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Affine Transformation

An affine transformation $A:\left\{0,1\right\}^{m} \rightarrow \left\{0,1\right\}^{n}$ over $GF\left(2\right)^{m}$ is defined using a Boolean matrix $L_{n\times m}$ and a word $a\in\left\{0,1\right\}^{n}$ as

$$A\left(x\right) = L\left(x\right) + a$$

 $L\left(x\right)$ is simply matrix multiplication.

The transformation is **invertible** if m=n and L is an invertible matrix.

If a=0, then the A is called a linear transformation (such functions are a subclass of affine functions), that is,

$$A\left(x\right) =L\left(x\right)$$

Affine Equivalence

Two functions ${m F}:\{0,1\}^n o \{0,1\}^m$, ${m G}:\{0,1\}^n o \{0,1\}^m$ are affine equivalent is there exist two **invertible affine transformations** $A_1:\{0,1\}^n o \{0,1\}^n$ and $A_2:\{0,1\}^m o \{0,1\}^m$ such that

$$G = A_2 \circ F \circ A_1$$

It is easy to show that the affine equivalence relation partitions the set of all functions into (affine) equivalence classes. We denote

$$m{F}\equiv m{G}$$

if $m{F}$ is affine equivalent to $m{G}$.

Problem

Problem 1. Linear equivalence

By linear mapping we mean a mapping L(x) over $GF(2)^n$ that satisfies

$$L(x+y) = L(x) + L(y)$$

Let us consider the prblem of checking linear equivalence between two S-boxes S_1 and S_2 . The problem is to find two **invertible linear mappings** L_1 and L_2 , such that

$$L_2 \circ S_1 \circ L_1 = S_2$$

Problem 2. Affine equivalence

We want an algorithm that takes two $n \times n$ -bit S-boxes S_1 and S_2 as input, and checks whether there exists a pair of **invertible affine mappings** A_1 and A_2 such that

$$A_2 \circ S_1 \circ A_1 = S_2$$

The Linear Equivalence Algorithm ¹

Naive approach

Guess one of the mappings, for example L_1 . Then one can extract L_2 from the equation:

$$L_2 = S_2 \circ L_1^{-1} \circ S_1^{-1}$$

and check if it is a linear, invertible mapping.

There are $O(2^{n^2})$ choices of invertible linear mappings over n-bit vectors. For each guess one will need about n^3 steps to check for linearity and invertibility using Gaussian elimination [1].

In total the naive algorithm would require $O(n^32^{n^2})$ steps.

A similar naive affine equivalence algorrithm will use $O(n^3 2^{n(n+1)})$.

Improved Naive approach

We need only n equations in order to check L_2 for invertibility and linearity.

If one guesses only $\log_2 n$ vectors from L_1 one may span a space of n points (by trying all linear combinations of the guessed vectors), evaluate the results through L_1 , S_1 and S_2 and have n constraints required to check for linearity of L_2 .

If the n new equations are not independent one will need to guess additional vectors of L_1 .

Such an algorithm would require guessing of $n \log_2 n$ bits of L_1 and the total complexity would be $O(n^3 2^{n \log n})$

Linear equivalence algorithm

Notation

Symbol	Notation
A,B^{-1}	the linear mappings L_1 and L_2 respectively
C_A, C_B	the sets of $\emph{checked points}$ for which the mapping (A or B respectively) is known
U_A, U_B	the sets of yet <i>unknown points</i>
N_A,N_B	all the <i>new points</i> for which we know the mapping (either A or B , respectively), but which are linearly independent from points of C_A or C_B , respectively

C,N,U are always disjoint.

Exploit two ideas

- 1. needlework effect : in which guesses of portions from L_1 provide us with free knowledge of the values of L_2 .
- 2. exponential amplification of guesses : due to the linear (affine) structure of the mappings, support that the new values from L_2 allow us to extract new free information about L_1 .
- 3. knowing k vectors from the mapping L_1 , we know 2^k linear combinations of these vectors for free.

Linear Equivalence (LE)

```
U_A \Leftarrow \{0,1\}^n; U_B \Leftarrow \{0,1\}^n
N_A \Leftarrow \varnothing; N_B \Leftarrow \varnothing
C_A \Leftarrow \varnothing; C_B \Leftarrow \varnothing
while (U_A \neq \emptyset \text{ and } U_B \neq \emptyset) or (All guesses rejected) do
   if N_A = \emptyset and N_B = \emptyset then
      If previous guess rejected, restore C_A, C_B, U_A, U_B.
      Guess A(x) for some x \in U_A
      Set N_A \Leftarrow \{x\}, U_A \Leftarrow U_A \setminus \{x\}
   end if
   while N_A \neq \emptyset do
      Pick x \in N_A; N_A \Leftarrow N_A \setminus \{x\}; N_B \Leftarrow S_2(x \oplus C_A) \setminus C_B
      C_A \Leftarrow C_A \cup (x \oplus C_A)
      if |N_B| + \log_2 |C_B| > const \cdot n then
          if B is invertible linear mapping then
             Derive A and check A, B at all points, that are still left in U_A and U_B.
          else
             Reject latest guess; N_A \Leftarrow \varnothing; N_B \Leftarrow \varnothing
          end if
      end if
   end while
   while N_B \neq \emptyset do
      Pick y \in N_B; N_B \Leftarrow N_B \setminus \{y\}; N_A \Leftarrow S_2^{-1}(y \oplus C_B) \setminus C_A
      C_B \Leftarrow C_B \cup (y \oplus C_B)
      if |N_B| + \log_2 |C_B| > const \cdot n then
          if A is invertible linear mapping then
             Derive B and check A, B at all points, that are still left in U_A and U_B.
          else
             Reject latest guess; N_A \Leftarrow \emptyset; N_B \Leftarrow \emptyset
          end if
      end if
   end while
   U_A \Leftarrow U_A \setminus C_A; U_B \Leftarrow U_B \setminus C_B
end while
```

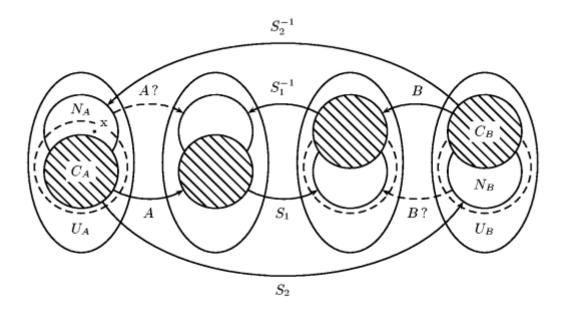


Fig. 1. The relations between the different sets for the LE algorithm.

Complexity of LE

The complexity of this approach is about $n^3 \cdot 2^n$ steps (for S-boxes that do not map zero to zero, and $n^3 \cdot 2^{2n}$ otherwise).

The complexity of the linear equicalence algorithm (LE) is $O(n^32^n)$.

The Affine Equivalence Algorithm ¹

$$A_2\circ S_1\circ A_1=S_2$$
 $\downarrow\downarrow$
 $A_2(S_1(A_1(x)))=S_2(x)$
 $A_1(x)=A\cdot x\oplus a$
 $A_2(x)=B^{-1}\cdot x\oplus b$
 $\downarrow\downarrow$
 $B^{-1}S_1(A\cdot x\oplus a)\oplus b=S_2(x)$
 $\downarrow\downarrow$
 $B^{-1}\circ S_1(x\oplus a)\circ A=S_2(x)\oplus b$
 $\downarrow\downarrow$

A straightforward solution

```
for all a do
for all b do
check whether S_1(x \oplus a) and S_2(x) \oplus b are linearly equivalent
end for
end for
```

This approach adds a factor 2^{2n} to the complexity of the linear algorithm, bringing the total to $O(n^32^{3n})$.

A second approach

Assign a unique representative to each linear equivalence class:

```
for all a do insert the representative of the lin. equiv. class of S_1(x \oplus a) in a table T_1 end for for all b do insert the representative of the lin. equiv. class of S_2(x) \oplus b in a table T_2 end for if T_1 \cap T_2 \neq \emptyset then conclude that S_1 and S_2 are affine equivalent end if
```

The complexity of this second algorithm is about 2^n times the work needed for **finding the linear** representative.

Finding the linear representative

The efficiency of an algorithm that finds the linear representative R_S for an S-box S depends on how this unique representative is chosen.

Defination of linear representative

If all S-boxes in a linear equivalence class are ordered lexicographically according to their lookup tables, then the *smallest* is called the representative of the class.

Construst the representative R_S

- 1. Making an initial guess.
- 2. Incrementally build the linear mappings A and B such that $R_S' = B^{-1} \circ S \circ A$ is as small as possible.
- 3. The representative R_S is obtained by taking the smallest R_S' over possible guesses.

Explain the algorithm

Symbol	Notation						
A,B^{-1}	the linear mappings L_1 and L_2 respectively						
D_A,D_B	values for which \boldsymbol{A} or \boldsymbol{B} are known respectively						
C_A, C_B	points of D_A that have a corresonding point in D_B and vice versa, i.e., $S\circ A(C_A)=B(C_B)$. For these values, R_S' and $R_S'^{-1}$ are known respectively						
N_A,N_B	remaining points of D_A and D_B . We have that $S\circ A(N_A)\cap B(N_B)=arnothing$						
U_A, U_B	values for which \boldsymbol{A} or \boldsymbol{B} can still be chosen						

The main part of the algorithm that finds a candidate R_S' consists in repeatedly picking the smallest input x for which R_S' is not known and trying to assign it to the smallest available output y.

```
while N_A \neq \emptyset do

pick x = \min_{t \in N_A}(t) and y = \min_{t \in U_B}(t)

complete B such that B(y) = S \circ A(x) and thus R'_S(x) = y

update all sets according to their definitions

while N_A = \emptyset and N_B \neq \emptyset do

pick x = \min_{t \in U_A}(t) and y = \min_{t \in N_B}(t)

complete A such that A(x) = S^{-1} \circ B(y) and thus R'_S(x) = y

update all sets according to their definitions

end while

end while
```

Update the sets

1. Use the value of B(y) to derive B for all linear combinations of y and D_B .

$$D'_B \Leftarrow D_B \cup (D_B \oplus y)$$
$$U'_B \Leftarrow U_B \setminus (D_B \oplus y)$$

2. Check whether any new point inserted in D_B has a corresponding point in D_A and update C_B , D_B , C_A and N_A accordingly:

3.

$$C_B' \Leftarrow C_B \cup B^{-1}[B(D_B \oplus y) \cap S \circ A(N_A)]$$
 $N_B' \Leftarrow N_B \cup B^{-1}[B(D_B \oplus y) \setminus S \circ A(N_A)]$
 $C_A' \Leftarrow C_A \cup A^{-1} \circ S^{-1}[B(D_B \oplus y) \cap S \circ A(N_A)]$
 $N_A' \Leftarrow N_A \setminus A^{-1} \circ S^{-1}[B(D_B \oplus y) \cap S \circ A(N_A)]$

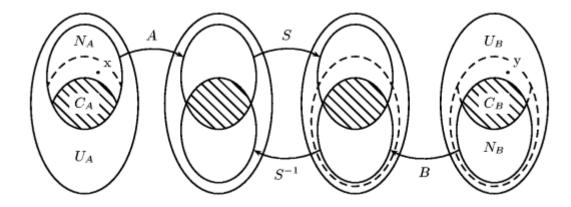


Fig. 2. The relations between the different sets for the AE algorithm.

Complexity of AE

Completely defining R'_S for a particular guess takes about 2^n steps.

However, most guesses will already be rejected after having determined only slightly more than n values, because at that point R_S' will usually turn out to be larger than the current smallest candidate. The total complexity of finding the representative is expected to be $O(n^3 2^n)$.

The affine equivalence algorithm (AE) has complexity $O(n^32^{2n})$.

Complexities of linear and affine algorithms

Table 2. Complexities of linear and affine algorithms.

Dimension	: n								12			
LE	$: n^2 2^n$	2^{8}	2^{10}	2^{11}	2^{13}	2^{14}	2^{15}	2^{17}	2^{19}	2^{24}	2^{33}	2^{42}
AE	$: n^2 2^{2n}$	2^{12}	2^{15}	2^{17}	2^{20}	2^{22}	2^{24}	2^{27}	2^{31}	2^{40}	2^{57}	2^{74}
AE (n-m=1)	$(2^n n^2 (2!)^{\frac{n}{2}})$	2^{10}	2^{12}	2^{14}	2^{16}	2^{18}	2^{20}	2^{22}	2^{25}	2^{32}	2^{45}	2^{58}
AE (n-m=2)	20	2^{13}	2^{15}	2^{18}	2^{21}	2^{23}	2^{26}	2^{28}	2^{33}	2^{42}	2^{61}	2^{79}
$\underline{AE\ (n-m=3)}$	$(2^n n^2 (2^3!)^{\frac{n}{2^3}})$	2^{16}	2^{19}	2^{23}	2^{26}	2^{29}	2^{33}	2^{36}	2^{42}	2^{55}	2^{79}	2^{103}

Note that we use n^2 for the complexity of the Gaussian elimination since $n \leq 32$ and we assume an efficient implementation using 32-bit operations.

Extensions of LE and AE algorithms

Self-Equivalent S-Boxes

$$A_2 \circ S \circ A_1 = S$$

Equivalence of Non-invertible S-Boxes

a natura extension of our equivalence problem:

find an n imes n-bit affine mapping A_1 and an m imes m-bit affine mappin A_2 such that

$$A_2 \circ S_1 \circ A_1 = S_2$$

for two given n imes m-bit S-boxes S_1 and S_2 .

Almost Affine Equivalent S-Boxes

The S-boxes S_1 and S_2 are called almost equivalent if there exist two affine mappings A_1 and A_2 such that $A_2 \circ S_1 \circ A_1$ and S_2 are equal, except in a few points (e.g., two values in the lookup table are swapped, or some fixed fraction of the entrise are misplaced).

Apply AE and LE to AES

When our AE tool is run for the 8-bit S-box S used in AES, as many as **2040 different self-equivalence relations** are revealed.

Although this number might seem surprisingly high art first, we will show that it can easily be explained from the special algebraic structure of the S-box of AES.

Symbol	Notation
[a]	the $8 imes 8$ -bit matrix that corresponds to a multiplication by a in $GF(2^8)$
Q	the $8 imes 8$ -bit matrix that performs the squaring operation in $GF(2^8)$

We can now derive a general expression for all pairs of affine mappings A_1 and A_2 such that $A_2\circ S\circ A_1=S$:

$$A_1(x)=[a]\cdot Q^i\cdot x$$
 $A_2(x)=A(Q^{-i}\cdot [a]\cdot A^{-1}(x)), ext{with } 0\leq i<8 ext{ and } a\in GF(2^8)\setminus\{0\}$

Since i takes on 8 different values ^[2] and there are 255 different choices for a, we obtain exactly $2040=255\times 8$ different solutions, which confirms the output of the AE algorithm.

An Improved Affine Equialence Algorithm (Dinur) ²

Multivariate Polynomials

Any Boolean function $F:\{0,1\}^n \to \{0,1\}$ can be represented as a multivariate polynomial whose algebraic mormal form (ANF) is unique and given as

$$F(x[1],\cdots,x[n]) = \sum_{u=(u[1],\cdots,u[n])\in\{0,1\}^n} lpha_u M_u$$

where $\alpha_u \in \{0,1\}$ is the coefficient of the monomial $M_u = \prod_{i=1}^n x[i]^{u[i]}$, and the sum is over GF(2).

The algebraic degree of the function F is defined as

$$deg(F) = \max \{wt(u) | \alpha_u \neq 0\}$$

Given a function $F:\left\{0,1
ight\}^n
ightarrow \left\{0,1
ight\}$ represented by a polynomial

$$P(x[1],\cdots,x[n])$$

define $F_{\geq d}: \left\{0,1
ight\}^n
ightarrow \left\{0,1
ight\}$ as the function represented by

$$P_{(\geq d)}$$

Half-Space Masks and Coefficients

Let $A:\{0,1\}^{n-1}\to\{0,1\}^n$ be an affine transformation such that A(x)=L(x)+a for a matrix $L_{n\times(n-1)}$ with linearly independent columns.

Then the (affine) range of A is an (n-1)-dimensional affine subspace spanned by the columns of L with the addition of a.

The subspace orthogonal to the range of A is of dimension 1 and hence spanned by a single non-zero vector $h \in \{0,1\}^n$.

Namely, a vector $v \in \{0,1\}^n$ is in the range of A if and only if h(v+a)=0 i.e., v satisfies the linear equation h(v)+h(a)=0.

Sine h partitions the space of $\{0,1\}^n$ into two halves, we call h the half-space mask (HSM) of A and call the bit h(a) the half-space free coefficient (HSC) of A.

We call the linear subspace spanned by the columns of L the linear range of A. A vector $v \in \{0,1\}^n$ is in the linear range of A if and only if h(v)=0.

Canonical Affine Transformations

non-zero
$$h \in \{0,1\}^n$$

$$c \in \{0,1\}$$

Define the canonical affine transformation $C_{|h,c}:\{0,1\}^{n-1} \to \{0,1\}^n$ with respect to h,c. ℓ : the index of the first non-zero bit of $h=(h[1],\cdots,h[n])$.

$$C_{|h,c}(x) = L(X) + a$$
 $a = c \cdot e_{\ell}$

 e_ℓ : the ℓ 'th unit vector

$$L[i] = egin{cases} e_i & ext{if } i < \ell \ e_{i+1} + h[i+1]_{e_\ell} & ext{otherwise } (\ell \leq i \leq n-1) \end{cases}$$

the transformation $C_{|h,c}$ is defined by the symbolic form:

$$(x[1],x[2],\cdots,x[n])=(y[1],\cdots,y[\ell-1]), \sum_{i=\ell}^{n-1}h[i+1]y[i]+c,y[\ell],\cdots,y[n-1])$$

Rand Tables and Histograms

prove $m{F}$ and $m{G}$ are affine equivalent \Rightarrow the symbolic ranks of $m{P}$ and $m{Q}$ (as vectors) are equal rank table

rank group: $(\max R, \min R)$

Although the *rank tables are different*, the size of each rank group $(\max R, \min R)$ of $\boldsymbol{F}, \boldsymbol{G}$ is *identical*.

the rank histogram of \boldsymbol{F} (with respect to d) as a mapping from each $(\max R, \min R)$ value to the corresponding rank group size.

The New Affine Equivalence Algorithm

$$egin{aligned} m{r}_1 &= (n+1-\gamma_n, n-\gamma_n) \ & m{r}_2 &= (n, n-\gamma_n) \ & \gamma_n &= \left \lfloor (n/2)^{1/2}
ight
floor \end{aligned}$$

- 1. Given $m{F}:\{0,1\}^n o\{0,1\}^n$, $m{G}:\{0,1\}^n o\{0,1\}^n$ Compute their corresonding ANF representations $m{P}_{\geq (n-2)}$ and $m{Q}_{\geq (n-2)}$
- 2. Compute the rank table $\mathcal{T}_{F,n-2}$ and rank histogram $\mathcal{H}_{F,n-2}$ for $m{F}$ using $m{P}_{\geq (n-2)}$. Compute $\mathcal{T}_{G,n-2}$ and $\mathcal{H}_{G,n-2}$ for $m{G}$ using $m{Q}_{\geq (n-2)}$. If $\mathcal{H}_{F,n-2}
 eq \mathcal{H}_{G,n-2}$, return "Not Equivalent".

Algorithm to compute the rank table and rank histogram

For each non-zero HSM $h \in \{0,1\}^n$:

(1) Compute $R_{F,n-2,h} = (\max R, \min R)$ as follows.

Compute $(m{P}_{\geq n-2}\circ C_{|h,0})_{\geq n-2}=(m{P}\circ C_{|h,0})_{\geq n-2}$ and calculate its symbolic rank r_0 using

Guassian elimination .

Compute $({m P}_{\geq n-2}\circ C_{|h,1})_{\geq n-2}=({m P}\circ C_{|h,1})_{\geq n-2}$ and calculate its symbolic rank r_1 using Guassian elimination .

Let $\max R = \max \{r_0, r_1\}$ and $\min R = \min \{r_0, r_1\}$.

(2) Insert h into $\mathcal{T}_{F,n-2}(\max R,\min R)$, along with the value of the attached constant $c\in\{0,1\}$ such that $\max R=SR((F\circ C_{|h,c})_{(\geq n-2)})$ (if $\max R>\min R$) In addition, increment entry $\mathcal{H}_{F,n-2}(\max R,\min R)$.

Note: The time complexity of the algorithm depends on how a polynomial is represented.

3. Obtain the set U_F running the algorithm on inputs $\mathcal{T}_{F,n-2}$ and $\mathbf{r}_1,\mathbf{r}_1$ Obtain the set U_G running the algorithm on inputs $\mathcal{T}_{G,n-2}$ and $\mathbf{r}_1,\mathbf{r}_1$

The Unique HSM Algorithm

- (1) For each $h \in \mathcal{T}_{F,n-2}(r)$, compute $\mathcal{HG}_{F,n-2,h,r'}$ as follows:
- **a.** for each $h' \in \mathcal{T}_{\boldsymbol{F},n-2}(\boldsymbol{r'})$:

Compute h+h', find its rank $m{r''}=R_{m{F},n-2,h+h'}$ in $\mathcal{T}_{m{F},n-2}$.

- **b.** Insert $\mathcal{HG}_{F,n-2,h,r'}$ along with h and its attached constant c into the multi-set $\mathcal{HM}_{F,n-2,r,r'}$.
- (2) For each unique HSM h in $\mathcal{HM}_{F,n-2,r,r'}$, add the triplet $(h,c,\mathcal{HG}_{F,n-2,h,r'})$ to U_F .

Note: The time complexity of the algorithm is product of sizes of the rank groups.

4. On inputs $U_{m{F}}$ and $U_{m{G}}$ to recover affine transformation

If it returns "Not Equivalent", return the same output.

Otherwise, it returns a candidate for A_1 .

The Affine Transformation A_1 Recovery Algorithm

- (1) Allocate n+1 linear equation systems $\{E_i\}_{i=1}^{n+1}$, each of dimension $n\times n$: the first n equation systems are on the columns L[i] of L and the final equation system E_{n+1} is on a.
- (2) Locate n linearly independent HSMs in $U_{\mathbf{G}}$. For each such HSM h:

Lemma 5

- **a.** Recover the triplet $(h, c, \mathcal{HG}_{G,n-2,h,r'})$ from U_G .
- **b.** Search U_{F} for a triplet $(h', c', \mathcal{HG}_{F,n-2,h',r'})$ such that $\mathcal{HG}_{F,n-2,h',r'} = \mathcal{HG}_{G,n-2,h,r'}$. If no mathch exists, return "Not Equivalent".
- **c.** Based on Lemma 5, for $i=1,2,\cdots,n$ add equation h'(L[i])=h[i] to E_i .
- **d.** Based on Lemma 5, add equation h'(a) = c + c' to E_{n+1} .
- (3) Solve each one of $\{E_i\}_{i=1}^{n+1}$, recover A_1 and return its matrix L and vector a.
- 5. Recover a candidate for $A_2=L_2(x)+a_2$ by evaluating inputs $v\in\{0,1\}^n$ to ${\pmb F}\circ A_1$ and ${\pmb G}$: each input v gives n linear equations on L_2 and a_2 .

Hence, after a bit more than n evaluations, we expect the linear equation system to have a single solution which gives a candidate for A_2 .

6. Test the candidates A_1,A_2 by equating the evaluations of ${\bf G}$ and $A_2\circ {\bf F}\circ A_1$ on all 2^n possible inputs.

If $G(v) \neq A_2 \circ F \circ A_1(v)$ for some $v \in \{0,1\}^n$, return "Not Equivalent". Otherwise, return A_1,A_2 .

Reference

[1] Biryukov A, De Canniere C, Braeken A, et al. A toolbox for cryptanalysis: Linear and affine equivalence algorithms[C]. International Conference on the Theory and Applications of Cryptographic Techniques. Springer, Berlin, Heidelberg, 2003: 33-50.

[2] Dinur I. An improved affine equivalence algorithm for random permutations[C]. Annual International Conference on the Theory and Applications of Cryptographic Techniques. Springer, Cham, 2018: 413-442.

- 1. 高斯消元法算法的时间复杂度为 $O(n^3)$ \hookleftarrow
- 2. One can easily check that $Q^8=I$ and thus $Q^{-i}=Q^{8-i} \hookleftarrow$