. The majority of existing works focus on the stabilization performance of event-triggered control and often omit the communication

¹ $\mathcal{L}_{\mathbf{f}_u} V$ represent the Lie derivative of V along the direction \mathbf{f}_u , i.e., $\mathcal{L}_{\mathbf{f}_u} V = \nabla V \cdot \mathbf{f}_u$.

cost of updating the control value at triggering moments. In what follows, we propose neural event-triggered control (Neural ETC) framework to address both the stabilization and the communication cost issues of event-triggered control.

3 Method

Closed-loop controlled dynamics. The dynamics under event-triggered control is generally an open-loop system with controller varying from different triggering time intervals. In order to simplify the theoretical analysis and to utilize the existing numerical tools for ODE solvers, we transform the event-triggered controlled system to the closed-loop version via augmenting the dynamics with an error state $e(t) = x(t_k) - x(t)$, $t \in [t_k, t_{k+1})$ and an update operation $e(t_{k+1}) = 0$. Then we obtain the closed-loop controlled dynamics as

$$\dot{x} = f(x, u(x + e)), \dot{e} = -f(x, u(x + e)), t \in [t_k, t_{k+1}).$$

In the next sections, we construct the event function with exponential stability guarantee and deduce the theoretical estimation of minimal inter-event time based on the augmented dynamics of (x, e) .

Event function for exponential stability.

We consider the exponential Lyapunov stability for controlled system (1) such that the corresponding Lyapunov function defined in Theorem 2.1 satisfies the stability condition $\mathcal{L}_{f_u} V \leq -\delta V$ and $V(x) \geq \alpha(\|x\|)$, where α is a class- K function². For brevity, we fix $\delta = 1$ in this paper such that the decay exponent of the Lyapunov function is 1. Since the event-triggered controller is a discrete time realization of the original feedback controller u , the corresponding exponential decay rate of the Lyapunov function is less than 1. Therefore, we design the event function $h = h(x, e)$ as

$$h = \nabla V(x) \cdot (f(x, u(x + e)) - f(x, u(x))) - \sigma V(x) \quad (3)$$

with $0 < \sigma < 1$, such that the event-triggered controlled system satisfies

$$\nabla V(x) \cdot f(x, u(x + e)) \leq \nabla V(x) \cdot f(x, u(x)) + \sigma V(x) \leq -(1 - \sigma)V(x). \quad (4)$$

Hence, the exponential stability of the event-triggered controlled system is assured with exponential decay rate $1 - \sigma$. As illustrated in Fig. 2, the NLC is used as an example method to be compared with the Neural ETC. The control value is updated when an event is triggered, i.e., the event function h equals to zero. Our method achieves the exponential stability and has the least triggered events, leading to the lowest communication cost of updating the control value.

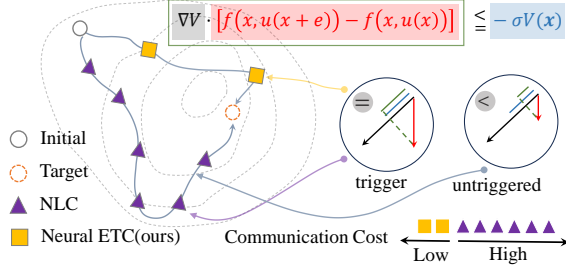


Figure 2: Illustration of the Neural ETC with optimal scheduling.

3.1 Path Integral Approach

Parameterization. In order to design the feedback controller such that its event-triggered implementation stabilize the unstable equilibrium efficiently and has the largest minimal inter-event time, we consider the following parameterized optimization problem.

$$\begin{aligned} & \min_{\theta, \phi} \left(\min_{\{t_k \leq T\}} \frac{1}{t_{k+1} - t_k} \right) + \lambda_1 \|u_\phi(x)\|_{C(\mathcal{D})} \\ \text{s.t. } & \dot{x} = f(x, u_\phi(x + e)), \dot{e} = -f(x, u_\phi(x + e)), t \in [t_k, t_{k+1}), \\ & x(0) = x_0, e(t_k) = 0, V_\theta(0) = 0, u_\phi(0) = 0, \\ & t_{k+1} = \inf_{t > t_k} \{t : h_{\theta, \phi}(x(t), e(t)) = 0\} \\ & \alpha(\|x\|) - V_\theta(x) \leq 0, \mathcal{L}_{f_{u_\phi}} V_\theta(x) + V_\theta(x) \leq 0. \end{aligned}$$

²A continuous function $\alpha : (0, \infty) \rightarrow (0, \infty)$ is said to belong to class- K if it is strictly increasing and $\alpha(0) = 0$.

Here, λ_1 is a predefined weight factor, T is the temporal length of the controlled trajectory, α is a class- K function, and $h_{\theta, \phi}(e, \mathbf{x}) = \mathcal{L}_{f_{u_\phi}} V_\theta \cdot (\mathbf{f}(\mathbf{x}, \mathbf{u}_\phi(\mathbf{x} + e)) - \mathbf{f}(\mathbf{x}, \mathbf{u}_\phi(\mathbf{x}))) - \sigma V_\theta(\mathbf{x}(t))$ is the parameterized event function. To ensure the neural functions $V_\theta, \mathbf{u}_\phi$ satisfy some constraints naturally, we adopt the parametrization in [5] as follows,

$$\begin{aligned} V_\theta &= \text{ICNN}_\theta(\mathbf{x}) - \text{ICNN}_\theta(\mathbf{0}) + \varepsilon \|\mathbf{x}\|^2, \\ \mathbf{u}_\phi &= \text{diag}(\mathbf{x}) \text{NN}_\phi(\mathbf{x}) \text{ or } \text{NN}_\phi(\mathbf{x}) - \text{NN}_\phi(\mathbf{0}), \end{aligned} \quad (5)$$

where $\text{diag}(\mathbf{x})$ transforms a vector to a diagonal matrix with $(\text{diag}(\mathbf{x}))_{ij} = \delta_{ij} x_i$, ICNN_θ and NN_ϕ represent the input convex neural network and the feedforward neural networks, respectively, the detailed formulation is provided in Appendix A.3.1. We minimize the continuous function norm $\|\mathbf{u}_\phi\|_{C(\mathcal{D})}$ by regularizing the Lipschitz constant of the neural network, we apply the spectral norm regularization method in [23] to minimize the spectral norm of the weight matrices $\{\mathbf{W}_{\phi, i}\}_{i=1}^l$ in \mathbf{u}_ϕ with the regularization term $L_{\text{lip}} = \sum_{i=1}^l \sigma(\mathbf{W}_{\phi, i})^2$. To solve the substantially non-convex optimization problem, we relax the original hard constraint $\mathcal{L}_{f_{u_\phi}} V_\theta(\mathbf{x}) + V_\theta(\mathbf{x}) \leq 0$ to a soft constraint in the loss function as $L_{\text{stab}} = \frac{1}{N} \sum_{i=1}^N \left(\mathcal{L}_{f_{u_\phi}} V_\theta(\mathbf{x}_i) + V_\theta(\mathbf{x}_i) \right)^+$.

Calculate gradients of t_k . To proceed, we handle the objective function related to the triggering times. Instead of directly training the parameters ϕ, θ based on the direct samples of $V_\theta, \mathbf{u}_\phi$ and $\mathbf{f}(\mathbf{x}, \mathbf{u}_\phi(\mathbf{x}))$ as done in neural certificate-based controllers, we have to numerically solve the controlled ODEs to identify the triggering times. To proceed, we need to calculate the gradients of t_k for optimizing $\frac{1}{t_{k+1} - t_k}$ term in loss function during gradient-based optimization. We employ the neural event ODE method as: $t_{k+1}, \mathbf{x}(t_{k+1}) = \text{ODESolveEvent}(\mathbf{x}(t_k), \mathbf{f}, \mathbf{u}_\phi, t_k)$, where ODESolveEvent is proposed by [24], which introduces root solver and adjoint method [25] to the numerical solver and deduce the gradient $\frac{\partial t_k}{\partial \phi}$ from the implicit function theorem [26].

Reduce computation complexity. We denote by $t_k(\mathbf{x})$ the k_{th} triggering time from initial value $t_0 = 0, \mathbf{x}(0) = \mathbf{x}$. The computation cost of ODESolveEvent is $\mathcal{O}(MKLd^2)$, where M is the batch size of the initial value $\{\mathbf{x}_i(0)\}_{i=1}^M$, $\bar{K} = \frac{1}{M} \sum_{i=1}^M K(\mathbf{x}_i(0))$, $K(\mathbf{x}_i(0)) = \#\{t_k(\mathbf{x}_i(0)) : t_k \leq T\}$ is the number of triggering times before T , and L is the iteration times in the root solver. In this case, the computation cost pivots on the sampled batch and its variance is hard to decrease. In addition, the numerical error in ODE solver accumulates over the triggering time sequence $\{t_k\}$. To mitigate these issues, according to the time invariance property of ODEs, i.e., $t_{k+1}(\mathbf{x}(0)) - t_k(\mathbf{x}(0)) = t_1(\mathbf{x}(t_k))$, we recast the problem of solving M batch trajectories $\{\mathbf{x}_i(t_k), t_k \leq T | \mathbf{x}_i(0) \sim q_0(\mathbf{x})\}_{i=1}^M$ of controlled ODE as solving $M\bar{K}$ trajectories $\{\mathbf{x}_i(t_1), t_1 \leq T | \mathbf{x}_i(0) \sim \tilde{q}_0(\mathbf{x})\}_{i=1}^{M\bar{K}}$ up to t_1 . Here, \bar{K} represent the expectation of K . In practice, we directly treat $M\bar{K}$ together as a single hyperparameter M . Then the triggering times contribute into the loss function as $L_{\text{event}} = \frac{1}{M} \sum_{i=1}^M \frac{1}{t_1(\mathbf{x}_i(0))}$. Finally, we train the overall parameterized model with the total loss function as follows,

$$L(\phi, \theta) = L_{\text{stab}} + \lambda_1 L_{\text{lip}} + \lambda_2 L_{\text{event}} \quad (6)$$

The whole training procedure is summarized in Algorithm 1.

Remark 3.1 A more reasonable augmented distribution should takes the form as $\tilde{q}_0(\mathbf{x}) = \frac{1}{K-1} \sum_{k=0}^{K-1} q_k(\mathbf{x})$, where $\mathbf{x}_{t_k} \sim q_k(\mathbf{x})$ is deduced from the initial distribution q_0 and the ODE integration from 0 to t_k . Since t_k varies for different initial value and cannot be determined in advance, we fix $\tilde{q}_0 = q_0$ for simplicity.

3.2 Monte Carlo Approach

Although the proposed algorithm works efficiently in low dimensional ODEs, the high computation cost and accumulate error caused by the ODE solver affect its performance in higher dimensional tasks. To circumvent this drawback, we propose a Monte Carlo approach for training the Neural ETC. Inspired by the event-triggered scheduling theory in [14], we provide the following estimation of minimal inter-event time.

Theorem 3.2 Consider the event-triggered controlled dynamics in Eq. (1), if the following assumptions are satisfied: (i) $\|\mathbf{f}(\mathbf{x}', \mathbf{u}') - \mathbf{f}(\mathbf{x}, \mathbf{u})\| \leq l_f (\|\mathbf{x}' - \mathbf{x}\| + \|\mathbf{u}' - \mathbf{u}\|)$; (ii) $\|\mathbf{u}(\mathbf{x}') - \mathbf{u}(\mathbf{x})\| \leq$

211 $l_u\|\mathbf{x}' - \mathbf{x}\|$; (iii) $\mathcal{L}_{f_u}V(\mathbf{x}, \mathbf{u}(\mathbf{x} + \mathbf{e})) \leq -\alpha(\|\mathbf{x}\|) + \gamma(\|\mathbf{e}\|)$ for some class- K functions α, γ
 212 with $\alpha^{-1}(\gamma(\|\mathbf{e}\|)) \leq P\|\mathbf{e}\|$. Then, the minimal inter-event time implicitly defined by event function
 213 $h = \alpha(\|\mathbf{x}\|) - \gamma(\|\mathbf{e}\|)$ is lower bounded by $\tau_h = \frac{1}{l_f} \log \frac{P+1}{P + \frac{l_f l_u}{1+l_u}}$.

214 The detailed proof is provided in Appendix A.1.2. According to the theorem, the lower bound of
 215 minimal inter-event time increases as Lipschitz constants of $\alpha^{-1} \circ \gamma$ and \mathbf{u} decrease. Therefore, we
 216 can maximize the minimal inter-event time by regularizing these Lipschitz constants. Nonetheless,
 217 directly integrating the conditions and results of Theorem 3.2 into the training process is unrealistic
 218 and cumbersome, because the error state \mathbf{e} in condition (iii) should depend on \mathbf{x} and we cannot
 219 determine the sampling distribution of \mathbf{e} before training. To solve this problem, we split the inequality
 220 in condition (iii) into a sufficient inequality group as

$$\nabla V \cdot (\mathbf{f}(\mathbf{x}, \mathbf{u}(\mathbf{x} + \mathbf{e})) - \mathbf{f}(\mathbf{x}, \mathbf{u}(\mathbf{x}))) \leq \gamma(\|\mathbf{e}\|) \quad (7)$$

$$\mathcal{L}_{f_u}V(\mathbf{x}) \leq -\alpha(\|\mathbf{x}\|) \quad (8)$$

$$\rightarrow \mathcal{L}_{f_u}V(\mathbf{x}, \mathbf{u}(\mathbf{x} + \mathbf{e})) \leq -\alpha(\|\mathbf{x}\|) + \gamma(\|\mathbf{e}\|)$$

221 The dependence on \mathbf{x} of right term γ in Eq. (7) can be omitted when the state space \mathcal{D} is bounded,
 222 which occurs in most real-world scenarios. The Eq. (7) implies that the Lipschitz constant of γ is
 223 related to the Lipschitz constant of \mathbf{u} . Furthermore, we notice that if we replace the event function in
 224 Theorem 3.2 by the following event function with stability guarantee,

$$\tilde{h} = \nabla V \cdot (\mathbf{f}(\mathbf{x}, \mathbf{u}(\mathbf{x} + \mathbf{e})) - \mathbf{f}(\mathbf{x}, \mathbf{u}(\mathbf{x}))) - \alpha(\|\mathbf{x}\|), \quad (9)$$

225 then the inter-event time of these two event functions hold the relation $t_{k+1,h} - t_{k,h} \leq t_{k+1,\tilde{h}} - t_{k,\tilde{h}}$
 226 due to Eq. (7). Therefore, the inter-event time of event function \tilde{h} is also lower bounded by τ_h in
 227 Theorem 3.2. We summarize the results in the following theorem.

228 **Theorem 3.3** For the event-triggered controlled dynamics in Eq. (1) with event function \tilde{h} defined in
 229 Eq. (9), if the state space \mathcal{D} is bounded, the Eqs. (7),(8) and the conditions (i), (ii) in Theorem 3.2
 230 hold, then the minimal inter-event time is lower bounded by $\tau_{\tilde{h}} = \frac{1}{l_f} \log \frac{cl_{\alpha^{-1}}l_u+1}{cl_{\alpha^{-1}}l_u + \frac{l_f l_u}{1+l_u}}$, here $l_{\alpha^{-1}}$
 231 is the Lipschitz constant of α^{-1} , c is a constant depending on $V, \mathbf{f}, \mathcal{D}$.

232 The proof is provided in Appendix A.1.3. With this theorem, we come to a Monte Carlo approach for
 233 training the Neural ETC framework by directly learning the parameterized functions $V_\theta, \alpha_{\theta_\alpha}$, and
 234 control function \mathbf{u}_ϕ simultaneously, as well as regularizing the Lipschitz constants of \mathbf{u}_ϕ and $\alpha_{\theta_\alpha}^{-1}$.
 235 For constructing neural class- K functions, we adopt the monotonic NNs to construct the candidate
 236 class- \mathcal{K} function as

$$\alpha_{\theta_\alpha}(x) = \int_0^x q_{\theta_\alpha}(s)ds, \quad (10)$$

237 where $q_{\theta_\alpha}(\cdot) \geq 0$ is the output of the NNs [27]. We regularize the inverse of integrand to minimize
 238 the Lipschitz constant of α_{θ_α} . We apply the spectral norm regularization L_{lip} defined above to
 239 minimize the Lipschitz constant of controller \mathbf{u}_ϕ . Finally, we train the overall model with the loss
 240 function as follows,

$$\begin{aligned} \tilde{L}_{\text{stab}} &= \frac{1}{N} \sum_{i=1}^N \left(\mathcal{L}_{f_{\mathbf{u}_\phi}} V_\theta(\mathbf{x}_i) + \alpha_{\theta_\alpha}(\mathbf{x}_i) \right)^+, \quad L_{\alpha^{-1}} = \frac{1}{M_\alpha} \sum_{i=1}^{M_\alpha} \frac{1}{q_{\theta_\alpha}(x_i)}, \\ L(\phi, \theta, \theta_\alpha) &= \tilde{L}_{\text{stab}} + \lambda_1 L_{\text{lip}} + \lambda_2 L_{\alpha^{-1}}. \end{aligned} \quad (11)$$

241 The specific training procedure of this algorithm, dubbed Neural ETC-MC, is shown in Algorithm 2.

242 **Remark 3.4** To obtain a stronger exponential decay rate of V , we multiply the term $\alpha(\|\mathbf{x}\|)$ in
 243 Eq. (9) by $\sigma \in (0, 1)$ in realization. Similarly to Eq. (4), the controlled vector under event \tilde{h} satisfied

$$\nabla V \cdot (\mathbf{f}(\mathbf{x}, \mathbf{u}(\mathbf{x} + \mathbf{e})) \leq -(1 - \sigma)\alpha(\|\mathbf{x}\|). \quad (12)$$

244 Then the exponential decay rate of V is $1 - \sigma$. The lower bound of inter-event time can be obtained
 245 by replacing $l_{\alpha^{-1}}$ with $\sigma^{-1}l_{\alpha^{-1}}$ in Theorem 3.3.

246 4 Theoretical Guarantee for Stability and Optimality

247 In this section, we provide several theoretical results for rigorously guaranteeing the stability and
 248 optimality of our neural controllers. Firstly, we note that the NNs trained on finite samples cannot
 249 guarantee the Lyapunov stability condition in the loss function is satisfied in the whole state space
 250 with infinite data points. To circumvent this weakness, we introduce the projection operation in the
 251 following theorem.

252 **Theorem 4.1** (Stability guarantee) For a candidate controller \mathbf{u} and the stable controller space
 253 $\mathcal{U}(V) = \{\mathbf{u} : \mathcal{L}_{\mathbf{f}_u} V + V \leq 0\}$, we define the projection operator as,

$$\pi(\mathbf{u}, \mathcal{U}(V)) \triangleq \mathbf{u} - \frac{\max(0, \mathcal{L}_{\mathbf{f}_u} V + V)}{\|\nabla V\|^2} \cdot \nabla V.$$

254 If the controller has affine actuator, then we have $\pi(\mathbf{u}, \mathcal{U}(V)) \in \mathcal{U}(V)$. Furthermore, under the
 255 triggering mechanism

$$\begin{aligned} \nabla V(\mathbf{x}) \cdot [\mathbf{f}(\mathbf{x}, \mathbf{u}(\mathbf{x} + \mathbf{e})) - \mathbf{f}(\mathbf{x}, \mathbf{u}(\mathbf{x}))] - \sigma V(\mathbf{x}) &= 0, \\ \sigma &\in (0, 1), \mathbf{e} = \mathbf{x}(t_k) - \mathbf{x}(t), t \in [t_k, t_{k+1}) \end{aligned}$$

256 the controlled system under $\pi(\mathbf{u}, \mathcal{U})$ is assured exponential stable with decay rate $1 - \sigma$, and the
 257 inter-event time has positive lower bound.

258 We provide the proof in Appendix A.1.4. By applying the projection operation to the learned
 259 controller \mathbf{u}_ϕ and potential function V_θ , we obtain the theoretical stability guarantee for our approach.
 260 Based on the Theorem 4.1 and Theorem 3.2, we could provide necessary condition for the optimal
 261 event-triggered control with the largest minimal inter-event time by utilizing the lower bound of the
 262 inter-event time and the projection operation.

263 **Theorem 4.2** (Optimality guarantee) Denote the Lipschitz constant of the controller \mathbf{u} on state space
 264 as l_u , then the optimal control with the largest minimal inter-event time satisfies,

$$\mathbf{u} \in \arg \min_{\mathcal{U}(V)} l_u. \quad (13)$$

265 Furthermore, for any candidate controllers \mathbf{u} , the optimal condition can be simplified as

$$\pi(\mathbf{u}, \mathcal{U}(V)) \in \arg \min l_{\pi(\mathbf{u}, \mathcal{U}(V))}. \quad (14)$$

266 This theorem is a direct result from the Theorem 4.1 and Theorem 3.2, and the projection operation
 267 simplifies the constrained necessary condition in Eq. 13 to the unconstrained condition Eq. 14. We
 268 can easily provide optimality guarantee for the neural network controller \mathbf{u}_ϕ and the Lyapunov
 269 function V_θ by regularizing the Lipschitz constant of $\pi(\mathbf{u}_\phi, \mathcal{U}(V_\theta))$.

270 5 Experiments and Analysis

271 In this section, we demonstrate the superiority of the Neural ETCs over existing methods using series
 272 of experiments from low dimensional tasks to high dimensional tasks, then we unravel the key factors
 273 of Neural ETCs. More details of the experiments can be found in Appendix A.3.

274 5.1 Benchmark Experiments

275 **Benchmark dynamical systems.** (1) Gene Regulatory Network (GRN) plays a central role in
 276 describing the gene expression levels of mRNA and proteins in cell [28], here we consider a two-node
 277 GRN, $\dot{x}_1 = a_1 \frac{x_1^n}{s^n + x_1^n} + b_1 \frac{s^n}{s^n + x_2^n} - kx_1$, $\dot{x}_2 = a_2 \frac{x_2^n}{s^n + x_2^n} + b_2 \frac{s^n}{s^n + x_1^n} - kx_2$, where the tunable
 278 parameters a_1 , a_2 , b_1 and b_2 represent the strengths of auto or mutual regulations. We aim at
 279 stabilizing the system from one attractor to another attractor via only tuning a_1 in time interval $[0, 20]$.

280 (2) Lorenz system is a fundamental model in atmospheric science [29]: $\dot{x} = \sigma(y - x)$, $\dot{y} = \rho x - y - xz$, $\dot{z} = xy - \beta z$. For this chaotic system, we stabilize its unstable zero solution by an fully
 281 actuated controller $\mathbf{u} = (\mathbf{u}_x, \mathbf{u}_y, \mathbf{u}_z)$ in time interval $[0, 2]$.

283 (3) Michaelis–Menten model for subcellular dynamics (Cell) captures the collective behavior of the
 284 coupled cells [30]: $\dot{x}_i = -Bx_i + \sum_{j=1}^n A_{ij} \frac{x_j^2}{1+x_j^2}$. This model has two important equilibrium phase,

inactive phase indicating the malignant state and active state indicating the benign state. We consider $n = 100$ and regulate the high dimensional model from the inactive phase to the active phase by only tuning the topology structure $\{A_{ii}\}_{i=1}^n$ in time interval $[0, 30]$.

All these systems have application scenarios and urgently call for event-triggered control with minimal communication burden, we summarize the motivation for selecting the them in Appendix A.3.5.

Benchmark methods. We benchmark against the extensively used neural Lyapunov control (NLC) method [4], an improvement version of neural Lyapunov control via constructing quadratic Lyapunov function proposed in [31], dubbed as Quad-NLC here, a integral reinforcement learning (IRL) based ETC [32] and a critic-actor neural network based ETC method [33]. We also compare with the classic linear quadratic regulator (LQR) method, Balsa [34], an online control policy based on the quadratic programming (QP) solver, and our Neural ETC variants: Neural ETC-PI and Neural ETC-MC. We implement all the control methods with the similar kinds of event functions proposed in Eqs. (3),(9). For a fair comparison, we set the number of hidden units per layer such that all learning models have nearly the same number of total parameters. We provide further details of model selection, hyperparameter selection and experimental configuration in Appendix A.3.

Table 1: Comparison studies of benchmark models and dynamical systems. Best results bolded. Averaged over 5 runs. The dimension of tasks are: GRN (2-D), Lorenz (3-D), Cell (100-D).

Method	Number of triggers ↓			Minimal inter-event time ↑			MSE after 10 triggers ↓		
	GRN	Lorenz	Cell	GRN	Lorenz	Cell	GRN	Lorenz	Cell
Balsa [34]	12	273	19	0.29	6e-4	3e-3	0.05	7.20	35.64
LQR [35]	1816	2000	449	6e-3	2e-5	0.02	2.19	53.02	2e-3
Quad-NLC [31]	1914	242	77	6e-6	2e-5	1e-3	2.29	7.82	54.38
NLC [4]	23	1602	15	5e-8	4e-8	6e-6	0.20	97.11	27.76
IRL ETC [32]	131	2000	370	8e-3	0.00	3e-3	4.94	9.76	38.12
Critic-Actor NNETC [33]	605	50	330	5e-8	2e-3	1e-3	4.1	7.16	38.13
Neural ETC-PI (ours)	20	20	11	0.25	0.02	0.95	0.05	0.11	5e-8
Neural ETC-MC (ours)	4	11	2	15.52	0.06	27.18	0.07	0.14	1.66

Results. Table 1 summarizes the control performance results in terms of the triggering times in the same temporal length, the minimal inter-event time and the mean square error (MSE) between the target state and the controlled trajectories after 10 triggering events, representing the control performance in limited communication resources. We see that our Neural ETC variants achieve superior performance compared to the other online and offline methods.

For the communication cost, our Neural ETCs need the least number of triggers in the same time interval while have the largest minimal inter-event time compared to other methods, leading to the most optimal scheduling in actual implementation. In addition, the MSE results illustrate our Neural ETCs have the ability in stabilizing the systems at various scales with limited communication resources. We also find the Neural ETC-PI and Neural ETC-MC form the trade off in scheduling and the stabilization performance, we further compare them in the next section.

The results underpin the practicability of the Neural ETCs. Take GRN model for an example, the auto regulation strength a_1 can be adjusted externally through the application of repressive or inductive drugs in a typical experimental setting [36]. In reality, the drugs can only be administered a few times and it takes time for the drug to take effect, requiring the controller should only be updated at several times with large interval. Therefore, while all the benchmark methods successfully regulate the GRN to the target gene expression level in simulation, only the Neural ETC-MC is acceptable.

Combining online and offline policy. In the context of event-triggered control, Table 1 demonstrates that the online control method outperforms other offline policies. However, the online policy’s computational cost is high due to solving the quadratic programming (QP) problem at each realization time. In contrast, our Neural ETCs achieve superior performance compared to online methods while maintaining the same computation cost as the offline policy during the control process. The event-triggered control employs an event function that continuously assesses whether an event is triggered, effectively acting as an online solver to determine real-time control values. Consequently, we can view event-triggered control as an online realization of the offline policy, inheriting the advantages of both online and offline approaches

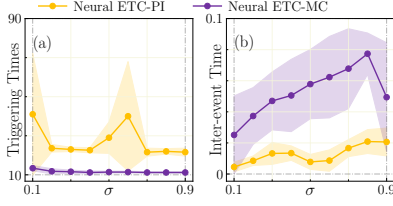


Figure 3: The solid lines are obtained through averaging the 5 sampled trajectories, while the shaded areas stand for the variance regions.

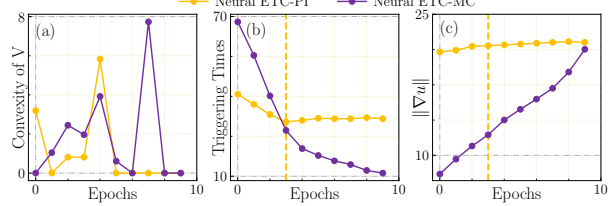


Figure 4: (a) Convexity of V is calculated as the trace of the $\nabla^2 V$ on 1000 points in $[-2.5, 2.5]^3$. (b) Triggering times and norm of ∇u in the training process.

5.2 Comparison Between Neural ETCs

We further evaluate the strengths and weaknesses of the Neural ETC-PI and Neural ETC-MC. As shown in Table 2, the Neural ETC-MC is more efficient in training process, especially in the high dimensional tasks. Nevertheless, the temporal variance of the controlled trajectories of Neural ETC-PI is far below that of Neural ETC-MC, implying Neural ETC-PI is more robust in the control process. These two algorithms thus are complementary in applications. In addition, the training time of Neural ETC-PI in 2-D GRN and 3-D Lorenz has significant difference, the reason is that the minimal inter-event time of the former is larger than the latter (see Table 1), requiring more time to solve t_1 .

Table 2: Comparison of Neural ETCs (denoted by NETC) in terms of training time and variance of stabilized trajectories.

Model	Training time ↓		Temporal variance ↓	
	NETC-PI	NETC-MC	NETC-PI	NETC-MC
GRN	1230	32	5e-4	7e-3
Lorenz	503	29	4e-3	0.09
FHN	4634	62	3e-15	2.78

5.3 Ablation Study

The parameter σ corresponds to the exponential decay rate of Lyapunov function along controlled trajectory in Eqs. (3),(12). We investigate the influence of σ in applying Neural ETC variants to Lorenz dynamic. The results in Fig. 3 suggests the best choice is $\sigma = 0.8$. Then we investigate the influence of weight factor λ_2 of event loss in Eqs. (6),(11) and summarize the results in Table 3. We find the small λ_2 leads to poor triggering scheduling because the event loss does not play a leading role in training, the large λ_2 will break the stabilization performance because the optimization function of event loss is not guaranteed to satisfy the stabilization loss. This phenomenon inspires us to extend the framework to the setting where the parameterized controllers are already stabilization controllers in the future work. For reference, in Table 1 Neural ETC-PI is using $\sigma = 0.5$, $\lambda_2 = 0.05$ and Neural ETC-MC is using $\sigma = 0.5$, $\lambda_2 = 0.1$.

Table 3: Control performance against different event loss weight λ_2 .

Method	Neural ETC-PI			Neural ETC-MC		
λ_2	0.005	0.05	0.5	0.01	0.1	1.0
Triggering times ↓	114	29	34	37	10	10
Min Inter-event time ↑	0.010	0.008	0.025	0.02	0.07	0.06
$\langle \text{MSE} \rangle_{[1.8, 2]} \downarrow$	8e-8	7e-4	0.32	3.92	0.25	0.53

5.4 Essential Factor of Neural ETC

We investigate the essential factor in the Neural ETC framework that determine the optimization of scheduling. We plot the convexity of V function ($\text{Tr}(\nabla^2 V)$) and the strength of the variation of controller ($\|\nabla u\|$) in the training process, and compare their evolution with triggering times of the corresponding trained controller. Fig. 4 shows that the $\|\nabla u\|$ plays a leading role in minimizing the triggering times as it has strong negative correlation to the triggering times while the convexity of V function does not. We also observe an early convergence phenomenon of the triggering times and $\|\nabla u\|$ simultaneously in Neural ETC-PI from Fig. 4(b).

6 Related Work

The pioneering works [21, 13] highlighted the advantages of event-based control against the periodic implementation in reducing the communication cost. Since then, [14] investigates the sufficient conditions for avoiding the Zeno behavior in event-triggered implementations of stabilizing feedback control laws and [15] gives the system theory of event-triggered control scheme for perturbed linear systems. Machine learning methods have also been introduced to the ETC settings, [32, 33] employ the critic-actor RL structure to solve the dynamic Hamilton-Jacobi-Bellman equation under the ETC, [37] cultivates a model-free hierarchical RL method to optimize both the control and communication policies for discrete dynamics, and [38] applies deep RL to ETC in the nonlinear systems. All the previous works focus on the stabilization analysis of the controlled systems, the existence of the minimal inter-event time (and hence avoids the Zeno behavior), and directly introducing machine learning methods to ETC. To our knowledge, we are the first to study the optimization scheduling problem of ETC in the continuous dynamics. We provide more discussion of related works in Appendix A.4.

7 Scope and Limitations

ODE solver. The use of the fixed step ODE solvers in finding the triggering times in the training process is less optimal than the adaptive ODE solver. One can still improve the performance of the framework by applying the adaptive solvers with higher accuracy tolerance with a stronger computing platform. However, in practice the performance of the Neural ETC did not decrease substantially when using adaptive solvers. In addition, the employ of ODE solvers in the Neural ETC-PI may not always work, especially for systems described by stiff equations, stiff-based ODE solvers can be introduced to mitigate this issue [39].

Neural ETC for SDEs. Although the current Neural ETC framework works efficiently in ODEs, many real-world scenarios affected by the noise are described by stochastic differential equations (SDEs). The major challenge for establishing the Neural ETC framework for SDEs ensues from the stochasticity of the triggering time. Specifically, the triggering time in SDEs, $t_1 = \inf_{t \geq 0} \{t : h(x(t)) = 0\}$ initiated from any fixed $x(0)$ with $h(x(0)) < 0$, is a stopping time. Therefore, t_1 is a random variable and can take different values in different sample paths. In this case, none of the existing methods can find t_1 for SDEs as a counterpart of `ODESolveEvent` for ODEs.

8 Conclusion

This work focuses on a new connection of machine learning and control field in the context of learning event-triggered stabilization control with optimal scheduling. In contrast to the existing learning control methods, the learned event-triggered control, named Neural ETC, only updates the control value in very few times when an event is triggered. As a consequence, our Neural ETC can be deployed on the actual platform where the communication cost for updating the control value is limited (e.g. tuning the protein regulation strength in cell via drugs). The superiority of the Neural ETC over the existing methods is demonstrated through the benchmark experiments, including different scales of dynamical systems.

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522 A Appendix

523 A.1 Proofs and Derivations

524 In this section, we introduce some basic notations and then provide the proofs of the theoretical
525 results.

526 A.1.1 Notations

527 **Notations.** Throughout the paper, we employ the following notation. Let $\langle \mathbf{x}, \mathbf{y} \rangle$ be the inner product
528 of vectors $\mathbf{x}, \mathbf{y} \in \mathbb{R}^d$. For a second continuous function $f(\mathbf{x}) : \mathbb{R}^d \rightarrow \mathbb{R}$, let ∇f denote the gradient
529 of $f(\mathbf{x})$, that is, $\nabla^2 f$ denote the Hessian matrix of f . For the two sets A, B , let $A \subset B$ denote that
530 A is covered in B . Denote by \log the base e logarithmic function. Denote by $\|\cdot\|$ the L^2 -norm for
531 any given vector in \mathbb{R}^d . Denote by $|\cdot|$ the absolute value of a scalar number or the modulus length of
532 a complex number. For $A = (a_{ij})$, a matrix of dimension $d \times r$, denote by $\|A\|_F^2 = \sum_{i=1}^d \sum_{j=1}^r a_{ij}^2$
533 the Frobenius norm.

534 A.1.2 Proof of Theorem 3.2

535 **Theorem A.1** Consider the event-triggered controlled dynamics in Eq. (1), if the following assump-
536 tions are satisfied: (i) $\|\mathbf{f}(\mathbf{x}', \mathbf{u}') - \mathbf{f}(\mathbf{x}, \mathbf{u})\| \leq l_f (\|\mathbf{x}' - \mathbf{x}\| + \|\mathbf{u}' - \mathbf{u}\|)$; (ii) $\|\mathbf{u}(\mathbf{x}') - \mathbf{u}(\mathbf{x})\| \leq$
537 $l_u \|\mathbf{x}' - \mathbf{x}\|$; (iii) $\mathcal{L}_{f_u} V(\mathbf{x}, \mathbf{u}(\mathbf{x} + \mathbf{e})) \leq -\alpha(\|\mathbf{x}\|) + \gamma(\|\mathbf{e}\|)$ for some class- K functions α, γ
538 with $\alpha^{-1}(\gamma(\|\mathbf{e}\|)) \leq P\|\mathbf{e}\|$. Then, the minimal inter-event time implicitly defined by event function
539 $h = \alpha(\|\mathbf{x}\|) - \gamma(\|\mathbf{e}\|)$ is lower bounded by $\tau_h = \frac{1}{l_f} \log \frac{P+1}{P+l_f l_u}$.

540 From the condition (iii) and the definition of the event function, we have the triggering time happens
541 after $P\|\mathbf{e}\| = \|\mathbf{x}\|$. Therefore, the inter-event time is lower bounded by the minimal inter-event time
542 defined by the event function $\tilde{h} = P(\|\mathbf{e}\|) - \|\mathbf{x}\|$. Now we come to deduce the estimation of the
543 inter-event time of \tilde{h} , i.e., the time from $\|\mathbf{e}\| = 0$ to $\|\mathbf{e}\| = \frac{1}{P}\|\mathbf{x}\|$. Consider the dynamic of $\frac{\|\mathbf{e}\|}{\|\mathbf{x}\|}$,
544 we have

$$\begin{aligned}
\frac{d \|\mathbf{e}\|}{dt \|\mathbf{x}\|} &= \frac{d (e^\top e)^{1/2}}{dt (\mathbf{x}^\top \mathbf{x})^{1/2}} \\
&= \frac{\frac{1}{2}(e^\top e)^{-1/2} 2e^\top \dot{e} (\mathbf{x}^\top \mathbf{x})^{1/2} - \frac{1}{2}(\mathbf{x}^\top \mathbf{x})^{-1/2} 2\mathbf{x}^\top \dot{\mathbf{x}} (e^\top e)^{1/2}}{\mathbf{x}^\top \mathbf{x}} \\
&= \frac{e^\top \dot{e}}{\|\mathbf{e}\| \|\mathbf{x}\|} - \frac{\mathbf{x}^\top \dot{\mathbf{x}}}{\|\mathbf{x}\| \|\mathbf{x}\|} \frac{\|\mathbf{e}\|}{\|\mathbf{x}\|} \\
&= -\frac{e^\top \dot{\mathbf{x}}}{\|\mathbf{e}\| \|\mathbf{x}\|} - \frac{\mathbf{x}^\top \dot{\mathbf{x}}}{\|\mathbf{x}\| \|\mathbf{x}\|} \frac{\|\mathbf{e}\|}{\|\mathbf{x}\|} \\
&\leq \frac{\|\mathbf{e}\| \|\dot{\mathbf{x}}\|}{\|\mathbf{e}\| \|\mathbf{x}\|} + \frac{\|\mathbf{x}\| \|\dot{\mathbf{x}}\|}{\|\mathbf{x}\| \|\mathbf{x}\|} \frac{\|\mathbf{e}\|}{\|\mathbf{x}\|} \\
&= \frac{\|\dot{\mathbf{x}}\|}{\|\mathbf{x}\|} \left(1 + \frac{\|\mathbf{e}\|}{\|\mathbf{x}\|}\right) \\
&= \frac{\|\mathbf{f}(\mathbf{x}, \mathbf{u}(\mathbf{x} + \mathbf{e}))\|}{\|\mathbf{x}\|} \left(1 + \frac{\|\mathbf{e}\|}{\|\mathbf{x}\|}\right) \\
&\leq \frac{l_f \|\mathbf{x}\| + l_f l_u (\|\mathbf{x}\| + \|\mathbf{e}\|)}{\|\mathbf{x}\|} \left(1 + \frac{\|\mathbf{e}\|}{\|\mathbf{x}\|}\right) \\
&= \left(l_f (1 + l_u) + l_f l_u \frac{\|\mathbf{e}\|}{\|\mathbf{x}\|}\right) \left(1 + \frac{\|\mathbf{e}\|}{\|\mathbf{x}\|}\right).
\end{aligned}$$

545 By denoting $z = \frac{\|e\|}{\|x\|}$, we have the triggering time of \tilde{h} happens after the variable z increases from 0
 546 to $\frac{1}{P}$. The dynamic of z is

$$\begin{aligned}\dot{z} &= (l_f(1 + l_u) + l_f l_u z)(1 + z) \\ z_0 &= 0, \\ z_T &= \frac{1}{P}.\end{aligned}$$

547 We have

$$\frac{dz}{(1 + az)(1 + y)} = bdt,$$

548 where $a = \frac{l_f l_u}{l_f(1 + l_u)}$, $b = l_f(1 + l_u)$. Then we have

$$\begin{aligned}\frac{dz}{(1 + az)(1 + z)} &= \frac{a}{a - 1} \left(\frac{1}{1 + az} - \frac{1}{a(1 + z)} \right) dz \\ &= \frac{1}{a - 1} (d \log(1 + az) - d \log(1 + z)) \\ &= bdt\end{aligned}$$

549 By integrating the above equation, we have

$$\begin{aligned}\frac{1}{a - 1} \left(\log(1 + \frac{a}{P}) - \log(1 + \frac{1}{P}) \right) &= bT \\ \rightarrow T &= \frac{1}{b(a - 1)} \log \left(\frac{1 + \frac{a}{P}}{1 + \frac{1}{P}} \right) \\ &= \frac{1}{b(1 - a)} \log \left(\frac{1 + \frac{1}{P}}{1 + \frac{a}{P}} \right) \\ &= \frac{1}{l_f} \log \frac{P + 1}{P + \frac{l_f l_u}{l_f(1 + l_u)}},\end{aligned}$$

550 which completes the proof.

551 A.1.3 Proof of Theorem 3.3

552 **Theorem A.2** For the event-triggered controlled dynamics in Eq. (1) with event function \tilde{h} defined
 553 in Eq. (9), if the state space \mathcal{D} is bounded, the Eqs. (7),(8) and the conditions (i), (ii) in Theorem 3.2
 554 hold, then the minimal inter-event time is lower bounded by $\tau_{\tilde{h}} = \frac{1}{l_f} \log \frac{cl_{\alpha^{-1}}l_u + 1}{cl_{\alpha^{-1}}l_u + \frac{l_f l_u}{l_f(1 + l_u)}}$, here $l_{\alpha^{-1}}$
 555 is the Lipschitz constant of α^{-1} .

556 From the Eqs. (7), we know that the triggering time defined by \tilde{h} in Eq. 9 is larger than that
 557 defined by h in Theorem 3.2. Notice in Theorem 3.2 P is a tight upper bound Lipschitz constant
 558 of $\alpha^{-1} \circ \gamma$. Since the state space \mathcal{D} is bounded, from Eq. 7, if we set γ as the tight estimation of
 559 $\nabla V \cdot (f(x, u(x + e)) - f(x, u(x)))$, the Lipschitz constant of γ can be bounded by

$$\max_{x \in \mathcal{D}} \|\nabla V(x)\| l_f l_u.$$

560 Then we get

$$\text{Lip}(\alpha^{-1} \circ \gamma) \leq \max_{x \in \mathcal{D}} \|\nabla V(x)\| l_f l_u l_{\alpha^{-1}}.$$

561 By denoting $c = \max_{x \in \mathcal{D}} \|\nabla V(x)\| l_f$ and replace P with $cl_{\alpha^{-1}}l_u$ in Theorem 3.2, we obtain the
 562 final estimation of $\tau_{\tilde{h}}$.

563 A.1.4 Proof of Theorem 4.1

564 To begin with, we check the inequality constraint in $\mathcal{U}(V)$ is satisfied by the projection element, that
 565 is

$$\mathcal{L}_{f_u} V|_{u=\pi(u, \mathcal{U}(V))} \leq -V.$$

566 Since the controller has affine actuator, from the definition of the Lie derivative operator, we have

$$\begin{aligned}
\mathcal{L}_{\mathbf{f}_u} V|_{\mathbf{u}=\pi(\mathbf{u}, \mathcal{U}(V))} &= \nabla V \cdot (\mathbf{f} + \mathbf{u} - \frac{\max(0, \mathcal{L}_{\mathbf{u}} V + V)}{\|\nabla V\|^2} \cdot \nabla V) \\
&= \nabla V \cdot (\mathbf{f} + \mathbf{u}) - \nabla V \cdot \frac{\max(0, \mathcal{L}_{\mathbf{u}} V + V)}{\|\nabla V\|^2} \cdot \nabla V \\
&= \mathcal{L}_{\mathbf{u}} V - \max(0, \mathcal{L}_{\mathbf{u}} V + V) \leq -V.
\end{aligned}$$

567 The positive lower bound of the inter-event time comes from the Theorem 3.2. We complete the
568 proof.

569 A.2 Algorithms

570 In this section, we provide the algorithms of Neural ETC-PI (1) and Neural ETC-MC (2). Firstly, we
 571 supplement the warm up stage for path integral algorithm to accelerate the convergence of training
 572 process.

573 **Warm up.** At the beginning of the training process, the stability constraint is not satisfied, which
 574 leads to the solution t_1 of the event function $h_{\theta, \phi}$ does not exist. To ensure the training process can
 575 proceed smoothly, we pre-train the parameterized model with

$$\tilde{L}(\phi, \theta, \{c_i\}) = L_{\text{stab}} + \lambda_1 L_{\text{lip}}. \quad (15)$$

Algorithm 1: Neural ETC-PI: Path Integral Algorithm

```

1: hyperparameters:
    $N, M$  ▷ Sample size and batch size
    $\beta, m$  ▷ Learning rate and max iterations
    $\mu(\mathcal{D}), \lambda_1, \lambda_2$  ▷ Data distribution, weight factors
2: initialize  $\mathbf{w} = (\phi, \theta)$  ▷ From Eq. (5)
3: generate dataset  $\mathcal{D}_N = \{\mathbf{x}_i\}_{i=1}^N \sim \mu(\mathcal{D})$ 
4: for  $r = 1 : m$  do
5:    $\mathbf{w} \leftarrow \mathbf{w} - \beta \nabla_{\mathbf{w}} \tilde{L}(\mathbf{w})$  ▷ Warm up in Eq. (15)
6: for  $r = 1 : m$  do
7:    $\{\mathbf{x}_i(0)\}_{i=1}^M \sim \mathcal{D}_N$  ▷ Sample batch data
8:    $t_{i,1}, \mathbf{x}_i(t_{i,1}) = \text{ODESolveEvent}(\mathbf{x}_i(0), \mathbf{f}, \mathbf{u}_\phi, 0)$ 
9:    $\mathbf{w} \leftarrow \mathbf{w} - \beta \nabla_{\mathbf{w}} L(\mathbf{w})$  ▷ From Eq. (6)
10: return  $\mathbf{u}_\phi, V_\theta$ 

```

576

Algorithm 2: Neural ETC-MC: Monte Carlo Algorithm

```

1: hyperparameters:
    $N, M_\alpha, \lambda_1, \lambda_2$  ▷ Sample sizes and weight factors
    $\beta, m$  ▷ Learning rate and max iterations
    $\mu(\mathcal{D}), \mu(\mathcal{X})$  ▷ Distributions of state and error
2: initialize  $\mathbf{w} = (\phi, \theta, \theta_\alpha)$  ▷ Eqs. (5),(10)
3: generate dataset  $\{\mathbf{x}_i\}_{i=1}^N \times \{\mathbf{x}_i\}_{i=1}^{M_\alpha} \sim \mu(\mathcal{D}) \times \mu(\mathcal{X})$ 
4: for  $r = 1 : m$  do
5:    $\mathbf{w} \leftarrow \mathbf{w} - \beta \nabla_{\mathbf{w}} L(\mathbf{w})$  ▷ From Eq. (11)
6: return  $\mathbf{u}_\phi, V_\theta, \alpha_{\theta_\alpha}$ 

```

577 A.3 Experimental Configurations

578 In this section, we provide the detailed descriptions for the experimental configurations of the
 579 benchmark dynamical systems and control methods in the main text. We implement the code on a
 580 single i7-10870 CPU with 16GB memory, and we train all the parameters with Adam optimizer.

581 A.3.1 Neural Network Structures

- 582 • For constructing the potential function V , we utilize the ICNN as [40]:

$$\begin{aligned} z_1 &= \sigma(W_0 \mathbf{x} + b_0), \\ z_{i+1} &= \sigma(U_i z_i + W_i \mathbf{x} + b_i), \quad i = 1, \dots, k-1, \\ p(\mathbf{x}) &\equiv z_k, \\ V(\mathbf{x}) &= \sigma(p(\mathbf{x}) - p(\mathbf{0})) + \varepsilon \|\mathbf{x}\|^2, \end{aligned}$$

583 where σ is the smoothed **ReLU** function as defined in the main text, $W_i \in \mathbb{R}^{h_i \times d}$, $U_i \in$
 584 $(\mathbb{R}_+ \cup \{0\})^{h_i \times h_{i-1}}$, $\mathbf{x} \in \mathbb{R}^d$, and, for simplicity, this ICNN function is denoted by
 585 $\text{ICNN}(h_0, h_1, \dots, h_{k-1})$. We set $\varepsilon = 1\text{e-}3$ as default value for all the experiments;

- 586 • The class- \mathcal{K} function α is constructed as:

$$\begin{aligned} q_1 &= \text{ReLU}(W_0 s + b_0), \\ q_{i+1} &= \text{ReLU}(W_i q_i + b_i), \quad i = 1, \dots, k-2, \\ q_k &= \text{ELU}(W_{k-1} q_{k-1} + b_{k-1}), \\ \alpha(x) &= \int_0^x q_k(s) ds \end{aligned}$$

587 where $W_i \in \mathbb{R}^{h_{i+1} \times h_i}$, and this class- \mathcal{K} function is denoted by $\mathcal{K}(h_0, h_1, \dots, h_k)$;

- 588 • The neural control function (nonlinear version) is constructed as:

$$\begin{aligned} z_1 &= \mathcal{F}(\text{SpectralNorm}(W_0 \mathbf{x} + b_0)), \\ z_{i+1} &= \mathcal{F}(\text{SpectralNorm}(W_i z_i + b_i)), \quad i = 1, \dots, k-1, \\ \text{NN}(\mathbf{x}) &\equiv \text{SpectralNorm}(W_k z_k), \\ \mathbf{u}(\mathbf{x}) &= \text{diag}(\mathbf{x} - \mathbf{x}^*) \text{NN}(\mathbf{x}) \text{ or } \text{NN}(\mathbf{x}) - \text{NN}(\mathbf{x}^*), \end{aligned}$$

590 where $\mathcal{F}(\cdot)$ is the activation function, SpectralNorm is the spectral norm function from [23],
 591 $W_i \in \mathbb{R}^{h_{i+1} \times h_i}$, and this control function is denoted by $\text{Control}(h_0, h_1, \dots, h_{k+1})$. Since
 592 we deploy the `SpectralNorm` package in our algorithm, the weight factor λ_1 for Lipschitz
 593 constant of \mathbf{u} is automatically set as the default value in this package and we do not tune it
 594 in our experiments due to its good performance.

- 595 • The standard neural network is constructed as:

$$\begin{aligned} z_1 &= \mathcal{F}(W_0 \mathbf{x} + b_0), \\ z_{i+1} &= \mathcal{F}(W_i z_i + b_i), \quad i = 1, \dots, k-1, \\ \text{NN}(\mathbf{x}) &\equiv W_k z_k, \end{aligned}$$

597 where $\mathcal{F}(\cdot)$ is the activation function, and this standard function is denoted by
 598 $\text{MLP}(h_0, h_1, \dots, h_{k+1})$

599 A.3.2 Gene Regulatory Network

600 Here we model the controlled gene regulatory network (GRN) as

$$\begin{aligned} \dot{x}_1 &= a_1 \frac{x_1^n}{s^n + x_1^n} + b_1 \frac{s^n}{s^n + x_2^n} - kx_1 + u \frac{x_1^n}{s^n + x_1^n}, \\ \dot{x}_2 &= a_2 \frac{x_2^n}{s^n + x_2^n} + b_2 \frac{s^n}{s^n + x_1^n} - kx_2, \end{aligned}$$

601 where the under-actuated control u only acts on the protein regulation strength a_1 . We specify
 602 $a_1 = a_2 = 1, b_1 = b_2 = 0.2, n = 2, k = 1.1, s = 0.5$. The two attractors of the original model is

$$\begin{aligned} P_1 : (x_1^*, x_2^*) &= (0.62562059, 0.62562059), \\ P_2 : (x_1^0, x_2^0) &= (0.0582738, 0.85801853). \end{aligned}$$

603 We aims at stabilize the attractor P_2 with low protein concentration to P_1 with high protein expression
 604 level. We slightly modify the neural networks s.t. $V(P_1) = 0, u(P_1) = 0$, e.g. $V = V(x) - V(P_1)$,
 605 $u = u(x) - u(P_1)$. Since these two attractors are close in the Euclidean space, it hard for algorithms
 606 to identify them from states with numerical error. To address this issue, we rescale the original system
 607 as $\tilde{x}_1 = 10x_1, \tilde{x}_2 = 10x_2$ to enlarge the attractors. For training controller u , we uniformly sample
 608 1000 data from the state region $[-10, 10]$. We test the performance under different learning rate
 609 $\text{lr} \in \{0.01, 0.03, 0.05\}$ and pick the best one, the considered control methods are set as following,

610 **Neural ETC-PI.** We parameterize $V(x)$ as ICNN(2, 10, 10, 1), $u(x)$ as Control(2, 20, 20, 1) with
 611 $\mathcal{F} = \text{ReLU}$. We set the iterations for warm up as 500, the iterations and batch size for calculating
 612 the triggering times as 50 and 10, the learning rate as $\text{lr} = 0.01$, the weight factor for event loss as
 613 $\lambda_2 = \frac{10}{1000}$.

614 **Neural ETC-MC.** We parameterize $V(x)$ as ICNN(2, 20, 1), $u(x)$ as Control(2, 20, 20, 1). We set
 615 the iterations as 500 + 50, the learning rate as $\text{lr} = 0.05$, the weight factor for event loss as $\lambda_2 = 0.1$.

616 **NLC.** We parameterize $V(x)$ as MLP(2, 20, 20, 1), $u(x)$ as MLP(2, 20, 20, 1). We set the itera-
 617 tions as 500 + 50, the learning rate as $\text{lr} = 0.05$, the loss function is

$$L = \frac{1}{N} \sum_{i=1}^N \left[\left(\mathcal{L}_{f_{u_\phi}} V_\theta(x_i) \right)^+ + (V_\theta(x_i))^+ \right] + V_\theta(P_1)^2$$

618 **Quad-NLC.** We parameterize $V(x)$ as $(x - P_1)^\top \text{MLP}(2, 20, 2)^\top \text{MLP}(2, 20, 2)(x - P_1)$, $u(x)$
 619 as MLP(2, 20, 20, 1). We set the iterations as 500 + 50, the learning rate as $\text{lr} = 0.05$, the loss
 620 function is

$$L = \frac{1}{N} \sum_{i=1}^N \left(\mathcal{L}_{f_{u_\phi}} V_\theta(x_i) + V_\theta(x_i) \right)^+ + V_\theta(P_1)^2.$$

621 **BALSA.** For this QP based method, we set the object function as

$$\begin{aligned} \min_{u, d_1, d_2} \quad & \frac{1}{2} \|u\|^2 + p_1 d_1^2, \\ \text{s.t.} \quad & \mathcal{L}_{f_u} V - V \leq d_1, \end{aligned}$$

622 where d_1 is the relaxation number. We choose $V = \frac{1}{2} \|x - P_1\|^2, p_1 = 50$. We solve this problem
 623 with the QP solver in `cvxopt` in Python package.

624 **LQR.** We linearize the controlled dynamic near the target P_1 as

$$\begin{aligned} \dot{x} &= A(x - P_1) + Bu, \\ A &= \begin{pmatrix} a_1 \frac{n(x_1^*)^{n-1}}{(s^n + (x_1^*)^n)^2} - k & -b_1 \frac{n(x_2^*)^{n-1}}{(s^n + (x_2^*)^n)^2} \\ -a_2 \frac{n(x_1^*)^{n-1}}{(s^n + (x_1^*)^n)^2} & b_2 \frac{n(x_2^*)^{n-1}}{(s^n + (x_2^*)^n)^2} - k \end{pmatrix}, \\ B &= \begin{pmatrix} \frac{(x_1^*)^n}{(s^n + (x_1^*)^n)^2} - k \\ 0 \end{pmatrix}. \end{aligned}$$

625 We set the cost matrix in LQR as

$$\begin{aligned} Q &= \begin{pmatrix} 10 & 0 \\ 0 & 10 \end{pmatrix}, \\ R &= (0.1) \end{aligned}$$

626 and solve the problem via `lqr` method in Matlab. The obtained Riccati solution \mathbf{S} forms the Lyapunov
 627 function $V = \frac{1}{2}(\mathbf{x} - \mathbf{P}_1)^\top \mathbf{S}(\mathbf{x} - \mathbf{P}_1)$, the controller is $u = -\mathbf{K}(\mathbf{x} - \mathbf{P}_1)$ where $\mathbf{K} \in \mathbb{R}^{1 \times 2}$ is
 628 returned by the `lqr` solver. The Lie derivative of the Lyapunov function is $-(\mathbf{x} - \mathbf{P}_1)^\top \mathbf{Q}_1(\mathbf{x} - \mathbf{P}_1)$
 629 with $\mathbf{Q}_1 = \mathbf{Q} + \mathbf{K}^\top \mathbf{R} \mathbf{K}$.

630 **Critic-Actor NNETC.** According to the implementation setting in [33], we consider the following
 631 event-triggered controller parametrized by the critic neural network \mathbf{W}_c and the actor neural network
 632 \mathbf{W}_a ,

$$\begin{aligned}\dot{\mathbf{x}} &= \mathbf{f}(\mathbf{x}) + \mathbf{g}(\mathbf{x})\mathbf{u}(\mathbf{x}), \\ V^*(\mathbf{x}) &= \min_{\mathbf{u}} \int_0^T (\mathbf{x}^\top \mathbf{Q} \mathbf{x} + \mathbf{u}^\top \mathbf{R} \mathbf{u}) dt, \\ V^*(\mathbf{x}) &= \mathbf{x}^\top \mathbf{W}_c \mathbf{x}, \\ u^*(\mathbf{x}) &= \mathbf{W}_a \mathbf{x}, \\ \mathbf{e}_a &= \mathbf{W}_a \mathbf{x} + \frac{1}{2} \mathbf{g}^\top \nabla V^*(\mathbf{x}), \\ K_a &= \frac{1}{2} \mathbf{e}_a^\top \mathbf{e}_a, \\ \dot{\mathbf{W}}_a &= -\frac{\partial K_a}{\partial \mathbf{W}_a}, \\ \mathbf{e}_c &= \nabla V^*(\mathbf{x}) \cdot [\mathbf{f}(\mathbf{x}) + \mathbf{g}(\mathbf{x})\mathbf{u}(\mathbf{x})] + \mathbf{x}^\top \mathbf{Q} \mathbf{x} + \mathbf{u}^\top \mathbf{R} \mathbf{u}, \\ K_c &= \frac{1}{2} \mathbf{e}_c^\top \mathbf{e}_c, \\ \dot{\mathbf{W}}_c &= -\frac{\partial K_c}{\partial \mathbf{W}_c},\end{aligned}$$

633 here \mathbf{f} is the original dynamics described above, \mathbf{g} is the actuator taking the form,

$$\mathbf{g} = \begin{pmatrix} \frac{x_1^n}{(s^n + x_1^n)^2} \\ 0 \end{pmatrix}.$$

634 The cost matrix \mathbf{Q} , \mathbf{R} are the same as that in LQR. In the event-triggered mode, the weights of critic
 635 and actor NN, \mathbf{W}_c and \mathbf{W}_a , obeying the evolution dynamics as follows,

$$\begin{aligned}\dot{\mathbf{W}}_a &= \mathbf{0}, \quad t \in [t_k, t_{k+1}), \\ \mathbf{W}_a^+ &= \mathbf{W}_a - \alpha_a \frac{\partial K_a}{\partial \mathbf{W}_a}, \quad t = t_{k+1}, \\ \dot{\mathbf{W}}_c &= \mathbf{0}, \quad t \in [t_k, t_{k+1}), \\ \mathbf{W}_c^+ &= \mathbf{W}_c - \alpha_c \frac{\partial K_c}{\partial \mathbf{W}_c}, \quad t = t_{k+1},\end{aligned}$$

636 where α_a and α_c are the learning rates of the critic and actor NNs, respectively. For the initial value
 637 of \mathbf{W}_c and \mathbf{W}_a , we employ the solutions from the above LQR solver as $\mathbf{W}_c = \mathbf{S}$, $\mathbf{W}_a = -\mathbf{K}$. We
 638 set the learning rate as $\alpha_c = \alpha_a = 1e - 2$, the event function is set as $h = |\mathbf{e}| - e_{\text{thres}}$, $e_{\text{thres}} = 0.2$
 639 according to [33], here $\mathbf{e} = (e_{x_1}, e_{x_2})$ are the variables of error dynamics. The event function
 640 here is different to our proposed stability guaranteed function because of the lack of Lyapunov
 641 function in this method, we note that V^* is only an auxiliary function used to find the dynamics
 642 of \mathbf{W}_c , \mathbf{W}_a and cannot be verified as a Lyapunov function. We have tuned the hyperparameters
 643 $\alpha_c, \alpha_a \in \{5e - 4, 1e - 3, 1e - 2, 1e - 1\}$, $e_{\text{thres}} \in \{0.1, 0.2, 0.3, 0.4, 0.5\}$ and fix the parameters
 644 with the best performance.

645 **IRL ETC.** Similarly to the Critic-Actor NNETC, [32] transformed the optimization control problem
 646 to a RL problem via abstracting the Hamilton-Jacobi-Bellman equation as the value function and
 647 approximating the optimal value function based on a preset basis activation function. Specifically, we

consider the control problem parametrized by the critic neural network W as follows,

$$\begin{aligned}
\dot{x} &= f(x) + g(x)u(x), \\
V^*(x) &= \min_u \int_0^T (x^\top Qx + u^\top Ru) dt, \\
V^*(x) &= x^\top Wx, \\
u^*(x) &= \eta \sigma \left(-\frac{1}{2\eta} R^{-1} g^\top \nabla V^*(x) \right), \\
E &= \int_t^{t+l} e^{-\alpha(\tau-t)} \left[x^\top Qx + \sum_i \int_0^{u_i} 2\eta \sigma^{-1}(\eta^{-1}s) r_i ds \right] d\tau, \text{ diag}(R) = (r_1, \dots, r_m), \\
K &= \frac{1}{2} E^2, \\
\dot{W} &= -\frac{\partial K}{\partial W},
\end{aligned}$$

here f is the original dynamics described above, g is the actuator taking the form,

$$g = \begin{pmatrix} \frac{x_1^n}{(s^n + x_1^n)^2} \\ 0 \end{pmatrix}.$$

The cost matrix Q, R are the same as that in LQR. In the event-triggered mode, the weight W of critic NN is updated as,

$$\begin{aligned}
\dot{W} &= 0, \quad t \in [t_k, t_{k+1}), \\
W^+ &= W - \beta \frac{\partial K}{\partial W}, \quad t = t_{k+1},
\end{aligned}$$

with β being the learning rates of the weight. We initialize the weight as $W = (W_{ij} = 4)_{2 \times 2}$. We set the learning rate as $\beta = 1e - 2$, the event function is set as $h = \|e\|^2 - \frac{(1-\lambda_y^2)\lambda(Q)}{\eta^2\lambda_x^2} \|x\|^2$, $\lambda_y^2 = 0.6$ according to [33]. In the original work [32], historical data is considered as multiple integral on time interval $[t^j, t^j + l] \subset [t_k, t_{k+1})$ like $E = E_{[t, t+l]}$. To simplify the calculation, here we merge the multiple historical data to a single integral on time interval $[t_k, t_k + l]$ with $l = \min(l^*, t_{k+1} - t_k)$, here $l^* = 1.2$ is a predefined length of historical data. We tuned the hyperparameters in the same way with Critic-Actor NNETC, and the final results are $\alpha = 0.1$, $\eta = 1.0$, $\lambda_x = 0.1$, $\sigma(\cdot) = \text{Id}(\cdot)$.

Test configurations. For implementing the controller in the event-triggered mode, we set the event function for Neural ETC-PI, Quad-NLC, BALSA as

$$\nabla V \cdot (f(x, u(x+e)) - f(x, u(x))) - \sigma V(x),$$

the event function for Neural ETC-MC as

$$\nabla V \cdot (f(x, u(x+e)) - f(x, u(x))) - \sigma \alpha(\|x\|),$$

the event function for NLC as

$$\nabla V \cdot (f(x, u(x+e)) - \sigma f(x, u(x))),$$

the event function for LQR as [12]

$$(\sigma - 1)(x - P_1)^\top Q_1(x - P_1) + 2(x - P_1)^\top SBK e,$$

where the σ is set as 0.5 for all models. For the initial value, we set $x_0 = P_2 + \xi_i$, $\xi_i \sim \mathcal{U}[-1, 1]$, $i = 1, \dots, 5$, the random seed is (2, 4, 5, 6, 7).

A.3.3 Lorenz System

Here we model the state of the Lorenz system under fully actuated control $u = (u_1, u_2, u_3)$ as $x = (x, y, z)^\top$,

$$\begin{aligned}
\dot{x} &= \sigma(y - x) + u_1, \\
\dot{y} &= \rho x - y - xz + u_2, \\
\dot{z} &= xy - \beta z + u_3.
\end{aligned}$$

669 We aim to stabilize the zero solution of this chaotic system. We consider $\sigma = 10$, $\rho = 28$, $\beta = 8/3$.
 670 For training controller \mathbf{u} , we uniformly sample 5000 data from the state region $[-10, 10]$. We
 671 construct the controllers as follows.

672 **Neural ETC-PI.** We parameterize $V(\mathbf{x})$ as ICNN(3, 64, 1), $\mathbf{u}(\mathbf{x})$ as Control(3, 64, 64, 3) with
 673 $\mathcal{F} = \text{ReLU}$. Since the Ode solver in the training process require high computational resources, we
 674 down-sample 2000 data from the original dataset for training. We set the iterations for warm up as
 675 500, the iterations and batch size for calculating the triggering times as 100 and 10, the learning rate
 676 as $\text{lr} = 0.05$, the weight factor for event loss as $\lambda_2 = \frac{100}{2000}$.

677 **Neural ETC-MC.** We parameterize $V(\mathbf{x})$ as ICNN(3, 64, 1), $\mathbf{u}(\mathbf{x})$ as Control(3, 64, 64, 3). We
 678 set the iterations as 500 + 100, the learning rate as $\text{lr} = 0.05$, the weight factor for event loss as
 679 $\lambda_2 = 0.1$.

680 **NLC.** We parameterize $V(\mathbf{x})$ as MLP(3, 64, 64, 1), $\mathbf{u}(\mathbf{x})$ as MLP(3, 64, 64, 3). We set the itera-
 681 tions as 500 + 100, the learning rate as $\text{lr} = 0.05$, the loss function is

$$L = \frac{1}{N} \sum_{i=1}^N \left[\left(\mathcal{L}_{f_{\mathbf{u}_\phi}} V_\theta(\mathbf{x}_i) \right)^+ + (V_\theta(\mathbf{x}_i))^+ \right] + (V_\theta(\mathbf{0}))^+,$$

682 notice that we select the last term in the right hand side as $(V_\theta(\mathbf{0}))^+$ instead of $V_\theta(\mathbf{0})^2$ since the
 683 former performs better than the latter. We also resample 5000 data from $[-5, 5]$ since the NLC
 684 performs poorly in the original dataset, the similar case holds for Quad-NLC.

685 **Quad-NLC.** We parameterize $V(\mathbf{x})$ as $\mathbf{x}^\top \text{MLP}(3, 64, 3)^\top \text{MLP}(3, 64, 3) \mathbf{x}$, $\mathbf{u}(\mathbf{x})$ as
 686 MLP(3, 64, 64, 3). We set the iterations as 500 + 100, the learning rate as $\text{lr} = 0.05$, the
 687 loss function is

$$L = \frac{1}{N} \sum_{i=1}^N \left(\mathcal{L}_{f_{\mathbf{u}_\phi}} V_\theta(\mathbf{x}_i) + V_\theta(\mathbf{x}_i) \right)^+ + V_\theta(\mathbf{0})^2.$$

688 **BALSA.** For this QP based method, we set the object function as

$$\begin{aligned} \min_{\mathbf{u}, d_1, d_2} \quad & \frac{1}{2} \|\mathbf{u}\|^2 + p_1 d_1^2, \\ \text{s.t.} \quad & \mathcal{L}_{f_{\mathbf{u}}} V - V \leq d_1. \end{aligned}$$

689 We choose $V = \frac{1}{2} \|\mathbf{x}\|^2$, $p_1 = 20$.

690 **LQR.** We linearize the controlled dynamic near the zero solution as

$$\begin{aligned} \dot{\mathbf{x}} &= \mathbf{A}\mathbf{x} + \mathbf{B}\mathbf{u}, \\ \mathbf{A} &= \begin{pmatrix} -\sigma & \sigma & 0 \\ \rho & -1 & 0 \\ 0 & 0 & -\beta \end{pmatrix} \\ \mathbf{B} &= \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \end{aligned}$$

691 We set the cost matrix in LQR as

$$\begin{aligned} \mathbf{Q} &= \begin{pmatrix} 5 & 0 & 0 \\ 0 & 10 & 0 \\ 0 & 0 & 5 \end{pmatrix}, \\ \mathbf{R} &= \begin{pmatrix} 0.1 & 0 & 0 \\ 0 & 0.1 & 0 \\ 0 & 0 & 0.1 \end{pmatrix}. \end{aligned}$$

692 The obtained Riccati solution \mathbf{S} forms the Lyapunov function $V = \frac{1}{2} \mathbf{x}^\top \mathbf{S} \mathbf{x}$, the controller is
 693 $\mathbf{u} = -\mathbf{K}\mathbf{x}$ where $\mathbf{K} \in \mathbb{R}^{3 \times 3}$ is returned by the lqr solver. The Lie derivative of the Lyapunov
 694 function is $-\mathbf{x}^\top \mathbf{Q}_1 \mathbf{x}$ with $\mathbf{Q}_1 = \mathbf{Q} + \mathbf{K}^\top \mathbf{R} \mathbf{K}$.

695 **Critic-Actor NNETC.** The updating procedure is the same as that in Appendix A.3.2, we set
 696 the hyperparameters as $\alpha_c = \alpha_a = 5e - 4$, $\text{ethres} = 0.3$, we note that for the chaotic system, the
 697 event-triggered dynamics is easy to explode when $\alpha_{c,a}$ are slightly larger than $1e - 3$. The actuator
 698 in this example is the identity matrix as $\mathbf{g} = I_{3 \times 3}$.

699 **IRL ETC.** The updating procedure is the same as that in Appendix A.3.2, we set the hyperparam-
 700 eters as $\beta = 1e - 2$, $\alpha = 0.1$, $\sigma(\cdot) = \tanh(\cdot)$, $\eta = 10$, $\lambda_x = 1.0$, $\lambda_y^2 = 0.6$. The actuator in this
 701 example is the identity matrix as $\mathbf{g} = I_{3 \times 3}$.

702 **Test configurations.** We select the same event functions as those for GRN to implement the event-
 703 triggered control, except for setting $\sigma = 0.99$ for LQR since it fails in the case $\sigma = 0.5$. For the initial
 704 value, we randomly select 5 points in the original dataset using `numpy.random.choice` method in
 705 Python, and the random seeds are set as $\{3, 5, 7, 8, 9\}$.

706 A.3.4 Michaelis–Menten model

707 Consider the coupled subcellular model under topology control as

$$\dot{x}_i = -Bx_i + \sum_{j=1}^{100} A_{ij} \frac{x_j^2}{1 + x_j^2} + \delta A_{ii} \frac{x_i^2}{1 + x_i^2}.$$

708 This dynamic has two attractor, inactive state $\mathbf{P}_1 = \mathbf{0}$ represents the cell apoptosis and the active \mathbf{P}_2
 709 represents the reviving cell state. We aim at regulating the cell state to the reviving state through
 710 only tuning the diagonal topology structure, which can be achieved experimentally via drugs or
 711 electrical stimulation. Therefore, an ideal control should be updated as little as possible since the
 712 frequent stimulation may do harm to the cells. For training controller $\mathbf{u} = (\delta A_{11}, \dots, \delta A_{100,100})$,
 713 we uniformly sample 1000 data from the state region $[-10, 10]$. Similarly to that in GRN, we modify
 714 the parameterized V and \mathbf{u} functions s.t. $V(\mathbf{P}_2) = 0$, $\mathbf{u}(\mathbf{P}_2) = 0$. We construct the controllers as
 715 follows.

716 **Neural ETC-PI.** We parameterize $V(\mathbf{x})$ as ICNN(100, 64, 1), $\mathbf{u}(\mathbf{x})$ as Control(100, 64, 64, 100)
 717 with $\mathcal{F} = \text{ReLU}$. Since the dimension of the task is very high, the ODE solver has very high
 718 computational cost in solving the triggering times. We set the the iterations and batch size for
 719 calculating the triggering times as 10 and 5. If the readers have more powerful computing device,
 720 larger iterations and batch size are recommended. We set the iterations for warm up as 500, the
 721 learning rate as $\text{lr} = 0.01$, the weight factor for event loss as $\lambda_2 = \frac{100}{1000}$. In the case, we try a
 722 combination of Neural ETC-PI and Neural ETC-MC by setting the stabilzaiton loss as

$$L_{\text{stab}} = \frac{1}{N} \sum_{i=1}^N \left(\mathcal{L}_{\mathbf{f}_{\mathbf{u}_\phi}} V_\theta(\mathbf{x}_i) + \alpha_{\theta_\alpha} (\|\mathbf{x}_i\|) \right)^+$$

723 and we also penalize the Lipschitz constant of α^{-1} by adding term $L_{\alpha^{-1}}$ to the loss function with
 724 weight 0.1. The dataset $\{\mathbf{x}_i\}$ for $L_{\alpha^{-1}}$ is generated by equidistant sampling on $[0, 10]$.

725 **Neural ETC-MC.** We parameterize $V(\mathbf{x})$ as ICNN(100, 200, 1), $\mathbf{u}(\mathbf{x})$ as
 726 Control(100, 200, 200, 100). We set the iterations as 500, the learning rate as $\text{lr} = 0.05$, the
 727 weight factor for event loss as $\lambda_2 = 0.1$. The dataset $\{\mathbf{x}_i\}$ for $L_{\alpha^{-1}}$ is generated by equidistant
 728 sampling on $[0, 5]$.

729 **NLC.** We parameterize $V(\mathbf{x})$ as MLP(100, 200, 200, 1), $\mathbf{u}(\mathbf{x})$ as MLP(100, 200, 200, 100). We
 730 set the iterations as 500, the learning rate as $\text{lr} = 0.01$, the loss function is

$$L = \frac{1}{N} \sum_{i=1}^N \left[\left(\mathcal{L}_{\mathbf{f}_{\mathbf{u}_\phi}} V_\theta(\mathbf{x}_i) \right)^+ + (V_\theta(\mathbf{x}_i))^+ \right] + V_\theta(\mathbf{0})^2.$$

731 **Quad-NLC.** We parameterize $V(\mathbf{x})$ as $(\mathbf{x} - \mathbf{P}_2)^\top \text{MLP}(100, 200, 100)^\top \text{MLP}(100, 200, 100)(\mathbf{x} -$
 732 $\mathbf{P}_2)$, $\mathbf{u}(\mathbf{x})$ as MLP(100, 200, 200, 100). We set the iterations as 500, the learning rate as $\text{lr} = 0.01$,
 733 the loss function is

$$L = \frac{1}{N} \sum_{i=1}^N \left(\mathcal{L}_{\mathbf{f}_{\mathbf{u}_\phi}} V_\theta(\mathbf{x}_i) + V_\theta(\mathbf{x}_i) \right)^+ + V_\theta(\mathbf{0})^2.$$

734 **BALSA.** For this QP based method, we set the object function as

$$\begin{aligned} \min_{\mathbf{u}, d_1, d_2} & \frac{1}{2} \|\mathbf{u}\|^2 + p_1 d_1^2, \\ \text{s.t.} & \mathcal{L}_{\mathbf{f}_u} V - V \leq d_1. \end{aligned}$$

735 We choose $V = \frac{1}{2} \|\mathbf{x}\|^2, p_1 = 50$.

736 **LQR.** We linearize the controlled dynamic near the \mathbf{P}_2 solution as

$$\begin{aligned} \dot{\mathbf{x}} &= \mathbf{A}(\mathbf{x} - \mathbf{P}_2) + \mathbf{B}\mathbf{u}, \\ \rightarrow \dot{x}_i &= -B + \sum_{j=1}^{100} A_{ij} \frac{2x_j^*}{(1 + (x_j^*)^2)^2} + \delta A_{ii} \frac{(x_i^*)^2}{(1 + (x_i^*)^2)^2} \end{aligned}$$

737 We set the cost matrix in LQR as

$$\begin{aligned} \mathbf{Q} &= 10\mathbf{I}_{100 \times 100}, \\ \mathbf{R} &= 0.01\mathbf{I}_{100 \times 100}. \end{aligned}$$

738 The obtained Riccati solution \mathbf{S} forms the Lyapunov function $V = \frac{1}{2}(\mathbf{x} - \mathbf{P}_2)^\top \mathbf{S}(\mathbf{x} - \mathbf{P}_2)$, the
739 controller is $\mathbf{u} = -\mathbf{K}(\mathbf{x} - \mathbf{P}_2)$ where $\mathbf{K} \in \mathbb{R}^{100 \times 100}$ is returned by the lqr solver. The Lie derivative
740 of the Lyapunov function is $-(\mathbf{x} - \mathbf{P}_2)^\top \mathbf{Q}_1(\mathbf{x} - \mathbf{P}_2)$ with $\mathbf{Q}_1 = \mathbf{Q} + \mathbf{K}^\top \mathbf{R} \mathbf{K}$.

741 **Critic-Actor NNETC.** Similarly, we set the hyperparameters as $\alpha_c = \alpha_a = 1e - 2, \text{ethres} = 0.2$.
742 The actuator in this example is

$$\mathbf{g} = \text{diag}\left(\frac{x_1^2}{(1 + x_1^2)^2}, \dots, \frac{x_{100}^2}{(1 + x_{100}^2)^2}\right). \quad (16)$$

743 Since the dynamics of \mathbf{W}_c and \mathbf{W}_a are both 100²-D, leading to a significantly high dimensional
744 system, we reduce the dynamics as

$$\begin{aligned} V^*(\mathbf{x}) &= \mathbf{W}_c^\top (x_1^2, \dots, x_{100}^2)^\top, \quad \mathbf{W}_c \in \mathbb{R}^{100}, \\ \mathbf{u}^*(\mathbf{x}) &= \text{diag}(\mathbf{W}_a)(x_1, \dots, x_{100})^\top, \quad \mathbf{W}_a \in \mathbb{R}^{100}, \end{aligned}$$

745 to the 100-D systems, for the sake of limited computational resources.

746 **IRL ETC.** We set the hyperparameters as $\beta = 1e - 2, \alpha = 0.1, \sigma(\cdot) = \text{Id}(\cdot), \eta = 1, \lambda_x = 0.1,$
747 $\lambda_y^2 = 0.6$. The actuator in this example is

$$\mathbf{g} = \text{diag}\left(\frac{x_1^2}{(1 + x_1^2)^2}, \dots, \frac{x_{100}^2}{(1 + x_{100}^2)^2}\right). \quad (17)$$

748 We reduce the dimension of the dynamics as the same with that in Critic-Actor NNETC above.

749 **Test configurations.** We select the same event functions as those for GRN to implement the event-
750 triggered control. For the initial value, we set $\mathbf{x}_0 = \mathbf{P}_1 + \boldsymbol{\xi}_i, \boldsymbol{\xi}_i \sim \mathcal{U}[-0.5, 0.5], i = 1, c \dots, 5$, and
751 the random seeds are set as $\{0, 3, 4, 5, 6\}$.

752 A.3.5 Motivation of selecting the benchmark systems

753 In [36], a geometrical approach for switching the system from ROA of one equilibrium to another,
754 through finite changes of the experimentally feasible parameters, wherein GRN system is investigated
755 in their paper. Since our Neural ETC has similarity to the geometrical approach in terms of adding
756 finite non-invasive control to the system, we also study GRN in our work. The Lorenz system is a
757 classic chaotic systems possessing plentiful shapes of dynamical trajectories, hence, the control of
758 Lorenz (or control of chaos in a more common sense) is of important position in control literature [41,
759 42], and the control of Lorenz system under event-triggered implementation is also investigated
760 in [43]. In [30], a topological reconstruction method to the structure of complex dynamics is proposed
761 to revive the degenerate complex system via minimal interventions, i.e., reconstructing links or

nodes as small as possible, and the Michaelis–Menten model describing the evolution dynamics of sub-cellular behavior is considered as an illustration. Since the event-triggered control aims at adding feasible control to the complex system intermittently, e.g., changing the network structure slowly in time, we think it’s meaningful to consider the Michaelis–Menten model in our work to see if there are essentially same parts between our method with the topological reconstruction method.

A.4 More Related Works

Neural control with certificate functions. Previous works in neural control establish the performance guarantee via using the certificate functions, including Lyapunov function for stability [44, 4], barrier function for safety [6, 45, 46, 47, 48, 49], and contraction metrics for stability in trajectory tracking [50, 3]. However, all these feedback controllers require impractically high communication cost for updating the controller continuously when deployed on the digital platforms. We solve this challenge in limited communication resources and improve the performance guarantee at the same time.

Event-triggered control. The pioneering works [21, 13] highlighted the advantages of event-based control against the periodic implementation in reducing the communication cost. Since then, [14] investigates the sufficient conditions for avoiding the Zeno behavior in event-triggered implementations of stabilizing feedback control laws, [16] extends the event-triggered control to the linear stochastic system and [15] gives the system theory of event-triggered control scheme for perturbed linear systems. Machine learning methods have also been introduced to the ETC settings, [32, 33] employ the critic-actor RL structure to solve the dynamic Hamilton-Jacobi-Bellman equation under the ETC, [37] cultivates a model-free hierarchical RL method to optimize both the control and communication policies for discrete dynamics, and [38] applies deep RL to ETC in the nonlinear systems. All the previous works focus on the stabilization analysis of the controlled systems, the existence of the minimal inter-event time (and hence avoids the Zeno behavior), and directly introducing machine learning methods to ETC. To our knowledge, we are the first to study the optimization scheduling problem of ETC in the continuous dynamics.

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Question: Does the paper provide open access to the data and code, with sufficient instructions to faithfully reproduce the main experimental results, as described in supplemental material?

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Justification: Our source code and data is released at <https://anonymous.4open.science/r/Neural-Event-triggered-Control-628A/README.md>.

6. Experimental Setting/Details

Question: Does the paper specify all the training and test details (e.g., data splits, hyperparameters, how they were chosen, type of optimizer, etc.) necessary to understand the results?

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878 Justification: Our work does not involve crowdsourcing nor research with human subjects.