

Week1

2017年1月23日 15:57

Quick Review of Logarithm & Exponentials.

FACTS. For real number n & b .

$$\log_b n = k \quad \text{iff} \quad b^k = n.$$

$\rightarrow b$ is referred to as the base of Logarithm & exponentials.

\rightarrow In CS, $b=2$ so $\log n$ is $\log_2 n$.

Properties

SEC 1.3.2

$\log_b n$ is the inverse function of b^n and vice versa.

$$\text{Ex, } 2^{\log_2 n} = n \quad \log_2 2^n = n.$$

2. changing bases, $\log_b n = \frac{\log_c n}{\log_c b} = \frac{1}{\log_c b} \cdot \log_c n$

$$\text{Ex, } \log_2 n = \frac{1}{\log_3 2} \cdot \log_3 n$$

3. pulling exponents down.

$$= 1.555 \log_3 n$$

$$\log_b(n^c) = c \cdot \log_b n. \quad \text{Ex, } \log_2 n^3 = 3 \log_2 n.$$

4. log products

$$\log_b(n_1 \cdot n_2) = \log_b n_1 + \log_b n_2.$$

$$\text{Ex, } \log(2n \log n) = \log 2 + \log n + \log \log n.$$

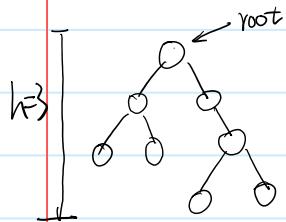
Example 1.

等比数列求和公式: Sum of the Geometric Progression

$$S_n = \begin{cases} na_1, (q = 1), \\ \frac{a_1 - a_1 q^n}{1 - q}, (q \neq 1). \end{cases}$$

q=2
a1=1

Binary Trees

Each nodes has at most \leq children.

Q1

Suppose a binary tree has height h ,

What is the maximum number of nodes in the tree?

$$2^0 + 2^1 + 2^2 + 2^3 + \dots + 2^h = 2^{h+1} - 1$$

Q2

Suppose we know a binary tree has n nodes.

What is the minimum height of tree?

max height, $n-1$ min height, If the height of the tree is h

$$n \leq 2^{h+1} - 1$$

$$n+1 \leq 2^{h+1}$$

$$\log_2(n+1) \leq \log_2(2^{h+1})$$

$$\log_2(n+1) \leq h+1$$

$$\log_2(n+1) - 1 \leq h$$

Example 2:

Analyzing the # of Iterations.

let $b > 1$ be a positive integer.Loop(n):while $n > 1$

$$| \quad n \leftarrow \frac{n}{b}$$

endwhile

$$n \rightarrow \frac{n}{b} \rightarrow \frac{n}{b^2} \rightarrow \frac{n}{b^3} \rightarrow \dots \rightarrow \frac{n}{b^k}$$

Find the smallest integer k st., $\frac{n}{b^k} \leq 1$

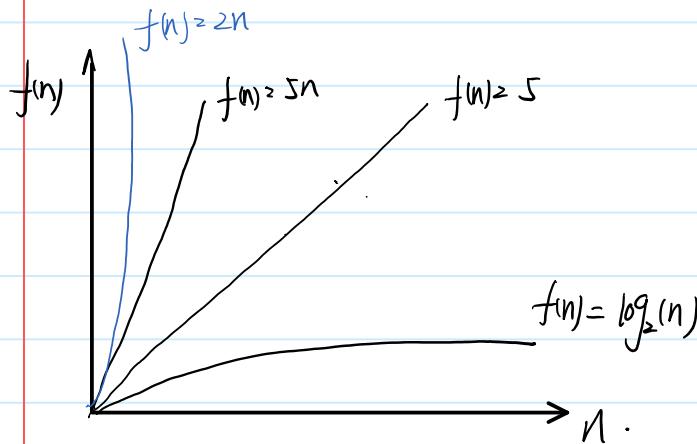
$$\frac{n}{b^k} \leq 1$$

$$n \leq b^k$$

$$\log_b n \leq k$$

$$k = \lceil \log_b n \rceil$$

The functions $\log n, n, 5n, 2^n$



$$(\log_a x)' = \frac{1}{x \ln a}, (\ln x)' = \frac{1}{x}$$

$$(a^x)' = a^x \ln a, (e^x)' = e^x$$

Growth rates.

Compare growth rate of $f(n)$ & $g(n)$

Consider the ratio $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)}$

$$\cdot n \text{ vs. } 5n \quad \lim_{n \rightarrow \infty} \frac{n}{5n} = \frac{1}{5}$$

$$\cdot \log_2 n \text{ vs. } n \quad \lim_{n \rightarrow \infty} \frac{\log_2 n}{n} = \lim_{n \rightarrow \infty} \frac{\frac{1}{n \ln 2}}{1} = \lim_{n \rightarrow \infty} \frac{1}{n \cdot \ln 2} = 0$$

L'Hopital rule, derivate

L'Hospital's

$$\cdot n \text{ vs. } 2^n \quad \text{rule} \quad \lim_{n \rightarrow \infty} \frac{n}{2^n} = \lim_{n \rightarrow \infty} \frac{1}{2^n \ln 2} = 0$$

$$\log_2 n \ll n, 5n \ll 2^n$$

Asymptotics notation

$\log n, \sqrt{n}, \ln n, 2^n$

U.B.

DEF: Let $f(n)$ and $g(n)$ be functions of n , We say that $f(n)$ is $O(g(n))$ if there exists constant $c > 0$ and $n_0 \geq 1$ s.t. $f(n) \leq c \cdot g(n)$ where $n \geq n_0$.

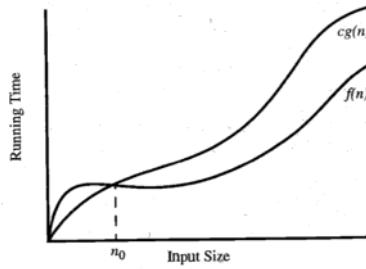


Figure 1.5: Illustrating the “big-Oh” notation. The function $f(n)$ is $O(g(n))$, for $f(n) \leq c \cdot g(n)$ when $n \geq n_0$.

(“the growth rate of $f(n)$ is bounded above by the growth rate of $g(n)$ ”)

Ex1,

$$8n^5 + 32n^4 + 1000 \text{ is } O(n^5)$$

$$8n^5 + 32n^4 + 1000 \leq c \cdot n^5 \text{ when } n \geq n_0$$

$$8n^5 + 32n^4 + 1000 \leq c \cdot n^5 + 32n^5 + 1000n^5$$

$$n \geq |$$

$$\text{Let } c = 1040 \text{ and } n_0 = |.$$

Ex2: $100n$ is $O(2^n)$.

$$100n \leq c \cdot 2^n \text{ when } n \geq n_0$$

$$c = | \quad n_0 = 10$$

$f(n) \leq O(g(n))$ U.B.

$f(n) \geq \Omega(g(n))$ L.B.

$f(n)$ is $\Theta(g(n))$ ^{same} [Growth rate]

Relationships of Big O notation

(1) $f(n)$ is $\Omega(g(n))$ is $O(f(n))$

The growth rate of $f(n)$ is bounded below by the growth rate of $g(n)$

(2) $f(n)$ is $\Theta(g(n))$ if $f(n)$ is $O(g(n))$ and $f(n)$ is $\Omega(g(n))$

same growth rate

Ex 3 $8n^5 + 32n^4 + 1000$ is $\Omega(n^5)$

Show n^5 is $O(8n^5 + 32n^4 + 1000)$

find $c=0$, $n_0 \geq 1$,

so that $n^5 \leq c(8n^5 + 32n^4 + 1000)$.

$c=1$, $n_0=1$.

$\therefore 8n^5 + 32n^4 + 1000$ is $\Theta(n^5)$

Tricks,

When comparing growth rate of function $f(n)$ & $g(n)$

consider $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)}$

If $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \begin{cases} 0 & \text{then } f(n) \text{ is } O(g(n)) \\ K, \text{ a constant} & f(n) \text{ is } \Theta(g(n)) \\ +\infty & f(n) \geq \Omega(g(n)) \end{cases}$

Thm 1.7

(1) $\log^d n$ is $O(n^k)$ for any constants $d > 0$ and $k > 0$

Ex $\log^{\infty} n$ is $O(n^{0.000\ldots})$

(2) n^k is $O(a^n)$ for any constants $k > 0$, $a > 1$.

Ex n^{∞} is $O((1.01)^n)$

Some applications,

1. A binary tree with height h has at most $2^h - 1$ nodes
has $O(2^h)$ nodes

If a binary tree has n nodes, its height is at least $\log_2(n+1) - 1$
 $\approx \log n$

2. for a positive integer n , let $f(n) = 1+2+3+\dots+n$.

$$\text{what is } f(n) = \frac{n(n+1)}{2} = \frac{n^2+n}{2} \quad \therefore f(n) \text{ is } O(n^2)$$

$s \leftarrow 0$

for $i=1$ to n # of addition steps = $1+2+3+\dots+n = \frac{n(n+1)}{2}$ is $O(n^2)$

 | for $j=1$ to i

 | $s \leftarrow s+j$

 | end for

end for

$$1^2 + 2^2 + \dots + n^2 = \frac{n(n+1)(2n+1)}{6} \text{ is } O(n^3)$$

For constant $k > 0$

$$1^k + 2^k + \dots + n^k \text{ is } O(n^{k+1})$$

3. Geometric Series. (recursion)

For positive integer n . let $g(n) = 1 + a + a^2 + \dots + a^n$ for $a > 0$

Growth rate.

FACT₁ when $a=1$ $g(n)=n+1$

$g(n) \in O(n)$

$$a \neq 1 \quad g(n) = \frac{a^{n+1} - 1}{a - 1}$$

$$a > 1 \quad \frac{a^{n+1} - 1}{a - 1} \approx \frac{a^{n+1}}{a} = a^n \quad g(n) \in O(a^n)$$

$$a < 1$$

$$\frac{a^{n+1} - 1}{a - 1} = \frac{1 - a^{n+1}}{1 - a} = \frac{1}{1 - a}. \quad g(n) \in O(1)$$

Final notes

1. In CS, the following terms are using informally.

We say that function $f(n)$ is

- logarithmic if $f(n) \in O(\log^k n)$ for some constant $k \geq 1$

- polynomial if $f(n) \in O(n^k)$

- exponential if $f(n) \in \Omega(a^n)$ for $a > 1$.

Ex. $\frac{n}{\log n} \in O(n)$

$n! \in \Omega(a^n)$

$(\log \log n) \in O(\log n)$

\downarrow

for some $a > 1$

2. When comparing growth rate of function, ignore coefficient and constant number of lower ordered terms.

Ex. ~~$1000 \cdot 2^n$ vs. $3^n + 500n^2 + 8$~~

$$\Theta(2^n) \quad \Theta(3^n)$$

$$\lim_{n \rightarrow \infty} \frac{2^n}{3^n} = \lim_{n \rightarrow \infty} \left(\frac{2}{3}\right)^n = 0$$

3^n is much faster.

Week2

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$O(1)$ — growing at most as fast as a constant

$$f(n) = 5 ; f(n) = K$$

* $O(n)$ — linear in n

$$f(n) = 5n + 6\sqrt{n} + \log n \text{ is } O(n) \quad \lim_{n \rightarrow \infty} \frac{5n + 6\sqrt{n} + \log n}{n} = 5$$

$O(n \log n)$

$O(n^2)$ — quadratic in n .

$O(2^n)$

Big O Notation can hide big constants & significant lower order terms.

Algorithm Design & Analysis

TWO aspects that's important to us.

(1) correctness of algorithm. } Proofs
 \u2192 all inputs

(2) Running time of algorithm. }
 \u2192 efficient/scalable.

Framework:

(1) algorithm will be describable using pseudo code

— a mix of English & actual code.

— readable

(2) The algorithm's running time will be based on the number of primitive operations/steps it performs. in the worst case.

primitive operations, take $O(1)$ time.

Arithmetic operations, $+, -, \times, \div$

Assigning a value to variable, $x \leftarrow 0$

Comparing two numbers $x > y$, $x = y$

indexing an array, $A[1]$

\Rightarrow need to count the # of primitive operations.

\Rightarrow a function of the input size (n)

\Rightarrow assume worst case behaviour *

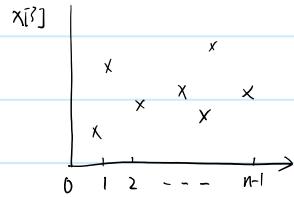
* average case

~~best case~~

(3) The algorithms running time will be expressed using the Big O notation.

Ex. Prefix Averages

Let $x[i]$, $i \geq 0$ to n denote the price of a stock at day i .



$$A[i] = \frac{x[0] + x[1] + \dots + x[i]}{i+1}, \text{ for } i \geq 0 \text{ to } n-1$$

$$A[i+1] = \frac{x[0] + x[1] + \dots + x[i] + x[i+1]}{i+2}.$$

GOAL: Given $x[0, \dots, n-1]$, compute $A[0, \dots, n-1]$

prefix averages ($x[0 \dots n-1]$)

Create an array $A[0 \dots n-1]$,

for $i = 0$ to $n-1$

$$A[i] \leftarrow \frac{x[0] + x[1] + \dots + x[i]}{i+1}$$

EndFor

Return(A)

$$\left. \begin{array}{l} \text{--- } C_1 \cdot n \quad \Theta(n) \\ \text{--- } \sim C_2 \cdot i \quad \Theta(i) \Rightarrow \sum_{i=0}^{n-1} (C_2 \cdot i) \\ = C_2 (0+1+2+\dots+n-1) \\ = C_2 \frac{(n-1)n}{2} \\ \text{is } \Theta(n^2) / \Theta(n^2) \end{array} \right\}$$

The running time is $\Theta(n^2)$ or $\Theta(n^2)$



Prefix Average 2 ($X[0..n]$)

Create an array $A[0..n-1]$ ————— $\Theta(n)$

$\text{sum} \leftarrow 0$ ————— $O(1)$

for $i=0$ to $n-1$

$\text{sum} \leftarrow \text{sum} + X[i]$

$$A[i] \leftarrow \frac{\text{sum}}{i+1}$$

End for

Return (A) ————— $O(1)$

$O(1)$ per iteration

$O(n)$ for all iteration.

Running time, $O(n)$

The Stable Marriage Problem.

Each has a preference list

n men $>$ n women

Goal: pair up the men & women so that they will not be tempted to leave their partners.

1960's Gale & Shapley

DEF: A stable matching M consist of n pairs of men & women.

1980's Alvin Roth.

st.

(1) each person is part of exactly one pair. blocking matching

(2) there is no pair (m, w) st. they prefer each other

than their partners in M .

Ex:

M_1 : w_2 w_4 w_1 w_3	w_1 m_2 m_1 m_4 m_3
M_2 : w_3 w_1 w_4 w_2	w_2 m_4 m_3 m_1 m_2
M_3 : w_2 w_3 w_1 w_4	w_3 m_1 m_4 m_2 m_3
M_4 : w_4 w_1 w_3 w_2	w_4 m_2 m_1 m_4 m_3

Q: Is (m_1, w_2) , (m_2, w_3) , (m_3, w_2) , (m_4, w_4) a stable matching?

Not stable!

CLAM #1. The algorithm terminates

CLAM #2 The algorithm output a stable matching

Observation — (i) The women m proposes to gets worse & worse according to His preference List.

In particular, he never propose a women twice

(ii) Once a woman is engaged, she remains engaged.

The man w engaged to gets better & better according to her PL.

(iii) A person (through out the algorithm) is free or matched to one person only.

Proof of the claim 1,

The algorithm will terminate when all men are matched.

Consider an arbitrary man m .

— at some point, m is permanently matched to some woman.

\times — he is rejected by all the women & so in never matched.

M, W_1, W_2, \dots, W_n .

n women $\leftarrow n-1$ women.

\Rightarrow ALL women had partners

$\therefore \Rightarrow$

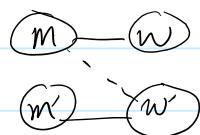
Proof of the claim 2,

Suppose $M = \{ _, _, \dots, _ \}$ is output.

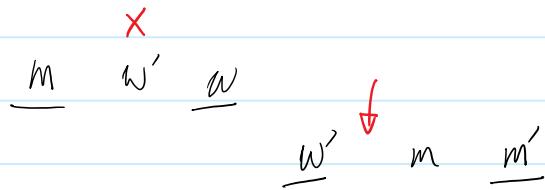
M is matching

Assume M as a blocking pair. (m, w)

In M , (m, w) is a pair (m', w') is a pair



Since (m, w') is a blocking pair.



- m propose to w' , but was eventually rejected for a better partner.
- w' 's partners gets better & better.

$\therefore w'$ can't be matched to $m' \Rightarrow \Leftarrow$

$\therefore M$ is a stable matching.

5

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1. a. Loop 3, the running time is $O(n^2)$

Loop 4, $\sum_{i=1}^{2n} O(1) = O\left(\frac{2n}{2}\right) = O\left(\frac{(2n+1) \times 2n}{2}\right) = O(n^2)$
the running time is $O(n^2)$.

b. create matrix A,

for $k \leftarrow 1$ to $n-1$ do

for $i \leftarrow 1$ to $n-k$ do.

$j = i + k$

$A[i][j] \leftarrow 0$

for $x \leftarrow i$ to $j-1$ do.

$A[i][j] \leftarrow A[i][j] + A[i][x] \times A[i+x-j, j]$

end for

end for

end for

$$\left\{ \begin{array}{l} (n-1) + (n-2) \dots + 1 \\ O\left(\frac{n \times (n+1)}{2}\right) = O(n^2) \end{array} \right.$$

$$\left\{ \begin{array}{l} O(j-i) = O(n) \end{array} \right.$$

$$O(n^2) \times O(n) = O(n^3)$$

\therefore the running time is $O(n^3)$.

2. Create matrix $A[i][j]$

Total $\leftarrow 0$

$i \leftarrow n-1$, $j \leftarrow 0$

while ($i > 0$ and $j < n$) do

if $A[i][j] = 1$ then

$j \leftarrow j + 1$

else

Total $\leftarrow Total + j$

$i \leftarrow i - 1$

end if

end while

$$\left\{ O(n) \right\}$$

$$\left\{ O(n) \right\}$$

$$(O(n) + O(n)) = O(2n) = O(n)$$

\therefore the running time is $O(n)$

3.

Set. m_i as men m

m_s as men m'

w_r as women w

Data structures:

stack S — store free man

array R — store index of first women has not reject him for each man

array A — store matched man for each women.

matrix Q' — show ranked preference for each women.

Create Q'

for $i \leftarrow 1$ to n do

 for $j \leftarrow 1$ to n do

$k \leftarrow Q[i][j]$

$Q'[i][j] \leftarrow j$

 end for

end for

Create S, Y, A

for $i \leftarrow 1$ to n do

$S[i] \leftarrow m_i$

$R[i] \leftarrow 1$

$A[i] \leftarrow 0$

end for

Algorithm.

While $S[1] \neq \text{null}$ do

$m_i \leftarrow \text{pop } S$

$w_r \leftarrow R[m_i]$

 if $A[w_r] = 0$ then

$A[w_r] \leftarrow m_i$

 else

$m_s \leftarrow A[w_r]$ // m' matched to w

 if $Q'[w_r][m_i] < Q'[w_r][m_s]$ then

$A[w_r] \leftarrow m_i$

 Push m_s to S

 else

$R[w_r] \leftarrow w_r + 1$

 Push m_i to S

 end if

 end if

end while

(i) running time of setting Q' is $O(n^2)$,

Other settings takes $O(n)$,

there are no more than n^2 proposals during a run of algorithm, and each proposal can be performed in $O(1)$ time.

∴ the running time of Gale-Shapley algorithm is $O(n^2)$

It's best possible implementation, because I use a matrix to store links of women, men, and her preference, which is a strategy of trading space for time, so we don't need to traverse the preference list.

4.

Create matrix P' for ranked preference of man

Because $M[i] = Y$, $M[i]$ means current women of a given man,

So, create array M' to store current man of a given women.

for $i \leftarrow 1$ to n do

 for $j \leftarrow 1$ to n do

$r \leftarrow P[i][j]$

$P'[i][r] \leftarrow j$

 end for

end for

} $O(n^2)$

for $i \leftarrow 1$ to n do

$j \leftarrow M[i]$

$M'[j] \leftarrow i$

end for

} $O(n)$

Algorithm :

stablematch = true

for $i \leftarrow 1$ to n do

 for $r \leftarrow 1$ to n do

 if $P[i][r] < P[i][M[i]]$ and $R[r][i] < R[r][M[r]]$ then

 stable match = false

 store blocking pair (m_i, w_r)

 endif

 end for

end for

if stablematch = true then

 print "yes"

else

 print "no"

 print blocking pair (m_i, w_r)

end if.

Overall, the running time is $O(n^2)$

The algorithm try to traverse all the pairs to compare with current match, and find blocking pair, which is correct.

Week3

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Loop 5. (n)

$S \leftarrow 0$

for $i = 1$ to n^2

for $j = 1$ to i
 $S \leftarrow S + j$

$O(n^2)$
worst case

$O(i)$

$\{ O(n^4)$

$i = 1$ to n^2

Running time $\sum_{i=1}^{n^2} O(1) = O\left(\sum_{i=1}^{n^2} i\right)$

$$= \frac{n^2(n^2+1)}{2} = \frac{n^4+n^2}{2}$$

Chapter 2, BASIC Data Structures

ADT (Abstract Data Types.)

(i) An interface

— describe the methods/operations.

that can be used to access the data.

(ii) the implementation

— includes the internal representation of the data

together with the algorithms
used to implement the methods
of ADT

1. Stacks

— is a container of objects that are added & removed using the LIFO principle.

The method,

$push(o)$ = insert "o" at the top of the stack

pop = remove object at the top of the stack

$Size()$, $isEmpty()$, $top()$.

Implementation.

1. Array-based.

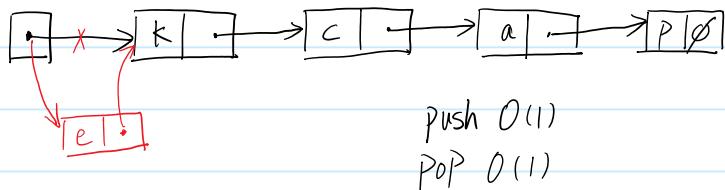
- an array S of size N is created
- an index "t" is used to keep track of the top of the stack; $S[t]$

$\text{Push } \boxed{\quad} O(1)$
 $\text{Pop } \boxed{\quad}$

2. Linked-list based

- A Linked List L is created.
- the header of L points to the top of the stack

Ex,



Pros/cons

1. array size is fixed
 - may need to resize
2. For Link-list pointers occupied half of the space.

2. QUEUES

- like stacks except the objects are added & removed using FIFO principle.

TWO-methods.

$\text{enqueue}(o)$ - insert " o " at the rear (back) of the Queue.

$\text{dequeue}(o)$ - removes the object from the front of the Queue.

2 access point

$\text{size}()$

$\text{isEmpty}()$

$\text{front}()$

Implementation.

1. array-based.

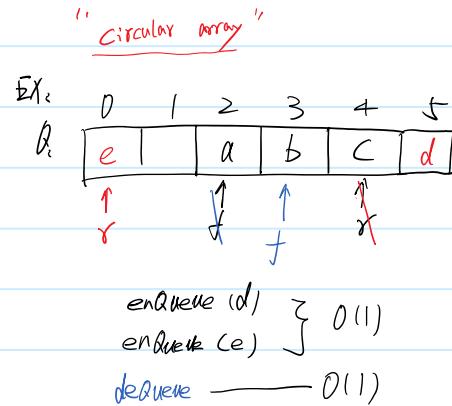
- an array Q of size N is created.

in def f keeps track of the Queue j.

index r ... rear of the Queue.

$Q(F)$

$Q(r)$

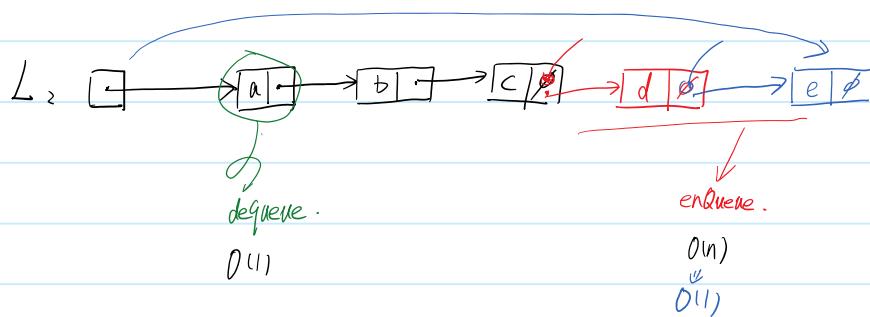


2. Linked-list

- A linked list L is created.

- the header points to the "front" of the Queue.

So the tail corresponds to the "rear" of the Queue.

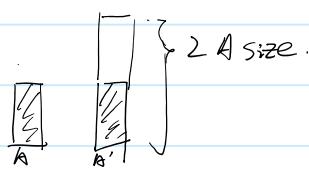
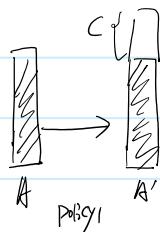


Resizing Arrays, (Amortized Analysis)

Policy 1: Increase the array A by a constant C

Policy 2: Double the size of array A .

Resize(A):



Policy 1.

$$\text{Create } A' : O(A.\text{size} + c) > O(A.\text{size})$$

copying = $O(A.\text{size})$

Policy 2.

$$\text{Create } A' : O(A.\text{size} + A.\text{size}) > O(A.\text{size})$$

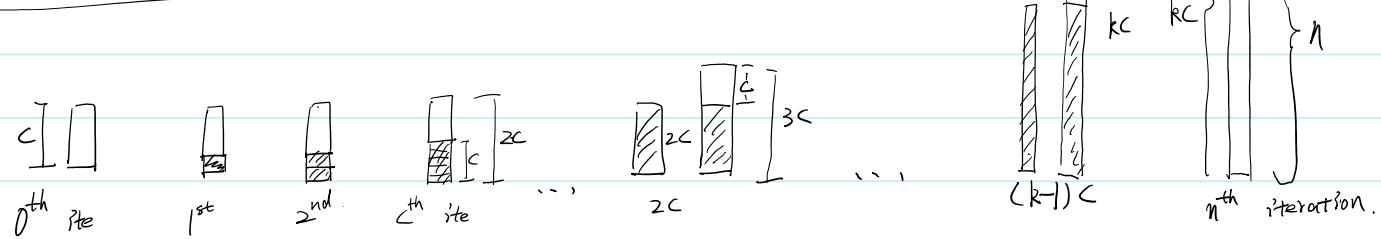
copying = $O(A.\text{size})$

Start with a array of size 0 or 1

GOAL = insert n objects.

Assumption: Resize immediately when an array becomes full.

Policy 1:



$$kc \geq n$$

$$k \geq \frac{n}{c}$$

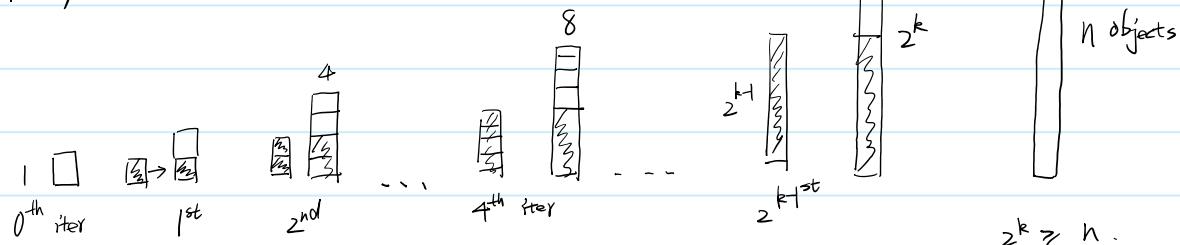
$$\therefore k = \lceil \frac{n}{c} \rceil$$

How much work ?? (running time).

$$\begin{aligned} & \theta(n) + \theta(c + 2c + \dots + kc) \\ & \quad \uparrow \\ & \quad \text{adding} \\ & = \theta(n) + \theta(c(1 + 2 + \dots + k)) \\ & = \theta(n) + \theta(c \cdot k^2) \quad \text{dominant term} \\ & = \theta(n) + \theta(c \cdot \frac{n^2}{c}) = \theta(n) + \underbrace{\theta(\frac{n^2}{c})}_{\theta(n^2)} = \theta(n^2) \end{aligned}$$

$\rightarrow \frac{\theta(n)}{(n \text{ objects})}$

Policy 2.



$$2^k \geq n$$

$$k \geq \log_2 n$$

$$k \geq \lceil \log_2 n \rceil$$

$$\theta(n) + \theta(1 + 2 + 2^2 + \dots + 2^{k-1})$$

\uparrow
welding

$$= \theta(n) + \theta(2^k) = \theta(n) + \theta(2^{\lceil \log_2 n \rceil}) = \theta(n) + \theta(n) = \frac{\theta(n)}{\theta(1) \text{ per object}}$$

3. VECTORS

→ an ADT that behaves like arrays

DEF: Given an ordered sequence of n objects,
the rank of an object O is the number of objects ahead of O .

EX: abcde $\text{rank}(a) = 0$ $\text{rank}(e) = 4$

A vector is an ADT where the objects are linearly ordered & access by rank.

	Array	Link List
{ elemAtRank(r)	$O(1)$	$O(r)$
replaceAtRank(r, e)	$O(1)$	$O(r)$
{ insertAtRank(r, e)	$O(n-r+1)$	$O(r)$
removeAtRank(r)	$O(n-r+1)$	$O(r)$
Size()		
isEmpty()		

Implementations:

(i) array

— use an array A to store the elements where $A[i]$ contains elements at rank i

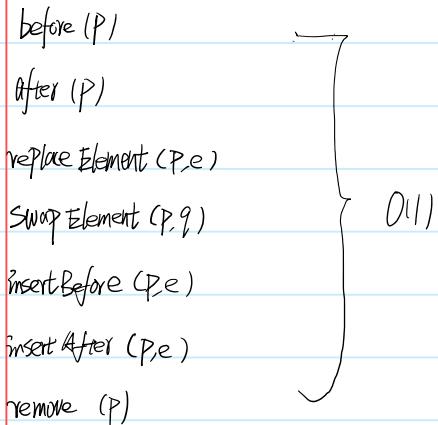
(ii) linked-list

— use an link-list L to store the elements where i^{th} node contains elements at rank $i-1$

4.

LISTS

- an ADT that behaves like doubly-linked lists.
- each object is stored in a "position".
 & the positions are ordered linearly.
- Objects are accessed via their positions



Implementations:

- (i) Doubly linked list.

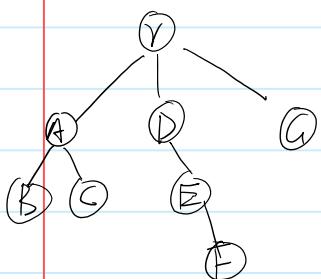


5. TREES

- the internal structure is hierarchical.
- because (i) the data is naturally hierarchical
- (ii) it is best accessed if given a hierarchical structure.

A TREE ADT T stores elements in a parent-child relationship.

- T has a special node r , the root of T
- For each node $v \neq r$, v has a parent node, Parent(v), v .parent.

Terminology.

— A is the Parent of B & C.

A has two children B & C

B & C are siblings.

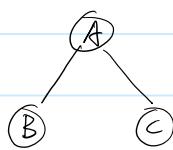
— Node with no children are external nodes.

Otherwise are internal nodes.

— F has ancestor E, D, R

has descendants E & F

Subtree at A



.. ordered tree

— children of nodes
have an ordering

TREE ADT Methods.

root() is Internal (v)

parent (v) is External (v)

children(v) is Root (v)

size()

leftchild (v) swapElements (v, w)

element()

right child (w) replaceElements (v, e)

positions()

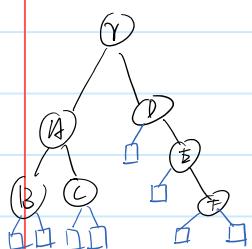
sibling (v)

Binary tree.

— is an ordered tree where each node has at most two children, left & right child.

Proper Binary Tree

— Every internal node have exactly two children.



Tree Traversals

- algorithms that visit every node of the tree to do some processing.

1. Pre-order Traversal

- process a node before any of its descendants.

PreOrder (T, v)

Process v

for each child w of v.

| PreOrder (T, w)

end for

beginning
T root.

2. Post-order Traversal

- process all the descendants of a node before the node.

PostOrder (T, v)

for each child w of v

| PostOrder (T, w)

end for

Process v

3. In-order Traversal (Binary Tree)

In-order (T, v)

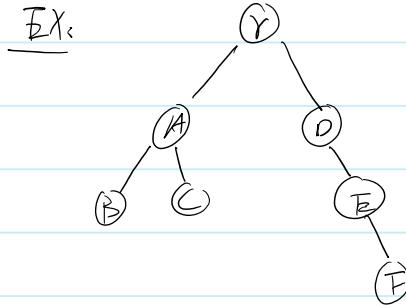
if leftchild exists.

InOrder (T, v, leftchild)

Process v.

if v rightchild exists.

InOrder (T, v, rightchild)



PreOrder (T, r) : Y A B C D E F

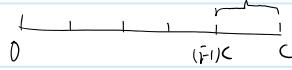
PostOrder (T, r) : B C A F E D Y

InOrder (T, r) : B A C Y D E F

Week4

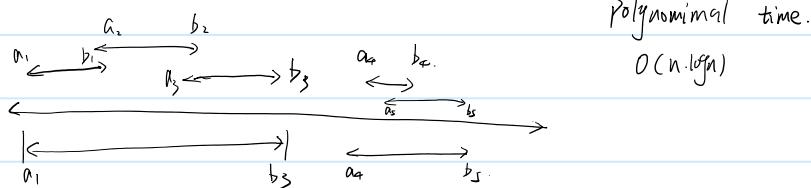
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1. Binary Search (low , $high$, x)

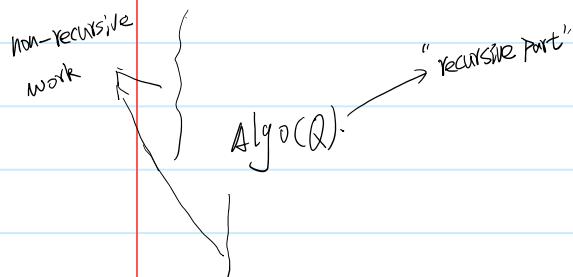


$$O(\log(h - low))$$

4. (2-23) Input: n intervals (a_i, b_i) for $i = 1$ to n



Algo(P)



PreOrder(T, v)

$O(1) \rightarrow$ Process v

$O(c_v) \rightarrow$ for each child w of v .

$O(c + c_v)$

↳ non-recursive work

at PreOrder(T, v)

 |
 | PreOrder(T, w)

 | end for

running time

$\sum_{v: v \text{ is a node in } T} O(c + c_v)$

$$= O\left(\sum_v (1 + c_v)\right)$$

$$= O\left(\sum_{n: \# \text{ of nodes in } T} 1 + \sum_n c_n\right)$$

$$= O(n + \# \text{ of nodes})$$

$$= O(n)$$

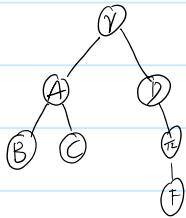
* Assume processing v takes $O(1)$ time.

PreOrder, PostOrder, InOrder takes $O(n)$ time.

Parameter associated with a tree.

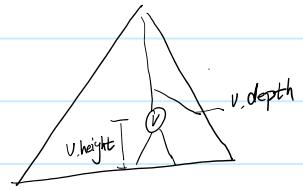
DEF. The depth of node v in T is equal to the # of ancestor of v , excluding itself

The height of T is the maximum depth of a node in T .



$$\text{depth}(B) = 2$$

$$\text{height}(T) = 3.$$



depth(T, v)

if $v = T.\text{root}$

| Return(0)

else

Return ($1 + \text{depth}(T, v.\text{present})$)

$O(\text{depth}(v))$

PreOrder

AllDepth(T, v)

if $v = T.\text{root}$

| $v.\text{depth} \leftarrow 0$

else

| $v.\text{depth} \leftarrow 1 + v.\text{parent}.depth$

| for each child w of v .

| | AllDepth(T, w)

| End for

} Run
AllDepth($T, T.\text{root}$)

} running time
 $O(n)$

AllHeight(T, v) $\rightarrow T.\text{root}$

if $\text{isExternal}(T, v)$

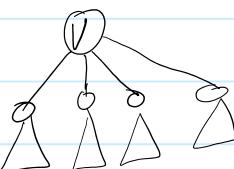
| $v.\text{height} \leftarrow 0$

else

| $h \leftarrow 0$

| for each child w of v .

| | AllHeight(T, w)



$O(n)$

$$v.\text{height} = 1 + \max\{w.\text{height}\}$$

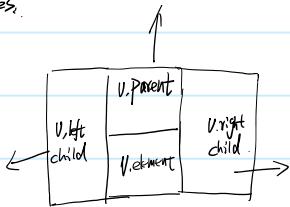
| | $\leftarrow \max(h, w.\text{height})$

| End for

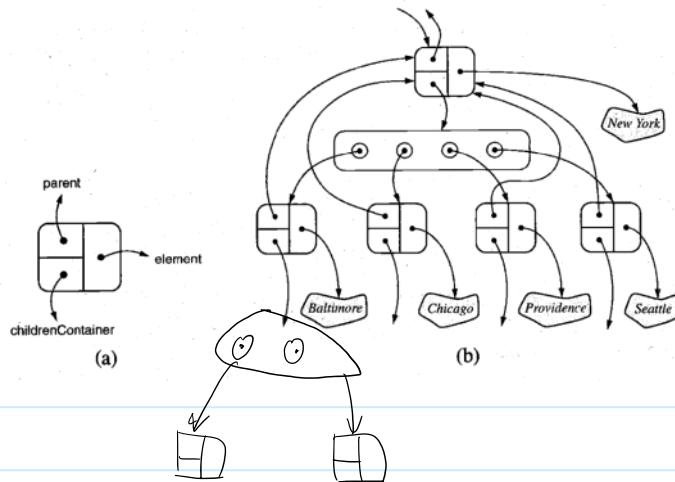
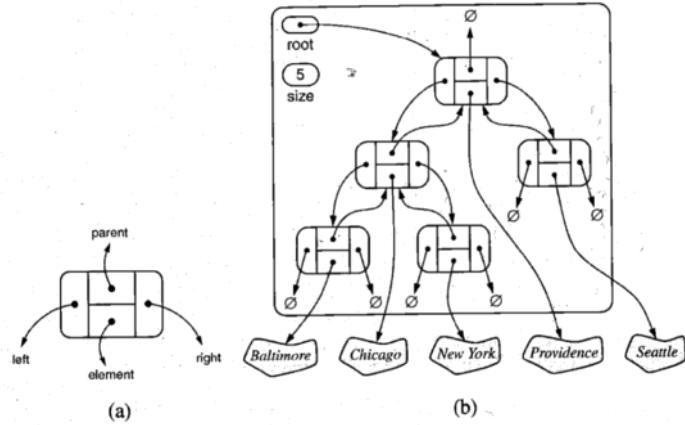
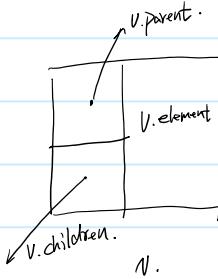
| $v.\text{height} \leftarrow 1 + h$

Implementations of trees:

Binary trees

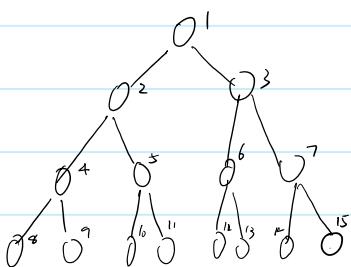


General Trees:



Binary Tree

— an array-based implementation

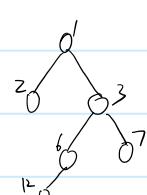


For each node v

let (λ) denotes its "number"

If $u = v.\text{leftchild}$, $b(u) = 2 \times b(v)$
 $= v.\text{rightchild}$. $b(u) = 2 \times b(v) +$

$$w = v.\text{parent} \quad (kw) = \lfloor \frac{l(w)}{2} \rfloor$$



\Rightarrow require much large array.

Suppose T has n nodes. Create an array S [1..n] where node v is associated with S [hv]

. root[T] — S[1]

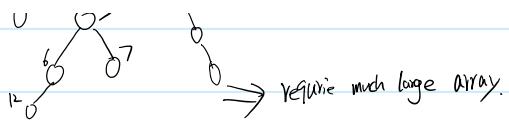
. isInternal(v) $S[2 \cdot l(v)], S[2 \cdot l(v) + 1]$

- is External (v)

is parent(v) $S[\lfloor \frac{b_1}{2} \rfloor]$

leftchild(w) S[2..lw]

rightchild(v) $\leq [2\lfloor v \rfloor + 1]$



Implementation $\Rightarrow [2^{10} + 1]$

PRIORITY QUEUES

- Our data consist of (key, elements) pairs.
 - the keys are linearly ordered [removeMax()]
- We want to support two methods, insertItem(k, e), removeMin().
- Min Priority Queue
- Max Priority Queue

Application.

(i) Processing jobs

- keys — Importance of the job
 - Due date
 - length of a job

Policy: process a job based on earliest due day / important etc.

(ii) Sorting

Suppose L is a list of n numbers that needs to be sorted.

Ex. 35, 2, 100, 20

(35, 35) (2, 2) (100, 100) (20, 20)

- Insert n numbers into a min-PQ
- Do n remove Mins.

Implementation:

(i) use an array or doubly linked list.

insertItem — O(1)

removeMin — O(1)

(ii) Use a sorted array or sorted doubly-linked list.

insertItem — O(n)

removeMin — O(n)

3	15	18	25	100
(so.e)				(O(log n))

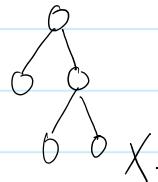
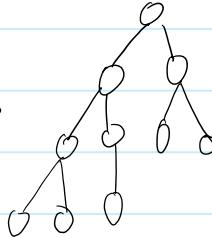
3. Heaps

full binary tree.

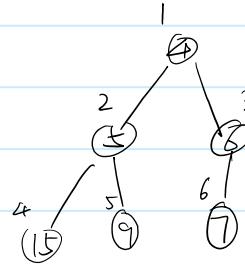
[Trees with extra rules]

A Heap is a complete binary tree. Children are attached (top → bottom / left to right)the stems satisfied the heap order property:for each node $v \neq \text{Root}$,

$$\text{key}(v) \geq \text{key}(v, \text{parent})$$



$\text{key}(\text{Root})$ is always a min key.



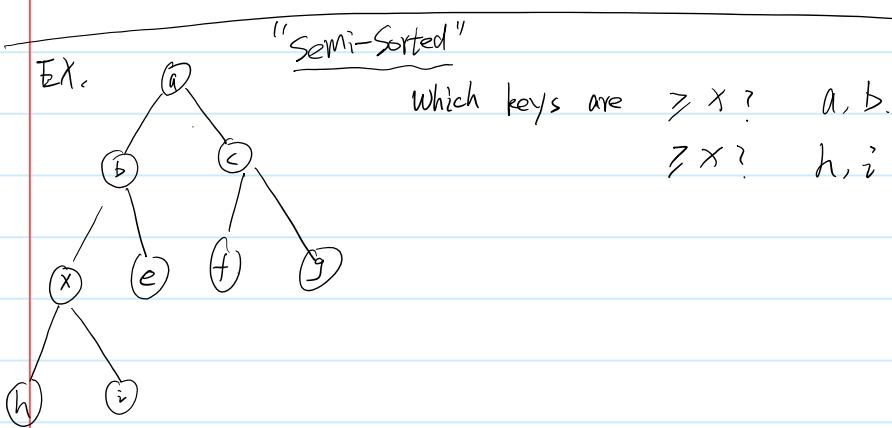
[1 2 3 4 5 6
4 5 6 5 9 7]

Some advantages of Heaps:

(i) Finding min key is easy → Root !!

(ii) Easy to implement

— use array representation of trees.

(iii) if the heap has n stems, the height of the tree is $O(\log n)$ $T \log(n+1)$ 

Convention: Let last be a reference to the last node in T .

Methods,

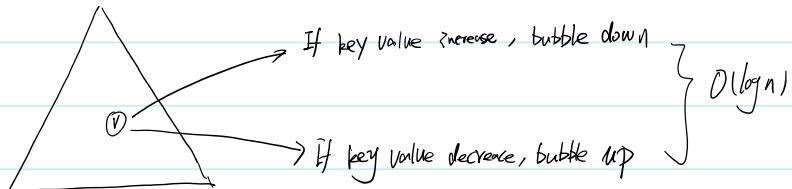
1. $\text{insertItem}(k, e)$

- Create a new note at $T[\text{last}+1]$ place item (k, e)
- “up” — heap Bubble until heap order property is restored. $O(\log n)$

2. removeMin

- return the root's item. & Replace it with the item in $T[\text{last}]$, $\text{last} \leftarrow \text{last}-1$
- Down heap bubble until the heap-order property is restored. $O(\log n)$

3. $\text{replaceKey}(v, k)$



4. $\text{removeItem}(v)$ — replace with item with $T[\text{last}]$

and bubble up/down $O(\log n)$.

Heap Sort · (Sort n numbers)

- Insert all n numbers into a heap. $O(n \lg n)$
 - Perform n removeMins. $O(n \lg n)$
- $\overbrace{O(n \lg n)}$

"In place"

In place HeapSort

Assume that the numbers to be sorted are already in array

EX:

30	88	21	5
----	----	----	---

STEP1. From left to right.

Insert each number in a PQ.

STEP2. From left to right.

Delete the smallest element. Swapping it with the last element.

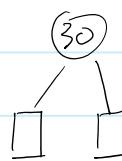
Result: Numbers are sorted from largest to smallest.

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1	2	3	4
30	68	21	5



9

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Week5

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Priority Queues

Data: (key, element) pairs.

InsertItem.

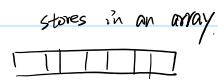
RemoveMin (RemoveMax)

Heap

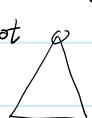
— complete Binary tree



no holes in middle.
height $O(\log n)$



— key(v) \geq key(v , parent), & $v \neq$ root

 Root is always smallest key.

{ InsertItem.

RemoveMin (RemoveMax)

$O(\log n)$

Bubble up

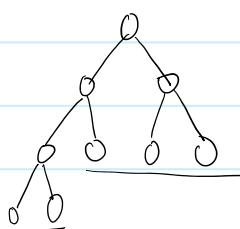
Bubble down.

Bottom-up heap construction

n items already stored in an array (i.e. in memory)

\Rightarrow Do n insertItems : $O(n \log n)$

$O(n)$ time



of leafs in complete binary tree is \geq internal nodes.

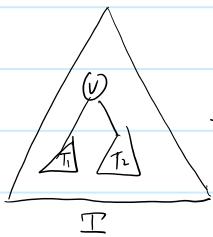
$O(\log n)$

Bottom-up construction

— post order traversal

① First, stores the items in I (1...n)

② Run BuildHeap in a post-order traversal manner.



- Make T_1 into a heap
- Make T_2 into a heap
- Merge them by making v the parent
- If $v.T > v.leftchild.key$ or $v.rightchild.key$
bubble down !!

BuildHeap (T, v)

if v is an external node

 Return

else

 BuildHeap ($T, v.leftchild$)

 if $v.rightchild$ exists.

 BuildHeap ($T, v.rightchild$)

 endif

 if $v.key > v.leftchild.key$ or $v.key > v.rightchild.key$

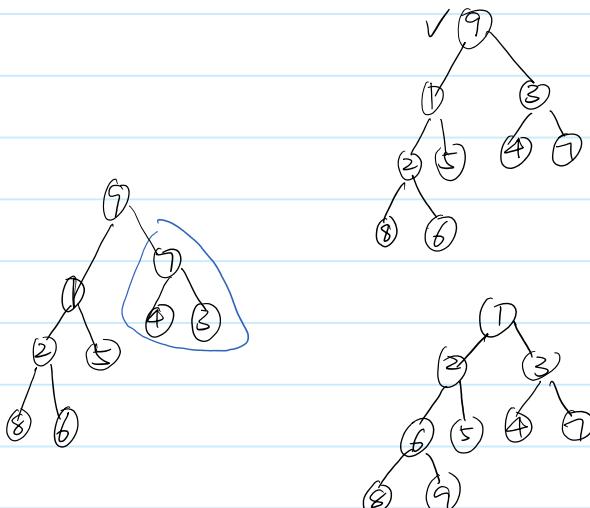
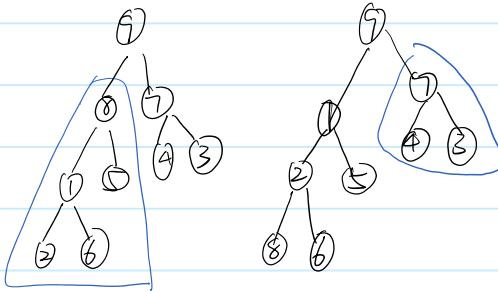
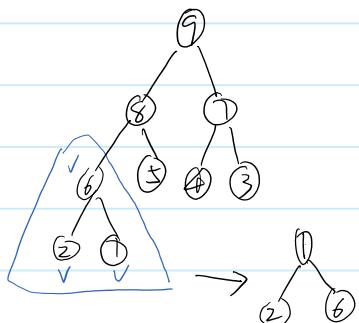
 → DownHeapBubble (T, v).

 endif

end if

end if

EX : keys. 9, 8, 7, 6, 5, 4, 3, 2, 1



CLAIM : BuildHeap (T, Root) turns T into a heap in $O(n)$ time.

(a lot of work of very little nodes) → DownHeapBubble.

Chapter 3.

ORDERED DICTIONARIES.

Data, (key, element) pairs.

keys have a linear ordering

Methods, find Element (k) closest key Before (k)

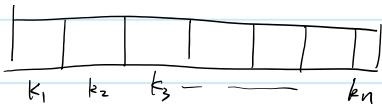
insert Item (c, e) closest Element Before (c)

remove Item (c) closest key After (c)

closest Element After (c)

Implementation:

1. Sorted array.



findElement (k) —— Binary Search $O(\log n)$

InsertItem — $O(n)$

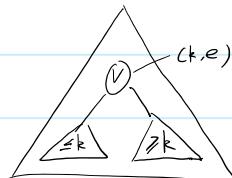
RemoveItem — $O(n)$

closest key Before } $O(\log n)$
closest key After

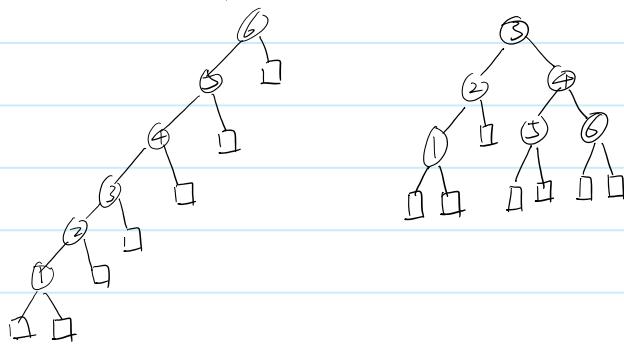
2. Binary Search Tree. —— Binary tree with extra requirements.

Binary Tree — structure.

where each internal node
stores an item (k, e)

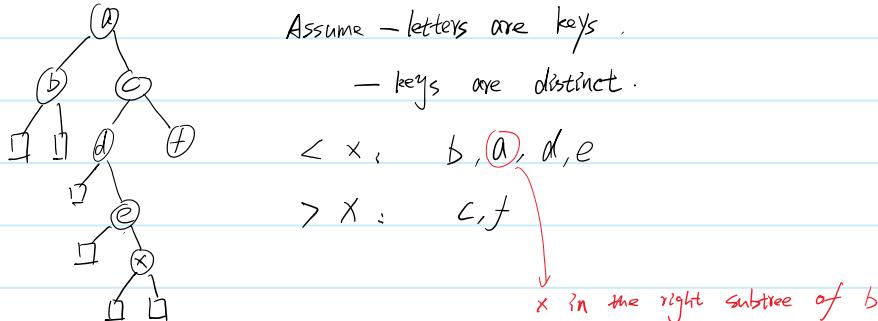


Ex. keys: 1, 2, 3, 4, 5, 6.



Notes:

I consider a BST



2. Inorder Traversal.

will output keys in sorted order.

Methods.

1. Find element (k)

TreeSearch (k, v)

If v is an External node.

Return v

if $v.key = k$.

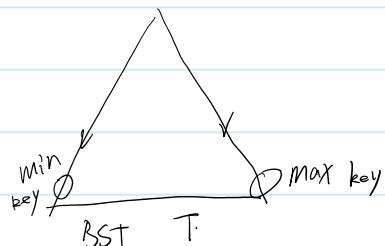
Return v .

if $v.key < k$

| Return TreeSearch ($k, v.rightchild$)

else

Return TreeSearch ($k, v.leftchild$)



$$\mathcal{O}(T, \text{height}) \rightarrow \mathcal{O}(n)$$

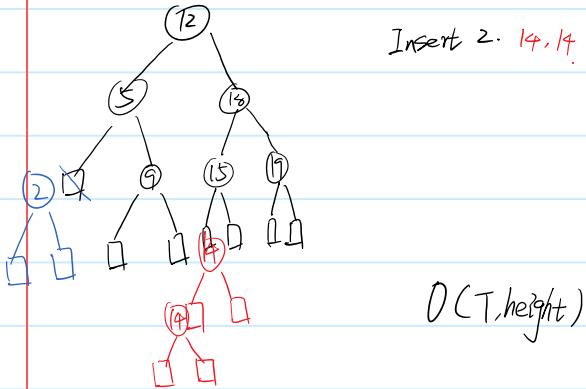
2. InsertItem (k, e)insertItem (k, e) $w \leftarrow \text{TreeSearch} (k, T.root)$ while w is not an external node.

$w \leftarrow \text{TreeSearch} (k, w.\text{leftchild or rightchild})$

end while

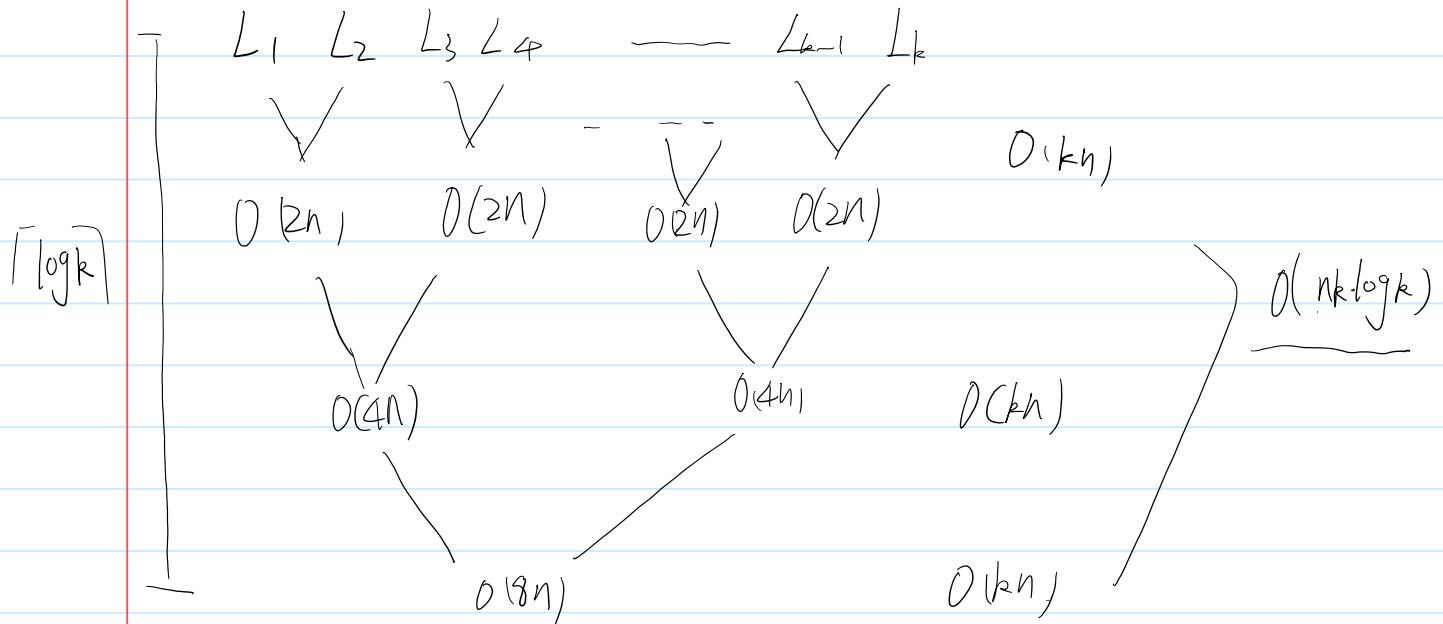
Store (k, e) at w & create two external nodes.

Ex.



Merge sort combine 2 sorted list

$$O(L_1.size + L_2.size)$$



The diagram illustrates three traversal methods for a binary tree:

- Preorder:** Visited the root node first.
- Inorder:** Visited the left child node first.
- Postorder:** Visited the right child node first.

Each method is shown with a bracket indicating its scope and a label below it.

For each L_i , Create $(L_i.size, L_i)$

Insert k items into a heap H .

While H.size > 1

$k_i \leftarrow \text{removeMin}(H)$

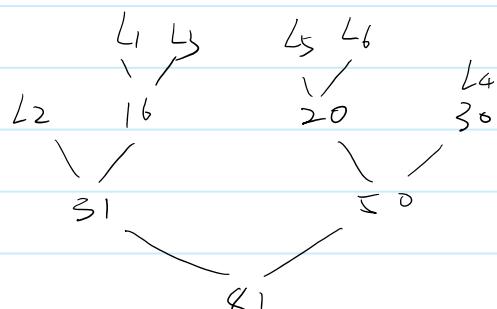
$k \leftarrow \text{removeMin}(H)$

$$k \leftarrow \text{Union}(k_1, \text{element} - k_2, \text{element})$$

insertItem (k, size, k)

end while;

Return only stem in H.



ORDERED DICTIONARIES.

Data, (key, element) pairs.

keys have a linear ordering

Methods,	findElement(k)	closest key Before (k)
	insertItem (c, e)	closest Element Before (c)
	removeItem (k)	closest key After (k)
		closest Element After (c)

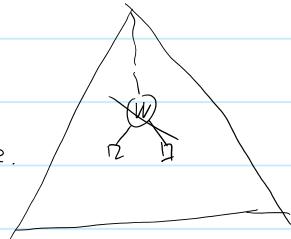
3. REMOVE ITEM (k)

$w \leftarrow \text{TreeSearch}(k, T, \text{root})$

CASE 1: w has two external children.

Delete w & replace it with an external node.

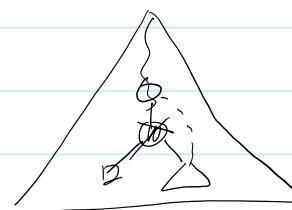
T is still a BST



$O(1)$

CASE 2: w has one external child.

Delete w & let $w.\text{parent}$ point to
the root of w 's subtree.



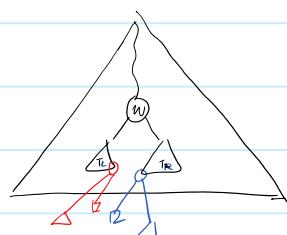
$O(1)$

CASE 3, w has no external child.

Delete w & replace it with the bottom
rightmost node in T_L (contains max key in T_L)

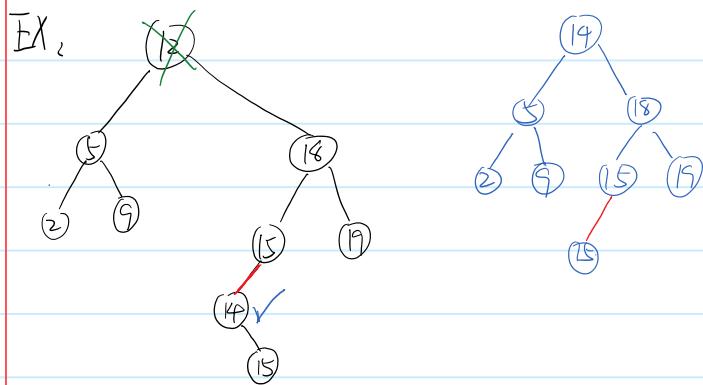
or the bottom of leftmost node in T_R

(contains min key in T_R)



$O(T, \text{height})$

Then fix problem created by node substitution using Case 1 or 2.



BST's

find Elements

insert Items

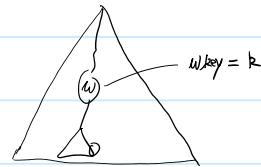
remove Items

closest key Before (k)

closest key After (k)

 $O(T, \text{height})$

TreeSearch (k, T.root)



In Order Before (w)

In Order After (w)

 $O(T, \text{height})$ $T \rightarrow n$ items

$$\log n \leq T, \text{height} \leq n - 1$$

method run in $O(n)$.

n items.

what determines the height of a BST?

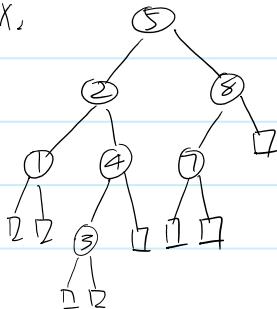
The order in which the items are inserted affects the height of BST

AVL Trees (Balanced BST)

ALL Trees are BST's with the height balanced condition.

- For every internal node v of T , the height of the left and right subtrees of v can differ by at most 1

EX,



CLAIM, Suppose T is an AVL Tree of n items

then the height is $O(\log n)$

at most $\boxed{2(\log n + 1)}$

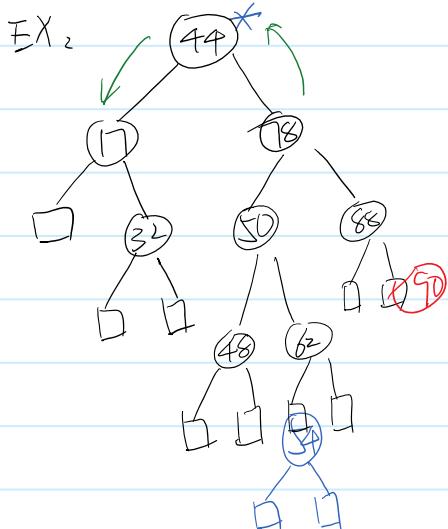
find Element (k) \rightarrow Tree Search $O(\log n)$

insert Element (k)

remove Element (k)

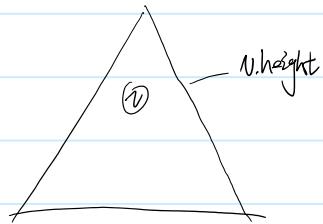
Insert Item (k, e)

Insert 90 - 54



Insert Step.

AVL Tree



Insert seem like BST (i.e. find external node w
for insertion of item)

Rotate w's ancestor path &
update height value when necessary
& check height balance property is violated.

Week 6

2017年2月27日 15:07

AVL TREES

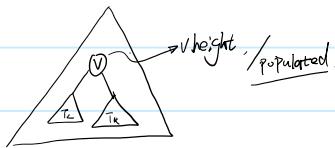
- Balanced BSTs

- BST + height property

$$|T_L \text{height} - T_R \text{height}| \leq 1$$

findItem(k) — like BST

$O(\log n)$

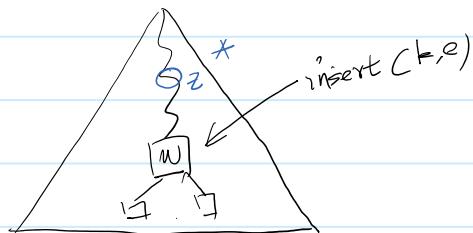


insertItem(k, e) *

removeItem(k)

insertItem(k, e)

To fix violation, do Rotations

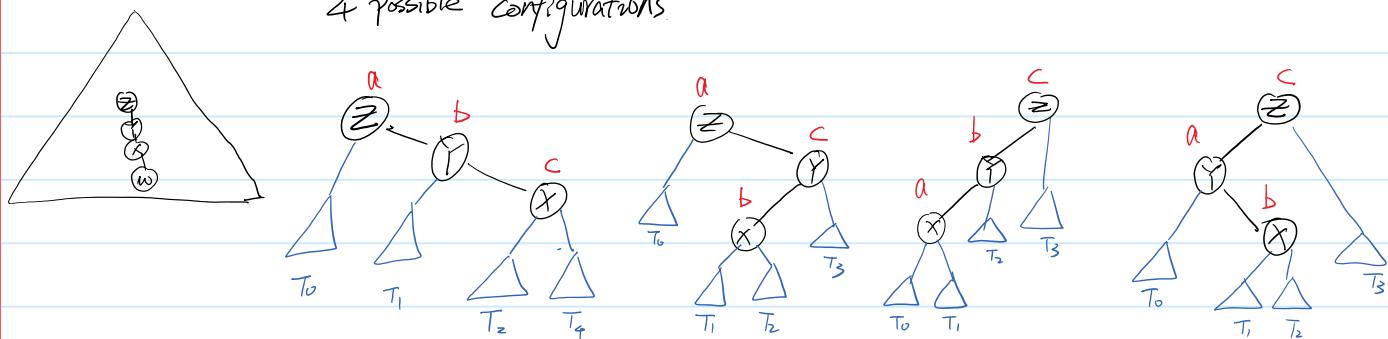


let $z = \text{lowest ancestor of } w$, where an imbalance has occurred.

$y = \text{child of } z \text{ that is an ancestor of } w$

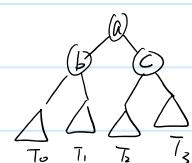
$x = \text{child of } z \text{ that is an ancestor of } w$

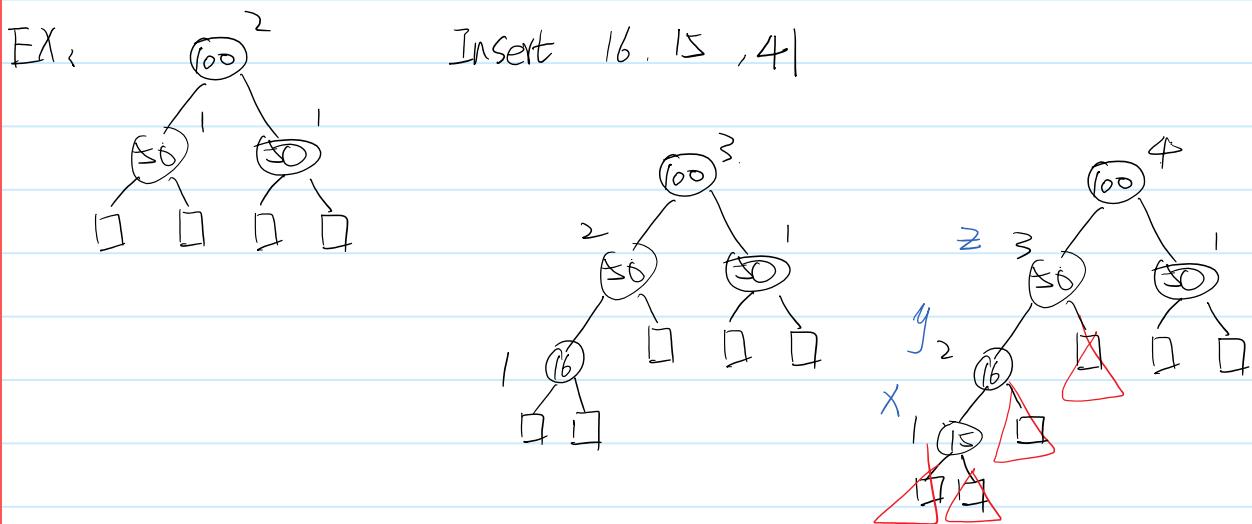
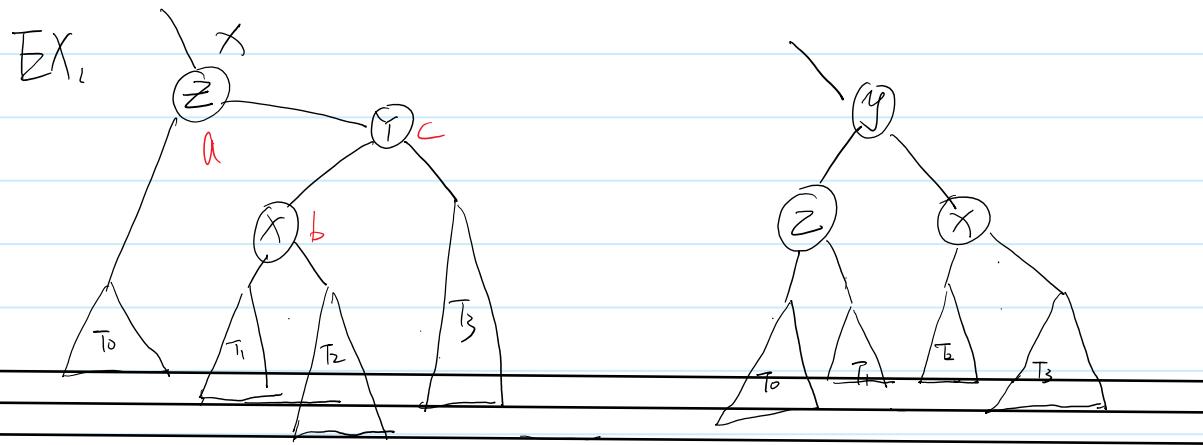
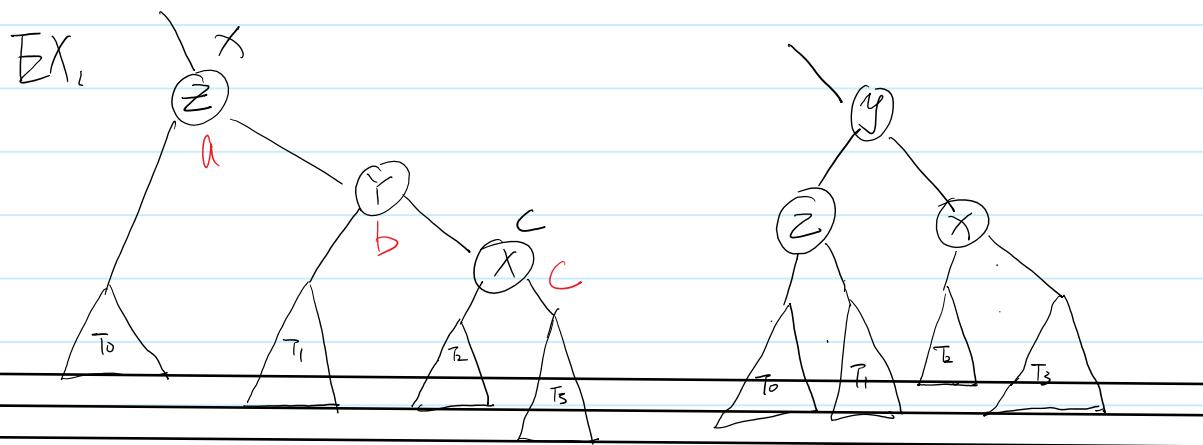
4 possible configurations

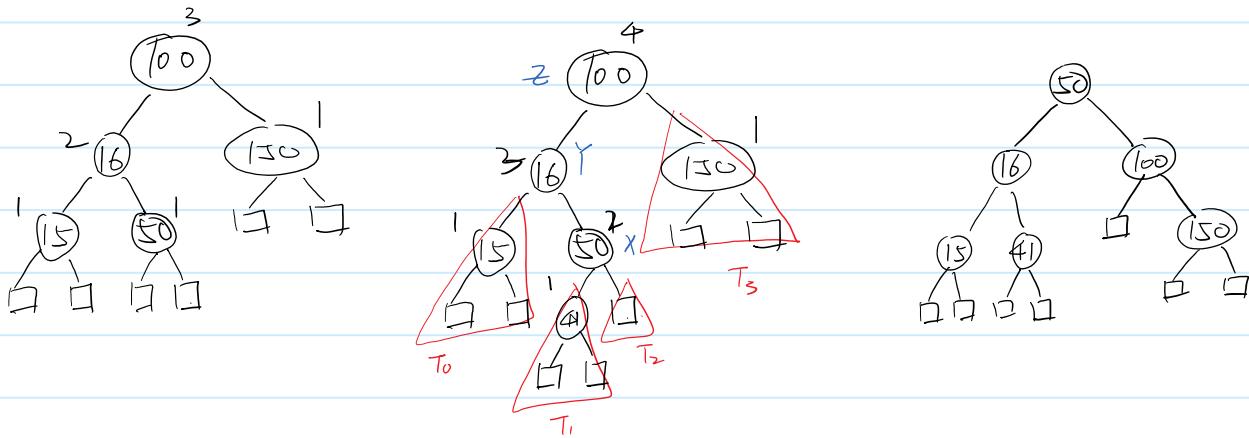


Rename, z, Y, X as a, b, c so that $\text{key}(a) \leq \text{key}(b) \leq \text{key}(c)$

FIX, replace node z by node b . Make b 's leftchild & b 's rightchild be c . Then







changing pointers.

$O(1)$ time !!!

Insert Item (k, e)

1. like a BST, find an external node w that contain (k, e)
2. Traverse the ancestral path from w to the root to update height fields & check for imbalance. $O(\log n)$
3. If an imbalance occurs at z , Fix problems via Rotation $O(1)$ update the height field of z, y, x $O(1)$

$\Rightarrow O(\log n)$ time !!

Remove Item (k)

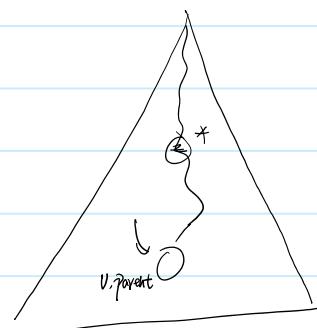
When removing an item from node w

- Replace with new item/new node like in a BST $O(\log n)$
- Suppose the replacement was from node v . $O(\log n)$

Starting with v 's parent up to the root,

- update height fields
- check for imbalances
- Fix using rotation !!! $O(\log n)$

continue until the root node !!!



let $z = \text{lowest ancestor of } v\text{ parent where imbalance occurred.}$

$y = \text{taller child of } z$

$x = \text{taller child of } y$

OR if tied, the child

one the "same side as y" should chosen.

$\Rightarrow O(\log n)$

SPLAY TREE

↳ mechanism : splaying ("move to the root operation")

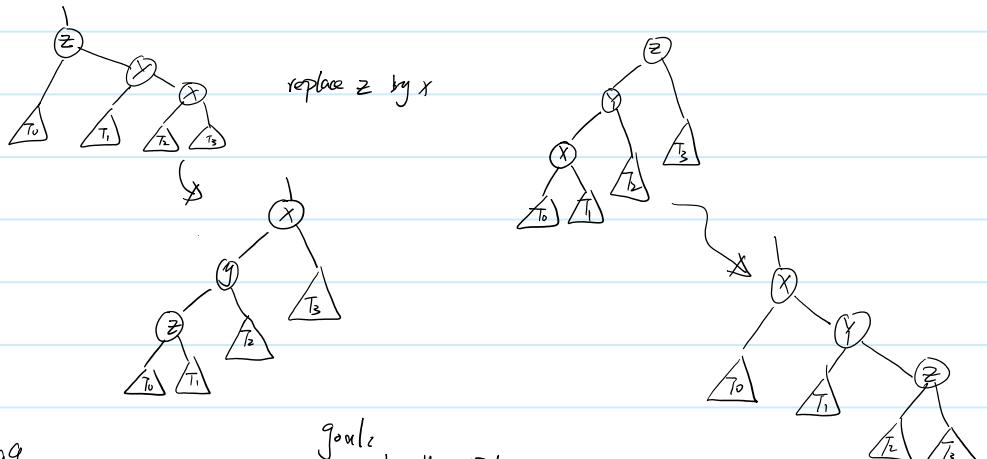
splay X — move X to the root ...

Restructuring :

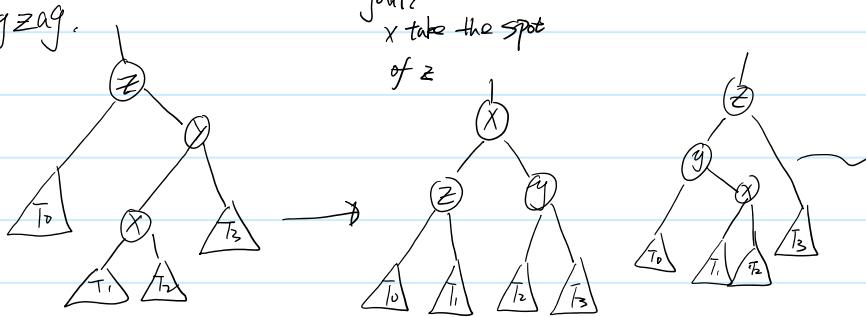
Let y be the parent of X

z be the grandparent of X .

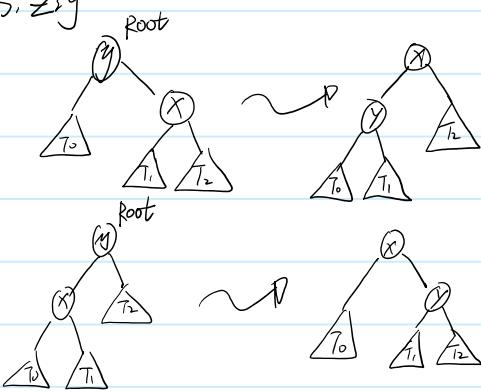
O(1) 1. zig zig



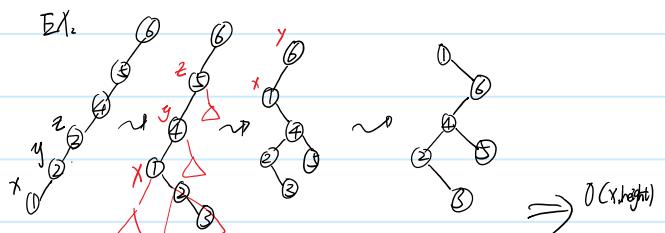
O(1) 2. zigzag.



O(1) 3. zig

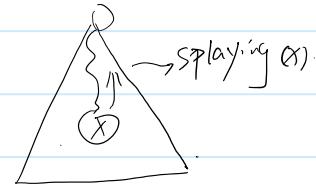


Splaying X consist of repeatedly applying the Restructuring until X is the root.



Splay Trees

- Are BSTs + restructuring

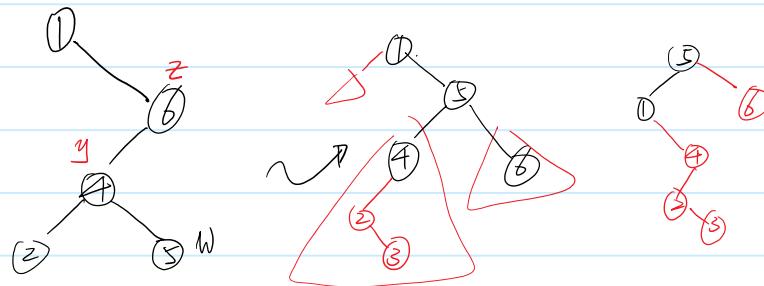


Restructuring

① zigzag

② zigzag

3, zig



splay (w)

When to splay?

(1) findElement (k)

w \leftarrow TreeSearch (k)

$O(\text{height})$

If w is an external node, splay w.

$O(\text{height})$

Else if w is an external node, splay the parent of w.

(2) InsertItem (k, e)

when inserting item at node w

$O(\text{height})$.

splay w

$O(h)$

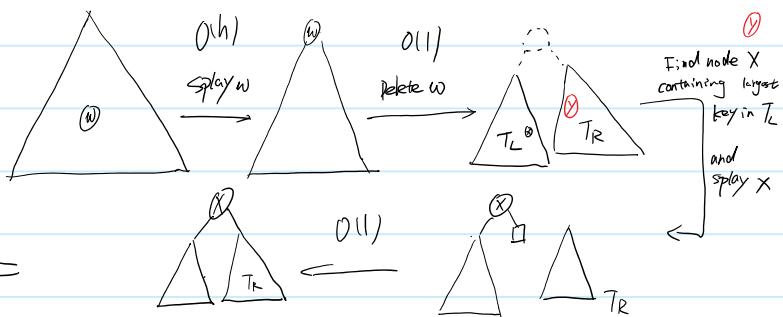
smallest key in T_L

(3) RemoveItem (k)

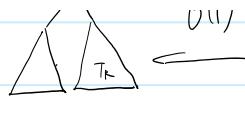
(slightly different from the book)

Suppose w is the node to remove.

$O(h) \leftarrow$



0\h) \Leftarrow



A hand-drawn diagram on lined paper. It features two triangles, one on the left labeled T_L and one on the right labeled T_R . Between the two triangles is a small square symbol, likely indicating they are similar or congruent.

Advantages.

- (1) Easy to implement
- (2) "self-adjusting" BST
- (3) Each operation takes $O(\log n)$ time on average.

THM,

Consider a sequence of n operations on a splay tree,
each a search, insertion or deletion, starting from an empty splay tree

Let n_i be the # of items on the tree after operation i ,

and let $n = \text{total } \# \text{ of insertions}$.

the total running time for performing the sequence of operation is

$$O\left(n + \sum_{i=1}^n \log n_i\right) = \underline{O(n \log n)}$$

$\hookrightarrow O(\log n) / \text{operation}$

on average.

Week7

2017年3月6日 15:58

Donald Knuth

SORTING

— HeapSort — $O(n \log n)$

n items

① BuildHeap with n numbers $O(n)$

Insert n numbers into a heap $O(n \log n)$

② Do n removeMin.. $O(n \log n)$

$\therefore O(n \log n)$

Bubble Sort
Insertion Sort
SelectionSort
 $O(n^2)$

Merge Sort

IDEA, Divide and conquer

Let's S be a list of n numbers.

Divide, partition S into two lists S_1 and S_2 of about the same size.

Conquer, Recursively sort S_1 and S_2 and merge to obtain the result.

Merge Sort (S)

If $S.size > 1$

$(S_1, S_2) \leftarrow \text{partition}(S)$ $O(S.size)$

$S_1 \leftarrow \text{MergeSort}(S_1)$

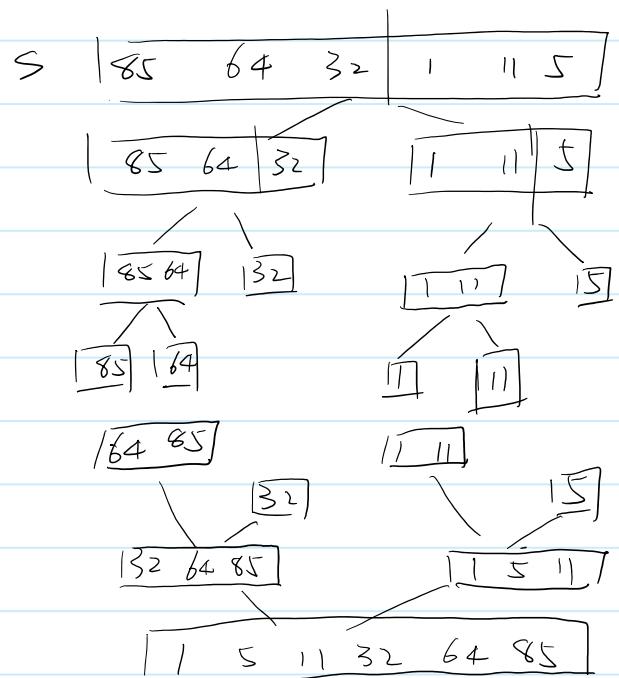
$S_2 \leftarrow \text{MergeSort}(S_2)$

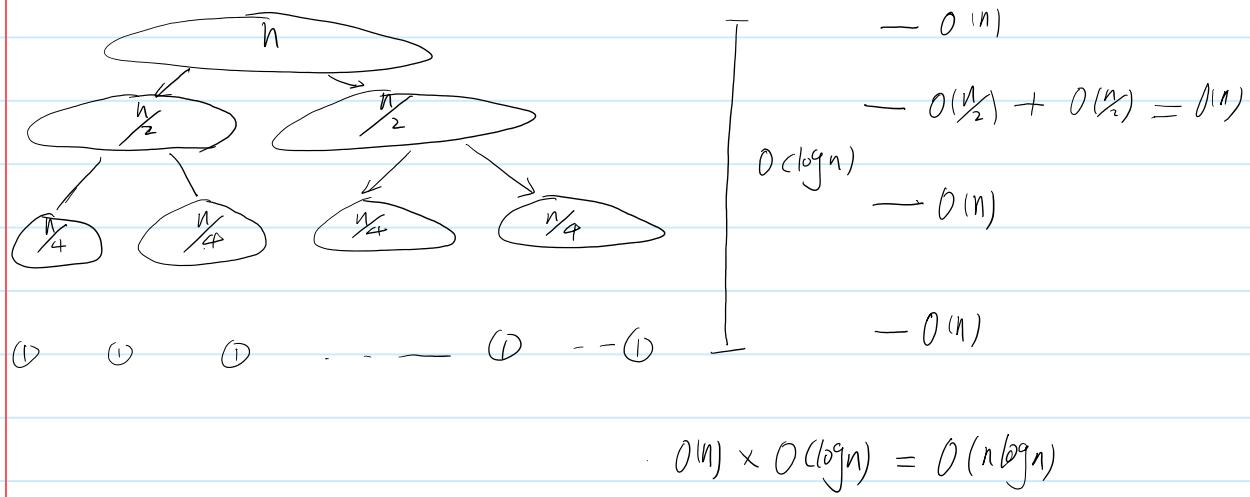
$S \leftarrow \text{Merge}(S_1, S_2)$ $O(S.size)$

end if

return (S)

No Recursive Call $O(S.size)$

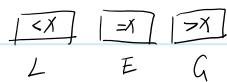


Running TimeQuickSort

Let S be a list of n numbers.

Divide: Pick a pivot element \underline{x} in S .

Partition S into 3 sets.



conquer: Recursively sort L & G and then

merge L , E , G .

QuickSort (S)

If $S.size > 1$

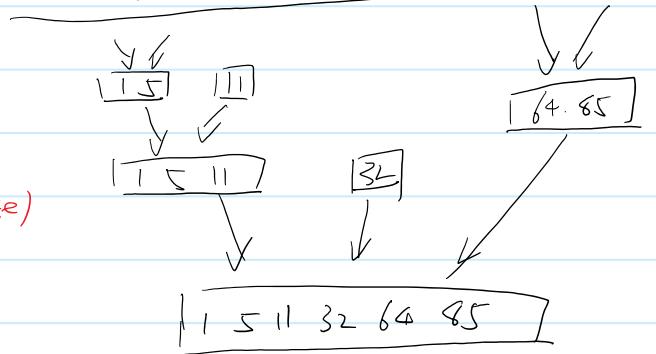
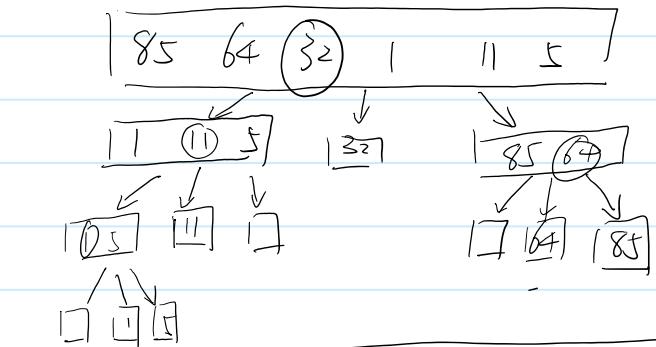
Pick a pivot element x

$(L, E, G) \leftarrow \text{partition}(S)$ $O(S.size)$

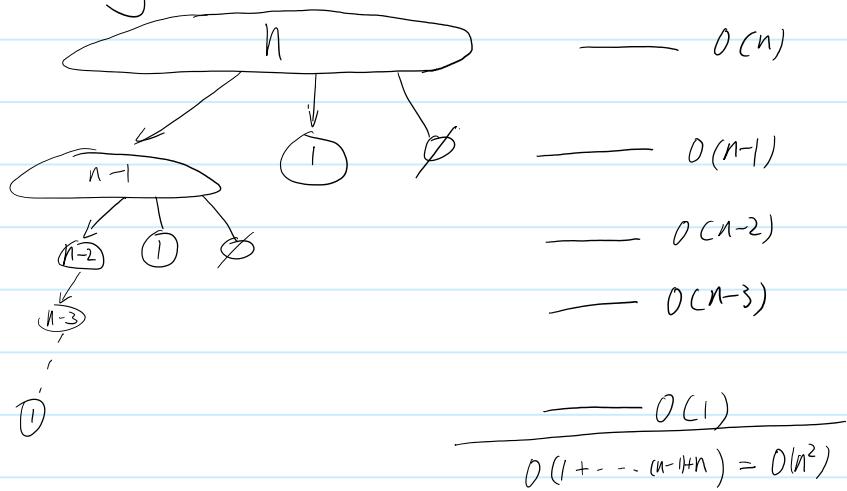
$L \leftarrow \text{QuickSort}(L)$

$G \leftarrow \text{QuickSort}(G)$

$S \leftarrow \text{Merge}(L, E, G)$. $O(1)$



Running Time



Randomized Quicksort

* Pick a pivot uniformly at random

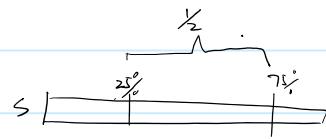
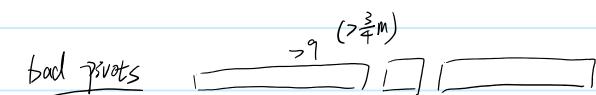
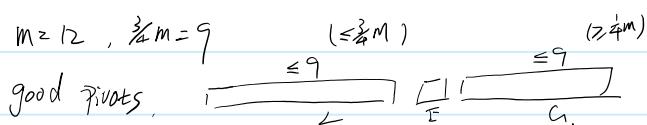
THM, The expected running time of Randomized Quicksort is $O(n \lg n)$
 on average

Proof Sketch,

In Quicksort(S), let $m = S.size$

We say that a pivot x is "good" if in the partition (L, E, G) $L.size \leq \frac{3}{4}m$ and $G.size \leq \frac{3}{4}m$
 "bad" if in (L, E, G) , $L.size$ or $G.size > \frac{3}{4}m$

Ex, $S = 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 2$



If Pivot X is chosen uniformly at random, $\text{Prob}(X \text{ is good pivot}) = \frac{1}{2}$

Randomized Quick Sort (S)

if $S.size > 1$

pick a pivot element s uniformly at random.

$(L, E, G) \leftarrow \text{Partition}(S, s)$

$L \leftarrow \text{RandomizedQuickSort}(L)$

$G \leftarrow \text{RandomizedQuickSort}(G)$

$S \leftarrow \text{Merge}(L, E, G)$

THM: The expected running time of Randomized QuickSort is $O(n \log n)$ time.

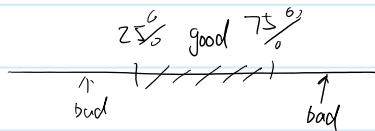
[Worst-case Running time $O(n^2)$]

adv

* implement in place

Proof sketch:

good pivot:



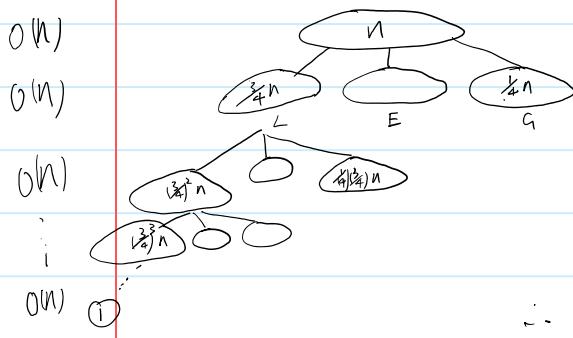
efficient in large size.

bad pivot:

$$P(\text{good pivot}) = \frac{1}{2}$$

$$P(\text{bad pivot}) = \frac{1}{2}$$

What if we're lucky we always choose good pivot?



$$\left(\frac{3}{4}\right)^h \cdot n \leq 1$$

$$n \leq \left(\frac{4}{3}\right)^h$$

$$\log_{\frac{4}{3}} n \leq h$$

$$h \sim \log_{\frac{4}{3}} n \approx O(\log n)$$

∴ running time is $O(n \log n)$

A Lower Bound on Comparison-Based Sorting Algs.

HeapSort $> O(n \log n)$

MergeSort

Rec QuickSort —

Can't be beaten if most basic operation involves comparison ($x > y$) ?

THM: the running time of any comparison-based sorting algorithm is $\Omega(n \log n)$

where n is the number of elements being sorted.

Proof sketch: When you ask k yes/no questions.

You get 2^k different answers.

(or you can distinguish between 2^k objects)

If there are n elements to sort, then there are $n!$ orderings to worry about.

To determine the right coding, the sorting algorithms will make k comparisons. St. $2^k \geq n!$

$$2^k \geq n!$$

$$k \geq \log n! = \log_2(n(n-1)(n-2) \dots 1) = \underbrace{\log_2 n + \log_2(n-1) + \dots + \log_2 1}_{\frac{n}{2} \text{ items}}$$

$$\geq \log_2 \frac{n}{2} + \log_2 \frac{n}{2} + \dots + \log_2 \frac{n}{2} \quad (\frac{n}{2} \text{ items})$$

$$k \geq \log_2 n! \geq \left(\frac{n}{2} \cdot \log_2 \frac{n}{2} \right)$$

is $\Omega(n \log n)$

\therefore # of comparison is $\Omega(n \log n)$

Bucket Sort

Input, n items $(k_1, e_1) \quad (k_2, e_2) \dots (k_n, e_n)$

↑
key
elements

keys are integers in the range $[0, n-1]$
not comparison-based.

GOAL: Sort the items based on keys !!

Steps.

$O(n)$ ← 0. Create buckets $B[0], B[1], \dots, B[n-1]$

$O(n)$ ← 1. Insert each item (k_i, e_i) into bucket $B[k_i]$

$O(n+N)$ ← 2. Let L be a empty list.

For $j = 0$ to $n-1$.

for each item in $B[j]$.

remove item from $B[j]$ and add to L

$O(n+N)$

$N = 10$

1

2

1

0

2 ← 0, 0, 0.

1 \emptyset

0

0 ← 0, 0, 0.

Buckets runs in $O(n+N)$

If $N = O(n)$ then.

it runs in $O(n)$ time.

Week9

2017年3月13日 16:04

Lower Bound on Comparison-Based

Starts at n items $\Omega(n \log n)$

Bucket Sort

- ① can only sort integer keys (n of them)
- ② all values n_i

running time

$O(N)$

$0 \leq n_i < N$

$O(n)$

Step 1. Create N buckets $B[0], B[1], \dots, B[N-1]$.

$O(nN)$

Step 2. for each item (k_i, e_i)

insert into $B[k_i]$

Bucket Sort runtime

$O(nN)$

Step 3. let L be an empty list

for each bucket $B[j]$, where $j \in [0, N)$

for each item (k_i, e_i) in $B[j]$

remove (k_i, e_i) from $B[j]$ and insert into L

append

An aside:

When the keys at the same items are equal, one of the desirable properties at sort is that its "stable"

DEF. An algorithm is stable iff for every two items (k_i, e_i) and (k_j, e_j) , whenever $k_i = k_j$, and $i < j$. then (k_i, e_i) precedes (k_j, e_j) in the output.

Ex: $(2, e_1), (1, e_2), (1, e_3), (1, e_4) \Leftarrow \text{Input}$

$(1, e_1), (1, e_3), (1, e_2), (2, e_1)$ not stable!

$(1, e_1), (1, e_3), (1, e_4), (2, e_1)$ stable

} Output

Bucket Sort can be made

stable if the bucket is queues

FIFO

Bradix Sort

lexicographically

Bucket Sort

- Sorting integer keys in $[0, N-1]$
- n keys $\Rightarrow O(n+N)$

Stable Sort

$(k_1, e_1), (k_2, e_2), \dots, (k_n, e_n)$

If $k_i = k_j$, then output should have (k_i, e_i) before (k_j, e_j) where $i < j$.

RADIX SORT

INPUT: ordered pairs of keys $(k_1, l_1), (k_2, l_2), \dots, (k_n, l_n)$

GOAL: Sorted these ordered pairs.

LD, lexicographic ordering (5.9)

i.e. $(k_i, l_i) < (k_j, l_j)$ if (1.8)

(i) $k_i < k_j$ (3.2)

(ii) $k_i = k_j$ but $l_i < l_j$ (1.8) (3.2) (5.9)

$(k_1, l_1), (k_2, l_2), \dots, (k_n, l_n)$

Step 1: Sort the ordered pairs by second component.

Step 2: Stable sort ordered pairs by first component.

Ex. (8.3) (4.5) (7.7) (4.6) (9.5) (3.2)

Step 1 (3.2) (8.3) (4.5) (9.5) (4.6) (7.7)

Step 2 (3.2) (4.5) (4.6) (7.7) (8.3) (9.5)

Proof of correctness.

Consider two ordered pairs (k_i, l_i) & (k_j, l_j)

st. $(k_i, l_i) < (k_j, l_j)$

Show Algorithm will output (k_i, l_i) first before (k_j, l_j) .

CASE 1. $k_i < k_j$

Step 1. Sort according to l_i & l_j .

Step 2. Since $k_i < k_j$,

algorithm will output (k_i, k_j)
before (k_j, l_j)

CASE 2. $k_i = k_j$ & $l_i < l_j$.

→ Step 1. Since $l_i < l_j$.

(k_i, l_i) will be ahead of (k_i, l_j)

— Step 2. Since $k_i = k_j$ & sorting is
stable, (k_i, l_i)

† Assumption. ALL k_i, l_i 's are integers and in the range $[0, N-1]$

→ Sorting using stable bucket sort.

→ Running Time, $O(n + N)$

SELECTION.

Given: n numbers x_1, x_2, \dots, x_n
 GOAL: Find the k^{th} smallest number.

} Sort n numbers $O(n \log n)$
 Find k^{th} smallest $O(n)$

Randomized Quickslect (S, k)

if $S.\text{size} > 1$

Pick a random pivot x from S

$(L, E, G) \leftarrow \text{partition}(S, X)$



if $L.\text{size} \geq k$

| return (Quickslect(L, k))

else

if $L.\text{size} + E.\text{size} \geq k$

| return x

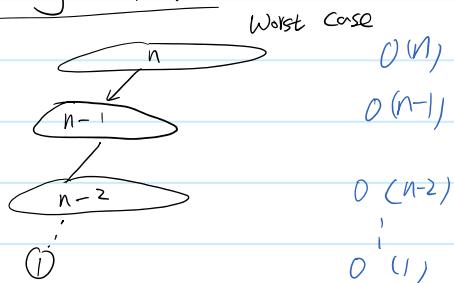
else

return (Quickslect($G, k - L.\text{size} - E.\text{size}$))

EX: 85, 24, 63, 45, 17, 31, 96, 50 $k=4$

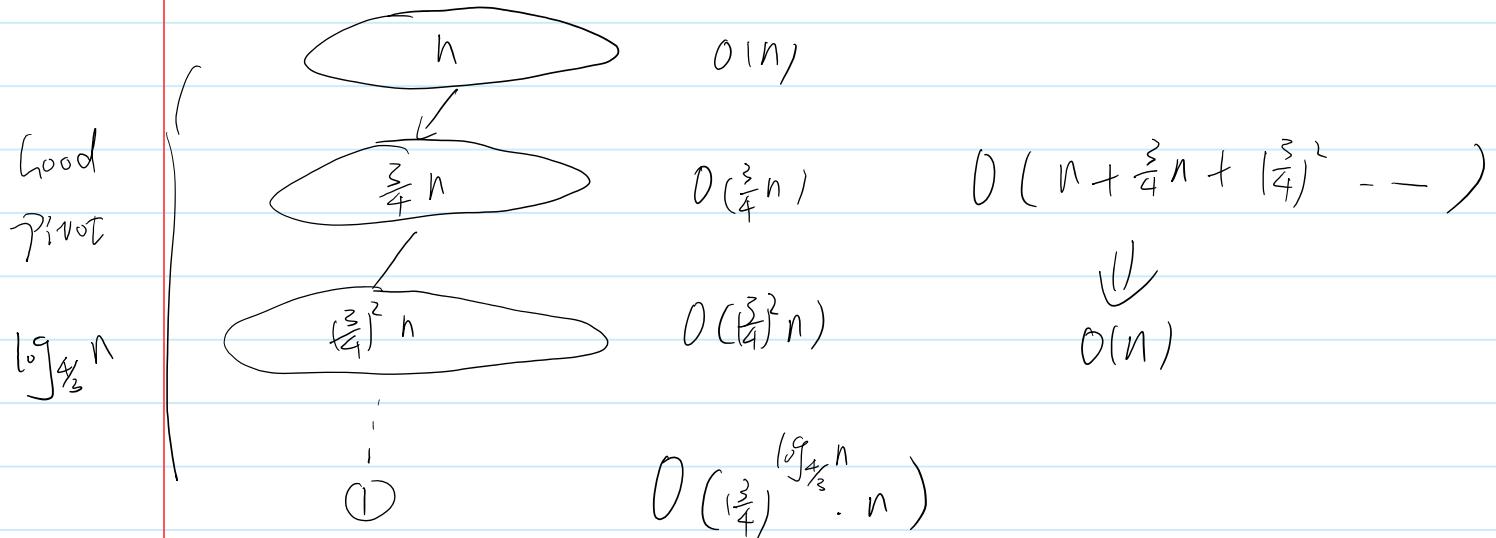


✓

Running Time:

$$\text{Total: } O(n+n-1+\dots+1) = O(n^2)$$

Good pivot vs. bad pivot.

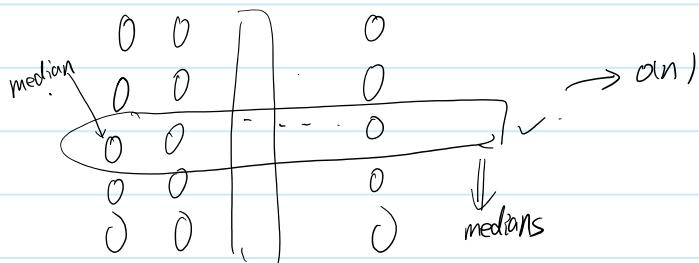


Expected running time is $O(n)$

Derandomization

S contains a set of numbers

pick a median of medians



Week 10

2017年4月3日 13:00

Chapter 5.

- Greedy method.
- Divide and conquer
- Dynamic programming

Divide and conquer

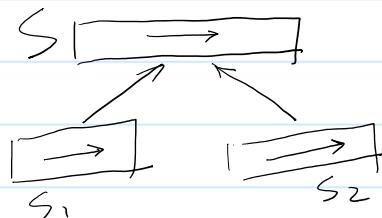
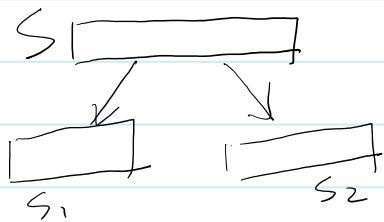
To solve a problem P

divide P into smaller problems that are like P .

- recursively solve smaller problems

- merge the solutions of smaller problems to form the solution of P

Classic example, Merge Sort



Runtime $O(n \log n)$

2 subproblems of size $\frac{S.size}{2}$ each

→ A com to S takes $O(S.size)$ time [“non-recursive part”]

Example : Finding a celebrity

There are n people in the room.

Goal : Find a celebrity exists.

DEF : A celebrity is a person P .

so that P doesn't know anyone in the room
but everyone else knows \underline{P}

To determine if a celebrity exists,

we can only ask questions of this type.

"person i , do you know person j ?"

Brute Force. $O(n^2)$

$n(n-1)$ questions will be asked.

Can we do better?

Divide & Conquer.

Name people : 1, 2, ..., n .

$n \times n$ matrix A is the "answer matrix"

$$A[i,j] = \begin{cases} 1 & \text{if person } i \text{ knows person } j \\ 0 & \text{otherwise} \end{cases}$$

CELEBRITY(M)

if $M.size = 1$
| return the only member in M .

else

$(M_1, M_2) \leftarrow \text{Partition}(M)$

$\text{ans}_1 \leftarrow \text{CELEBRITY}(M_1)$

$\text{ans}_2 \leftarrow \text{CELEBRITY}(M_2)$

if $\text{ans}_1 \neq \text{null}$

$a \leftarrow \text{ans}_1$

$\text{status} \leftarrow \text{"Yes"}$

for each member m in M_2

if $A[a, m] = 1 \text{ OR } A[m, a] = 0$

$\text{status} \leftarrow \text{"no"}$

end for

if $\text{status} = \text{"Yes"}$

endif return (a)

if $\text{ans}_2 \neq \text{null}$

$b \leftarrow \text{ans}_2$

$\text{status} \leftarrow \text{"Yes"}$

for each member m in M_1

if $A[b, m] = 1 \text{ OR } A[m, b] = 0$

$\text{status} \leftarrow \text{"no"}$

end for

if $\text{status} = \text{"Yes"}$

return (a)

endif

Return (null)

$O(M_2, size)$

$O(M_1, size)$

Running Time:

2 subproblems of size $\frac{n}{2}$ each.

Non-recursive work is

$O(\frac{n}{2} + \frac{n}{2}) = O(n)$

$\therefore O(n \log n)$

EX₂ Large Integer Multiplication

Input: two n-bit integers I & J

Output: $I \times J$

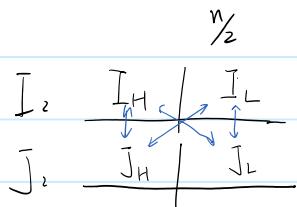
1101 n bits

 $\times 1010$ n bits

"usual" multiplication $O(n^2)$

Divide and conquer

Assume n is a power of 2 (Add 0's in front of I & J.)



$$I = I_H \cdot 2^{\frac{n}{2}} + I_L$$

$$J = J_H \cdot 2^{\frac{n}{2}} + J_L$$

$$I = 94/28 \quad I = I_H + I_L$$

$$I_H \quad I_L$$

$$I = J_H \cdot 10^2 + J_L$$

$$\begin{aligned} I \cdot J &= (I_H \cdot 2^{\frac{n}{2}} + I_L) \times (J_H \cdot 2^{\frac{n}{2}} + J_L) \\ &= 2^n \cdot I_H \cdot J_H + (I_L \cdot J_H + I_H \cdot J_L) 2^{\frac{n}{2}} + I_L \cdot J_L \end{aligned}$$

Multiplying (I, J)

 $n \leftarrow$ # of bits of I and J.if $n \geq 2$

```

O(n) {
    | (I_H, I_L) ← Partition(I)
    | (J_H, J_L) ← Partition(J)
    | a ← Multiply(I_H, J_H)
    | b ← Multiply(I_H, J_L)
    | c ← Multiply(I_L, J_H)
    | d ← Multiply(I_L, J_L)
}

```

$O(n) \leftarrow$ answer $\leftarrow a \cdot 2^n + (b+c) 2^{\frac{n}{2}} + d$
end if

Return (I \times J)

Running Time:

Let $T(n)$ = worst case running time of algorithm if I & J have n bits.

$$T(n) = 4 T\left(\frac{n}{2}\right) + O(n)$$

\swarrow
 \searrow

$\Rightarrow O(n^2)$

$$I \times J = 2^1 \cdot I_H \cdot J_H + \underbrace{(I_L \cdot J_H + I_H \cdot J_L)}_{\text{Sum.}} \cdot 2^2 + I_L \cdot J_L$$

$$(I_H - I_L)(J_H - J_L) = I_H \cdot J_H - [I_L \cdot J_H + I_H \cdot J_L] + I_L \cdot J_L$$

$$\boxed{I_L \cdot J_H + I_H \cdot J_L} = I_H \cdot J_H + I_L \cdot I_L - (I_H - I_L)(J_H - J_L)$$

a d

Multiply (I, J)

$n \leftarrow \# \text{ of bits of } I \text{ and } J.$

if $n \geq 2$

```

O(n) {
    | (I_H, I_L) ← Partition(I)
    | (J_H, J_L) ← Partition(J)
    | a ← Multiply(I_H, J_H)
    | e ← Multiply(I_H - I_L, J_H - J_L)
}

```

$d \leftarrow \text{Multiply}(I_L, J_L)$

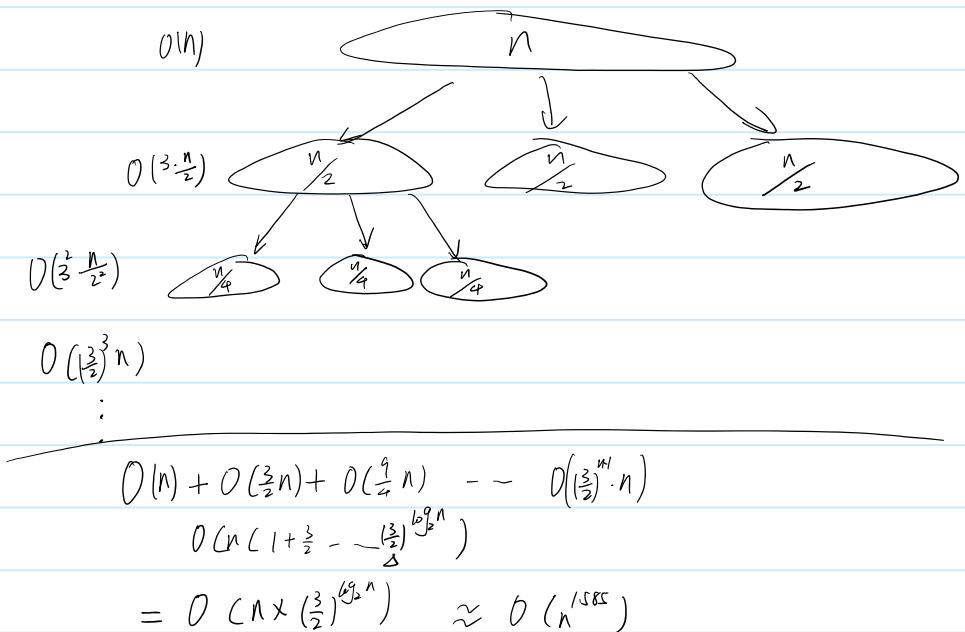
$O(n) \leftarrow$ answer $\leftarrow a \times 2^n + (a+d-e) \times 2^{n-1} + d$. $T(n) = 3T(\frac{n}{2}) + O(n)$

end if

Return ($I \times J$)

$$\begin{aligned}
 & \left(\frac{3}{2}\right)^{\log_2 n} \cdot n \\
 &= \frac{3}{2}^{\log_2 n} \times n \\
 &= \frac{3^{\log_2 n}}{2} \times n \\
 &= \frac{\log_3 n}{n} \cdot \approx n^{1.585}
 \end{aligned}$$

$$\alpha^{\frac{\log b}{k}} = b^{\frac{\log a}{k}}$$



LARGE INTEGER MULTIPLICATION.

$$\begin{array}{c} n \text{ bits} \\ \left\{ \begin{array}{ccc|c} I_1 & I_H & | & I_L \\ J_1 & J_H & | & J_L \end{array} \right. \end{array}$$

$$I = I_H \times 2^{\frac{n}{2}} + I_L$$

$$J = J_H \times 2^{\frac{n}{2}} + J_L$$

$$I \times J = ?$$

$\rightsquigarrow O(n^2)$

$$I \times J = I_H \times J_H \times 2^n + (I_H \cdot J_L + I_L \cdot J_H) \times 2^{\frac{n}{2}} + I_L \cdot J_L$$

$$T(n) = 4 T\left(\frac{n}{2}\right) + O(n)$$

$\rightsquigarrow O(n^2)$

recursion
tree

$$T(n) = 3 T\left(\frac{n}{2}\right) + O(n)$$

Matrix Multiplication

Input: Two $n \times n$ Matrices X & Y

Output: $X \cdot Y$

$$n \begin{bmatrix} & & & \\ & \times & & \end{bmatrix} n \begin{bmatrix} & & & \\ & \times & & \end{bmatrix} = n \begin{bmatrix} 0 & & & \\ & & & \end{bmatrix}$$

$O(n^2)$ entries \times $O(n)$ / entry
 $= O(n^3)$

Divide and conquer.

(Assume n is a power of 2)

$$\begin{array}{c|c} A & B \\ \hline C & D \end{array} \quad X$$

$$\begin{array}{c|c} E & F \\ \hline G & H \end{array} \quad F$$

$$\begin{array}{c|c} \begin{array}{c} A \\ + \\ B \\ \hline C \end{array} & \begin{array}{c} F \\ + \\ BH \end{array} \\ \hline \begin{array}{c} CE \\ - \\ BG \end{array} & \begin{array}{c} CF \\ - \\ DH \end{array} \end{array}$$

$$T(n) = 8 T\left(\frac{n}{2}\right) + O(n^2)$$

$T(n) \text{ is } O(n^3)$

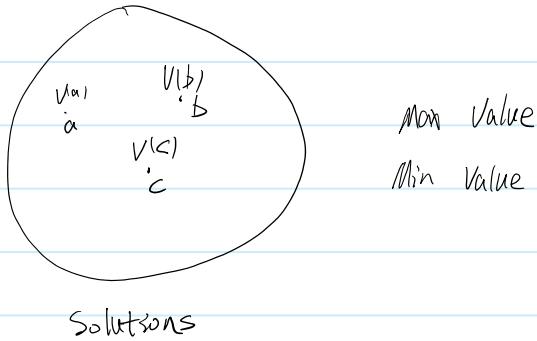
STRASSEN'S Alg. $\sim O(n^{1.87})$
(? in Book)

~ 2.858

$= U(n^-)$

GREEDY METHOD

- applicable mostly to optimization problems.

IDEA :

Build your solution by making a sequence of decisions.

where each decision seems to be the best one when it is made.

The Set Cover Problem

Input : A set S of elements $\{x_1, x_2, \dots, x_n\}$
A collection \mathcal{F} of subsets of S

$\mathcal{F} \subseteq \mathcal{P}$ covers S if every element of S

is in some set of \mathcal{F}

Ex. $S = \{1, 2, 3, 4, 5, 6, 7\}$

$\mathcal{F} = \{\{1, 2, 3, 4\}, \{1, 3, 5\}\}$

$\{2, 4, 6\} \quad \{5, 6, 7\}$

GOAL: find a smallest cover for S

A cover for S , $\{\{1, 2, 3, 4\}, \{3, 5, 6, 7\}\}$

$\{\{1, 3, 5, 7\}, \{2, 4, 6\}\}$
 $\{3, 5, 6, 7\}$

Greedy Set Cover (S, \mathcal{S})

$U \leftarrow S$

$B \leftarrow \emptyset$

while $U \neq \emptyset$

 | Pick $T \in \mathcal{S}$ st. T covers the number of elements in U .

 | Add T to B

 | $U \leftarrow U - T$

end while

Return (B)

$$S = \{1, 2, 3, 4, 5, 6, 7\}$$

$$\mathcal{S} = \left[\{1, 2, 3, 4\}, \{3, 4, 6\}, \{1, 2, 5\}, \{7\} \right]$$

GREEDY Soln = $\underbrace{\log n \times OPT}_{\approx}$

(NP complete problem)

A scheduling problem

Input: A set of n meeting times specified by their start and finish times

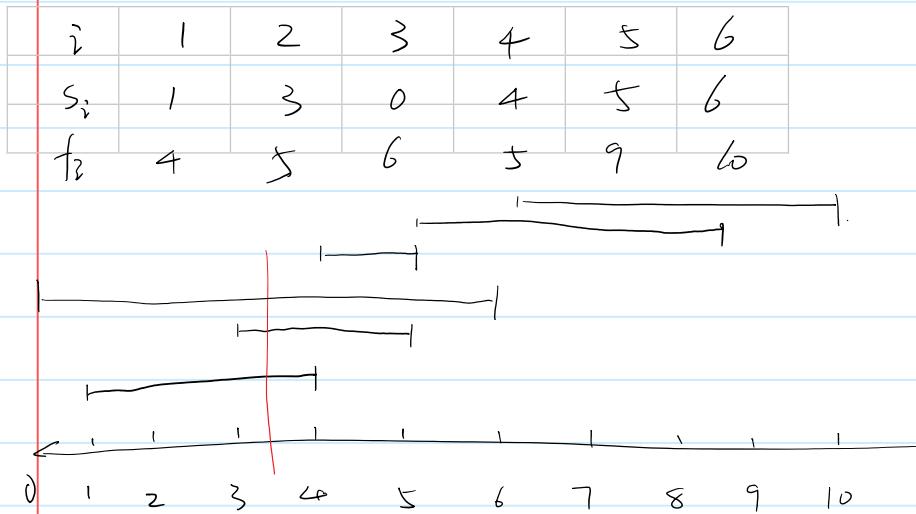
$$(s_1, f_1), (s_2, f_2) \dots, (s_n, f_n)$$

Each meeting has to be scheduled in a room.

Each room can only accommodate one meeting at a time.

GOAL: Schedule n meetings in as few rooms as possible.

Ex:



Greedy Scheduling $((s_1, f_1), (s_2, f_2) \dots, (s_n, f_n))$

Sort the meeting times based on Start times s.t.

$$\mathcal{O}(n \log n)$$

$$s_1 \leq s_2 \dots \leq s_n$$

$$m \leftarrow 0 \quad \mathcal{O}(1)$$

For $i = 1$ to n

If there is a room j that can accommodate meeting i .

Assign meeting i to room j .

else

$m \leftarrow m + 1$

Assign meeting i to room m .

End for

$$\mathcal{O}(n^2)$$

$$\mathcal{O}(n^2)$$

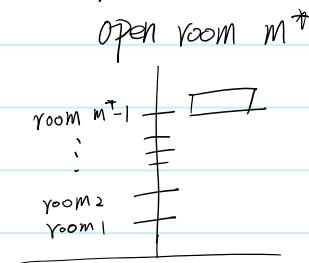
$$\mathcal{O}(n \log n)$$

use Heap

CLAIM, Greedy Scheduling will use the fewest number of rooms,

Proof, Let $m^* = \#$ of rooms used by the algorithm.

Why m^*



i.e., there is m^* meeting that

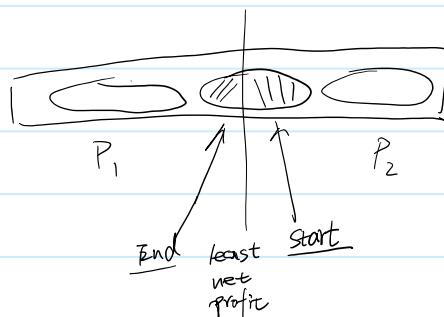
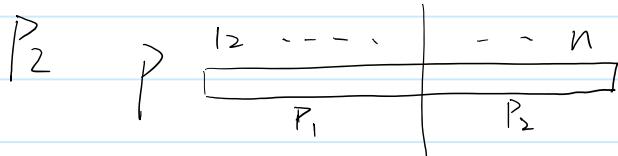
pairwise overlap at time t .

(Lower Bound Argument)

\Rightarrow At least m^* rooms had to be opened.

$$P_1 \quad M_1 > M_2 \times$$

$$\text{only } \underline{M_1 = M_2} \quad \checkmark$$



Compare which one is the least.

Week11

2017年4月10日 15:11

Greedy Methods

The knapsack problem.

Input: A set of n items, where item i has a benefit of b_i and a weight of w_i .
A knapsack whose weight capacity is W .

Goal: Find a subset of items whose total weight is at most W and whose total benefit is large as possible.

Resource allocation problem.

0/1 knapsack problem \times Greedy method doesn't work for 0/1

— item is in or out of the knapsack.

Fractional knapsack problem \checkmark

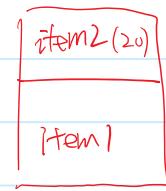
— allowed to use "fractions" of an item.

consider $\frac{b_i}{w_i}$ ratio of all items.

Add items starting with the item with the largest ratio

Ex.

i	1	2	3	
b_i	200	400	240	$W = 60$
w_i	40	80	60	
$\frac{b_i}{w_i}$	5	5	4	



$$\begin{aligned} \frac{20}{80} \times 400 &= 100 \\ + \\ 200 \\ &= 300 \end{aligned}$$

Greedy Fractional knapsack $((b_1, w_1), (b_2, w_2), \dots, (b_n, w_n), W)$

Sort the items based on their $\frac{b_i}{w_i}$ ratios. — $O(n \log n)$

Assume $\frac{b_1}{w_1} \geq \frac{b_2}{w_2} \dots \geq \frac{b_n}{w_n}$.

$i \leftarrow 1 \quad w \leftarrow 0$

for $i = 1 \text{ to } n$
 $x_i \leftarrow 0$
end for

$O(n)$

While $w < W$ and $i \leq n$

$x_i \leftarrow \min\{w_i, W - w\}$
 $w \leftarrow w + x_i$
 $i \leftarrow i + 1$

end while

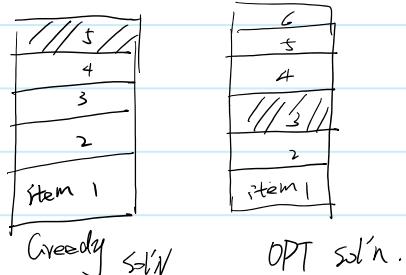
Return (x_1, x_2, \dots, x_n)

$\} O(n)$

\therefore running time $O(n \log n)$

CLAIM, Greedy knapsack chooses a set of items that maximize the total benefit.

Pf sketch,



Dynamic Programming

Recursions

— Counting problems.

Ex:

Suppose there is a staircase with n steps

You are allowed to go up 1 or 2 steps at a time.

[Q.]

How many different ways can you go from the bottom to the top of the staircase.

Ex 25



Let $S(n)$ = different ways a staircase with n steps can be climbed.

Recursive

$$S(n) = S(n-1) + S(n-2)$$

$$\begin{array}{r} \underline{\underline{-}} \\ \underline{\underline{=}} \\ \underline{\underline{1}} \end{array} \quad \begin{array}{r} \underline{\underline{=}} \\ \underline{\underline{2}} \\ \underline{\underline{2}} \end{array}$$

s_3 s_4

1, 2, 3, 5, 8, 13, ...

Base case

$$S(1) = 1$$

$$S(2) = 2$$

Fibonacci Sequence.

How do you compute $S(n)$?

Method 1

"Bottom-up" Approach



Staircase(n)

Create an array $S[1 \dots n]$

$$S[1] \leftarrow 1$$

$$S[2] \leftarrow 2$$

for $i = 3$ to n

$$S[i] \leftarrow S[i-1] + S[i-2]$$

end for

return ($S[n]$)

$\mathcal{O}(n)$

Method 2

"Top down Approach"

Staircase 2(n)

if $n=1$

Return 1.

if $n=2$

Return 2

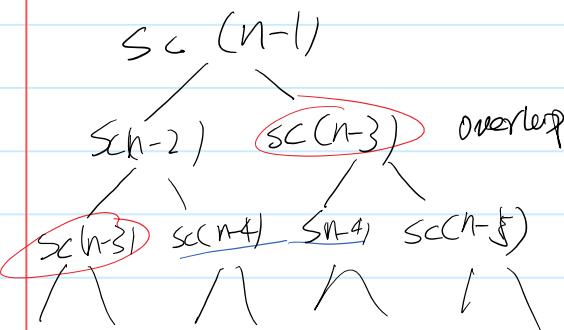
Return (Staircase 2($n-1$) + Staircase 2($n-2$)).

Fix, Memorization

Create a table

Exponential running time

overlap



(divide and conquer
recursion needs overlaps)

Dynamic Programming

— Optimization Problems.

Given a problem P

(i) find a recursive formula for solving optimal value.

(ii) State the base cases

(iii) Solve for the optimal value
using bottom-up approach.

P — finding shortest path from Milwaukee to Chicago

cost(path) = length of path

EX - 1.

Week	1	2	3	...	n	i	1	2	3	4
LS	l_1	l_2	l_3	--	l_n	l_i	10	1	60	60
HS	h_1	h_2	h_3	--	h_n	h_i	5	50	5	1

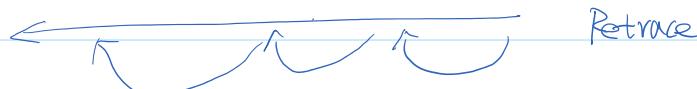
- HL LS LS

Max_i total revenue.

total revenue = 70

i	0	1	2	3	4
OPT[i]	0	10	50	60	70

Work[3] — LS HS LS LS



Schedule — — HS LS LS

Let $\text{OPT}[i]$ = value of the optimal plan if we consider only weeks 1 to i , for $i = 1 \dots n$.

GOAL: Solve for $\text{OPT}[n]$

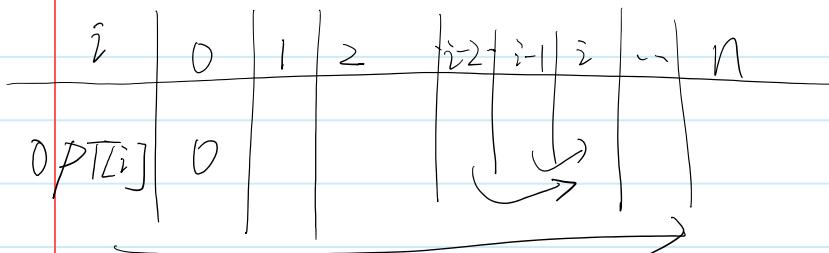
$$\text{OPT}[n] = \max \left\{ \begin{array}{l} h_n + \text{OPT}[n-2] \\ h_n + \text{OPT}[n-1] \end{array} \right\}$$

$$\text{OPT}[i] = \max \{ h_i + \text{OPT}[i-2], h_i + \text{OPT}[i-1] \} \quad i=2, \dots, n.$$

Base case

$$\text{OPT}[0] = 0$$

$$\text{OPT}[1] = \max \{ h_1, h_2 \}$$



size $O(n)$
running time / entry $O(1)$
 \downarrow
running time $O(n)$.

Find OPT Value ($h_1, h_2, \dots, h_n, h_1, h_2, \dots, h_n$)

Create an array $\text{OPT}[0 \dots n]$

$$\text{OPT}[0] \leftarrow 0$$

$$\text{OPT}[1] \leftarrow \max \{ h_1, h_2 \}$$

for $i = 2 \rightarrow n$

$$\text{OPT}[i] = \max \{ h_i + \text{OPT}[i-2], h_i + \text{OPT}[i-1] \}$$

end for

Return ($\text{OPT}[n]$)

EX 2

i	1	2	3	...	n
	N_1	N_2	N_3		N_n
	S_1	S_2	S_3		S_n

i	1	2	3	4
	N_1	1	3	20
	S_1	1	20	2

Moving cost -

$$\text{Total cost} = 1+3+2+4 = 20$$

Let $\text{OPT}[i] = \text{Value of the optimal plan from week } i \text{ to } i$:

$$\text{OPT}[n] = \min \begin{cases} N_n + \text{OPT}[n-1] + ? \\ S_n + \text{OPT}[n-1] + ? \end{cases}$$

 $\text{OPT}[i, NY]$ $\text{OPT}[i, SF]$

*

2017年4月10日 15:11

#2.

a. Brute force $O(n^3)$ or $O(n^2)$

b. least profit front Interval (i^*)

least profit End Interval (j^*)

c. Least profit Interval $[P_{[i \dots j]}]$

If $i = j$

 | Return $(P_{[i]}, [i, i])$

else

$(P_{[i \dots k]}, P_{[k+1 \dots j]}) \leftarrow \text{Partition}(P_{[i \dots j]})$

$(\text{ans}_1, [i_1, j_1]) \leftarrow \text{Least profit Interval } [P_{[i \dots k]}]$

$(\text{ans}_2, [i_2, j_2]) \leftarrow \text{Least profit Interval } [P_{[k+1 \dots j]}]$

$\text{ans} \leftarrow \begin{cases} (\text{ans}_3, [i_3, j_3]) & \leftarrow \text{Least profit End Interval } (P_{[i \dots k]}, k) \\ (\text{ans}_4, [i_4, j_4]) & \leftarrow \text{Least profit Front Interval } (P_{[k+1 \dots j]}, k+1) \\ \text{middle ans} & \leftarrow \text{ans}_3 + \text{ans}_4. \end{cases}$

If $\text{ans}_1 = \min(\text{ans}_1, \text{ans}_2, \text{middle ans})$

 | Return $\text{ans}_1,$

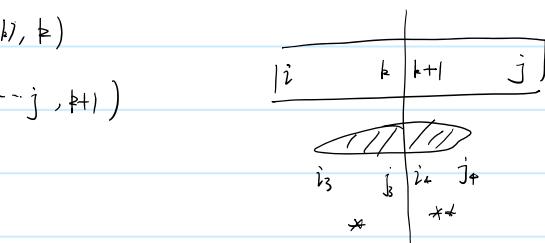
else

 if $\text{ans}_2 = \min(\text{ans}_1, \text{ans}_2, \text{middle ans})$

 | Return $\text{ans}_2, [i_2, j_2]$

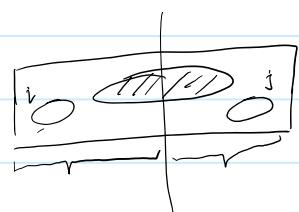
 else

 Return $(\text{middle ans}, [i_3, j_3])$



$O(n \log n)$

Dynamic Programming $O(n)$



Week12

2017年4月17日 16:20

Solving 0-1 knapsack problem

Input : n items : item 1, 2, ..., n

where item i has benefit b_i and weight w_i .

a knapsack has weight capacity W . $w = 1, 2, \dots, W$

Goal : choose a subset of the item st.

(i) total weight $\leq W$

(ii) total benefit is as large as possible.

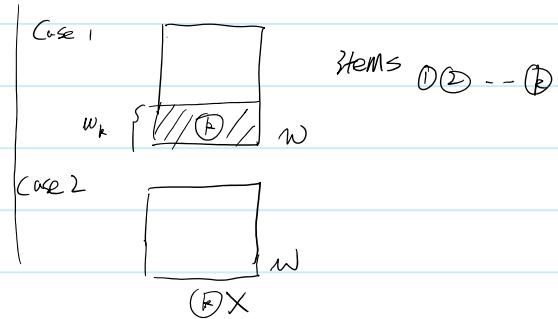
Assume

all w_i 's & W are positive integers \Rightarrow as indices

Define $B[k, w] = \max$ benefit that can be obtained from a subset of items from $1, 2, \dots, k$, using a knapsack of capacity w .

Solve, $B[n, W]$

Recursive formula, $B[k, w] = \begin{cases} b_k + B[k-1, w-w_k] \\ \max\{B[k-1, w]\} \end{cases} \text{ if } w_k \leq w \\ B[k-1, w], \text{ if } w_k > w$



$k \backslash w$	0	1	2	\dots	w	w
0	0	0	0	\dots	0	0
1	0					
2	0					
\vdots	$B[k-1, w-w_k]$	$B[k-1, w]$				
k						
n	0					

fill up by row by row fashion

nesting for-loop

Base case : $B[0, w] = 0$ for $w=0 \dots W$

$B[k, 0] = 0$ for $k=0 \dots n$

Running time, $O(n \cdot W)$ entries

\times

$O(1)$

$= O(n \cdot W)$

$O(n, W) \rightsquigarrow$ Pseudo polynomial.

↑
capacity
of
knapsack

NOT polynomial.

running time depends on W .

memory size = $\log_2 W$ bits.
space

\Rightarrow NP hard problem.

Matrix Chain Product

$$P \begin{bmatrix} g \\ \hline A_0 \end{bmatrix} \cdot Q \begin{bmatrix} r \\ \hline A_1 \end{bmatrix} = P \begin{bmatrix} O \\ \hline r \end{bmatrix}$$

multiplication: $P \times g \times r$

$P \times g$ $\underline{\underline{g \times r}}$ $P \times r$

Ex.

$$A = A_0 \times A_1 \times A_2$$

= $(A_0 \times A_1) \times A_2$ ① multiplications varies by ways

$$= A_0 \times (A_1 \times A_2)$$
 ②

A_0 , 10×100 matrix

A_1 , 100×5 matrix

A_2 , 5×50 matrix

$$(A_0 \times A_1) \times A_2$$

10×5 5×50
 \swarrow \searrow
 10×50

$10 \times 100 \times 5 = 5000$ $10 \times 5 \times 50 = 2500^4$

\swarrow \searrow
 7500 multiplication

$$\begin{array}{c} 100 \times 5 \quad 5 \times 50 \\ A_1 \times A_2 \quad \times \quad A_0 \\ \swarrow \quad \searrow \\ 100 \times 50 \quad 10 \times 100 \\ 10 \times 50 \end{array}$$

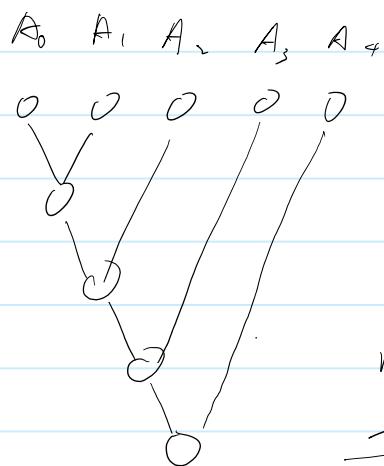
$$100 \times 5 \times 50 = 25,000 \quad 10 \times 50 \times 100 = 5,000$$

\swarrow \searrow
 $75,000$

$$\left[(A_0, A_1) \left((A_2, A_3), A_4 \right) \right]$$

n^{th}
Catalan
number

$$\approx (4^n / n^2)$$



Binary tree
with 5 external nodes.

n external nodes,

n^{th} catalan number

Exponential in $n \sim 16^n$

Given, Matrices $A_0, A_1, A_2, \dots, A_{n-1}$.

where A_i is a $\underline{d_i \times d_{i+1}}$ matrix for $i = 0 \dots n-1$

A_{i+1} is a $d_{i+1} \times d_{i+2}$ matrix

GOAL : Find a parenthesization that yields the fewest # of multiplications.

Solve for $\text{OPT}[0, n-1]$

Let $\text{OPT}[i, j] = \text{minimum } \# \text{ of multiplications by a parenthesization}$
of $A_i \times A_{i+1} \times \dots \times A_j$

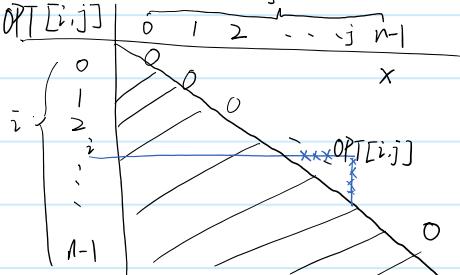
$$\text{OPT}[i, k] = (A_i, A_{i+1}, \dots, A_k)(A_{k+1}, \dots, A_j) \quad \text{OPT}[k+1, j]$$

$$d_i \times d_{k+1} \quad d_{k+1} \times d_j$$

$$\text{OPT}[i, j] = \min \{ d_i \times d_{k+1} \times d_{j+1} + \text{OPT}[i, k] + \text{OPT}[k+1, j], \quad i \leq k \leq j-1 \}$$

Base Case,

$$\text{OPT}[i, i] = 0 \quad \text{for } i = 0 \text{ to } n-1$$



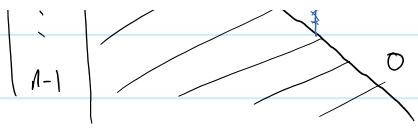
$A_i (A_{i+1}, \dots, A_j)$

$(A_i, A_{i+1}, \dots, A_j)$

$(A_i, \dots, A_{i+1}) A_j$

entry per entry
Running Time $O(n^2) \times O(n)$
 $= O(n^3)$

fill table in a diagonal fashion
(n^2)



fill table in a diagonal fashion

HW2

$= O(n^3)$

Hw9

2017年4月17日 14:15

Hw9.

2. $P = [100, -250, 100, -300, 500]$

$\text{WorstVal}[k] = \text{total net profit of worst interval that ends in } k$



recursive formula.

$O(n)$

3.

Staircase google interview two-dimension

11.2

2017年4月17日 14:15

- Now consider jobs in weeks 3 and 4. The value of the plan is $0 + 50 + 10 + 10 = 70$.
- Suppose you're running a consulting business. Each month, you can either run your business from an office in New York (NY) or from San Francisco (SF). In month i , you'll incur an *operating cost* of N_i if your run the business out of NY; you'll incur an operating cost of S_i if you run it out of SF. However, if you run the business out of one city in month i , and then out of the other city in month $i+1$, you'll incur a fixed *moving cost* of M to switch base offices.

Given a sequence of n months, a *plan* is a sequence of n locations – each equal to either NY or SF – such that the i th location indicates the city in which you will be based on the i th month. The *cost* of a plan is the sum of the operating costs for each

of the n months, plus a moving cost of M for each time you switch cities. The plan can begin in either city.

The problem. Given a value for the moving cost M , and sequences of operating costs N_1, N_2, \dots, N_n and S_1, S_2, \dots, S_n , find a plan of minimum cost. (Such a plan will be called *optimal*.)

Example. Suppose $n = 4$, $M = 10$, and the operating costs are given by the following table:

	Month 1	Month 2	Month 3	Month 4
NY	1	3	20	30
SF	50	20	2	4

Then the plan of minimum cost would be the sequence of locations NY, NY, SF, SF with a total cost of $1 + 3 = 2 + 4 + 10 = 20$, where the final term 10 arises because you change locations once.

EX 2

i	1	2	3	...	n
N_i	N_1	N_2	N_3		N_n
S_i	S_1	S_2	S_3		S_n

Moving cost
 M

i	1	2	3	4
N_i	1	3	20	30
S_i	50	20	2	4

$$\text{Total cost} = 1 + 3 + 2 + 4 = 20$$

i	1	2	3	...	$i-1$	i	n
$\text{OPT}[i, \text{NY}]$	N_1						
$\text{OPT}[i, \text{SF}]$		S_1					

Goal: Find optimal schedule.

Let $\text{OPT}[i, \text{NY}]$ = value of the optimal plan up

to week i whose last location is NY

Let $\text{OPT}[i, \text{SF}]$ = value of the optimal plan up

to week i whose last location is SF

$$\text{OPT}[i, \text{NY}] = N_i + \text{OPT}[i-1, \text{NY}]$$

$$\min \left\{ N_i + \text{OPT}[i-1, \text{SF}] + M \right\} \quad \text{for } i=2 \dots n$$

Base Case

$$\text{OPT}[1, \text{NY}] = N_1$$

$$\text{OPT}[1, \text{SF}] = S_1$$

$$\text{OPT}[i, \text{SF}] = S_i + \text{OPT}[i-1, \text{SF}]$$

$$\min \left\{ S_i + \text{OPT}[i-1, \text{NY}] + M \right\} \quad \text{for } i=2 \dots n$$

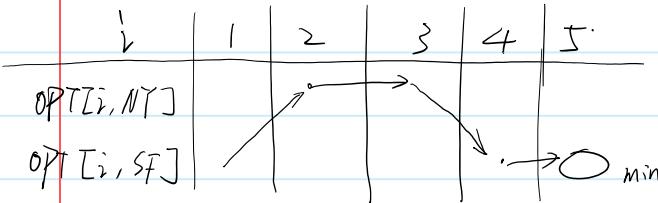
Record

$\text{PREV}[i, \text{NY}] = \text{city}$ that give you the smaller answer. for $\text{OPT}[i, \text{NY}]$

$\text{PREV}[i, \text{SF}] =$

11.3

2017年4月17日 16:19



record

$PREV[i, NY] =$ city that give you
the smaller answer for $OPT[i, NY]$

$PREV[i, SF] =$

2n entries
 $O(1)$ per entry

Running Time, $O(n)$

1. [3 pts]

a. [2 pts]

If ith city is NY:

$$OPT(i, NY) = \min \begin{cases} OPT(i-1, NY) + N_i, prev(i, NY) = NY & \text{if (i-1)th city is NY} \\ OPT(i-1, SF) + M + N_i, prev(i, NY) = SF & \text{if (i-1)th city is SF} \end{cases}$$

If ith city is SF:

$$OPT(i, SF) = \min \begin{cases} OPT(i-1, NY) + M + S_i, prev(i, SF) = NY & \text{if (i-1)th city is NY} \\ OPT(i-1, SF) + S_i, prev(i, SF) = SF & \text{if (i-1)th city is SF} \end{cases}$$

The optimal cost is $\min\{OPT(n, NY), OPT(n, SF)\}$.

OptimalPlan(N, S, n, M)

$$OPT_{NY}[0] = OPT_{SF}[0] = 0$$

for $i = 1$ to n do

if $OPT_{NY}[i-1] \leq OPT_{SF}[i-1] + M$ then

/* if (i-1)th city is NY and ith city is NY */

$$OPT_{NY}[i] \leftarrow OPT_{NY}[i-1] + N_i$$

$$PREV_{NY}[i] \leftarrow NY$$

else

/* if (i-1)th city is SF and ith city is NY */

$$OPT_{NY}[i] \leftarrow OPT_{SF}[i-1] + M + N_i$$

$$PREV_{NY}[i] \leftarrow SF$$

end if

if $OPT_{SF}[i-1] \leq OPT_{NY}[i-1] + M$ then

/* if (i-1)th city is SF and ith city is SF */

$$OPT_{SF}[i] \leftarrow OPT_{SF}[i-1] + S_i$$

$$PREV_{SF}[i] \leftarrow SF$$

else

/* if (i-1)th city is NY and ith city is SF */

$$OPT_{SF}[i] \leftarrow OPT_{NY}[i-1] + M + S_i$$

$$PREV_{SF}[i] \leftarrow NY$$

end if

end for

if $OPT_{NY}[n] < OPT_{SF}[n]$ then

$$optimalCost \leftarrow OPT_{NY}[n]$$

$$optimalPlan[n] \leftarrow NY$$

else

$$optimalCost \leftarrow OPT_{SF}[n]$$

$$optimalPlan[n] \leftarrow SF$$

end if

for $i = n$ to 2 do

if $optimalPlan[i] = NY$ then

$$optimalPlan[i-1] \leftarrow PREV_{NY}[i]$$

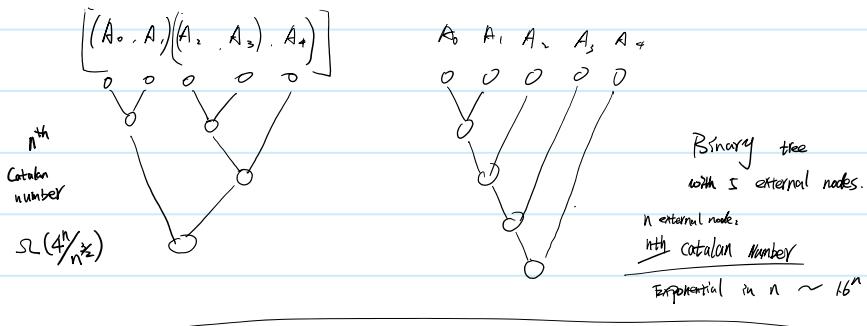
else if $optimalPlan[i] = SF$ then

$$optimalPlan[i-1] \leftarrow PREV_{SF}[i]$$

end if

end for

return $optimalCost, optimalPlan$



Given, Matrices $A_0, A_1, A_2 \dots A_{n-1}$.

where A_i is a $d_i \times d_{i+1}$ matrix for $i=0 \dots n-1$

A_{i+1} is a $d_{i+1} \times d_{i+2}$ matrix

GOAL: Find a parenthesization that yields the fewest # of multiplications.

Solve for $\text{OPT}[0, n-1]$

Let $\text{OPT}[i, j] = \text{minimum } \# \text{ of multiplications by a parenthesization}$
of $A_i \times A_{i+1} \times \dots \times A_j$

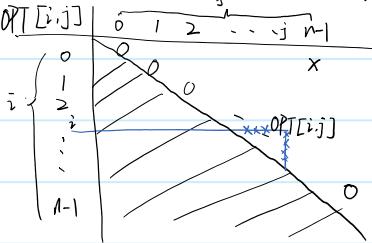
$$\text{OPT}[i, k] = (A_i A_{i+1} \dots A_k) (A_{k+1} \dots A_j) \quad \text{OPT}[k+1, j]$$

$$d_i \times d_{k+1} \quad d_{k+1} \times d_{j+1}$$

$$\text{OPT}[i, j] = \min \{ d_i \times d_{k+1} \times d_{j+1} + \text{OPT}[i, k] + \text{OPT}[k+1, j] \quad , \quad i \leq k \leq j-1 \}$$

Base Case:

$$\text{OPT}[i, i] = 0 \quad \text{for } i=0 \text{ to } n-1$$



$A_i (A_{i+1} \dots A_j)$

$(A_i : A_{i+1} \dots)$

$(A_i \dots A_{i+1}) A_j$

empty parenthesis

Running Time $O(n^2) \times O(n)$

$= O(n^3)$

Algorithm MatrixChain(d_0, \dots, d_n):

Input: Sequence d_0, \dots, d_n of integers

Output: For $i, j = 0, \dots, n-1$, the minimum number of multiplications $N_{i,j}$ needed to compute the product $A_i \cdot A_{i+1} \cdots A_j$, where A_k is a $d_k \times d_{k+1}$ matrix

for $i \leftarrow 0$ to $n-1$ do

$N_{i,i} \leftarrow 0$

for $b \leftarrow 1$ to $n-1$ do

 for $i \leftarrow 0$ to $n-b-1$ do

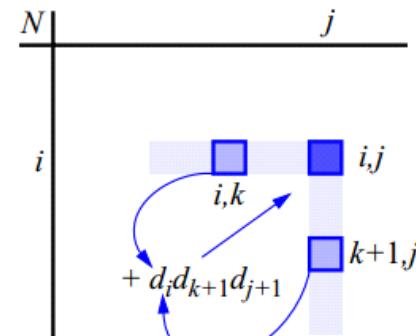
$j \leftarrow i+b$

$N_{i,j} \leftarrow +\infty$

 for $k \leftarrow i$ to $j-1$ do

$N_{i,j} \leftarrow \min\{N_{i,j}, N_{i,k} + N_{k+1,j} + d_i d_{k+1} d_{j+1}\}$.

Algorithm 5.5: Dynamic programming algorithm for the matrix chain-product problem.



GRAPHS

DEF: A graph is a pair (V, E) where

V is a set of vertices/nodes

E is a collection of pairs of vertices called edges

edge can be directed or undirected.

- directed edge $\textcircled{1} \rightarrow \textcircled{2}$

- undirected edge $\textcircled{1} - \textcircled{2}$

Directed graph

— all edges are directed

Undirected graph

— all edges are undirected

Modeling Problems

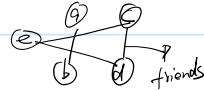
Object

— Relationship between object .)

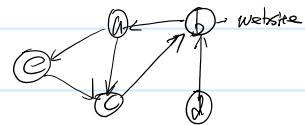
— symmetric — Friendship Graphs

— asymmetric

6 degrees of separation



Web Graph

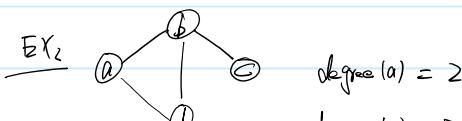


In graph algorithms, two parameters show up in the running time.

$$n = |V|, m = |E|$$

If input is $G: (V, E)$, the size of G is $O(n+m)$

Degrees / Indegrees / Outdegrees



What's the min & max value of $m = |E|$?

undirected graphs,

$$0 \leq m \leq \frac{n(n-1)}{2} = \binom{n}{2} = (n, 2)$$

m is $O(n^2)$

$$|V| = n,$$

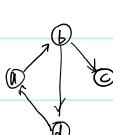
$$0 \leq \deg(v) \leq n-1$$

Directed graphs.

$$0 \leq m \leq n(n-1)$$

m is $O(n^2)$

EX:



THM, (i) When $G \in (V, E)$ is an undirected graph,

$$\sum_{v \in V} \deg(v) = 2|E| = 2m$$

(ii) When $G \in (V, E)$ is a directed graph.

$$\sum_{v \in V} \text{indegree}(v) = \sum_{v \in V} \text{outdegree}(v) = |E| = m.$$

$$\text{Indegree}(c) = 1$$

$$\text{outdegree}(c) = 0$$

$$|V| = n, \quad 0 \leq \text{indegree}(v) \leq n-1$$

$$0 \leq \text{outdegree}(v) \leq n-1$$

Sparse graph

$$M = O(n)$$

Dense graphs

$$M = \Omega(n^2)$$

The Graph ADT

$G = (V, E)$ V and E are stored in an container.
 $O(n+m)$
 usually a linked list.

Some of the information we need.

incident edges (v)

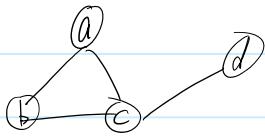
are adjacent (u, v)

insert vertex (v)

remove vertex (v)

TWO Implementations.

1. Adjacency List structure



$$I(a) = \{ab, ac\}$$

$$I(b) = \{ab, bc\}$$

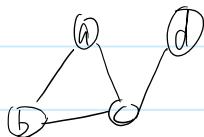
$$I(c) = \{ac, bc, cd\}$$

$$I(d) = \{cd\}$$

SPACE :

$$O\left(\sum_{v \in V} \deg(v) = O(m)\right) \Rightarrow \begin{matrix} \text{less space} \\ \text{used in practice} \end{matrix}$$

2. Adjacency Matrix Structure



	a	b	c	d
a	0	1	1	0
b	1	0	1	0
c	1	1	0	1
d	0	0	1	0

too much for sparse graphs.

Space, $O(n^2)$

	Adjacency List	Adjacency Matrix
incident edges (v)	$O(\deg(v))$	$O(n)$
are adjacent (u, v)	$O(\min\{\deg(u), \deg(v)\})$	$O(1)$
insert vertex (v)	$O(1)$	$O(n)$
remove vertex (v)	$O(\deg(v))$	$O(n)$

note

2017年4月17日 14:15

1. Suppose you're managing a team of expert computer hackers, and each week you have to choose a job for them to undertake. Now, as you can well imagine, the set of possible jobs is divided into those that are *low-stress* (e.g., setting up a website for a class at a local grade school) and those that are *high stress* (e.g., protecting the nation's most valuable secrets, or helping a desperate group of students finish a project that has something to do with compilers). The basic question, each week, is whether to take on a low-stress job or a high-stress job.

If you select a low-stress job for your team in week i , then you get a revenue of $l_i > 0$ dollars; if you select a high-stress job, you get a revenue of $h_i > 0$ dollars. The catch, however, is that in order for the team to take on a high-stress job in week i , it's required that they do no job (of either type) in week $i - 1$; they need a full week of prep time to get ready for the crushing stress level. On the other hand, it's okay for them to take a low-stress job in week i even if they have done a job (of either type) in week $i - 1$.

Given a sequence of n weeks, a *plan* is specified by a choice of "low-stress", "high-stress" or "none" for each of the n weeks, with the property that if "high-stress" is chosen for week $i > 1$, then "none" has to be chosen for week $i - 1$. (It's okay to choose a high-stress job in week 1.) The *value* of the plan is determined by summing up the revenues of the n weeks.

The problem. Given sets of values l_1, l_2, \dots, l_n and h_1, h_2, \dots, h_n , find a plan of maximum value. (Such a plan will be called *optimal*.)

Example. Suppose $n = 4$, and the values of l_i and h_i are given by the following table:

	Month 1	Month 2	Month 3	Month 4
l	10	1	10	10
h	5	50	5	1

An optimal plan would be to choose "none" in week 1, a high-stress job in week 2, and low-stress jobs in weeks 3 and 4. The value of the plan is $0 + 50 + 10 + 10 = 70$.

Week13

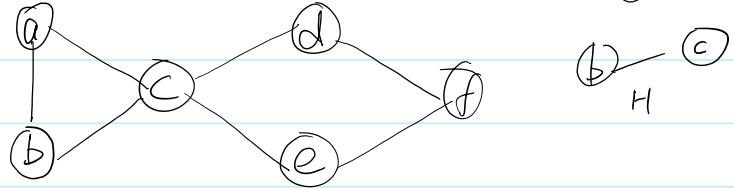
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GRAPH TRAVERSALS

Some terminologies:

{
Walk
Path
Cycle



a, b, c, d, e, f, e, c is a walk of length 6
(node c, walks twice) but not a path

a, b, c, d, f, e is a path of length 5
a, b, c, a is a cycle of length 3

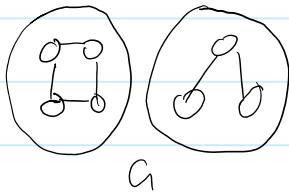
Given $G = (V, E)$, a subgraph of G .

is another graph $H = (V', E')$

s.t.

$$V' \subseteq V, E' \subseteq E.$$

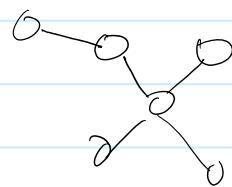
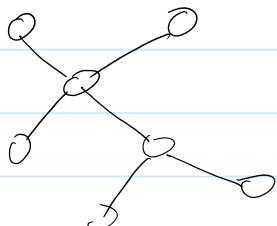
G is connected if for any two nodes u & v
there is a path from u to v .



A connected component of G is a maximal connected subgraph of G .

Trees -

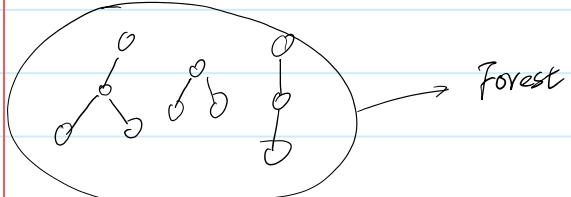
a connected graph with no cycles.



n nodes $\rightsquigarrow n-1$ edges

Forests

- A forest is a graph with no cycles.



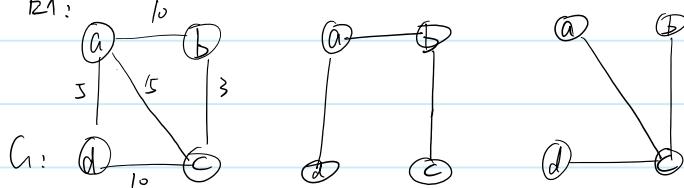
Given a graph $G = (V, E)$, $T = (V', E')$ is a spanning tree of G iff

(i) T is a subgraph of G .

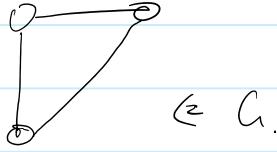
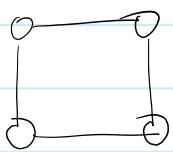
(ii) $V' = V$

(iii) T is a tree

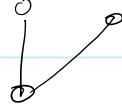
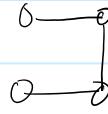
Ex:



A spanning forest of G



Spanning
forest
of G .



Depth first Search (DFS)

IDEA,

Start at an arbitrary vertex v , and go as deep as you can, discovering new vertices until you can't. Back up!

Nodes: — explored or unexplored. (tables)

Edges: — unexplored, discovery, back edges.

Initially, all nodes & edges are unexpected!!

DFS (G, v)

0(1) Label v as explored.

adjacency list

For each edge $e = vw$ incident to v .

if e is unexplored.

if w is unexplored.

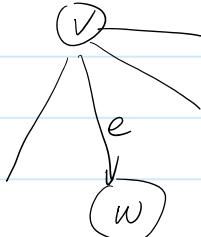
label e as a discovery edge.

$\text{Pred}[w] = v$.

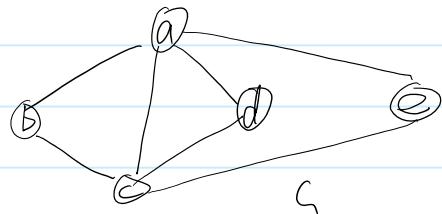
$\text{DFS}[G, w]$

else

label e as a backedge.



EX:



a. ab, ac, ad, bc

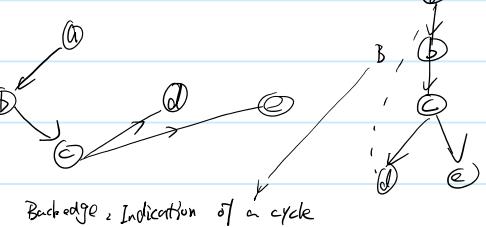
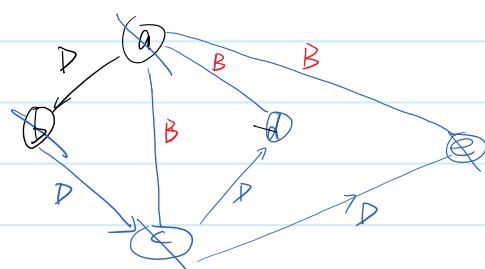
b. ba, bc

c. ca, cb, cd, ce

d. da, dc

e. ea, ec

DFS (G, u)



Backedge: Indication of a cycle

THM. (i) $\text{DFS}(G, v)$ visit all vertices and edges in the connected component of v .

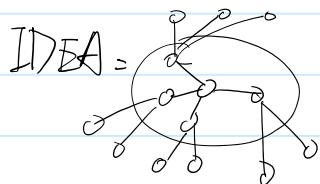
(ii) The discovery edges labeled by $\text{DFS}(G, v)$ form a spanning tree of the connected component of v .

RUNNING TIME

$$O\left(\sum_{v \in V} (1 + \deg(v))\right)$$

$$= O(n + m)$$

Breadth First Search (BFS)



$\text{BFS}(G, v)$

Iterator

Labels,

Nodes (unexplored, explored)

Edges (unexplored, discovery, cross)

Create a List L_0 that contains v .

$i \leftarrow 0$

while L_i is not empty

 Create an empty list L_{i+1}

 for each vertex in L_i

 Label w as explored

 for each edge $e = vw$ incident to w

 if e is unexplored

 if x is unexplored

 add x to L_{i+1} , label e as a discovery edge

 else

 label e as a cross edge.

 end if

 end for

 end for

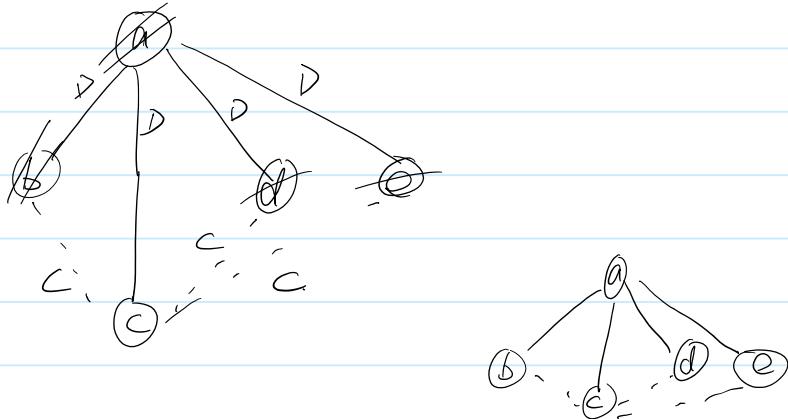
$i \leftarrow i + 1$

$\text{BSF}(G, a)$

$$L_0 = \{a\}$$

$$L_1 = \{b, c, d, e\}$$

$$L_2 = \{\}$$



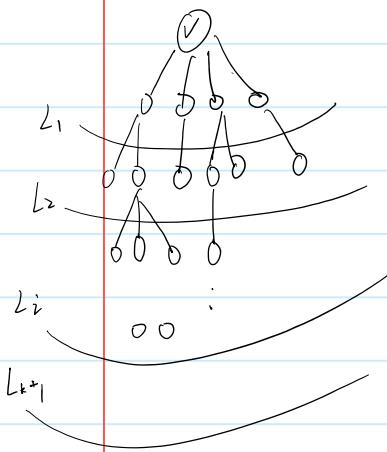
DFS & BFS

$$\downarrow \\ O(n+m)$$

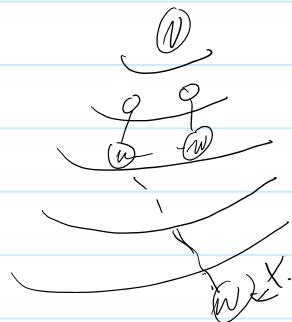
THM: (i) $\text{BFS}(G, v)$ visits all vertices and edges in the connected component containing v .

(ii) The discovery edges labeled by $\text{BFS}(G, v)$ form a spanning tree of the connected component of v .

(iii) For each $u \in L_i$, the shortest path from v to u contains i edges.



(iv) If uv is a cross edge, then the levels of u & w differ by at most 1.



Running Time: (Adjacency List)

$$O\left(\sum_{v \in V} (1 + \deg(v))\right)$$

$$= O \sum (n + m)$$

APPLICATIONS.

1. Testing when an undirected graph G is connected.

$O(n+m)$

Run $\text{BFS}(G, v)$ or $\text{DFS}(G, v)$

$O(n)$

if all nodes were explored

| G is connected

else

| it's not

end.

$\therefore O(n+m)$

2. For two nodes u & v of G , is there a path from u to v ? If so, what's a shortest path?

Run $\text{BFS}(G, u)$

If v is explored then.

| a $u-v$ path exists.

$O(n+m)$

else

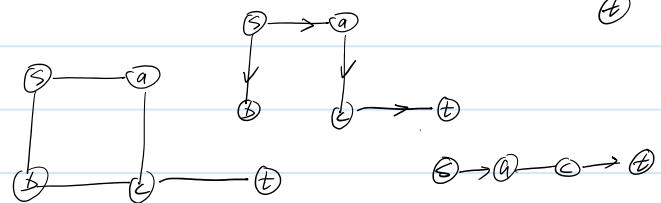
| no such path exists.

$\text{pred}[u]$

| predecessor.

$s \rightsquigarrow t$

$\text{⑤} \dots \rightarrow \text{pred}[t] \rightarrow \text{pred}[v]$



3. Determine if a cycle exists in G .

Run BFS or DFS. (on every component of G)

if a cross edge for BFS or
back edge for DFS exists.

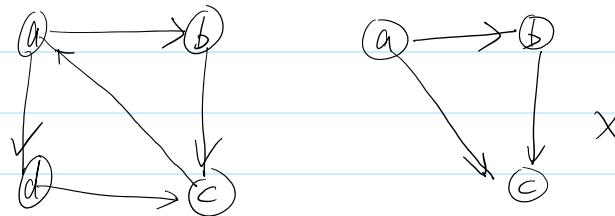
$O(n+m)$ then

G has a cycle !!

Connectivity and acyclicity in directed graphs

DEF. A directed graph $G \subseteq (V, E)$ is strongly connected if for any two nodes $u \& v$, there is a path from u to v and from v to u .

EX:



Weakly connected (if the underlying undirected graph is connected).

[Q:] Suppose G is a directed graph. Is G strongly connected?

For each node v of G ,

Run $BFS(G, v)$ or $DFS(G, v)$.

If some node is unexplored,

return "no"

end for

Return "yes"

$O(n(n+m))$

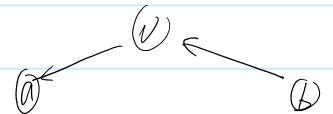
LEMMA, G is strongly connected iff for some node v .

(i) v can reach every other node in G .

(ii) every other node in G can reach v .

" \Rightarrow " "Obvious"!

" \Leftarrow " Let a and b be any two nodes in G .



Check Strong Connectivity (G)

Pick a node v .

Run $BFS(v)$ or $DFS(v)$

If some nodes is not explored.

Return "no"

$O(m+n)$ Construct G^T , the graph obtained by reversing the edge of G .

Run $BFS(G^T, v)$.

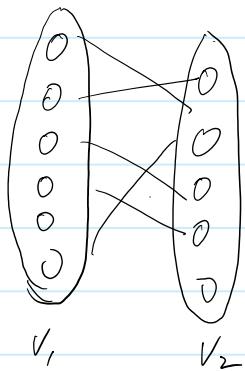
If some node is not explored.

Return "no" // some node can not reach v .

Else

Return "yes".

2. Bipartite graph



3.

HW #9. make definition
 $\text{WorstVal}[k] = \text{net profit of worst interval that ends in } k$

$$\text{WorstVal}[k] = \min \left\{ P[k] + \text{WorstVal}[k] \right\}$$

$$\text{WorstVal}[1] = P[1]$$

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2017年4月26日 14:44

Week14

2017年5月1日 14:08

$$\text{Array}[x] = \max \left\{ \begin{array}{l} \text{Array}[x - a_i], i \text{ to } k \\ x - a_i \geq 0 \end{array} \right\}$$

$$\text{Array}[x] = \begin{cases} 1 & \text{if we can make change for } x \\ 0 & x = 0 \text{ to } n. \end{cases}$$

$$E.g., a_1=3, a_2=7$$

$$x=15? \quad x'=12 \quad \text{if we use a } 3 \not= \\ x' = 8 \quad \not=.$$

PossibleMakeChange ((a₁, a₂ ... a_k) . x)

Create an array Possible [0 --- x]

$$\text{Possible}[0] \leftarrow 1$$

for x' = 1 to x.

$$\text{Possible}[x'] \leftarrow 0$$

for i = 1 to k

if x' - a_i ≥ 0 and possible[x' - a_i] = 1

$$\text{possible}[x] \leftarrow 1$$

end for

end for.

return (possible[x])

$$Ex: a_1=3, a_2=7, x=11$$

x'	0	1	2	3	4	5	6	7	8	9	10	11
possible[x]	1	0	0	1	0	0	1	1	0	1	1	0

Running time
 $O(kx)$

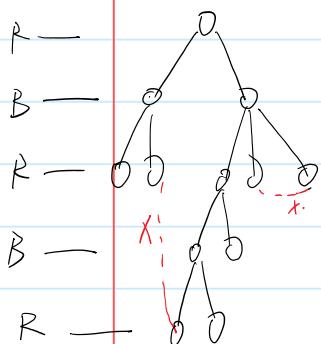
Pseudo polynomial time !

Bipartite graph.

G is bipartite iff G has no odd cycles.

BFS-based algorithm

BFS(G, s)



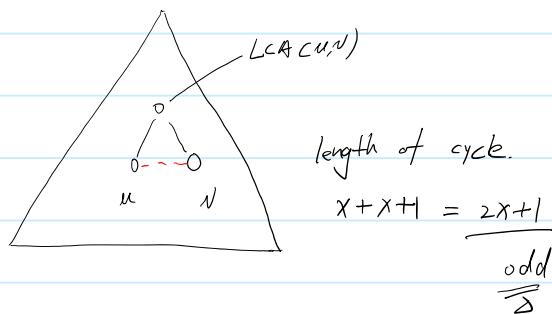
For each cross edge uv .

if $\text{depth}(u) = \text{depth}(v)$

" G is not bipartite"

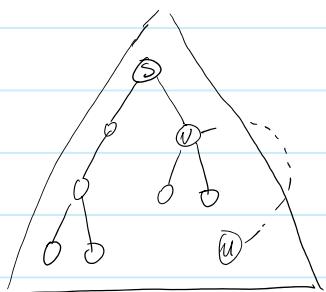
end for

" G is bipartite"



DFS-based algorithm

construct DFS tree



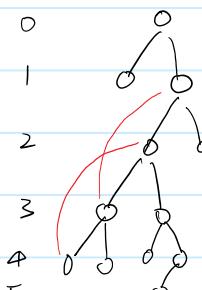
For each back edge

if $\text{depth } u \& \text{depth } v$ are both odd or both even

" G is not bipartite"

end for

" G is bipartite".

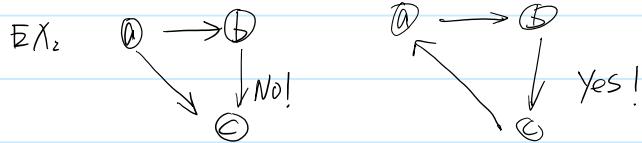


— R
— B
— R
— B
— R
— B

u	v	$=$
even - even	even	
odd - odd	even	

Directed graph

Given an directed G , does G contain a directed cycle?

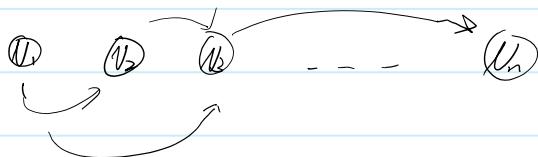


For undirected graphs, run BFS / DFS & check for cross edges / back edges.

DEF₂: Let G be a directed graph with n vertices.

A topological ordering / sorting of G is an ordering of the vertices of G as (v_1, v_2, \dots, v_n)

St. whenever v_i, v_j is an edge, $i < j$

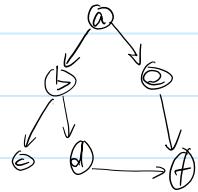


NOTE₂: Graphs with topological orderings do not contain directed cycles.

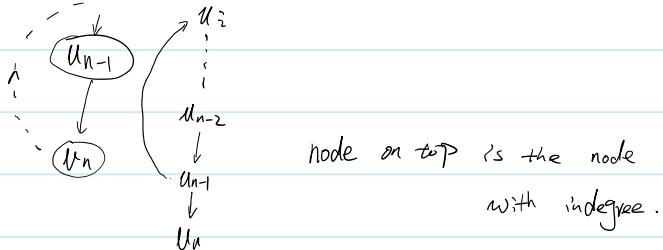
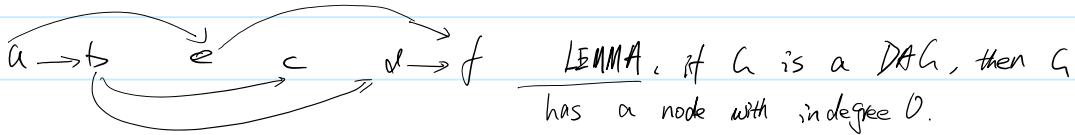
THM₂: G is a directed acyclic graph (DAG) iff G has a topological ordering.

Pf₂ " \Leftarrow " obvious

" \Rightarrow " Assume G is a DAG. We will describe an algorithm, that will produce a topological ordering of G .



choose node with indegree 0 as v_1



Observation: If G is a DAG and $\text{indegree}(v) = 0$ then G is still a DAG.

Topological sort (G)

Store all nodes with indegree 0 in a list S .

$i \leftarrow 1$

while S is not empty

 | Remove a node v_i from S

 | $v_i \leftarrow v_i$

 | $i \leftarrow i + 1$

$O(\deg(v_i))$

 | Delete v_i and all edges out of v_i .

 | Add node with indegree 0 to S

end while.

if $i > n$.

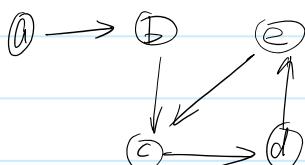
 | Return (v_1, v_2, \dots, v_n)

$O(m+n)$.

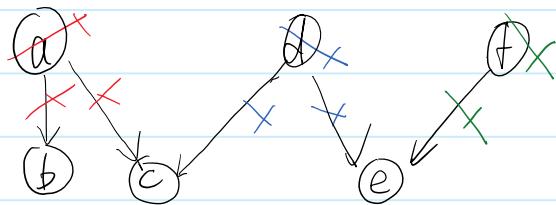
 | else return "G is Not A DAG."

$O\left(\sum_{v_i} (1 + \deg(v_i))\right)$

$= O(n+m)$



a b
v₁ v₂



List

remove .

$$S = \{a, d, f\}$$

$$\{d, f\}$$

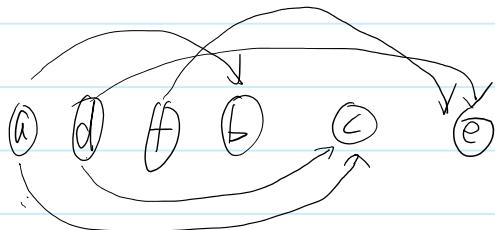
$$v_1 = a.$$

$$S = \{d, f, b\}$$

$$v_2 = d.$$

$$S = \{f, b, c\}$$

$$v_3 = f$$



$$S = \{b, c, e\}$$

$$v_4 = b$$

$$S = \{c, e\}$$

$$v_5 = c$$

for each v , keep track ofindegree [v]

Adjacency list

$$S = \{e\}$$

$$v_6 = e$$

$$S = \emptyset$$

SHORTEST PATH

Graphs — Weighted.

Ex: Road map

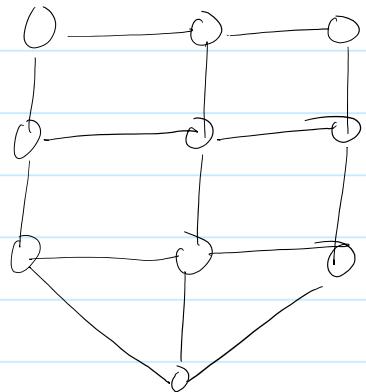
Vertices — Street intersections

Edges — Roads that connect street intersections.

Edge weights — time to travel along edge

distance / length of edge, etc.

cost of traversing edge



length of path

$$P = w(e_1) + w(e_2) + \dots + w(e_k)$$

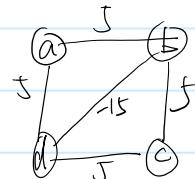
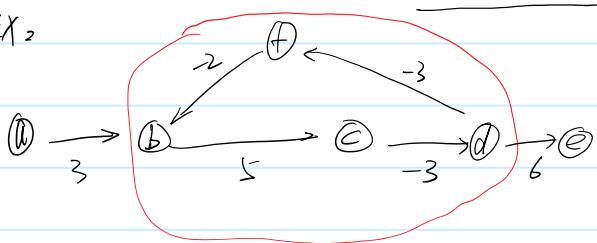
In a weighted graph (G, w) ,

the distance from a node s to a node t is equal to the length of a shortest path from s to t . $d(s, t)$

Q2 How do we compute $d(s, t)$

* First, we note that negative-cost cycles are bad.

Ex:



Problem: A shortest path distance may not be well-defined

So what do we do?

— Assume G is a DAG

— Assume edge costs in G are non-negative

— Allow for negative-cost edges but hopes no negative-cost cycles.

* When solving for $d(s,t)$ in the first two cases,
we will solve the SP-distance from s to all nodes in the graph.

 P_2 

Suppose P is a SP from s to U_k .

Then s, U_1, U_2, \dots, U_k is also a SP from s to U_k .

I. Assume G is a DAG

Input, a weighted DAG (G, w)

Starting node s

Goal, Compute shortest path distances from s to all nodes.

$D[v] =$ SP distance from s to v .

$\text{Pred}[v] =$ predecessor of v in a SP from s to v .

DAG-SP(G, w, s)

Compute a topological ordering of G

$D[s] \leftarrow 0, \text{Pred}[s] \leftarrow \text{nil}$

For each $u \neq s$,

$D[u] \leftarrow +\infty$

$\text{Pred}[u] \leftarrow \text{nil}$

endfor

For $i = 1$ to $n-1$

for each edge v_i, v_j ,

if $D[v_j] > D[v_i] + w(v_i, v_j)$

$D[v_j] \leftarrow D[v_i] + w(v_i, v_j)$

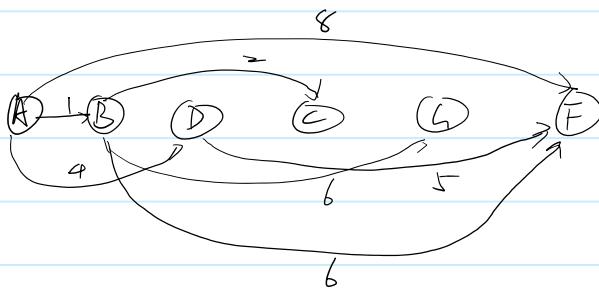
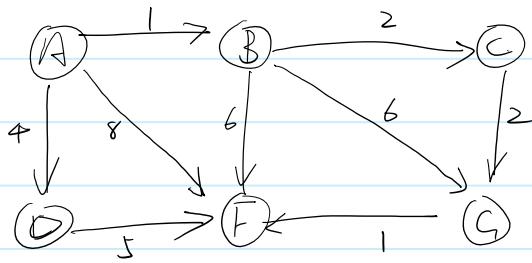
$\text{Pred}[v_j] \leftarrow v_i$

endfor

endfor

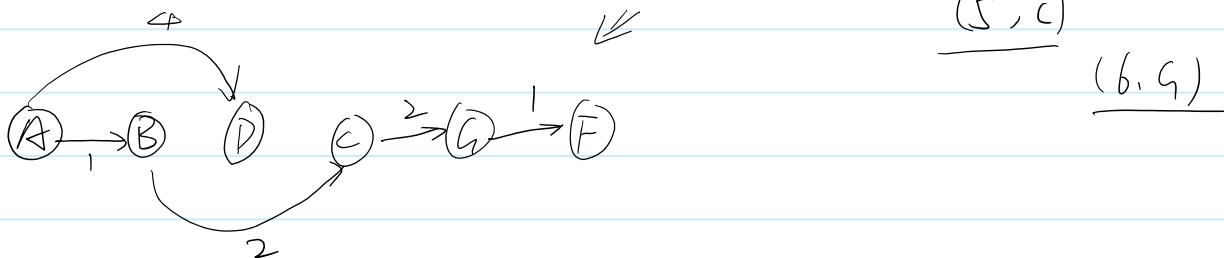
Return D -Value, Pred -Value

$O(n+m)$

BX:

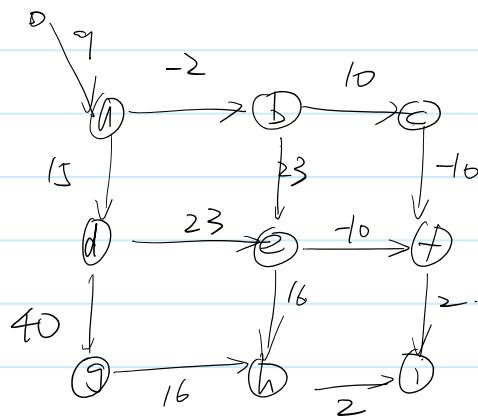
(D^W, P^W) , $(0, \text{nil})$, (nil, nil) , $(+\infty, \text{nil})$, $(+\infty, \text{nil})$, $(+\infty, \text{nil})$, $(+\infty, \text{nil})$

$\underline{(1, A)}$ $\underline{(4, A)}$ $\underline{(8, A)}$
 $\underline{(3, B)}$ $\underline{(7, B)}$ $\underline{(7, B)}$



Stair case problem.

$$\begin{bmatrix} 9 & -2 & 10 \\ 15 & 23 & -10 \\ 40 & 16 & 2 \end{bmatrix}$$



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10

2017年5月3日 15:43

Week15

2017年5月8日 15:41

DAG - SP(G, w, s)

Compute a topological ordering of G

$$D[s] \leftarrow \infty, \text{Pred}[s] \leftarrow \text{nil}$$

$\mathcal{O}(n) \left\{ \begin{array}{l} \text{For each } v_i \neq s, \\ \quad D[v_i] \leftarrow +\infty \\ \quad \text{Pred}[v_i] \leftarrow \text{nil} \\ \text{endfor} \end{array} \right.$

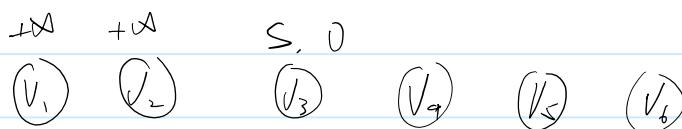
$\mathcal{O}(n+m) \left\{ \begin{array}{l} \text{For } i = 1 \text{ to } n-1 \\ \quad \text{for each edge } v_i, v_j \\ \quad \quad \text{if } D[v_j] > D[v_i] + w(v_i, v_j) \\ \quad \quad \quad D[v_j] \leftarrow D[v_i] + w(v_i, v_j) \\ \quad \quad \quad \text{Pred}[v_j] \leftarrow v_i \\ \quad \text{endfor} \\ \text{endfor} \end{array} \right.$

$$\mathcal{O}(|V| + |E|)$$

Return D-value, Pred-value

$$\mathcal{O}(n+m)$$

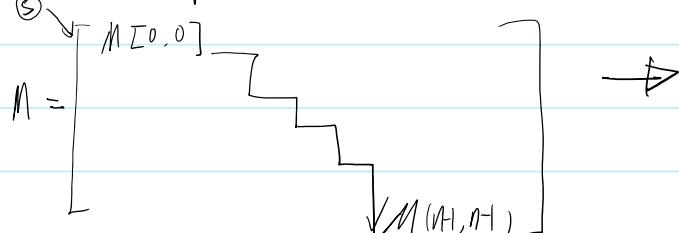
Works even if the start point is in the middle of the topological ordering



Solve for longest path from s to t $[n-1, n-1]$

Staircase problem of M. Create a graph - G whose vertices are

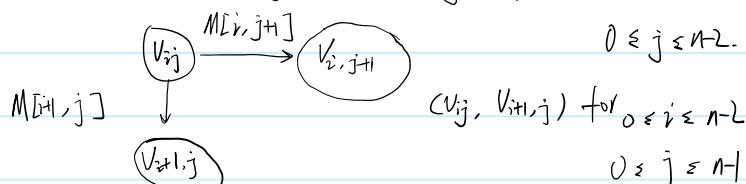
$$\mathcal{O}(n^2+n^2) = \mathcal{O}(n^2)$$



Additionally, nodes to node $M[0,0]$ with weight $M[0,0]$

V_{ij} , where V_{ij} "represent" M_{ij}
for $0 \leq i, j \leq n-1$

- Whose edges are $(V_{ij}, V_{i,j+1})$ for $0 \leq i \leq n-1$



$(V_{ij}, V_{i+1,j})$ for $0 \leq i \leq n-2$
 $0 \leq j \leq n-1$

Additionally, nodes to node v_0 with weight $M[0,0]$

$M[i+1, j]$

\downarrow
 (v_{i+1}, j)

$(v_{ij}, v_{i+1,j}) \text{ for } 0 \leq i \leq n-2$

$0 \leq j \leq n-1$

II. Directed graph with non-negative weights.

Dijkstra's AIG. (G, W, S)

$$D[S] \leftarrow 0, \text{Pred}[S] \leftarrow \text{nil}$$

for each node $v \neq s$

$$D[v] \leftarrow +\infty$$

$$\text{Pred}[v] \leftarrow \text{nil}$$

Let R be a data structure

Insert each node v as $(D[v], \text{Pred}[v])$ into R

While R is not empty

Remove v s.t. $D[v]$ has the smallest value. Among all the nodes in R

for each edge vz s.t. z in R

$$\text{if } D[z] > D[v] + w(vz)$$

$$D[z] \leftarrow D[v] + w(vz)$$

$$\text{Pred}[z] \leftarrow v$$

update $D[z]$ in R

end for

end while

Return D -Value / Final-Values.

$$n^2 = m \log n$$

$$n^2 \log n = m$$

Possible if $m \gg \frac{n^2}{\log n}$, $m \log n \geq n^2$.
 Better to use linked-list

Sparse if $m \ll \frac{n^2}{\log n}$,
 use PQ

running time.

n insertions into R

$$O(n)$$

$$O(n \log n) [O(n)]$$

n removals from R

$$O(n^2)$$

$$O(n \log n)$$

$O(m)$ key updates

$$O(m)$$

$$O(m \log n)$$

$$O(n^2 + m) \not\asymp O(n^2)$$

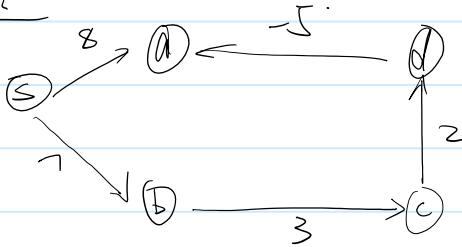
$$O(m \log n) \subset O(m + n \log n)$$

(Not fluctuating)

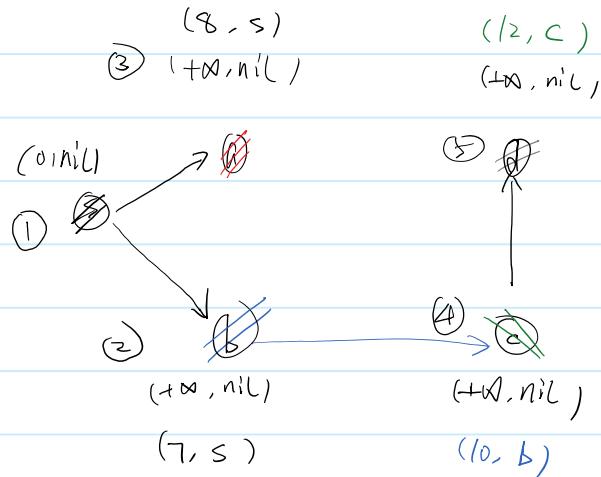
Ingredients.

1. The past costs are monotonically increasing or non-decreasing or monotonically non-increasing.

$$P: \underbrace{s \rightarrow u_1 \rightarrow u_2 \rightarrow \dots \rightarrow u_k}_{P'} \rightarrow \dots \rightarrow v_k. \quad \text{cost}(P') \leq \text{cost}(P).$$

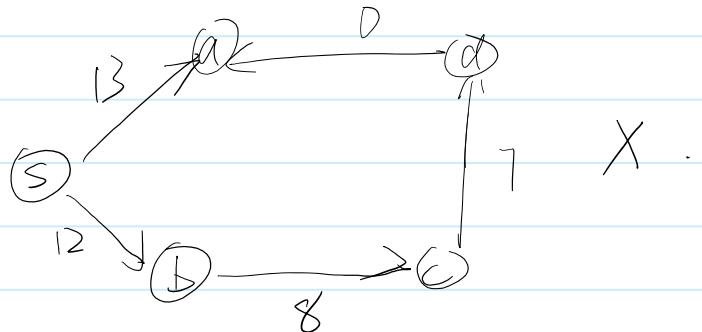
P is a SP from s to v_k Ex.

Find SP distances from s.



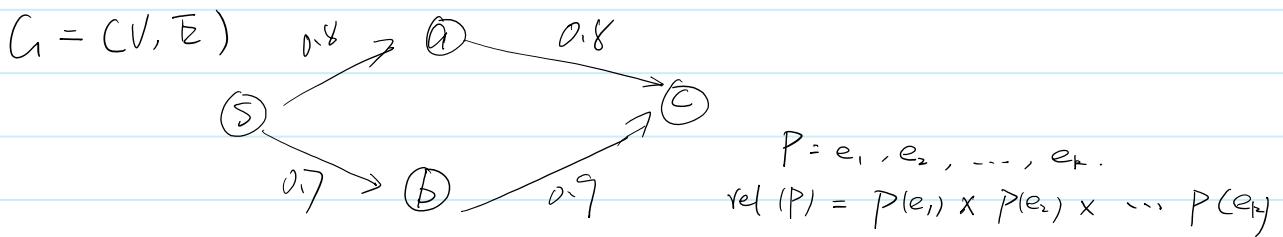
solve SP problem.
not Longest path problem

+5 to
each edges



2. When paths are mon. non-decreasing — minimization problem
mon. non-increasing — maximization problem.

$\exists X \# |$



most reliable path.

$$S \rightarrow A \rightarrow C \quad 0.8 \times 0.8 = 0.64 \quad \cancel{\text{X}}$$

$$S \rightarrow B \rightarrow C \quad 0.7 \times 0.9 = 0.63$$

check ingredients,

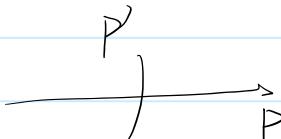
#1 path cost monotonicity

Let $P = e_1, e_2, \dots, e_k$.

$P' = e_1, e_2, \dots, e_i$.

$$\text{rel}(P') \geq \text{rel}(P)$$

\Rightarrow path costs are non-increasing..



Let $D[n]$ represent the reliability of the best path from $s \rightarrow n$.

Reliability (G, w, s) .

$$D[s] \leftarrow 1, \text{Pred}[s] \leftarrow \text{nil}$$

For each node $v \neq s$

$$D[v] \leftarrow 0$$

end for
Pred[v] $\leftarrow \text{nil}$

Insert all nodes into MaxPQ Q

while Q is not empty

$$u \leftarrow \text{removeMax}(Q)$$

for each edge $uz, z \in Q$

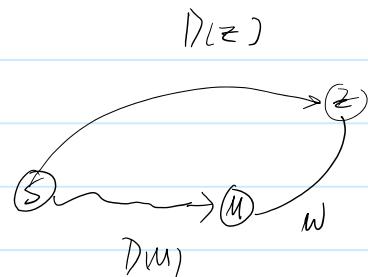
if $D[z] < D[u] \times w_{uz}$

$$D[z] \leftarrow D[u] \times w_{uz}$$

$$\text{Pred}[z] \leftarrow u$$

update $D[z]$

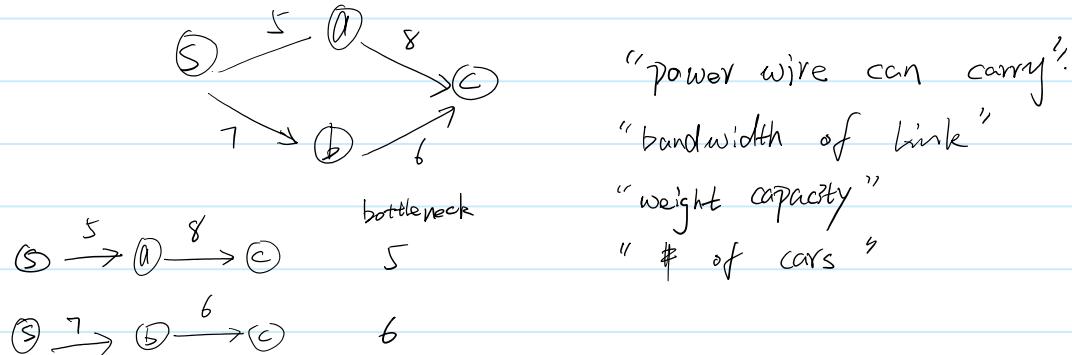
end while.



Ex #2 Max capacity paths.

Given a directed graph G , and for each edge e ,

it has a capacity $c(e) > 0$



$$P = e_1, e_2, e_3, \dots, e_k$$

$$\text{Cap}(P) = \min_{1 \leq i \leq k} \{c(e_i)\}$$

↓ bottleneck in P

Pick paths to every node. So that their capacities are as large as possible.

Check Ingredients:

#1. Monotonicity of path cost.

$$P: e_1, e_2, \dots, e_i - e_k.$$

$$P': e_1, e_2 - e_i.$$

$$\text{Cap}(P) \leq \text{Cap}(P')$$

* monotonically non-increasing (decreasing)



Maximization Problem.

Maximization problem.

Let $D[u]$ represent the capacity of the best path found so far by the algorithm.

$D[S] \leftarrow +\infty$

$\text{Pred}[S] \leftarrow \text{nil}$

for each node $v \neq S$

$D[v] \leftarrow 0$

$\text{Pred}[v] \leftarrow \text{nil}$

Store all nodes in a max PQ with D-values as keys.

while Q is not empty.

$u \leftarrow \text{removeMax}(Q)$

 for each edge uv st. $v \in Q$

 if $D[v] < \min\{D[u], c(uv)\}$

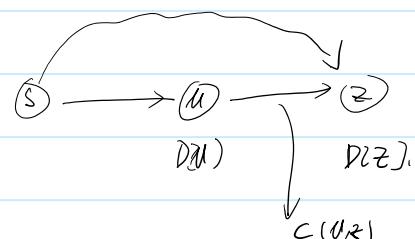
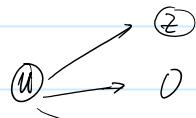
$D[v] \leftarrow \min\{D[u], c(uv)\}$

$\text{Pred}[v] \leftarrow u$.

 update $D[v]$ in Q

 end for

end while

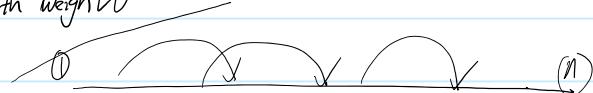


HW #1.

① n days, b bids. — i^{th} bid, (s_i, t_i, m_i)

↑
start ↑ end ↑ bid.

sol'n #1,

Vertices: $1, 2, \dots, n$.edges: for $i = 1 \text{ to } b$, connect s_i to t_i .for $j = 1 \text{ to } n-1$, connect j to $j+1$ with weight 0

Solve the longest path in this DAG

$$(0 \xrightarrow[0]{} 1 \xrightarrow[0]{} 2 \xrightarrow[0]{} 3 \xrightarrow[0]{} 4 \xrightarrow[0]{} 5 \xrightarrow[0]{} 6) - \quad (6 \xrightarrow[0]{} 0)$$

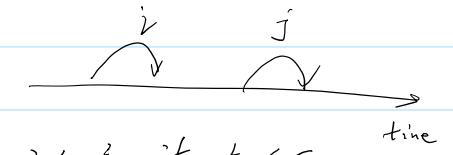
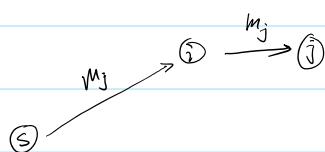
$|V| = n, |E| = b + (n-1)$

$O(n + b + n-1) = O(n+b)$

build the graph

$O(n + b + n-1) = O(n+b)$

Sol'n #2.

Vertices: $1, 2, \dots, b$. represent bid.Edges: For every pair $\{i, j\}$, connect i to j if $t_i \leq s_j$ and make cost equal to m_j .for each bid i , connect s to i with cost m_i .

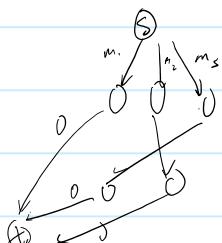
construct graph.

$O(b + b^2) = O(b^2)$

solve longest path problem.

$\begin{matrix} 1 & 1 \\ \text{node} & \text{edge} \end{matrix}$

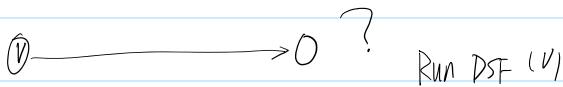
$O(b + b^2) = O(b^2)$

Overall, $O(b^2)$

4. T/F

(c) False

(d) True



6. Best shortest paths $\text{best}[u] = \min \# \text{ of edges in an SP problem from } s \text{ to } u.$

$$D[s] \leftarrow \infty, \text{pred}[s] \leftarrow \text{nil}, \text{best}[s] \leftarrow 0$$

For each node $v \in S$

$$D[v] \leftarrow \infty$$

$$\text{pred}[v] \leftarrow \text{nil}$$

$$\text{best}[v] \leftarrow +\infty$$

↙ 2 Dimension.

Insert all nodes into a min-PQ with $(D[v], \text{best}[v])$ as keyswhile $Q \neq \text{empty}$

$$v \leftarrow \text{removeMin}(Q)$$

for each z s.t. vz is an edge and $z \in Q$

$$\text{if } D[z] > D[v] + w(vz)$$

$$D[z] \leftarrow D[v] + w(vz)$$

$$\text{pred}[z] \leftarrow v$$

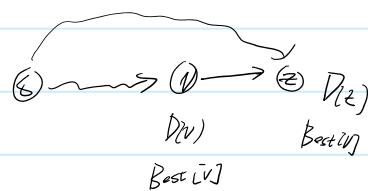
$$\text{best}[z] \leftarrow \text{best}[v] + 1$$

else

$$\text{if } D[z] = D[v] + w(vz) \text{ and } \text{best}[v] + 1 < \text{best}[z]$$

$$\text{best}[z] \leftarrow \text{best}[v] + 1$$

$$\text{pred}[z] \leftarrow v$$

update $D[z]$

end for

end while

10

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Q4.

$$S[i] + S[j] = z$$

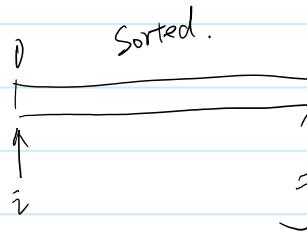
(1) Brute Force , $C(n, 2) = \frac{n!}{2!(n-2)!} = \frac{n(n-1)}{2}$

(2) For $i \leftarrow 0$ to $n-2$

```

    X  $\leftarrow z - S[i]$ 
    Search for X in  $S[i+1 \dots n]$  using Binary Search.
    If X exists
        Return "Yes"
    Endfor
    Return "No"
  
```

$O(n \log n)$



```

    Sorted.
    n-1
    i < 0
    j < n-1
    while i < j
        if  $S[i] + S[j] > z$ 
            j  $\leftarrow j - 1$ 
        else
            if  $S[i] + S[j] < z$ 
                i  $\leftarrow i + 1$ 
            else
                return "yes"
            end if
        end if
    end while.
    return "no"
  
```

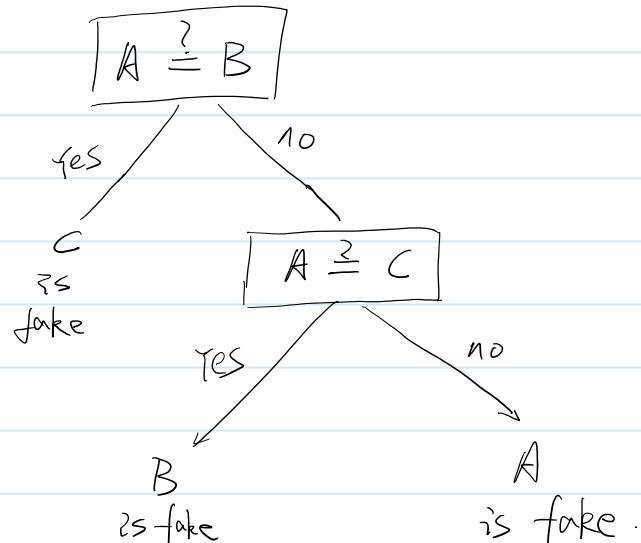
```

    i  $\leftarrow \lfloor \frac{n}{2} \rfloor$ 
    j  $\leftarrow \lfloor \frac{n}{2} \rfloor + 1$ 
    while i  $\geq 0$  & j  $\leq n-1$ .
        if  $S[i] + S[j] > z$ 
            i  $\leftarrow i - 1$ 
        else
            if  $S[i] + S[j] < z$ 
                j  $\leftarrow j + 1$ 
            else
                return "yes"
            end if
        end if
    end while.
    Return "no"
  
```



HW #1 problem 3.

a. $A = \{c_1, c_2, c_3\}$
 $B = \{c_4, c_5, c_6\}$
 $C = \{c_7, c_8, c_9\}$



4 weighing

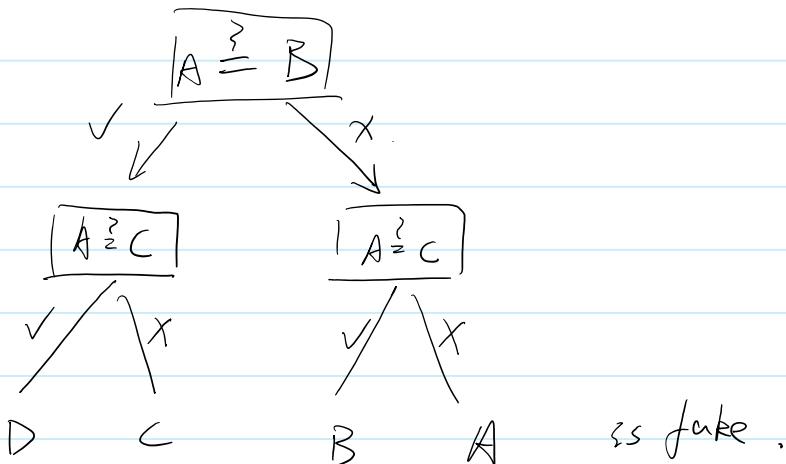
b. $A = \{c_1, c_2, \dots, c_{\frac{n}{3}}\}$

$B = \{c_{\frac{n}{3}+1}, \dots, c_{\frac{n}{3}+2}\}$

$C = \{c_{\frac{2n}{3}+1}, \dots, c_n\}$

$D = \{c_{n+1}, \dots, c_n\}$

$|D| \leq 2$

If $n \geq 6$,

$$n \rightarrow \frac{n}{3} \rightarrow \frac{n}{9} \rightarrow \frac{n}{27} \dots \frac{n}{3^k}$$

↑
to find the smallest k
 $\frac{n}{3^k} \leq 1$

$$n \leq 3^k$$

$$\log_3 n \leq \log_3 3^k$$

$$\log_3 n \leq k$$

$$\therefore 2 \times \lceil \log_3 n \rceil$$

1. $n^{\frac{2}{3}}, 15^n, n^{100}, (\log n)^3, \sqrt{n} \log n, n^{\frac{99}{n+1}}, 9^{\frac{\log n}{3}}, n!$
 close Notation $O(1), O(\log n), O(\log^2 n),$
 $\Omega(n^{\frac{1}{2}})$

Loose Notation, $O(n)$ $O(n^{100})$ $O(n^3)$ $O(n^{\frac{3}{2}})$ $O(n^{\frac{99}{n+1}})$

$$9^{\frac{\log n}{3}} = n^{\frac{\log 9}{3}} = n^2$$

$$\lim_{n \rightarrow \infty} \frac{(\log n)^3}{\sqrt{n} \log n} = \lim_{n \rightarrow \infty} \frac{\log n^2}{\sqrt{n}} = \lim_{n \rightarrow \infty} \frac{2 \log n \cdot \frac{1}{n \ln n}}{\frac{1}{2} n^{-\frac{1}{2}}} = \lim_{n \rightarrow \infty} \frac{4 \cdot \frac{\log n}{n \ln n}}{\frac{1}{2} n^{-\frac{1}{2}}} = \lim_{n \rightarrow \infty} \frac{4 \cdot \frac{1}{n \ln n} \cdot 2\sqrt{n}}{\frac{1}{2} n^{-\frac{1}{2}}} = \lim_{n \rightarrow \infty} \frac{8}{(\ln n)^2 \ln n} = 0$$

∴ growth rate of $\sqrt{n} \log n$ is greater than $(\log n)^3$.

$$\lim_{n \rightarrow \infty} \frac{\sqrt{n} \log n}{n^{\frac{3}{2}}} = \lim_{n \rightarrow \infty} \frac{\log n}{n^{\frac{1}{2}}} = \lim_{n \rightarrow \infty} \frac{\frac{1}{n \ln n}}{\frac{1}{2} n^{-\frac{1}{2}}} = \lim_{n \rightarrow \infty} \frac{6 \cdot n^{\frac{3}{2}}}{\ln^2 n} = \lim_{n \rightarrow \infty} \frac{6}{\ln^2 n \cdot n^{-\frac{1}{2}}} = 0$$

a. $(\log n)^3, \sqrt{n} \log n, n^{\frac{2}{3}}, 9^{\frac{\log n}{3}}, n^{\frac{99}{n+1}}, n^{100}, 15^n, n!$ (based on close notation and limit value)

b. polynomial, $n^{\frac{2}{3}}, 9^{\frac{\log n}{3}}, n^{\frac{99}{n+1}}, n^{100}$, (based on loose notation)

c. exponential: $15^n, n!$

2.

a. # of iteration is $\lceil \log_b n \rceil$ smaller than $\lceil \log_c n \rceil$, when $b > 1$.

$$\lim_{n \rightarrow \infty} \frac{\log_b n}{\log_c n} = \frac{\log n}{\log b} \times \frac{\log c}{\log n} = \log_b c = \frac{1}{2}$$

∴ $\log_b n$ is $\Theta(\log_c n)$ and $\lceil \log_b n \rceil$ is $\Theta(\lceil \log_c n \rceil)$

b. # of iteration is $\lceil \log_{\frac{1}{b}} n \rceil$ greater than $\lceil \log_b n \rceil$, when $b > 1$

$$\lim_{n \rightarrow \infty} \frac{\log_{\frac{1}{b}} n}{\log_b n} = \frac{\log n}{\log \frac{1}{b}} \times \frac{\log b}{\log n} = \log_{\frac{1}{b}} b \text{ is constant when } b > 1$$

∴ $\log_{\frac{1}{b}} n$ is $\Theta(\log_b n)$ and $\lceil \log_{\frac{1}{b}} n \rceil$ is $\Theta(\lceil \log_b n \rceil)$

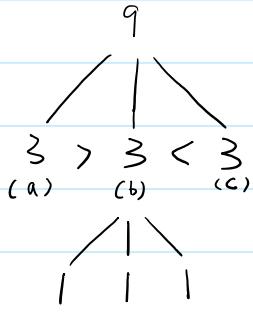
c. # of iteration is $\lceil \log_b n \rceil$, It is $\Omega(\log_b n)$

$$\frac{n}{b} \geq c \log_b n \text{ (when } b > 1, c=1, n > 1)$$

$$n \geq b \cdot c \cdot \log_b n \text{ (when } c > 0, b \cdot c = 1, n > 1) \therefore \frac{n}{b} \text{ is } \Omega(\log_b n)$$

3. a. When $n=9$, the fewest weightings in worst case is 3

Worst case,



divide 9 coin evenly into 3 group, named a, b, c.

1st weighting: compare a and b, if their weights are not equal, then write down which group is lighter (assume fake coin is lighter, vice versa)

2nd weighting: compare b and c, if their weights are equal, then a contains fake coin, if b is lighter than c, then group b contains fake coin.

3rd weighting: divide b into 1,1,1 group, randomly pick two, if not equal, the lighter one is fake.

if equal, then the unchosen coin is fake.

b. In order to generalize the method, we only consider the weight of coins, that can solve times of weighting in the following steps. And we don't consider the situation of coin combination.

Basically, just divide n coins evenly into 3 parts, which will 3 situations, 0, 1, 2 remainders.

And branching to the smallest integer,

1st step $\frac{n}{3}$ remainder 0 1 2 times of weighting 2 2 2	$2 = (\log_3 n - 1)$ step $\frac{n}{3}$ remainder 0 1 2 times of weighting 1 2 2	last step $\frac{n}{3}$ remainder 0 1 2 times 1 1 2 2 2 3 3 3	} when $n \geq 9$, steps: $\log_3 n$

∴ the weighting times is $O(\log_3 n)$

A. $f(n)$ is $O(g(n))$, $f(n) \leq c \cdot g(n)$, when $n \geq n_0$ ①

$d(n)$ is $O(e(n))$, $d(n) \leq c \cdot e(n)$, when $n \geq n_0$ ②

a.

$$① + ② \quad f(n) + d(n) \leq c \cdot g(n) + c \cdot e(n)$$

$$f(n) + d(n) \leq c \cdot (g(n) + e(n)) \quad \text{when } n \geq n_0, \text{ real constant } c > 0$$

$$\therefore f(n) + d(n) \text{ is } O(g(n) + e(n))$$

$$\because f(n) > 0, d(n) > 0$$

$$b. ① \times ② \quad f(n) \cdot d(n) \leq c^2 g(n) \cdot e(n) \quad \text{when } n \geq n_0, \text{ real constant } c^2 > 0,$$

$$\therefore f(n) \cdot d(n) \text{ is } O(g(n) \cdot e(n))$$