

## “Min-Max, Order Statistics, and Linear Time OS”

### □ Lecture Topics and Readings

- ❖ Linear Time Sorting Algorithms [CLRS]-C8.2,8.3
- ❖ Min, Max, and Min-Max
- ❖ Randomized Divide-and-Conquer [CLRS]-C9
- ❖ Order Statistics in Linear Time [CLRS]-C9

**THINK!**

*Busting the Lower Bound*  
*Recursive algorithms are elegant!*  
*Balancing leads to efficient algorithms*

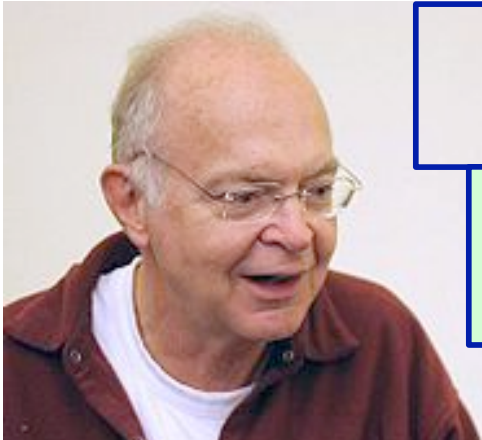
# MOE Think out of the Box

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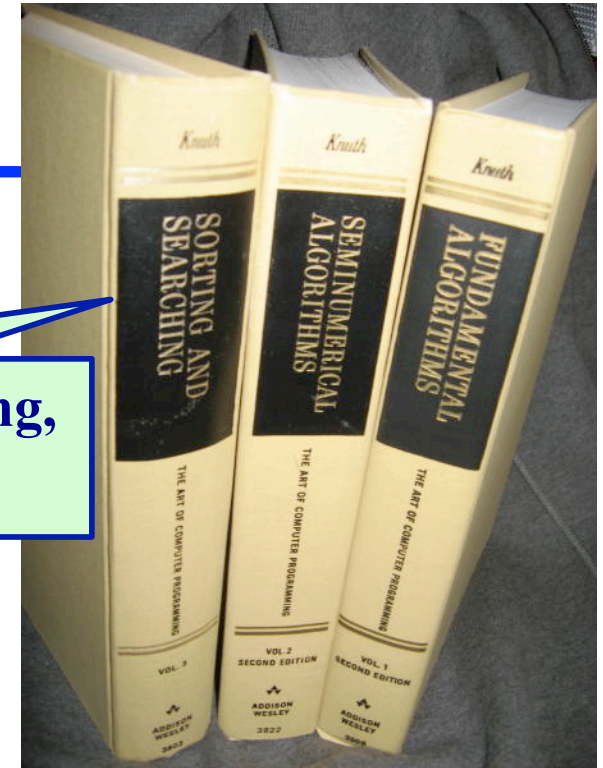
Singapore  
MOE Building  
Buona Vista  
**THINK out of the Box!**

# Sorting and Searching



**Don Knuth,  
Stanford**

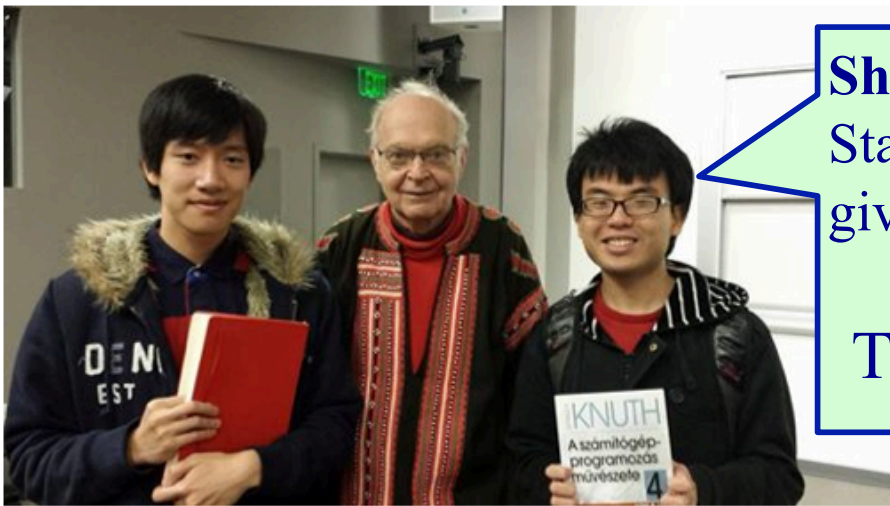
**The Art of Computing Programming,  
Vol 3, “*Sorting and Searching*”**



**Raymond Liu**

December 9, 2013

Christmas tree lecture — with Chuanqi Shen.



**Shen Chuan Qi (2011 SG IOI Team, now at Stanford) attending “Christmas Tree Lecture” given by Don Knuth, around Xmas 2013.**

**Topic: Planar Graphs and Ternary Trees**

**Search: Don Knuth, Christmas Tree Lectures, December 2013**

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*Thank you.*

*Q & A*



## “Lower Bound for Sorting, Linear-Time Sorting”

### “Order Statistics, and Linear Time OS”

#### □ Lecture Topics and Readings

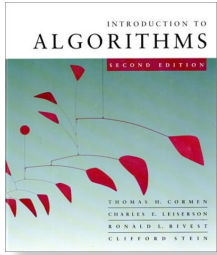
❖ Order Statistics, Min, Max, Min-Max

❖ Randomized Divide-and-Conquer [CLRS]-C9

❖ Order Statistics in Linear Time [CLRS]-C9

*Recursive algorithms are elegant!*  
*Balancing leads to efficient algorithms*





# Order statistics

Select the  $i$ th smallest of  $n$  elements (the element with *rank*  $i$ ).

- $i = 1$ : *minimum*;
- $i = n$ : *maximum*;
- $i = \lfloor (n+1)/2 \rfloor$  or  $\lceil (n+1)/2 \rceil$ : *median*.

*Naive algorithm*: Sort and index  $i$ th element.

Worst-case running time =  $\Theta(n \lg n) + \Theta(1)$   
=  $\Theta(n \lg n)$ ,

using merge sort or heapsort (*not* quicksort).

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***Quick Revision:***  
***We start with the***  
***humble Find-Max***

# Iterative Find-Max algorithm

---

**FIND-MAX**  $A[1 \dots n]$

1. Let  $Max\text{-}sf := A[1]$ ;
2. **for**  $k := 2$  **to**  $n$  **do**
3.     **if**  $A[k] > Max\text{-}sf$  **then**
4.          $Max\text{-}sf := A[k]$
5. **return**  $Max\text{-}sf$

If  $A = [3, 1, 5, 7]$      Let  $M(x, y) = \text{Max } \{x, y\}$

$Max\text{-}sf = 3$ ,  $M(1, 3) = 3$ ,  $M(5, 3) = 5$ ,  $M(7, 5) = 7$



# Iterative Find-Max algorithm

**FIND-MAX**  $A[1 \dots n]$

1. Let  $Max\text{-}sf := A[1]$ ;
2. **for**  $k := 2$  **to**  $n$  **do**
3.     **if**  $A[k] > Max\text{-}sf$  **then**
4.          $Max\text{-}sf := A[k]$
5. **return**  $Max\text{-}sf$

**A-Problem:**  
On average,  
how often is  
line 4 executed?

**Obviously:**  $T(n) = \Theta(n)$   
**more precisely:**  $(n-1 \text{ comparisons})$

---



***Now, we make it  
Recursive***

# Making Find-Max recursive

---

**FIND-MAX**  $A[1 \dots n]$

1. Let  $Max\text{-}sf := A[1]$ ;


2. for  $k := 2$  to  $n$  do

3. if  $A[k] > Max\text{-}sf$  then

4.      $Max\text{-}sf := A[k]$

5. return  $Max\text{-}sf$

Compares  $A[k]$  with  
 $\max \{ A_1, A_2, \dots, A_{k-1} \}$



**Question:** *Can we turn this into a recursive algorithm?*

# Iterative Find-Max algorithm

**FIND-MAX**  $A[1 \dots n]$

1. Let  $Max-sf := A[1]$ ;


2. for  $k := 2$  to  $n$  do

3. if  $A[k] > Max-sf$  then

4.      $Max-sf := A[k]$

5. return  $Max-sf$

compares  $A[k]$  with  
 $\max \{ A_1, A_2, \dots, A_{k-1} \}$



When  $k=7$ , what is value of  $Max-sf$ ?

$Max-sf = \max \{ A[1], A[2], \dots, A[6] \}$



# Iterative Find-Max algorithm

**FIND-MAX**  $A[1 \dots n]$

1. Let  $Max\text{-}sf := A[1]$ ;


2. for  $k := 2$  to  $n$  do

3. if  $A[k] > Max\text{-}sf$  then

4.      $Max\text{-}sf := A[k]$

5. return  $Max\text{-}sf$

compares  $A[k]$  with  
 $\max \{ A_1, A_2, \dots, A_{k-1} \}$



When  $k=n$ , what is value of  $Max\text{-}sf$ ?...

$Max\text{-}sf = \max \{ A[1], A[2], \dots, A[n-1] \}$

# Recursive Find-Max (FMR)

## *Recursion Schematic:*

$$\text{FMR} \{A[1..n]\} = \max \{ \text{FMR} \{A[1..(n-1)]\}, A[n] \}$$

## **Find-Max-R** $A[1 \dots n]$

1. If  $n = 1$ , return  $A[1]$
2.  $M1 := \text{Find-Max-R } A[1 \dots n-1]$
3. return  $\text{Max} \{A[n], M1\}$

Find-Max-R = FMR

# Recursive Find-Max (FMR)

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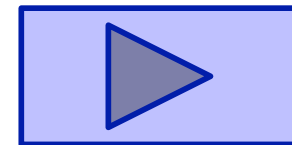
**Find-Max-R**  $A[1 \dots n]$

1. If  $n = 1$ , return  $A[1]$
2.  $M1 := \text{Find-Max-R } A[1 \dots n-1]$
3. return  $\text{Max} \{A[n], M1\}$

FMR  $\{[3, 1, 5, 7]\}$

If  $A = [3, 1, 5, 7]$

Max  $\{7, \text{FMR}\{[3, 1, 5]\}\}$



(Finish this example yourself.  
Check with slides at the back.)



# Find-Max and Find-Max-R

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Find-Max-R does exactly  
the *same computations* as Find-Max!

Have the same asymptotic  
 $\Theta(n)$  worst-case time.

---



***Let's explore the  
Recursion Schematic  
some more***

# Recursion Schematics

---

*Recursion Schematic:*

$$\text{FMR}\{A[1..n]\} = \max\{\text{FMR}\{A[1..(n-1)]\}, A[n]\}$$

Extreme imbalance



# Recursion Schematics

## *Recursion Schematic:*

$$\text{FMR}\{A[1..n]\} = \max\{\text{FMR}\{A[1..(n-1)]\}, A[n]\}$$

Extreme imbalance

## *Balanced Recursion Schematic:*

$$\begin{aligned} \text{BFM}\{A[1..n]\} \\ = \max\{\text{BFM}\{A[1.. n/2]\}, \text{BFM}\{A[n/2+1.. n]\}\} \end{aligned}$$

# Balanced Recursive Find-Max

---

**BFM**  $A[1 \dots n]$

1. **if**  $n = 1$ , done.
2.  $M1 := \text{BFM } A[1 \dots \lceil n/2 \rceil]$   
 $M2 := \text{BFM } A[\lceil n/2 \rceil + 1 \dots n]$ .
3. **return**  $\max \{M1, M2\}$

Don't this remind you of  
Merge-Sort ?

# Recall: Merge sort

---

**MERGE-SORT**  $A[1 \dots n]$

1. If  $n = 1$ , done.
2. **MERGE-SORT**  $A[1 \dots \lceil n/2 \rceil]$   
**MERGE-SORT**  $A[\lceil n/2 \rceil + 1 \dots n]$
3. “*Merge*” the 2 sorted lists.

# Balanced Recursive Find-Max

---

**BFM**  $A[1 \dots n]$

1. **if**  $n = 1$ , done.
2.  $M1 := \text{BFM } A[1 \dots \lceil n/2 \rceil]$   
 $M2 := \text{BFM } A[\lceil n/2 \rceil + 1 \dots n]$ .
3. **return**  $\max \{M1, M2\}$

$$T(n) = \begin{cases} \Theta(1) & \text{if } n = 1; \\ 2T(n/2) + \Theta(1) & \text{if } n > 1. \end{cases}$$



# Balanced Recursive Find-Max

---

**BFM**  $A[1 \dots n]$

1. **if**  $n = 1$ , done.
2.  $M1 := \text{BFM } A[1 \dots \lceil n/2 \rceil]$   
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$$T(n) = \begin{cases} \Theta(1) & \text{if } n = 1; \\ 2T(n/2) + \Theta(1) & \text{if } n > 1. \end{cases}$$

**BFM:**  $a = 2, b = 2 \Rightarrow n^{\log_b a} = n^{\log_2 2} = n$   
 $f(n) = O(n^{1-\epsilon})$  for  $\epsilon = 0.5 \Rightarrow$  **CASE 1:**  $T(n) = O(n)$ .

# Recursion tree

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Solve  $T(n) = 2T(n/2) + 1$ .

# Recursion tree

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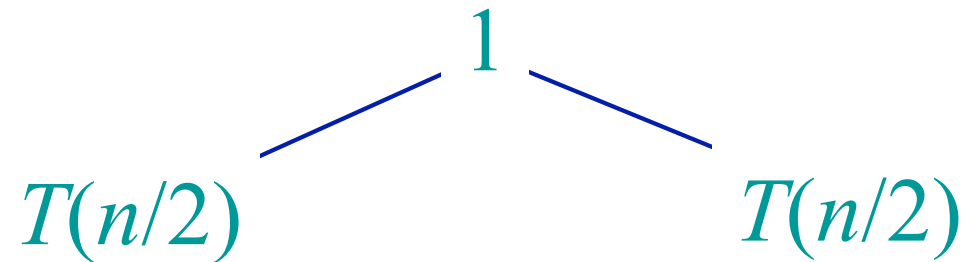
Solve  $T(n) = 2T(n/2) + 1$ .

$T(n)$

# Recursion tree

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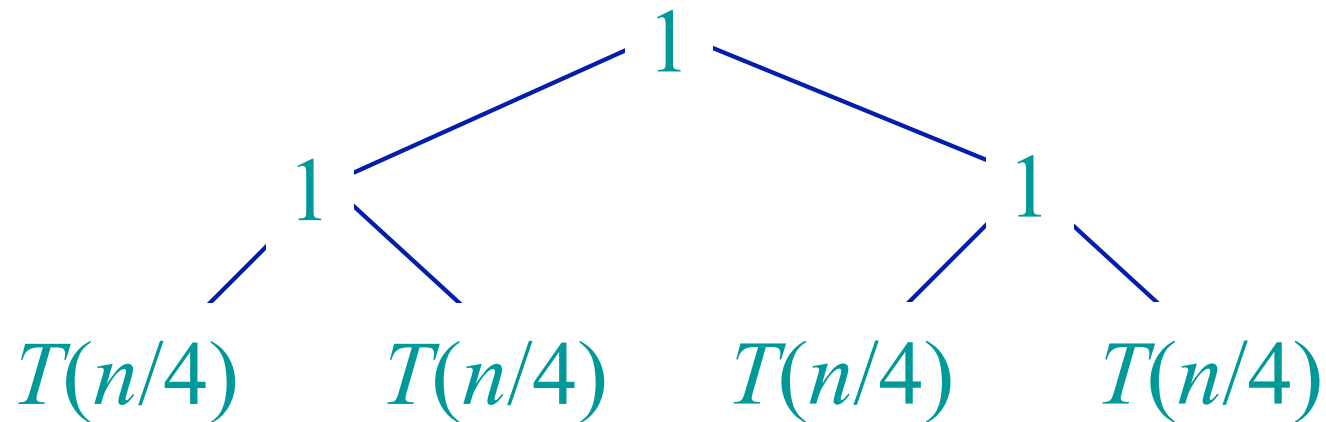
Solve  $T(n) = 2T(n/2) + 1$ .



# Recursion tree

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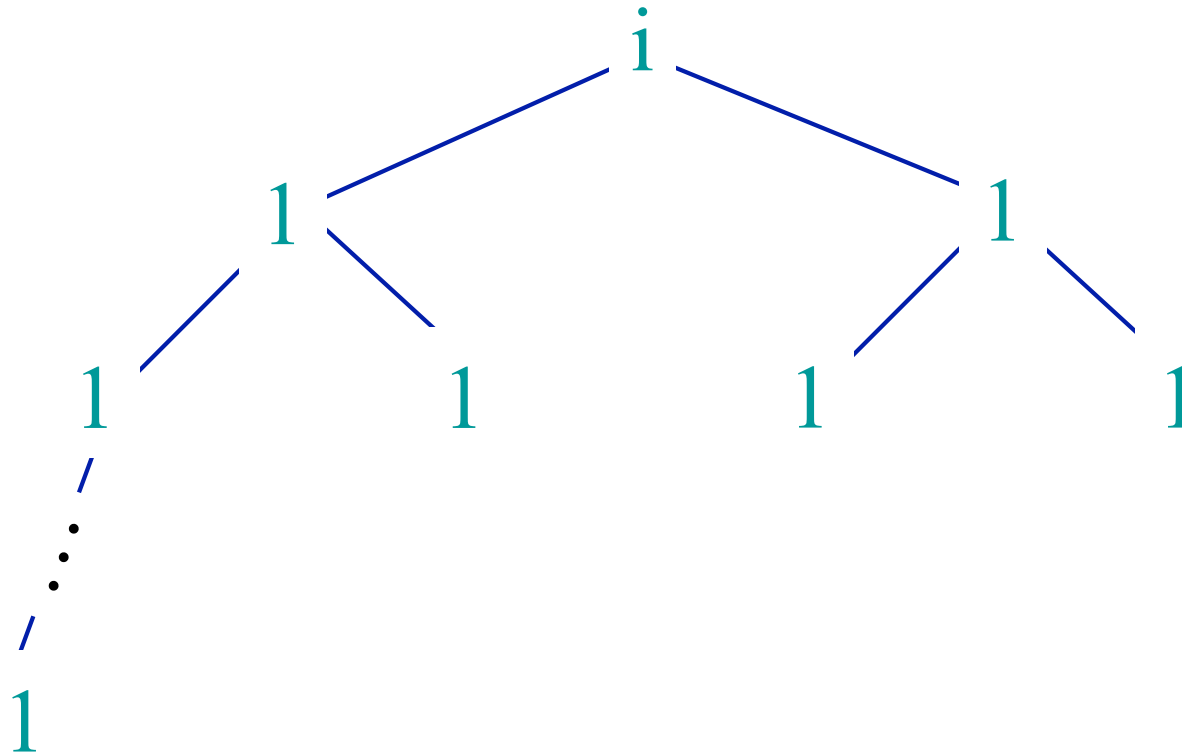
Solve  $T(n) = 2T(n/2) + 1$ .



# Recursion tree

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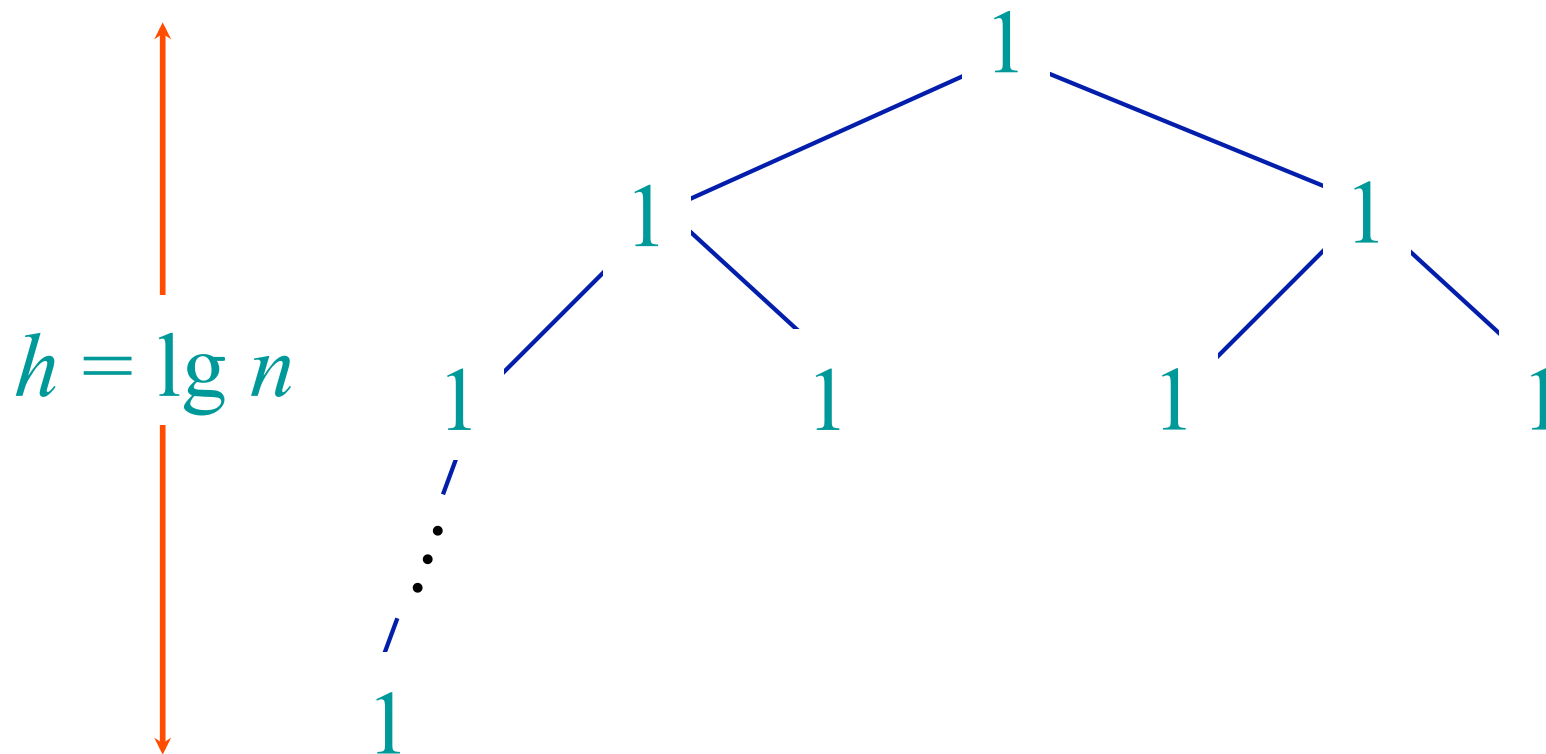
Solve  $T(n) = 2T(n/2) + 1$ .



# Recursion tree

---

Solve  $T(n) = 2T(n/2) + 1$ .

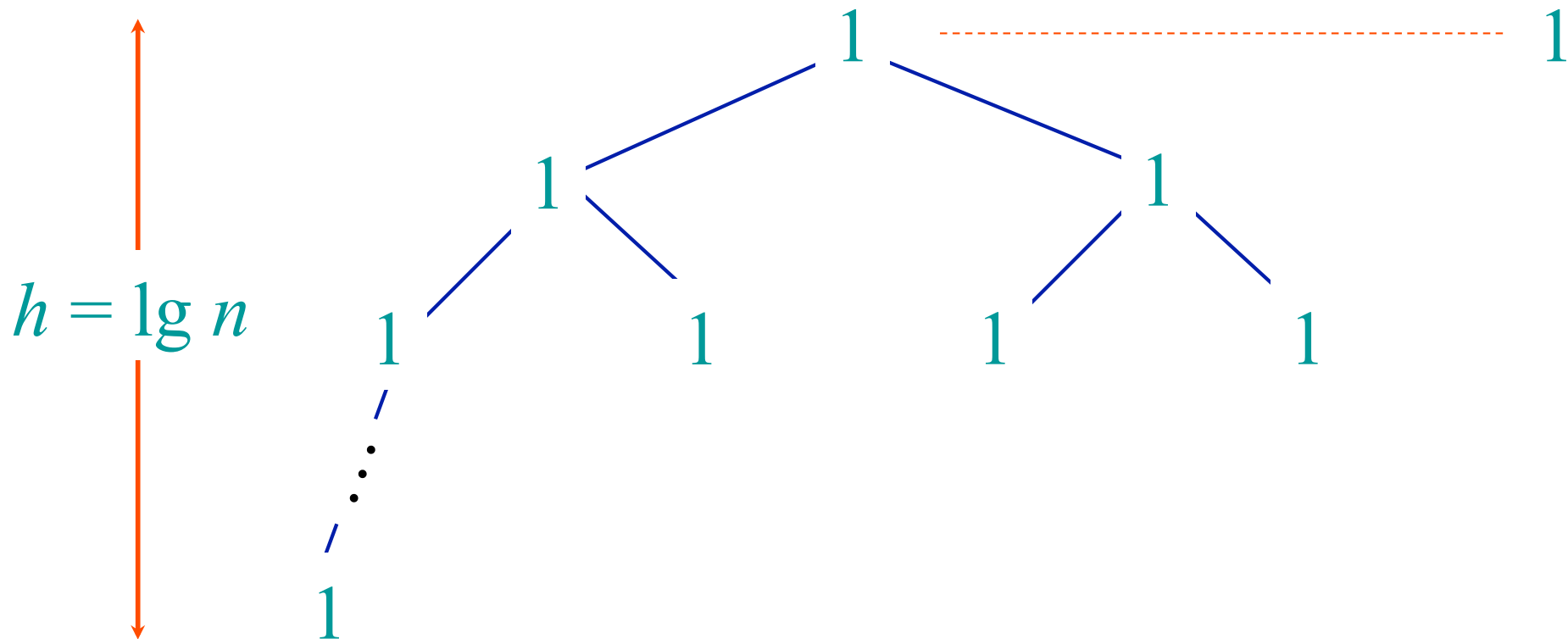




# Recursion tree

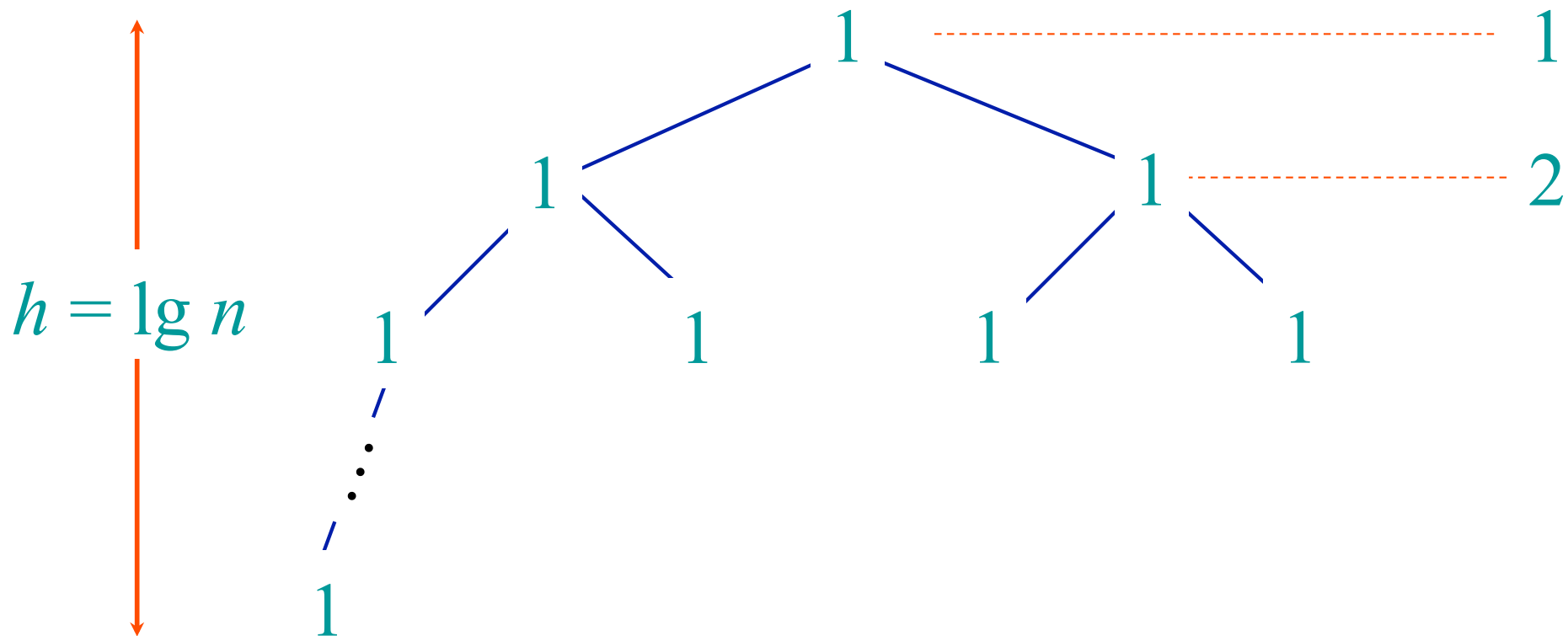
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Solve  $T(n) = 2T(n/2) + 1$ .



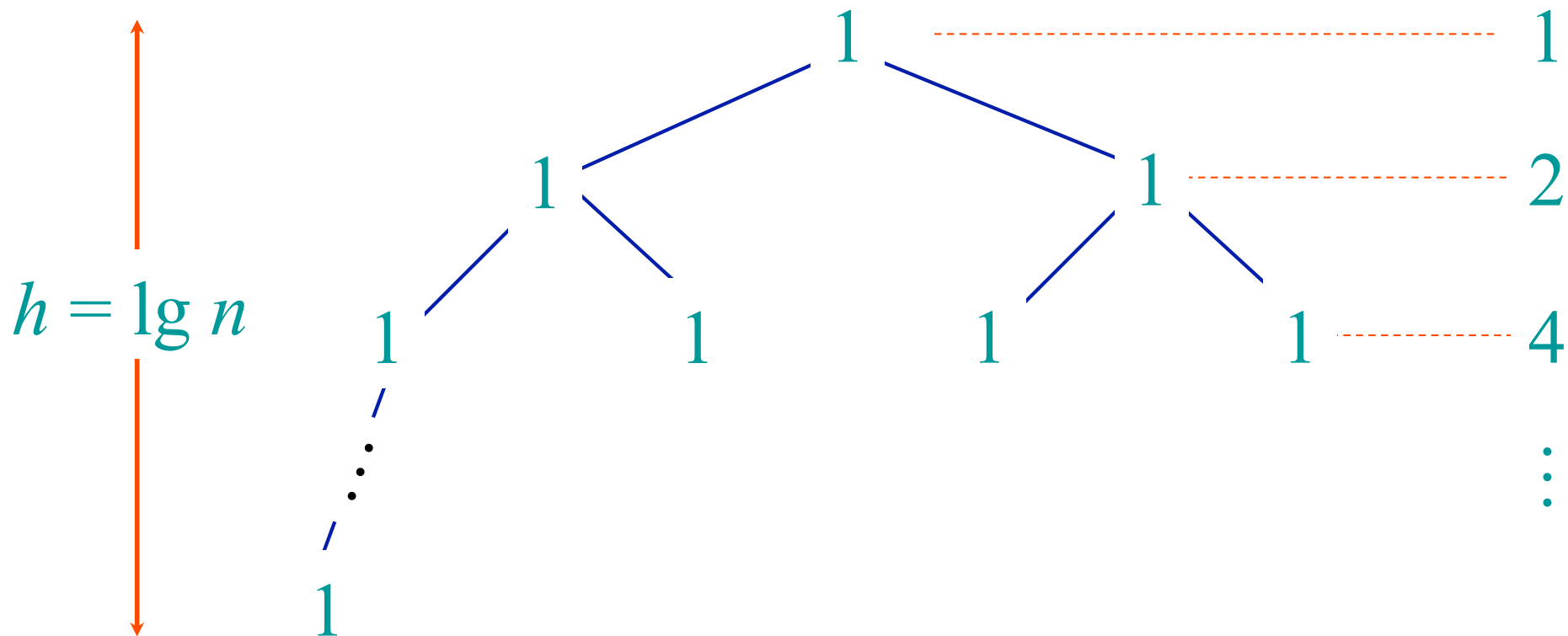
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Solve  $T(n) = 2T(n/2) + 1$ .



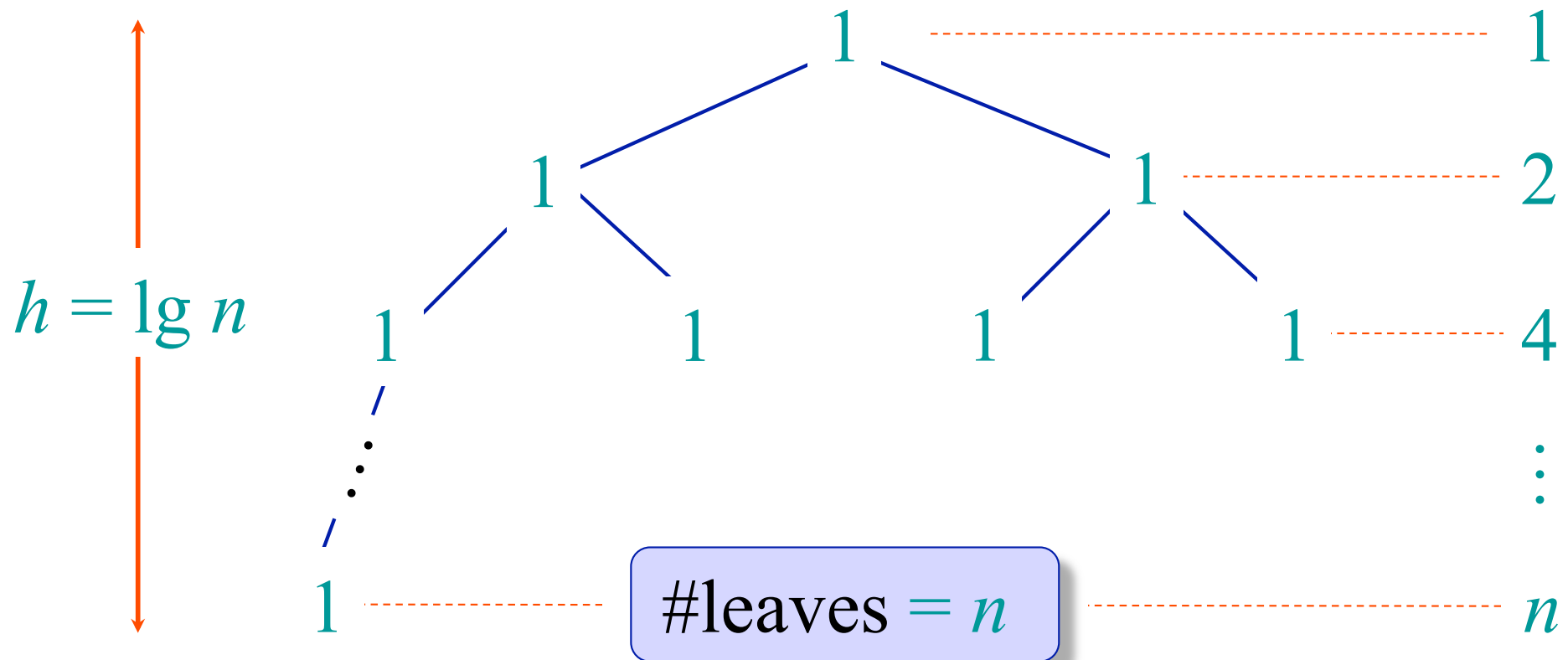
# Recursion tree

Solve  $T(n) = 2T(n/2) + 1$ .



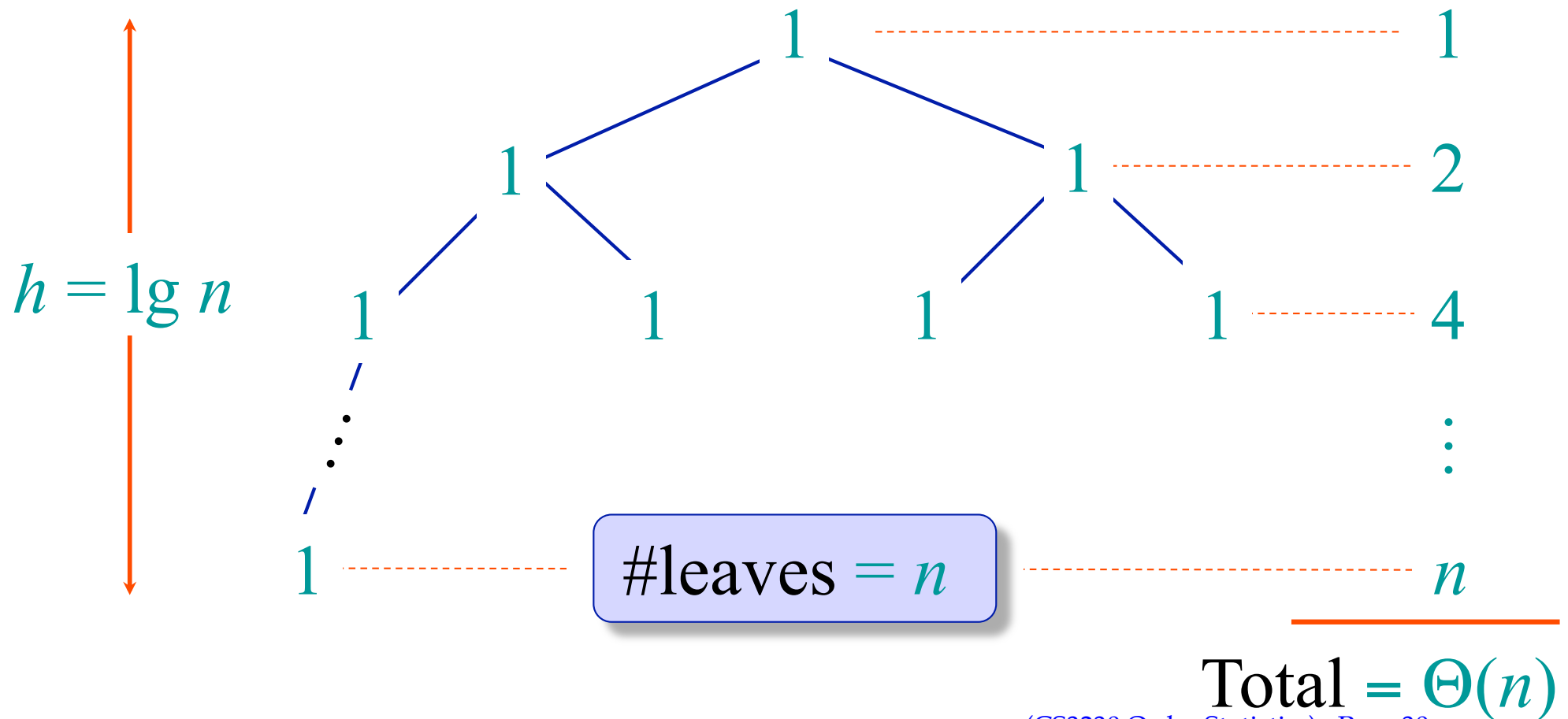
# Recursion tree

Solve  $T(n) = 2T(n/2) + 1$ .



# Recursion tree

Solve  $T(n) = 2T(n/2) + 1$ .



# How to do the sum?

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Recall

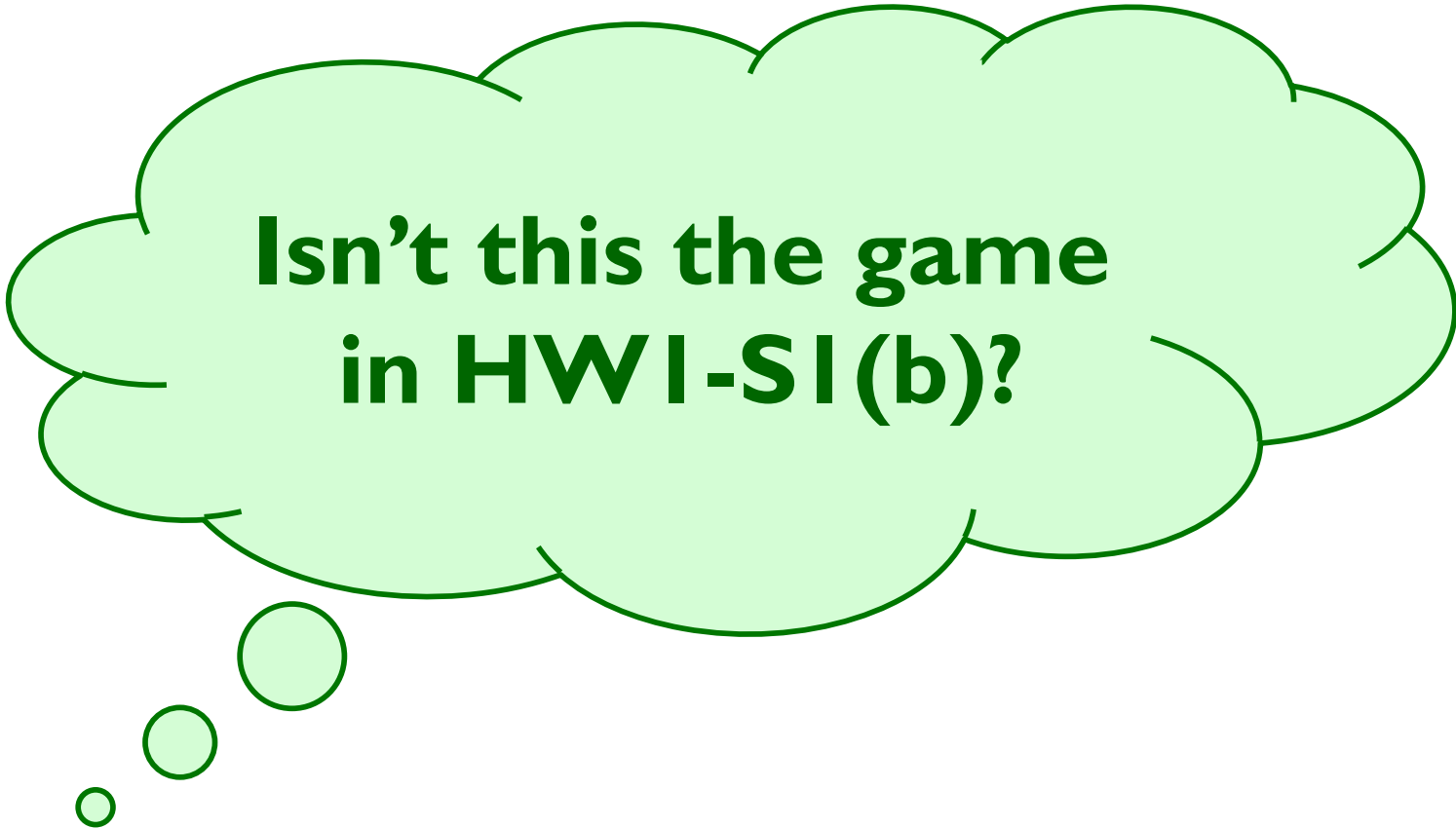
$$\sum_{k=0}^{\lg n} 2^k = 1 + 2 + 2^2 + \cdots + 2^h \leq 2n$$

Or equivalently,

$$\begin{aligned} \sum_{k=0}^{\lg n} n/2^k &= \left( n + n/2 + n/2^2 + \cdots + n/2^{\lg n} \right) \\ &= n \left( 1 + \frac{1}{2} + \frac{1}{2^2} + \cdots + \frac{1}{2^{\lg n}} \right) \leq 2n \end{aligned}$$

# Have you seen this before?

---



**Isn't this the game  
in HWI-SI(b)?**



# Modification of HW1-S1(b)

---

## Algorithm (from HW1-S1(b))

1. Each student  $k$  stand up, given number  $A[k]$
2. Pair up with someone standing, the one with *smaller number* sits down
3. Go back to Step 2

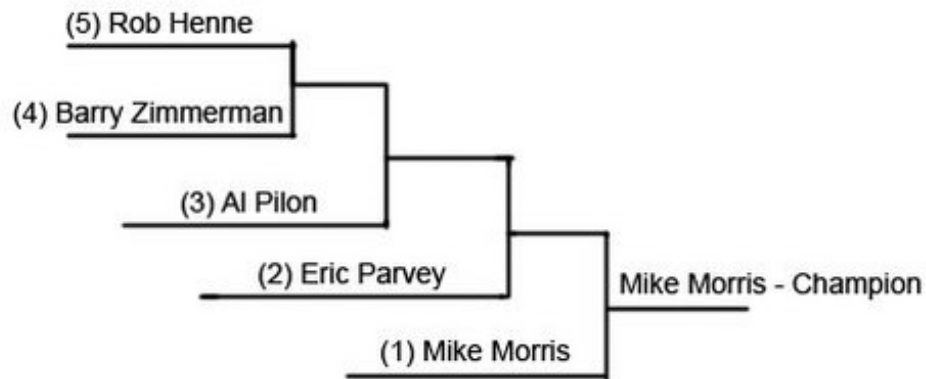
What's the similarity?

What the difference?

# Algorithms is A&E...

## Recursion Schematic 1:

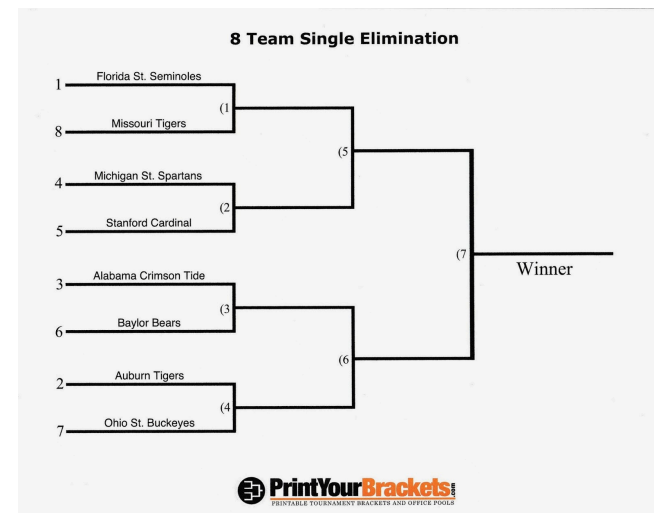
$$\text{FMR}\{A[1..n]\} = \text{Max}\{ \text{FMR}\{A[1..(n-1)]\}, A[n] \}$$



## Bowling Classic Step-Ladder

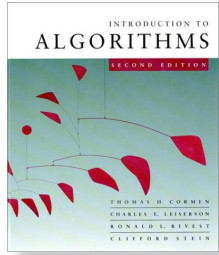
## Recursion Schematic 2:

$$\text{BFM}\{A[1..n]\} = \text{Max}\{ \text{BFM}\{A[1.. n/2]\}, \text{BFM}\{A[n/2+1.. n]\} \}$$



## Knock-out Tournament

<http://legacy.wday.com/event/image/id/3122/headline/Bowling%20Classic%20Stepladder/>



*How about finding  
Max-and-Min?*

**Tutorial Question  
(See T4).**

---

*Thank you.*

*Q & A*



# Recursive Find-Max (FMR)

---

**Find-Max-R**  $A[1 \dots n]$

1. If  $n = 1$ , return  $A[1]$
2.  $M1 := \text{Find-Max-R } A[1 \dots n-1]$
3. return  $\text{Max} \{A[n], M1\}$

FMR  $\{[3, 1, 5, 7]\}$

If  $A = [3, 1, 5, 7]$

Max  $\{7, \text{FMR}\{[3, 1, 5]\}\}$

Max  $\{5, \text{FMR}\{[3, 1]\}\}$

# Recursive Find-Max (FMR)

---

**Find-Max-R**  $A[1 \dots n]$

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# Recursive Find-Max (FMR)

**Find-Max-R**  $A[1 \dots n]$

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FMR  $\{[3,1,5,7]\}$

If  $A = [3, 1, 5, 7]$

Max  $\{7, \text{FMR}\{[3,1,5]\}\}$

Max  $\{5, \text{FMR}\{[3,1]\}\}$

Max  $\{1, \text{FMR}\{[3]\}\}$

# Recursive Find-Max (FMR)

**Find-Max-R**  $A[1 \dots n]$

1. If  $n = 1$ , return  $A[1]$
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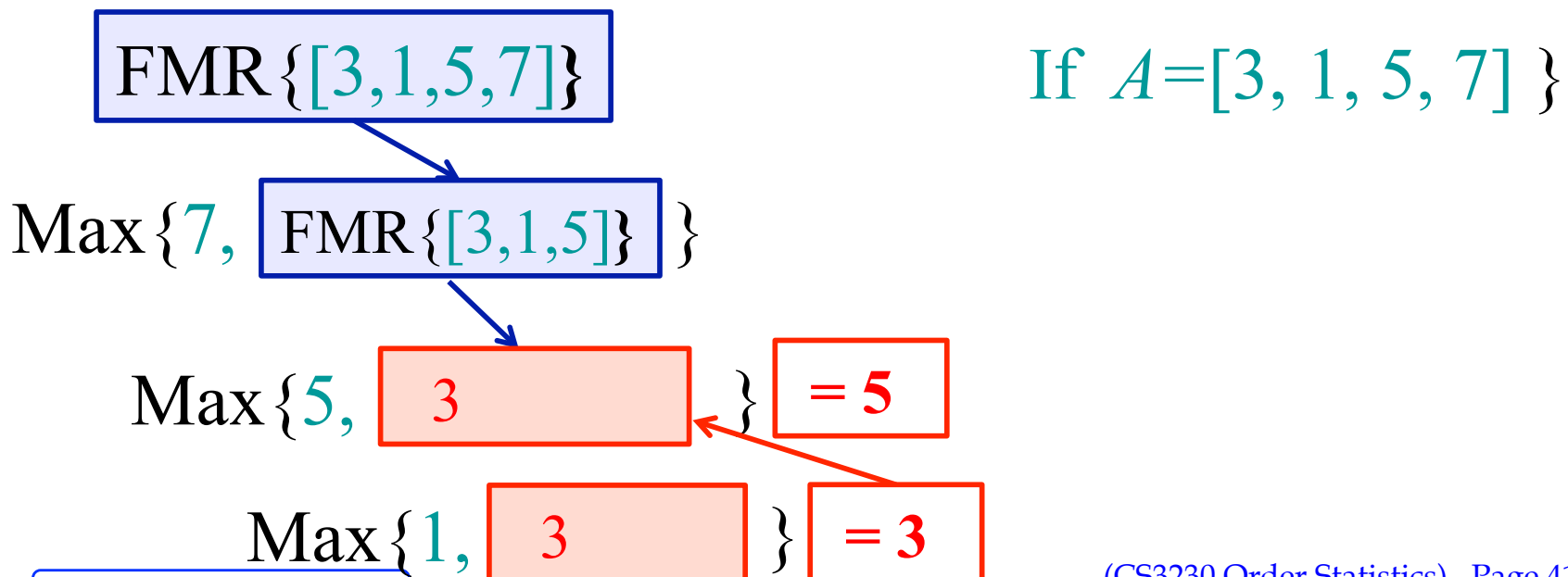
Max  $\{1, 3\} = 3$



# Recursive Find-Max (FMR)

**Find-Max-R**  $A[1 \dots n]$

1. If  $n = 1$ , return  $A[1]$
2.  $M1 := \text{Find-Max-R } A[1 \dots n-1]$
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# Recursive Find-Max (FMR)

**Find-Max-R**  $A[1 \dots n]$

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FMR  $\{[3, 1, 5, 7]\}$

If  $A = [3, 1, 5, 7]$

$\text{Max} \{7, 5\} = 7$

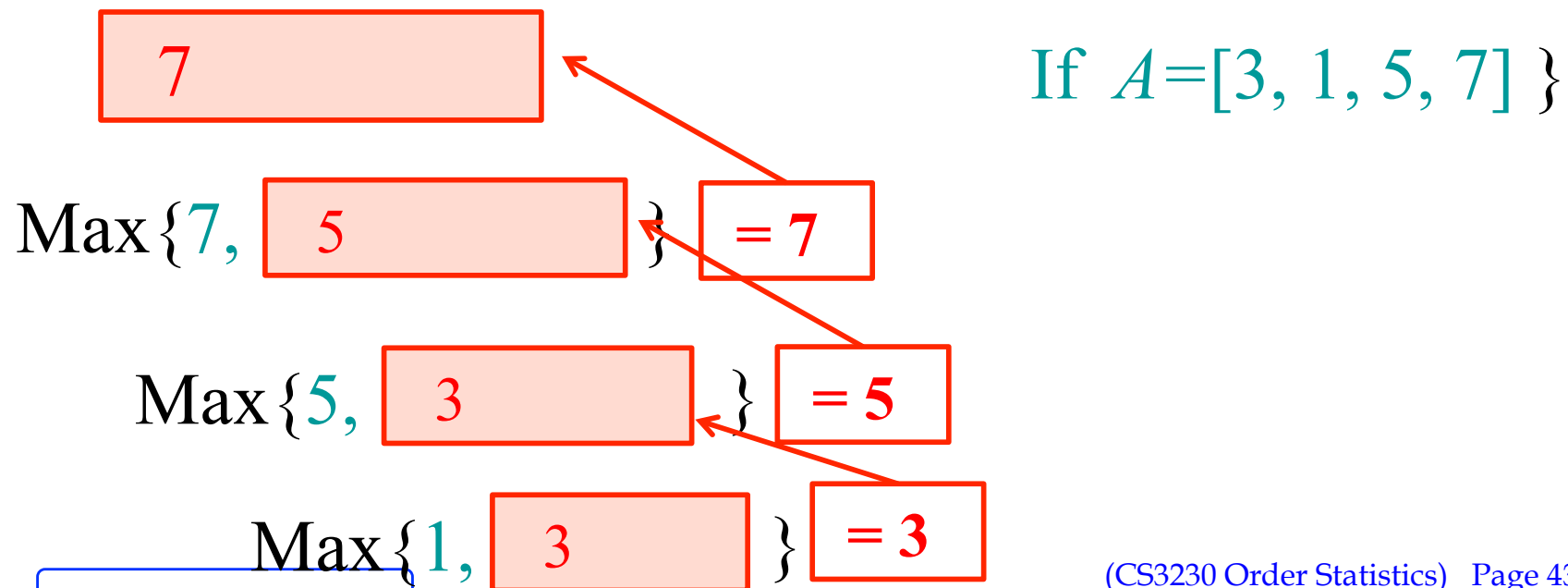
$\text{Max} \{5, 3\} = 5$

$\text{Max} \{1, 3\} = 3$

# Recursive Find-Max (FMR)

**Find-Max-R**  $A[1 \dots n]$

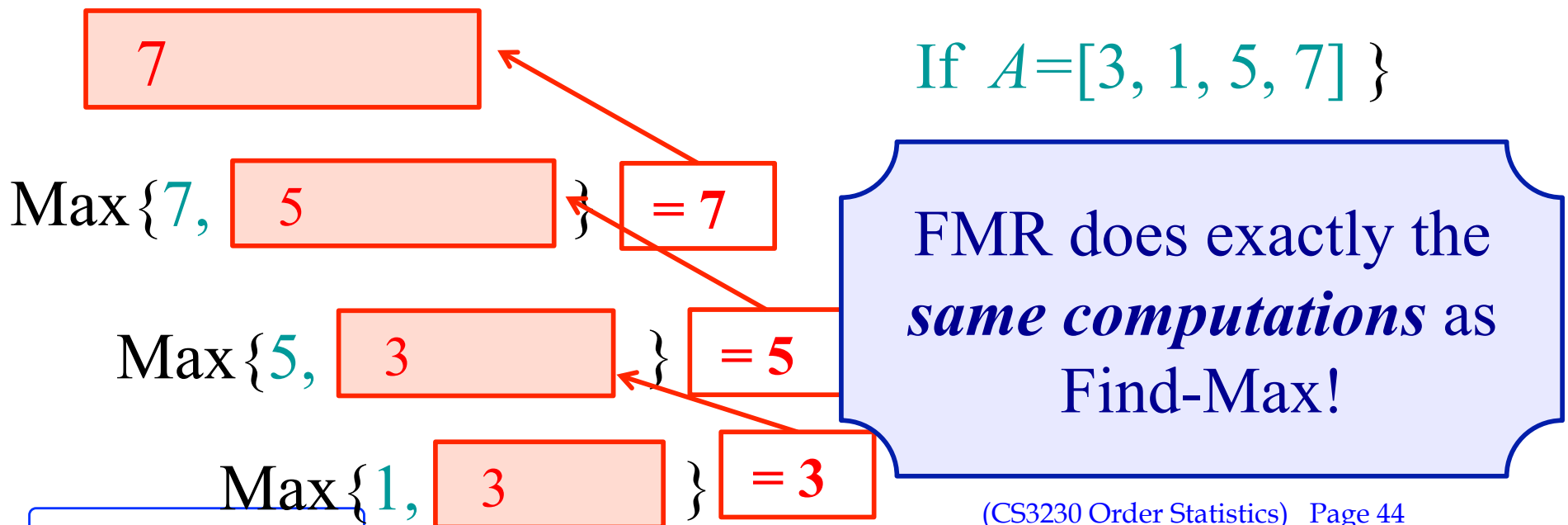
1. If  $n = 1$ , return  $A[1]$
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# Recursive Find-Max (FMR)

**Find-Max-R**  $A[1 \dots n]$

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## “Linear-Time Sorting”

### “Order Statistics, and Linear Time OS”

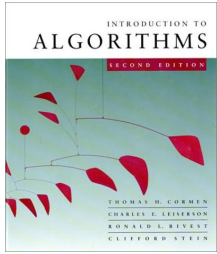
#### □ Lecture Topics and Readings

❖ Order Statistics, Max, Min-Max [CLRS]-C9.1

❖ Randomized Divide-and-Conquer [CLRS]-C9.2

❖ Order Statistics in Linear Time [CLRS]-C9.3

*Recursive algorithms are elegant!*  
*Balancing leads to efficient algorithms*



# Order statistics

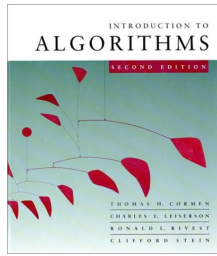
Select the  $i$ th smallest of  $n$  elements (the element with *rank*  $i$ ).

- $i = 1$ : *minimum*;
- $i = n$ : *maximum*;
- $i = \lfloor (n+1)/2 \rfloor$  or  $\lceil (n+1)/2 \rceil$ : *median*.

*Naive algorithm*: Sort and index  $i$ th element.

Worst-case running time =  $\Theta(n \lg n) + \Theta(1)$   
=  $\Theta(n \lg n)$ ,

using merge sort or heapsort (*not* quicksort).

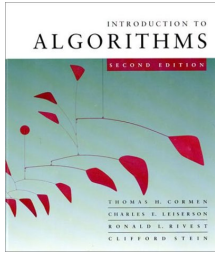


# ***Randomized Divide-and-Conquer Algorithm***

***Modified from Quicksort***

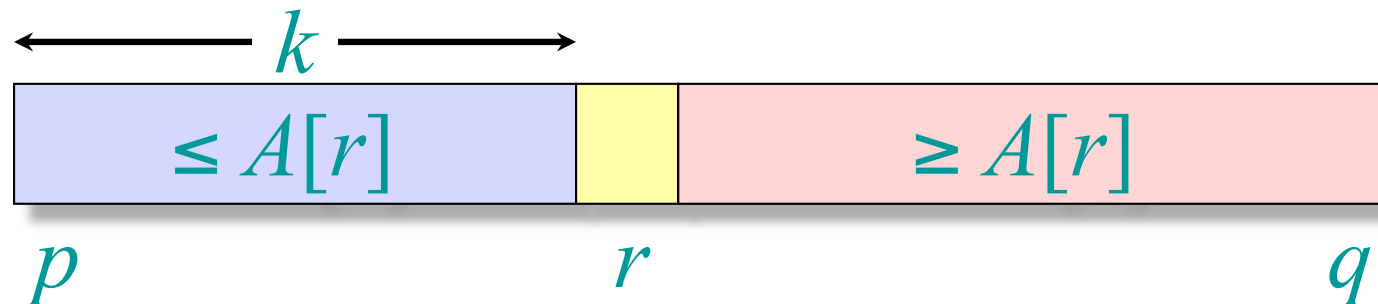
Also by C. A. R. (Tony) Hoare, who invented Quicksort.



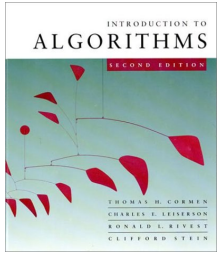


# Randomized divide-and-conquer algorithm

**RAND-SELECT**( $A, p, q, i$ )  $\triangleright$   $i$ th smallest of  $A[p..q]$   
**if**  $p = q$  **then return**  $A[p]$   
 $r \leftarrow$  **RAND-PARTITION**( $A, p, q$ )  
 $k \leftarrow r - p + 1$   $\triangleright k = \text{rank}(A[r])$   
**if**  $i = k$  **then return**  $A[r]$   
**if**  $i < k$   
    **then return** **RAND-SELECT**( $A, p, r - 1, i$ )  
    **else return** **RAND-SELECT**( $A, r + 1, q, i - k$ )







# Example

Select the  $i = 7$ th smallest:

6	10	13	5	8	3	2	11
---	----	----	---	---	---	---	----

$i = 7$

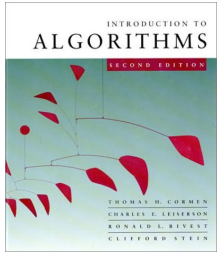
*pivot*

Partition:

2	5	3	6	8	13	10	11
---	---	---	---	---	----	----	----

$k = 4$

Select the  $7 - 4 = 3$ rd smallest recursively.



# Intuition for analysis

(All our analyses today assume that all elements are distinct.)

**Lucky:**

$$\begin{aligned} T(n) &= T(9n/10) + \Theta(n) \\ &= \Theta(n) \end{aligned}$$

$$n^{\log_{(10/9)} 1} = n^0 = 1$$

CASE 3

**Unlucky:**

$$\begin{aligned} T(n) &= T(n-1) + \Theta(n) \\ &= \Theta(n^2) \end{aligned}$$

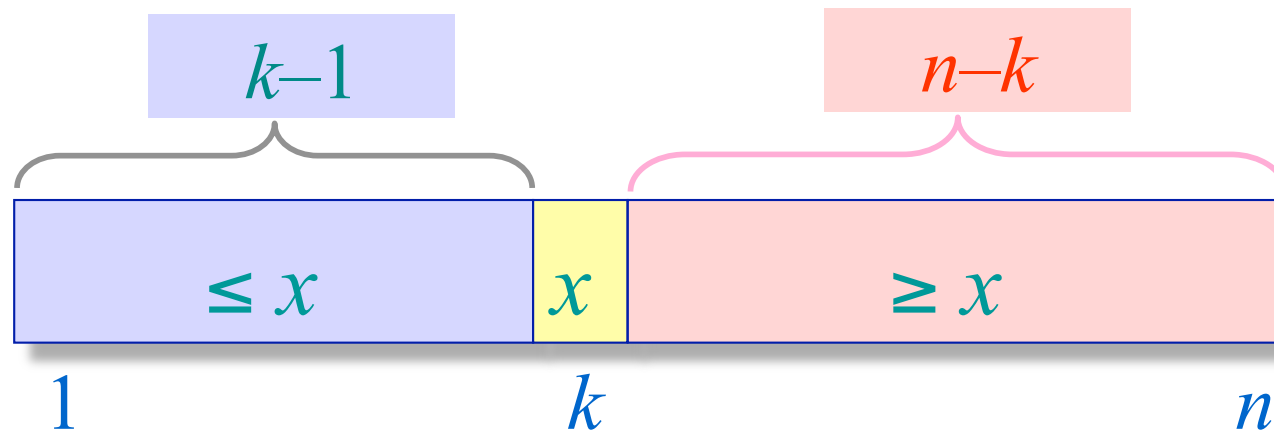
arithmetic series

***Worse than sorting!***

# Analysis of RAND-SELECT

Let  $T(n)$  = the *expected worst-case* time taken by  
RAND-SELECT on input of size  $n$ .

If pivot  $x$  ends up in position  $k$ ,  
then  $T(n) = \max \{ T(k-1), T(n-k) \} + (n+1)$



$Prob(\text{pivot is at pos } k) = 1/n \quad \text{for all } k$

# Analysis of RAND-SELECT

Then, for expected worst-case, we have

$$T(n) = \begin{cases} \max \{ T(0), T(n-1) \} + (n+1) & \text{if } 0 : n-1 \text{ split} \\ \max \{ T(1), T(n-2) \} + (n+1) & \text{if } 1 : n-2 \text{ split} \\ \max \{ T(2), T(n-3) \} + (n+1) & \text{if } 2 : n-3 \text{ split} \\ \vdots & \vdots \\ \max \{ T(n-2), T(1) \} + (n+1) & \text{if } n-2 : 1 \text{ split} \\ \max \{ T(n-1), T(0) \} + (n+1) & \text{if } n-1 : 0 \text{ split} \end{cases}$$

$\text{Prob}(\text{pivot is at pos } k) = 1/n \quad \text{for all } k$

# Analysis of RAND-SELECT

---

Then, we have the following recurrence:

$$T(n) = \sum_{k=1}^n \frac{1}{n} \cdot [\max\{T(k-1), T(n-k)\} + (n+1)]$$

Bigger terms  
appear twice.

$$T(n) \leq \frac{2}{n} \sum_{k=\lfloor \frac{n}{2} \rfloor}^{n-1} T(k) + (n+1)$$

$$T(n) \leq \frac{2}{n} \left( T(\lfloor \frac{n}{2} \rfloor) + T(\lfloor \frac{n}{2} \rfloor + 1) + \dots + T(n-1) \right) + (n+1)$$

# Substitution Method

---

□ We will use “Substitution Method” to prove that  $T(n) \leq Cn$ , for some  $C$ .

□ **Idea in Substitution Method:**

1. Guess the form of the solution;
2. Use mathematical induction to prove it and find the constants

□ **Optional for CS3230 (Spring 2014)**

❖ **See [CLRS]-C4.3 pp.83-87 for details**

# Using the Substitution Method

---

$$T(n) \leq \frac{2}{n} \sum_{k=\lfloor \frac{n}{2} \rfloor}^{n-1} T(k) + (n+1)$$

**Step 1 :** Guess  $T(n) \leq Cn$  for constant  $C > 0$ .

**Step 2:** Prove  $T(n) \leq Cn$  using MI, and find the constant  $C$

# Using the Substitution Method

$$T(n) \leq \frac{2}{n} \sum_{k=\lfloor \frac{n}{2} \rfloor}^{n-1} T(k) + (n+1)$$

**Prove:**  $T(n) \leq Cn$  for constant  $C > 0$ .

(Use mathematical induction.)

- **Base Case:** The constant  $C$  can be chosen large enough so that  $T(n) \leq Cn$  for the base cases ( $n$  very small).

Later, need fact:  $\sum_{k=\lfloor \frac{n}{2} \rfloor}^{n-1} k \leq \frac{3}{8} n^2$  (exercise).



# Using the Substitution Method

---

- **Induction Step:**

$$T(n) \leq \frac{2}{n} \sum_{k=\lfloor n/2 \rfloor}^{n-1} Ck + (n+1)$$

Substitute inductive hypothesis.  
Namely,  $T(k) \leq Ck$  for all  $k < n$ .

# Using the Substitution Method

---

- **Induction Step:**

$$\begin{aligned} T(n) &\leq \frac{2}{n} \sum_{k=\lfloor n/2 \rfloor}^{n-1} Ck + (n+1) \\ &\leq \frac{2C}{n} \left( \frac{3}{8} n^2 \right) + (n+1) \quad (\text{Use fact}) \end{aligned}$$

# Using the Substitution Method

---

- **Induction Step:**

$$\begin{aligned} T(n) &\leq \frac{2}{n} \sum_{k=\lfloor n/2 \rfloor}^{n-1} Ck + (n+1) \\ &\leq \frac{2C}{n} \left( \frac{3}{8} n^2 \right) + (n+1) \\ &= Cn - \left( \frac{Cn}{4} - (n+1) \right) \end{aligned}$$

Express as *desired – residual*.

# Using the Substitution Method

- Induction Step:**

$$T(n) \leq \frac{2}{n} \sum_{k=\lfloor n/2 \rfloor}^{n-1} Ck + (n+1)$$

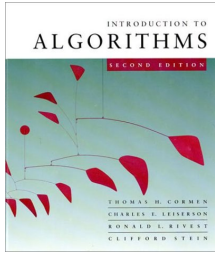
$$\leq \frac{2C}{n} \left( \frac{3}{8} n^2 \right) + (n+1)$$

$$= Cn - \left( \frac{Cn}{4} - (n+1) \right)$$

$$\leq Cn \quad (\text{end of induction proof})$$

When  $C=5$ , then  
 $(Cn/4 - (n+1))$   
 $= (n/4 - 1) \geq 0$  for  $n \geq 4$ .

Choose  $C=5$ ,  $n_0 = 4$ ,  
then residual term  $\geq 0$  for  $n > n_0$ .



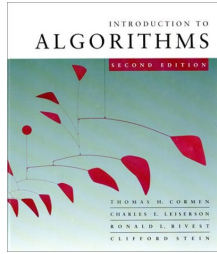
# Summary of randomized order-statistic selection

- Works fast: linear expected time.
- Excellent algorithm in practice.
- But, the worst case is *very* bad:  $\Theta(n^2)$ .

**Q.** Is there an algorithm that runs in linear time in the worst case?

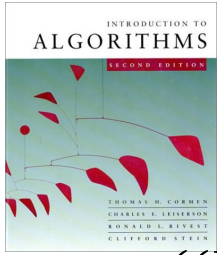
**A.** Yes, due to Blum, Floyd, Pratt, Rivest, and Tarjan [1973].

**IDEA:** Generate a good pivot recursively.



# ***Worst-case Linear-Time Order Statistic Algorithm***

**M. Blum, R. W. Floyd, V. R. Pratt, R. L. Rivest, R. E. Tarjan,**  
“Time Bounds for Selection,” Journal of Computer and System Sciences,  
(Aug 1973), 7 (4): 448–461. doi:[10.1016/S0022-0000\(73\)80033-9](https://doi.org/10.1016/S0022-0000(73)80033-9)



# Why is CS3230 FUN?

- “Meet” many CS celebrities



(1972)



(1974)



(1978)



(1980)



(1982)



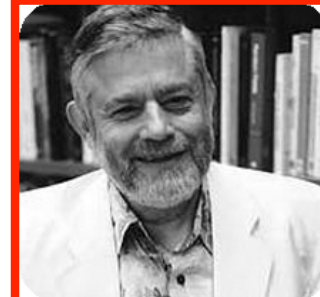
(1985)



(1986)



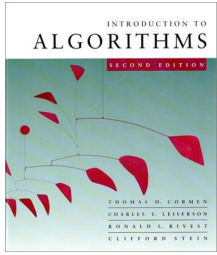
(1986)



(1995)



(2002)



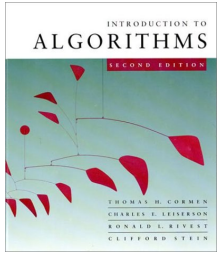
# Worst-case linear-time order statistics

SELECT( $i, n$ )

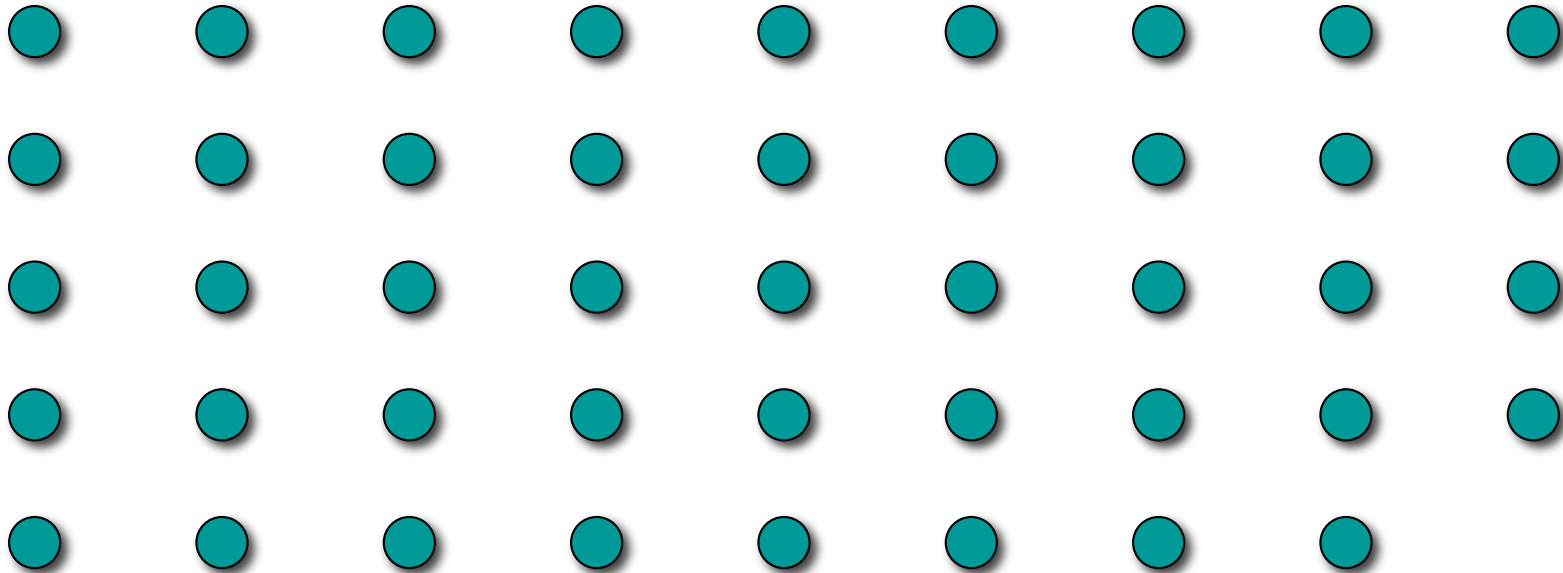
1. Divide the  $n$  elements into groups of 5. Find the median of each 5-element group by rote.
2. Recursively SELECT the median  $x$  of the  $\lfloor n/5 \rfloor$  group medians to be the pivot.
3. Partition around the pivot  $x$ . Let  $k = \text{rank}(x)$ .
4. **if**  $i = k$  **then return**  $x$   
    **elseif**  $i < k$   
        **then** recursively SELECT the  $i$ th  
            smallest element in the lower part  
    **else** recursively SELECT the  $(i-k)$ th  
        smallest element in the upper part

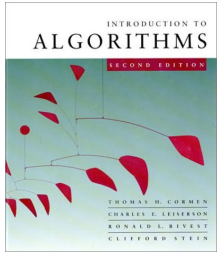
Same as  
RAND-  
SELECT



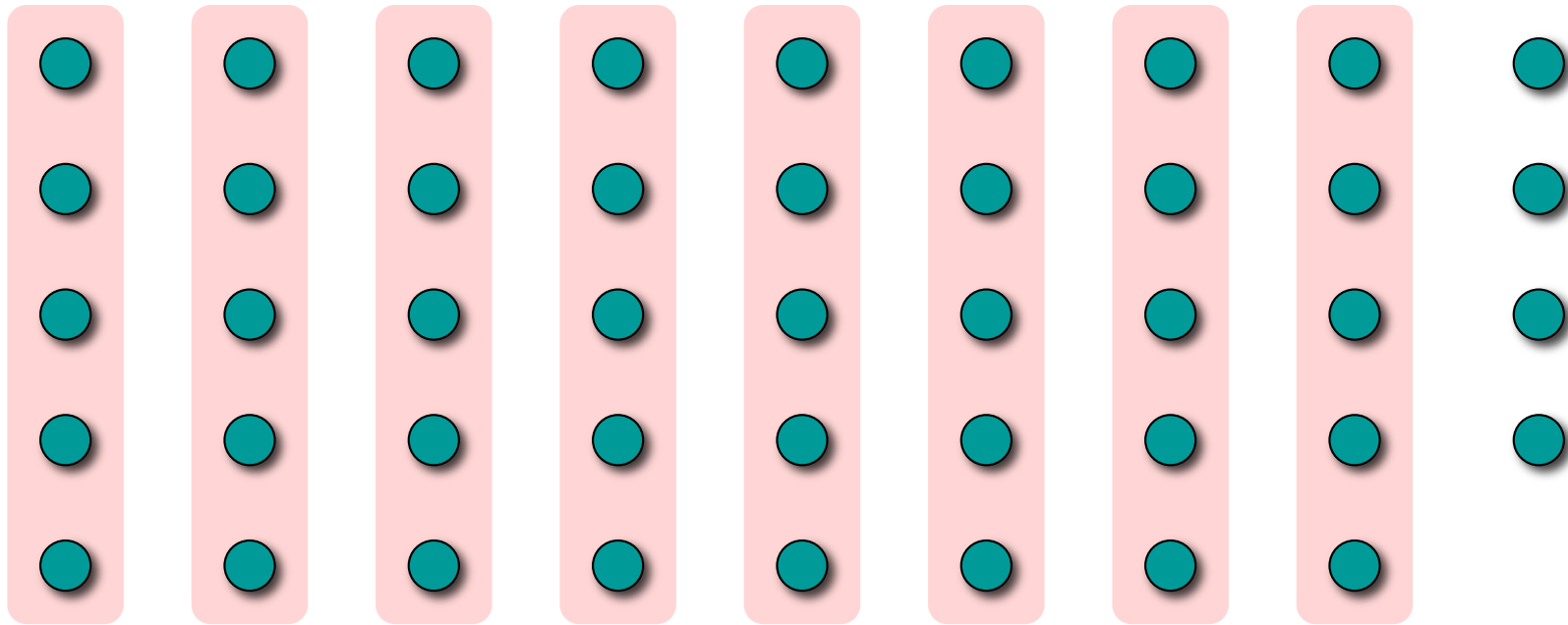


# Choosing the pivot

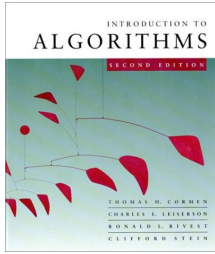




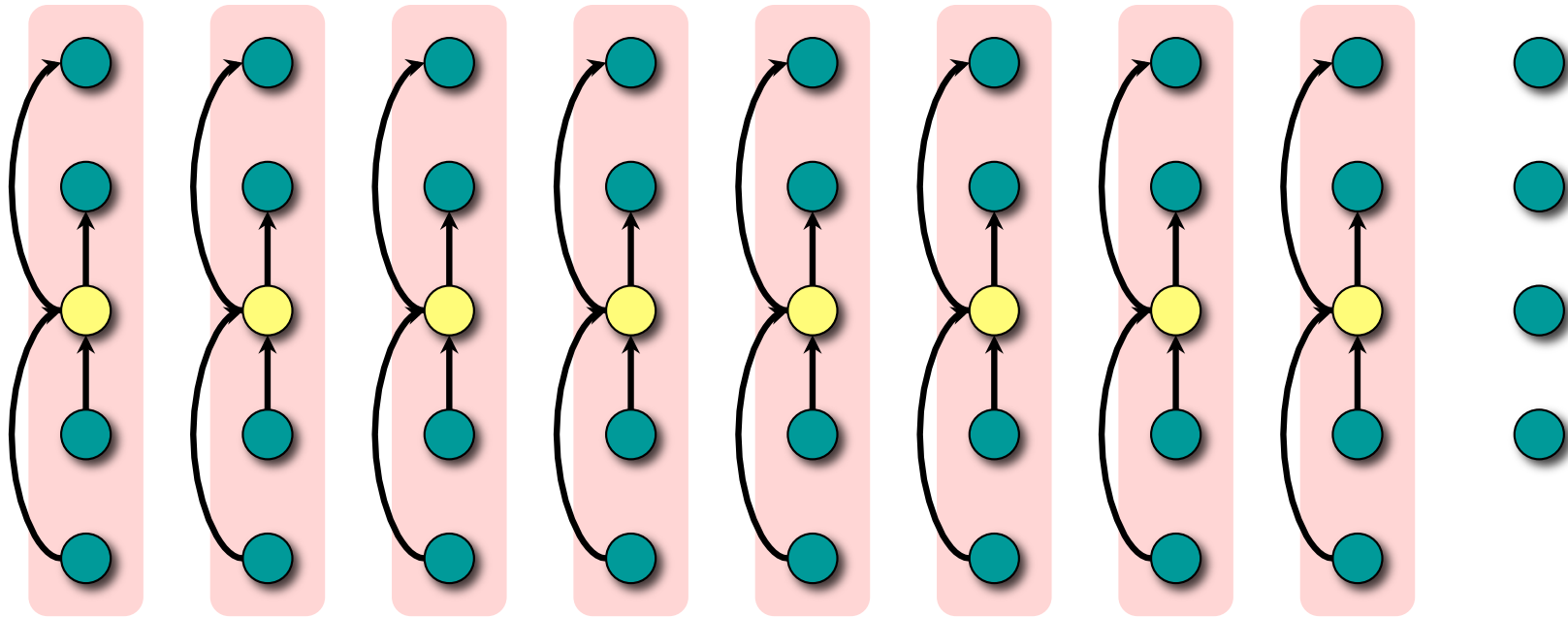
# Choosing the pivot



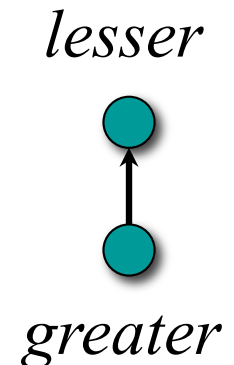
1. Divide the  $n$  elements into groups of 5.

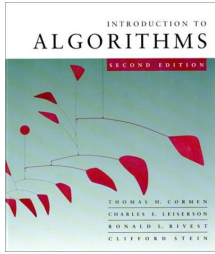


# Choosing the pivot

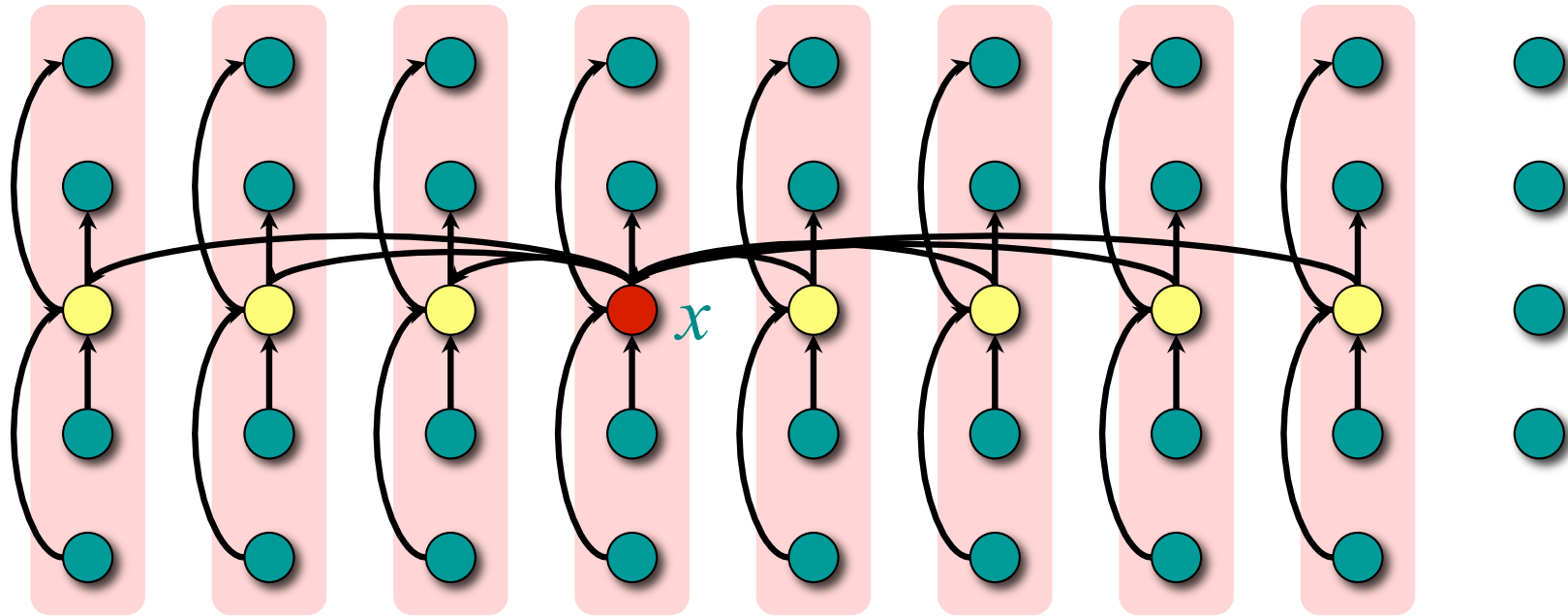


1. Divide the  $n$  elements into groups of 5. Find the median of each 5-element group by rote.

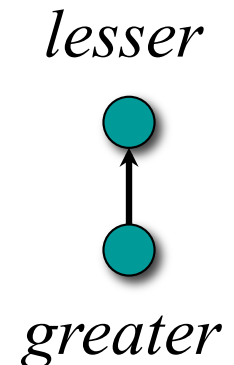


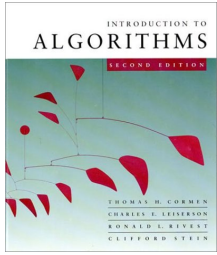


# Choosing the pivot

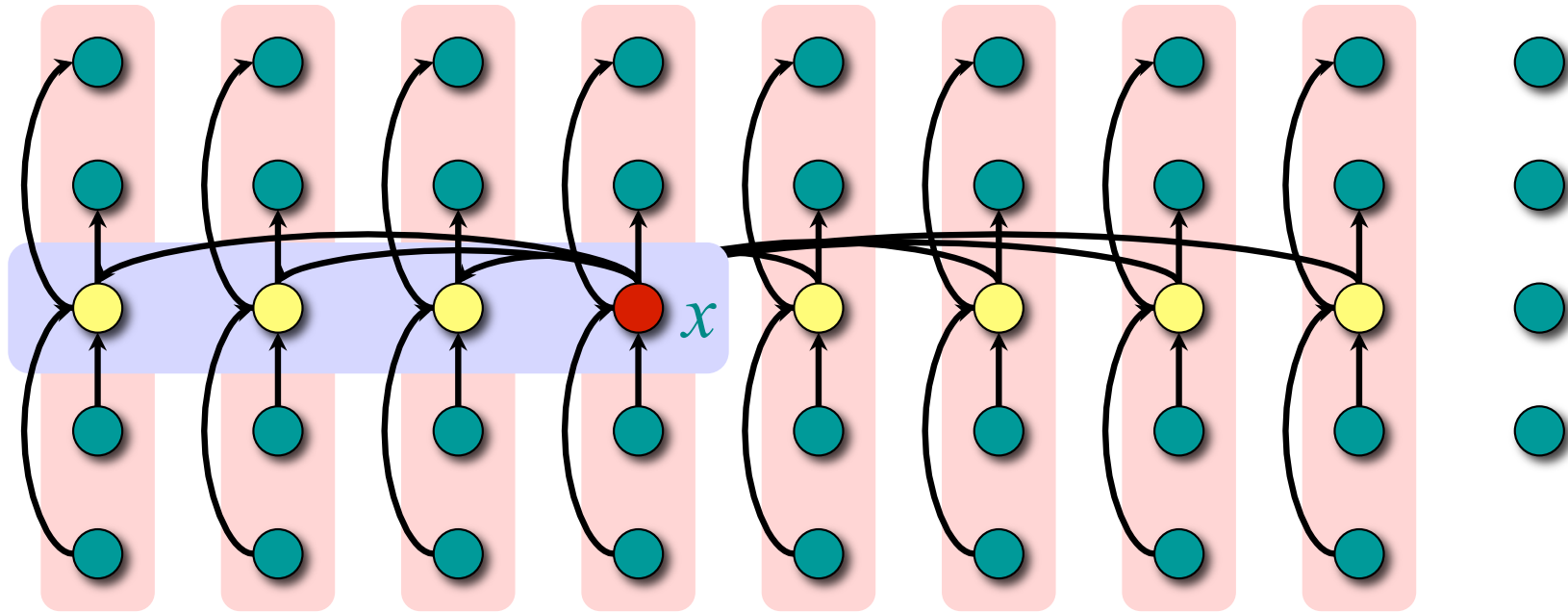


1. Divide the  $n$  elements into groups of 5. Find the median of each 5-element group by rote.
2. Recursively SELECT the median  $x$  of the  $\lfloor n/5 \rfloor$  group medians to be the pivot.

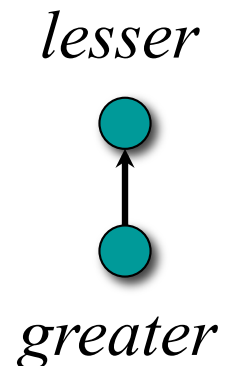


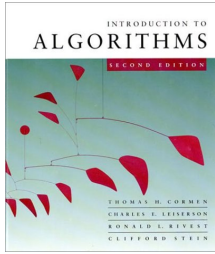


# Analysis

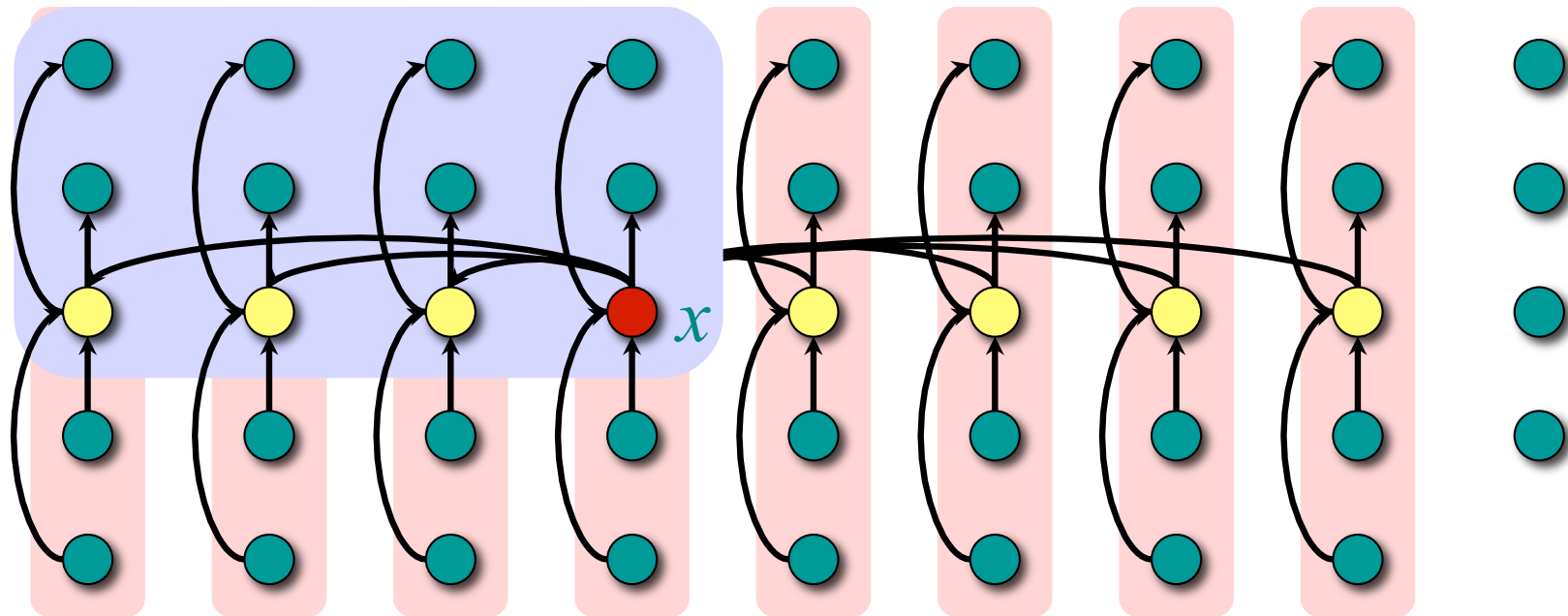


At least half the group medians are  $\leq x$ , which is at least  $\lfloor \lfloor n/5 \rfloor / 2 \rfloor = \lfloor n/10 \rfloor$  group medians.





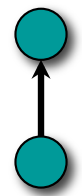
# Analysis (Assume all elements are distinct.)



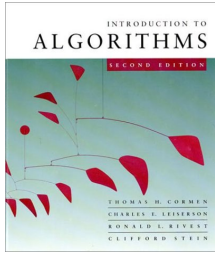
At least half the group medians are  $\leq x$ , which is at least  $\lfloor \lfloor n/5 \rfloor / 2 \rfloor = \lfloor n/10 \rfloor$  group medians.

- Therefore, at least  $3 \lfloor n/10 \rfloor$  elements are  $\leq x$ .

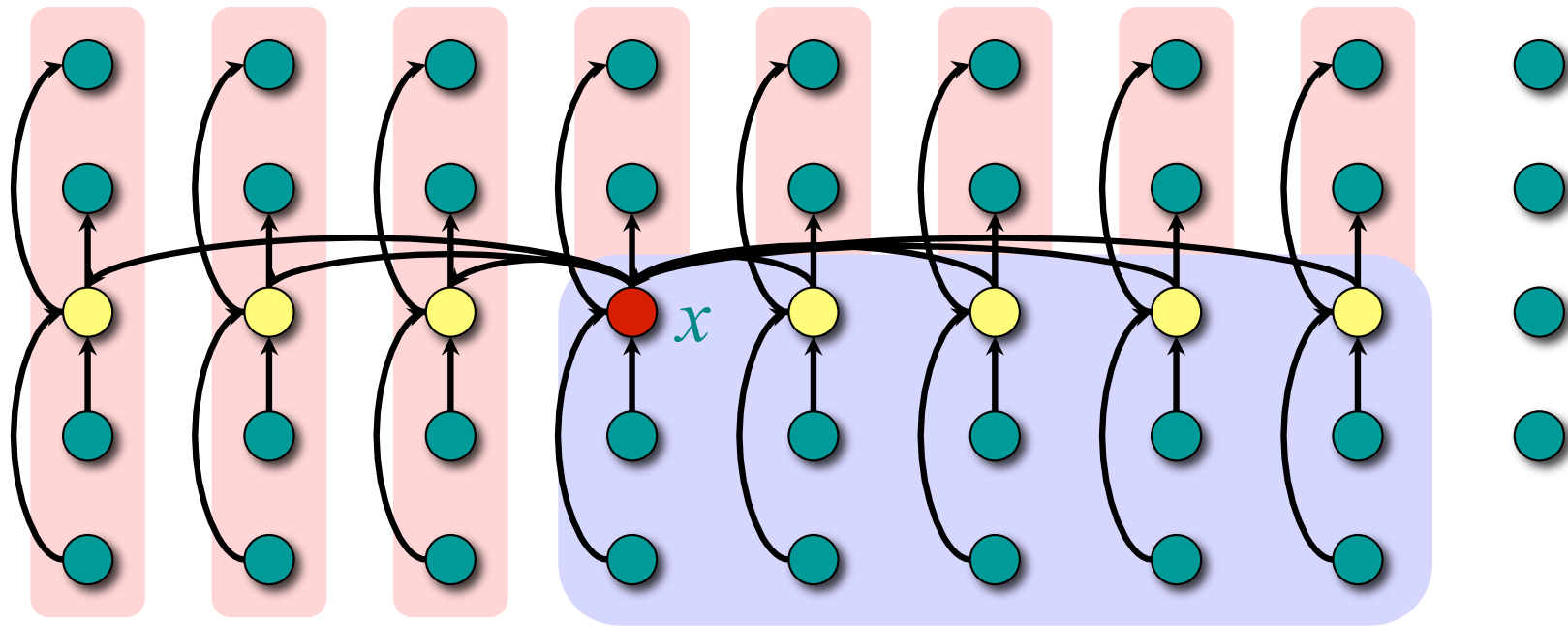
*lesser*



*greater*



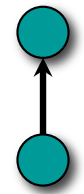
# Analysis (Assume all elements are distinct.)



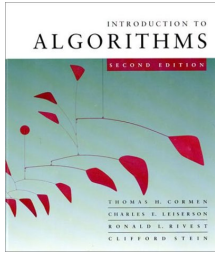
At least half the group medians are  $\leq x$ , which is at least  $\lfloor \lfloor n/5 \rfloor / 2 \rfloor = \lfloor n/10 \rfloor$  group medians.

- Therefore, at least  $3 \lfloor n/10 \rfloor$  elements are  $\leq x$ .
- Similarly, at least  $3 \lfloor n/10 \rfloor$  elements are  $\geq x$ .

*lesser*



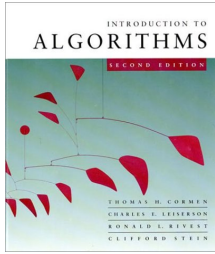
*greater*



# Minor simplification

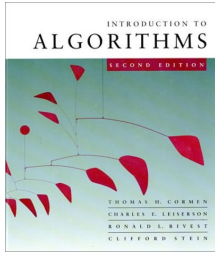
- For  $n \geq 50$ , we have  $3 \lfloor n/10 \rfloor \geq n/4$ .
- Therefore, for  $n \geq 50$  the recursive call to SELECT in Step 4 is executed recursively on  $\leq 3n/4$  elements.
- Thus, the recurrence for running time can assume that Step 4 takes time  $T(3n/4)$  in the worst case.
- For  $n < 50$ , we know that the worst-case time is  $T(n) = \Theta(1)$ .





# Developing the recurrence

$T(n)$	SELECT( $i, n$ )
$\Theta(n)$	{ 1. Divide the $n$ elements into groups of 5. Find the median of each 5-element group by rote.
$T(n/5)$	{ 2. Recursively SELECT the median $x$ of the $\lfloor n/5 \rfloor$ group medians to be the pivot.
$\Theta(n)$	3. Partition around the pivot $x$ . Let $k = \text{rank}(x)$ .
$T(3n/4)$	{ 4. if $i = k$ then return $x$ elseif $i < k$ then recursively SELECT the $i$ th smallest element in the lower part else recursively SELECT the $(i-k)$ th smallest element in the upper part



# Solving the recurrence

$$T(n) = T\left(\frac{1}{5}n\right) + T\left(\frac{3}{4}n\right) + \Theta(n)$$

**Substitution:**

$$T(n) \leq cn$$

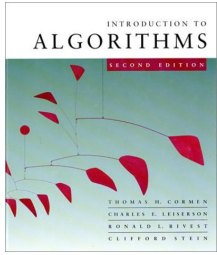
$$T(n) \leq \frac{1}{5}cn + \frac{3}{4}cn + \Theta(n)$$

$$= \frac{19}{20}cn + \Theta(n)$$

$$= cn - \left(\frac{1}{20}cn - \Theta(n)\right)$$

$$\leq cn ,$$

if  $c$  is chosen large enough to handle both the  $\Theta(n)$  and the initial conditions.



# Conclusions

- Since the work at each level of recursion is a constant fraction ( $19/20$ ) smaller, the work per level is a geometric series dominated by the linear work at the root.
- In practice, this algorithm runs slowly, because the constant in front of  $n$  is large.
- The randomized algorithm is far more practical.

**Exercise:** *Why not divide into groups of 3?*