# Jinning Li

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### **Education**

#### University of California, Berkeley

Berkeley, CA

Ph.D. Candidate

08/2019 - 05/2024(Expected)

o Academic advisor: Prof. Masayoshi Tomizuka | Major: Control; Minor: Robotics, Optimization | GPA: 3.95/4.0

#### Harbin Institute of Technology

Harbin, China

B.Eng. in Automation

09/2015 - 07/2019

o Academic advisor: Prof. Huijun Gao, and Prof. Weichao Sun | Major GPA: 4.0/4.0 | Ranking: 1/150

## **Publications**

- [1] **Jinning Li**, Chen Tang, Masayoshi Tomizuka and Wei Zhan, "Hierarchical Planning Through Goal-Conditioned Offline Reinforcement Learning," in *IEEE Robotics and Automation Letters*, 2022.
- [2] **Jinning Li**, Chen Tang, Masayoshi Tomizuka and Wei Zhan. "Dealing with the Unknown: Pessimistic Offline Reinforcement Learning," in 2021 Conference on Robot Learning (CoRL), 2021.
- [3] Jiachen Li, Hengbo Ma, Zhihao Zhang, **Jinning Li** and Masayoshi Tomizuka. "Spatio-Temporal Graph Dual-Attention Network for Multi-Agent Prediction and Tracking," in *IEEE Transactions on Intelligent Transportation Systems*, 2021.
- [4] **Jinning Li**, Liting Sun, Jianyu Chen, Masayoshi Tomizuka and Wei Zhan. "A Safe Hierarchical Planning Framework for Complex Driving Scenarios based on Reinforcement Learning," in *2021 IEEE Conference on Robotics and Automation (ICRA)*, 2021.
- [5] **Jinning Li**, Liting Sun, Wei Zhan and Masayoshi Tomizuka. "Interaction-aware behavior planning for autonomous vehicles validated with real traffic data," in *Dynamic Systems and Control Conference (DSCC)*. American Society of Mechanical Engineers, 2020.
- [6] Jinning Li. "A novel integrated SVM for fault diagnosis using KPCA and GA," in Journal of Physics: Conference Series. IOP Publishing, 2019.

# **Academic Services**

- Co-chair of Presentation Sessions at 2021 IEEE Conference on Robotics and Automation (ICRA)
- o Graduate Student Instructor of UC Berkeley ME C232/EE C220A (Advanced Control Systems I) Fall 2021
- o Academic Publication Reviewer for CoRL, ICRA, IROS, IEEE RA-L, IEEE T-SMC:Systems, NeurIPS workshop

# Work Experiences

**Google LLC**Software Engineer Intern, Discover Ads Auction Team

Mountain View, CA

05/2022 – 08/2022

- o Designed and built an offline reinforcement learning infrastructure under Tensorflow for discover ads auction
- o Trained deep NNs to optimize auction long term values from real-world data to achieve better advertiser/user value trade-off
- o Conducted A/B testing of the trained algorithm on production traffic and polished the models accordingly
- o Drove weekly meetings with the host teams and the research teams with effective communication
- o Documented the design and implementation details for future iterations by the team

# **Selected Research Experiences**

#### Goal-Conditioned Offline Reinforcement Learning (RL) in Driving Scenarios

**UC Berkeley** 08/2021 - 05/2022

Advisor: Prof. Masayoshi Tomizuka

- o Designed and built a goal-conditioned RL policy with Pytorch, which solved the infamous problem of RL policies for being too greedy by waiting for a higher reward in the later stage of each episode
- o Applied Variational Auto-Encoder programmed via Pytorch to extract the distribution over image observations in the training dataset so that valid goals could be sampled directly
- $\circ$  Evaluated the framework in CARLA which communicates with the Pytorch model (policy) by an Open-AI Gym interface, and obtained 15% more score than standard RL policies in terms of cumulative reward

#### Pessimistic Offline Reinforcement Learning

**UC** Berkeley

Advisor: Prof. Masayoshi Tomizuka

01/2021 - 08/2021

- o Developed a Pessimistic Offline Reinforcement Learning (PessORL) algorithm which forces the policy to avoid or recover from out-of-distribution states and actions that are never included in training datasets, by leveraging a conservative regularization term in the policy evaluation step to shape the value function
- Theoretically and empirically proved that PessORL learns a pessimistic value function that lower bounds the true value function, and moreover, is corresponding to a pessimistic Markov Decision Process
- $\circ$  Evaluated the PessORL algorithm on Mujoco and Adroit robotic manipulation benchmark tasks based on Python and Pytorch, and obtained around 10% more generalization ability than the state-of-the-art offline RL methods

#### Hierarchical Behavior Planning Based on Reinforcement Learning

UC Berkeley

Advisor: Prof. Masayoshi Tomizuka

05/2020 - 01/2021

- o Designed a hierarchical structure for behavior planning, which consists of high-level reinforcement learning modules and low-level optimization-based control modules to ensure safety
- o Built a simulator based on Open-AI Gym in Python that reproduces traffic scenes from real-world traffic datasets
- $\circ$  Tested the proposed method in the in-house simulator with real-world traffic conditions, and achieved a success rate of around 91% in various driving tasks, e.g., merging, overtaking, and unprotected turns.

#### Behavior Planning Under Uncertainty in Merging Scenarios

UC Berkeley

Advisor: Prof. Masayoshi Tomizuka

08/2019 - 05/2020

- o Formulated a Partially Observed Markov Decision Process (POMDP) where the cooperativeness of other traffic participants is treated as an unobservable state
- o Extracted human behavior patterns from real traffic data via maximum likelihood method programmed in Python, with a mean squared error of  $0.046\ m/s^2$  of the predicted acceleration
- $\circ$  Accelerated solving POMDP by Monte-Carlo Tree Search, thus the ego agent operates in real-time (every 0.1s)
- $\circ$  Evaluated the algorithm in both simulations with 99.4% success rate and real traffic data with 95.0% success rate

#### Stabilization of A Three-axis Gimbal

Harbin Institute of Technology

Advisor: Prof. Weichao Sun

10/2018 - 08/2019

- o Applied inertial measurement units and brushless DC electric motors to stabilize a three-axis gimbal
- o Designed via Solidworks, assembled and stabilized the gimbal whose mechanical structure was built by 3D printing
- o Programmed the control algorithm (PID) in C++ on an STM32 Arm Cortex MCU as the controller of the system, with a phase margin of  $20^{\circ}$  and a settling time of 0.8s

#### An Integrated Support Vector Machine for Fault Diagnosis

Harbin Institute of Technology

Advisor: Prof. Huijun Gao

03/2018 - 10/2018

- o Designed a support vector machine (SVM) and programmed in Python to identify faults within industrial systems, using massive data from real manufacturers (Tennessee Eastman Process), with small margin of error
- o Applied kernel principle components analysis to dramatically reduce the dimension of the feature space from 52 to 8
- o Employed genetic algorithms to optimize SVM parameters to simplify the tedious hyperparameter tuning by hands
- $_{\odot}$  Evaluated the algorithm on Tennessee Eastman Process with an average accuracy score of 96.0%

# **Skills**

- o Research: Deep Reinforcement Learning, Optimization, Machine Learning, Control
- o Deep learning framework: Pytorch, Tensorflow
- o Programming: Python, C/C++, MATLAB