

Data Structures

HEAPS (CHAPTER 5)

Heap

A **heap** is a **complete binary tree** that satisfies the **heap-order property**.

Shape property (Structure)

- The heap is a complete binary tree:
 - Every level is completely filled, except possibly the last level.
 - The last level's nodes are filled from left to right.
- This property guarantees that the heap can be stored efficiently in an array (no gaps).

Heap-Order Property (Ordering)

Max heap

- Each parent node's key is always **greater than** or equal to their children ($\text{parent} \geq \text{children}$).
- The **maximum** element is always at the root.

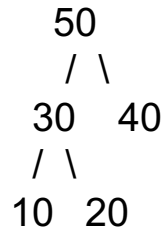
Min heap

- Each parent node's key is always **less than** or equal to their children ($\text{parent} \leq \text{children}$).
- The **minimum** element is always at the root.

Heaps are commonly used to implement **priority queues** and for **heap sort algorithms**.

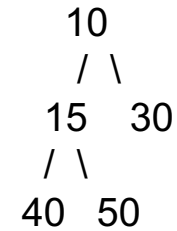
Max Heap vs. Min Heap

Max Heap



Array representation: [50, 30, 40, 10, 20]

Min Heap



Array representation: [10, 15, 30, 40, 50]

Operations and Time Complexity

Operation	Description	Time Complexity
<code>insert(x)</code>	Add new element and restore heap-order	$O(\log n)$
<code>extract_root()</code>	Remove max (or min) element	$O(\log n)$
<code>peek_root()</code>	Access max (or min) element	$O(1)$
<code>build_heap(array)</code>	Convert array to heap	$O(n)$
<code>heapify(i)</code>	Restore heap property at node i	$O(\log n)$

Summary

Property	Meaning
Data structure type	Binary Tree (Complete)
Order property	Parent \geq Children (Max) or \leq Children (Min)
Stored in	Array (most efficient)
Typical uses	Priority Queue, Scheduling, Heapsort
Performance	Logarithmic insertion/removal

Why Order Means Priority in a Heap

Priority = Importance or Urgency

- In a **priority queue**, each element has a **priority value** - a higher (or lower) value means “more important” or “should be handled first.”

Example	Priority Interpretation
Emergency patient with triage level 5	High priority
OS process with small remaining time	High priority (shortest job first)
Network packet with high QoS level	High priority

Why Order Means Priority in a Heap

Heap Order Property = Encoded Priority Rule

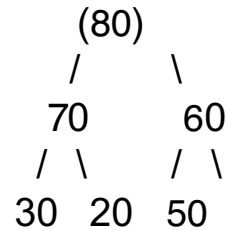
In a heap, we use the *key value* (number, weight, time, etc.) to represent the priority of each element.

- In a max-heap, the largest key = highest priority.
- In a min-heap, the smallest key = highest priority.

Summary

Concept	Meaning in Heap
Order property	Defines how nodes compare (\geq or \leq)
Key value	Represents <i>priority</i>
Root node	Element with <i>highest priority</i>
Heap operations	Maintain this priority rule efficiently ($O(\log n)$)

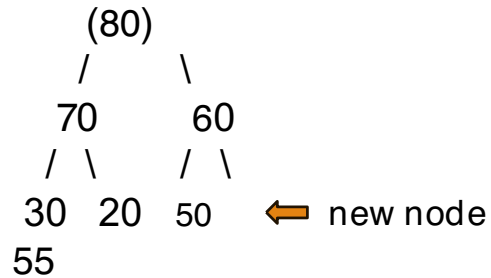
Example: Max Heap



Array representation: [80, 70, 60, 30, 20, 50]

Example: Max Heap (insert 55)

Insert 55



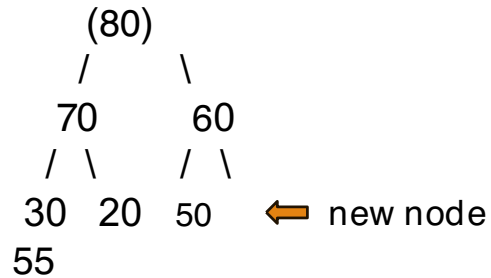
Array representation: [80 , 70 , 60 , 30 , 20 , 50]



Array representation: [80 , 70 , 60 , 30 , 20 , 50 , 55]

Example: Max Heap (insert 55)

Check order after inserting 55,



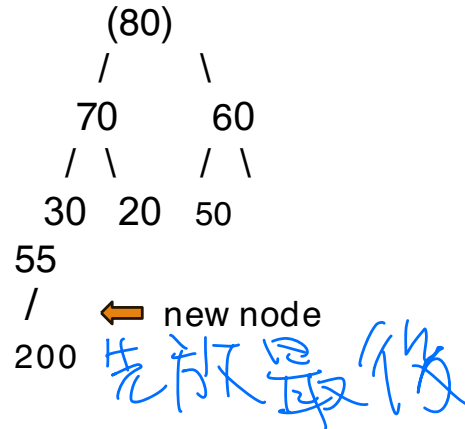
Array representation: [80 , 70 , 60 , 30 , 20 , 50]



Array representation: [80 , 70 , 60 , 30 , 20 , 50 , 55]

Example: Max Heap (insert 200)

Insert 200



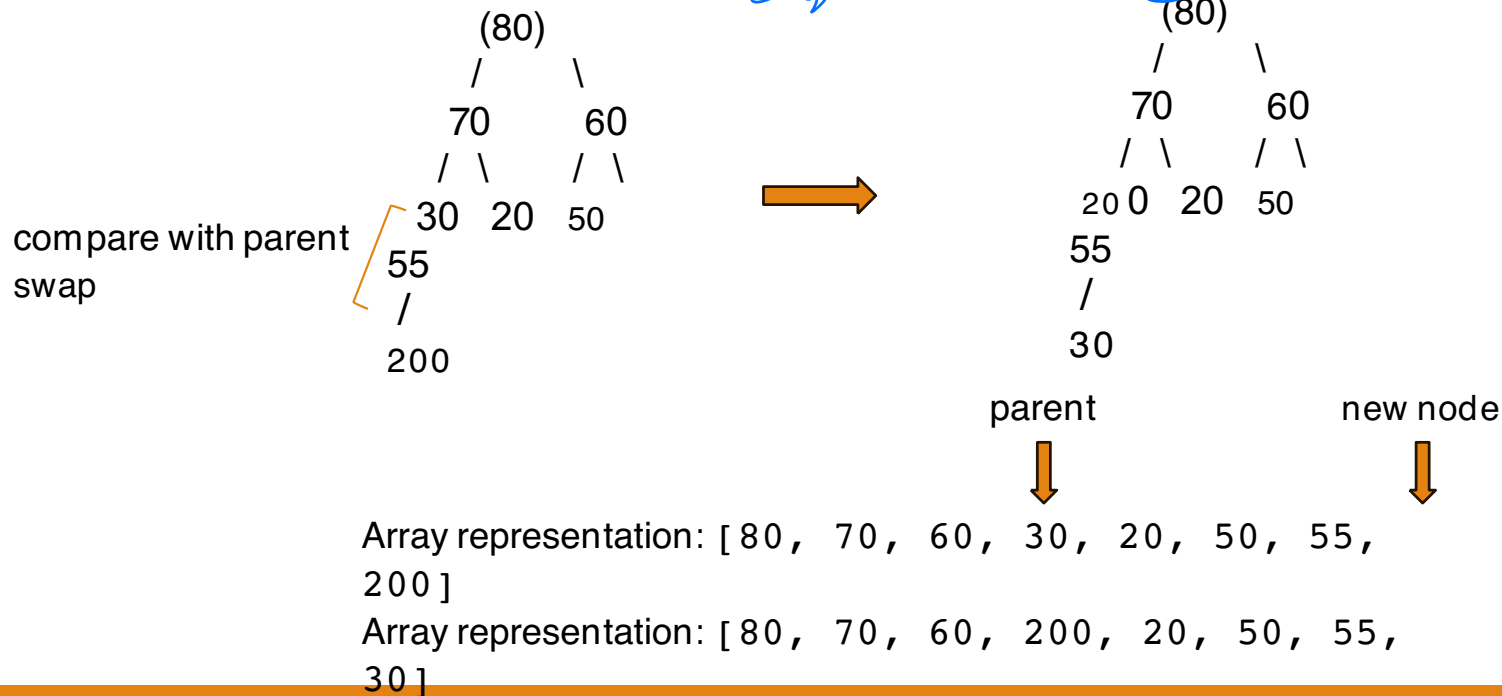
Array representation: [80, 70, 60, 30, 20, 50, 55, 200]

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Example: Max Heap (insert 200)

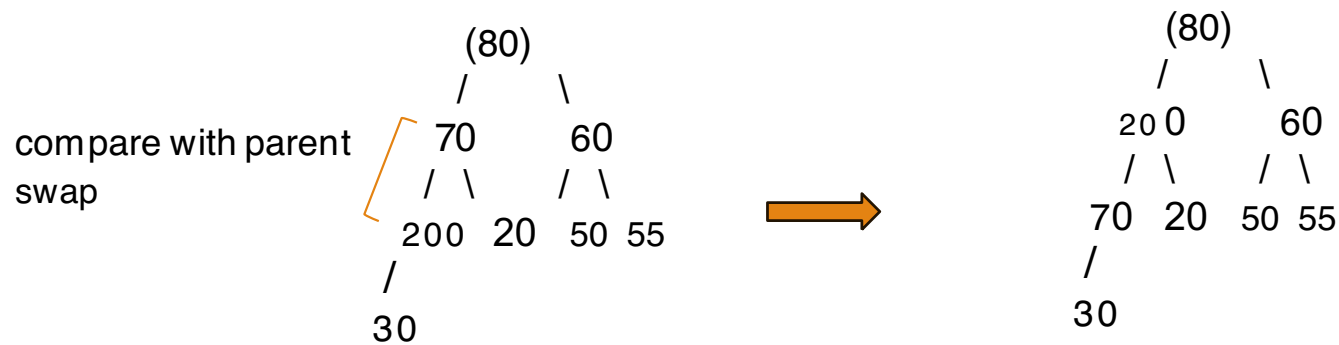
Check order after inserting 200

一路往上檢查



Example: Max Heap (insert 200)

Check order after inserting 200



parent new node

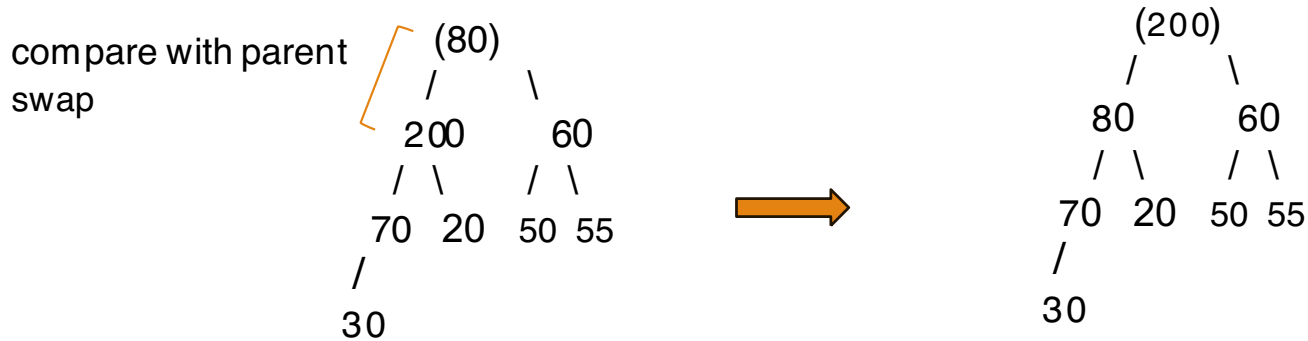
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Array representation: [80, 70, 60, 200, 20, 50, 55, 30]

Array representation: [80, 200, 60, 70, 20, 50, 55, 30]

Example: Max Heap (insert 200)

Check order after inserting 200



parent new node

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Array representation: [80 , 200 , 60 , 70 , 20 , 50 , 55 , 30]

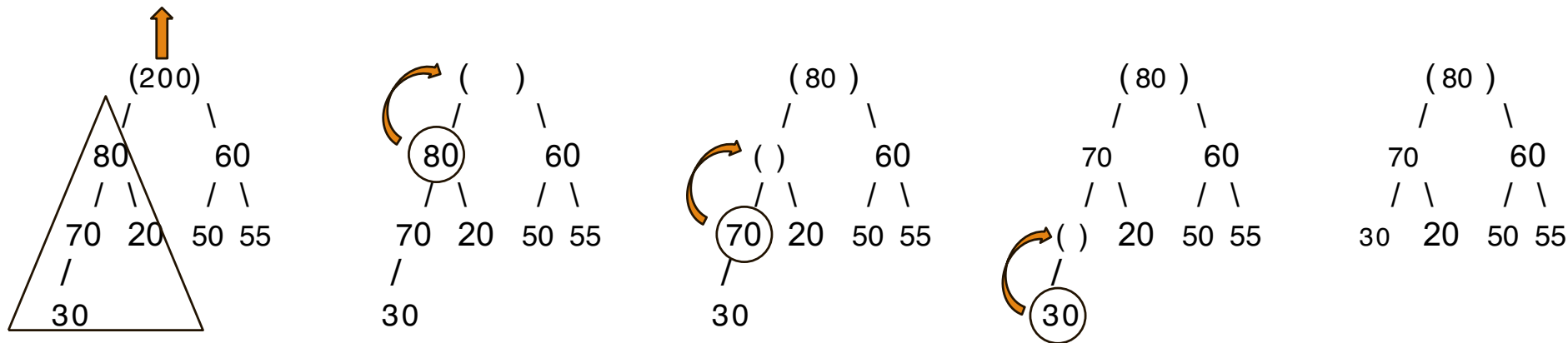
Array representation: [200 , 80 , 60 , 70 , 20 , 50 , 55 , 30]

用 array

找替補即矣

Example: Max Heap (Extract Max)

Extract Max (200)



Array representation: [200, 80, 60, 70, 20, 50, 55,
30]

Array representation: [80, 70, 60, 30, 20, 50, 55]