

# Quintic threefold mirror symmetry

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July 6, 2021



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# Chapter 1

## Differential Topology

### 1.1 Chern class

Let  $E$  be a differentiable complex vector bundle of rank  $r$  over a differentiable manifold  $X$ , and let  $F = dA + A \wedge A$  be the curvature of a connection  $A$  on  $E$ .

**Definition 1.1.1** (total Chern class). *We define the total Chern class of  $E$ ,  $c(E)$ , by*

$$\begin{aligned} c(E) &= \det \left( 1 + \frac{i}{2\pi} F \right) \\ &= 1 + \frac{i}{2\pi} \text{Tr} F + \dots \\ &= 1 + c_1(E) + c_2(E) + \dots \in H^0(X; \mathbb{R}) \oplus H^2(X; \mathbb{R}) \oplus \dots \end{aligned}$$

**Proposition 1.1.2.**

- (1) *If  $E, F$  are two complex vector bundles over  $X$ , then  $c(E \oplus F) = c(E)c(F)$*
- (2) *If  $0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$  is a short exact sequence of sheaves, then  $c(B) = c(A)c(C)$ .*

**Definition 1.1.3** (Chern Character). *Suppose  $\exists x_i \in H^2(X; \mathbb{R})$  such that  $c(E) = \prod_{i=1}^r (1 + x_i)$  ( $r \equiv \text{rk}(E)$ ). Then the Chern character class  $ch(E)$  is defined by  $ch(E) = \sum_i e^{x_i}$  (Taylor expansion). Then we find*

$$ch(E) = r + c_1 + \frac{1}{2}(c_1^2 - 2c_2) + \frac{1}{6}(c_1^3 - 3c_1c_2 + 3c_3) + \dots$$

*Note  $ch(E \oplus F) = ch(E) + ch(F)$  and  $ch(E \otimes F) = ch(E)ch(F)$ .*

**Definition 1.1.4** (Todd class).

$$td(E) = \prod_{i=1}^r \frac{x_i}{1 - e^{-x_i}} = 1 + \frac{1}{2}c_1 + \frac{1}{12}(c_1^2 + c_2) + \frac{1}{24}c_1c_2 + \dots$$

*Note that  $td(E \oplus F) = td(E)td(F)$ .*

## 1.2 The Grothendieck-Riemann-Roch formula

Let  $E$  be a sheaf or holomorphic vector bundle over some variety  $X$ ; let  $H^k(E)$  be the Čech cohomology group of  $E$  over  $X$ . Define  $\chi(E) = \sum_k (-1)^k \dim H^k(E)$ . The Grothendieck-Riemann-Roch formula calculates

$$\chi(E) = \int_X ch(E) \wedge td(X).$$

## 1.3 Serre Duality

**Definition 1.3.1.** For an almost complex manifold  $X$  one defines the complex vector bundles

$$\bigwedge_{\mathbb{C}}^k X := \bigwedge^k (T_{\mathbb{C}} X)^* \quad \text{and} \quad \bigwedge^{p,q} X := \bigwedge^p (T^{1,0} X)^* \otimes_{\mathbb{C}} \bigwedge^q (T^{0,1} X)^*.$$

Their sheaves of sections are denoted by  $\mathcal{A}_{X,\mathbb{C}}^k$  and  $\mathcal{A}_X^{p,q}$ , respectively. Elements in  $\mathcal{A}^{p,q}(X)$ , i.e. global sections of  $\mathcal{A}^{p,q}(X)$ , are called forms of type (or bidegree)  $(p,q)$ .

The complex vector bundles  $\Omega_X^p$  and  $\bigwedge^{p,0} X$  of a complex manifold  $X$  can be identified.

**Corollary 1.3.2.**

$$\bigwedge_{\mathbb{C}}^k X = \bigoplus_{p+q=k} \bigwedge^{p,q} X \quad \text{and} \quad \mathcal{A}_{X,\mathbb{C}}^k = \bigoplus_{p+q=k} \mathcal{A}_X^{p,q}.$$

Moreover,  $\overline{\bigwedge^{p,q} X} = \bigwedge^{q,p} X$  and  $\overline{\mathcal{A}_X^{p,q}} = \mathcal{A}_X^{q,p}$ .

**Definition 1.3.3** (Dolbeault cohomology). Let  $X$  be endowed with an integrable almost complex structure. Then the  $(p,q)$ -Dolbeault cohomology is the vector space

$$H^{p,q}(X) := H^q(\mathcal{A}^{p,-}(X), \bar{\partial}) = \frac{\text{Ker}(\bar{\partial} : \mathcal{A}^{p,q}(X) \rightarrow \mathcal{A}^{p,q+1}(X))}{\text{Im}(\bar{\partial} : \mathcal{A}^{p,q-1}(X) \rightarrow \mathcal{A}^{p,q}(X))}$$

**Corollary 1.3.4.** The Dolbeault cohomology of  $X$  computes the cohomology of the sheaf  $\Omega_X^p$ , i.e.  $H^{p,q}(X) \cong H^q(X, \Omega_X^p)$ .

**Definition 1.3.5.** By  $\mathcal{A}^{p,q}(E)$  we denote the sheaf

$$U \mapsto \mathcal{A}^{p,q}(U, E) := \Gamma(U, \bigwedge^{p,q} X \otimes E).$$

Let  $\alpha$  be a section of  $\mathcal{A}^{p,q}(E)$ . The differential  $d$  is not well-defined on  $\alpha$ .

**Lemma 1.3.6.** If  $E$  is a holomorphic vector bundle then there exists a natural  $\mathbb{C}$ -linear operator  $\bar{\partial}_E : \mathcal{A}^{p,q}(E) \rightarrow \mathcal{A}^{p,q+1}(E)$  with  $\bar{\partial}_E^2 = 0$  which satisfies the Leibniz rule  $\bar{\partial}_E(f \cdot \alpha) = \bar{\partial}(f) \wedge \alpha + f \bar{\partial}_E(\alpha)$ .

*Proof.* Locally  $\alpha = \sum \alpha_i \otimes s_i$  with  $\alpha_i \in \mathcal{A}_X^{p,q}$  and  $s_i \in E$ . Then set

$$\bar{\partial}_E \alpha := \sum \bar{\partial}(\alpha_i) \otimes s_i. \quad \square$$

**Definition 1.3.7.** The Dolbeault cohomology of a holomorphic vector bundle  $E$  is

$$H^{p,q}(X, E) := H^q(\mathcal{A}^{p,-}(X, E), \bar{\partial}_E) = \frac{\text{Ker}(\bar{\partial}_E : \mathcal{A}^{p,q}(X, E) \rightarrow \mathcal{A}^{p,q+1}(X, E))}{\text{Im}(\bar{\partial}_E : \mathcal{A}^{p,q-1}(X, E) \rightarrow \mathcal{A}^{p,q}(X, E))}.$$

**Corollary 1.3.8.**  $H^{p,q}(X, E) \cong H^q(X, E \otimes \Omega_X^p)$ .

Let  $E$  be a holomorphic vector bundle over a compact complex manifold  $X$  of dimension  $n$  and consider the natural pairing

$$H^{p,q}(X, E) \times H^{n-p,n-q}(X, E^*) \longrightarrow \mathbb{C}, \quad (\alpha, \beta) \longmapsto \int_X \alpha \wedge \beta$$

**Proposition 1.3.9.** Let  $X$  be a compact complex manifold. For any holomorphic vector bundle  $E$  on  $X$  the natural pairing

$$H^{p,q}(X, E) \times H^{n-p,n-q}(X, E^*) \longrightarrow \mathbb{C}$$

is non-degenerate.

**Corollary 1.3.10.** By Dolbeault isomorphism:

$$H^q(X, \Omega^p \otimes E) \times H^{n-q}(X, \Omega^{n-p} \otimes E^*) \rightarrow \mathbb{C}$$

is non-degenerate. Furthermore, let  $p = 0$

$$H^q(X, E) \times H^{n-q}(X, K_X \otimes E^*) \rightarrow \mathbb{C}$$

is non-degenerate.

In the special case where  $X$  is Calabi-Yau,  $K_X$  is trivial and

$$H^q(X, E) \times H^{n-q}(X, E^*) \rightarrow \mathbb{C}$$

is non-degenerate.

## 1.4 Chern class of $\mathbb{P}^n$

Let  $H = \mathcal{O}(1)$  be the hyperplane bundle on  $\mathbb{P}^n$ . Consider homogeneous coordinate  $[X_0, \dots, X_n]$ . Since  $X_0^2 + \dots + X_n^2 = 1$ , differentiate this formula we find  $X_i \frac{\partial}{\partial X_i} = 0$ . This gives the exact sequence, the Euler sequence:

$$\begin{aligned} 0 \rightarrow \mathbb{C} \rightarrow H^{\oplus(n+1)} \rightarrow T\mathbb{P}^n \rightarrow 0 \\ (a_0 X_0, \dots, a_n X_n) \mapsto a_i X_i \frac{\partial}{\partial X_i} \end{aligned}$$

where  $a_i \in \mathbb{C}$ .

Since  $c(\mathbb{C}) = 1$ ,  $c(\mathbb{P}^n) = c(T\mathbb{P}^n) = c(H^{\oplus(n+1)}) = [c(H)]^{n+1}$ . Let  $x = c_1(H)$ . Then

$$c(\mathbb{P}^n) = (1 + x)^{n+1} = \sum_{i=0}^n \binom{n+1}{i} x^i$$

It gives an example to check Chern-Gauss-Bonnet formula:  $c_n(\mathbb{P}^n) = (n+1)x^n$ . The Poincare duality gives that

$$\int_{\mathbb{P}^n} x^n = \# \text{intersection of } n \text{ transverse hyperplane } H (\cong \mathbb{P}^{n-1}) = 1$$

$$\int c_n(\mathbb{P}^n) = n+1 = \chi(\mathbb{P}^n)$$

This corresponds to the conclusion in CW-structure of  $\mathbb{P}^n$ .

## 1.5 adjunction formulas

Let  $X$  be a smooth hypersurface in  $\mathbb{P}^n$  defined as the zero-locus of a degree  $d$  polynomial,  $p$  (so  $p$  is a section of  $\mathcal{O}_{\mathbb{P}^n}(d)$ , or  $H^d$ ). The normal bundle  $N_X$  of  $X$  in  $\mathbb{P}^n$  is just  $\mathcal{O}(d)|_X$ . As a result, we have an exact sequence

$$0 \rightarrow TX \rightarrow T\mathbb{P}^n|_X \rightarrow \mathcal{O}(d)|_X \rightarrow 0.$$

Now  $ch(H) = e^x \Rightarrow ch(H^d) = e^{dx} = 1 + c_1(H^d) + \dots$ , so

$$c(\mathcal{O}(d)) = 1 + c_1 = 1 + dx$$

$$c(X) = \frac{(1+x)^{n+1}}{1+dx}$$

The Euler class  $e(X)$  of the normal bundle of a subvariety  $X \subset \mathbb{P}^n$  is equal to its Thom class, namely its Poincare dual cohomology cycle. This means

$$\int_X \theta = \int_{\mathbb{P}^n} \theta e(X).$$

In the case of hypersurface, the normal bundle is one-dimensional, so  $e(X) = c_{top}(N_{X/\mathbb{P}^4}) = c_1(\mathcal{O}(d)) = d \cdot x$ .

## 1.6 quintic hypersurface

Now consider the quintic hypersurface in  $\mathbb{P}^4$ . A quintic hypersurface  $Q$  in  $\mathbb{P}^4$  has

$$c(Q) = \frac{(1+x)^5}{(1+5x)} = 1 + 10x^2 - 40x^3.$$

Note that  $c_1(Q) = 0$ , so  $Q$  is a Calabi-Yau manifold. Its Euler characteristic is

$$\int_Q -40x^3 = \int_{\mathbb{P}^4} -40x^3(5x) = -200$$

A general formula is given in [3], page 11: If  $X$  is a hypersurface in  $\mathbb{CP}^n$  with degree  $d$ , then its Euler characteristic is

$$\chi(X) = \frac{1}{d} \cdot ((1-d)^{n+1} + d \cdot (n+1) - 1).$$



## Chapter 2

# Calabi-Yau Manifolds and Mirror Symmetry

### 2.1 Calabi-Yau manifolds

**Definition 2.1.1** (Calabi-Yau manifold 1). *Let  $m \geq 2$ . A Calabi-Yau  $m$ -fold is a quadruple  $(M, J, g, \Omega)$  such that  $(M, J)$  is a compact  $m$ -dimensional complex manifold,  $g$  a Kahler metric on  $(M, J)$  with holonomy group  $\text{Hol}(g) = \text{SU}(m)$ , and  $\Omega$  a nonzero constant  $(m, 0)$ -form on  $M$  called the holomorphic volume form, which satisfies*

$$\omega^m / m! = (-1)^{m(m-1)/2} (i/2)^m \Omega \wedge \bar{\Omega} \quad (*)$$

where  $\omega$  is the Kahler form of  $g$ . The constant factor in  $(*)$  is chosen to make  $\text{Re } \Omega$  a calibration.

**Definition 2.1.2** (Calabi-Yau manifold 2). *A Calabi-Yau manifold is a compact Kahler manifold  $X$  with trivial canonical bundle  $\omega_X \cong \mathcal{O}_X$ .*

**Example 2.1.3.** *If  $X$  is a simply-connected Calabi-Yau 3-fold, then  $H^1(X, \mathcal{O}_X) = 0$ .*

$$H^1(X, \mathcal{O}_X) \xrightarrow[\text{duality}]{\text{Serre}} H^2(X, \mathcal{O}_X \otimes \omega_X)^* = H^{3,2}(X, \mathbb{C}) = H^{0,1}(X, \mathbb{C}) = 0$$

### 2.2 Complex structure and Bogomolov-Tian-Todorov Theorem

**Definition 2.2.1.** *Let  $X$  be a differentiable manifold of dimension  $2n$ . Suppose that  $J$  is a differentiable vector bundle isomorphism*

$$J : TX \rightarrow TX$$

*such that  $J^2 = -I$ .  $J$  is called an almost complex structure for the differentiable manifold  $X$ . If  $X$  is equipped with an almost complex structure  $J$ , then  $(X, J)$  is called an almost complex manifold.*

In local (real) coordinate  $\{\frac{\partial}{\partial x^a}\}_{a=1}^{2n}$  we can write  $J$  in terms of a matrix  $J^a_b$ , where  $J(\frac{\partial}{\partial x^a}) = J^c_a \frac{\partial}{\partial x^c}$ .

Since  $P = (1 - iJ)/2$  is a projection onto the holomorphic sub-bundle of the tangent bundle (tensor with  $\mathbb{C}$ ) and  $\bar{P} = (1 + iJ)/2$  is the anti-holomorphic projection, the condition of integrability for finding complex coordinates is

$$\bar{P}[PX, PY] = 0$$

where  $X = X^a \frac{\partial}{\partial x^a}$  and  $Y = Y^b \frac{\partial}{\partial x^b}$ . Define the Nijenhuis tensor  $N$  by  $N(X, Y) = [JX, JY] - J[X, JY] - J[JX, Y] - [X, Y]$ . In local coordinates  $x^a$ ,

$$N_{bc}^a = J_b^d (\partial_d J_c^a - \partial_c J_d^a) - J_c^d (\partial_d J_b^a - \partial_b J_d^a).$$

The integrability condition is equivalent to  $N \equiv 0$ . It is also equivalent to  $\bar{\partial}^2 = 0$ .

In complex coordinate, let us fix a complex structure and compatible complex coordinates  $z^1, \dots, z^n$ . We use  $J_b^a$ ,  $J_{\bar{b}}^{\bar{a}}$ ,  $J_{\bar{b}}^a$  and  $J_b^{\bar{a}}$ . In fact, because  $J_b^a z^b = iz^a = iz^b \delta_b^a$ ,  $J_{\bar{b}}^{\bar{a}} z^{\bar{b}} = iz^{\bar{a}} = iz^{\bar{b}} \delta_{\bar{b}}^{\bar{a}}$ .  $J$  is diagonalized in these coordinates, so that  $J_b^a = i\delta_b^a$  and  $J_{\bar{b}}^{\bar{a}} = -i\delta_{\bar{b}}^{\bar{a}}$ , with mixed component zero.

Now given a smooth manifold  $X$ , we try to study all complex structure could be endowed in such a manifold  $X$ . At first, one naively define the set

$$\mathcal{A}_c(X) := \{J \in \text{End}(TX) | J \text{ is an integrable almost complex structure in } X\}.$$

But that is too redundant. Recall that two complex manifolds  $(X, J)$  and  $(X', J')$  are isomorphic if there exists a diffeomorphism  $F : X \rightarrow X'$  such that  $dF \circ J = J' \circ dF$ . Thus, the set of diffeomorphism classes of complex structures  $J$  on a fixed smooth manifold  $X$  is the quotient of the set  $\mathcal{A}_c(X)$  by the action of the diffeomorphism group

$$\text{Diff}(X) \times \mathcal{A}_c(X) \longrightarrow \mathcal{A}_c(X), (F, J) \longmapsto dF \circ J \circ (dF)^{-1}.$$

Next we define the infinitesimal deformation of a complex structure by its power series expansion.

We start out with the set

$$\mathcal{A}_{ac}(X) := \{J | J^2 = -id\} \subset \text{End}(TX)$$

of all almost complex structures on  $X$ . It could be shown that  $\mathcal{A}_{ac}(X)$  is an infinite dimensional manifold. Moreover, this statement is no longer true for  $\mathcal{A}_c(X)$ . Let  $J(t)$  be a continuous path of almost complex structures with  $J(0) = J$ . Then one has a continuous family of such decompositions  $T_{\mathbb{C}}M = T_t^{1,0} \oplus T_t^{0,1}$  or, equivalently, of subspaces  $T_t^{0,1} \subset T_{\mathbb{C}}M$  (retrieve  $T_t^{1,0}$  by conjugation).

Thus, for small  $t$  the deformation  $J(t)$  of  $J$  can be encoded by a map

$$\phi(t) : T^{0,1} \longrightarrow T^{1,0} \text{ with } v + \phi(t)(v) \in T_t^{0,1}.$$

We write  $T^{1,0}$  and  $T^{0,1}$  for subbundles defined by  $J$ . Explicitly, one has

$$\phi(t) = -pr_{T_t^{1,0}} \circ j,$$

where  $j : T^{0,1} \subset T_{\mathbb{C}}$  and  $pr_{T_{\mathbb{C}}^{1,0}} : T_{\mathbb{C}} \rightarrow T_t^{1,0}$  are the natural inclusion respectively projection.

Conversely, if  $\phi(t)$  is given, then one defines for small  $t$

$$T_t^{0,1} := (id + \phi(t))(T^{0,1}).$$

Let us now consider the power series expansion

$$\phi(t) = \phi_0 + \phi_1 t + \phi_2 t^2 + \dots$$

**Lemma 2.2.2.** *The integrability equation  $[T_t^{0,1}, T_t^{0,1}] \subset T_t^{0,1}$  is equivalent to the Maurer-Cartan equation*

$$\bar{\partial}\phi(t) + [\phi(t), \phi(t)] = 0 \in \mathcal{A}^{0,2}(T^{1,0}X)$$

This yields a recursive system of equations:

$$\begin{aligned} 0 &= \bar{\partial}\phi_1 \\ 0 &= \bar{\partial}\phi_2 + [\phi_1, \phi_1] \\ &\dots \\ 0 &= \bar{\partial}\phi_k + \sum_{0 < i < k} [\phi_i, \phi_{k-i}]. \end{aligned}$$

The first-order equation  $\bar{\partial}\phi_1 = 0$  defines an element  $[\phi_1] \in H^1(X, \mathcal{T}_X)$ .

**Definition 2.2.3** (Kodaira-Spencer class). *The **Kodaira-Spencer class** of a one-parameter deformation  $J(t)$  of the complex structure  $J$  is the induced cohomology class  $[\phi_1] \in H^1(X, \mathcal{T}_X)$ .*

**Proposition 2.2.4.** *Let  $X$  be a complex manifold. There is a natural bijection between all first-order deformations of  $X$  and elements of  $H^1(X, \mathcal{T}_X)$ .*

**Corollary 2.2.5.** *A first-order deformation  $v \in H^1(X, \mathcal{T}_X)$  cannot be integrated if  $[v, v] \in H^2(X, \mathcal{T}_X)$  does not vanish.*

**Proposition 2.2.6** (Bogomolov-Tian-Todorov unobstructedness theorem). *Let  $X$  be a Calabi-Yau manifold and let  $v \in H^1(X, \mathcal{T}_X)$ . Then there exists a formal power series  $\phi_1 t + \phi_2 t^2 + \dots$  with  $\phi_i \in \mathcal{A}^{0,1}(\mathcal{T}_X)$  satisfying the Maurer-Cartan equations*

$$\bar{\partial}\phi_1 = 0 \text{ and } \bar{\partial}\phi_k = - \sum_{0 < i < k} [\phi_i, \phi_{k-i}],$$

with  $[\phi_1] = v$  and such that

$$\eta(\phi_i) \in \mathcal{A}^{n-1,1}(X) \text{ is } \partial - \text{exact}$$

for all  $i > 1$ .

**Remark 2.2.7.** *The corollary 2.2.5 states if  $H^2(X, \mathcal{T}_X) = 0$ , the evolution of the Maurer-Cartan equation has no obstruction. But for a Calabi-Yau manifold  $X$ , its  $H^2(X, \mathcal{T}_X)$  usually does not vanish, e.g. for a Calabi-Yau quintic 3-fold,*

$$H^2(X, \mathcal{T}_X) = H^2(X, \Omega_X^2) = H^{2,2}(X, \mathbb{C}) = H^{1,1}(X, \mathbb{C}) = \mathbb{C} \neq 0.$$

*But even if the second cohomology group does not vanish, the deformation of complex structure can be done in a Calabi-Yau manifold. That is why BTT unobstructedness theorem is important.*

## 2.3 Kahler moduli space

## 2.4 Pesudo-holomorphic curves

**Definition 2.4.1** (J-holomorphic curves). *Let  $(\Sigma, j)$  be a Riemann surface,  $(X, J)$  be an almost complex manifold. A smooth map  $u : \Sigma \rightarrow X$  is called **J-holomorphic** if  $u_*$  satisfies*

$$J \circ u_* = u_* \circ j$$

Equivalently, for a map  $u : \Sigma \rightarrow X$ , put

$$\bar{\partial}_J(u) = \frac{1}{2}(u_* + J \circ u_* \circ j) \in \Gamma(\Sigma, \Omega_{\Sigma}^{0,1} \otimes u^* \mathcal{T}_X),$$

It is clear that  $u$  is J-holomorphic if and only if  $\bar{\partial}_J(u) = 0$ .

**Definition 2.4.2.**  $u : \Sigma \rightarrow X$  is **somewhere injective**, or **simple** if  $\exists$  a point  $z \in \Sigma$  such that  $u_*$  is injective at  $z$  and  $u^{-1}(u(z)) = \{z\}$ .

For convenience, let us define

- $\text{Map}(\Sigma, X) = \{u : \Sigma \rightarrow X \mid u \text{ is smooth}\}$
  - For any  $\eta \in H^2(X, \mathbb{Z})$ , let
- $$\text{Map}(\Sigma, X, \eta) = \{u \in \text{Map}(\Sigma, X) \mid u \text{ is a simple map, } [\text{im } u] = \eta\}$$

What we want is to give a math definition about Gromov-Witten invariant of  $X$ , an enumerative invariant associated to the Kahler form  $\omega$  of  $X$ . To accomplish this aim, we use almost complex structure  $J$  compatible with  $\omega$  to define the moduli space of J-holomorphic curves at first. Then we try to show the invariant defined independent to the choice of  $J$ .

**Definition 2.4.3** (compatible almost complex structure). *Fix a real Kahler form of a Kahler metric (or real symplectic form, or)  $\omega$  of a Kahler manifold  $X$ . We say an almost complex structure  $J$  is compatible with  $\omega$  if*

$$\omega(v, Jv) > 0 \quad \forall v \in \mathcal{T}_X, v \neq 0, \text{ and}$$

$$\omega(Jv, Jw) = \omega(v, w) \quad \forall v, w \in \mathcal{T}_X.$$

Let  $\mathcal{J}(\omega)$  be the set of almost complex structure compatible with  $\omega$ .

**Definition 2.4.4.** *Given a homological class  $\eta \in H^2(X, \mathbb{Z})$ , an associated almost complex structure  $J$ , put*

$$M(\eta, J, \Sigma) = \text{the zero locus of } \bar{\partial}_J$$

= Moduli space of simple J-holomorphic map representing the homology class  $\eta$

We want to say something about the space  $M(\eta, J, \Sigma)$ . This space has nice properties generically. For each  $u \in \mathcal{X} = \text{Map}(\Sigma, X)$ , define the fibre

$$\mathcal{E}_u = \Gamma(\Sigma, \Omega_{\Sigma}^{0,1} \otimes u^* \mathcal{T}_X)$$

It gives a vector bundle  $\mathcal{E}$  over  $\mathcal{X}$ . Because  $\bar{\partial}_J$  is a smooth section from  $\mathcal{X}$  to  $\mathcal{E}$ , we can define a map:

$$\mathcal{T}_{\mathcal{X},u} \xrightarrow{(\bar{\partial}_J)^*} \mathcal{T}_{\mathcal{E},(u,0)} = \mathcal{T}_{\mathcal{X},u} \oplus \mathcal{E}_u \xrightarrow{\pi} \mathcal{E}_u.$$

$u$  is called **regular** if  $\pi \circ (\bar{\partial}_J)_*$  is surjective.

$$\mathcal{J}_{reg}(\eta, \omega, \Sigma) = \{J \in \mathcal{J}(\omega) \mid u \text{ is regular for all } u \in M(\eta, J, \Sigma)\}.$$

**Theorem 2.4.5.**

- (1) If  $J \in \mathcal{J}_{reg}(\eta, \omega, \Sigma)$ , then  $M(\eta, J, \Sigma)$  is a smooth manifold of real dimension  $n(2 - 2g) + 2c_1(X) \cdot \eta$ .
- (2)  $\mathcal{J}_{reg}(\eta, \omega, \Sigma)$  has a second category in  $\mathcal{J}(\omega)$ .

The following question is to find a criterion to the regularity of  $u$ .

**Theorem 2.4.6** (Regularity criterion). *If  $J$  is an integrable almost complex structure on  $X$ , and  $u : \mathbb{P}^1 \rightarrow X$  is a  $J$ -holomorphic curve, then  $u$  is regular if in the decomposition  $u^*\mathcal{T}_X = \bigoplus_i \mathcal{O}_{\mathbb{P}^1}(a_i)$  we have  $a_i \geq -1$  for all  $i$ .*

**Remark 2.4.7.** *In the criterion, we use a classical theorem from Grothendick: any holomorphic vector bundle on  $\mathbb{P}^1$  decomposes as a direct sum of line bundles. Any line bundle on  $\mathbb{P}^1$  is determined by  $c_1$ .*

$$\mathcal{O}_{\mathbb{P}^1}(a) = \text{the line bundle with } c_1 = a$$

In the special case that  $\Sigma = \mathbb{P}^1$ ,  $X$  is a Calabi-Yau 3-fold, e.g. quintic 3-fold, we have  $n = 3$ ,  $c_1(X) = 0$ ,  $g(\mathbb{P}^1) = 0$ . The regularity criterion in  $u : \mathbb{P}^1 \rightarrow X$  becomes

**Proposition 2.4.8.**  *$u$  is regular if in the decomposition  $u^*\mathcal{T}_X = \mathcal{O}_{\mathbb{P}^1}(a) \oplus_i \mathcal{O}_{\mathbb{P}^1}(b)$  we have  $a = b = -1$ .*

If  $\in \mathcal{J}_{reg}(\eta, \omega, \mathbb{P}^1)$  then by Theorem 2.4.5

$$\dim_{\mathbb{R}} M(\eta, J, \mathbb{P}^1) = 3(2 - 2 \cdot 0) + 2 \cdot 0 \cdot \eta = 6$$

$$\text{Aut}(\mathbb{P}^1) = PSL(2, \mathbb{C}), \quad \dim_{\mathbb{R}} \text{Aut}(\mathbb{P}^1) = 6$$

$$n_{\eta} := \# \overline{M(\eta, J, \mathbb{P}^1) / PSL(2, \mathbb{C})} \text{ is finite.}$$

The number  $n_{\eta}$  is the definition of Gromov-Witten invariant in this special case. It describes the number of  $J$ -holomorphic curves with image in the homology class  $\eta$  under the automorphism equivalence of  $\mathbb{P}^1$  is generically finite. Since  $h^2(X; \mathbb{Z}) = h^{1,1}(X; \mathbb{Z}) = 1$ , we use  $d \in \mathbb{Z}$  to represent the homology class  $\eta$  in  $H^2(X; \mathbb{Z})$ , so

$$n_d := \# \overline{M(d, J, \mathbb{P}^1) / PSL(2, \mathbb{C})}.$$

is well-defined.

## 2.5 Mirror pair of quintic 3-fold

Let  $f_\varphi = x_0^5 + x_1^5 + x_2^5 + x_3^5 + x_4^5 - 5\varphi x_0 x_1 x_2 x_3 x_4$ .

Let  $X_\varphi$  be a smooth hypersurface  $f_\varphi = 0$  in  $\mathbb{P}^4$ . The Hodge diamond of  $X$  is

$$\begin{array}{ccccc}
 & & 1 & & \\
 & & 0 & & 0 \\
 & 0 & & 1 & & 0 \\
 1 & & 101 & & 101 & & 1 \\
 & 0 & & 1 & & 0 \\
 & & 0 & & 0 \\
 & & & & 1
 \end{array}$$

There is a  $G = (\mathbb{Z}/5\mathbb{Z})^5$  action on  $\mathbb{P}^4$ :

$$(\mathbb{Z}/5\mathbb{Z})^5 \times \mathbb{P}^4 \longrightarrow \mathbb{P}^4, \quad \lambda = e^{2\pi i/5}.$$

$$(a_0, a_1, a_2, a_3, a_4), [z_0 : z_1 : z_2 : z_3 : z_4] \mapsto [\lambda^{a_0} z_0 : \lambda^{a_1} z_1 : \lambda^{a_2} z_2 : \lambda^{a_3} z_3 : \lambda^{a_4} z_4].$$

For those smooth  $X_\varphi$ , take the quotient of  $X_\varphi$  by  $(\mathbb{Z}/5\mathbb{Z})^5$ , we get some  $A_n$  singularities. Blow-up the singularities of  $X_\varphi/G$ , get a new smooth Calabi-Yau manifold  $Y_\varphi$  with extra 100 divisors  $\mathbb{P}^1$ . The Hodge diamond of  $Y_\varphi$  is

$$\begin{array}{ccccc}
 & & 1 & & \\
 & & 0 & & 0 \\
 & 0 & & 101 & & 0 \\
 1 & & 1 & & 1 & & 1 \\
 & 0 & & 101 & & 0 \\
 & & 0 & & 0 \\
 & & & & 1
 \end{array}$$

We can see  $X_\varphi$  and  $Y_\varphi$  has symmetry Hodge diamond over the diagonal line. This is the first (maybe) mirror pair found in history.

## 2.6 Yukawa coupling and mirror symmetry

In physics(QFT), Yukawa coupling is a quantity to describe the interaction between Neutrino and Higgs field. There are two kinds of Yukawa couplings in physics. Let  $X$  be a quintic 3-fold.

The A-model is of the Kahler form of  $X = X_\varphi$ :

$$\langle h, h, h \rangle_A := 5 + \sum_{d=1}^{\infty} n_d d^3 \frac{q^d}{1 - q^d},$$

where  $n_d$  is the Gromov-Witten invariant defined in 2.4.

The B-model is of the complex structure of  $\check{X} = Y_\varphi$ :

$$\langle \partial_z, \partial_z, \partial_z \rangle_B := \int_{\check{X}} \check{\Omega} \wedge \partial_z \partial_z \partial_z \check{\Omega},$$

where  $\check{\Omega}$  is the normalized Calabi-Yau 3-form of  $\check{X}$ . We choose a Calabi-Yau 3-form  $\Omega$ , the normalized Calabi-Yau 3-form  $\check{\Omega}$  is

$$\check{\Omega} = \frac{\Omega}{\int_{\beta_0} \Omega},$$

where  $\beta_0$  is a three torus by taking limit  $\varphi \rightarrow \infty$ .

The mirror conjecture states that under the coordinate map  $q = e^{2\pi i w(z)}$  two Yukawa coupling is equal:

$$\langle h, h, h \rangle_A = \langle \partial_z, \partial_z, \partial_z \rangle_B,$$

where

$$w(z) = \int_{\beta_1} \check{\Omega} = \frac{\int_{\beta_1} \Omega}{\int_{\beta_0} \Omega}$$

for some  $\beta_1$  in Hodge bundle and  $\{\beta_0, \beta_1\}$  is a part of a symplectic basis of Hodge bundle.

Historically, physicists wanted to compute  $\langle h, h, h \rangle_A$ . But in 1980s the Gromov-Witten invariant is unknown for  $n \geq 3$ .  $n_1 = 2875$  is a classical result, and in 1986? S.Katz computes  $n_2 = 609250$ . Thus the mirror conjecture gives a way to compute Gromov-Witten invariant by B-model Yukawa coupling. By computation,  $\exists$  constant  $c_1, c_2$  such that

$$\langle \partial_z, \partial_z, \partial_z \rangle_B = -c_1 - 575 \frac{c_1}{c_2} q - \frac{1950750}{2} \frac{c_1}{c_2^2} q^2 - \frac{10277490000}{6} \frac{c_1}{c_2^3} q^3 + \dots$$

$$\langle h, h, h \rangle_A = 5 + n_1 q + (8n_2 + n_1) q^2 + (27n_3 + n_1) q^3 + \dots$$

$n_1 = 2875$  shows  $c_1 = -5$ ,  $c_2 = 1$ , and get the Table 2.1.

degree $d$	Gromov-Witten invariant $n_d$
1	2875
2	609250
3	317206375
4	242467530000
5	229305888887625
6	248249742118022000
7	295091050570845659250
8	375632160937476603550000
9	503840510416985243645106250
10	704288164978454686113488249750

Table 2.1: computation by B-model

It is conjectured that  $n_d$  is the value as above. The conjecture for all  $d$  was proven by Givental in 1996 and Lian, Liu, and Yau in 1997.





## Chapter 3

# Toric Geometry



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