## Gromov-Witten theory and mirror symmetry

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## Chapter 1

#### Gromov-Witten invariants

Roughly speaking, Gromov-Witten invariants is the number of algebraic curves (resp. pseduo-holomorphic curves) of a algebraic variety X (resp. complex manifold) passing through specific subvarieties (resp. submanifolds) under specific degree (homology class of the curves) and genus.

**Definition 1.0.1.** Let  $\gamma_1, \ldots, \gamma_n \in H^*(X; \mathbb{Q})$  and let  $\beta \in H^2(X; \mathbb{Q})$ . The Gromov-Witten invariant of genus g degree  $\beta$  curves is

$$\langle \gamma_1 \dots \gamma_n \rangle_{g,n,\beta} = \int_{[\overline{\mathcal{M}}_{g,n}(X,\beta)]^{vir}} ev_1^*(\gamma_1) \cup \dots \cup ev_n^*(\gamma_n).$$

Here, a point in  $[\overline{\mathcal{M}}_{g,n}(X,\beta)]^{vir}$  is  $[f:C\to X,1,\ldots,n]$ :

a map from the genus g curve C to the variety X modulo the automorphism of C.

The evaluation map  $ev_i : [\overline{\mathcal{M}}_{g,n}(X,\beta)]^{vir} \to X$  is given by

$$ev_i([f:C\to X,1,\ldots,n])=f(i).$$

Let  $\overline{\mathcal{M}}_{g,n}$  denote the moduli space (Deligne-Mumford stack) of genus g curves with n marked points, and let  $\overline{\mathcal{C}}_{g,n}$  be the universal family of  $\overline{\mathcal{M}}_{g,n}$ .

#### 1.1 Kontsevich's approach

Atiyah-Bott localization formula tells us: if there is a torus action  $\mathbb{T} = (\mathbb{C}^*)^n$  on X, then the fixed points of torus action could tells us some properties of X.

By the classifying space theory,  $B\mathbb{T} = (\mathbb{C}P^{\infty})^{\times n}$ , so  $H^*(B\mathbb{T}) = \mathbb{Q}[\lambda_1, \dots, \lambda_n]$ . Let  $\mathcal{R}_{\mathbb{T}} = \mathbb{Q}(\lambda_1, \dots, \lambda_n)$ . Let  $X_{\mathbb{T}} = E\mathbb{T} \times_{\mathbb{T}} X$ , the equivariant cohomology of X is defined by

$$H_{\mathbb{T}}^*(X) := H^*(X_{\mathbb{T}}) = H^*(E\mathbb{T} \times_{\mathbb{T}} X),$$

so naturally,  $H_{\mathbb{T}}^*(X)$  is a  $H_{\mathbb{T}}^*(pt) = H^*(B\mathbb{T})$ -module. The localization of  $H_{\mathbb{T}}^*(X)$  means  $H_{\mathbb{T}}^*(X) \otimes \mathcal{R}_{\mathbb{T}}$ .

**Theorem** (Atiyah-Bott). Let  $X^{\mathbb{T}}$  be fixed locus of  $\mathbb{T}$ , let  $Z_j$  be a connection component of  $X^{\mathbb{T}}$ , and let  $N_j$  be the normal bundle of  $Z_j$  in X. Let  $i_j: Z_j \to X$  and let  $i_{j!}: H^*_{\mathbb{T}}(Z_j) \to H^*_{\mathbb{T}}(X)$  be the pushforward defined by the Gysin map. Let  $\alpha \in H^*_{\mathbb{T}}(X) \otimes \mathcal{R}_{\mathbb{T}}$ , we have

$$\alpha = \sum_{j} \frac{i_{j!} i_{j}^{*} \alpha}{Euler_{T}(N_{j})},$$

In particular,

$$\int_{X_{\mathbb{T}}} \alpha = \sum_{j} \int_{(Z_{j})_{\mathbb{T}}} \frac{i_{j}^{*} \alpha}{Euler_{T}(N_{j})}.$$

Kontsevich's approach is to apply Atiyah-Bott localization formula in  $[\overline{\mathcal{M}}_{g,n}(X,\beta)]^{vir}$  so that we can simplify the computation. We can lift the  $\mathbb{T}$  action on X to  $[\overline{\mathcal{M}}_{g,n}(X,\beta)]^{vir}$  in the following way: let  $t \in \mathbb{T}$ ,  $[f:C \to X,1,\ldots,n] \in [\overline{\mathcal{M}}_{g,n}(X,\beta)]^{vir}$ ,  $x \in X$ 

$$(t \cdot f)(x) = f(t \cdot x).$$

In special, we will consider  $\overline{\mathcal{M}}_{g,n}(\mathbb{P}^r,d)$  in this section. As claimed before, we need to find  $[f:C\to X,1,\ldots,n]\in\overline{\mathcal{M}}_{g,n}(\mathbb{P}^r,d)^{\mathbb{T}}$ . The fixed points of  $\mathbb{P}^r$  is

$${q_i = [0:0:\dots:1:0:\dots:0]}_{0 \le i \le r}.$$

The coordinate curve  $l_{ij}$  connecting  $q_i, q_j$  has one dimensional degree of freedom  $\mathbb{C}^*$  (as an invariant component). The curve  $C \in \overline{C}_{g,n}$  is stable (i.e.  $\operatorname{Aut}(C) < \infty$ ) if and only if 2g - 2 + n > 0. If a components C' of C is mapped to  $l_{ij}$ , then C' has two points mapped to  $q_i, q_j$  respectively (equivalent to with two marked points in C'), so  $2g - 2 + 2 \leq 0$  implies g = 0, i.e.  $C' \cong \mathbb{P}^1$  (see Fig 1.1). Meanwhile,  $f|_{C'}$  must be uniformly ramified, so  $f|_{C'}(z) = z^e, \forall z \in C' \cong \mathbb{P}^1$ , for some  $e \in \mathbb{N}^*$ .

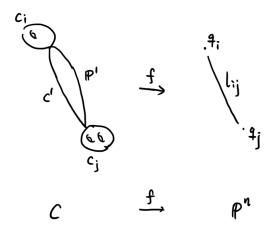


Figure 1.1:  $f(C_i) = q_i$ ,  $f(C') = l_{ij}$ ,  $f(C_i) = q_i$ 

It is convenient to use a decorated graph  $\vec{\Gamma} = (\Gamma, \vec{f}, \vec{d}, \vec{g}, \vec{s})$  (graph, maps, degrees, genus, marked points) to represent  $[f: C \to X, 1, \dots, n] \in \overline{\mathcal{M}}_{g,n}(\mathbb{P}^r, d)^{\mathbb{T}}$ . Let  $\operatorname{val}(v)$ , the valence of v, be the number of edges connecting vertex v, and let  $n(v) = |s_v| + val(v)$ . The stable map  $[f: C \to X, 1, \dots, n]$  with fixed graph  $\vec{\Gamma} = (\Gamma, \vec{f}, \vec{d}, \vec{g}, \vec{s})$  defines a substack

$$\overline{\mathcal{M}}_{\vec{\Gamma}} \subset \overline{\mathcal{M}}_{g,n}(\mathbb{P}^r, d).$$

On the other sides, consider

$$\varphi_{\vec{\Gamma}}: \prod_{\dim C_v=1} \overline{M}_{g_v, n(v)} \to \overline{\mathcal{M}}_{\vec{\Gamma}}.$$

If v, v' are connected by an edge e, then let  $C_v, C_{v'}$  connected by a  $C_e \cong \mathbb{P}^1$  associated with a degree  $d_e$  map to  $\mathbb{P}^r$ . Let  $\overline{M}_{\Gamma}$  be the product of above  $C_v, C_e$ . There is a group  $\mathbb{A}_{\Gamma}$  acting on  $\overline{M}_{\Gamma}$ . The group  $\mathbb{A}_{\Gamma}$  is defined by:

$$1 \to \prod_{edges} \mathbb{Z}/(d_e) \to \mathbb{A}_{\Gamma} \to Aut(\Gamma) \to 1.$$

and

$$\overline{\mathcal{M}}_{\vec{\Gamma}} = \overline{M}_{\Gamma}/\mathbb{A}_{\Gamma}.$$

Therefore, we know the  $\mathbb{T}$ -fixed locus of  $\overline{\mathcal{M}}_{g,n}(\mathbb{P}^r,d)$  is  $\overline{\mathcal{M}}_{\vec{\Gamma}}$ . Let  $N_{\Gamma}$  be the normal bundle of  $\overline{\mathcal{M}}_{\vec{\Gamma}}$  in  $\overline{\mathcal{M}}_{g,n}(\mathbb{P}^r,d)$ . Then there is an explicit formula for

the equivariant Euler class. Before doing that, we define some necessary notations. A flag F is a pair (v, e) such that e is an edge containing the vertex v. We put i(F) = v, j(F) the vertex of e different from v. Let

$$\omega_F = \frac{\lambda_{i(F)} - \lambda_{j(F)}}{d_e} \in H^2_{\mathbb{T}}(pt) = \mathbb{Q}[\lambda_0, \dots, \lambda_r],$$

which is the weight of T-action on  $T_{q_{in}}C_e$ .

**Theorem 1.1.1** ( $Euler_{\mathbb{T}}(N_{\Gamma})$ ).  $Euler_{\mathbb{T}}(N_{\Gamma}) = e_{\Gamma}^F e_{\Gamma}^v e_{\Gamma}^e$ , where

$$e_{\Gamma}^{F} = \prod_{n(i(F))\geq 3} (\omega_{F} - \psi_{F}) / \prod_{j\neq i(F)} (\lambda_{i(F)} - \lambda_{j}),$$

$$e_{\Gamma}^{v} = \prod_{v} \prod_{j\neq i_{v}} (\lambda_{i_{v}} - \lambda_{j}) \prod_{val(v)=2, s_{v}=\emptyset} (\omega_{F_{1}(v)} + \omega_{F_{2}(v)}) / \prod_{val(v)=1, s_{v}=\emptyset} \omega_{F(v)}$$

$$e_{\Gamma}^{e} = \prod_{e} \frac{(-1)^{d_{e}} (d_{e}!)^{2} (\lambda_{i} - \lambda_{j})^{2d_{e}}}{d_{e}^{2d_{e}}} \prod_{a+b=d_{e}, k\neq i, j} (\frac{a\lambda_{i} + b\lambda_{j}}{d_{e}} - \lambda_{k})$$

The proof is partially discussed in section 1.2.

#### 1.2 Tangent-obstruction sequence

Consider 
$$[f: C \to X, 1, ..., n] \in \overline{\mathcal{M}}_{\vec{\Gamma}}, \vec{\Gamma} = (\Gamma, \vec{f}, \vec{d}, \vec{g}, \vec{s})$$
. We put  $V^1(\Gamma) := \{v \in V(\Gamma) : g_v = 0, val(v) = 1, |s_v| = 0\}$   
 $V^2(\Gamma) := \{v \in V(\Gamma) : g_v = 0, val(v) = 2, |s_v| = 0\}$   
 $V^{1,1}(\Gamma) := \{v \in V(\Gamma) : g_v = 0, val(v) = 1, |s_v| = 1\}$   
 $V^s(\Gamma) := \{v \in V(\Gamma) : 2g_v - 2 + val(v) + |s_v| > 0\}$   
 $y(v, e) := C_e \cap C_v$ 

The tangent-obstruction sequence is

$$0 \to Aut(C, 1, \dots, n)$$

$$\to Def(f) \to Def(C, 1, \dots, n, f) \to Def(C, 1, \dots, n)$$

$$\to Ob(f) \to Ob(C, 1, \dots, n, f) \to 0,$$

$$0 \to Hom(\Omega_C(p_1 + \dots + p_n), \mathcal{O}_C)$$
  
 
$$\to H^0(C, f^*T_X) \to T^1 \to Ext^1(\Omega_C(p_1 + \dots + p_n), \mathcal{O}_C)$$
  
 
$$\to H^1(C, f^*T_X) \to T^2 \to 0.$$

For simplicity:

$$0 \to B_1 \to B_2 \to T^1 \to B_4 \to B_5 \to T^2 \to 0.$$

The  $N^{vir} = T^{1,m} - T^{2,m}$  (m means moving part).

$$Euler_{\mathbb{T}}(N^{vir}) = \frac{Euler_{\mathbb{T}}(B_2^m)Euler_{\mathbb{T}}(B_4^m)}{Euler_{\mathbb{T}}(B_1^m)Euler_{\mathbb{T}}(B_5^m)}.$$

(1)  $Euler_{\mathbb{T}}(B_2^m)/Euler_{\mathbb{T}}(B_5^m)$ . The normalization sequence of C is:

$$0 \to \mathcal{O}_C \to \bigoplus_{v \in V^s(\Gamma)} \mathcal{O}_{C_v} \oplus \bigoplus_{e \in E(\Gamma)} \mathcal{O}_{C_e}$$
$$\to \bigoplus_{v \in V^2(\Gamma)} \mathcal{O}_{y_v} \oplus \bigoplus_{(e,v) \in F^s(\Gamma)} \mathcal{O}_{y(e,v)} \to 0.$$

Take  $\otimes f^*T_X$ :

$$0 \to H^{0}(C, f^{*}T_{X}) \to \bigoplus_{v \in V^{s}(\Gamma)} H^{0}(C_{v}, f^{*}T_{X}) \oplus \bigoplus_{e \in E(\Gamma)} H^{0}(C_{e}, f^{*}T_{X})$$

$$\to \bigoplus_{v \in V^{2}(\Gamma)} T_{f(y_{v})}X \oplus \bigoplus_{(e,v) \in F^{s}(\Gamma)} T_{f(y(e,v))}X$$

$$\to H^{1}(C, f^{*}T_{X}) \to \bigoplus_{v \in V^{s}(\Gamma)} H^{1}(C_{v}, f^{*}T_{X}) \oplus \bigoplus_{e \in E(\Gamma)} H^{1}(C_{e}, f^{*}T_{X}) \to 0.$$

$$H^1(C_v, f^*T_X) = H^1(C_v, \mathcal{O}_{C_v}) \otimes T_{f(C_v)} X \cong H^0(C_v, \omega_{C_v})^{\vee} \otimes T_{f(C_v)} X$$

Here  $H^0(C_v, \omega_{C_v})$  is Hodge bundle  $\mathbb{E}$ . By splitting principle, assume  $\mathbb{E} = L_1 \oplus \cdots \oplus L_g$ , then

 $H^0(C_v, f^*T_X) = T_{f(C_v)}X$ 

$$e(\mathbb{E}^{\vee} \otimes \mathbb{C}_{1}) = \prod_{i=1}^{g} c_{1}(L_{i}^{\vee} \otimes \mathbb{C}_{1}) = \prod_{i=1}^{g} c_{1}(L_{i}^{\vee}) + c_{1}(\mathbb{C}_{1})$$

$$= \prod_{i=1}^{g} (-c_{1}(L_{i}) + u) = \sum_{k=1}^{g} (-1)^{k} c_{k}(\mathbb{E}) u^{g-k} = \sum_{k=1}^{g} (-1)^{k} \lambda_{k} u^{g-k} =: \Lambda_{g}^{\vee}(u)$$

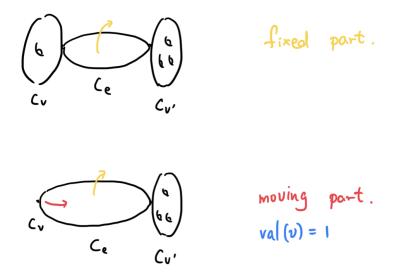


Figure 1.2: automorphism of (C, 1, ..., n)

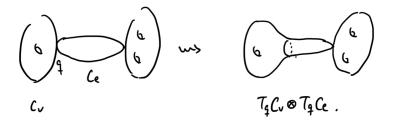


Figure 1.3: deformation of  $(C, 1, \ldots, n)$ 

- (2)  $Euler_{\mathbb{T}}(B_4^m)/Euler_{\mathbb{T}}(B_1^m)$ .
- (2.1)  $B_1 = Aut(C, 1, ..., n) = Hom(\Omega_C(p_1 + ... + p_n), \mathcal{O}_C)$ : We should classify what is moving and what is fixed. Basically, we have

$$B_1^m = \bigoplus_{v \in V^1(\Gamma), (e,v) \in F(\Gamma)} T_{y(e,v)} C_e.$$

(2.2)  $B_4 = Def(C, 1, ..., n) = Ext^1(\Omega_C(p_1 + ... + p_n), \mathcal{O}_C)$ :  $\mathbb{P}^1$  has just 1 complex structure, so we consider  $g(C) \geq 1$ . If we don't change node q, C will stay in the same class in  $\overline{\mathcal{M}}_{g,n}$ . Hence we must resolve the node, and

geometrically, resolution depends on  $T_qC_v\otimes T_qC_e$ . So basically we have

$$B_4^m = \bigoplus_{v \in V^2(\Gamma), E_v = (e, e')} T_{y_v} C_e \otimes T_{y_v} C_{e'} \oplus \bigoplus_{(e, v) \in F^s(\Gamma)} T_{y(e, v)} C_v \otimes T_{y(e, v)} C_e$$

Returning to the special case  $X = \mathbb{P}^r$ , we can get the theorem 1.1.1.

# 1.3 Aspinwall Morrison formula; Faber Pandaripande formula

In this section, we will use Kontsevich's approach to compute the multiple cover contribution of rigidly embedding curves  $\mathbb{P}^1$  in a Calabi-Yau threefold X.

The geometry picture is this. The normal bundle N of  $\mathbb{P}^1 \subset X$  is rank 2 and splits on  $\mathbb{P}^1$ . Because X is Calabi-Yau and  $c_1(\mathbb{P}^1) = 2$ , the normal bundle is of degree 2. Embedded  $\mathbb{P}^1$ 's in a Calabi-Yau threefold (not necessary lines) with normal bundle  $\mathcal{O}(-1) \oplus \mathcal{O}(-1)$  are called rigid. The degree 2 Gromov-Witten invariant of a generic quintic has two contributions:

- (1) rigid conics curves in X;
- (2) lines with double cover, so this part is related to  $\overline{\mathcal{M}}_0(\mathbb{P}^1,2)$ .

We want to compute the contribution of part (2). This problem finally leads to:

$$N_{g,d} = \int_{\overline{\mathcal{M}}_{g,0}(\mathbb{P}^1,d)} e(R^1 \pi_* f^* N),$$

where

$$\overline{C}_{g,0}(\mathbb{P}^1, d) \xrightarrow{f} \mathbb{P}^1$$

$$\downarrow^{\pi} \quad \text{and } N = \mathcal{O}(-1) \oplus \mathcal{O}(-1).$$

$$\overline{\mathcal{M}}_{g,0}(\mathbb{P}^1, d)$$

The decorated graphs  $\vec{\Gamma} = (\Gamma, \vec{f}, \vec{d}, \vec{g}, \vec{s})$  in  $\overline{\mathcal{M}}_{g,0}(\mathbb{P}^1, d)^{\mathbb{T}}$  are of the type in Figure 1.4. We can choose different lifts on  $\mathcal{O}(-1) \oplus \mathcal{O}(-1)$  so that only  $\vec{\Gamma} = (\Gamma, \vec{f}, \vec{d}, \vec{g}, \vec{s})$  with 1 edge contributing  $N_{g,d}$ .

(1) g = 0 (Aspinwall Morrison formula):  $N_{0,d} = 1/d^3$ ;

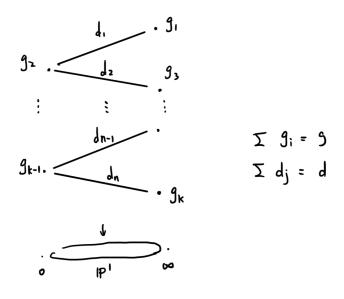


Figure 1.4:  $\overline{\mathcal{M}}_{q,0}(\mathbb{P}^1,d)^{\mathbb{T}}$ 

(2)  $g \ge 1$  (Faber-Pandharipande):

$$N_{g,d} = \sum_{g_1 + g_2 = g} \frac{1}{d} \int_{\overline{\mathcal{M}}_{g_1,1}} \lambda_{g_1} \psi^{2g_1 - 2} d^{2g_1 - 1}$$

$$\times \int_{\overline{\mathcal{M}}_{g_2,1}} \lambda_{g_2} \psi^{2g_2 - 2} d^{2g_2 - 1} = \sum_{g_1 + g_2 = g} b_{g_1} b_{g_2} d^{2g - 3}$$

$$b_0 = 0; b_g = \int_{\overline{\mathcal{M}}_{g,1}} \lambda_{g_2} \psi^{2g - 2} \quad (g > 0)$$

$$\sum_{g_2 = 0}^{\infty} b_g t^{2g} = \frac{t/2}{\sin t/2}.$$

Then use the Laurent series of  $\cot t$ , we have

$$N_{1,d}=\frac{1}{12d},$$
 
$$N_{g,d}=d^{2g-3}\frac{|B_{2g}|}{2g\cdot(2g-2)!}=|\chi(\overline{\mathcal{M}}_g)|\frac{d^{2g-3}}{(2g-3)!},\quad g\geq 2,$$
 where  $B_g$  is the Bernoulli number in  $\frac{x}{e^x-1}$ .

### Chapter 2

## Quantum Cohomology

#### 2.1 quantum product

The quantum cohomology is a variation of classical cohomology. Let  $T_0 = 1, T_1, \ldots, T_p, T_{p+1}, \ldots, T_m \in H^*(X)$  be a basis of  $H^*(X)$  as a  $\mathbb{Q}$ -vector space  $(T_1, \ldots, T_p \in H^2(X))$ . Let  $\beta \in H^2(X)$ ,  $\gamma = \sum_{i=0}^m t_i T_i$ , we define quantum potential as

$$F_0^X(t_0,\ldots,t_m) = \sum_{n,\beta} \frac{1}{n!} \langle \gamma^n \rangle_{0,n,\beta}^X Q^\beta,$$

$$F_{ijk} := \frac{\partial^3 F_0^X}{\partial t_i \partial t_j \partial t_k} = \sum_{n,\beta} \frac{1}{n!} \langle T_i T_j T_k \gamma^n \rangle_{0,n+3,\beta}^X Q^{\beta}$$

Let  $g_{ij} = (T_i, T_j)$  means the Poincare pair of  $T_i, T_j$ . The big quantum product is defined as

$$(T_i *_t T_j, T_k) := F_{ijk},$$

in other words,

$$T_i *_t T_j = \sum_{e,f} F_{ije} g^{ef} T_f.$$

It is known that the quantum product is a generalization of intersection theory: given  $T_i, T_j, T_k$ , they contribute to the quantum product if there exists  $\mathbb{P}^1$  touching their Poincare dual classes at the same time.

The associativity of quantum product is formulated as WDVV equation:

$$F_{ija}g^{ab}F_{bkl} = F_{ila}g^{ab}F_{bjk}.$$

It is proved by a forgetful map  $\pi : \overline{\mathcal{M}}_{0,4}(X,\beta) \to \overline{\mathcal{M}}_{0,4}$ . One should notice that  $\overline{\mathcal{M}}_{0,4} \cong \mathbb{P}^1$ , so the boundary divisor  $D(12|34) \sim D(13|24)$  and

$$\int_{\overline{\mathcal{M}}_{0,4}(X,\beta)} ev_1^*(T_i)ev_2^*(T_j)ev_3^*(T_k)ev_4^*(T_l) \prod_{i=5}^{n+4} ev_i^*(\gamma)\pi^*D(12|34)$$

$$= \int_{\overline{\mathcal{M}}_{0,4}(X,\beta)} ev_1^*(T_i) ev_2^*(T_j) ev_3^*(T_k) ev_4^*(T_l) \prod_{i=5}^{n+4} ev_i^*(\gamma) \pi^* D(13|24).$$

A useful trick is to separate  $\overline{\mathcal{M}}_{0,4}(X,\beta) \cap \pi^*D(12|34)$  by

$$[\overline{\mathcal{M}}_{0,n_1+3}(X,\beta_1)\times\overline{\mathcal{M}}_{0,n_2+3}(X,\beta_2)]^{vir}\cap[g^{ab}T_a\otimes T_b],$$

then we get

$$\sum_{n_1+n_2=n} \sum_{\beta_1+\beta_2=\beta} \langle T_i T_j T_a \gamma^{n_1} \rangle_{0,n_1+3,\beta_1} g^{ab} \langle T_b T_k T_l \gamma^{n_2} \rangle_{0,n_2+3,\beta_2}$$

$$=\sum_{n_1+n_2=n}\sum_{\beta_1+\beta_2=\beta}\langle T_iT_kT_a\gamma^{n_1}\rangle_{0,n_1+3,\beta_1}g^{ab}\langle T_bT_jT_l\gamma^{n_2}\rangle_{0,n_2+3,\beta_2}.$$

This is the essential part in the proof of associativity of quantum product.

Remark 2.1.1. It deserves to notice that the quantum product is defined by rational curves, so its usage mainly concentrates in genus 0 GW-invariants. The difficulty to define quantum product via higher genus curves is that there is no so good associativity as the genus 0 case. It must be a good work if we can find a way to give a quantum product via higher genus curves with associativity like now.

#### 2.2 quantum differential equation

# Chapter 3

# Mirror Symmetry

I plan to follow Givental's approach to give a proof of genus 0 mirror symmetry of hypersurfaces in  $\mathbb{P}^n$ .

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