

CS440/ECE448 Exam 1

Jinxiong You

TOTAL POINTS

50 / 50

QUESTION 1

1 q1a 6 / 6

✓ + 6 pts Reached correct answer.

+ 3 pts Applied reasonable methods but reached wrong answer.

+ 1 pts Applied irrelevant methods and reached wrong answer.

+ 0 pts Reached wrong answer with no supporting work.

✓ + 6 pts Reached correct answer

+ 4 pts Applied reasonable methods/correct formula but reached wrong answer

+ 2 pts Applied irrelevant methods/wrong formula and reached wrong answer

+ 1 pts Wrong answer with little to no supporting work

+ 0 pts No answer

QUESTION 2

2 q1b 7 / 7

✓ + 7 pts Reached correct answer

+ 4 pts Applied reasonable methods but reached wrong answer

+ 2 pts Reached correct answer with wrong process

+ 2 pts Reached correct answer with limited supporting work

+ 1 pts Applied irrelevant methods and reached wrong answer

+ 0 pts Reached wrong answer with no supporting work

+ 0 pts Reached correct answer with no supporting work

QUESTION 4

4 q2b 6 / 6

✓ + 6 pts Reached correct answer.

+ 3 pts Applied reasonable methods but reached wrong answer.

+ 1 pts Applied irrelevant methods and reached wrong answer.

+ 0 pts Reached wrong answer with no supporting work.

QUESTION 5

5 q3a 6 / 6

✓ + 6 pts Correct Answer

+ 3 pts Wrong Answer but reasonable approach

+ 1 pts Write something

QUESTION 6

6 q3b 6 / 6

✓ + 6 pts Reached Correct Answer

+ 3 pts Applied reasonable methods but

QUESTION 3

3 q2a 6 / 6

reached wrong answer

+ 1 pts Applied irrelevant methods and reached wrong answer

+ 0 pts Wrong answer with no supporting work

QUESTION 7

7 q4a 6 / 6

✓ + 6 pts Reached correct answer.

+ 4 pts Applied reasonable methods but reached wrong answer.

+ 2 pts Applied irrelevant methods and reached wrong answer.

+ 0 pts Reached wrong answer with no supporting work.

QUESTION 8

8 q4b 7 / 7

✓ + 7 pts Reached correct answer with supporting work

+ 6 pts Correct approach final answer not completely simplified

+ 5 pts Applied reasonable methods but reached wrong answer

+ 3 pts Correct answer but no supporting work

+ 2 pts Correct formula but did not simplify to reach the answer in expected variables

+ 1 pts Applied irrelevant methods and reached wrong answer

+ 0 pts Reached wrong answer with no supporting work

+ 0 pts Incomplete / did not attempt

UNIVERSITY OF ILLINOIS AT URBANA-CHAMPAIGN
CS440/ECE448 Artificial Intelligence
Exam 1
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Your Name: Jinxiong You

Your NetID: you24

Instructions

- Please write your name on the top of every page.
- This will be a **CLOSED BOOK, CLOSED NOTES** exam. You are permitted to bring and use only one 8.5x11 page of hand-written notes, front and back.
- No electronic devices (phones, tablets, calculators, computers etc.) are allowed.
- Make sure that your answer includes only the variables that it should include, but DO NOT simplify explicit numerical expressions. For example, the answer $x = \frac{1}{1+\exp(-0.1)}$ is MUCH preferred (much easier for us to grade) than the answer $x = 0.524979$.

Possibly Useful Formulas

$$P(X = x|Y = y)P(Y = y) = P(Y = y|X = x)P(X = x)$$

$$P(X = x) = \sum_y P(X = x, Y = y)$$

$$E[f(X, Y)] = \sum_{x,y} f(x, y)P(X = x, Y = y)$$

$$\text{Precision,Recall} = \frac{TP}{TP+FP}, \frac{TP}{TP+FN}$$

$$\text{MPE=MAP: } f(x) = \arg \max (\log P(Y = y) + \log P(X = x|Y = y))$$

$$\text{Naive Bayes: } P(X = x|Y = y) \approx \prod_{i=1}^n P(W = w_i|Y = y)$$

$$\text{Laplace Smoothing: } P(W = w_i) = \frac{k + \text{Count}(W = w_i)}{k + \sum_v (k + \text{Count}(W = v))}$$

$$\text{Fairness: } P(Y|A) = \frac{P(Y|\hat{Y}, A)P(\hat{Y}|A)}{P(\hat{Y}|Y, A)}$$

$$\text{Linear Regression: } \epsilon_i = f(x_i) - y_i = b + w @ x_i - y_i$$

$$\text{Mean Squared Error: } \text{MSE} = \frac{1}{n} \sum_{i=1}^n \epsilon_i^2$$

$$\text{Linear Classifier: } f(x) = \arg \max_k w_k @ x + b$$

$$\text{Cross-Entropy: } \mathcal{L} = -\frac{1}{n} \sum_{i=1}^n \log f_{y_i}(x_i)$$

$$\text{Softmax: } \underset{c}{\text{softmax}}(w @ x + b) = \frac{\exp(w_c @ x + b_c)}{\sum_{k=0}^{V-1} \exp(w_k @ x + b_k)}$$

$$\text{Softmax Error: } \epsilon_{i,c} = \begin{cases} f_c(x_i) - 1 & c = y_i \\ f_c(x_i) - 0 & \text{otherwise} \end{cases}$$

$$\text{Gradient Descent: } w \leftarrow w - \eta \nabla_w \mathcal{L}$$

$$\text{Neural Net: } h = \text{ReLU}(b_0 + w_0 @ x), \quad f = \text{softmax}(b_1 + w_1 @ h)$$

$$\text{Back-Propagation: } \frac{\partial \mathcal{L}}{\partial h_j} = \sum_k \frac{\partial \mathcal{L}}{\partial f_k} \times \frac{\partial f_k}{\partial h_j}, \quad \frac{\partial \mathcal{L}}{\partial w_{0,k,j}} = \sum_k \frac{\partial \mathcal{L}}{\partial h_k} \times \frac{\partial h_k}{\partial w_{0,k,j}}$$

Question 1 (13 points)

Cryptids have variable numbers of arms. Let X be the number of arms a cryptid has; then $P(X = 2) = a$, $P(X = 3) = b$, and $P(X = 4) = c$, where $a + b + c = 1$.

- (a) (6 points) The number of skills that a cryptid can learn is equal to the number of distinct pairs of arms it has: a 2-arm cryptid can learn 1 skill, a 3-arm cryptid can learn 3 skills, and a 4-arm cryptid can learn 6 skills. In terms of the parameters a , b and c , what is the expected number of skills a cryptid can learn?

Let Y be the number of skills a cryptid can learn.

$$P(Y=1) = P(X=2) = a$$

$$P(Y=3) = P(X=3) = b$$

$$P(Y=6) = P(X=4) = c$$

$$E(Y) = a \times 1 + b \times 3 + c \times 6 = a + 3b + 6c,$$

which is the expected number of skills a kryptid can learn.

(b) (7 points) Only cryptids with political skill are allowed to run for Congress, so there is no reason for voters to prefer 4-arm cryptids, yet they do. Let $Y = 1$ if a cryptid is elected to Congress, and $Y = 0$ otherwise; cryptid voter bias is measured by the fact that $P(Y = 1|X = 4) = \frac{3}{5}$, but $P(Y = 1|X < 4) = \frac{2}{5}$. You have developed an algorithm that generates candidate endorsements, $\hat{Y} \in \{0, 1\}$, with perfect demographic parity ($P(\hat{Y} = 1|X = 4) = P(\hat{Y} = 1|X < 4) = \frac{1}{2}$) and with perfect predictive parity ($P(Y = 1|\hat{Y} = 1, X = 4) = P(Y = 1|\hat{Y} = 1, X < 4) = p$). In terms of p , what is $P(\hat{Y} = 1|Y = 1, X = 4)$?

$$\begin{aligned} P(\hat{Y} = 1 | Y = 1, X = 4) &= \frac{P(\hat{Y} = 1, Y = 1 | X = 4)}{P(Y = 1 | X = 4)} = \frac{P(Y = 1 | \hat{Y} = 1, X = 4) \cdot P(\hat{Y} = 1 | X = 4)}{P(Y = 1 | X = 4)} \\ &= \frac{\frac{1}{2} \cdot P}{\frac{3}{5}} \\ &= \frac{5}{6} P \end{aligned}$$

Question 2 (12 points)

Every Easter, the Chicago Cubs hide 6000 Easter eggs at Wrigley Field. After an hour of searching, you've found 4 blue eggs, 5 orange eggs, and 2 green eggs.

- (a) (6 points) Use Laplace smoothing to estimate the fraction of all eggs at Wrigley Field that are blue.

Note that colors other than orange, blue and green may exist. Your answer should be a function of the Laplace smoothing hyperparameter, k .

Let X be the color of eggs.

$$P(X = \text{blue}) = \frac{4+k}{4+k+5+k+2+k+k} = \frac{4+k}{11+4k}$$

$$P(X = \text{orange}) = \frac{5+k}{11+4k}$$

$$P(X = \text{green}) = \frac{2+k}{11+4k}$$

$$P(X = \text{other}) = \frac{k}{11+4k}$$

The fraction of all eggs at Wrigley Field that are blue

is $\frac{4+k}{11+4k}$

- (b) (6 points) Your significant other has been collecting Easter eggs at Soldier Field, where the Bears have hidden 10,000 eggs (note: this means that the probability any given egg was at Soldier Field on Easter is larger than the probability that it was at Wrigley Field). Based on your observations, you deduce that the distribution of colors is different at Soldier Field versus Wrigley Field: $P(X = \text{blue} | Y = \text{wrigley}) = p$, but $P(X = \text{blue} | Y = \text{soldier}) = q$. Your friend Al brings you a blue egg, that he found at either Soldier Field or Wrigley Field. Under what condition should you believe that he found it at Soldier Field? Your answer should be an inequality in terms of p and q .

$$P(Y = \text{wrigley}) = \frac{6}{16}, \quad P(Y = \text{soldier}) = \frac{10}{16}$$

$$P(Y = \text{soldier} | X = \text{blue}) = \frac{P(Y = \text{soldier}, X = \text{blue})}{P(X = \text{blue})}$$

$$= \frac{P(X = \text{blue} | Y = \text{soldier}) \cdot P(Y = \text{soldier})}{P(X = \text{blue})}$$

$$= \frac{P(X = \text{blue} | Y = \text{soldier}) - P(Y = \text{soldier})}{P(X = \text{blue} | Y = \text{wrigley}) \cdot P(\text{wrigley}) + P(X = \text{blue} | Y = \text{soldier}) \cdot P(Y = \text{soldier}) + P(X = \text{blue})}$$

$$= \frac{\frac{10}{16} \cdot q}{\frac{6}{16} p + \frac{10}{16} q}$$

$$P(Y = \text{wrigley} | X = \text{blue}) = \frac{\frac{6}{16} p}{\frac{6}{16} p + \frac{10}{16} q}$$

$$\text{When } \frac{\frac{10}{16} q}{\frac{6}{16} p + \frac{10}{16} q} > \frac{\frac{6}{16} p}{\frac{6}{16} p + \frac{10}{16} q}, \text{ which is } q > \frac{3}{5} p.$$

You should believe that he found it at Soldier Field.

Question 3 (12 points)

You are trying to make a classifier that can distinguish between crows and ravens. You have a training set with 100 crows and 100 ravens, and a development test set with 20 crows and 20 ravens.

- (a) (6 points) The 1-nearest-neighbors algorithm gets 100% accuracy on the training set, but only 60% accuracy on the development test set. The 3-nearest neighbors algorithm gets only 90% accuracy on the training set, but it gets 70% accuracy on the development test set. If you want your algorithm to work well for birds you've never seen before, should you choose $k = 1$ or $k = 3$? Why?

$k=3$. Because in order to work well with birds I've never seen before, the classifier has to perform better on data which is not from the training set. When $k=3$, the performance on development test set is better, which means it works better with unfamiliar data

- (b) (6 points) Suppose that, instead of 100 crows and 100 ravens, your training set has only 3 crows and 3 ravens. The crows are named Larry, Moe, and Curly, and they are 12, 18, and 13 inches long, respectively. The ravens are named Ingrid, Bette, and Marlene, and they are 24, 16, and 22 inches long, respectively. Bird X is 19 inches long, and is either a crow or a raven. Specify two different values of k for which the k -nearest neighbors algorithm gives different estimates of Bird X's species, and list the k nearest neighbors for each of these two values of k .

When $k=1$, the estimate is crow, NN is [18]

When $k=3$, the estimate is raven, NN is [18, 16, 22]

Question 4 (13 points)

You have a machine learning problem in which the input is a 3-dimensional vector, x , and the output is binary, $y \in \{0, 1\}$. You are considering two possible solutions: a linear regression algorithm that uses a weight vector w and a bias term b , and a softmax linear classifier algorithm that uses weight vectors w_0 and w_1 and bias coefficients b_0 and b_1 . As you know, the stochastic gradient descent algorithm has a similar form in both cases:

$$\text{Linear Regression: } w \leftarrow w - \eta \varepsilon_i x_i,$$

$$\text{Linear Classifier: } w_c \leftarrow w_c - \eta \varepsilon_{i,c} x_i,$$

where $x_i = [x_{i,0}, x_{i,1}, x_{i,2}]$ and y_i are the stochastically sampled training token, ε_i is the linear regression error term, and $\varepsilon_{i,0}, \varepsilon_{i,1}$ are the linear classifier errors.

- (a) (6 points) Consider a linear regression algorithm, whose output is

$$f(x_i) = w @ x_i + b$$

Suppose that $x_i = [-1, 0, 1]$ and $y_i = 1$. Suppose w is initialized to $w = [\rho, \phi, \theta]$, and b is initialized as $b = \gamma$. In terms of ρ, ϕ, θ , and γ , what is ε_i ?

$$f(x_i) = -\rho + \theta + \gamma$$

$$\varepsilon_i = f(x_i) - y_i = -\rho + \theta + \gamma - 1$$

(b) (7 points) Consider a softmax classifier,

$$f_c(x_i) = \underset{c}{\operatorname{softmax}}(w @ x_i + b)$$

Suppose that $x_i = [-1, 0, 1]$ and $y_i = 1$. Suppose w is initialized to $w_0 = [0, 0, 0]$, $w_1 = [\rho, \phi, \theta]$, $b_0 = 0$, and $b_1 = \gamma$. In terms of ρ , ϕ , θ , and γ , what is $\epsilon_{i,1}$?

Since $c = y_i$

$$\epsilon_{i,1} = f_1(x_i) - 1$$

$$f_1(x_i) = \operatorname{softmax}(w @ x_i + b) = \frac{\exp(w_1 @ x_i + b_1)}{\sum_{k=0}^1 \exp(w_k @ x_i + b_k)}$$

$$= \frac{\exp(-\rho + \theta + \gamma)}{\exp(0) + \exp(-\rho + \theta + \gamma)}$$

$$\text{So } \epsilon_{i,1} = \frac{\exp(-\rho + \theta + \gamma)}{1 + \exp(-\rho + \theta + \gamma)} - 1$$

