

## 1 石墨烯的紧束缚模型

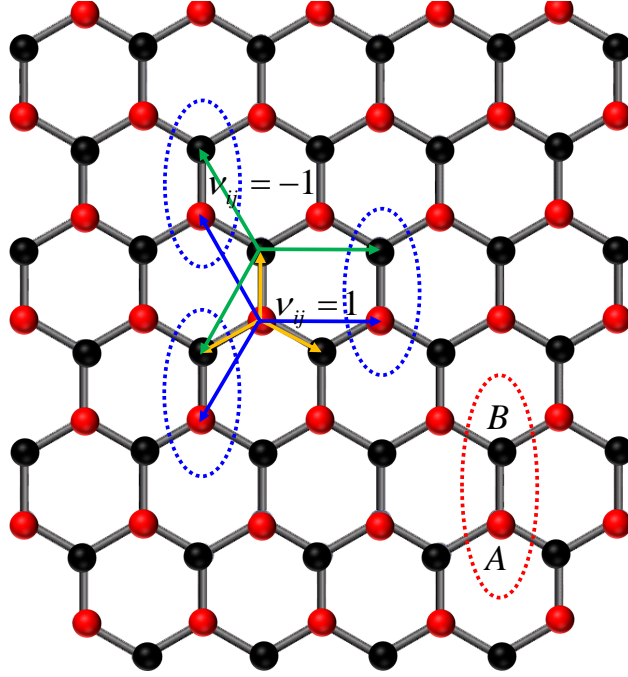


图 1: 石墨烯晶格示意图.

在六角石墨烯晶格中, 选择一组原胞后, 每个原子与其最近邻的原子之间相差的矢量分别为:

$$\mathbf{e}_0 = (0, 1); \mathbf{e}_1 = \left(-\frac{\sqrt{3}}{2}, -\frac{1}{2}\right); \mathbf{e}_2 = \left(\frac{\sqrt{3}}{2}, -\frac{1}{2}\right)$$

首先考虑电子的最近邻跃迁的哈密顿量  $H_1$ :

$$\begin{aligned} H_1 &= t \sum_{\langle i, j \rangle} (a_i^\dagger b_j + b_j^\dagger a_i) \\ &= t \sum_i (a_{\mathbf{r}_i}^\dagger b_{\mathbf{r}_i + \mathbf{e}_0} + a_{\mathbf{r}_i}^\dagger b_{\mathbf{r}_i + \mathbf{e}_1} + a_{\mathbf{r}_i}^\dagger b_{\mathbf{r}_i + \mathbf{e}_2} + b_{\mathbf{r}_i + \mathbf{e}_0}^\dagger a_{\mathbf{r}_i} + b_{\mathbf{r}_i + \mathbf{e}_1}^\dagger a_{\mathbf{r}_i} + b_{\mathbf{r}_i + \mathbf{e}_2}^\dagger a_{\mathbf{r}_i}) \end{aligned}$$

利用Fourier变换关系:

$$\begin{aligned} a_i &= \frac{1}{\sqrt{N}} \sum_{\mathbf{k}} a_{\mathbf{k}} e^{-i\mathbf{k} \cdot \mathbf{r}_i} \\ b_i &= \frac{1}{\sqrt{N}} \sum_{\mathbf{k}} b_{\mathbf{k}} e^{-i\mathbf{k} \cdot \mathbf{r}_i} \end{aligned}$$

于是位置的产生湮灭算符构造的哈密顿量写为:

$$\begin{aligned} \sum_i a_{\mathbf{r}_i}^\dagger b_{\mathbf{r}_i + \mathbf{e}_0} &= \sum_{\mathbf{k}} a_{\mathbf{k}}^\dagger b_{\mathbf{k}} e^{-i\mathbf{k} \cdot \mathbf{e}_0}; \sum_i a_{\mathbf{r}_i}^\dagger b_{\mathbf{r}_i + \mathbf{e}_1} = \sum_{\mathbf{k}} a_{\mathbf{k}}^\dagger b_{\mathbf{k}} e^{-i\mathbf{k} \cdot \mathbf{e}_1}; \sum_i a_{\mathbf{r}_i}^\dagger b_{\mathbf{r}_i + \mathbf{e}_2} = \sum_{\mathbf{k}} a_{\mathbf{k}}^\dagger b_{\mathbf{k}} e^{-i\mathbf{k} \cdot \mathbf{e}_2} \\ \sum_i (b_{\mathbf{r}_i + \mathbf{e}_0}^\dagger a_{\mathbf{r}_i}) &= \sum_{\mathbf{k}} b_{\mathbf{k}}^\dagger a_{\mathbf{k}} e^{i\mathbf{k} \cdot \mathbf{e}_0}; \sum_i (b_{\mathbf{r}_i + \mathbf{e}_1}^\dagger a_{\mathbf{r}_i}) = \sum_{\mathbf{k}} b_{\mathbf{k}}^\dagger a_{\mathbf{k}} e^{i\mathbf{k} \cdot \mathbf{e}_1}; \sum_i (b_{\mathbf{r}_i + \mathbf{e}_2}^\dagger a_{\mathbf{r}_i}) = \sum_{\mathbf{k}} b_{\mathbf{k}}^\dagger a_{\mathbf{k}} e^{i\mathbf{k} \cdot \mathbf{e}_2} \end{aligned}$$

于是得到哈密顿量为：

$$\begin{aligned}
H_1 &= t \sum_i (a_{\mathbf{r}_i}^\dagger b_{\mathbf{r}_i+\mathbf{e}_0} + a_{\mathbf{r}_i}^\dagger b_{\mathbf{r}_i+\mathbf{e}_1} + a_{\mathbf{r}_i}^\dagger b_{\mathbf{r}_i+\mathbf{e}_2} + b_{\mathbf{r}_i+\mathbf{e}_0}^\dagger a_{\mathbf{r}_i} + b_{\mathbf{r}_i+\mathbf{e}_1}^\dagger a_{\mathbf{r}_i} + b_{\mathbf{r}_i+\mathbf{e}_2}^\dagger a_{\mathbf{r}_i}) \\
&= t \sum_{\mathbf{k}} (a_{\mathbf{k}}^\dagger b_{\mathbf{k}} e^{-i\mathbf{k}\cdot\mathbf{e}_0} + a_{\mathbf{k}}^\dagger b_{\mathbf{k}} e^{-i\mathbf{k}\cdot\mathbf{e}_1} + a_{\mathbf{k}}^\dagger b_{\mathbf{k}} e^{-i\mathbf{k}\cdot\mathbf{e}_2} + b_{\mathbf{k}}^\dagger a_{\mathbf{k}} e^{i\mathbf{k}\cdot\mathbf{e}_0} + b_{\mathbf{k}}^\dagger a_{\mathbf{k}} e^{i\mathbf{k}\cdot\mathbf{e}_1} + b_{\mathbf{k}}^\dagger a_{\mathbf{k}} e^{i\mathbf{k}\cdot\mathbf{e}_2}) \\
&= t \sum_{\mathbf{k}} \begin{pmatrix} a_{\mathbf{k}}^\dagger & b_{\mathbf{k}}^\dagger \end{pmatrix} \begin{pmatrix} 0 & e^{-i\mathbf{k}\cdot\mathbf{e}_0} + e^{-i\mathbf{k}\cdot\mathbf{e}_1} + e^{-i\mathbf{k}\cdot\mathbf{e}_2} \\ e^{i\mathbf{k}\cdot\mathbf{e}_0} + e^{i\mathbf{k}\cdot\mathbf{e}_1} + e^{i\mathbf{k}\cdot\mathbf{e}_2} & 0 \end{pmatrix} \begin{pmatrix} a_{\mathbf{k}} \\ b_{\mathbf{k}} \end{pmatrix}
\end{aligned}$$

哈密顿量在动量空间的矩阵核则写为：

$$\mathbf{H}(\mathbf{k}) = \mathbf{H}_1(\mathbf{k}) = \begin{pmatrix} 0 & f(\mathbf{k}) \\ f^*(\mathbf{k}) & 0 \end{pmatrix}$$

其中  $f(\mathbf{k})$  为

$$\begin{aligned}
f(\mathbf{k}) &= t (e^{-i\mathbf{k}\cdot\mathbf{e}_0} + e^{-i\mathbf{k}\cdot\mathbf{e}_1} + e^{-i\mathbf{k}\cdot\mathbf{e}_2}) \\
f^*(\mathbf{k}) &= t (e^{i\mathbf{k}\cdot\mathbf{e}_0} + e^{i\mathbf{k}\cdot\mathbf{e}_1} + e^{i\mathbf{k}\cdot\mathbf{e}_2})
\end{aligned}$$

仅仅考虑最近邻的哈密顿量时， $\mathbf{H}(\mathbf{k})$  得到的能谱色散关系为：

$$E(\mathbf{k}) = \pm \sqrt{|f(\mathbf{k})|^2} = \pm |t| \sqrt{3 + 2 \cos \sqrt{3} k_x + 4 \cos(\frac{\sqrt{3} k_x}{2}) \cos(\frac{3\sqrt{3} k_y}{2})}$$

$$\mathbf{e}_0 = (0, 1); \mathbf{e}_1 = (-\frac{\sqrt{3}}{2}, -\frac{1}{2}); \mathbf{e}_2 = (\frac{\sqrt{3}}{2}, -\frac{1}{2})$$

接下来再考虑次近邻相互作用的石墨烯的哈密顿量  $H_2$ ：

$$H_2 = t' \sum_{\langle\langle i,j \rangle\rangle} (a_i^\dagger a_j + b_i^\dagger b_j) + h.c.$$

注意，次近邻的只有三个矢量，而不是六个矢量，需要利用的次近邻的矢量有：

$$\mathbf{d}_1 = (\sqrt{3}, 0); \mathbf{d}_2 = (-\frac{\sqrt{3}}{2}, -\frac{3}{2}); \mathbf{d}_3 = (-\frac{\sqrt{3}}{2}, \frac{3}{2})$$

则傅里叶变换之后

$$\begin{aligned}
t' \sum_{\langle\langle i,j \rangle\rangle} a_i^\dagger a_j + h.c. &= t' \sum_i (a_{\mathbf{r}_i}^\dagger a_{\mathbf{r}_i+\mathbf{d}_1} + a_{\mathbf{r}_i}^\dagger a_{\mathbf{r}_i+\mathbf{d}_2} + a_{\mathbf{r}_i}^\dagger a_{\mathbf{r}_i+\mathbf{d}_3}) + h.c. \\
&= t' \sum_{\mathbf{k}} a_{\mathbf{k}}^\dagger a_{\mathbf{k}} (e^{-i\mathbf{k}\cdot\mathbf{d}_1} + e^{-i\mathbf{k}\cdot\mathbf{d}_2} + e^{-i\mathbf{k}\cdot\mathbf{d}_3} + e^{i\mathbf{k}\cdot\mathbf{d}_1} + e^{i\mathbf{k}\cdot\mathbf{d}_2} + e^{i\mathbf{k}\cdot\mathbf{d}_3}) \\
&= 2t' \sum_{\mathbf{k}} a_{\mathbf{k}}^\dagger a_{\mathbf{k}} [\cos(\mathbf{k}\cdot\mathbf{d}_1) + \cos(\mathbf{k}\cdot\mathbf{d}_2) + \cos(\mathbf{k}\cdot\mathbf{d}_3)] \\
&= t' \sum_{\mathbf{k}} a_{\mathbf{k}}^\dagger a_{\mathbf{k}} [2 \cos(\sqrt{3} k_x) + 2 \cos(\frac{\sqrt{3}}{2} k_x + \frac{3}{2} k_y) + 2 \cos(\frac{\sqrt{3}}{2} k_x - \frac{3}{2} k_y)] \\
&= t' \sum_{\mathbf{k}} a_{\mathbf{k}}^\dagger a_{\mathbf{k}} [2 \cos(\sqrt{3} k_x) + 4 \cos(\frac{\sqrt{3}}{2} k_x) \cos(\frac{3}{2} k_y)]
\end{aligned}$$

同理对于子格B

$$t' \sum_{\langle\langle i,j \rangle\rangle} b_i^\dagger b_j + h.c. = t' \sum_{\mathbf{k}} b_{\mathbf{k}}^\dagger b_{\mathbf{k}} [2 \cos(\sqrt{3}k_x) + 4 \cos(\frac{\sqrt{3}}{2}k_x) \cos(\frac{3}{2}k_y)]$$

因此, 得到的哈密顿量为:

$$\begin{aligned} H_2 &= t' \sum_{\langle\langle i,j \rangle\rangle} (a_i^\dagger a_j + b_i^\dagger b_j) + h.c. \\ &= \sum_{\mathbf{k}} \begin{pmatrix} a_{\mathbf{k}}^\dagger & b_{\mathbf{k}}^\dagger \end{pmatrix} t' \begin{pmatrix} h(\mathbf{k}) & 0 \\ 0 & h(\mathbf{k}) \end{pmatrix} \begin{pmatrix} a_{\mathbf{k}} \\ b_{\mathbf{k}} \end{pmatrix} \end{aligned}$$

其中

$$h(\mathbf{k}) = t'(2 \cos(\sqrt{3}k_x) + 4 \cos(\frac{\sqrt{3}}{2}k_x) \cos(\frac{3}{2}k_y))$$

则次近邻贡献的矩阵核为

$$\mathbf{H}_2(\mathbf{k}) = \begin{pmatrix} h(\mathbf{k}) & 0 \\ 0 & h(\mathbf{k}) \end{pmatrix}$$

因此总的哈密顿量为:

$$\mathbf{H}(\mathbf{k}) = \mathbf{H}_1(\mathbf{k}) + \mathbf{H}_2(\mathbf{k}) = \begin{pmatrix} h(\mathbf{k}) & f(\mathbf{k}) \\ f^*(\mathbf{k}) & h(\mathbf{k}) \end{pmatrix}$$

当考虑了次近邻效应后的能谱则为

$$\begin{aligned} E(\mathbf{k}) &= \pm \sqrt{|f(\mathbf{k})|^2} + h(\mathbf{k}) \\ &= \pm |t| \sqrt{3 + h(\mathbf{k})} + h(\mathbf{k}) \end{aligned}$$

其中 $t$ 为最近邻跃迁系数,  $t'$ 为次近邻的跃迁。

## 2 Haldane模型

紧束缚哈密顿量

$$\begin{aligned} H &= M \sum_i (a_i^\dagger a_i - b_i^\dagger b_i) + t \sum_{\langle i,j \rangle} (a_i^\dagger b_j + b_j^\dagger a_i) + \sum_{\langle\langle i,j \rangle\rangle} [t' e^{i\nu_{ij}\phi} (a_i^\dagger a_j + b_i^\dagger b_j) + h.c.]. \\ &= H_0 + H_1 + H_2 \end{aligned}$$

其中第一项是引入的交错势能项; 第二项为最近邻跃迁项, 第三项为带了磁通的次近邻跃迁项。  
第一项是交错的在位势能,

$$H_0 = M \sum_i (a_i^\dagger a_i - b_i^\dagger b_i)$$

利用Fourier变换关系：

$$a_i = \frac{1}{\sqrt{N}} \sum_{\mathbf{k}} a_{\mathbf{k}} e^{-i\mathbf{k} \cdot \mathbf{r}_i}$$

$$b_i = \frac{1}{\sqrt{N}} \sum_{\mathbf{k}} b_{\mathbf{k}} e^{-i\mathbf{k} \cdot \mathbf{r}_i}$$

$$H_0 = M \sum_i (a_i^\dagger a_i - b_i^\dagger b_i) = M \sum_{\mathbf{k}} (a_{\mathbf{k}}^\dagger a_{\mathbf{k}} - b_{\mathbf{k}}^\dagger b_{\mathbf{k}})$$

$$= \sum_{\mathbf{k}} \begin{pmatrix} a_{\mathbf{k}}^\dagger & b_{\mathbf{k}}^\dagger \end{pmatrix} \begin{pmatrix} M & 0 \\ 0 & -M \end{pmatrix} \begin{pmatrix} a_{\mathbf{k}} \\ b_{\mathbf{k}} \end{pmatrix}$$

因此交错势能项矩阵的核为

$$\mathbf{H}_0 = \begin{pmatrix} M & 0 \\ 0 & -M \end{pmatrix}$$

最近邻跃迁项与石墨烯一致，即

$$\mathbf{H}_1(\mathbf{k}) = \begin{pmatrix} 0 & f(\mathbf{k}) \\ f^*(\mathbf{k}) & 0 \end{pmatrix}$$

与石墨烯不一致的是，Haldane模型引入了带磁通的次近邻跃迁项

$$\sum_{\langle\langle i,j \rangle\rangle} [t' e^{i\nu_{ij}\phi} (a_i^\dagger a_j + b_i^\dagger b_j) + h.c.].$$

其中 $\nu_{ij}$ 表示手性

$$\nu_{ij} = \frac{2}{\sqrt{3}} (\mathbf{d}_{ij}^{(1)} \times \mathbf{d}_{ij}^{(2)}) \cdot \mathbf{e}_z$$

考虑两个次近邻的位置分别为 $i$ 和 $j$ ，因为是次近邻跃迁，即六角晶格中原胞间相同子格间的跃迁，记作 $\alpha_j$ 到 $\alpha_i$ ，因此这两个共同子格 $\alpha$ 必然有一个公共的最近邻的子格 $\beta$ ，这里 $\mathbf{d}_{ij}^{(1)}$ 表示从位置原胞为 $j$ 地方子格 $\alpha$ 指向子格 $\beta$ 的矢量， $\mathbf{d}_{ij}^{(2)}$ 表示从子格 $\beta$ 指向原胞 $i$ 中的 $\alpha$ 子格的矢量。

举例：对于A子格，当从位置 $j(\frac{\sqrt{3}}{2}, 0)$ 跳回位置 $i(0, 0)$ 需要的中间格点为 $(\frac{\sqrt{3}}{2}, -\frac{1}{2})$ ，相应的中间矢量分别为：

$$\mathbf{d}_{ij}^{(1)} = (-\frac{\sqrt{3}}{2}, \frac{1}{2}); \mathbf{d}_{ij}^{(2)} = (-\frac{\sqrt{3}}{2}, -\frac{1}{2})$$

因此此时的手性为：

$$\nu_{ij} = \frac{2}{\sqrt{3}} \begin{pmatrix} \mathbf{e}_x & \mathbf{e}_y & \mathbf{e}_z \\ -\frac{\sqrt{3}}{2} & \frac{1}{2} & 0 \\ -\frac{\sqrt{3}}{2} & -\frac{1}{2} & 0 \end{pmatrix} \cdot \mathbf{e}_z = 1$$

从位置 $j(\frac{\sqrt{3}}{2}, \frac{3}{2})$ 跳回位置 $i(0, 0)$ 需要的中间点为 $(0, 1)$ ，相应的中间矢量分别为：

$$\mathbf{d}_{ij}^{(1)} = (0, -1); \mathbf{d}_{ij}^{(2)} = (\frac{\sqrt{3}}{2}, \frac{1}{2})$$

手性为：

$$\nu_{ij} = \frac{2}{\sqrt{3}} \begin{pmatrix} \mathbf{e}_x & \mathbf{e}_y & \mathbf{e}_z \\ 0 & -1 & 0 \\ \frac{\sqrt{3}}{2} & \frac{1}{2} & 0 \end{pmatrix} \cdot \mathbf{e}_z = 1$$

从位置 $j(-\frac{\sqrt{3}}{2}, -\frac{3}{2})$ 跳回位置 $i(0, 0)$ 需要的中间点为 $(-\frac{\sqrt{3}}{2}, -\frac{1}{2})$ ，相应的中间矢量分别为：

$$\mathbf{d}_{ij}^{(1)} = (\frac{\sqrt{3}}{2}, \frac{1}{2}); \mathbf{d}_{ij}^{(2)} = (0, 1)$$

手性为：

$$\nu_{ij} = \frac{2}{\sqrt{3}} \begin{pmatrix} \mathbf{e}_x & \mathbf{e}_y & \mathbf{e}_z \\ \frac{\sqrt{3}}{2} & \frac{1}{2} & 0 \\ 0 & 1 & 0 \end{pmatrix} \cdot \mathbf{e}_z = 1$$

对于B子格，从位置 $j(\sqrt{3}, 1)$ 跳回位置 $i(0, 1)$ 需要的中间点为 $(\frac{\sqrt{3}}{2}, \frac{3}{2})$ ，相应的中间矢量分别为：

$$\mathbf{d}_{ij}^{(1)} = (-\frac{\sqrt{3}}{2}, -\frac{1}{2}); \mathbf{d}_{ij}^{(2)} = (-\frac{\sqrt{3}}{2}, \frac{1}{2})$$

手性为：

$$\nu_{ij} = \frac{2}{\sqrt{3}} \begin{pmatrix} \mathbf{e}_x & \mathbf{e}_y & \mathbf{e}_z \\ -\frac{\sqrt{3}}{2} & -\frac{1}{2} & 0 \\ -\frac{\sqrt{3}}{2} & \frac{1}{2} & 0 \end{pmatrix} \cdot \mathbf{e}_z = -1$$

从位置 $j(-\frac{\sqrt{3}}{2}, \frac{5}{2})$ 跳回位置 $i(0, 1)$ 需要的中间点为 $(-\frac{\sqrt{3}}{2}, \frac{3}{2})$ ，相应的中间矢量分别为：

$$\mathbf{d}_{ij}^{(1)} = (\frac{\sqrt{3}}{2}, -\frac{1}{2}); \mathbf{d}_{ij}^{(2)} = (0, -1)$$

手性为：

$$\nu_{ij} = \frac{2}{\sqrt{3}} \begin{pmatrix} \mathbf{e}_x & \mathbf{e}_y & \mathbf{e}_z \\ \frac{\sqrt{3}}{2} & -\frac{1}{2} & 0 \\ 0 & -1 & 0 \end{pmatrix} \cdot \mathbf{e}_z = -1$$

从位置 $j(-\frac{\sqrt{3}}{2}, -\frac{1}{2})$ 跳回位置 $i(0, 1)$ 需要的中间点为 $(0, 0)$ ，相应的中间矢量分别为：

$$\mathbf{d}_{ij}^{(1)} = (0, 1); \mathbf{d}_{ij}^{(2)} = (\frac{\sqrt{3}}{2}, \frac{1}{2})$$

手性为：

$$\nu_{ij} = \frac{2}{\sqrt{3}} \begin{pmatrix} \mathbf{e}_x & \mathbf{e}_y & \mathbf{e}_z \\ 0 & 1 & 0 \\ \frac{\sqrt{3}}{2} & \frac{1}{2} & 0 \end{pmatrix} \cdot \mathbf{e}_z = -1$$

则傅里叶变换之后

$$\begin{aligned}
H_2 &= t' \sum_{\langle i,j \rangle} [e^{i\nu_{ij}\phi} (a_i^\dagger a_j + b_i^\dagger b_j) + h.c.] \\
&= t' \sum_i [e^{i\phi} (a_{\mathbf{r}_i}^\dagger a_{\mathbf{r}_i+\mathbf{d}_1} + a_{\mathbf{r}_i}^\dagger a_{\mathbf{r}_i+\mathbf{d}_2} + a_{\mathbf{r}_i}^\dagger a_{\mathbf{r}_i+\mathbf{d}_3}) + e^{-i\phi} (b_{\mathbf{r}_i}^\dagger b_{\mathbf{r}_i+\mathbf{d}_1} + b_{\mathbf{r}_i}^\dagger b_{\mathbf{r}_i+\mathbf{d}_2} + b_{\mathbf{r}_i}^\dagger b_{\mathbf{r}_i+\mathbf{d}_3})] + h.c. \\
&= t' \sum_{\mathbf{k}} [a_{\mathbf{k}}^\dagger a_{\mathbf{k}} e^{i\phi} (e^{i\mathbf{k}\cdot\mathbf{d}_1} + e^{i\mathbf{k}\cdot\mathbf{d}_2} + e^{i\mathbf{k}\cdot\mathbf{d}_3}) + b_{\mathbf{k}}^\dagger b_{\mathbf{k}} e^{-i\phi} (e^{i\mathbf{k}\cdot\mathbf{d}_1} + e^{i\mathbf{k}\cdot\mathbf{d}_2} + e^{i\mathbf{k}\cdot\mathbf{d}_3})] + h.c. \\
&= 2t' \sum_{\mathbf{k}} a_{\mathbf{k}}^\dagger a_{\mathbf{k}} [\cos(\mathbf{k} \cdot \mathbf{d}_1 + \phi) + \cos(\mathbf{k} \cdot \mathbf{d}_2 + \phi) + \cos(\mathbf{k} \cdot \mathbf{d}_3 + \phi)] \\
&\quad + 2t' \sum_{\mathbf{k}} b_{\mathbf{k}}^\dagger b_{\mathbf{k}} [\cos(\mathbf{k} \cdot \mathbf{d}_1 - \phi) + \cos(\mathbf{k} \cdot \mathbf{d}_2 - \phi) + \cos(\mathbf{k} \cdot \mathbf{d}_3 - \phi)] \\
&= \sum_{\mathbf{k}} \begin{pmatrix} a_{\mathbf{k}}^\dagger & b_{\mathbf{k}}^\dagger \end{pmatrix} \begin{pmatrix} f_1(\mathbf{k}) & 0 \\ 0 & f_2(\mathbf{k}) \end{pmatrix} \begin{pmatrix} a_{\mathbf{k}} \\ b_{\mathbf{k}} \end{pmatrix}
\end{aligned}$$

对于加了磁通之后的次近邻相互作用的哈密顿量的核为

$$\mathbf{H}_2 = \begin{pmatrix} h_1(\mathbf{k}) & 0 \\ 0 & h_2(\mathbf{k}) \end{pmatrix}$$

其中

$$\begin{aligned}
h_1(\mathbf{k}) &= 2t' [\cos(\sqrt{3}k_x + \phi) + 2 \cos(\frac{\sqrt{3}}{2}k_x - \phi) \cos(\frac{3}{2}k_y)] \\
h_2(\mathbf{k}) &= 2t' [\cos(\sqrt{3}k_x - \phi) + 2 \cos(\frac{\sqrt{3}}{2}k_x + \phi) \cos(\frac{3}{2}k_y)]
\end{aligned}$$

因此在k空间中Haldane模型的哈密顿量为：

$$\begin{aligned}
\mathbf{H} &= \mathbf{H}_0 + \mathbf{H}_1 + \mathbf{H}_2 \\
&= \begin{pmatrix} h_1(\mathbf{k}) + M & f(\mathbf{k}) \\ f^*(\mathbf{k}) & h_2(\mathbf{k}) - M \end{pmatrix}
\end{aligned}$$

## 2.1 石墨烯纳米带

最近邻跃迁

首先考虑zigzag情形，如图2所示：其中一个原胞有4个原子，最近邻跃迁构成的哈密顿量为

$$H = t \sum_i a_i^\dagger b_i + b_i^\dagger c_i + c_i^\dagger d_i + b_i^\dagger a_{i+1} + d_i^\dagger c_{i+1} + h.c.$$

每个原子与其最近邻的原子之间相差的矢量分别为：

$$\begin{aligned}
\mathbf{e}_0 &= (0, 0); \mathbf{e}_1 = (\frac{\sqrt{3}}{2}, -\frac{1}{2}); \mathbf{e}_2 = (\frac{\sqrt{3}}{2}, -\frac{3}{2}); \\
\mathbf{e}_3 &= (\sqrt{3}, 0); \mathbf{e}_4 = (\sqrt{3}, -2); \mathbf{e}_5 = (\frac{3\sqrt{3}}{2}, -\frac{3}{2});
\end{aligned}$$

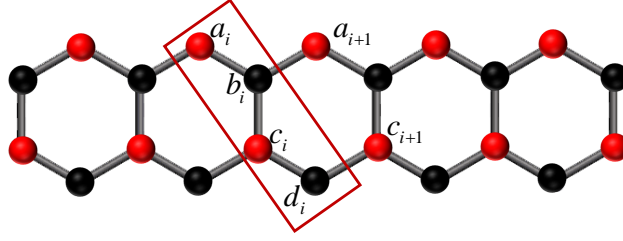


图 2: N=1时石墨烯纳米带示意图.

由于 $x$ 方向具有周期性, 取 $k_y = 0$ 。因此利用Fourier变换关系

$$a_i = \frac{1}{\sqrt{N}} \sum_{k_x} a_{k_x} e^{-ik_x r_x}$$

即转换为一维无限长的原子链条, 其中每个位置有四个原子轨道:

$$\begin{aligned}
 H &= t \sum_i a_i^\dagger b_i + b_i^\dagger c_i + c_i^\dagger d_i + b_i^\dagger a_{i+1} + d_i^\dagger c_{i+1} + h.c. \\
 &= t \sum_i a_{\mathbf{r}_i+\mathbf{e}_0}^\dagger b_{\mathbf{r}_i+\mathbf{e}_1} + b_{\mathbf{r}_i+\mathbf{e}_1}^\dagger c_{\mathbf{r}_i+\mathbf{e}_2} + c_{\mathbf{r}_i+\mathbf{e}_2}^\dagger d_{\mathbf{r}_i+\mathbf{e}_4} + b_{\mathbf{r}_i+\mathbf{e}_1}^\dagger a_{\mathbf{r}_i+\mathbf{e}_3} + d_{\mathbf{r}_i+\mathbf{e}_4}^\dagger c_{\mathbf{r}_i+\mathbf{e}_5} + h.c. \\
 &= t \sum_{k_x} 2 \cos\left(\frac{\sqrt{3}}{2} k_x\right) (a_{k_x}^\dagger b_{k_x} + b_{k_x}^\dagger a_{k_x} + d_{k_x}^\dagger c_{k_x} + c_{k_x}^\dagger d_{k_x}) + b_{k_x}^\dagger c_{k_x} + c_{k_x}^\dagger b_{k_x} \\
 &= t \begin{pmatrix} a_{k_x}^\dagger & b_{k_x}^\dagger & c_{k_x}^\dagger & d_{k_x}^\dagger \end{pmatrix} \begin{pmatrix} 0 & 2 \cos(\frac{\sqrt{3}}{2} k_x) & 0 & 0 \\ 2 \cos(\frac{\sqrt{3}}{2} k_x) & 0 & 1 & 0 \\ 0 & 1 & 0 & 2 \cos(\frac{\sqrt{3}}{2} k_x) \\ 0 & 0 & 2 \cos(\frac{\sqrt{3}}{2} k_x) & 0 \end{pmatrix} \begin{pmatrix} a_{k_x} \\ b_{k_x} \\ c_{k_x} \\ d_{k_x} \end{pmatrix} \\
 &\quad \sum_i a_{\mathbf{r}_i+\mathbf{e}_0}^\dagger b_{\mathbf{r}_i+\mathbf{e}_1} + h.c. = \sum_{k_x} a_{k_x}^\dagger b_{k_x} e^{-i\frac{\sqrt{3}}{2} k_x} + b_{k_x}^\dagger a_{k_x} e^{i\frac{\sqrt{3}}{2} k_x} \\
 &\quad \sum_i b_{\mathbf{r}_i+\mathbf{e}_1}^\dagger c_{\mathbf{r}_i+\mathbf{e}_2} + h.c. = \sum_{k_x} b_{k_x}^\dagger c_{k_x} + c_{k_x}^\dagger b_{k_x} \\
 &\quad \sum_i c_{\mathbf{r}_i+\mathbf{e}_2}^\dagger d_{\mathbf{r}_i+\mathbf{e}_4} + h.c. = \sum_{k_x} c_{k_x}^\dagger d_{k_x} e^{-i\frac{\sqrt{3}}{2} k_x} + d_{k_x}^\dagger c_{k_x} e^{i\frac{\sqrt{3}}{2} k_x} \\
 &\quad \sum_i b_{\mathbf{r}_i+\mathbf{e}_1}^\dagger a_{\mathbf{r}_i+\mathbf{e}_3} + h.c. = \sum_{k_x} b_{k_x}^\dagger a_{k_x} e^{-i\frac{\sqrt{3}}{2} k_x} + a_{k_x}^\dagger b_{k_x} e^{i\frac{\sqrt{3}}{2} k_x} \\
 &\quad \sum_i d_{\mathbf{r}_i+\mathbf{e}_4}^\dagger c_{\mathbf{r}_i+\mathbf{e}_5} + h.c. = \sum_{k_x} d_{k_x}^\dagger c_{k_x} e^{-i\frac{\sqrt{3}}{2} k_x} + c_{k_x}^\dagger d_{k_x} e^{i\frac{\sqrt{3}}{2} k_x}
 \end{aligned}$$

于是得到哈密顿量得核为

$$\mathbf{H}(k_x) = \begin{pmatrix} 0 & 2 \cos(\frac{\sqrt{3}}{2} k_x) & 0 & 0 \\ 2 \cos(\frac{\sqrt{3}}{2} k_x) & 0 & 1 & 0 \\ 0 & 1 & 0 & 2 \cos(\frac{\sqrt{3}}{2} k_x) \\ 0 & 0 & 2 \cos(\frac{\sqrt{3}}{2} k_x) & 0 \end{pmatrix}$$

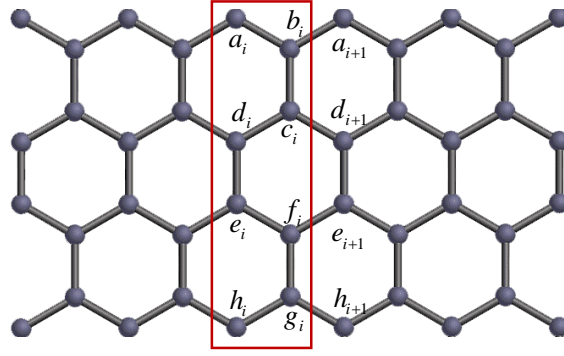


图 3: N=3时石墨烯纳米带示意图.

首先考虑zigzag情形, 如图所示:

当  $N = 3$ ,

其中一个原胞有8个原子, 最近邻跃迁构成的哈密顿量为

$$H_1 = t \sum_i a_i^\dagger b_i + b_i^\dagger c_i + c_i^\dagger d_i + d_i^\dagger e_i + e_i^\dagger f_i + f_i^\dagger g_i + g_i^\dagger h_i \\ + b_i^\dagger a_{i+1} + c_i^\dagger d_{i+1} + f_i^\dagger e_{i+1} + g_i^\dagger h_{i+1} + h.c.$$

每个原子与其最近邻的原子之间相差的矢量分别为:

$$\mathbf{e}_a = (0, 0); \mathbf{e}_b = \left(\frac{\sqrt{3}}{2}, -\frac{1}{2}\right); \mathbf{e}_c = \left(\frac{\sqrt{3}}{2}, -\frac{3}{2}\right); \mathbf{e}_d = (0, -2); \\ \mathbf{e}_e = (0, -3); \mathbf{e}_f = \left(\frac{\sqrt{3}}{2}, -\frac{7}{2}\right); \mathbf{e}_g = \left(\frac{\sqrt{3}}{2}, -\frac{9}{2}\right); \mathbf{e}_h = (0, -5); \\ \mathbf{e}_{a_{i+1}} = (\sqrt{3}, 0); \mathbf{e}_{d_{i+1}} = (\sqrt{3}, -2); \mathbf{e}_{e_{i+1}} = (\sqrt{3}, -3); \mathbf{e}_{h_{i+1}} = (\sqrt{3}, -5);$$

由于  $x$  方向具有周期性, 取  $k_y = 0$ 。因此利用Fourier变换关系

$$a_i = \frac{1}{\sqrt{N}} \sum_{k_x} a_{k_x} e^{-ik_x r_x}$$

即转换为一维无限长的原子链条, 其中每个位置有8个原子轨道:



$$\begin{aligned}
H_1 &= t \sum_i a_i^\dagger b_i + b_i^\dagger c_i + c_i^\dagger d_i + d_i^\dagger e_i + e_i^\dagger f_i + f_i^\dagger g_i + g_i^\dagger h_i \\
&\quad + b_i^\dagger a_{i+1} + c_i^\dagger d_{i+1} + f_i^\dagger e_{i+1} + g_i^\dagger h_{i+1} + h.c. \\
&= t \sum_{k_x} a_{k_x}^\dagger b_{k_x} e^{-i\frac{\sqrt{3}}{2}k_x} + b_{k_x}^\dagger c_{k_x} + c_{k_x}^\dagger d_{k_x} e^{i\frac{\sqrt{3}}{2}k_x} + d_{k_x}^\dagger e_{k_x} + e_{k_x}^\dagger f_{k_x} e^{-i\frac{\sqrt{3}}{2}k_x} + f_{k_x}^\dagger g_{k_x} + g_{k_x}^\dagger h_{k_x} e^{i\frac{\sqrt{3}}{2}k_x} \\
&\quad + b_{k_x}^\dagger a_{k_x} e^{-i\frac{\sqrt{3}}{2}k_x} + c_{k_x}^\dagger d_{k_x} e^{-i\frac{\sqrt{3}}{2}k_x} + f_{k_x}^\dagger e_{k_x} e^{-i\frac{\sqrt{3}}{2}k_x} + g_{k_x}^\dagger h_{k_x} e^{-i\frac{\sqrt{3}}{2}k_x} + h.c. \\
&= t \sum_{k_x} a_{k_x}^\dagger b_{k_x} e^{-i\frac{\sqrt{3}}{2}k_x} + c_{k_x}^\dagger d_{k_x} e^{i\frac{\sqrt{3}}{2}k_x} + e_{k_x}^\dagger f_{k_x} e^{-i\frac{\sqrt{3}}{2}k_x} + g_{k_x}^\dagger h_{k_x} e^{i\frac{\sqrt{3}}{2}k_x} + b_{k_x}^\dagger c_{k_x} + d_{k_x}^\dagger e_{k_x} + f_{k_x}^\dagger g_{k_x} \\
&\quad + b_{k_x}^\dagger a_{k_x} e^{-i\frac{\sqrt{3}}{2}k_x} + c_{k_x}^\dagger d_{k_x} e^{-i\frac{\sqrt{3}}{2}k_x} + f_{k_x}^\dagger e_{k_x} e^{-i\frac{\sqrt{3}}{2}k_x} + g_{k_x}^\dagger h_{k_x} e^{-i\frac{\sqrt{3}}{2}k_x} + h.c. \\
&= t \begin{pmatrix} a_{k_x} \\ b_{k_x} \\ c_{k_x} \\ d_{k_x} \\ e_{k_x} \\ f_{k_x} \\ g_{k_x} \\ h_{k_x} \end{pmatrix}^T \begin{pmatrix} 0 & 2\cos(\frac{\sqrt{3}}{2}k_x) & 0 & 0 & 0 & 0 & 0 & 0 \\ 2\cos(\frac{\sqrt{3}}{2}k_x) & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & \ddots & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 2\cos(\frac{\sqrt{3}}{2}k_x) & 0 \\ 0 & 0 & 0 & 0 & 0 & 2\cos(\frac{\sqrt{3}}{2}k_x) & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 2\cos(\frac{\sqrt{3}}{2}k_x) \end{pmatrix} \begin{pmatrix} a_{k_x} \\ b_{k_x} \\ c_{k_x} \\ d_{k_x} \\ e_{k_x} \\ f_{k_x} \\ g_{k_x} \\ h_{k_x} \end{pmatrix}
\end{aligned}$$

于是得到哈密顿量得核为

$$\mathbf{H}_1(k_x) = t \begin{pmatrix} 0 & 2\cos(\frac{\sqrt{3}}{2}k_x) & 0 & 0 & 0 & 0 & 0 & 0 \\ 2\cos(\frac{\sqrt{3}}{2}k_x) & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 2\cos(\frac{\sqrt{3}}{2}k_x) & 0 & 0 & 0 & 0 \\ 0 & 0 & 2\cos(\frac{\sqrt{3}}{2}k_x) & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 2\cos(\frac{\sqrt{3}}{2}k_x) & 0 & 0 \\ 0 & 0 & 0 & 0 & 2\cos(\frac{\sqrt{3}}{2}k_x) & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 2\cos(\frac{\sqrt{3}}{2}k_x) \\ 0 & 0 & 0 & 0 & 0 & 0 & 2\cos(\frac{\sqrt{3}}{2}k_x) & 0 \end{pmatrix}$$

当  $N = 1$ ,

$$\mathbf{H}_1(k_x) = t \begin{pmatrix} 0 & 2\cos(\frac{\sqrt{3}}{2}k_x) & 0 & 0 \\ 2\cos(\frac{\sqrt{3}}{2}k_x) & 0 & 1 & 0 \\ 0 & 1 & 0 & 2\cos(\frac{\sqrt{3}}{2}k_x) \\ 0 & 0 & 2\cos(\frac{\sqrt{3}}{2}k_x) & 0 \end{pmatrix}$$

当  $N = 2$ ,

$$\mathbf{H}_1(k_x) = t \begin{pmatrix} 0 & 2 \cos(\frac{\sqrt{3}}{2} k_x) & 0 & 0 & 0 & 0 \\ 2 \cos(\frac{\sqrt{3}}{2} k_x) & 0 & 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 2 \cos(\frac{\sqrt{3}}{2} k_x) & 0 & 0 \\ 0 & 0 & 2 \cos(\frac{\sqrt{3}}{2} k_x) & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 0 & 2 \cos(\frac{\sqrt{3}}{2} k_x) \\ 0 & 0 & 0 & 0 & 2 \cos(\frac{\sqrt{3}}{2} k_x) & 0 \end{pmatrix}$$

规律，临近主对角线附近的对角线上的值不为零。

当六角晶格的环有  $N$  层时：矩阵的维度为  $2N+2$  维度。

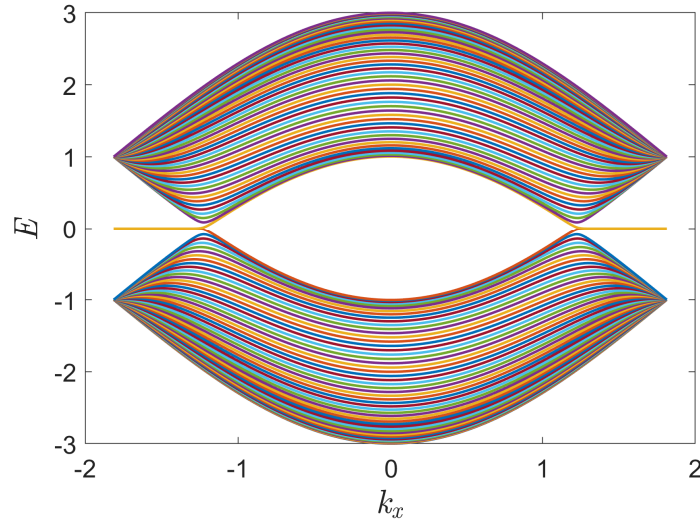


图 4:  $N=50$ ，时纳米带色散关系。

### 3 次近邻相互作用

考虑次近邻的纳米带

当  $N = 1$  时，一个原胞有 4 个原子，次近邻跃迁构成的哈密顿量为

$$H_2 = t' \sum_i a_i^\dagger c_i + b_i^\dagger d_i + a_i^\dagger a_{i+1} + b_i^\dagger b_{i+1} + c_i^\dagger c_{i+1} \\ + d_i^\dagger d_{i+1} + c_i^\dagger a_{i+1} + d_i^\dagger b_{i+1} + h.c.$$

$$\mathbf{a}_i = (0, 0); \mathbf{b}_i = (\frac{\sqrt{3}}{2}, -\frac{1}{2}); \mathbf{c}_i = (\frac{\sqrt{3}}{2}, -\frac{3}{2}); \mathbf{d}_i = (\sqrt{3}, -2)$$

$$\mathbf{a}_{i+1} = (\sqrt{3}, 0); \mathbf{b}_{i+1} = (\frac{3\sqrt{3}}{2}, -\frac{1}{2}); \mathbf{c}_{i+1} = (\frac{3\sqrt{3}}{2}, -\frac{3}{2}); \mathbf{d}_{i+1} = (2\sqrt{3}, -2)$$

$$\begin{aligned}
H_2 &= t' \sum_i a_i^\dagger c_i + b_i^\dagger d_i + a_i^\dagger a_{i+1} + b_i^\dagger b_{i+1} + c_i^\dagger c_{i+1} \\
&\quad + d_i^\dagger d_{i+1} + c_i^\dagger a_{i+1} + d_i^\dagger b_{i+1} + h.c. \\
&= t' \sum_{k_x} a_{k_x}^\dagger c_{k_x} e^{-i\frac{\sqrt{3}}{2}} + b_{k_x}^\dagger d_{k_x} e^{-i\frac{\sqrt{3}}{2}} + a_{k_x}^\dagger a_{k_x} e^{-i\sqrt{3}} + b_{k_x}^\dagger b_{k_x} e^{-i\sqrt{3}} + c_{k_x}^\dagger c_{k_x} e^{-i\sqrt{3}} \\
&\quad + d_{k_x}^\dagger d_{k_x} e^{-i\sqrt{3}} + c_{k_x}^\dagger a_{k_x} e^{-i\frac{\sqrt{3}}{2}} + d_{k_x}^\dagger b_{k_x} e^{-i\frac{\sqrt{3}}{2}} + h.c. \\
&= \\
&= \begin{pmatrix} a_{k_x}^\dagger & b_{k_x}^\dagger & c_{k_x}^\dagger & d_{k_x}^\dagger \end{pmatrix} \begin{pmatrix} 2t' \cos(\sqrt{3}k_x) & 0 & 2t' \cos(\frac{\sqrt{3}}{2}k_x) & 0 \\ 0 & 2t' \cos(\sqrt{3}k_x) & 0 & 2t' \cos(\frac{\sqrt{3}}{2}k_x) \\ 2t' \cos(\frac{\sqrt{3}}{2}k_x) & 0 & 2t' \cos(\sqrt{3}k_x) & 0 \\ 0 & 2t' \cos(\frac{\sqrt{3}}{2}k_x) & 0 & 2t' \cos(\sqrt{3}k_x) \end{pmatrix} \begin{pmatrix} a_{k_x} \\ b_{k_x} \\ c_{k_x} \\ d_{k_x} \end{pmatrix} \\
\mathbf{H}_2(k_x) &= t' \begin{pmatrix} 2 \cos(\sqrt{3}k_x) & 0 & 2 \cos(\frac{\sqrt{3}}{2}k_x) & 0 \\ 0 & 2 \cos(\sqrt{3}k_x) & 0 & 2 \cos(\frac{\sqrt{3}}{2}k_x) \\ 2 \cos(\frac{\sqrt{3}}{2}k_x) & 0 & 2 \cos(\sqrt{3}k_x) & 0 \\ 0 & 2 \cos(\frac{\sqrt{3}}{2}k_x) & 0 & 2 \cos(\sqrt{3}k_x) \end{pmatrix}
\end{aligned}$$

总的哈密顿量为：

$$\mathbf{H} = \mathbf{H}_1 + \mathbf{H}_2 = \begin{pmatrix} 2t' \cos(\sqrt{3}k_x) & 2t \cos(\frac{\sqrt{3}}{2}k_x) & 2t' \cos(\frac{\sqrt{3}}{2}k_x) & 0 \\ 2t \cos(\frac{\sqrt{3}}{2}k_x) & 2t' \cos(\sqrt{3}k_x) & t & 2t' \cos(\frac{\sqrt{3}}{2}k_x) \\ 2t' \cos(\frac{\sqrt{3}}{2}k_x) & t & 2t' \cos(\sqrt{3}k_x) & 2t \cos(\frac{\sqrt{3}}{2}k_x) \\ 0 & 2t' \cos(\frac{\sqrt{3}}{2}k_x) & 2t \cos(\frac{\sqrt{3}}{2}k_x) & 2t' \cos(\sqrt{3}k_x) \end{pmatrix}$$

与石墨烯不一致的是，Haldane模型引入了带磁通的次近邻跃迁项

$$\sum_{\langle\langle i,j \rangle\rangle} [t' e^{i\nu_{ij}\phi} (a_i^\dagger a_j + b_i^\dagger b_j) + h.c.].$$

对于Haldane模型的纳米带，由于次近邻项的哈密顿加了磁通，因此哈密顿量为：

$$\begin{aligned}
H_2 &= t' \sum_i e^{-i\phi} a_i^\dagger c_i + e^{i\phi} b_i^\dagger d_i + e^{i\phi} a_i^\dagger a_{i+1} + e^{-i\phi} b_i^\dagger b_{i+1} + e^{i\phi} c_i^\dagger c_{i+1} \\
&\quad + e^{-i\phi} d_i^\dagger d_{i+1} + e^{-i\phi} c_i^\dagger a_{i+1} + e^{i\phi} d_i^\dagger b_{i+1} + h.c.
\end{aligned}$$

需要判断手性，这里，如图2所示，顺时针跳动的手性 $\nu_{ij} = 1$ ，逆时针跳动的手性为 $\nu_{ij} = -1$ ，

举例：跳动为顺时针时，当从位置 $j(\sqrt{3}, 0)$ 跳回位置 $i(0, 0)$ 需要的中间格点为 $(\frac{\sqrt{3}}{2}, -\frac{1}{2})$ ，相应的中间矢量分别为：

$$\mathbf{d}_{ij}^{(1)} = (-\frac{\sqrt{3}}{2}, \frac{1}{2}); \mathbf{d}_{ij}^{(2)} = (-\frac{\sqrt{3}}{2}, -\frac{1}{2})$$

此时的手性为：

$$\nu_{ij} = \frac{2}{\sqrt{3}} \begin{pmatrix} \mathbf{e}_x & \mathbf{e}_y & \mathbf{e}_z \\ -\frac{\sqrt{3}}{2} & \frac{1}{2} & 0 \\ -\frac{\sqrt{3}}{2} & -\frac{1}{2} & 0 \end{pmatrix} \cdot \mathbf{e}_z = 1$$

跳动为逆时针时, 当从位置 $j(\frac{\sqrt{3}}{2}, -\frac{3}{2})$ 跳回位置 $i(0, 0)$ 需要的中间格点为 $(\frac{\sqrt{3}}{2}, -\frac{1}{2})$ , 相应的中间矢量分别为:

$$\mathbf{d}_{ij}^{(1)} = (-\frac{\sqrt{3}}{2}, \frac{1}{2}); \mathbf{d}_{ij}^{(2)} = (0, 1)$$

此时的手性为:

$$\nu_{ij} = \frac{2}{\sqrt{3}} \begin{pmatrix} \mathbf{e}_x & \mathbf{e}_y & \mathbf{e}_z \\ -\frac{\sqrt{3}}{2} & \frac{1}{2} & 0 \\ 0 & 1 & 0 \end{pmatrix} \cdot \mathbf{e}_z = -1$$

因此只需要知道hopping的转动方向, 即可知道手性。

$$\begin{aligned} H_2 &= t' \sum_i e^{-i\phi} a_i^\dagger c_i + e^{i\phi} b_i^\dagger d_i + e^{i\phi} a_i^\dagger a_{i+1} + e^{-i\phi} b_i^\dagger b_{i+1} + e^{i\phi} c_i^\dagger c_{i+1} \\ &\quad + e^{-i\phi} d_i^\dagger d_{i+1} + e^{-i\phi} c_i^\dagger a_{i+1} + e^{i\phi} d_i^\dagger b_{i+1} + h.c. \\ &= t' \sum_{k_x} a_{k_x}^\dagger c_{k_x} e^{-i\phi} e^{-i\frac{\sqrt{3}}{2}} + b_{k_x}^\dagger d_{k_x} e^{i\phi} e^{-i\frac{\sqrt{3}}{2}} + a_{k_x}^\dagger a_{k_x} e^{i\phi} e^{-i\sqrt{3}} + b_{k_x}^\dagger b_{k_x} e^{-i\phi} e^{-i\sqrt{3}} + c_{k_x}^\dagger c_{k_x} e^{i\phi} e^{-i\sqrt{3}} \\ &\quad + d_{k_x}^\dagger d_{k_x} e^{-i\phi} e^{-i\sqrt{3}} + c_{k_x}^\dagger a_{k_x} e^{-i\phi} e^{-i\frac{\sqrt{3}}{2}} + d_{k_x}^\dagger b_{k_x} e^{i\phi} e^{-i\frac{\sqrt{3}}{2}} + h.c. \\ &= \begin{pmatrix} a_{k_x} \\ b_{k_x} \\ c_{k_x} \\ d_{k_x} \end{pmatrix}^T \begin{pmatrix} 2t' \cos(\sqrt{3}k_x - \phi) & 0 & 2t' \cos(\frac{\sqrt{3}}{2}k_x + \phi) & 0 \\ 0 & 2t' \cos(\sqrt{3}k_x + \phi) & 0 & 2t' \cos(\frac{\sqrt{3}}{2}k_x - \phi) \\ 2t' \cos(\frac{\sqrt{3}}{2}k_x + \phi) & 0 & 2t' \cos(\sqrt{3}k_x - \phi) & 0 \\ 0 & 2t' \cos(\frac{\sqrt{3}}{2}k_x - \phi) & 0 & 2t' \cos(\sqrt{3}k_x + \phi) \end{pmatrix} \begin{pmatrix} a_{k_x} \\ b_{k_x} \\ c_{k_x} \\ d_{k_x} \end{pmatrix} \end{aligned}$$

于是得到Haldane模型中的次近邻得相互作用Hamiltonian为:

$$\mathbf{H}_2 = \begin{pmatrix} 2t' \cos(\sqrt{3}k_x - \phi) & 0 & 2t' \cos(\frac{\sqrt{3}}{2}k_x + \phi) & 0 \\ 0 & 2t' \cos(\sqrt{3}k_x + \phi) & 0 & 2t' \cos(\frac{\sqrt{3}}{2}k_x - \phi) \\ 2t' \cos(\frac{\sqrt{3}}{2}k_x + \phi) & 0 & 2t' \cos(\sqrt{3}k_x - \phi) & 0 \\ 0 & 2t' \cos(\frac{\sqrt{3}}{2}k_x - \phi) & 0 & 2t' \cos(\sqrt{3}k_x + \phi) \end{pmatrix}$$

以上讨论是对 $N = 1$ 进行的。

当 $N = 2$

$$\mathbf{H}_2 = \begin{pmatrix} 2t' \cos(\sqrt{3}k_x - \phi) & 0 & 2t' \cos(\frac{\sqrt{3}}{2}k_x + \phi) & 0 & & \\ 0 & 2t' \cos(\sqrt{3}k_x + \phi) & 0 & 2t' \cos(\frac{\sqrt{3}}{2}k_x - \phi) & & \\ 2t' \cos(\frac{\sqrt{3}}{2}k_x + \phi) & 0 & 2t' \cos(\sqrt{3}k_x - \phi) & 0 & 2t' \cos(\frac{\sqrt{3}}{2}k_x + \phi) & \\ 0 & 2t' \cos(\frac{\sqrt{3}}{2}k_x - \phi) & 0 & 2t' \cos(\sqrt{3}k_x + \phi) & 2t' \cos(\frac{\sqrt{3}}{2}k_x - \phi) & \\ & & 2t' \cos(\frac{\sqrt{3}}{2}k_x + \phi) & 2t' \cos(\sqrt{3}k_x - \phi) & & \\ & & & 2t' \cos(\frac{\sqrt{3}}{2}k_x - \phi) & 2t' \cos(\sqrt{3}k_x + \phi) & \end{pmatrix}$$

主对角线中 $2t' \cos(\sqrt{3}k_x - \phi)$ 与 $2t' \cos(\sqrt{3}k_x + \phi)$ 交替出现,

上第二条对角线 $2t' \cos(\frac{\sqrt{3}}{2}k_x + \phi)$ 和 $2t' \cos(\sqrt{3}k_x + \phi)$ 交替出现

下第二条对角线同上。

当  $N = 2$  时总的哈密顿量

$$\mathbf{H}_2 = \begin{pmatrix} 2t' \cos(\sqrt{3}k_x - \phi) & 2t \cos(\frac{\sqrt{3}}{2}k_x) & 2t' \cos(\frac{\sqrt{3}}{2}k_x + \phi) & 0 & 0 & 0 \\ 2t \cos(\frac{\sqrt{3}}{2}k_x) & 2t' \cos(\sqrt{3}k_x + \phi) & t & 2t' \cos(\frac{\sqrt{3}}{2}k_x - \phi) & 0 & 0 \\ 2t' \cos(\frac{\sqrt{3}}{2}k_x + \phi) & t & 2t' \cos(\sqrt{3}k_x - \phi) & 2t \cos(\frac{\sqrt{3}}{2}k_x) & 2t' \cos(\frac{\sqrt{3}}{2}k_x + \phi) & 0 \\ 0 & 2t' \cos(\frac{\sqrt{3}}{2}k_x - \phi) & 2t \cos(\frac{\sqrt{3}}{2}k_x) & 2t' \cos(\sqrt{3}k_x + \phi) & t & 2t' \cos(\frac{\sqrt{3}}{2}k_x - \phi) \\ 0 & 0 & 2t' \cos(\frac{\sqrt{3}}{2}k_x + \phi) & t & 2t' \cos(\sqrt{3}k_x - \phi) & 2t \cos(\frac{\sqrt{3}}{2}k_x) \\ 0 & 0 & 0 & 2t' \cos(\frac{\sqrt{3}}{2}k_x - \phi) & 2t \cos(\frac{\sqrt{3}}{2}k_x) & 2t' \cos(\sqrt{3}k_x + \phi) \end{pmatrix}$$