

# Finite-size scalings of the Euclidean $|\varphi|^4$ model at and above the critical dimension

Jiwoon Park

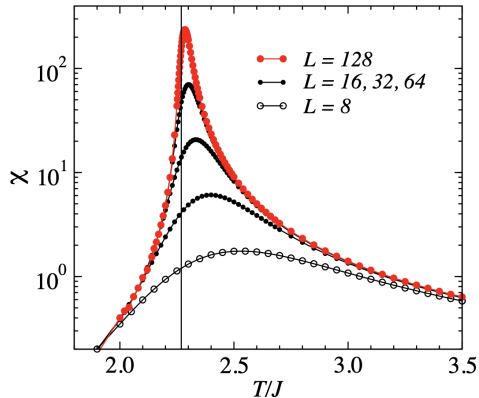
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- ▶ P., Torus scaling limits and the plateau of the critical weakly coupled  $|\varphi|^4$  model in  $d \geq 4$ , *arXiv:2511.06321* (2025)
- ▶ P., A Renormalisation Group Map for Short- and Long-ranged Weakly Coupled  $|\varphi|^4$  Models in  $d \geq 4$  at and Above the Critical Point, *arXiv:2511.03495* (2025)
- ▶ E. Michta, P., G. Slade, Boundary conditions and universal finite-size scaling for the hierarchical  $|\varphi|^4$  model in dimensions 4 and higher, *CPAM* (2023)
- ▶ P., G. Slade, Two-point function plateaux for the hierarchical  $|\varphi|^4$  model in dimensions 4 and higher, *AHP* (2024)
- ▶ Y. Liu, P., G. Slade, Universal finite-size scaling in high-dimensional critical phenomena, *arXiv:2412.08814* (2024)

## Finite-size scaling for a model of a magnet

For total magnetisation  $M = \sum_x \sigma_x$ ,

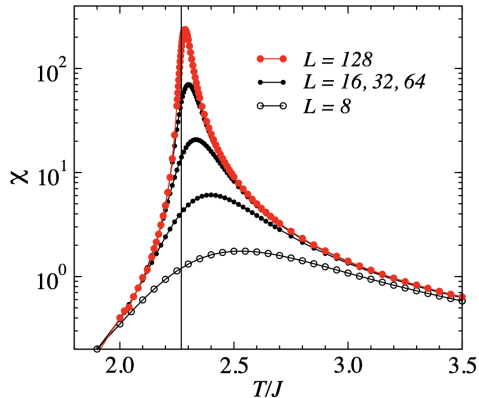
$$\chi^{\text{tr}} = \frac{1}{\text{Vol}} (\langle M^2 \rangle - \langle |M| \rangle^2)$$



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$$\chi^{\text{tr}} = \frac{1}{\text{Vol}} (\langle M^2 \rangle - \langle |M| \rangle^2)$$



- Height of the peak?
- Width of the peak?
- Shift of the critical point?

[Sandvik, Computational Studies of Quantum Spin Systems]

# Definitions and motivations

## $|\phi|^4$ model

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- ▶  $\Lambda_N = \{1, \dots, L^N\}^d$ :  $d$ -dimensional lattice box (with periodic boundary condition)
- ▶  $\Omega_N = \{\phi : \Lambda_N \rightarrow \mathbb{R}^n\}$ : configuration space
- ▶ Coupling constants  $g > 0$ ,  $\nu \in \mathbb{R}$

### Definition

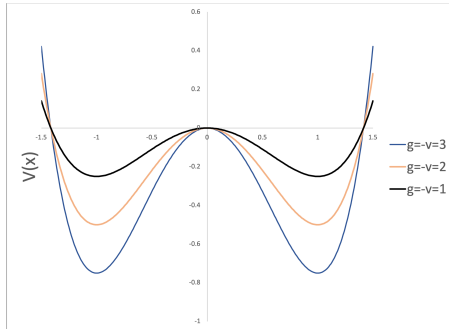
The  $|\phi|^4$  **model** with coupling constants  $g, \nu$  is the probability measure on  $\Omega_N$  given by

$$\langle F(\phi) \rangle_{g, \nu, N} = \frac{1}{Z_{N, g, \nu}} \int_{\Omega_N} d\phi F(\phi) \exp \left( -\frac{1}{2}(\phi, -\Delta\phi) - \frac{1}{2}\nu \sum_x |\phi_x|^2 - \frac{1}{4}g \sum_x |\phi_x|^4 \right).$$

- ▶  $d \geq 4 = d_c$ , the upper critical dimension
- ▶ When  $g \ll 1$ , then a weakly-coupled  $|\phi|^4$ -model

## $|\phi|^4$ model

$$\langle F(\phi) \rangle_{g,\nu,N} = \frac{\int_{\Omega_N} d\phi F(\phi) e^{-\frac{1}{2}(\phi, -\Delta\phi)} e^{-\sum_x V_x(\phi)}}{Z_{g,\nu,N}}, \quad V_x(\phi) = \frac{\nu}{2} |\phi_x|^2 + \frac{g}{4} |\phi_x|^4$$



► Under the limit  $g = -\nu \rightarrow \infty$ , converges to the  $O(n)$  model

## Infrared scaling limits

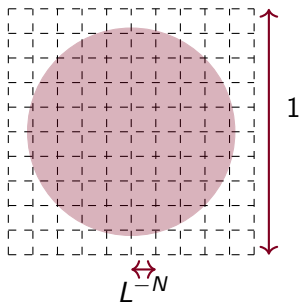
- Torus scaling limit: for  $f \in C^\infty(\mathbb{T}^d)$ , take  $f_N(x) = f(L^{-N}x)$ ,

$$\lim_{N \rightarrow \infty} c_N(f_N, \phi) = ?$$

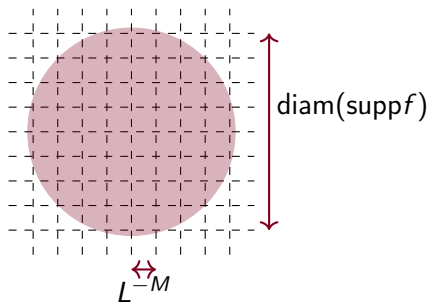
- Macroscopic scaling limit: for  $f \in C^\infty(\mathbb{R}^d)$ , take  $f_M(x) = f(L^{-M}x)$ ,

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Torus scaling

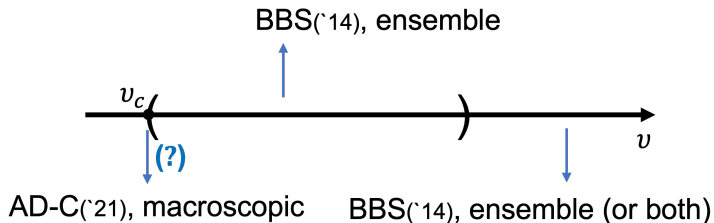


Macroscopic scaling



## Gaussian scaling limits

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- How are [BBS '14] and [AD-C '21] different?
- What is the torus scaling limit at the critical point?



## Plateau: examples

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Theorem [Liu, Panis, Slade '24]

For the Ising model in  $d > 4$  in a system of size  $|\Lambda| = V$  and  $\beta_* = \beta_c - c|\Lambda|^{-1/2}$ ,

$$\langle \sigma_0 \sigma_x \rangle_{\beta_*, \Lambda} \asymp \underbrace{\frac{1}{|x|^{d-2}}}_{\text{poly decay}} + \underbrace{\frac{1}{V^{\frac{1}{2}}}}_{\text{plateau}}$$

Theorem [Liu, Slade '24]

For the lattice trees and animals in  $d > 8$  in a system of size  $|\Lambda| = V$  and  $p_* = p_c - c|\Lambda|^{-1/2}$ ,

$$\mathbb{P}_{p_*, \Lambda}(0 \leftrightarrow x) \asymp \frac{1}{|x|^{d-2}} + \frac{1}{V^{\frac{3}{4}}}$$

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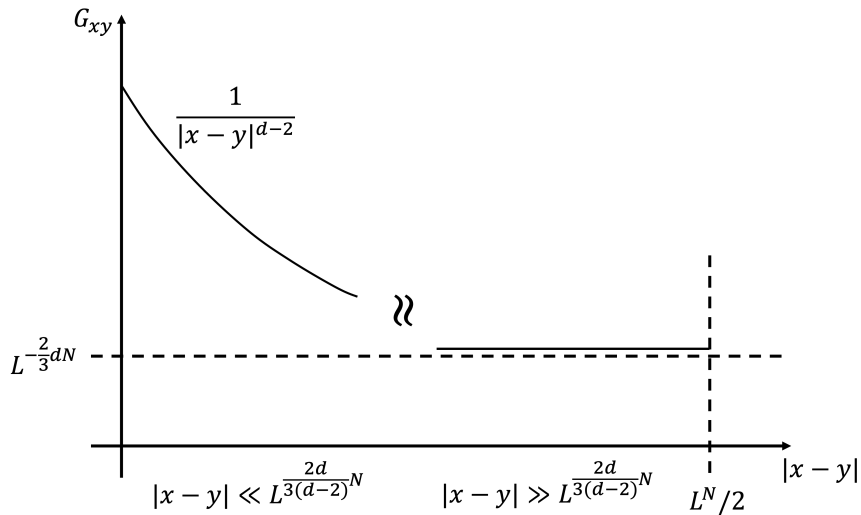
$$\mathbb{P}_{p_*, \Lambda}(0 \leftrightarrow x) \asymp \frac{1}{|x|^{d-2}} + \frac{1}{V^{\frac{3}{4}}}$$

### Theorem [Hutchcroft, Michta, Slade '23]

For the Bernoulli percolation with  $d \geq 11$  in a system of size  $|\Lambda| = V$ ,

$$\mathbb{P}_{p_c, \Lambda}(0 \leftrightarrow x) \asymp \frac{1}{|x|^{d-2}} + \frac{1}{V^{\frac{2}{3}}}$$

## Plateau: Bernoulli percolation



# Main results

## Critical point of the $|\phi|^4$ model, $d \geq 4$

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- ▶ Natural injection  $i_N : \Lambda_N \rightarrow \mathbb{T}^d$
- ▶  $f \in C^\infty(\mathbb{T}^d; \mathbb{R}^n)$ , let  $f_N : \Lambda_N \rightarrow \mathbb{R}^n$  be the discretisation given  $f_N(x) = f(i_N(x))/|\Lambda_N|$
- ▶  $\bar{f} = \int_{\mathbb{T}^d} f(x) dx$ ,  $\bar{f}_N = \frac{1}{|\Lambda_N|} \sum_x f_N(x)$

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Theorem (BBS'14 for  $d = 4$ , P'25<sup>+</sup> for  $d \geq 5$ )

Let  $d \geq 4$ ,  $g$  be sufficiently small. Then there exist  $\nu_c \equiv \nu_c(g)$  such that, for some sequence  $(\epsilon_k, \epsilon'_k) \rightarrow (0, 0)$ ,

$$\lim_{N \rightarrow \infty} \left\langle e^{(\phi, f_N)/L^{Nd/2}} \right\rangle_{g, \nu_c + \epsilon_k, N} := \mathbf{WN} \left[ e^{(\epsilon'_k)^{-1/2}(\psi, f)} \right] = \exp \left( \frac{1}{2\epsilon'_k} (f, f) \right).$$

- ▶ **WN** is the White noise measure on  $\mathbb{T}^d$ , i.e., absence of long range order

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The finite-volume susceptibility is defined as  $\chi_{g, \nu, N} = \frac{1}{|\Lambda_N|} \langle (\sum_x \phi_x)^2 \rangle_{g, \nu, N}$ .

Corollary

Under the same assumptions,  $\chi_{g, \nu, \infty} = \lim_{N \rightarrow \infty} \chi_{g, \nu, N}$  exists and

$$\chi_{g, \nu_c + \epsilon_k, \infty} = \frac{1}{\epsilon'_k} \rightarrow \infty \quad \text{as} \quad \epsilon_k \rightarrow 0.$$

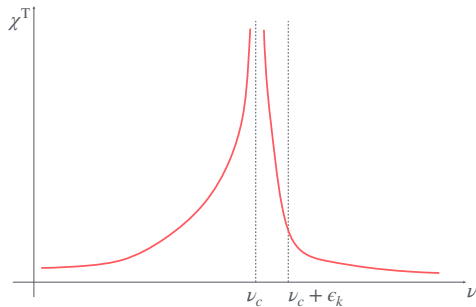
## Critical point of the $|\phi|^4$ model, $d \geq 4$

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### Corollary

*Under the same assumptions,  $\chi_{g,\nu,\infty} = \lim_{N \rightarrow \infty} \chi_{g,\nu,N}$  exists and*

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## Torus scaling limit of $|\phi|^4$ model, $d \geq 5$

### Theorem (P'25<sup>+</sup>)

Let  $d \geq 5$ ,  $g$  be sufficiently small,  $b_N = g^{-1/4} L^{-dN/4}$  and  $c_N = L^{-\frac{d-2}{2}N}$ .

1. There exists  $\gamma = 1 + O(g) > 0$  such that

$$\lim_{N \rightarrow \infty} \left\langle e^{(\phi, f_N)/b_N} \right\rangle_{g, \nu_{c,N}} = \mathbf{Q} \left[ e^{\gamma(\psi, f)} \right] \propto \int e^{\gamma y \cdot \bar{f}} e^{-|y|^4} dy.$$

2. There exists  $\beta = 1 + O(g) > 0$  such that

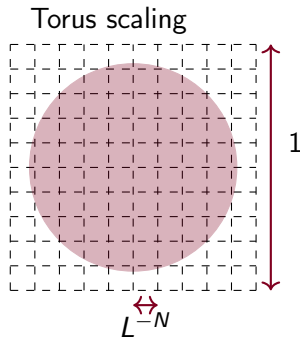
$$\lim_{N \rightarrow \infty} \left\langle e^{(\phi, (f_N - \bar{f}_N))/c_N} \right\rangle_{g, \nu_{c,N}} = \mathbf{GFF}_0 \left[ e^{\beta(\psi, f - \bar{f})} \right].$$

## Torus scaling limit of $|\phi|^4$ model, $d \geq 5$

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2.  $\lim_{N \rightarrow \infty} \langle e^{(\phi, f_N - \bar{f}_N)/c_N} \rangle_{g, \nu_{c, N}} = \mathbf{GFF}_0 [e^{\beta(\psi, f - \bar{f})}]$ .



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### Interpretation

- ▶ If  $Y \sim "e^{-|y|^4}"$ , then  $Y\mathbf{1} \sim \mathbf{Q}$ .
- ▶ Thus on a finite-volume torus,

$$\phi \sim \gamma b_N Y\mathbf{1} + \beta c_N \mathbf{GFF},$$

- ▶ Since  $b_N \gg c_N$ , we see that there is a scale hierarchy where  $\phi$  is constant on the scale of the torus and the GFF on microscopic scales.

## Torus scaling limit of $|\phi|^4$ model, $d = 4$

### Theorem (P'25<sup>+</sup>)

Let  $d = 4$ ,  $g$  be sufficiently small,  $b_N = N^{1/4}L^{-N}$  and  $c_N = L^{-N}$ .

1. There exists  $\gamma > 0$ , independent of  $g$ , such that

$$\lim_{N \rightarrow \infty} \left\langle e^{(\phi, f_N)/b_N} \right\rangle_{g, \nu_{c, N}} = \mathbf{Q} \left[ e^{\gamma(\psi, f)} \right] \propto \int e^{\gamma y \cdot \bar{f}} e^{-|y|^4} dy.$$

2. There exists  $\beta = 1 + O(g) > 0$  such that

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## Comparison with previous results

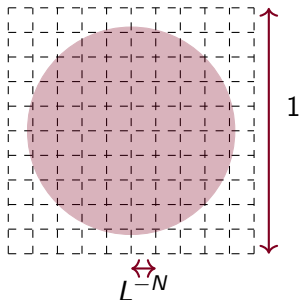
### ► Macroscopic scaling limit

- Gaussian limit in  $d \geq 4$  and  $n = 1$ : Aizenman, Duminil-Copin('21), Fröhlich('81), Aizenman('82)

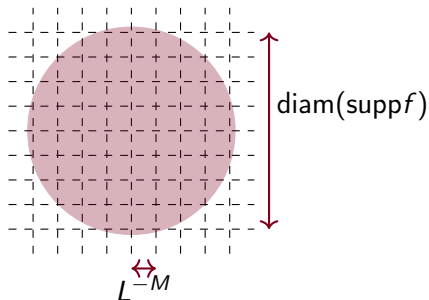
### ► Torus scaling limit

- Weakly coupled, any  $n \geq 1$
- Scale hierarchy  $\phi \sim b_N Y \mathbf{1} + c_N \text{GFF}$
- Competition between the 'plateau effect' and the Gaussian limit

Torus scaling



Macroscopic scaling



## Comparison with previous results

---

With  $b_N = N^{1/4}L^{-N}$  for  $d = 4$ ,  $b_N = g^{-1/4}L^{-dN/4}$  for  $d > 4$   $c_N = L^{-\frac{d-2}{2}N}$ , suppose

$$\psi = \gamma b_N Y \mathbf{1} + \beta c_N \text{GFF}$$

**Corollary (Macroscopic limit for  $\psi$ )**

For  $f \in C_c^\infty(\mathbb{R}^d)$ , let  $f^M(x) = f(L^M x)$ . Then

$$\lim_{N \rightarrow \infty} \lim_{M \rightarrow \infty} \left\langle e^{L^M(\psi, f_N^M)/c_N} \right\rangle = \exp \left( \frac{1}{2} \beta(f, (-\Delta)^{-1} f) \right).$$

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- ▶ Gives an covariance structure of the macroscopic scaling limit
- ▶ We did not prove that  $\phi \sim \gamma b_N Y \mathbf{1} + \beta c_N \text{GFF}$  is uniform in  $M$ , but expected to be true.

## Theorem [Park, 25<sup>+</sup>]

Let  $d > 4$ ,  $g > 0$  and  $\nu = \nu_c(g)$ .

- ▶ If  $|x_N| \rightarrow \infty$  with  $|x_N| \ll L^{\frac{d}{2(d-2)}} N$ , then
 
$$\langle \phi_0 \phi_{x_N} \rangle_{g,\nu} \sim \frac{c_1}{|x_N|^{d-2}}$$
- ▶ If  $|x_N| \gg L^{\frac{d}{2(d-2)}} N$ , then
 
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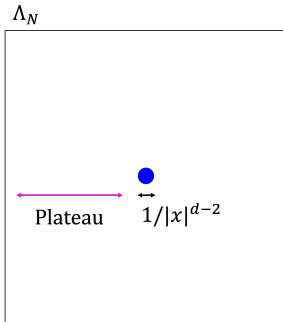
## Plateau

Theorem [Park, 25<sup>+</sup>,  $d > 4$ ]

- ▶ If  $|x_N| \rightarrow \infty$  with  $|x_N| \ll L^{\frac{d}{2(d-2)}N}$ , then
 
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$$\langle \phi_0 \phi_{x_N} \rangle_{g,\nu} \sim c_2 g^{-1/4} L^{-dN/2}$$

Shows a plateau:

- (1)  $L^{\frac{d}{2(d-2)}N} \ll L^N$
- (2)  $c_2 g^{-1/4} L^{-dN/2}$  is a constant



## Conjectures/Prospectives

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Related results:

- ▶ Presence of the critical window and a scaling profile

The same picture would hold models in the same universality class:

1.  $O(n)$  lattice spin models in  $d \geq 4$  ( $n = 1$ : Ising model,  $n = 2$ : XY model,  $n = 3$ : Heisenberg model)
2. (Strictly or weakly) Self-avoiding walks

There is a problem with the boundary condition:

- ▶ Occurrence of the pseudocritical point under FBC
- ▶ Appearance of the same scaling profile about the pseudocritical point, just with different constants

Case of convex potentials

## Spin systems with convex potential

- ▶  $\Lambda_N = \{1, \dots, L^N\}^d$ :  $d$ -dimensional lattice box (with periodic boundary condition)
- ▶  $\Omega_N = \{\varphi : \Lambda_N \rightarrow \mathbb{R}^n\}$ : configuration space (For convenience,  $n = 1$ )
- ▶ Convex function  $W : \mathbb{R}^n \rightarrow \mathbb{R}$

### Definition

We define the spin system with potential  $W$  as a probability measure on  $\Omega_N$  given by

$$\langle F(\phi) \rangle_{W,N} = \frac{1}{Z_{W,N}} \int_{\Omega_N} d\phi F(\phi) \exp \left( -\frac{1}{2}(\phi, -\Delta\phi) - W(\varphi) \right) \prod_x d\phi, \quad \phi \in \Omega_N.$$

## Methods for convex potentials

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### A corollary of the Prékopa–Leindler inequality

If  $D^2S \geq 0$  and  $\mu$  is some probability measure, then  $\mu * e^{-S}$  is log-concave, i.e., if  $\zeta \sim \mu$ , then

$$\varphi \mapsto -\log \mu[e^{-S(\varphi+\zeta)}], \quad \varphi \in \Omega_N$$

is a convex function.

### Brascamp-Lieb inequality

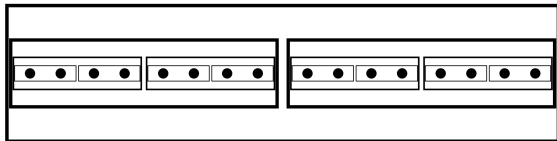
If  $W$  is even and  $D^2W(\phi) \geq Q > 0$  for a quadratic form  $Q$ , uniformly in  $\phi$ , then for any  $h \in \Omega_N$ ,

$$\langle e^{(h,\phi)} \rangle_{W,N} \leq \exp \left( \frac{1}{2} (h, (-\Delta + Q)^{-1} h) \right)$$

- ▶ In particular, if  $g, \nu > 0$ , then the BL inequality implies  $\langle \phi_0 \phi_x \rangle_{g,\nu,\infty} \leq O(e^{-\mu|x|})$ .
- ▶ More detailed information can be obtained by Helffer-Sjörstrand representation

RG method

## Idea of renormalisation group



### CLT and RG:

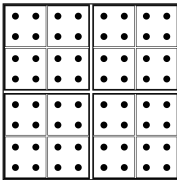
- ▶ Let  $(X_n)_{n \geq 0}$  be i.i.d.,  $\mathbb{E}[X_n^2] = 1$ ,  $\mathbb{E}[X_n] = 0$ ,  $X_n \sim e^{-v(x)}/Z_v$ , considered as 'spin chain'
- ▶ Let  $Y_{j,n} = (X_{n2^j+1} + \dots + X_{(n+1)2^j})/2^j$ , then

$$Y_{j,n} \sim e^{-v_j(x)}/Z_j = (e^{-v}/Z_v)^{*2^j}$$

- ▶ According to the CLT,  $v_j(x) \rightarrow \frac{1}{2}x^2$  as  $j \rightarrow \infty$ , so averaged field improves convexity.
- ▶ Reflecting on the proof of the CLT, this process can be shown inductively.

## Reformulation using Gaussian integral

$$\langle F(\phi) \rangle_{g,\nu,N} = \frac{\int_{\Omega_N} d\phi F(\phi) e^{-\frac{1}{2}(\phi, -\Delta\phi)} e^{-\sum_x V_x(\phi)}}{Z_{g,\nu,N}}, \quad V_x(\phi) = \frac{\nu}{2} |\phi_x|^2 + \frac{g}{4} |\phi_x|^4$$

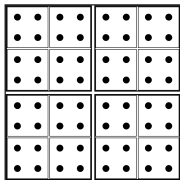


$\mathcal{B}_j$  = set of boxes of  $L^j$  points = set of  $j$ -boxes



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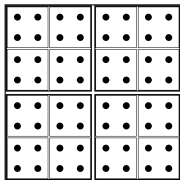


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- **Step 1:** Regroup lattice field  $\phi(B) = (\phi_x)_{x \in B}$  for each block  $B \in \mathcal{B}_j$ , compute the law of each  $\phi(B)$

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$\mathcal{B}_j$  = set of boxes of  $L^j$  points = set of  $j$ -boxes

- **Step 1:** Regroup lattice field  $\phi(B) = (\phi_x)_{x \in B}$  for each block  $B \in \mathcal{B}_j$ , compute the law of each  $\phi(B)$
- **Step 2:** Compute the  $j$ -scale interaction of  $(\phi(B))_{B \in \mathcal{B}_j}$  that replaces  $(\phi, -\Delta\phi)$

## Reformulation using Gaussian integral

---

$$\phi \sim \mathcal{N}(0, (-\Delta + \mu^2)^{-1}) \quad \Rightarrow \quad Z_{g,\nu,N} \propto \lim_{\mu \rightarrow 0} \mathbb{E}^\phi[e^{-\sum_x V_x(\phi)}]$$

### Lemma

*Suppose  $\zeta_1 \sim \mathcal{N}(0, C_1)$ ,  $\zeta_2 \sim \mathcal{N}(0, C_2)$  are independent. Then  $\zeta_1 + \zeta_2 \sim \mathcal{N}(0, C_1 + C_2)$ .*

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► Suppose there exist  $\Gamma_1, \dots, \Gamma_N$  such that

$$(-\Delta + \mu^2)^{-1} = \sum_{j=1}^N \Gamma_j + \mu^{-2} Q_N$$

and  $\zeta_j \sim \mathcal{N}(0, \Gamma_j)$  is the ‘scale  $j$  fluctuation’ of the massive GFF. Let  $\hat{\zeta} \sim \mathcal{N}(0, \mu^{-2} Q_N)$ .

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► By the lemma,  $\zeta_1 + \dots + \zeta_N + \hat{\zeta} =^d \phi$ , so

$$Z_{g,\nu,N} \propto \lim_{\mu \rightarrow 0} \mathbb{E}^{\hat{\zeta}} \mathbb{E}^{\zeta_N} \dots \mathbb{E}^{\zeta_1} \left[ \exp\left(-\sum_x V_x(\zeta_1 + \dots + \zeta_N + \hat{\zeta})\right) \right].$$

## Renormalised potential

---

Given  $(\zeta_j)_{j=1}^N \sim \mathcal{N}(0, \Gamma_1 \oplus \dots \oplus \Gamma_N)$  with  $\sum_j \Gamma_j = (-\Delta + \mu^2)^{-1}$ ,

$$Z_{g,\nu,N} = \lim_{\mu \rightarrow 0} \mathbb{E}^{\hat{\zeta}} \mathbb{E}^{\zeta_N} \dots \mathbb{E}^{\zeta_1} [\exp(-\sum_x V_x(\zeta_1 + \dots + \zeta_N))].$$

► For each  $j$ , the renormalised potential is defined as a partial expectation

$$e^{-U_j(\varphi)} = \mathbb{E}^{\zeta_j} \dots \mathbb{E}^{\zeta_1} \left[ \exp(-\sum_x V_x(\varphi + \zeta_1 + \dots + \zeta_j)) \right]$$

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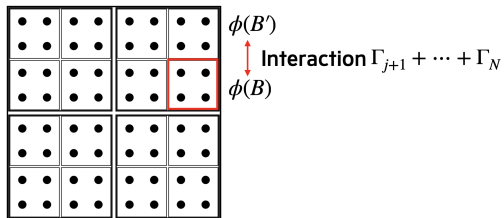
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$$\Rightarrow Z_{g,\nu,N} = \lim_{\mu \rightarrow 0} \mathbb{E}^{\hat{\zeta}} \underbrace{\mathbb{E}^{\zeta_N} \dots \mathbb{E}^{\zeta_{j+1}}}_{\text{scale } j \text{ interaction}} \underbrace{\left[ \exp(-U_j(\zeta_{j+1} + \dots + \zeta_N + \hat{\zeta})) \right]}_{\text{scale } j \text{ potential function}}$$

## Renormalised potential

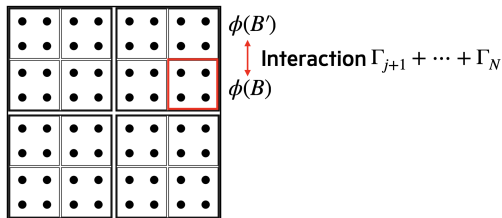
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## Renormalised potential

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**Goal:** Approximate  $U_j$  using 'local' polynomials

$$U_j(\phi) = u_j |\Lambda_N| + \sum_x \frac{1}{2} \nu_j |\phi_x|^2 + \frac{1}{4} g_j |\phi_x|^4 + \dots$$

and analyse the stability of the dynamical system  $(u_j, \nu_j, g_j)_{j \geq 0}$ .

## Renormalised potential

---

### Theorem

If  $\nu = \nu_c$ , there exists vacuum energy  $u_j$ , a 'local' polynomial  $V_j(\varphi)$  and a collection of smooth function  $(K_j(X) : X \subset \mathcal{B}_j)$  such that

$$e^{-U_j(\phi)} = e^{-u_j|\Lambda|} e^{-\sum_x V_{j,x}(\phi)} \mathcal{E}xp(K_j)(\phi)$$

and

$$\|V_j\| = O(\epsilon_j), \quad \|K_j\| = O(\epsilon_j^3)$$

for some  $\epsilon_j \rightarrow 0$  and suitable norms on function spaces.

- ▶  $V_j$  is a low order approximation of  $U_j$  as a local polynomial
- ▶  $K_j$  is a remainder term and  $\mathcal{E}xp$  is a cluster expansion of scale  $j$  polymers given by

$$\mathcal{E}xp(K) = 1 + \sum_{X \subset \mathcal{B}_j, X \neq \emptyset} K_j(X)$$

## Finite-size susceptibility and the effective potential

Finite-size susceptibility can be computed as the following:

- $Z_{g,\nu,N} \propto \lim_{\mu \rightarrow 0} \mathbb{E}^{\hat{\zeta}}[e^{-U_N}]$  with  $\hat{\zeta} \sim \mathcal{N}(0, \mu^2 Q_N)$ , and  $\hat{\zeta} = Y' \mathbf{1}$  for  $Y' \sim \mathcal{N}(0, \mu^2 L^{-dN})$ ,

$$\begin{aligned} Z_{g,\nu_c,N} &\propto \lim_{\mu \rightarrow 0} \int_{\mathbb{R}^n} (e^{-L^{dN} V_N(y)} + K_N(\Lambda_N, y \mathbf{1})) e^{-\frac{L^{dN}}{2\mu^2} |y|^2} dy \\ &= \lim_{\mu \rightarrow 0} \int_{\mathbb{R}^n} (e^{-\frac{1}{4} L^{dN} g_N |y|^4 - \frac{1}{2} L^{dN} \nu_N |y|^2} + K_N(\Lambda_N, y \mathbf{1})) e^{-\frac{L^{dN}}{2\mu^2} |y|^2} dy \end{aligned}$$

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- ▶  $\chi_{g,\nu,N} = L^{-dN} \langle (\sum_x \varphi_x)^2 \rangle_{g,\nu,N}$

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- ▶  $\chi_{g,\nu,N} = L^{-dN} \langle (\sum_x \varphi_x)^2 \rangle_{g,\nu,N}$
- ▶ So likewise,

$$\chi_{g,\nu_c,N} = L^{dN} \lim_{\mu \rightarrow 0} \frac{\int_{\mathbb{R}^n} |y|^2 (e^{-\frac{1}{4} L^{dN} g_N |y|^4 - \frac{1}{2} L^{dN} \nu_N |y|^2} + K_N(\Lambda_N, y \mathbf{1})) e^{-\frac{L^{dN}}{2\mu^2} |y|^2} dy}{\int_{\mathbb{R}^n} (e^{-\frac{1}{4} L^{dN} g_N |y|^4 - \frac{1}{2} L^{dN} \nu_N |y|^2} + K_N(\Lambda_N, y \mathbf{1})) e^{-\frac{L^{dN}}{2\mu^2} |y|^2} dy}$$

## Finite-size susceptibility and the effective potential

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### Main issues

1. Convergence of  $g_j$ 
  - Requires refined control of the dynamical system
2. Integrability of  $K_j$ 
  - Requires introduction of the Gaussian large field regulator

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$\Rightarrow$  When  $d \geq 5$ , we have  $g_N \rightarrow g_\infty > 0$  as  $N \rightarrow \infty$ , thus

$$\chi_{g,\nu_c,N} \sim g_\infty^{-1/2} L^{\frac{d}{2}N} = g_\infty^{-1/2} |\Lambda_N|^{1/2}.$$

## Main issue 1: lower bound on $g_j$

---

We define the norm such that

$$\|g_j|\varphi|^4\| \asymp L^{-(d-4)j} g_j,$$

so  $\|V_j\| \rightarrow 0$  does *not* guarantee the convergence of  $g_j$ , so we need refined control on  $V_j$ . This requires higher order expansion of  $U_j$  as a local polynomial, i.e., we need  $V_j$  of form

$$\begin{aligned} V_{j,x}(\varphi) = & \frac{1}{4} g_j |\varphi_x|^4 + \frac{1}{2} \nu_j |\varphi_x|^2 \\ & + \sum_{m_1} a_{m_1,j} \varphi_x \cdot \nabla^{m_1} \varphi_x + \sum_{m_2} c_{m_2,j} \varphi_x^3 \cdot \nabla^{m_2} \varphi_x, \end{aligned}$$

and analyse the dynamical system  $(g_j, \nu_j, a_{m_1,j}, c_{m_2,j})_{j \geq 0}$



## Main issue 2: integrability of $K_j$

---

To obtain the torus scaling limit, we need integrability of  $K_j$  against a constant field on  $\Lambda_N$ . In order to obtain this, we require a Gaussian decay condition on  $K_j$ : there exists  $\kappa > 0$  such that

$$|||K_j(X, \varphi)||| := \left\| e^{\kappa g_j^{1/2} L^{dj/2} \sum_{x \in X} |\varphi_x|^2} K_j(X, \varphi) \right\| = O(\epsilon_j^3),$$

or equivalently

$$|K_j(X, \varphi)| \leq A(X) B(\nabla \varphi, \nabla^2 \varphi, \dots) e^{-\kappa g_j^{1/2} L^{dj/2} \sum_{x \in X} |\varphi_x|^2}$$

for some set function  $A(X)$ .

Thank you