

I. ONE ELECTRON

quantum state: $|\psi\rangle$.

Wave function: $\psi(\mathbf{x}) \equiv \langle \mathbf{x} | \psi \rangle$ in the ortho-normal complete basis $\{|\mathbf{x}\rangle\}$ (eigenstates of the position operator) obeying

$$\int d\mathbf{x} |\mathbf{x}\rangle \langle \mathbf{x}| = 1,$$

$$\langle \mathbf{x} | \mathbf{x}' \rangle = \delta(\mathbf{x} - \mathbf{x}'),$$

Normalization:

$$1 = \langle \psi | \psi \rangle = \langle \psi | \left(\int d\mathbf{x} |\mathbf{x}\rangle \langle \mathbf{x}| \right) | \psi \rangle = \int d\mathbf{x} \psi^*(\mathbf{x}) \psi(\mathbf{x}) = \int d\mathbf{x} |\psi(\mathbf{x})|^2.$$

Under any ortho-normal complete basis $\{|n\rangle\}$ obeying

$$\sum_n |n\rangle \langle n| = 1,$$

$$\langle n | n' \rangle = \delta_{n,n'},$$

we define $\psi_n \equiv \langle n | \psi \rangle$, then the normalization:

$$1 = \langle \psi | \psi \rangle = \langle \psi | \left(\sum_n |n\rangle \langle n| \right) | \psi \rangle = \sum_n \langle \psi | n \rangle \langle n | \psi \rangle = \sum_n |\psi_n|^2.$$

Position operator $\hat{\mathbf{r}}$:

$$\mathbf{r} | \mathbf{x} \rangle = \mathbf{x} | \mathbf{x} \rangle,$$

$$\langle \mathbf{x} | \hat{\mathbf{r}} = \langle \mathbf{x} | \mathbf{x}.$$

Momentum operator $\hat{\mathbf{p}}$. All eigenstates of $\hat{\mathbf{p}}$ form an ortho-normal complete basis $\{|\mathbf{k}\rangle\}$:

$$\sum_{\mathbf{k}} |\mathbf{k}\rangle \langle \mathbf{k}| = 1,$$

$$\langle \mathbf{k} | \mathbf{k}' \rangle = \delta_{\mathbf{k},\mathbf{k}'},$$

Box normalization inside a box with volume V . Normalized eigenstate:

$$\langle \mathbf{x} | \mathbf{k} \rangle = \frac{1}{\sqrt{V}} e^{i\mathbf{k} \cdot \mathbf{x}}.$$

$$\langle \mathbf{k} | \mathbf{k} \rangle = \langle \mathbf{k} | \left(\int d\mathbf{x} |\mathbf{x}\rangle \langle \mathbf{x}| \right) | \mathbf{k} \rangle = \int d\mathbf{x} |\langle \mathbf{x} | \mathbf{k} \rangle|^2 = \int d\mathbf{x} \frac{1}{V} = 1.$$

Particle number operator: $\hat{N} = 1$. Particle density operator: $\hat{N}(\mathbf{x}) = \hat{N}\delta(\hat{\mathbf{r}} - \mathbf{x}) = \delta(\hat{\mathbf{r}} - \mathbf{x})$.

$$\int d\mathbf{x} \hat{N}(\mathbf{x}) = \hat{N} = 1.$$

Position operator $\hat{\mathbf{r}}$.

Position density operator: $\hat{\mathbf{r}}(\mathbf{x}) = \hat{\mathbf{r}}\delta(\hat{\mathbf{r}} - \mathbf{x}) = \delta(\hat{\mathbf{r}} - \mathbf{x})\hat{\mathbf{r}} = \mathbf{x}\delta(\hat{\mathbf{r}} - \mathbf{x})$.

$$\int d\mathbf{x} \hat{\mathbf{r}}(\mathbf{x}) = \hat{\mathbf{r}}.$$

Momentum operator $\hat{\mathbf{p}}$.

Momentum density operator

$$\hat{\mathbf{p}}(\mathbf{x}) \equiv \frac{\delta(\hat{\mathbf{r}} - \mathbf{x})\hat{\mathbf{p}} + \hat{\mathbf{p}}\delta(\hat{\mathbf{r}} - \mathbf{x})}{2} \Rightarrow \int d\mathbf{x} \hat{\mathbf{p}}(\mathbf{x}) = \hat{\mathbf{p}}.$$

Given the quantum state $\psi(\mathbf{x}) = \langle \mathbf{x} | \psi \rangle$ of the particle.

The average particle number is

$$\langle \psi | \hat{N} | \psi \rangle = \langle \psi | \psi \rangle = 1.$$

The average particle density at \mathbf{x} is

$$\langle \psi | \hat{N}(\mathbf{x}) | \psi \rangle = \int d\mathbf{x}' \langle \psi | \delta(\hat{\mathbf{r}} - \mathbf{x}) | \mathbf{x}' \rangle \langle \mathbf{x}' | \psi \rangle = \int d\mathbf{x}' \langle \psi | \delta(\mathbf{x}' - \mathbf{x}) | \mathbf{x}' \rangle \langle \mathbf{x}' | \psi \rangle = |\psi(\mathbf{x})|^2.$$

The average position is

$$\langle \psi | \hat{\mathbf{r}} | \psi \rangle = \langle \psi | \hat{\mathbf{r}} \int d\mathbf{x} |\mathbf{x}\rangle \langle \mathbf{x} | \psi \rangle = \int d\mathbf{x} \mathbf{x} \langle \psi | \mathbf{x} \rangle \langle \mathbf{x} | \psi \rangle = \int d\mathbf{x} \mathbf{x} |\psi(\mathbf{x})|^2 d\mathbf{x}.$$

The average momentum is

$$\langle \psi | \hat{\mathbf{p}} | \psi \rangle = \langle \psi | \int d\mathbf{x} |\mathbf{x}\rangle \langle \mathbf{x} | \hat{\mathbf{p}} | \psi \rangle = \int d\mathbf{x} \psi^*(\mathbf{x}) \langle \mathbf{x} | \hat{\mathbf{p}} | \psi \rangle = \int d\mathbf{x} \psi^*(\mathbf{x}) [-i\nabla_{\mathbf{x}} \psi(\mathbf{x})].$$

The average momentum density is

$$\begin{aligned} \langle \psi | \hat{\mathbf{p}}(\mathbf{x}) | \psi \rangle &= \langle \psi | \frac{\delta(\hat{\mathbf{r}} - \mathbf{x})\hat{\mathbf{p}} + \hat{\mathbf{p}}\delta(\hat{\mathbf{r}} - \mathbf{x})}{2} | \psi \rangle = \langle \psi | \int d\mathbf{x} |\mathbf{x}\rangle \langle \mathbf{x} | \frac{\delta(\hat{\mathbf{r}} - \mathbf{x})\hat{\mathbf{p}} + \hat{\mathbf{p}}\delta(\hat{\mathbf{r}} - \mathbf{x})}{2} | \psi \rangle \\ &= \frac{1}{2} \langle \psi | \int d\mathbf{x}' |\mathbf{x}'\rangle \langle \mathbf{x}' | \delta(\hat{\mathbf{r}} - \mathbf{x}) \hat{\mathbf{p}} | \psi \rangle + \frac{1}{2} \langle \psi | \int d\mathbf{x}' |\mathbf{x}'\rangle \langle \mathbf{x}' | \hat{\mathbf{p}} \delta(\hat{\mathbf{r}} - \mathbf{x}) | \psi \rangle \\ &= \frac{1}{2} \int d\mathbf{x}' \delta(\mathbf{x}' - \mathbf{x}) d\mathbf{x}' \langle \psi | \mathbf{x}' \rangle (-i\nabla_{\mathbf{x}'} \langle \mathbf{x}' | \psi \rangle) + \frac{1}{2} \int d\mathbf{x}' \langle \psi | \mathbf{x}' \rangle (-i\nabla_{\mathbf{x}'} [\delta(\mathbf{x}' - \mathbf{x}) \langle \mathbf{x}' | \psi \rangle]) \\ &= \frac{1}{2} \int d\mathbf{x}' \delta(\mathbf{x}' - \mathbf{x}) d\mathbf{x}' \psi^*(\mathbf{x}') [-i\nabla_{\mathbf{x}'} \psi(\mathbf{x}')] + \frac{1}{2} \int d\mathbf{x}' \langle \psi | \mathbf{x}' \rangle (-i\nabla_{\mathbf{x}'} [\delta(\mathbf{x}' - \mathbf{x}) \langle \mathbf{x}' | \psi \rangle]) \\ &= \frac{1}{2} \psi^*(\mathbf{x}) [-i\nabla_{\mathbf{x}} \psi(\mathbf{x})] + \frac{1}{2} \int d\mathbf{x}' \psi^*(\mathbf{x}') (-i\nabla_{\mathbf{x}'} [\delta(\mathbf{x}' - \mathbf{x}) \psi(\mathbf{x}')]). \end{aligned}$$

The second term is (trick 1):

$$\begin{aligned}
\frac{1}{2} \int d\mathbf{x}' \psi^*(\mathbf{x}') (-i\nabla_{\mathbf{x}'} [\delta(\mathbf{x}' - \mathbf{x}) \psi(\mathbf{x}')]] &= \frac{1}{2} \int d\mathbf{x}' \psi^*(\mathbf{x}') \psi(\mathbf{x}) (-i\nabla_{\mathbf{x}'} \delta(\mathbf{x}' - \mathbf{x})) \\
&= \frac{1}{2} \int d\mathbf{x}' \psi^*(\mathbf{x}') \psi(\mathbf{x}) (i\nabla_{\mathbf{x}} \delta(\mathbf{x}' - \mathbf{x})) \\
&= \frac{1}{2} \psi(\mathbf{x}) (i\nabla_{\mathbf{x}}) \int d\mathbf{x}' \psi^*(\mathbf{x}') \delta(\mathbf{x}' - \mathbf{x}) \\
&= \frac{1}{2} \psi(\mathbf{x}) (i\nabla_{\mathbf{x}}) \psi^*(\mathbf{x}).
\end{aligned}$$

(integration by parts):

$$\begin{aligned}
\frac{-i}{2} \int d\mathbf{x}' \psi^*(\mathbf{x}') \nabla_{\mathbf{x}'} [\delta(\mathbf{x}' - \mathbf{x}) \psi(\mathbf{x}')] &= \frac{-i}{2} \psi^*(\mathbf{x}') [\delta(\mathbf{x}' - \mathbf{x}) \psi(\mathbf{x}')] |_{\mathbf{x}'=\text{boundary}} \\
&\quad - \frac{-i}{2} \int d\mathbf{x}' [\nabla_{\mathbf{x}'} \psi^*(\mathbf{x}')] \delta(\mathbf{x}' - \mathbf{x}) \psi(\mathbf{x}') \\
&= \frac{i}{2} [\nabla_{\mathbf{x}} \psi^*(\mathbf{x})] \psi(\mathbf{x})
\end{aligned}$$

Finally, we have

$$\langle \psi | \hat{\mathbf{p}}(\mathbf{x}) | \psi \rangle = \langle \psi | \frac{\delta(\hat{\mathbf{r}} - \mathbf{x}) \hat{\mathbf{p}} + \hat{\mathbf{p}} \delta(\hat{\mathbf{r}} - \mathbf{x})}{2} | \psi \rangle = \frac{1}{2} \psi^*(\mathbf{x}) (-i\nabla_{\mathbf{x}}) \psi(\mathbf{x}) + \frac{1}{2} [(i\nabla_{\mathbf{x}}) \psi^*(\mathbf{x})] \psi(\mathbf{x}).$$

Particle current operator:

$$\hat{\mathbf{J}} = \frac{\hat{\mathbf{p}}}{m_0}.$$

Particle current density operator at \mathbf{x} :

$$\hat{\mathbf{J}}(\mathbf{x}) = \frac{\delta(\hat{\mathbf{r}} - \mathbf{x}) \hat{\mathbf{p}} + \hat{\mathbf{p}} \delta(\hat{\mathbf{r}} - \mathbf{x})}{m_0} = \frac{\hat{\mathbf{p}}(\mathbf{x})}{m_0}.$$

$$\begin{aligned}
\langle \psi | \hat{\mathbf{J}}(\mathbf{x}) | \psi \rangle &= \frac{1}{2m_0} \psi^*(\mathbf{x}) (-i\nabla_{\mathbf{x}}) \psi(\mathbf{x}) + \frac{1}{2m_0} [(i\nabla_{\mathbf{x}}) \psi^*(\mathbf{x})] \psi(\mathbf{x}) \\
&= \frac{-i}{2m_0} [\psi^*(\nabla_{\mathbf{x}} \psi) - (\nabla_{\mathbf{x}} \psi^*) \psi].
\end{aligned}$$

*****IMPORTANT*****

$$\begin{aligned}
\langle \mathbf{x} | \hat{\mathbf{p}} | \psi \rangle &= \langle \mathbf{x} | \sum_{\mathbf{k}} |\mathbf{k}\rangle \langle \mathbf{k} | \hat{\mathbf{p}} | \psi \rangle = \sum_{\mathbf{k}} \mathbf{k} \langle \mathbf{x} | \mathbf{k} \rangle \langle \mathbf{k} | \psi \rangle = \frac{1}{\sqrt{V}} \sum_{\mathbf{k}} \mathbf{k} e^{i\mathbf{k} \cdot \mathbf{x}} \langle \mathbf{k} | \psi \rangle \\
i\nabla_{\mathbf{x}} \langle \mathbf{x} | \psi \rangle &= \sum_{\mathbf{k}} i\nabla_{\mathbf{x}} \langle \mathbf{x} | \mathbf{k} \rangle \langle \mathbf{k} | \psi \rangle = - \sum_{\mathbf{k}} \frac{1}{\sqrt{V}} \mathbf{k} e^{i\mathbf{k} \cdot \mathbf{x}} \langle \mathbf{k} | \psi \rangle,
\end{aligned}$$

thus

$$\begin{aligned}
\langle \mathbf{x} | \hat{\mathbf{p}} | \psi \rangle &= -i\nabla_{\mathbf{x}} \langle \mathbf{x} | \psi \rangle = -i\nabla_{\mathbf{x}} \psi(\mathbf{x}). \\
\langle \mathbf{x} | \hat{\mathbf{p}} \cdots &= -i\nabla_{\mathbf{x}} \langle \mathbf{x} | \cdots
\end{aligned}$$

II. MANY PARTICLES (NON-INTERACTING)

The Hamiltonian of a single electron is $\hat{H} = \hat{p}^2/(2m_0) + V(\hat{\mathbf{r}})$.

Many-body Hamiltonian $\hat{\mathcal{H}} = \sum_i \hat{H}_i$, $\hat{H}_i = \hat{p}_i^2/(2m_0) + V(\hat{\mathbf{r}}_i)$.

*****It suffices to treat the single-particle problem *****

$$\hat{H}|\psi_k\rangle = E_k|\psi_k\rangle,$$

$$\hat{H}^n|\psi_k\rangle = E_k^n|\psi_k\rangle,$$

$$g(\hat{H}) = g(E_k)|\psi_k\rangle.$$

$$\sum_k |\psi_k\rangle\langle\psi_k| = 1, \quad \langle\psi_k|\psi_{k'}\rangle = \delta_{kk'}.$$

Single-electron Green's function

$$\hat{G}(E) = \frac{1}{E - \hat{H} + i0^+},$$

$$G(E, \mathbf{x}_1, \mathbf{x}_2) = \langle\mathbf{x}_1|\frac{1}{E - \hat{H} + i0^+}|\mathbf{x}_2\rangle = \sum_k \langle\mathbf{x}_1|\frac{1}{E - E_k + i0^+}|\psi_k\rangle\psi_k^*(\mathbf{x}_2) = \sum_k \frac{\psi_k(\mathbf{x}_1)\psi_k^*(\mathbf{x}_2)}{E - E_k + i0^+}.$$

Local density of states:

$$\rho(E, \mathbf{x}) = -\frac{1}{\pi} \text{Im} G(E, \mathbf{x}, \mathbf{x}) = -\frac{1}{\pi} \sum_k |\psi_k(\mathbf{x})|^2 \text{Im} \frac{1}{E - E_k + i0^+} = \sum_k |\psi_k(\mathbf{x})|^2 \delta(E - E_k).$$

upon using $1/(x + i0^+) = P(1/x) - \pi i\delta(x)$.

Density of states:

$$\rho(E) \equiv \sum_k \delta(E - E_k).$$

***** Many particles *****

Given the Fermi energy E_F , the Fermi distribution is $f(E) = \frac{1}{e^{\beta(E-E_F)} + 1}$, $\beta = 1/(k_B T)$.

With $O(\mathbf{x})$ for the single-particle operator and

$$\mathcal{O}(\mathbf{x}) = \sum_{i=1}^N O_i(\mathbf{x}) = \sum_{k,k'} \langle\psi_k|O(\mathbf{x})|\psi_{k'}\rangle C_k^\dagger C_{k'}$$

for the many-particle operator, where C_k^\dagger creates a particle in the eigenstate $|\psi_k\rangle$, e.g., $C_k^\dagger|0\rangle = |1_k\rangle$, we have

$$\begin{aligned}\langle \mathcal{O}(\mathbf{x}) \rangle &= \sum_{k,k'} \langle \psi_k | \mathcal{O}(\mathbf{x}) | \psi_{k'} \rangle \langle C_k^\dagger C_{k'} \rangle \\ &= \sum_{k,k'} \langle \psi_k | \mathcal{O}(\mathbf{x}) | \psi_{k'} \rangle \delta_{k,k'} f(E_k) \\ &= \sum_k \langle \psi_k | \mathcal{O}(\mathbf{x}) | \psi_k \rangle f(E_k).\end{aligned}$$

The total number of electrons is

$$\mathcal{N} = \sum_k \langle \psi_k | \hat{N} | \psi_k \rangle f(E_k) = \sum_k f(E_k) = \int dE \sum_k f(E_k) \delta(E - E_k) = \int f(E) \rho(E) dE$$

Electron density at \mathbf{x} :

$$\mathcal{N}(\mathbf{x}) \equiv \langle \hat{\mathcal{N}}(\mathbf{x}) \rangle = \sum_k \langle \psi_k | \hat{\mathcal{N}}(\mathbf{x}) | \psi_k \rangle f(E_k) = \sum_k |\psi_k(\mathbf{x})|^2 f(E_k) = \int f(E) \rho(E, \mathbf{x}) dE.$$

$$\rho(E, \mathbf{x}) = -\frac{1}{\pi} \text{Im} G(E, \mathbf{x}, \mathbf{x}) = \sum_k |\psi_k(\mathbf{x})|^2 \delta(E - E_k).$$

$$\int d\mathbf{x} \rho(E, \mathbf{x}) = \rho(E).$$

$$\begin{aligned}\delta\rho(\mathbf{x}, E) &= \rho(\mathbf{x}, E) - \rho_0(\mathbf{x}, E) \\ &= -\frac{1}{\pi} \text{Im} G(E, \mathbf{x}, \mathbf{x}) - \left[-\frac{1}{\pi} \text{Im} G_0(E, \mathbf{x}, \mathbf{x}) \right]\end{aligned}$$

$$\begin{aligned}\hat{G}(E) &= \frac{1}{E - \hat{H} + i0^+}, \\ \hat{G}_0(E) &= \frac{1}{E - \hat{H}_0 + i0^+}.\end{aligned}$$
