

Finite-size scalings of the Euclidean $|\varphi|^4$ model at and above the critical dimension

Jiwoon Park

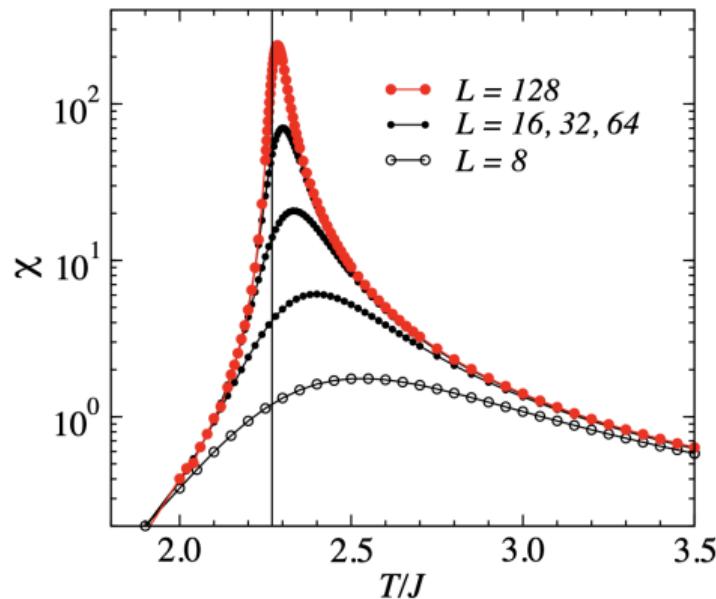
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- ▶ P., Torus scaling limits and the plateau of the critical weakly coupled $|\varphi|^4$ model in $d \geq 4$, *arXiv:2511.06321* (2025)
- ▶ P., A Renormalisation Group Map for Short- and Long-ranged Weakly Coupled $|\varphi|^4$ Models in $d \geq 4$ at and Above the Critical Point, *arXiv:2511.03495* (2025)
- ▶ E. Michta, P., G. Slade, Boundary conditions and universal finite-size scaling for the hierarchical $|\varphi|^4$ model in dimensions 4 and higher, *CPAM* (2023)
- ▶ P., G. Slade, Two-point function plateaux for the hierarchical $|\varphi|^4$ model in dimensions 4 and higher, *AHP* (2024)
- ▶ Y. Liu, P., G. Slade, Universal finite-size scaling in high-dimensional critical phenomena, *arXiv:2412.08814* (2024)

Finite-size scaling for a model of a magnet

For total magnetisation $M = \sum_x \sigma_x$,

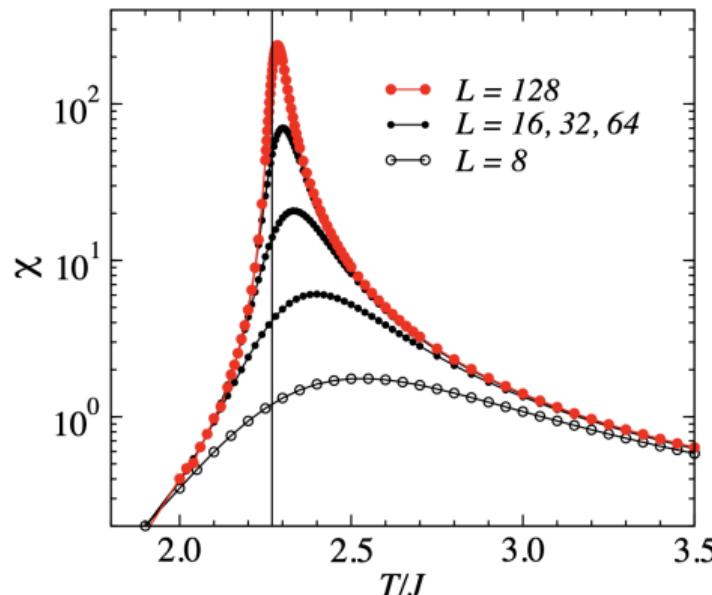
$$\chi^{\text{tr}} = \frac{1}{\text{Vol}} (\langle M^2 \rangle - \langle |M| \rangle^2)$$



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- ▶ Height of the peak?
- ▶ Width of the peak?
- ▶ Shift of the critical point?

[Sandvik, Computational Studies of Quantum Spin Systems]

Definitions and motivations

$|\phi|^4$ model

- ▶ $\Lambda_N = \{1, \dots, L^N\}^d$: d -dimensional lattice box (with periodic boundary condition)
- ▶ $\Omega_N = \{\phi : \Lambda_N \rightarrow \mathbb{R}^n\}$: configuration space
- ▶ Coupling constants $g > 0$, $\nu \in \mathbb{R}$

Definition

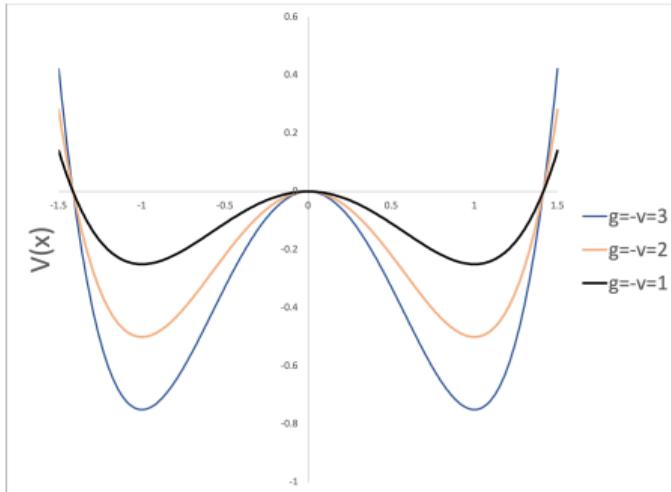
The $|\phi|^4$ model with coupling constants g, ν is the probability measure on Ω_N given by

$$\langle F(\phi) \rangle_{g,\nu,N} = \frac{1}{Z_{N,g,\nu}} \int_{\Omega_N} d\phi F(\phi) \exp \left(-\frac{1}{2}(\phi, -\Delta\phi) - \frac{1}{2}\nu \sum_x |\phi_x|^2 - \frac{1}{4}g \sum_x |\phi_x|^4 \right).$$

- ▶ $d \geq 4 = d_c$, the upper critical dimension
- ▶ When $g \ll 1$, then a weakly-coupled $|\phi|^4$ -model

$|\phi|^4$ model

$$\langle F(\phi) \rangle_{g,\nu,N} = \frac{\int_{\Omega_N} d\phi F(\phi) e^{-\frac{1}{2}(\phi, -\Delta\phi)} e^{-\sum_x V_x(\phi)}}{Z_{g,\nu,N}}, \quad V_x(\phi) = \frac{\nu}{2} |\phi_x|^2 + \frac{g}{4} |\phi_x|^4$$



- ▶ Under the limit $g = -\nu \rightarrow \infty$, converges to the $O(n)$ model

Infrared scaling limits

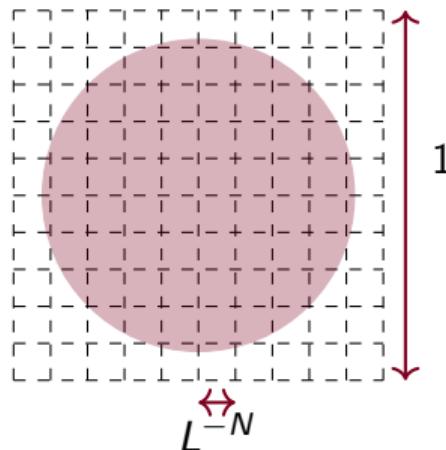
- ▶ Torus scaling limit: for $f \in C^\infty(\mathbb{T}^d)$, take $f_N(x) = f(L^{-N}x)$,

$$\lim_{N \rightarrow \infty} c_N(f_N, \phi) = ?$$

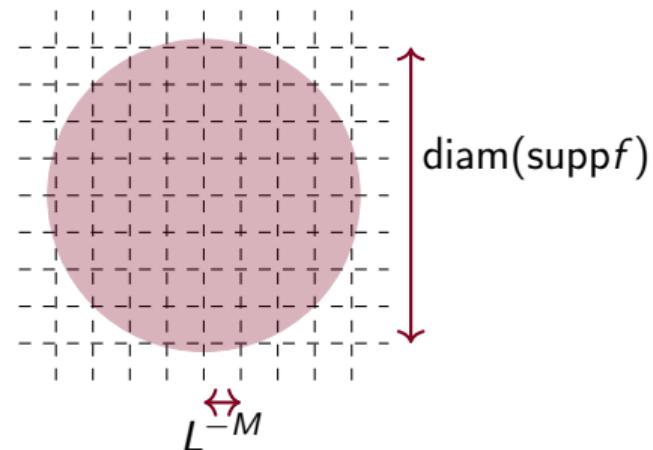
- ▶ Macroscopic scaling limit: for $f \in C^\infty(\mathbb{R}^d)$, take $f_M(x) = f(L^{-M}x)$,

$$\lim_{M \rightarrow \infty} \lim_{N \rightarrow \infty} c_M(f_M, \phi) = ?$$

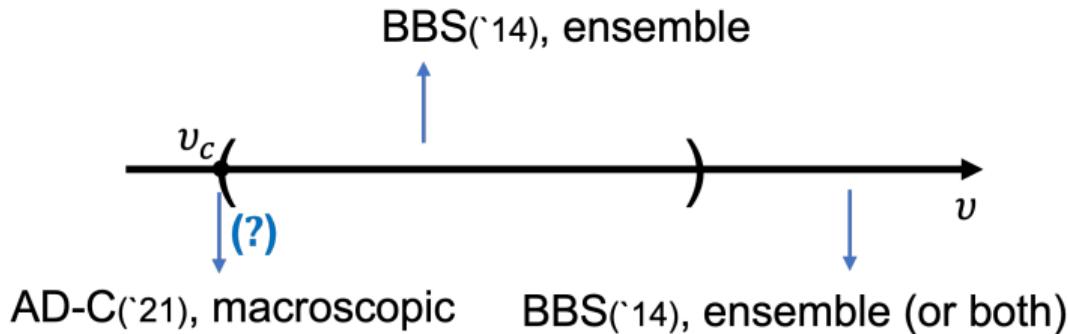
Torus scaling



Macroscopic scaling



Gaussian scaling limits



- ▶ How are [BBS '14] and [AD-C '21] different?
- ▶ What is the torus scaling limit at the critical point?

Plateau: examples

Theorem [Liu, Panis, Slade '24]

For the Ising model in $d > 4$ in a system of size $|\Lambda| = V$ and $\beta_* = \beta_c - c|\Lambda|^{-1/2}$,

$$\langle \sigma_0 \sigma_x \rangle_{\beta_*, \Lambda} \asymp \underbrace{\frac{1}{|x|^{d-2}}}_{\text{poly decay}} + \underbrace{\frac{1}{V^{\frac{1}{2}}}}_{\text{plateau}}$$

Theorem [Liu, Slade '24]

For the lattice trees and animals in $d > 8$ in a system of size $|\Lambda| = V$ and $p_* = p_c - c|\Lambda|^{-1/2}$,

$$\mathbb{P}_{p_*, \Lambda}(0 \leftrightarrow x) \asymp \frac{1}{|x|^{d-2}} + \frac{1}{V^{\frac{3}{4}}}$$

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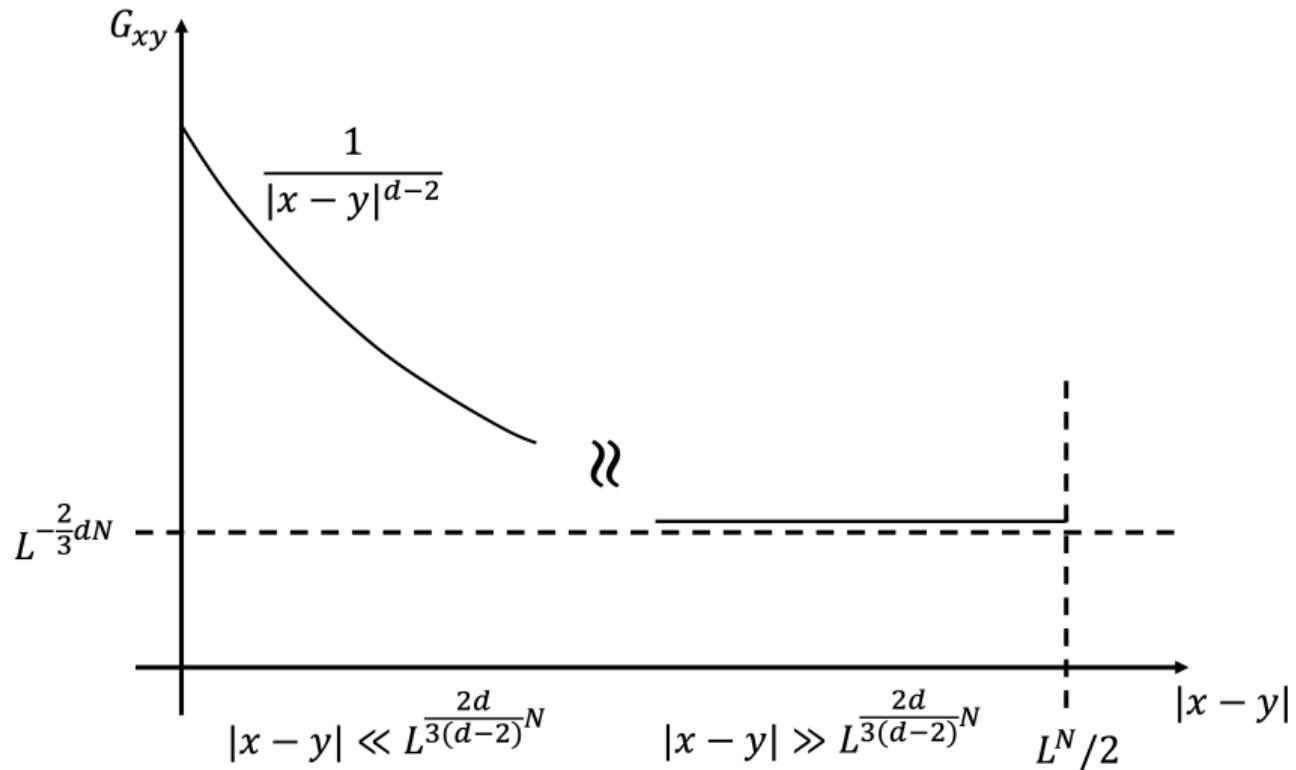
$$\mathbb{P}_{p_*, \Lambda}(0 \leftrightarrow x) \asymp \frac{1}{|x|^{d-2}} + \frac{1}{V^{\frac{3}{4}}}$$

Theorem [Hutchcroft, Michta, Slade '23]

For the Bernoulli percolation with $d \geq 11$ in a system of size $|\Lambda| = V$,

$$\mathbb{P}_{p_c, \Lambda}(0 \leftrightarrow x) \asymp \frac{1}{|x|^{d-2}} + \frac{1}{V^{\frac{2}{3}}}$$

Plateau: Bernoulli percolation



Main results

Critical point of the $|\phi|^4$ model, $d \geq 4$

- ▶ Natural injection $i_N : \Lambda_N \rightarrow \mathbb{T}^d$
- ▶ $f \in C^\infty(\mathbb{T}^d; \mathbb{R}^n)$, let $f_N : \Lambda_N \rightarrow \mathbb{R}^n$ be the discretisation given
$$f_N(x) = f(i_N(x)) / |\Lambda_N|$$
- ▶ $\bar{f} = \int_{\mathbb{T}^d} f(x) dx$, $\bar{f}_N = \frac{1}{|\Lambda_N|} \sum_x f_N(x)$

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Theorem (BBS'14 for $d = 4$, P'25⁺ for $d \geq 5$)

Let $d \geq 4$, g be sufficiently small. Then there exist $\nu_c \equiv \nu_c(g)$ such that, for some sequence $(\epsilon_k, \epsilon'_k) \rightarrow (0, 0)$,

$$\lim_{N \rightarrow \infty} \left\langle e^{(\phi, f_N) / L^{Nd/2}} \right\rangle_{g, \nu_c + \epsilon_k, N} := \mathbf{WN} \left[e^{(\epsilon'_k)^{-1/2} (\psi, f)} \right] = \exp \left(\frac{1}{2\epsilon'_k} (f, f) \right).$$

- ▶ **WN** is the White noise measure on \mathbb{T}^d , i.e., absence of long range order

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The finite-volume susceptibility is defined as $\chi_{g, \nu, N} = \frac{1}{|\Lambda_N|} \langle (\sum_x \phi_x)^2 \rangle_{g, \nu, N}$.

Corollary

Under the same assumptions, $\chi_{g, \nu, \infty} = \lim_{N \rightarrow \infty} \chi_{g, \nu, N}$ exists and

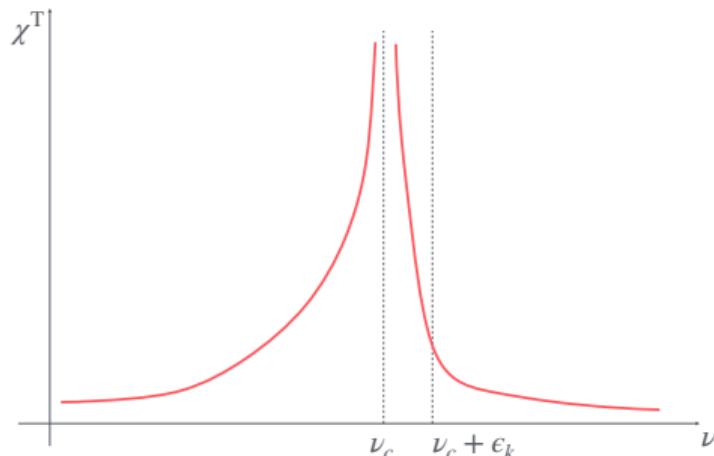
$$\chi_{g, \nu_c + \epsilon_k, \infty} = \frac{1}{\epsilon'_k} \rightarrow \infty \quad \text{as} \quad \epsilon_k \rightarrow 0.$$

Critical point of the $|\phi|^4$ model, $d \geq 4$

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Torus scaling limit of $|\phi|^4$ model, $d \geq 5$

Theorem (P'25⁺)

Let $d \geq 5$, g be sufficiently small, $b_N = g^{-1/4} L^{-dN/4}$ and $c_N = L^{-\frac{d-2}{2}N}$.

1. There exists $\gamma = 1 + O(g) > 0$ such that

$$\lim_{N \rightarrow \infty} \left\langle e^{(\phi, f_N)/b_N} \right\rangle_{g, \nu_c, N} = \mathbf{Q} \left[e^{\gamma(\psi, f)} \right] \propto \int e^{\gamma y \cdot \bar{f}} e^{-|y|^4} dy.$$

2. There exists $\beta = 1 + O(g) > 0$ such that

$$\lim_{N \rightarrow \infty} \left\langle e^{(\phi, (f_N - \bar{f}_N))/c_N} \right\rangle_{g, \nu_c, N} = \mathbf{GFF}_0 \left[e^{\beta(\psi, f - \bar{f})} \right].$$

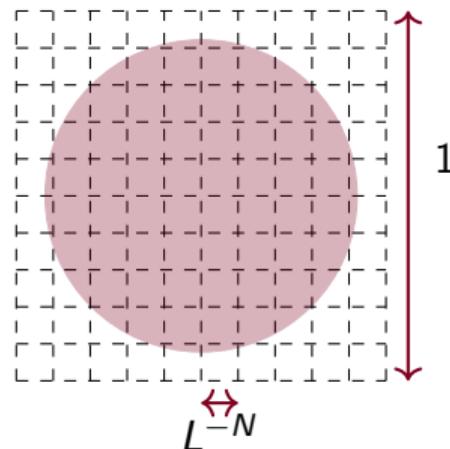
Torus scaling limit of $|\phi|^4$ model, $d \geq 5$

Theorem (P'25⁺)

$$b_N = g^{-1/4} L^{-dN/4} \text{ and } c_N = L^{-\frac{d-2}{2}N}.$$

1. $\lim_{N \rightarrow \infty} \langle e^{(\phi, f_N)/b_N} \rangle_{g, \nu_c, N} = \mathbf{Q} [e^{\gamma(\psi, f)}]$
2. $\lim_{N \rightarrow \infty} \langle e^{(\phi, f_N - \bar{f}_N)/c_N} \rangle_{g, \nu_c, N} = \mathbf{GFF}_0 [e^{\beta(\psi, f - \bar{f})}]$.

Torus scaling



Torus scaling limit of $|\phi|^4$ model, $d \geq 5$

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Interpretation

- ▶ If $Y \sim "e^{-|y|^4}"$, then $Y\mathbf{1} \sim \mathbf{Q}$.
- ▶ Thus on a finite-volume torus,

$$\phi \sim \gamma b_N Y\mathbf{1} + \beta c_N \text{GFF},$$

- ▶ Since $b_N \gg c_N$, we see that there is a scale hierarchy where ϕ is constant on the scale of the torus and the GFF on microscopic scales.

Torus scaling limit of $|\phi|^4$ model, $d = 4$

Theorem (P'25⁺)

Let $d = 4$, g be sufficiently small, $b_N = N^{1/4}L^{-N}$ and $c_N = L^{-N}$.

1. There exists $\gamma > 0$, independent of g , such that

$$\lim_{N \rightarrow \infty} \left\langle e^{(\phi, f_N)/b_N} \right\rangle_{g, \nu_c, N} = \mathbf{Q} \left[e^{\gamma(\psi, f)} \right] \propto \int e^{\gamma y \cdot \bar{f}} e^{-|y|^4} dy.$$

2. There exists $\beta = 1 + O(g) > 0$ such that

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Comparison with previous results

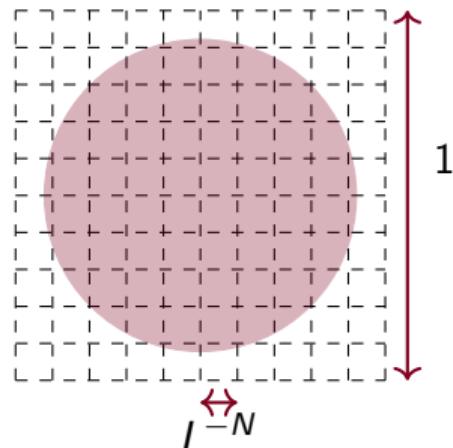
► Macroscopic scaling limit

- Gaussian limit in $d \geq 4$ and $n = 1$: Aizenman, Duminil-Copin('21), Fröhlich('81), Aizenman('82)

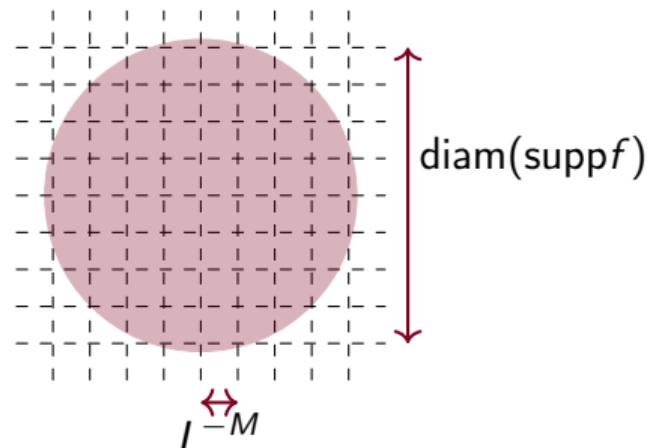
► Torus scaling limit

- Weakly coupled, any $n \geq 1$
- Scale hierarchy $\phi \sim b_N Y\mathbf{1} + c_N \text{GFF}$
- Competition between the 'plateau effect' and the Gaussian limit

Torus scaling



Macroscopic scaling



Comparison with previous results

With $b_N = N^{1/4}L^{-N}$ for $d = 4$, $b_N = g^{-1/4}L^{-dN/4}$ for $d > 4$ $c_N = L^{-\frac{d-2}{2}N}$, suppose

$$\psi \text{ " = " } \gamma b_N Y \mathbf{1} + \beta c_N \text{GFF}$$

Corollary (Macroscopic limit for ψ)

For $f \in C_c^\infty(\mathbb{R}^d)$, let $f^M(x) = f(L^M x)$. Then

$$\lim_{N \rightarrow \infty} \lim_{M \rightarrow \infty} \left\langle e^{L^M(\psi, f_N^M)/c_N} \right\rangle = \exp \left(\frac{1}{2} \beta(f, (-\Delta)^{-1}f) \right).$$

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- ▶ Gives an covariance structure of the macroscopic scaling limit
- ▶ We did not prove that $\phi \text{ "}\sim \gamma b_N Y\mathbf{1} + \beta c_N \text{GFF}$ is uniform in M , but expected to be true.

Plateau

Theorem [Park, 25⁺]

Let $d > 4$, $g > 0$ and $\nu = \nu_c(g)$.

- If $|x_N| \rightarrow \infty$ with $|x_N| \ll L^{\frac{d}{2(d-2)}N}$, then

$$\langle \phi_0 \phi_{x_N} \rangle_{g,\nu} \sim \frac{c_1}{|x_N|^{d-2}}$$

- If $|x_N| \gg L^{\frac{d}{2(d-2)}N}$, then

$$\langle \phi_0 \phi_{x_N} \rangle_{g,\nu} \sim c_2 g^{-1/4} L^{-dN/2}$$

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Theorem [Park, 25⁺, $d > 4$]

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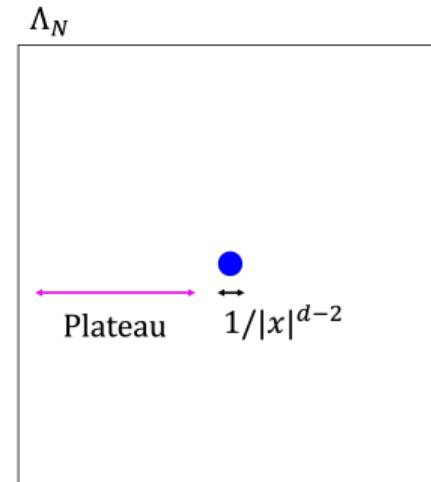
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$$\langle \phi_0 \phi_{x_N} \rangle_{g,\nu} \sim c_2 g^{-1/4} L^{-dN/2}$$

Shows a plateau:

$$(1) \quad L^{\frac{d}{2(d-2)}N} \ll L^N$$

(2) $c_2 g^{-1/4} L^{-dN/2}$ is a constant



Conjectures/Prospectives

Related results:

- ▶ Presence of the critical window and a scaling profile

The same picture would hold models in the same universality class:

1. $O(n)$ lattice spin models in $d \geq 4$ ($n = 1$: Ising model, $n = 2$: XY model, $n = 3$: Heisenberg model)
2. (Strictly or weakly) Self-avoiding walks

There is a problem with the boundary condition:

- ▶ Occurrence of the pseudocritical point under FBC
- ▶ Appearance of the same scaling profile about the pseudocritical point, just with different constants

Case of convex potentials

Spin systems with convex potential

- ▶ $\Lambda_N = \{1, \dots, L^N\}^d$: d -dimensional lattice box (with periodic boundary condition)
- ▶ $\Omega_N = \{\varphi : \Lambda_N \rightarrow \mathbb{R}^n\}$: configuration space (For convenience, $n = 1$)
- ▶ Convex function $W : \mathbb{R}^n \rightarrow \mathbb{R}$

Definition

We define the spin system with potential W as a probability measure on Ω_N given by

$$\langle F(\phi) \rangle_{W,N} = \frac{1}{Z_{W,N}} \int_{\Omega_N} d\phi F(\phi) \exp(-\frac{1}{2}(\phi, -\Delta\phi) - W(\varphi)) \prod_x d\phi, \quad \phi \in \Omega_N.$$

Methods for convex potentials

A corollary of the Prékopa–Leindler inequality

If $D^2 S \geq 0$ and μ is some probability measure, then $\mu * e^{-S}$ is log-concave, i.e., if $\zeta \sim \mu$, then

$$\varphi \mapsto -\log \mu[e^{-S(\varphi+\zeta)}], \quad \varphi \in \Omega_N$$

is a convex function.

Brascamp-Lieb inequality

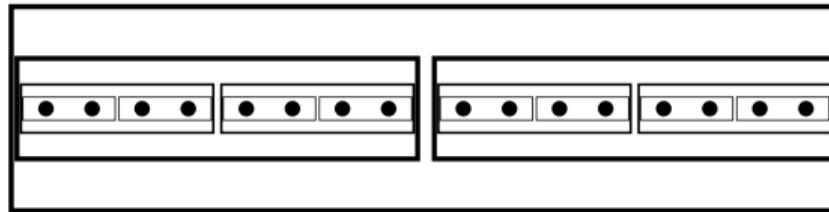
If W is even and $D^2 W(\phi) \geq Q > 0$ for a quadratic form Q , uniformly in ϕ , then for any $h \in \Omega_N$,

$$\langle e^{(h,\phi)} \rangle_{W,N} \leq \exp\left(\frac{1}{2}(h, (-\Delta + Q)^{-1}h)\right)$$

- ▶ In particular, if $g, \nu > 0$, then the BL inequality implies $\langle \phi_0 \phi_x \rangle_{g,\nu,\infty} \leq O(e^{-\mu|x|})$.
- ▶ More detailed information can be obtained by Helffer-Sjörstrand representation

RG method

Idea of renormalisation group



CLT and RG:

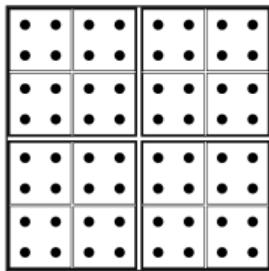
- ▶ Let $(X_n)_{n \geq 0}$ be i.i.d., $\mathbb{E}[X_n^2] = 1$, $\mathbb{E}[X_n] = 0$, $X_n \sim e^{-\nu(x)}/Z_\nu$, considered as ‘spin chain’
- ▶ Let $Y_{j,n} = (X_{n2^j+1} + \dots + X_{(n+1)2^j})/2^j$, then

$$Y_{j,n} \sim e^{-\nu_j(x)}/Z_j = (e^{-\nu}/Z_\nu)^{*2^j}$$

- ▶ According to the CLT, $\nu_j(x) \rightarrow \frac{1}{2}x^2$ as $j \rightarrow \infty$, so averaged field improves convexity.
- ▶ Reflecting on the proof of the CLT, this process can be shown inductively.

Reformulation using Gaussian integral

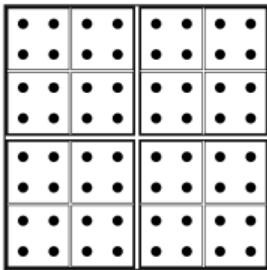
$$\langle F(\phi) \rangle_{g,\nu,N} = \frac{\int_{\Omega_N} d\phi F(\phi) e^{-\frac{1}{2}(\phi, -\Delta\phi)} e^{-\sum_x V_x(\phi)}}{Z_{g,\nu,N}}, \quad V_x(\phi) = \frac{\nu}{2} |\phi_x|^2 + \frac{g}{4} |\phi_x|^4$$



\mathcal{B}_j = set of boxes of L^j points = set of j -boxes

Reformulation using Gaussian integral

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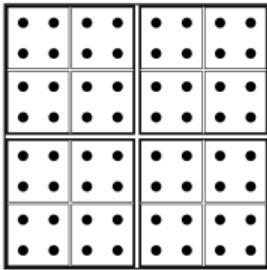


\mathcal{B}_j = set of boxes of L^j points = set of j -boxes

- ▶ **Step 1:** Regroup lattice field $\phi(B) = (\phi_x)_{x \in B}$ for each block $B \in \mathcal{B}_j$, compute the law of each $\phi(B)$

Reformulation using Gaussian integral

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\mathcal{B}_j = set of boxes of L^j points = set of j -boxes

- ▶ **Step 1:** Regroup lattice field $\phi(B) = (\phi_x)_{x \in B}$ for each block $B \in \mathcal{B}_j$, compute the law of each $\phi(B)$
- ▶ **Step 2:** Compute the j -scale interaction of $(\phi(B))_{B \in \mathcal{B}_j}$ that replaces $(\phi, -\Delta\phi)$

Reformulation using Gaussian integral

$$\phi \sim \mathcal{N}(0, (-\Delta + \mu^2)^{-1}) \quad \Rightarrow \quad Z_{g,\nu,N} \propto \lim_{\mu \rightarrow 0} \mathbb{E}^\phi [e^{-\sum_x V_x(\phi)}]$$

Lemma

Suppose $\zeta_1 \sim \mathcal{N}(0, C_1)$, $\zeta_2 \sim \mathcal{N}(0, C_2)$ are independent. Then

$$\zeta_1 + \zeta_2 \sim \mathcal{N}(0, C_1 + C_2).$$

Reformulation using Gaussian integral

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$$\zeta_1 + \zeta_2 \sim \mathcal{N}(0, C_1 + C_2).$$

- ▶ Suppose there exist $\Gamma_1, \dots, \Gamma_N$ such that

$$(-\Delta + \mu^2)^{-1} = \sum_{j=1}^N \Gamma_j + \mu^{-2} Q_N$$

and $\zeta_j \sim \mathcal{N}(0, \Gamma_j)$ is the 'scale j fluctuation' of the massive GFF. Let
 $\hat{\zeta} \sim \mathcal{N}(0, \mu^{-2} Q_N)$.

Reformulation using Gaussian integral

$$\phi \sim \mathcal{N}(0, (-\Delta + \mu^2)^{-1}) \quad \Rightarrow \quad Z_{g,\nu,N} \propto \lim_{\mu \rightarrow 0} \mathbb{E}^\phi [e^{-\sum_x V_x(\phi)}]$$

Lemma

Suppose $\zeta_1 \sim \mathcal{N}(0, C_1)$, $\zeta_2 \sim \mathcal{N}(0, C_2)$ are independent. Then

$$\zeta_1 + \zeta_2 \sim \mathcal{N}(0, C_1 + C_2).$$

- ▶ Suppose there exist $\Gamma_1, \dots, \Gamma_N$ such that

$$(-\Delta + \mu^2)^{-1} = \sum_{j=1}^N \Gamma_j + \mu^{-2} Q_N$$

and $\zeta_j \sim \mathcal{N}(0, \Gamma_j)$ is the 'scale j fluctuation' of the massive GFF. Let
 $\hat{\zeta} \sim \mathcal{N}(0, \mu^{-2} Q_N)$.

- ▶ By the lemma, $\zeta_1 + \dots + \zeta_N + \hat{\zeta} =^d \phi$, so

$$Z_{g,\nu,N} \propto \lim_{\mu \rightarrow 0} \mathbb{E}^{\hat{\zeta}} \mathbb{E}^{\zeta_N} \dots \mathbb{E}^{\zeta_1} \left[\exp \left(- \sum_x V_x(\zeta_1 + \dots + \zeta_N + \hat{\zeta}) \right) \right].$$

Renormalised potential

Given $(\zeta_j)_{j=1}^N \sim \mathcal{N}(0, \Gamma_1 \oplus \cdots \oplus \Gamma_N)$ with $\sum_j \Gamma_j = (-\Delta + \mu^2)^{-1}$,

$$Z_{g,\nu,N} = \lim_{\mu \rightarrow 0} \mathbb{E}^{\hat{\zeta}} \mathbb{E}^{\zeta_N} \cdots \mathbb{E}^{\zeta_1} [\exp(- \sum_x V_x(\zeta_1 + \cdots + \zeta_N))].$$

- ▶ For each j , the renormalised potential is defined as a partial expectation

$$e^{-U_j(\varphi)} = \mathbb{E}^{\zeta_j} \cdots \mathbb{E}^{\zeta_1} \left[\exp(- \sum_x V_x(\varphi + \zeta_1 + \cdots + \zeta_j)) \right]$$

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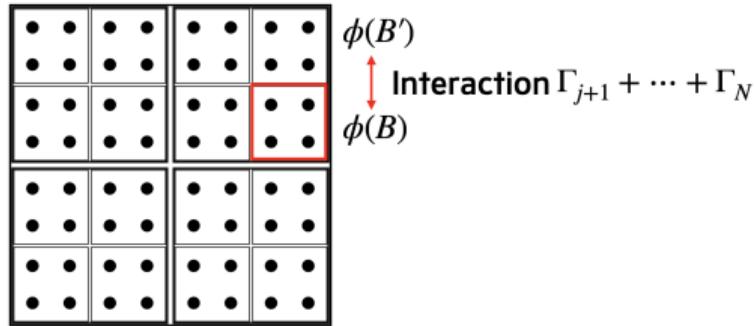
- For each j , the renormalised potential is defined as a partial expectation

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$$\Rightarrow Z_{g,\nu,N} = \lim_{\mu \rightarrow 0} \mathbb{E}^{\hat{\zeta}} \underbrace{\mathbb{E}^{\zeta_N} \cdots \mathbb{E}^{\zeta_{j+1}}}_{\text{scale } j \text{ interaction}} \underbrace{\left[\exp(-U_j(\zeta_{j+1} + \cdots + \zeta_N + \hat{\zeta})) \right]}_{\text{scale } j \text{ potential function}}$$

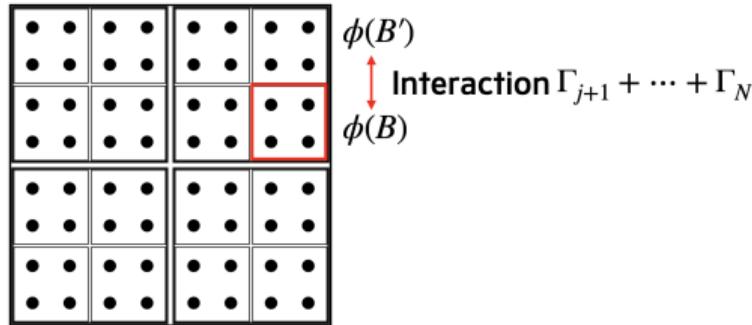
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Goal: Approximate U_j using ‘local’ polynomials

$$U_j(\phi) = u_j |\Lambda_N| + \sum_x \frac{1}{2} \nu_j |\phi_x|^2 + \frac{1}{4} g_j |\phi_x|^4 + \dots$$

and analyse the stability of the dynamical system $(u_j, \nu_j, g_j)_{j \geq 0}$.

Renormalised potential

Theorem

If $\nu = \nu_c$, there exists vacuum energy u_j , a ‘local’ polynomial $V_j(\varphi)$ and a collection of smooth function $(K_j(X) : X \subset \mathcal{B}_j)$ such that

$$e^{-U_j(\phi)} = e^{-u_j|\Lambda|} e^{-\sum_x V_{j,x}(\phi)} \mathcal{E}xp(K_j)(\phi)$$

and

$$\|V_j\| = O(\epsilon_j), \quad \|K_j\| = O(\epsilon_j^3)$$

for some $\epsilon_j \rightarrow 0$ and suitable norms on function spaces.

- ▶ V_j is a low order approximation of U_j as a local polynomial
- ▶ K_j is a remainder term and $\mathcal{E}xp$ is a cluster expansion of scale j polymers given by

$$\mathcal{E}xp(K) = 1 + \sum_{X \subset \mathcal{B}_j, X \neq \emptyset} K_j(X)$$

Finite-size susceptibility and the effective potential

Finite-size susceptibility can be computed as the following:

- $Z_{g,\nu,N} \propto \lim_{\mu \rightarrow 0} \mathbb{E}^{\hat{\zeta}}[e^{-U_N}]$ with $\hat{\zeta} \sim \mathcal{N}(0, \mu^2 Q_N)$, and $\hat{\zeta} = Y' \mathbf{1}$ for $Y' \sim \mathcal{N}(0, \mu^2 L^{-dN})$,

$$\begin{aligned} Z_{g,\nu_c,N} &\propto \lim_{\mu \rightarrow 0} \int_{\mathbb{R}^n} (e^{-L^{dN} V_N(y)} + K_N(\Lambda_N, y \mathbf{1})) e^{-\frac{L^{dN}}{2\mu^2} |y|^2} dy \\ &= \lim_{\mu \rightarrow 0} \int_{\mathbb{R}^n} (e^{-\frac{1}{4} L^{dN} g_N |y|^4 - \frac{1}{2} L^{dN} \nu_N |y|^2} + K_N(\Lambda_N, y \mathbf{1})) e^{-\frac{L^{dN}}{2\mu^2} |y|^2} dy \end{aligned}$$

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- $\chi_{g,\nu,N} = L^{-dN} \langle (\sum_x \varphi_x)^2 \rangle_{g,\nu,N}$

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- So likewise,

$$\chi_{g,\nu_c,N} = L^{dN} \lim_{\mu \rightarrow 0} \frac{\int_{\mathbb{R}^n} |y|^2 (e^{-\frac{1}{4} L^{dN} g_N |y|^4 - \frac{1}{2} L^{dN} \nu_N |y|^2} + K_N(\Lambda_N, y \mathbf{1})) e^{-\frac{L^{dN}}{2\mu^2} |y|^2} dy}{\int_{\mathbb{R}^n} (e^{-\frac{1}{4} L^{dN} g_N |y|^4 - \frac{1}{2} L^{dN} \nu_N |y|^2} + K_N(\Lambda_N, y \mathbf{1})) e^{-\frac{L^{dN}}{2\mu^2} |y|^2} dy}$$

Finite-size susceptibility and the effective potential

$$\chi_{g,\nu_c,N} = L^{dN} \lim_{\mu \rightarrow 0} \frac{\int_{\mathbb{R}^n} |y|^2 \left(e^{-\frac{1}{4}L^{dN}g_N|y|^4 - \frac{1}{2}L^{dN}\nu_N|y|^2} + K_N(\Lambda_N, y\mathbf{1}) \right) dy}{\int_{\mathbb{R}^n} \left(e^{-\frac{1}{4}L^{dN}g_N|y|^4 - \frac{1}{2}L^{dN}\nu_N|y|^2} + K_N(\Lambda_N, y\mathbf{1}) \right) dy}$$

Main issues

1. Convergence of g_j
 - Requires refined control of the dynamical system
2. Integrability of K_j
 - Requires introduction of the Gaussian large field regulator

Finite-size susceptibility and the effective potential

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Main issues

1. Convergence of g_j
 - Requires refined control of the dynamical system
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 - Requires introduction of the Gaussian large field regulator

⇒ When $d \geq 5$, we have $g_N \rightarrow g_\infty > 0$ as $N \rightarrow \infty$, thus

$$\chi_{g,\nu_c,N} \sim g_\infty^{-1/2} L^{\frac{d}{2}N} = g_\infty^{-1/2} |\Lambda_N|^{1/2}.$$

Main issue 1: lower bound on g_j

We define the norm such that

$$\|g_j|\varphi|^4\| \asymp L^{-(d-4)j} g_j,$$

so $\|V_j\| \rightarrow 0$ does *not* guarantee the convergence of g_j , so we need refined control on V_j . This requires higher order expansion of U_j as a local polynomial, i.e., we need V_j of form

$$\begin{aligned} V_{j,x}(\varphi) &= \frac{1}{4}g_j|\varphi_x|^4 + \frac{1}{2}\nu_j|\varphi_x|^2 \\ &\quad + \sum_{m_1} a_{m_1,j}\varphi_x \cdot \nabla^{m_1}\varphi_x + \sum_{m_2} c_{m_2,j}\varphi_x^3 \cdot \nabla^{m_2}\varphi_x, \end{aligned}$$

and analyse the dynamical system $(g_j, \nu_j, a_{m_1,j}, c_{m_2,j})_{j \geq 0}$

Main issue 2: integrability of K_j

To obtain the torus scaling limit, we need integrability of K_j against a constant field on Λ_N . In order to obtain this, we require a Gaussian decay condition on K_j : there exists $\kappa > 0$ such that

$$|||K_j(X, \varphi)||| := \left\| e^{\kappa g_j^{1/2} L^{dj/2} \sum_{x \in X} |\varphi_x|^2} K_j(X, \varphi) \right\| = O(\epsilon_j^3),$$

or equivalently

$$|K_j(X, \varphi)| \leq A(X)B(\nabla \varphi, \nabla^2 \varphi, \dots) e^{-\kappa g_j^{1/2} L^{dj/2} \sum_{x \in X} |\varphi_x|^2}$$

for some set function $A(X)$.

Thank you