


# 01204211 Discrete Mathematics

## Lecture 9b: Polynomials (1)<sup>1</sup>

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<sup>1</sup>This section is from Berkeley CS70 lecture notes. 

## Quick exercise

For any integer  $a \neq 1$ ,  $a - 1 \mid a^2 - 1$ .

$$a^2 - 1 = \underbrace{(a-1)}_{\text{factor}} (a+1)$$

## Quick exercise

For any integer  $a \neq 1$ ,  $a - 1 \mid a^2 - 1$ .

For any integer  $a \neq 1$  and  $n \geq 1$ ,  $a - 1 \mid a^n - 1$ .

$$\begin{array}{r} a^4 + a^3 + a^2 + a + 1 \\ a-1 \overline{) a^5} \phantom{-1} \\ \underline{a^5 - a^4} \phantom{-1} \end{array}$$

$$a^n - 1 = (a-1)(a^{n-1} + a^{n-2} + \dots + a + 1)$$

$$\begin{array}{r} a^4 \\ a^4 - a^3 \\ \hline \end{array}$$

$$(\cancel{a^n} + \cancel{a^{n-1}} + \dots + \cancel{a}) - (\cancel{a^{n-1}} + \cancel{a^{n-2}} + \dots + \cancel{a})$$

$$\begin{array}{r} a^3 \\ a^3 - a^2 \\ \hline \end{array}$$

$$\begin{array}{r} a^2 \\ a^2 - a \\ \hline a \\ a-1 \end{array}$$

$$a^5 - 1 = (a-1)(a^4 + a^3 + a^2 + a + 1)$$

# Polynomials פולינומים

A **single-variable polynomial** is a function  $p(x)$  of the form

$$p(x) = a_d x^d + a_{d-1} x^{d-1} + \cdots + a_1 x + a_0.$$

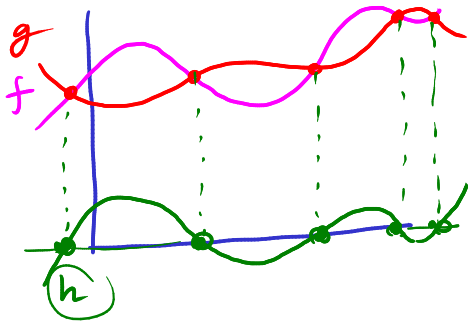
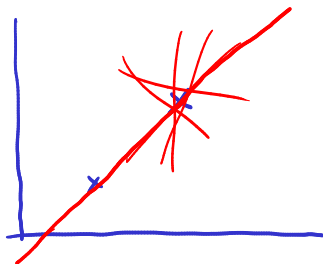
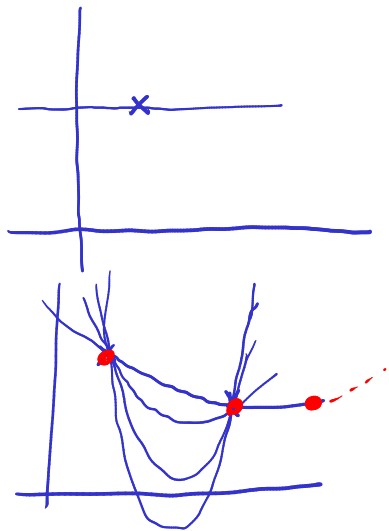
פולינום

We call  $a_i$ 's **coefficients**. Usually, variable  $x$  and coefficients  $a_i$ 's are **real** numbers. The **degree** of a polynomial is the largest exponent of the terms with non-zero coefficients.

## Examples

- ▶  $x^3 - 3x + 1$       -degree 3
- ▶  $x + 10$       -degree 1
- ▶ 10      -deg 0
- ▶ 0      ↗

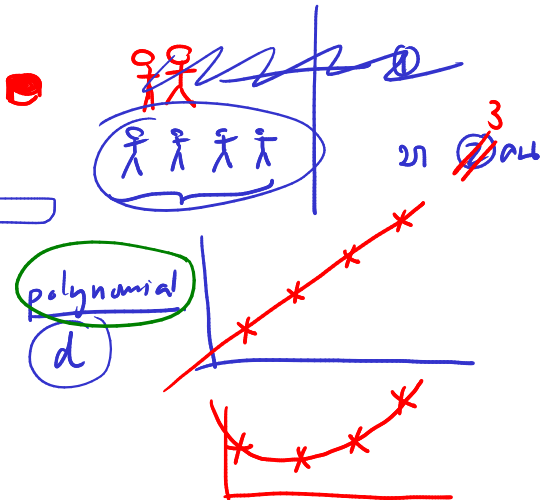
# Folklore



# Applications



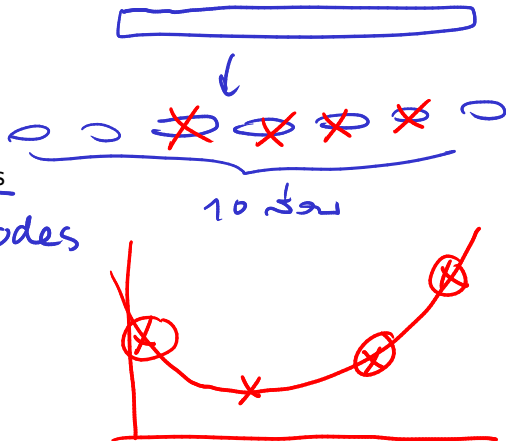
► Secret sharing



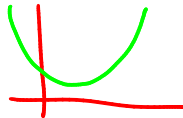
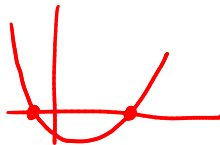
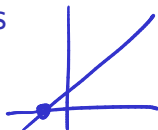
# Applications

- ▶ Secret sharing
- ▶ Error-correcting codes

| erasure codes



## Basic facts



### Definition

$a$  is a **root** of polynomial  $f(x)$  if  $f(a) = 0$ .

### Properties

→ **Property 1:** A non-zero polynomial of degree  $d$  has at most  $d$  roots.

**Property 2:** Given  $d + 1$  pairs  $(x_1, y_1), \dots, (x_{d+1}, y_{d+1})$  with distinct  $x_i$ 's, there is a *unique* polynomial  $p(x)$  of degree at most  $d$  such that  $p(x_i) = y_i$  for  $1 \leq i \leq d + 1$ .





## Lemma 1

(Uniqueness) vs Prop 2.

If two polynomials  $f(x)$  and  $g(x)$  of degree at most  $d$  that share  $d + 1$  points  $(x_1, y_1), \dots, (x_{d+1}, y_{d+1})$ , where all  $x_i$ 's are distinct, i.e.,  $f(x_i) = g(x_i) = y_i$ , then  $f(x) = g(x)$ .

## Proof.

Suppose that  $f(x) = a_d x^d + a_{d-1} x^{d-1} + \dots + a_0$  and  $g(x) = b_d x^d + b_{d-1} x^{d-1} + \dots + b_0$ .

Let  $h(x) = f(x) - g(x)$ , i.e., let  $h(x) = c_d x^d + c_{d-1} x^{d-1} + \dots + c_0$ , where  $c_i = a_i - b_i$ . Note that  $h(x)$  is also a polynomial of degree (at most)  $d$ .

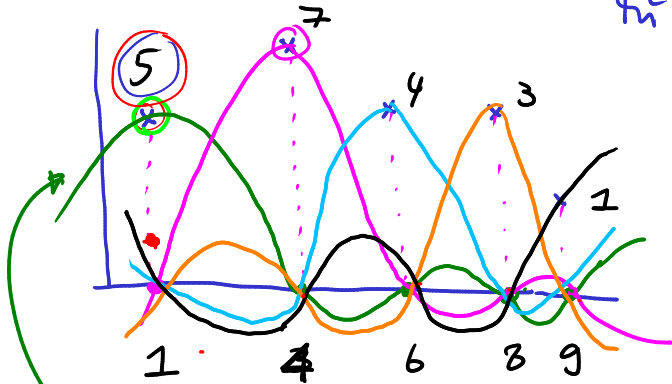
We claim that  $h(x)$  has  $d + 1$  roots. Note that since  $f(x_i) = g(x_i) = y_i$ , we have that

$$h(x_i) = f(x_i) - g(x_i) = y_i - y_i = 0,$$

i.e., every  $x_i$  is a root of  $h(x)$ .

From **Property 1**, if  $h(x)$  is non-zero it has at most  $d$  roots; therefore,  $h(x)$  must be zero, i.e.,  $f(x) - g(x) = 0$  or  $f(x) = g(x)$  as required.  $\square$

# Polynomial interpolation - ideas



for  $p(5, 0)$

↓  
or polynomial  
degree 4

$$\left. \begin{aligned} f(2) &= 1 \\ f(4) &= 0 \\ f(6) &= 0 \\ f(8) &= 0 \\ f(9) &= 0 \end{aligned} \right\}$$

$$L_1(x) = \frac{(x-4)(x-6)(x-8)(x-9)}{(1-4)(1-6)(1-8)(1-9)}$$

$$\frac{(x-4)(x-6)(x-8)(x-9)}{(2-4)(2-6)(2-8)(2-9)}$$

# Lagrange polynomial



For  $d + 1$  points  $(x_1, y_1), (x_2, y_2), \dots, (x_{d+1}, y_{d+1})$  where all  $x_i$ 's are distinct, let

$$\Delta_i(x) = \frac{(x - x_1)(x - x_2) \cdots (x - x_{i-1})(x - x_{i+1}) \cdots (x - x_{d+1})}{(x_i - x_1)(x_i - x_2) \cdots (x_i - x_{i-1})(x_i - x_{i+1}) \cdots (x_i - x_{d+1})}.$$

Note that  $\Delta_i(x)$  is a polynomial of degree

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Note that  $\Delta_i(x)$  is a polynomial of degree  $d$ . Also we have that

► For  $j \neq i$ ,  $\Delta_i(x_j) =$

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Note that  $\Delta_i(x)$  is a polynomial of degree  $d$ . Also we have that

- ▶ For  $j \neq i$ ,  $\Delta_i(x_j) = 0$ , and
- ▶  $\Delta_i(x_i) = 1$ .

We can use  $\Delta_i(x)$  to construct a degree- $d$  polynomial

$$p(x) = y_1 \cdot \Delta_1(x) + y_2 \cdot \Delta_2(x) + \cdots + y_{d+1} \cdot \Delta_{d+1}(x).$$

What can you say about  $p(x_i)$ ?

$$= y_i \cdot \underbrace{\Delta_i(x_i)}_1 + \cancel{\Delta_j(x_i)} = y_i$$

## Property 2 \*

Given  $d + 1$  pairs  $(x_1, y_1), \dots, (x_{d+1}, y_{d+1})$  with distinct  $x_i$ 's, there is a **unique** polynomial  $p(x)$  of degree at most  $d$  such that  $p(x_i) = y_i$  for  $1 \leq i \leq d + 1$ .

## Proof of Property 2.

Using **Lagrange interpolation**, we know that there exists a polynomial  **$p(x)$  of degree  $d$**  such that  $p(x_i) = y_i$  for all  $1 \leq i \leq d + 1$ .

For **uniqueness**, assume that there exists another polynomial  $g(x)$  of degree  $d$  also satisfying the condition. Since  $p(x)$  and  $g(x)$  agrees on more than  **$d$**  points,  $p(x)$  and  $g(x)$  must be equal from Lemma 1. □