

01204211 Discrete Mathematics  
Lecture 12a: Undecidability (1)

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## Non-context-free languages

While

$$\{0^n 1^n \mid n \geq 0\}$$

is context free, the language

$$\{0^n 1^n 0^n \mid n \geq 0\}$$

is not.

Can we write a python program to check if a string  $w$  belongs to the language  $\{0^n 1^n 0^n \mid n \geq 0\}$ ?

## Big question

Is there a python program that “solves” any possible problem?

Can a computer solve any problem?

Is there an algorithm that solves every problem?

What is the limit of computation?

## Answer by a counting argument

If there are “more” problems than any possible algorithms, then there should be some problem that algorithms cannot solve.

(Think of an algorithm as “a python program.”)

However, there are infinitely many python programs and there are infinitely many problems. It is not obvious how to make such an argument formally.

# Bijections

## Definition

- ▶ A function  $f : A \longrightarrow B$  from domain  $A$  to range  $B$  is **one-to-one** if for any  $x \neq y \in A$ ,  $f(x) \neq f(y)$ .
- ▶ A function  $f : A \longrightarrow B$  from domain  $A$  to range  $B$  is **onto** if for any  $x' \in B$ , there exists  $x \in A$  such that  $f(x) = x'$ .
- ▶ A function  $f : A \longrightarrow B$  is a **bijection** (or bijective) if it is one-to-one and onto.

## Bijection: examples

## Lemma 1

*For any set  $A$ , there is no bijective function  $f : A \longrightarrow 2^A$ .*

### Proof.

We prove by contradiction. Assume that there exists a bijective function  $f$  from  $A$  to  $2^A$ . We construct a set  $B \subseteq A$  such that there is no  $x \in A$  such that  $f(x) = B$ . We define  $B$  as follows.

$$B = \{x \in A \mid x \notin f(x)\}.$$

Now suppose that there exists  $x \in A$  such that  $f(x) = B$ . There are two cases to consider:

**Case 1:**  $x \in B$ .

**Case 2:**  $x \notin B$ .

In both case, we have a contradiction; therefore, our assumption is false. Thus, there is no bijection between  $A$  and  $2^A$ . □

## Example: finite set

Let  $A = 1, 2, 3, 4, 5, 6, 7$ . Consider function  $f : A \longrightarrow 2^A$  defined as

$$f(1) = \{\}$$

$$f(2) = \{1, 2, 3\}$$

$$f(3) = \{1, 2, 3, 4, 5, 6, 7\}$$

$$f(4) = \{1, 3, 5, 7\}$$

$$f(5) = \{2, 4, 6\}$$

$$f(6) = \{7\}$$

$$f(7) = \{1, 2, 3\}$$

|   | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
|---|---|---|---|---|---|---|---|
| 1 |   |   |   |   |   |   |   |
| 2 |   |   |   |   |   |   |   |
| 3 |   |   |   |   |   |   |   |
| 4 |   |   |   |   |   |   |   |
| 5 |   |   |   |   |   |   |   |
| 6 |   |   |   |   |   |   |   |
| 7 |   |   |   |   |   |   |   |

$B =$



## Example: infinite set

Let  $A = \mathbb{N} = \{1, 2, 3, 4, \dots\}$ . Consider function  $f : A \longrightarrow 2^A$  defined as

$$f(1) = \{\}$$

$$f(2) = \{1, 2, 3\}$$

$$f(3) = \{1, 2, 3, 4, 5, 6, 7, \dots\}$$

$$f(4) = \{1, 3, 5, 7, \dots\}$$

$$f(5) = \{2, 4, 6, \dots\}$$

$$f(6) = \{7\}$$

$$f(7) = \{1, 2, 3, 11, 12, 13, 21, 22, 23, \dots\}$$

$$\vdots$$

$$B =$$

|          | 1 | 2 | 3 | 4 | 5 | 6 | 7 | ... |
|----------|---|---|---|---|---|---|---|-----|
| 1        |   |   |   |   |   |   |   |     |
| 2        |   |   |   |   |   |   |   |     |
| 3        |   |   |   |   |   |   |   |     |
| 4        |   |   |   |   |   |   |   |     |
| 5        |   |   |   |   |   |   |   |     |
| 6        |   |   |   |   |   |   |   |     |
| 7        |   |   |   |   |   |   |   |     |
| $\vdots$ |   |   |   |   |   |   |   |     |

The previous lemma informally states that there are “more” subsets than the number of elements in the set.

Let's think about:

- ▶ A set of all python programs, and
- ▶ A set of all languages.

Since each python program “solves” at most one language, there are not “enough” python programs to solve all possible language.

But what exactly is a problem that cannot be “solved”?

## Decision problems

- ▶ Given an integer  $x$ , is  $x$  odd?
- ▶ Given a string  $w$ , is  $w$  palindrome?
- ▶ Given a string  $w$ , is  $w \in \{0^n 1^n \mid n \geq 0\}$ ?
- ▶ Given a map, a starting position  $s$ , a destination  $t$ , and an integer  $k$ , does there exist a path from  $s$  to  $t$  with distance at most  $k$ ?
- ▶ Given a program  $P$  and input string  $w$ , when running  $P$  with  $w$  as an input, does  $P$  terminate?

## Decision problems and languages

For this problem:

Given an integer  $x$ , is  $x$  odd?

we can define a corresponding language

$$L_E = \{\dots, -6, -4, -2, 0, 2, 4, 6, \dots\}.$$

To solve this problem, given  $x$ , we can ask if  $x \in L_E$ .

## Languages and programs

We will talk about languages of particular programs. For example, let  $\mathbb{P}$  be the set of all python programs. In this case,  $\mathbb{P}$  is a language.

$$\{P \in \mathbb{P} \mid P \text{ always terminates}\}$$

$$\{P \in \mathbb{P} \mid P \text{ always loops}\}$$

$$\{(P, x) \mid P \in \mathbb{P}, \text{when running } P \text{ with } x \text{ as an input, } P \text{ terminates}\}$$

$$\{(P, x) \mid P \in \mathbb{P}, P(x) \text{ terminates}\}$$

$$\{(P, Q, x) \mid P, Q \in \mathbb{P}, P(x) \text{ and } Q(x) \text{ terminate with the same output.}\}$$

## Programs and inputs

```
x = int(input())
if x % 2 == 0:
    print('yes')
else:
    print('no')
```

```
$ python le.py
10
yes
$ python le.py
7
no
```

```
$ python le.py
fjdsklfjsdf
Traceback (most recent call last):
  File "le.py", line 1, in <module>
    x = int(input())
ValueError: invalid literal for int()
with base 10: 'fjdsklfjsdf'
```

```
$ python le.py < le.py
```

```
Traceback (most recent call last):
  File "le.py", line 1, in <module>
```

## Nice programs

We can systematically modify any python program  $P$  so that

- ▶  $P$  contains a main function that works with the input as a string.
- ▶  $P$  never crashes. (If the original  $P$  crashes, the modified  $P$  outputs no.)

```
x = int(input())
if x % 2 == 0:
    print('yes')
else:
    print('no')
```

```
import sys
def main(w):
    try:
        x = int(w)
        if x % 2 == 0:
            print('yes')
        else:
            print('no')
    except:
        print('no')
```

```
if __name__ == '__main__':
    w = sys.stdin.read()
    main(w)
```

## When running a program

When you run a program  $P$  with input  $x$ , there are three possible outcomes:

- ▶  $P$  terminates and outputs **yes**,
- ▶  $P$  terminates and outputs **no**, and
- ▶  $P$  does not terminate. (It runs forever.)

**Remarks:** if  $P$  crashes (even after modification), we treat it as if it terminates and outputs **no**.



## Proving impossibility

Reduction: rough idea

## Language $A$

Let  $\mathbb{P}$  be the set of all python programs. Let the language  $A$  be

$$\{P \in \mathbb{P} \mid \text{when running } P \text{ with } P \text{ as an input, } P \text{ terminates}\}$$

We use a function call notation  $P(x)$  when refering to the execution of program  $P$  with input  $x$ .

We restate the definition of  $A$  as

$$\{P \in \mathbb{P} \mid P(P) \text{ terminates}\}.$$

# Deciders

We say that a python program  $P$  **decides** the language  $L$  if for any input string  $x$ ,  $P$  when running with  $x$  as an input,

- ▶  $P$  always terminates,
- ▶  $P$  outputs **yes**, if  $x \in L$ , and
- ▶  $P$  outputs **no**, if  $x \notin L$ .

Deciders: more examples

## Language $A$

Let  $\mathbb{P}$  be the set of all python programs. Let the language  $A$  be

$$\{P \in \mathbb{P} \mid \text{when running } P \text{ with } P \text{ as an input, } P \text{ terminates}\}$$

We use a function call notation  $P(x)$  when refering to the execution of program  $P$  with input  $x$ .

We restate the definition of  $A$  as

$$\{P \in \mathbb{P} \mid P(P) \text{ terminates}\}.$$

## Not a decider for $A$

Input: python program  $P$  (as a string)

1. Load module  $P$  as  $Pmod$
2. Call  $Pmod.main(P)$
3. `print('yes')`
  - # we reach this line,
  - # only if  $M.main(P)$  terminates

## Lemma 2

*There is no python program that decides  $A$ .*

We will see the proof at the end of class.



# Undecidability

If we believe that anything that a computer can do can be written as a python program, and there is no python program that decides  $A$ , when we say that

$A$  is undecidable.

Language  $A$  will be very important later on, we give it a proper name as `SELFHALT`.

## The proof as a table

List all python programs in  $\mathbb{P}$  as  $P_1, P_2, P_3, \dots$

|          | $P_1$ | $P_2$ | $P_3$ | $P_4$ | $P_5$ | $P_6$ | $P_7$ | $\dots$ |
|----------|-------|-------|-------|-------|-------|-------|-------|---------|
| $P_1$    |       |       |       |       |       |       |       |         |
| $P_2$    |       |       |       |       |       |       |       |         |
| $P_3$    |       |       |       |       |       |       |       |         |
| $P_4$    |       |       |       |       |       |       |       |         |
| $P_5$    |       |       |       |       |       |       |       |         |
| $P_6$    |       |       |       |       |       |       |       |         |
| $\vdots$ |       |       |       |       |       |       |       |         |
| $(B)$    |       |       |       |       |       |       |       |         |

What does  $B$  do on each input program  $P_i$ ?

## Another language HALT

Let

$$\text{HALT} = \{(P, w) \mid P \text{ is a python program such that } P(w) \text{ terminates}\}$$

We shall prove that HALT is also undecidable (if we believe that python programs represent all possible computation).

## Lemma 3

*HALT is undecidable.*

### Proof.

We prove the lemma by contradiction. Assume that there is a python program  $P$  that decides HALT.

We construct a program  $C$  as follows

Program C

Input Q

1. Load P as module Pmod
2. if Pmod.main(Q,Q) == 'yes':
3.     print('yes')
4. else
5.     print('no')



## Proof.

We prove the lemma by contradiction. Assume that there is a python program  $P$  that decides `HALT`.

We construct a program  $C$  as follows

Program  $C$

Input  $Q$

```
1.  if P(Q,Q) == 'yes':  
2.      print('yes')  
3.  else  
4.      print('no')
```

Given program  $P$ , we can construct a program  $C$  that decides `SELFHALT`. However, we know that `SELFHALT` is undecidable; thus, we reach a contradiction.

We conclude that there does not exist a python program  $P$  that decides `HALT`. □

## Reduction

- ▶ We show that if `HALT` is decidable, then `SELFHALT` is also decidable.
- ▶ However, `SELFHALT` IS UNDECIDABLE.
- ▶ We conclude that `HALT` is also undecidable.

Reduction in picture

Let  $\text{ACCEPT} = \{(P, w) \mid P \in \mathbb{P} \text{ and } P(w) \text{ terminates with acceptance}\}$ .

## Lemma 4

*ACCEPT is undecidable.*

## Proof.

We prove the lemma by contradiction. Assume that there is a python program  $Q$  that decides  $\text{ACCEPT}$ . We construct a program  $C$  that decides  $\text{HALT}$  as follows

Program C

Input  $P, w$

1. Replace every `print('no')` statement in  $P$  with `print('yes')`
1. if  $Q(P, w) == \text{'yes'}$ :
2.     `print('yes')`
3. else
4.     `print('no')`



## Proof (cont.)

Program C

Input  $P, w$

1. Replace every "print('no')" statement in  $P$  with "print('yes')"
1. if  $Q(P, w) == \text{'yes'}$ :
2.     print('yes')
3. else
4.     print('no')

We have to make sure that our reduction is correct by considering two cases.

Case 1: when  $P(w)$  halts.

Case 2: when  $P(w)$  does not halt.

Since in both cases,  $C$  answers correctly, we know that given program  $Q$  deciding ACCEPT, we can construct a program  $C$  that decides HALT. However, we know that HALT is undecidable; thus, we reach a contradiction. We conclude that ACCEPT is also undecidable. □

Reduction from HALT to ACCEPT in picture

## How about REJECT?

Let

$$\text{REJECT} = \{(P, w) \mid P \in \mathbb{P} \text{ and } P \text{ rejects } w\}.$$

## Lemma 5

*There is no python program that decides SELFHALT.*

## Proof.

We prove by contradiction. Assume that there is a python program  $P$  that decides SELFHALT.

We describe a python program  $B$  that reads a string  $Q$  as an input as follows:

Program B

Input  $Q$

```
1.    Load P as module Pmod
2.    if Pmod.main(Q) == 'yes':      # when Pmod outputs yes
3.        while True: pass          #   loop forever
4.    else:                          # when Pmod outputs no
5.        quit()                    #   halt
```

Given program  $Q$  as an input,  $B$  loops forever when

It terminates when



## Proof.

We know that

- ▶  $B(Q)$  loops when  $Q(Q)$  terminates, and
- ▶  $B(Q)$  terminates when  $Q(Q)$  loops.

Does running  $B$  using  $B$  as an input terminate?

Let's try to plug in  $Q = B$ . We have

- ▶  $B(B)$  loops when  $B(B)$  terminates, and
- ▶  $B(B)$  terminates when  $B(B)$  loops.

Since either  $B(B)$  loops or terminates, and we cannot be in any of the cases, we obtain a contradiction.

Therefore, we conclude that program  $P$  does not exist.



# Python as computation

Do you believe in this assumption:

**Anything that a computer can do can be written as a python program.**

# Turing machines

**Anything that a computer can do can be carried out using Turing machines.**

**Any possible computation can be performed by Turing machines.**