

# 01204211 Discrete Mathematics

## Lecture 12b: Undecidability (2)

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# Decision problems

- ▶ Given an integer  $x$ , is  $x$  odd?
- ▶ Given a string  $w$ , is  $w$  palindrome?
- ▶ Given a string  $w$ , is  $w \in \{0^n 1^n \mid n \geq 0\}$ ?
- ▶ Given a map, a starting position  $s$ , a destination  $t$ , and an integer  $k$ , does there exist a path from  $s$  to  $t$  with distance at most  $k$ ?
- ▶ Given a program  $P$  and input string  $w$ , when running  $P$  with  $w$  as an input, does  $P$  terminate?

# Decision problems and languages

For this problem:

Given an integer  $x$ , is  $x$  odd?

we can define a corresponding language

$$L_E = \{\dots, -6, -4, -2, 0, 2, 4, 6, \dots\}.$$

To solve this problem, given  $x$ , we can ask if  $x \in L_E$ .

# Deciders

We say that a python program  $P$  **decides** the language  $L$  if for any input string  $x$ ,  $P$  when running with  $x$  as an input,

- ▶  $P$  always terminates,
- ▶  $P$  outputs **yes**, if  $x \in L$ , and
- ▶  $P$  outputs **no**, if  $x \notin L$ .

# Deciders

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If we believe that anything that a computer can do can be written as a python program, and there is no python program that decides a language  $A$ , then we say that

$A$  is undecidable.

# Language HALTA and HALT

Let  $\mathbb{P}$  be the set of all python programs. Let the language HALTA be

$$\{\underline{P} \in \mathbb{P} \mid \text{when running } P \text{ with } P \text{ as an input, } P \text{ terminates}\}$$

Or with a more concise notation:

$$\hookrightarrow \text{HALTA} = \{P \in \mathbb{P} \mid \underline{P(P)} \text{ terminates}\}.$$

We also have another related language

$$\underline{\text{HALT}} = \{(P, w) \mid \underline{P} \text{ is a python program such that } \underline{P(w)} \text{ terminates}\}$$

## Lemma 1

$\text{HALT}_A$  *and*  $\text{HALT}$  *are undecidable.*

## Lemma 2

There is no python program that decides  $A \neq \text{HALT } A$ .



## Proof.

We prove by contradiction. Assume that there is a python program  $P$  that decides  $A$ .



## Lemma 2

There is no python program that decides  $A$ .

decides HALT<sub>A</sub>

## Proof.

We prove by contradiction. Assume that there is a python program  $P$  that decides  $A$ . We describe a python program  $B$  that reads a string  $Q$  as an input as follows:

Program B

Input  $Q$

```
1. Load P as module Pmod
2.   if Pmod.main(Q) == 'yes':      # when Pmod outputs yes
3.       while True: pass           # loop forever
4.   else:                           # when Pmod outputs no
5.       quit()
```

$P(Q) == 'yes'$

via which  $P$  decides HALT<sub>A</sub>

Given program  $Q$  as an input,  $B$  loops forever when

$P(Q) = \text{yes} \Rightarrow Q(Q)$  halts

## Lemma 2

*There is no python program that decides  $A$ .*

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2. if Pmod.main(Q) == 'yes':      # when Pmod outputs yes
3.     while True: pass           # loop forever
4. else:                          # when Pmod outputs no
5.     quit() ←
```

Given program  $Q$  as an input,  $B$  loops forever when

It terminates when  $P(Q) == 'no' \Rightarrow Q(Q)$  loops



## Proof.

We know that

- ▶  $B(Q)$  loops when  $Q(Q)$  terminates, and
- ▶  $B(Q)$  terminates when  $Q(Q)$  loops. ✓

Does running  $B$  using  $B$  as an input terminate?

$B(B)$  loops  $B(B)$  terminates ✗

$B(B)$  terminates  $B(B)$  loops ✗

} contradiction

just for  $B$

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Let's try to plug in  $Q = B$ . We have

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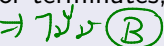
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- ▶  $B(B)$  loops when  $B(B)$  terminates, and
- ▶  $B(B)$  terminates when  $B(B)$  loops.

Since either  $B(B)$  loops or terminates, and we cannot be in any of the cases, we obtain a contradiction.  $\Rightarrow$  

Therefore, we conclude that program  $P$  does not exist.





## Reduction: proving undecidability of HALT



§ HALT undecidable (Q)

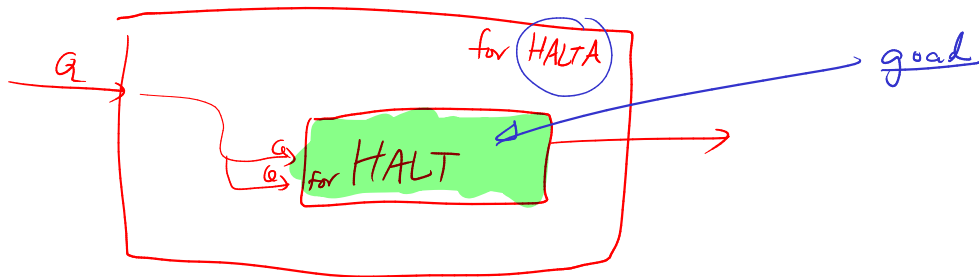
$$(P) \quad \boxed{\neg P \Rightarrow \neg Q} \mid \Rightarrow \neg P$$

- ▶ We show that if HALT is decidable, then HALT is also decidable.
- ▶ However, HALT IS UNDECIDABLE.
- ▶ We conclude that HALT is also undecidable.

(7Q)

proof by contradiction.

## Reduction in picture



reduction on HALT to HALT  
source target

Let  $\text{ACCEPT} = \{(P, w) \mid P \in \mathbb{P} \text{ and } P(w) \text{ terminates with acceptance}\}$ .

### Lemma 3

**ACCEPT** is undecidable.

### Proof.

We prove the lemma by contradiction. Assume that there is a python program  $Q$  that decides **ACCEPT**. We construct a program  $C$  that decides **HALT** as follows

Program C

Input P, w

1. Replace every "print('no')" statement in P with "print('yes')"
1. if Q(P, w) == 'yes':
2.     print('yes')
3. else
4.     print('no')

## Proof (cont.)

Program C

Input  $P, w$

1. Replace every "print('no')" statement in  $P$  with "print('yes')"

1. if  $Q(P, w) == \text{'yes'}$ :

2.     print('yes')

3.     else

4.     print('no')

use  $P$  to build  $P'$

$P'(w)$  is: accept

machine  $C$  decides HALT

We have to make sure that our reduction is correct by considering two cases.

Case 1: when  $P(w)$  halts.

✓  $P(w)$  halts  
⇒  $C$  says yes

-  $P'(w)$  is: accept \* halts in run

✓  $P(w)$  halts  
⇒  $C$  says no

no error  $Q(P, w) \Rightarrow \text{no yes} \Rightarrow C$  says yes

## Proof.

We prove the lemma by contradiction. Assume that there is a python program  $Q$  that decides **ACCEPT**. We construct a program  $C$  that decides **HALT** as follows

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 works if  $Q(p,w) \Rightarrow \text{no}$

Case 1: when  $P(w)$  halts.

-  $P'(w)$  accepts \*In 7th run  
 works in  $Q(p, w) \Rightarrow$  must yes  $\Rightarrow C$  must **yes**

• Let  
 $Reject = \{ (P, w) \mid$   
 $P \in \mathcal{P}, P(w) \text{ rejects} \}$   
 as  $Reject$  is  
 undecidable

We have to make sure that our reduction is correct by considering two cases.

Case 1: when  $P(w)$  halts.

Case 2: when  $P(w)$  does not halt.

$P'(w)$  ist halt,  $Q(P', w)$  auch no  $\Rightarrow Q$  nur no

Since in both cases,  $C$  answers correctly, we know that given program  $Q$  deciding ACCEPT, we can construct a program  $C$  that decides HALT. However, we know that HALT is undecidable; thus, we reach a contradiction. We conclude that ACCEPT is also undecidable.  $\square$

## Proof (cont.)

Program C

Input  $P, w$

1. Replace every "print('no')" statement in  $P$  with "print('yes')"
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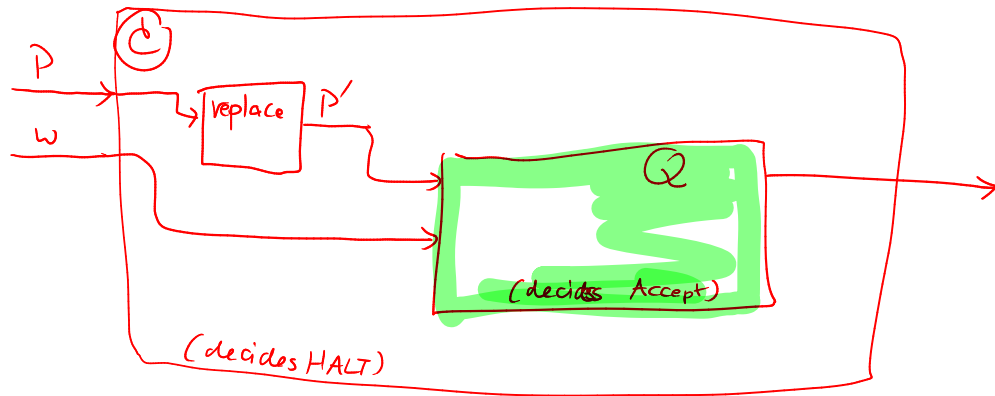
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# Reduction from HALT to ACCEPT in picture



## How about REJECT?

Let

$$\text{REJECT} = \{(P, w) \mid P \in \mathbb{P} \text{ and } P \text{ rejects } w\}.$$

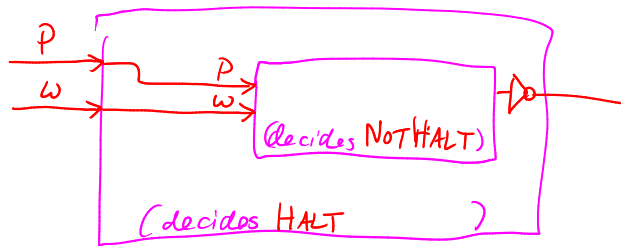
*P terminates & rejects w*



# How about NOTHALT?

Let

$$\text{NOTHALT} = \{(P, w) \mid P \in \mathbb{P} \text{ and } P(w) \text{ does not terminate}\}.$$



# Language of program $P$

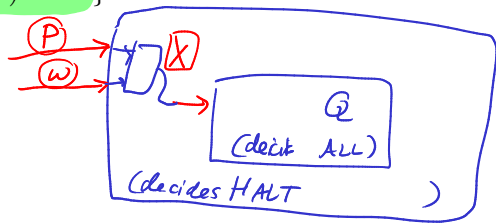
For a python program  $P$ , let  $L(P)$  be the set of all strings that  $P$  accepts, i.e.,

$$L(P) = \{w \in \Sigma^* \mid \underline{P(w) = yes}\}.$$

Let

$$\text{ALL} = \{P \in \mathbb{P} \mid L(P) = \Sigma^*\}.$$

मैंने  $X$  में  
 $X$  accepts n.n strings  
और  $P(w)$  halts



## Lemma 4

ALL is undecidable.

### Proof.

We prove by reduction from HALT. Assume that ALL is decidable, i.e., there is a python program Q that decides ALL. We construct program C that decides HALT as follows

Program C (input: P, w)

1. Construct another program R from P and w:

| Program R (input: x)

| 1. Run program P on input w, suppressing any output from P

| 2. Accept x

2. if Q(R) == 'yes':

3. return 'yes'


4. else: return 'no'

## Proof (cont.)

Program C (input:  $P, w$ )

1. Construct another program R from P and w:

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    | 2. Accept x

2. if Q(R) == 'yes':

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4. else: return 'no'

To ensure the correctness, we have to consider two cases.

Case 1: when  $P(w)$  halts.  $\therefore R(x)$  accepts  $\forall x \Rightarrow R \in ALL \Rightarrow Q(R) = \text{yes}$   
C returns yes

## Proof (cont.)

Program C (input:  $P, w$ )

1. Construct another program R from P and w:
  - | Program R (input:  $x$ )
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4. else: return 'no'

To ensure the correctness, we have to consider two cases.

Case 1: when  $P(w)$  halts. ✓

Case 2: when  $P(w)$  does not halt. ✓

$P(x)$   $\nexists$  halt,  $R \notin ALL$ ,  $Q(R) = \text{'no'}$ , C  $\Rightarrow$  no

## Proof (cont.)

Program C (input:  $P, w$ )

1. Construct another program R from P and w:
  - | Program R (input:  $x$ )
  - | 1. Run program P on input  $w$ , suppressing any output from P
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To ensure the correctness, we have to consider two cases.

Case 1: when  $P(w)$  halts.

Case 2: when  $P(w)$  does not halt.

Since we can construct a program for HALT using a program that decides ALL, but HALT is undecidable; therefore, we conclude that ALL is undecidable. □

# EMPTY

Let

$$\text{EMPTY} = \{P \in \mathbb{P} \mid L(P) = \emptyset\}.$$


## Lemma 5

`EMPTY` is undecidable.

### Proof.

We prove by reduction from HALT. Assume that `EMPTY` is decidable, i.e., there is a python program  $Q$  that decides `EMPTY`. We construct program  $C$  that decides `HALT` as follows

Program  $C$  (input:  $P, w$ )

1. Construct another program  $R$  from  $P$  and  $w$ :
  - | Program  $R$  (input:  $x$ )
  - | 1. Run program  $P$  on input  $w$ , suppressing any output from  $P$
  - | 2. Accept  $x$
2. if  $Q(R) == \text{'yes'}$ :
3.     return 'no' 
4. else: return 'yes'

Since we can construct a program for `HALT` using a program that decides `EMPTY`, but `HALT` is undecidable; therefore, we conclude that `EMPTY` is undecidable. □



## Lemma 6

Let  $EQ = \{(\underline{P_1}, \underline{P_2}) \mid P_1, P_2 \in \mathbb{P} \text{ and } L(P_1) = \underline{L(P_2)}\}$ .  $EQ$  is undecidable.  $L(P_2) = \Sigma^*$

## Proof.

We prove by reduction from  $ALL$ . Assume that  $EQ$  is decidable, i.e., there is a python program  $Q$  that decides  $EQ$ . We construct program  $C$  that decides  $ALL$  as follows

Program  $C$  (input:  $P$ )

1. Construct another program  $R$ :

| Program  $R$  (input:  $x$ )

| 1. Accept  $x$

2. if  $Q(P, R) == \text{'yes'}$ :

3. return  $\text{'yes'}$

4. else: return  $\text{'no'}$

$$L(R) = \Sigma^*$$

$$\hookrightarrow L(P) = L(R) = \Sigma^* \Rightarrow P \in ALL$$

Since we can construct a program for  $ALL$  using a program that decides  $EQ$ , but  $ALL$  is undecidable; therefore, we conclude that  $EQ$  is undecidable. □

## Another proof for EQ

### Proof.

We prove by reduction from EMPTY. Assume that EQ is decidable, i.e., there is a python program  $Q$  that decides EQ. We construct program  $C$  that decides EMPTY as follows

Program C (input: P)

1. Construct another program  $R$ :
  - | Program R (input: x)
  - | 1. Reject x
2. if  $Q(P,R) == \text{'yes'}$ :
3.     return 'yes' ✓
4. else: return 'no'

$$L(R) = \emptyset$$

$$\text{// } \underline{L(P) = L(R) = \emptyset} \Rightarrow \underline{P \in \text{EMPTY}}$$

Since we can construct a program for EMPTY using a program that decides EQ, but EMPTY is undecidable; therefore, we conclude that EQ is undecidable. □

## Lemma 7

Let  $\text{HELLO} = \{P \in \mathbb{P} \mid L(P) = \{\text{hello}\}\}$ .  $\text{HELLO}$  is undecidable.

## INCORRECT PROOF.

We prove by reduction from  $\text{EQ}$ . Assume that  $\text{EQ}$  is decidable, i.e., there is a python program  $Q$  that decides  $\text{EQ}$ . We construct program  $C$  that decides  $\text{HELLO}$  as follows

Program C (input: P)

1. Construct another program R:

```
| Program R (input: x)
| 1. if x == 'hello':
| 2.   print('yes')      # accept x
| 3. else
| 4.   print('no')       # reject x
```

2. if  $Q(P, R) == \text{'yes'}$ :

3. return 'yes'

4. else: return 'no'

$$L(R) = \{\text{hello}\}$$



$$L(P) = L(R) = \{\text{hello}\}$$

$$P \in \text{HELLO}$$



## Lemma 8

Let  $\text{HELLO} = \{P \in \mathbb{P} \mid L(P) = \{\text{hello}\}\}$ . *HELLO is undecidable.*

## Proof

We prove by reduction from HALT. Assume that HELLO is decidable, i.e., there is a python program  $Q$  that decides HELLO. We construct program  $C$  that decides HALT as follows

Program C (input:  $P, w$ )

1. Construct another program R:

| Program R (input:  $x$ )

| 1. if  $x \neq \text{'hello'}$ :

| 2. print('no')  $\times$  # reject  $x$

| 3. Replace any output statements from P

| 4. Run the modified P on  $w$

| 5. print('yes') # accept  $x$

2. if  $Q(R)$  == 'yes':

3. return 'yes'

4. else: return 'no'

$$L(R) = \begin{cases} \{\text{hello}\} & \text{if } P(w) \text{ halts} \\ \emptyset & \text{if } P(w) \text{ does not halt} \end{cases}$$

## Proof (cont.)

Program C (input:  $P, w$ )

1. Construct another program R:
  - | Program R (input:  $x$ )
  - | 1. if  $x \neq \text{'hello'}$ :
  - | 2.    $\text{print('no')}$    # reject  $x$
  - | 3. Replace any output statements from  $P$
  - | 4. Run the modified  $P$  on  $w$
  - | 5.    $\text{print('yes')}$    # accept  $x$
2. if  $Q(R) == \text{'yes'}$ :
3.    $\text{return 'yes'}$
4. else:  $\text{return 'no'}$

We consider two cases:

Case 1:  $P(w)$  halts.

## Proof (cont.)

Program C (input: P,w)

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## Proof (cont.)

Program C (input: P,w)

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We consider two cases:

Case 1:  $P(w)$  halts.

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Since we can construct a program that decides HALT given a program that decides HELLO, but HALT is undecidable. We conclude that HELLO is also undecidable. □

# Python as computation

Do you believe in this assumption:

**Anything that a computer can do can be written as a python program.**



# Turing machines

**Anything that a computer can do can be carried out using Turing machines.**

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**Anything that a computer can do can be carried out using Turing machines.**

**Any possible computation can be performed by Turing machines.**

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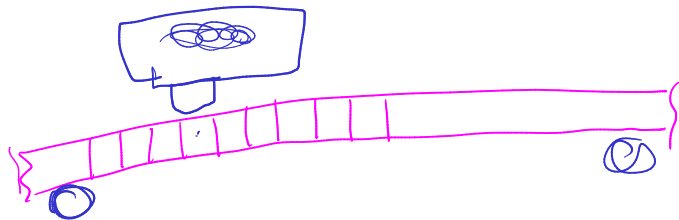
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- ▶ Proposed by Alan Turing in 1936.
- ▶ A finite automaton with an **unlimited** memory with **unrestricted** access.
- ▶ Can perform any tasks that a computer can. (we'll see)
- ▶ However, there are problems that TM can't solve. These problems are beyond the limit of computation.

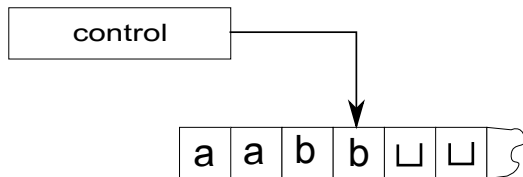
# Components



- ▶ An infinite **tape**.
- ▶ A tape head that can
  - ▶ **read and write** to the tape, and
  - ▶ **move** around the tape.



# Schematic



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- ▶ It can write a symbol back and move **left** or **right**.
- ▶ At the end of the computation, the machine outputs **accept** or **reject**, by entering accept state of reject state. (After changing, it halts.)
- ▶ It can go on forever (not entering any accept or reject states).

# Examples



# Formal definition of a Turing Machine

- ▶ Again, the important part is the definition of the transition function.

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- ▶ E.g., if  $\delta(q, a) = (r, b, \text{LEFT})$ , then if the machine is in state  $q$  and reads  $a$ , it will change its state to  $r$ , write  $b$  to the tape and move to the left.

# Definition

## Definition (Turing Machine)

A **Turing machine** is a 7-tuple,  $(Q, \Sigma, \Gamma, \delta, q_0, q_{accept}, q_{reject})$ , where  $Q, \Sigma, \Gamma$  are finite sets and

1.  $Q$  is the set of states,
2.  $\Sigma$  is the input alphabet not containing the **blank symbol**  $\sqcup$ ,
3.  $\Gamma$  is the tape alphabet, where  $\sqcup \in \Gamma$  and  $\Sigma \subset \Gamma$ ,
4.  $\delta : Q \times \Gamma \rightarrow Q \times \Gamma \times \{\text{LEFT}, \text{RIGHT}\}$  is the transition function,
5.  $q_0 \in Q$  is the start state,
6.  $q_{accept} \in Q$  is the accept state, and
7.  $q_{reject} \in Q$  is the reject state, where  $q_{accept} \neq q_{reject}$ .



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- ▶ There are many alternative definitions of TM's.
- ▶ They are called **variants** of TM's.
- ▶ They all have the same power. This demonstrates the **robustness** in the definition of TM's. Also, this is an evidence that TM's “capture” the idea of computation (because whatever computing machine we can think of they are all equivalent to TM's).

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  - ▶ For any “stay put” transition, we replace with two transitions: “right” and “left”.



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- ▶ E.g., if  $\delta(q_i, a_1, \dots, a_k) = (q_j, b_1, \dots, b_k, \text{LEFT}, \text{RIGHT}, \dots, \text{LEFT})$  then if the machine is at state  $q_i$  and each head on tape  $i$  reads symbol  $a_i$ , it'll write  $b_i$  on each tape  $i$ , change state to  $q_j$  and move each head accordingly.

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- ▶ Again, we view the computation of a nondeterministic Turing machine as a tree, where each branching corresponds to the place where the TM can make different moves.
- ▶ Can nondeterminism help?

# Equivalence in Power

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- ▶ **Should I write programs in Python or Prolog?**
- ▶ **Should I write programs in Ruby or LISP?**

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Since you can write a C interpreter in Pascal and Pascal interpreter in C, what you can do in C, you can do in Pascal.

# Turing machine

If you believe that Turing machines are ultimate model of computing, all those programming languages are equivalent because they all can simulate Turing machines (and they runs on Turing machines).

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  - ▶ We want to be able to say that **for all** computers. In fact, **for any “thinkable”** computers.

# What is a computer?

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What is an algorithm?

# Hilbert's problems

Mathematician David Hilbert asked:

"Find a process according to which it can be determined by a finite number of operations if a given polynomial has integral root"

## To say NO

We need an argument (a mathematical proof) that covers all possible “processes” or all “computations”.

# Possible definitions

- ▶ Church's  $\lambda$ -calculus
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They both turned out to be **equivalent**.



## Church-Turing thesis

Turing machine algorithms = intuitive notion of algorithms

## Final answer to Hilbert

No, there doesn't exist any algorithm for determining if a polynomial has integral root.