



# GRAPH THEORY

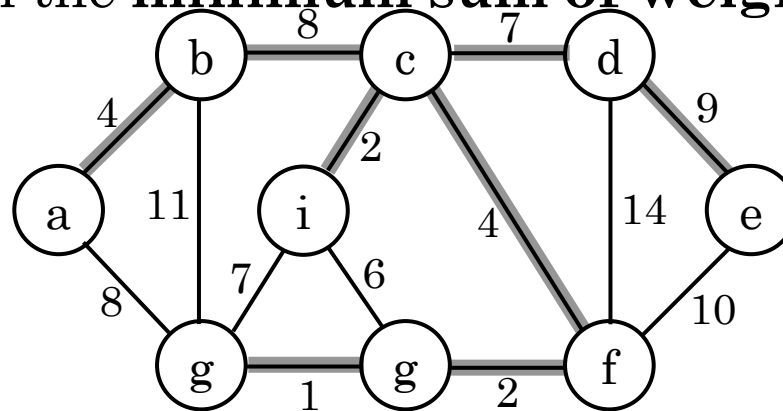
## MINIMUM SPANNING TREE

**Design and Analysis of Algorithms**

**Fall 2022**

# MINIMUM SPANNING TREES

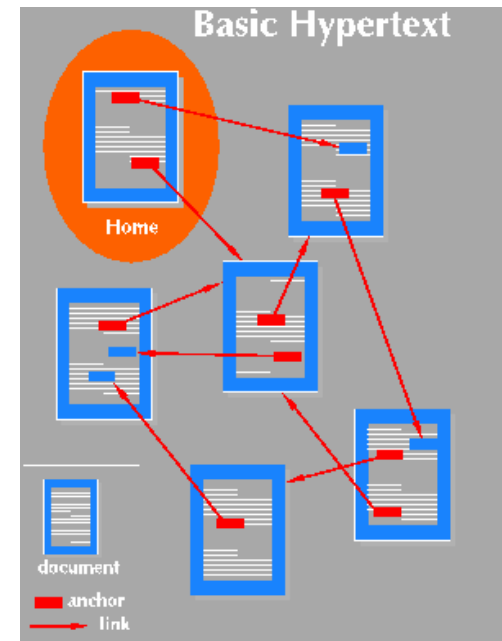
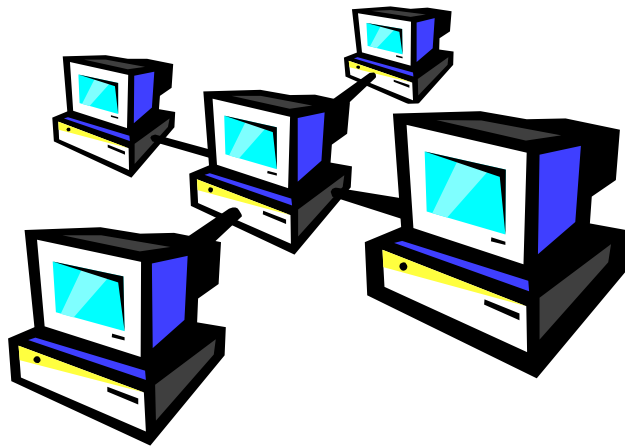
- Spanning Tree
  - A tree (i.e., connected, acyclic graph) which contains all the vertices of the graph
- Minimum Spanning Tree
  - Spanning tree with the **minimum sum of weights**



- Spanning forest
  - If a graph is not connected, then there is a spanning tree for each connected component of the graph

# APPLICATIONS OF MST

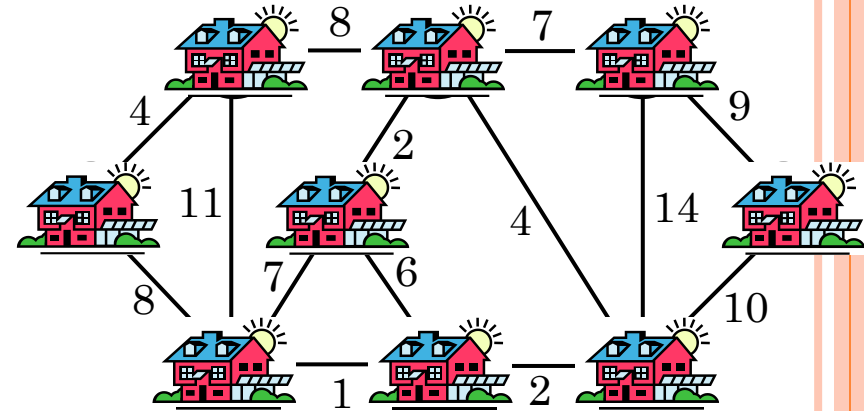
- Find the least expensive way to connect a set of cities, terminals, computers, etc.



# EXAMPLE

## Problem

- A town has a set of houses and a set of roads
- A road connects 2 and only 2 houses
- A road connecting houses  $u$  and  $v$  has a repair cost  $w(u, v)$



**Goal:** Repair enough (and no more) roads such that:

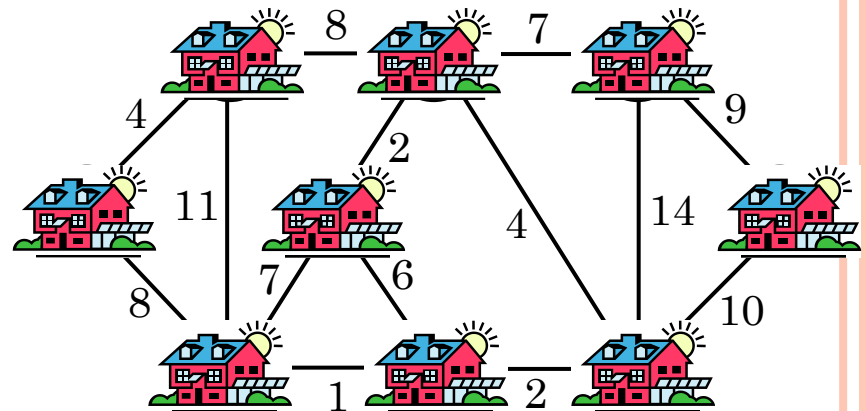
1. Everyone stays connected  
i.e., can reach every house from all other houses
2. Total repair cost is minimum

# MINIMUM SPANNING TREES

- A connected, undirected graph:
  - Vertices = houses, Edges = roads
- A **weight**  $w(u, v)$  on each edge  $(u, v) \in E$

Find  $T \subseteq E$  such that:

1.  $T$  connects all vertices
2.  $w(T) = \sum_{(u,v) \in T} w(u, v)$  is minimized



# PROPERTIES OF MINIMUM SPANNING TREES

- Minimum spanning tree is **not** unique



- MST has no cycles – see why:
  - We can take out an edge of a cycle, and still have the vertices connected while reducing the cost
- # of edges in a MST:
  - $|V| - 1$

# MINIMUM SPANNING TREES

- A ***spanning tree*** of an undirected connected graph is its connected acyclic subgraph (i.e., a tree) that contains all the vertices of the graph.
- If such a graph has weights assigned to its edges, a ***minimum spanning tree*** is its spanning tree of the smallest weight, where the ***weight*** of a tree is defined as the sum of the
- weights on all its edges.
- The ***minimum spanning tree problem*** is the problem of finding a minimum spanning tree for a given weighted connected graph.

# MINIMUM SPANNING TREES

**Input:** A connected, undirected graph  $G = (V, E)$  with weight function  $w : E \rightarrow \mathbb{R}$ .

- For simplicity, assume that all edge weights are distinct. (CLRS covers the general case.)



# MINIMUM SPANNING TREES

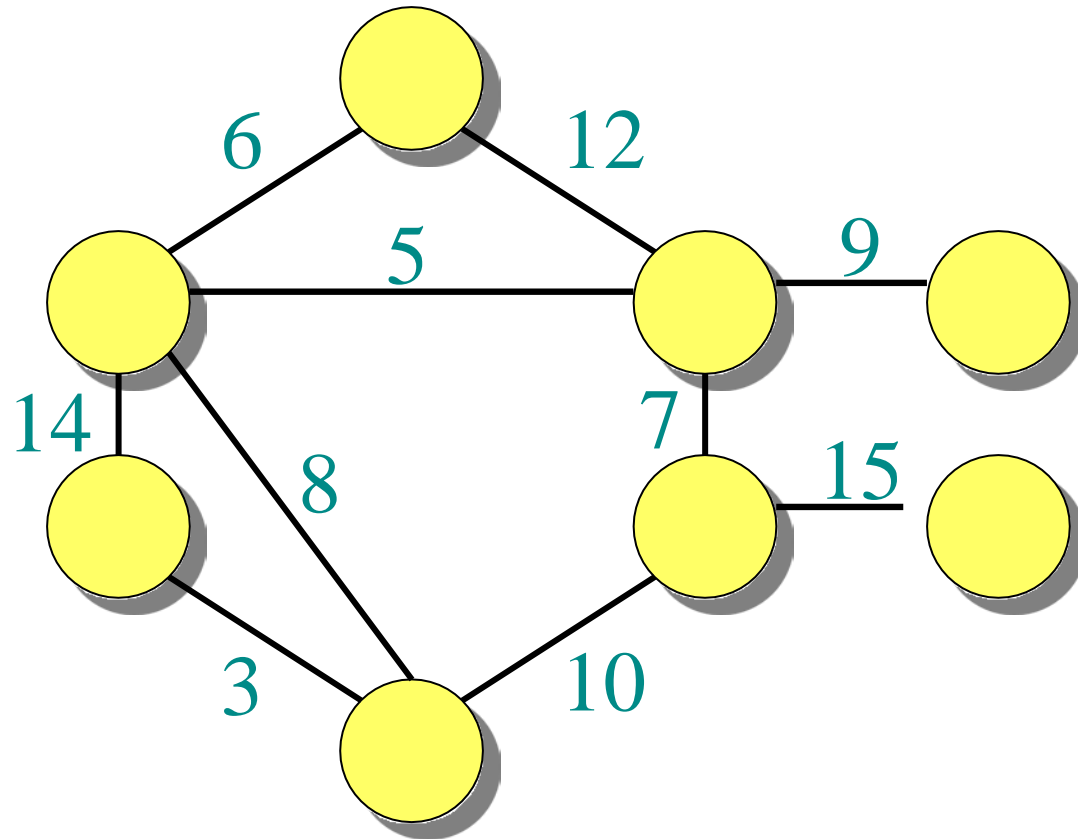
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- For simplicity, assume that all edge weights are distinct. (CLRS covers the general case.)

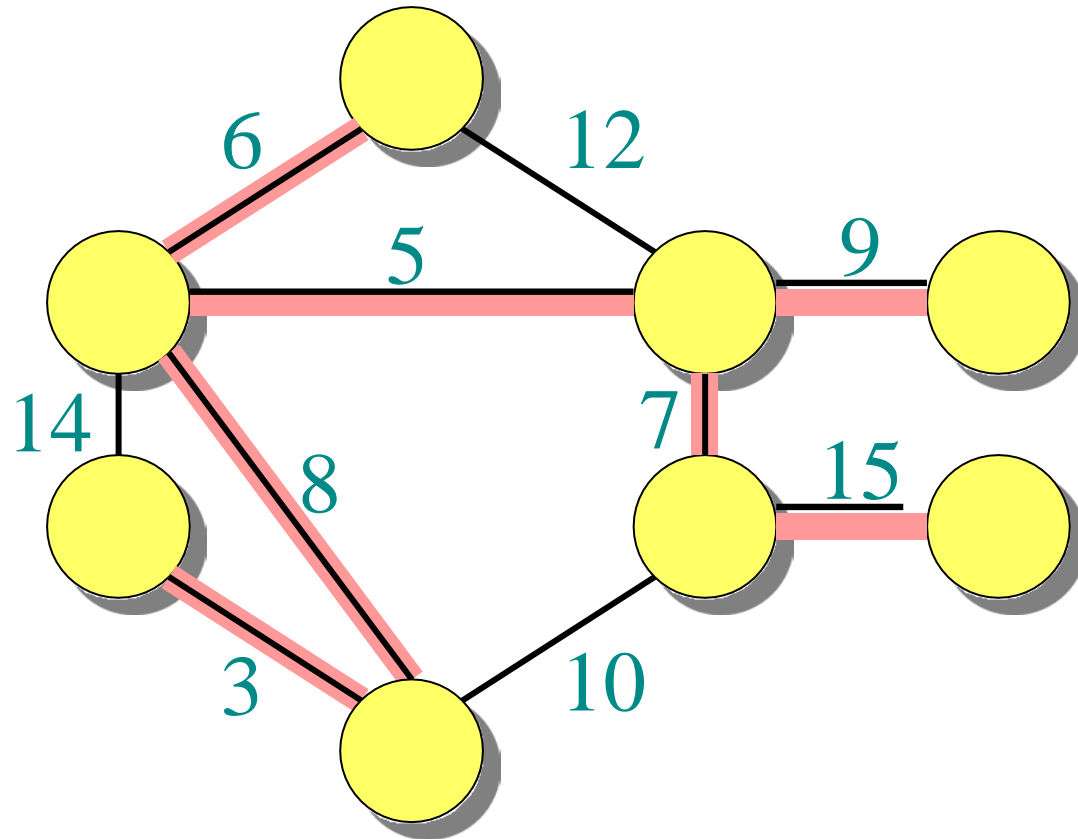
**Output:** A *spanning tree*  $T$  — a tree that connects all vertices — of minimum weight:

$$w(T) = \sum_{(u,v) \in T} w(u,v).$$

# EXAMPLE OF MST



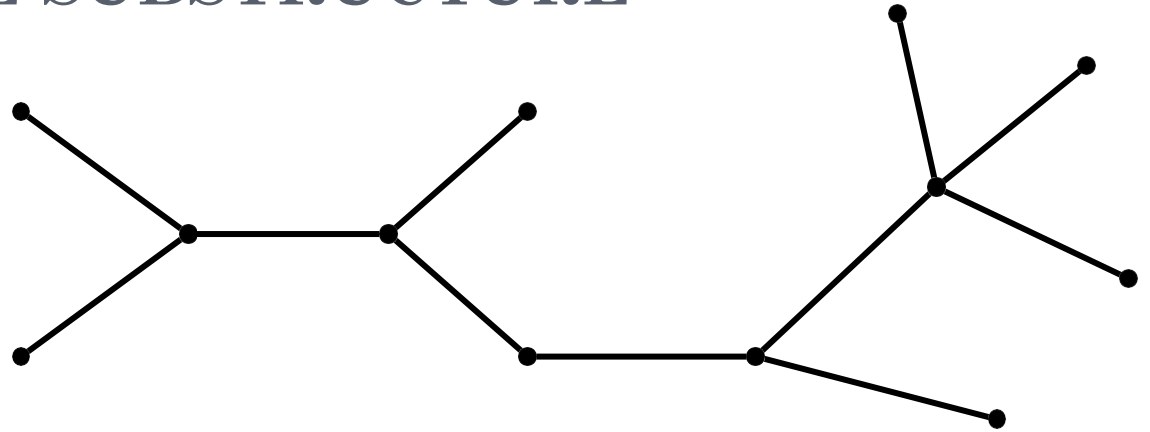
# EXAMPLE OF MST



# OPTIMAL SUBSTRUCTURE

MST  $T$ :

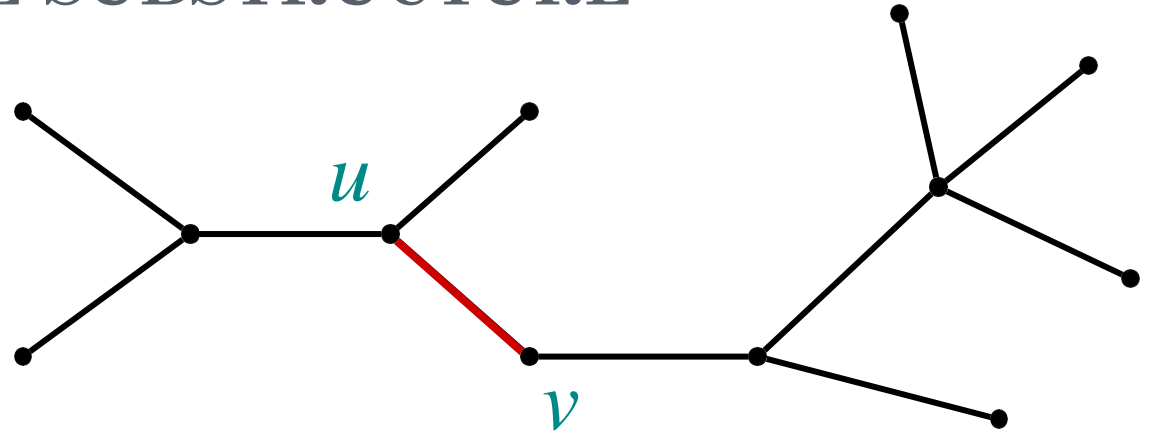
(Other edges of  $G$   
are not shown.)



# OPTIMAL SUBSTRUCTURE

MST  $T$ :

(Other edges of  $G$   
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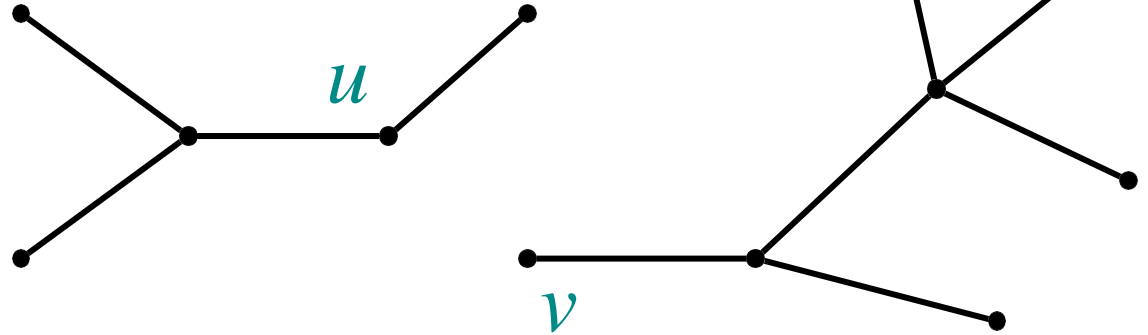


Remove any edge  $(u, v) \in T$ .

# OPTIMAL SUBSTRUCTURE

MST  $T$ :

(Other edges of  $G$   
are not shown.)

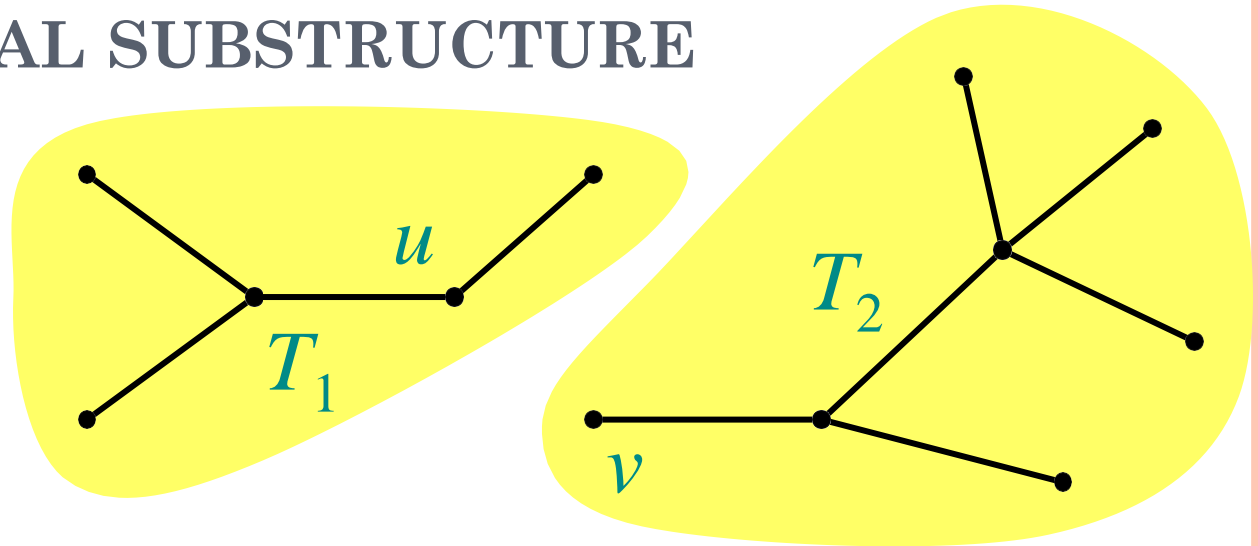


Remove any edge  $(u, v) \in T$ .

# OPTIMAL SUBSTRUCTURE

MST  $T$ :

(Other edges of  $G$   
are not shown.)



Remove any edge  $(u, v) \in T$ . Then,  $T$  is partitioned into two subtrees  $T_1$  and  $T_2$ .

# HALLMARK FOR “GREEDY” ALGORITHMS

***Greedy-choice property***

*A locally optimal choice  
is globally optimal.*



## GENERIC-MST( $G, w$ )

```
1   $A = \emptyset$ 
2  while  $A$  does not form a spanning tree
3      find an edge  $(u, v)$  that is safe for  $A$ 
4       $A = A \cup \{(u, v)\}$ 
5  return  $A$ 
```

- The generic method manages a set of edges  $A$ , maintaining the following loop invariant:
- **Prior to each iteration,  $A$  is a subset of some minimum spanning tree.**
- At each step, we determine an edge  $\{u, v\}$  that we can add to  $A$  without violating this invariant, in the sense that  $A \cup \{u, v\}$  is also a subset of a minimum spanning tree.
- We call such an edge a ***safe edge*** for  $A$ , since we can add it safely to  $A$  while maintaining the invariant

# HALLMARK FOR “GREEDY” ALGORITHMS

***Greedy-choice property***

*A locally optimal choice  
is globally optimal.*

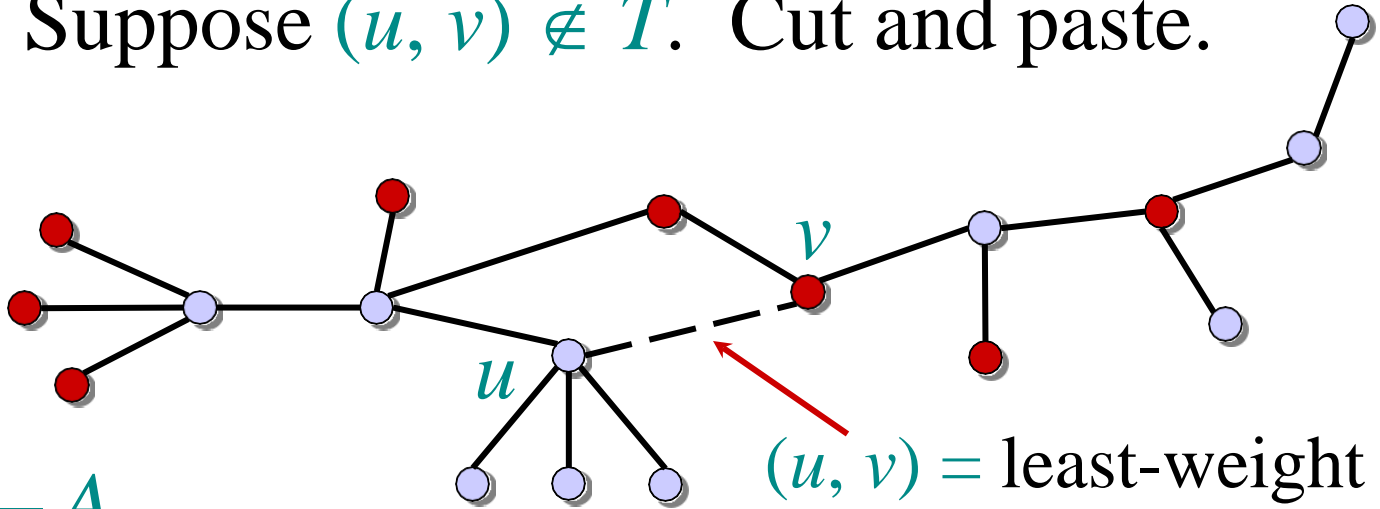
**Theorem.** Let  $T$  be the MST of  $G = (V, E)$ , and let  $A \subseteq V$ . Suppose that  $(u, v) \in E$  is the least-weight edge connecting  $A$  to  $V - A$ . Then,  $(u, v) \in T$ .

# PROOF OF THEOREM

*Proof.* Suppose  $(u, v) \notin T$ . Cut and paste.

$T$ :

$\bullet \in A$   
 $\bullet \in V - A$

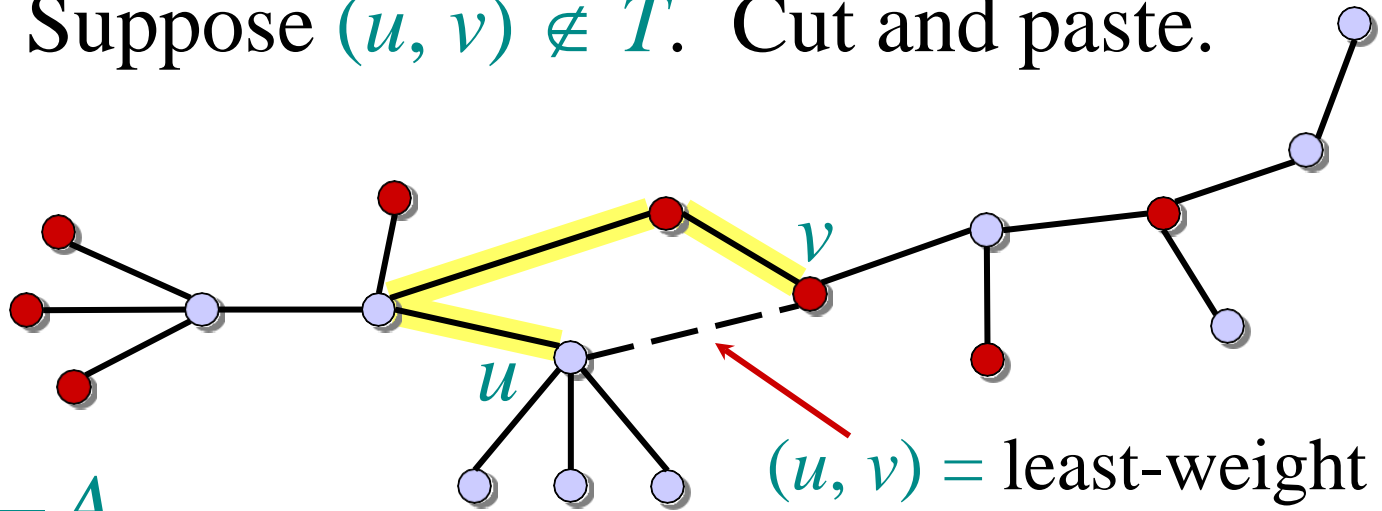


## PROOF OF THEOREM

*Proof.* Suppose  $(u, v) \notin T$ . Cut and paste.

$T$ :

$\bullet \in A$   
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$(u, v)$  = least-weight edge  
connecting  $A$  to  $V - A$

Consider the unique simple path from  $u$  to  $v$  in  $T$ .

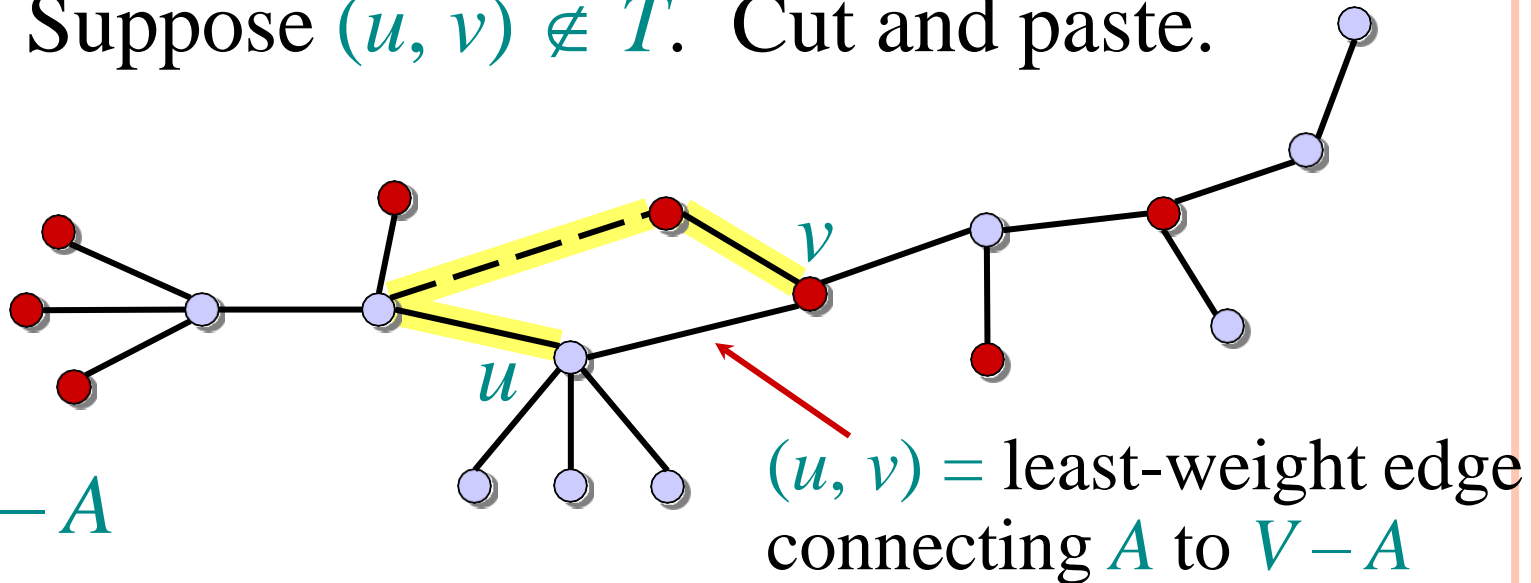
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*Proof.* Suppose  $(u, v) \notin T$ . Cut and paste.

$T$ :

●  $\in A$

●  $\in V - A$

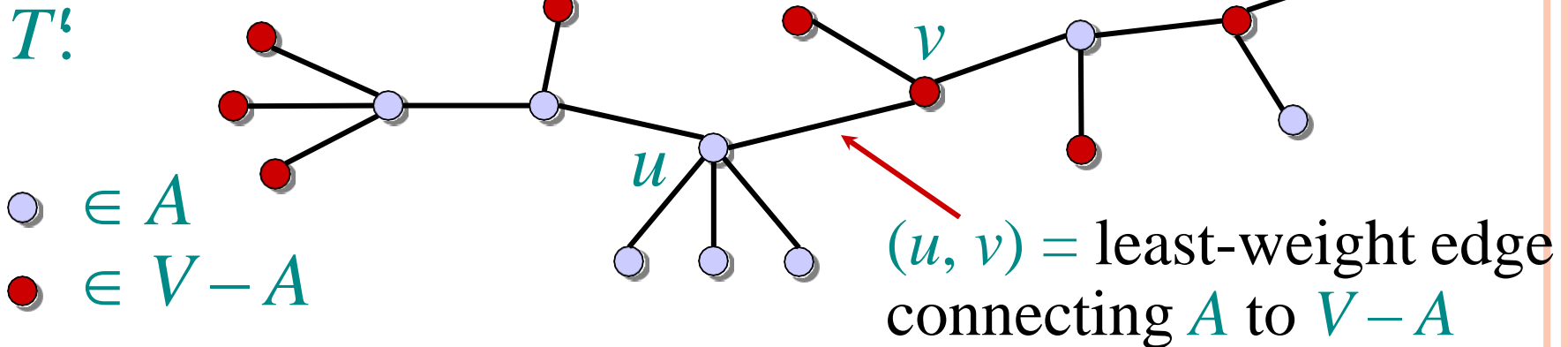


Consider the unique simple path from  $u$  to  $v$  in  $T$ .

Swap  $(u, v)$  with the first edge on this path that connects a vertex in  $A$  to a vertex in  $V - A$ .

## PROOF OF THEOREM

*Proof.* Suppose  $(u, v) \notin T$ . Cut and paste.



Consider the unique simple path from  $u$  to  $v$  in  $T$ .

Swap  $(u, v)$  with the first edge on this path that connects a vertex in  $A$  to a vertex in  $V - A$ .

A lighter-weight spanning tree than  $T$  results.

# PRIM'S ALGORITHM

**IDEA:** Maintain  $V - A$  as a priority queue  $Q$ . Key each vertex in  $Q$  with the weight of the least-weight edge connecting it to a vertex in  $A$ .

$Q \leftarrow V$

$key[v] \leftarrow \infty$  for all  $v \in V$

$key[s] \leftarrow 0$  for some arbitrary  $s \in V$

**while**  $Q \neq \emptyset$

**do**  $u \leftarrow \text{EXTRACT-MIN}(Q)$

**for each**  $v \in \text{Adj}[u]$

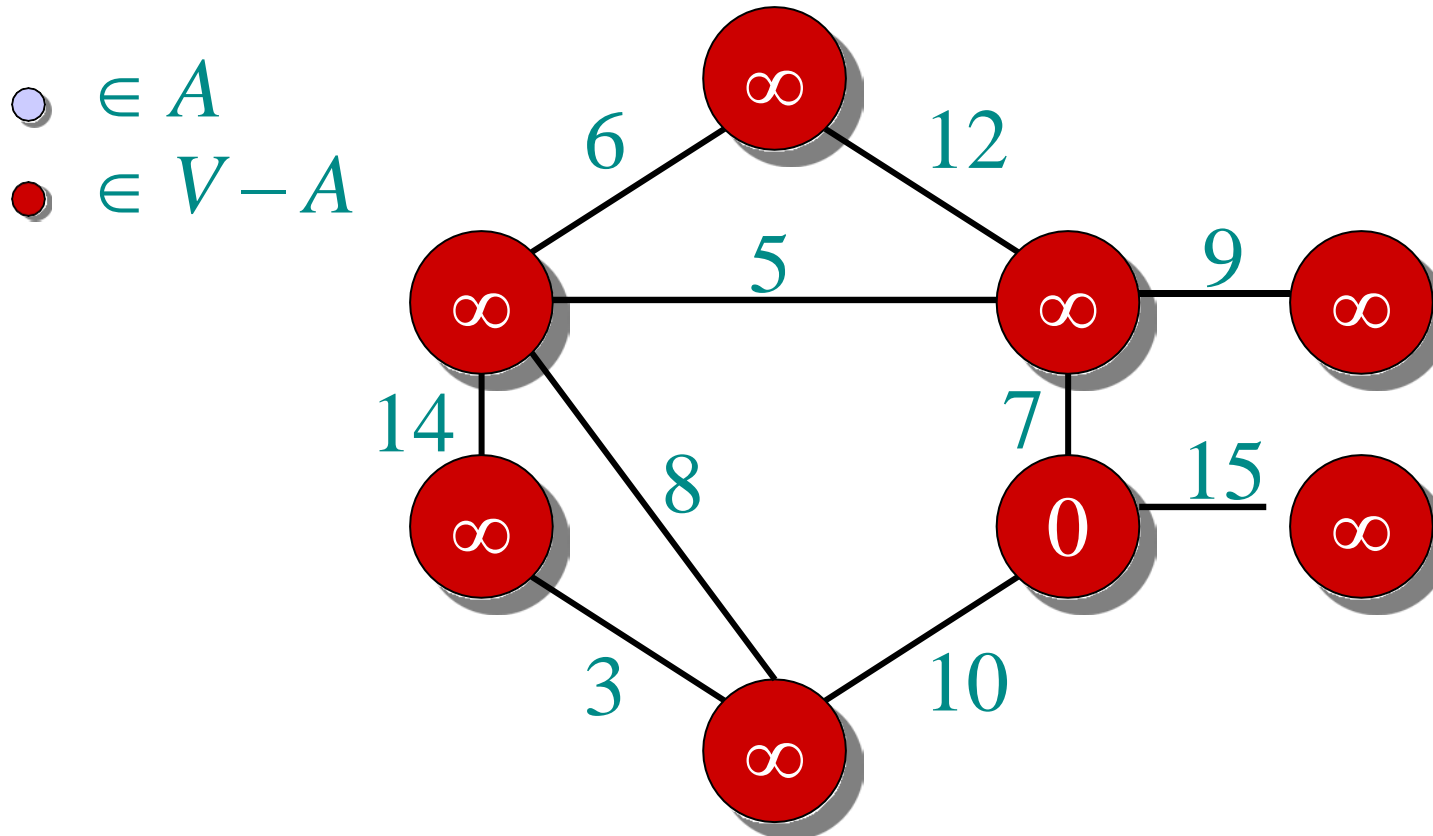
**do if**  $v \in Q$  and  $w(u, v) < key[v]$

**then**  $key[v] \leftarrow w(u, v)$       $\triangleright$  DECREASE-KEY

$\pi[v] \leftarrow u$

At the end,  $\{(v, \pi[v])\}$  forms the MST.

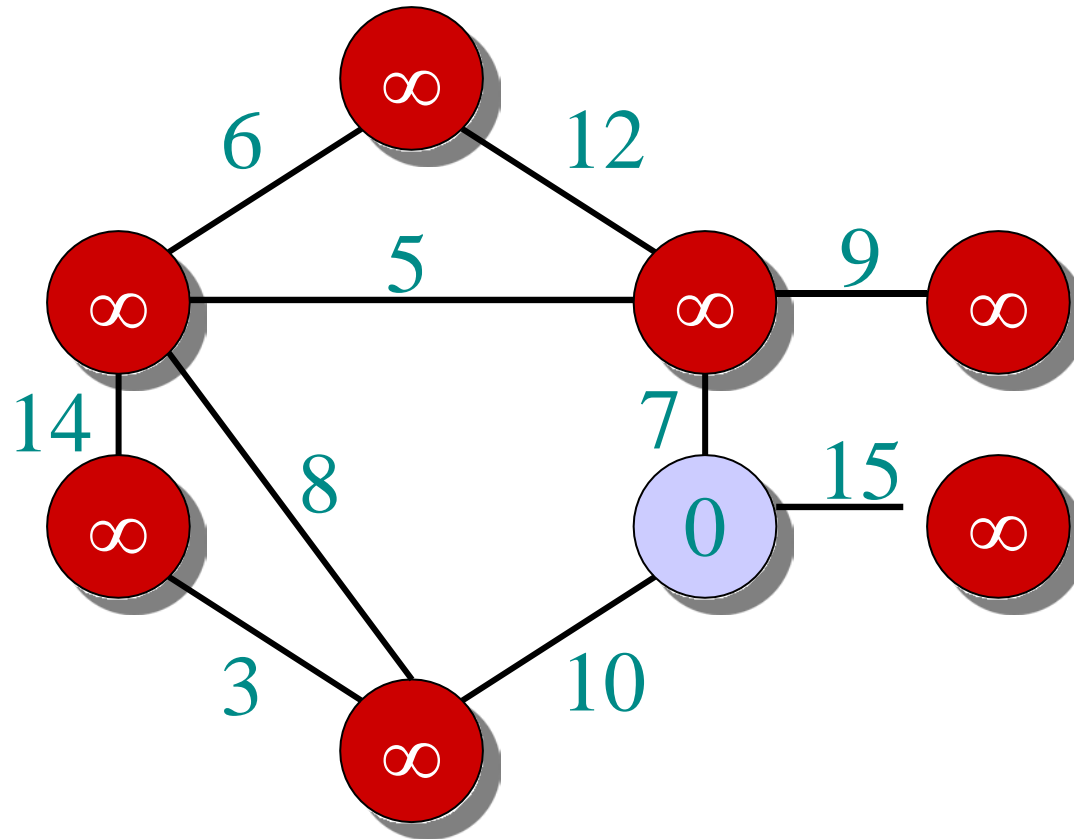
## EXAMPLE OF PRIM'S ALGORITHM





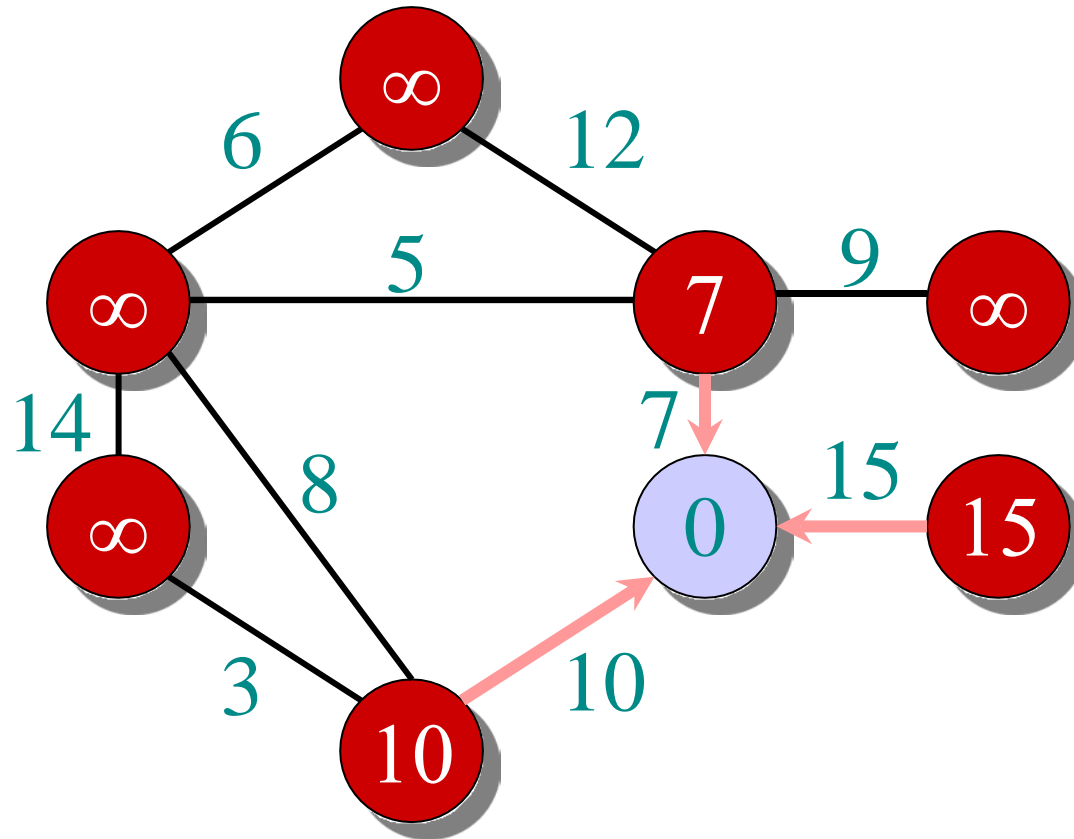
# EXAMPLE OF PRIM'S ALGORITHM

  $\in A$   
  $\in V - A$



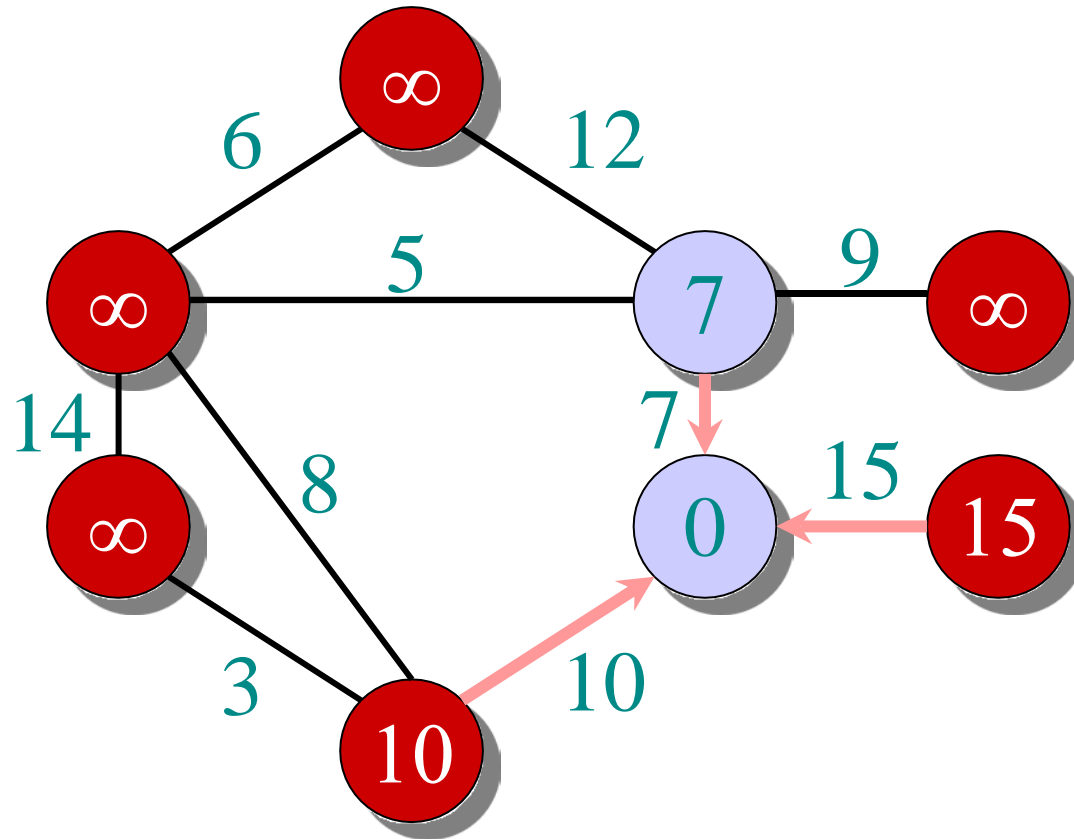
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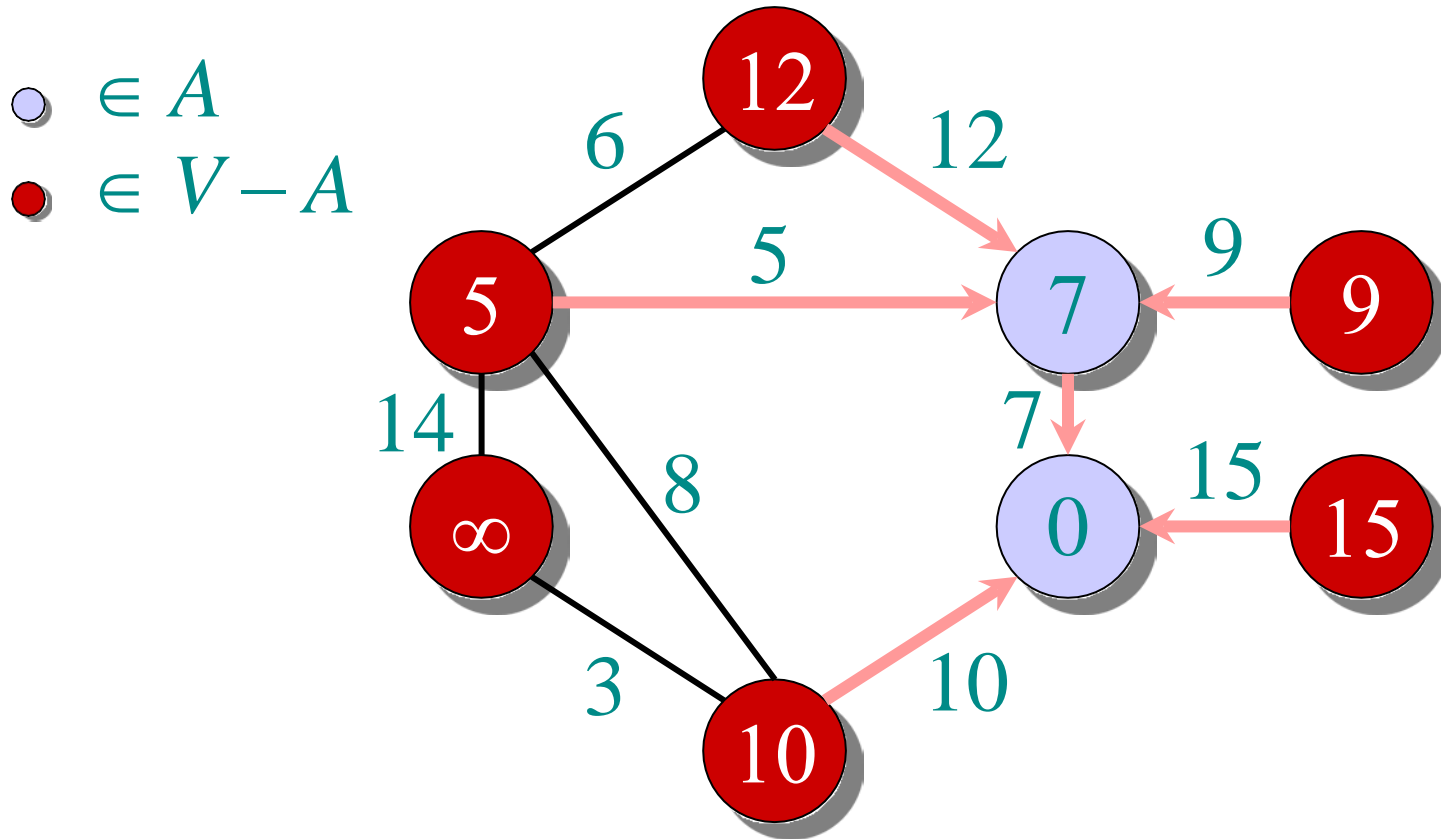


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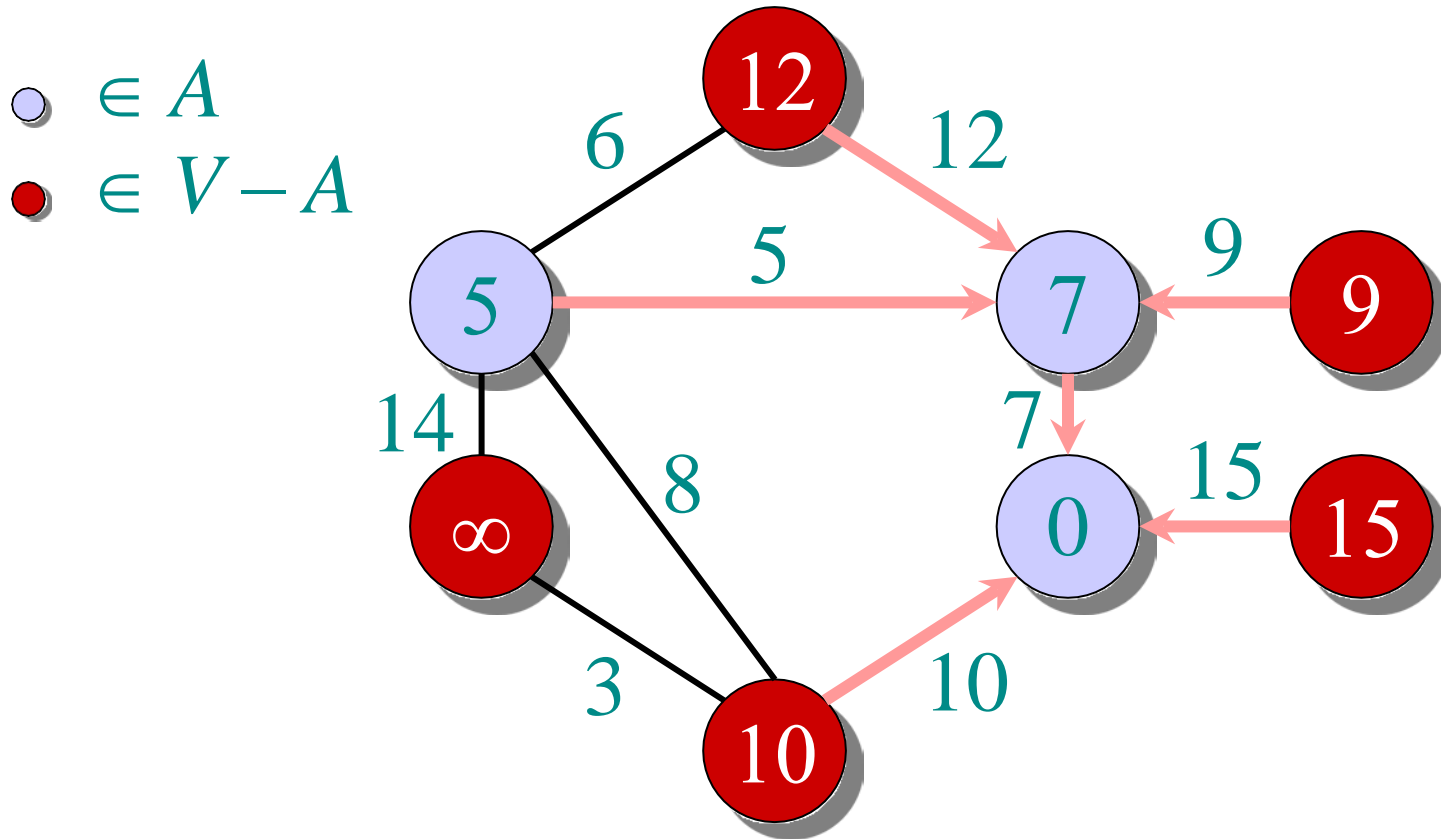
  $\in A$   
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# EXAMPLE OF PRIM'S ALGORITHM

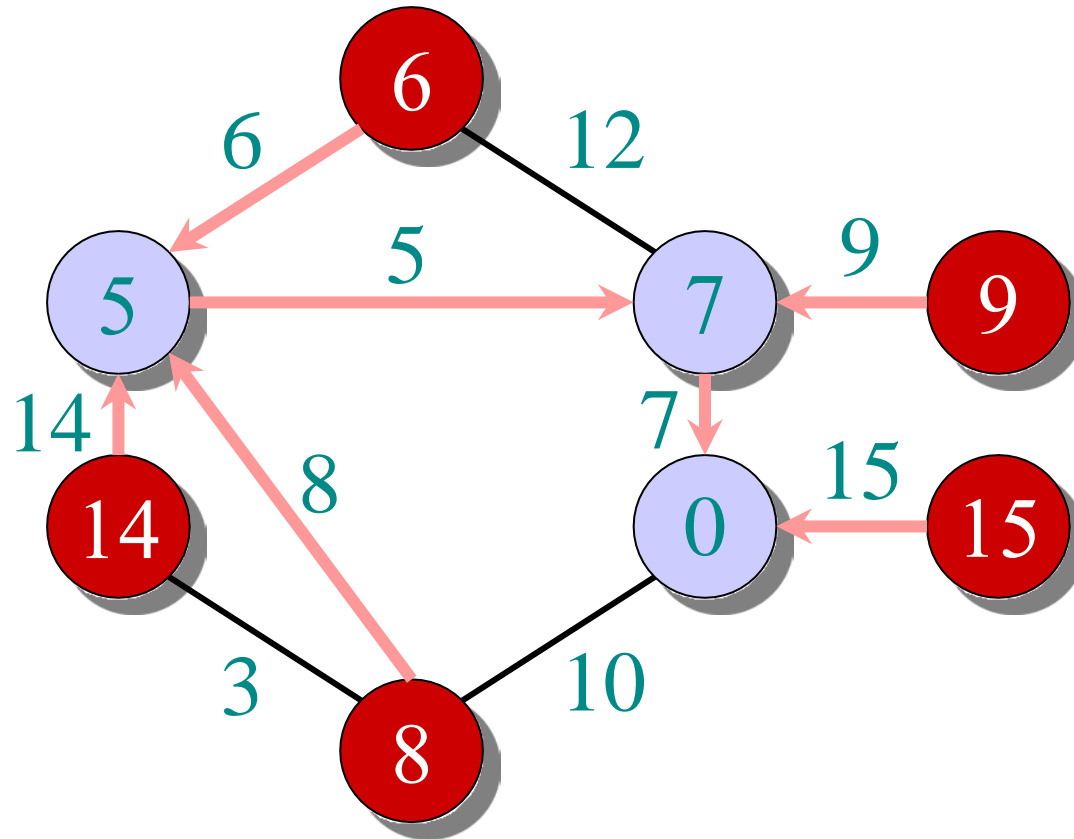


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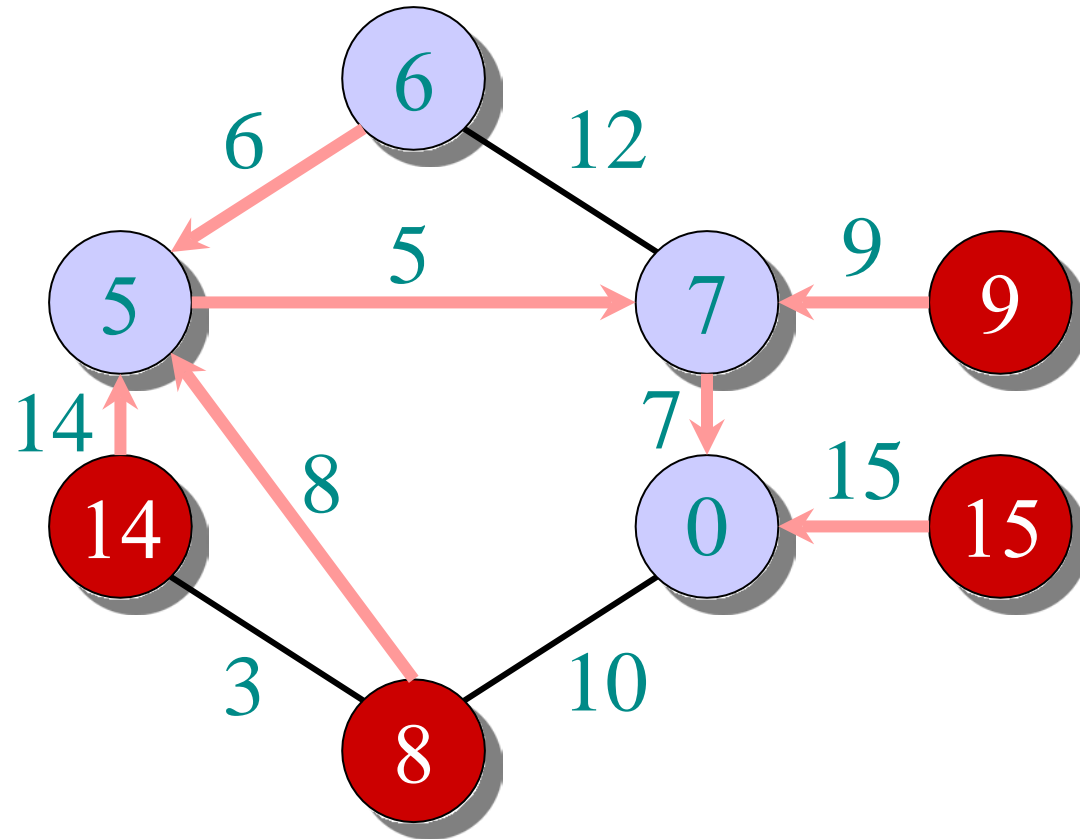
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  $\in V - A$



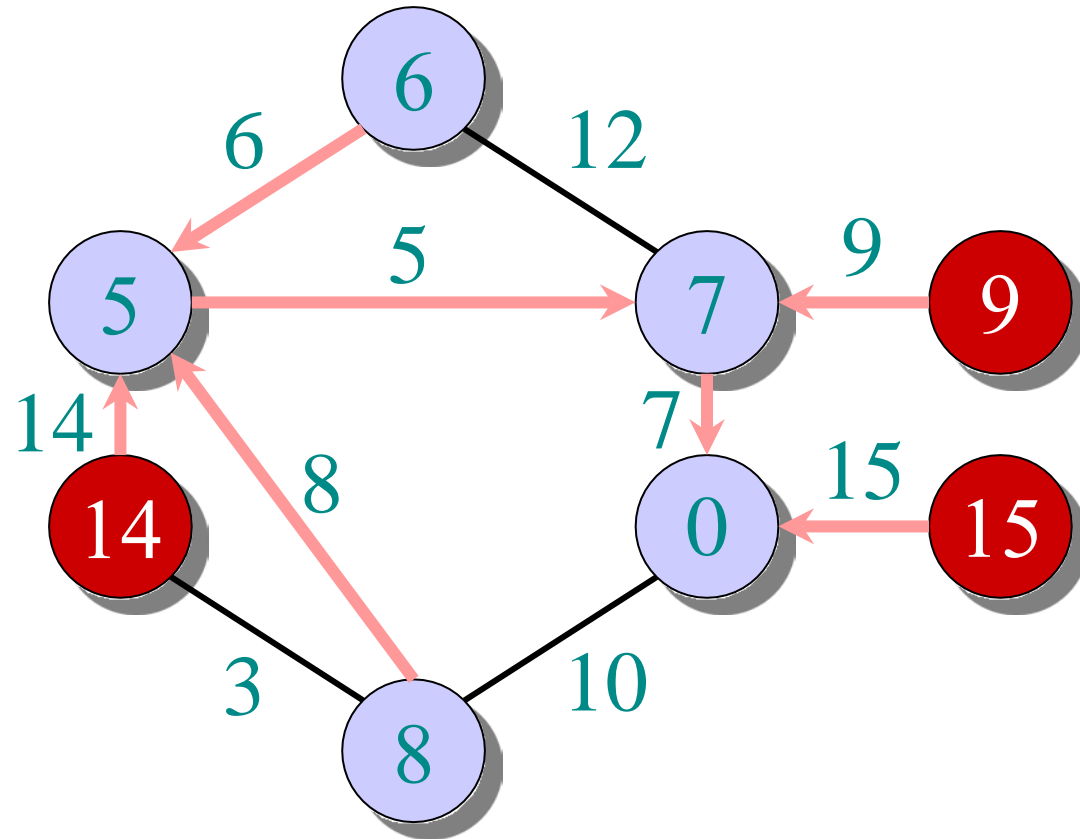
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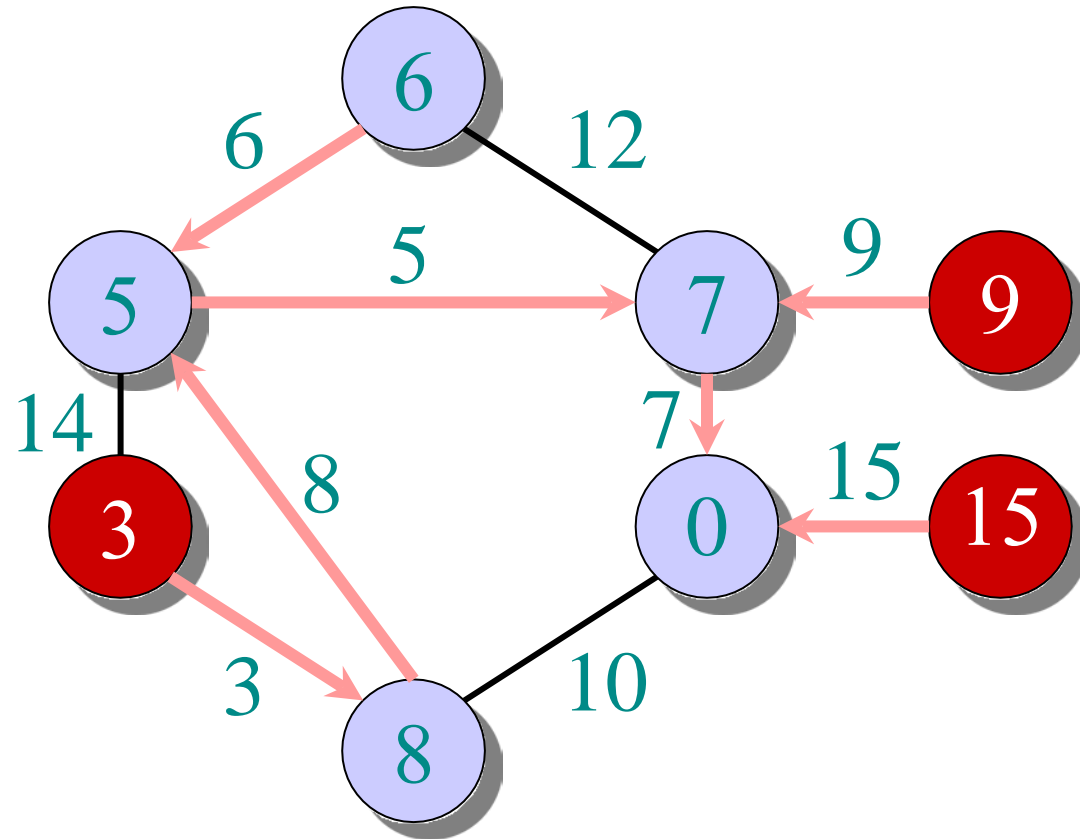
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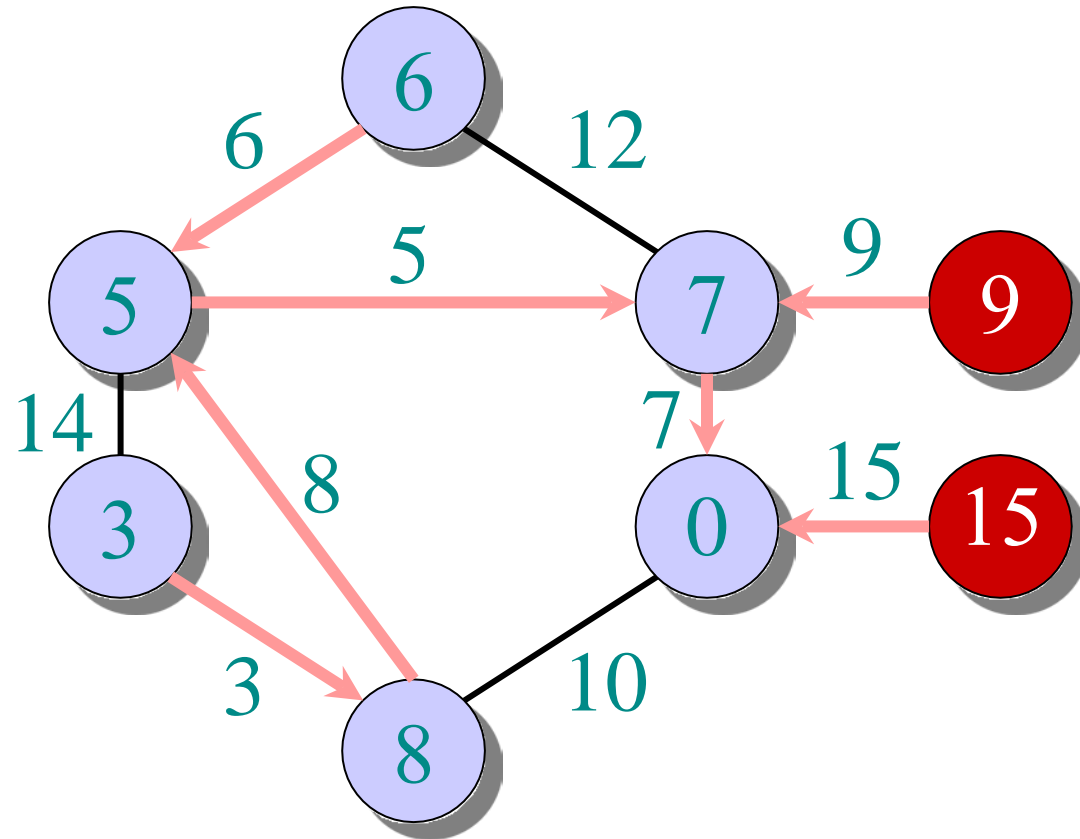
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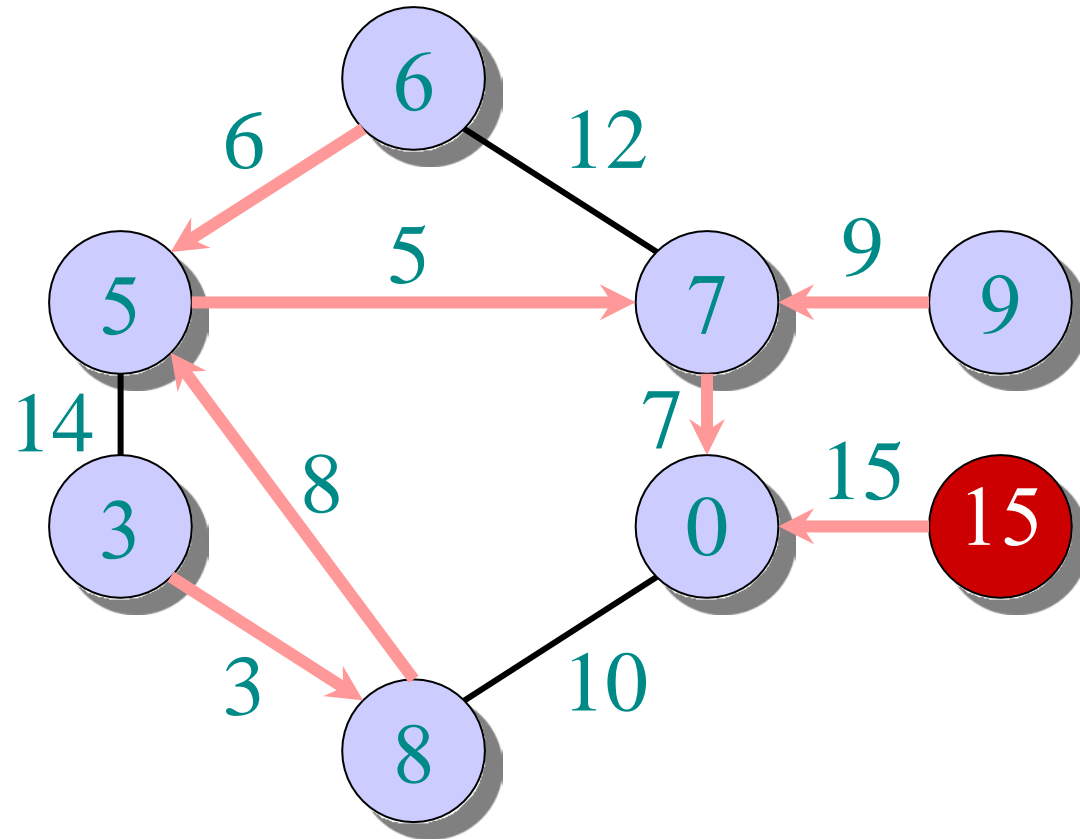
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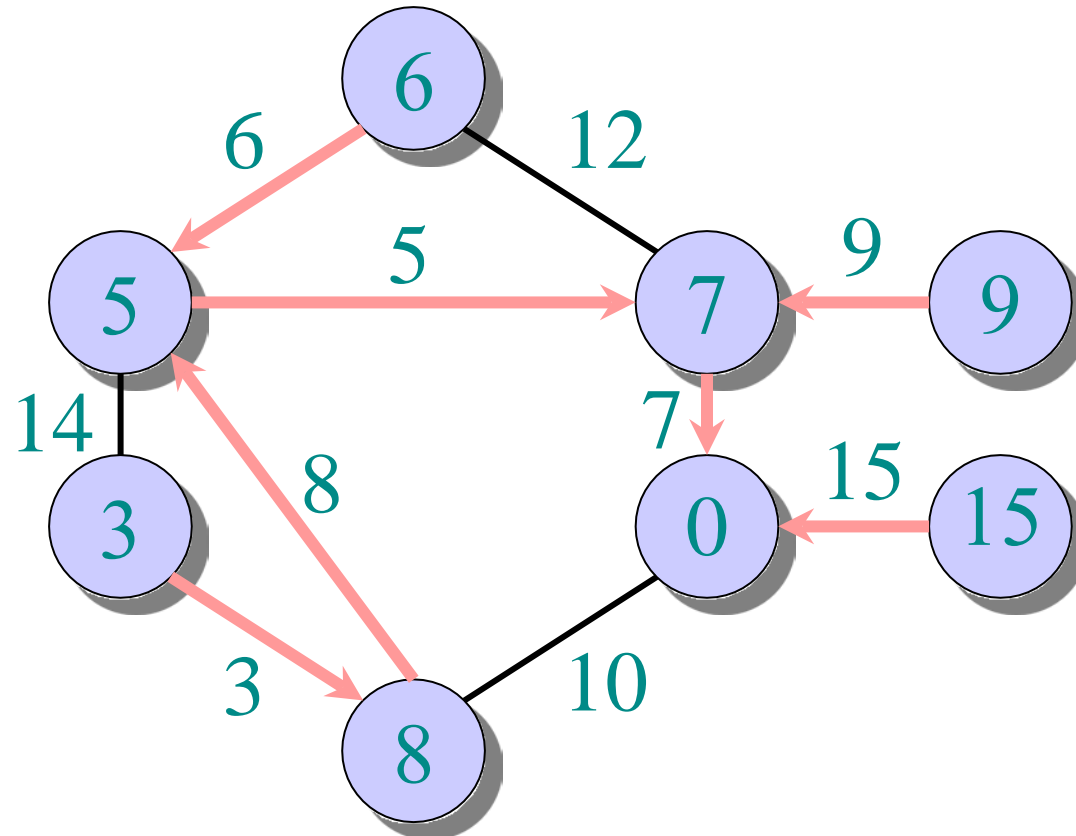
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# EXAMPLE OF PRIM'S ALGORITHM

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# ANALYSIS OF PRIM

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**while**  $Q \neq \emptyset$

**do**  $u \leftarrow \text{EXTRACT-MIN}(Q)$

**for each**  $v \in \text{Adj}[u]$

**do if**  $v \in Q$  and  $w(u, v) < key[v]$

**then**  $key[v] \leftarrow w(u, v)$

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# ANALYSIS OF PRIM

$\Theta(V)$   
total

$\left\{ \begin{array}{l} Q \leftarrow V \\ key[v] \leftarrow \infty \text{ for all } v \in V \\ key[s] \leftarrow 0 \text{ for some arbitrary } s \in V \end{array} \right.$

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# ANALYSIS OF PRIM

$\Theta(V)$   
total

$|V|$   
times

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                         $\pi[v] \leftarrow u$


$|V|$  times {  
     $degree(u)$  times {



# ANALYSIS OF PRIM

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$|V|$  times {  
     $degree(u)$  times {




Handshaking Lemma  $\Rightarrow \Theta(E)$  implicit DECREASE-KEY's.

# ANALYSIS OF PRIM

$\Theta(V)$  total {  
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                      $\pi[v] \leftarrow u$

$|V|$  times {  
 $degree(u)$  times {



Handshaking Lemma  $\Rightarrow \Theta(E)$  implicit DECREASE-KEY's.

$$\text{Time} = \Theta(V) \cdot T_{\text{EXTRACT-MIN}} + \Theta(E) \cdot T_{\text{DECREASE-KEY}}$$

# Analysis of Prim (continued)

$$\text{Time} = \Theta(V) \cdot T_{\text{EXTRACT-MIN}} + \Theta(E) \cdot T_{\text{DECREASE-KEY}}$$

## ANALYSIS OF PRIM (CONTINUED)

$$\text{Time} = \Theta(V) \cdot T_{\text{EXTRACT-MIN}} + \Theta(E) \cdot T_{\text{DECREASE-KEY}}$$

$Q$	$T_{\text{EXTRACT-MIN}}$	$T_{\text{DECREASE-KEY}}$	Total
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## ANALYSIS OF PRIM (CONTINUED)

$$\text{Time} = \Theta(V) \cdot T_{\text{EXTRACT-MIN}} + \Theta(E) \cdot T_{\text{DECREASE-KEY}}$$

$Q$	$T_{\text{EXTRACT-MIN}}$	$T_{\text{DECREASE-KEY}}$	Total
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array	$O(V)$	$O(1)$	$O(V^2)$
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## ANALYSIS OF PRIM (CONTINUED)

$$\text{Time} = \Theta(V) \cdot T_{\text{EXTRACT-MIN}} + \Theta(E) \cdot T_{\text{DECREASE-KEY}}$$

$Q$	$T_{\text{EXTRACT-MIN}}$	$T_{\text{DECREASE-KEY}}$	Total
array	$O(V)$	$O(1)$	$O(V^2)$
binary heap	$O(\lg V)$	$O(\lg V)$	$O(E \lg V)$

## ANALYSIS OF PRIM (CONTINUED)

$$\text{Time} = \Theta(V) \cdot T_{\text{EXTRACT-MIN}} + \Theta(E) \cdot T_{\text{DECREASE-KEY}}$$

$Q$	$T_{\text{EXTRACT-MIN}}$	$T_{\text{DECREASE-KEY}}$	Total
array	$O(V)$	$O(1)$	$O(V^2)$
binary heap	$O(\lg V)$	$O(\lg V)$	$O(E \lg V)$
Fibonacci heap	$O(\lg V)$ amortized	$O(1)$ amortized	$O(E + V \lg V)$ worst case

# MST ALGORITHMS

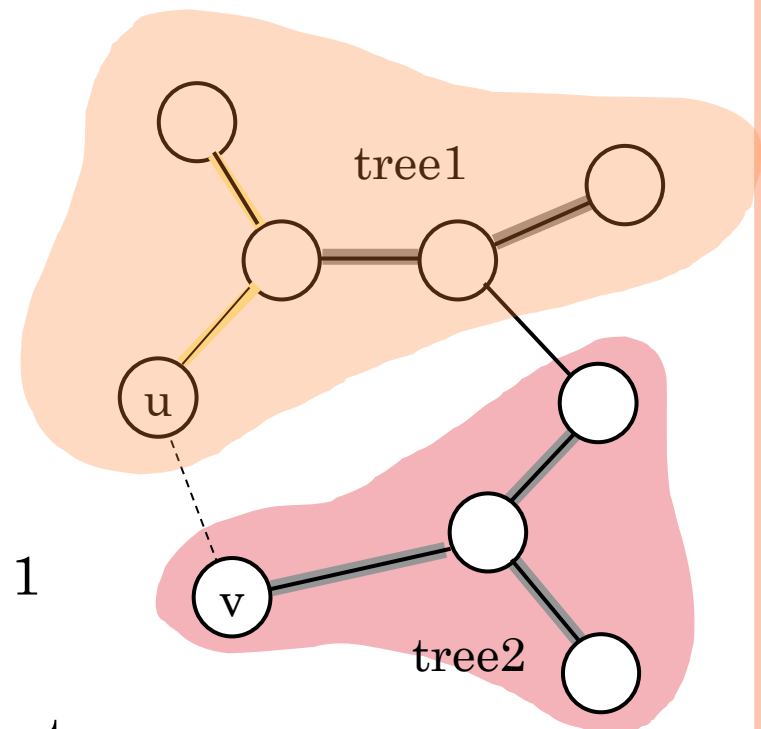
Kruskal's algorithm (see CLRS):

- Uses the *disjoint-set data structure* (see CLRS, Ch. 21).
- Running time =  $O(E \lg V)$ .



# KRUSKAL'S ALGORITHM

- How is it different from Prim's algorithm?
  - Prim's algorithm grows one tree all the time
  - Kruskal's algorithm grows multiple trees (i.e., a forest) at the same time.
  - Trees are merged together using **safe** edges
  - Since an MST has exactly  $|V| - 1$  edges, after  $|V| - 1$  merges, we would have only one component



# KRUSKAL'S ALGORITHM

- Kruskal's algorithm creates a forest of trees
- Initially forest consists of  $N$  single node trees (and no edges)
- Sorts the edges by weight and goes through the edges from least weight to greatest weight
- At each step one edge (with least weight) is added so that it joins two trees together
  - As long as the addition does not create a cycle

# KRUSKAL'S ALGORITHM

**The halting conditions are as follows:**

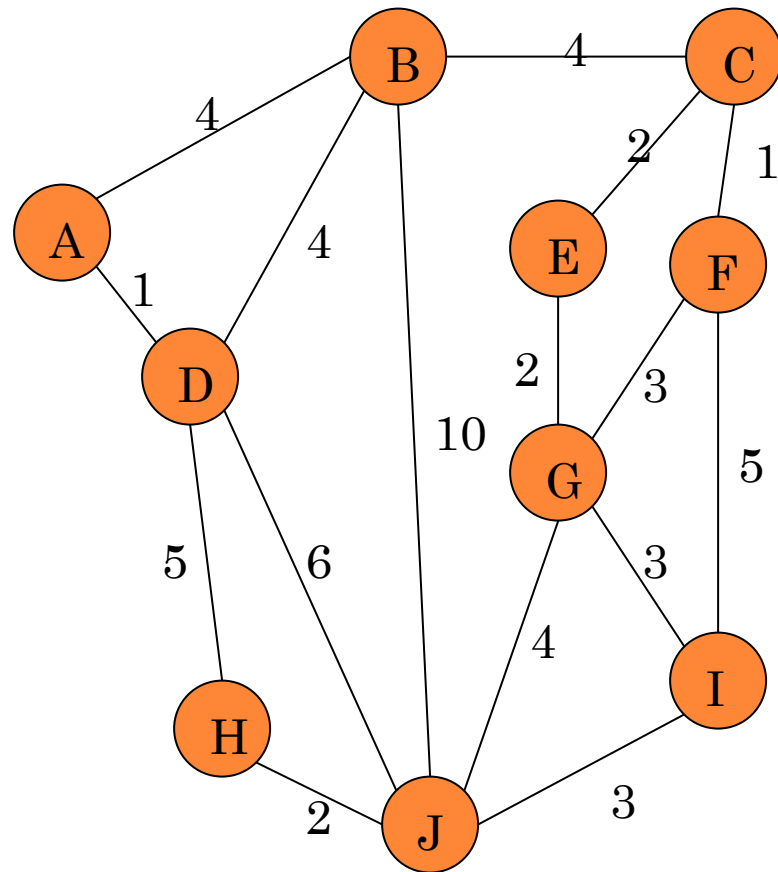
1. When  $|V| - 1$  edges have been added
  - In this case we have a minimum spanning tree
2. We have gone through all edges
  - A forest of minimum spanning trees on all connected sub-graphs

# KRUSKAL'S ALGORITHM

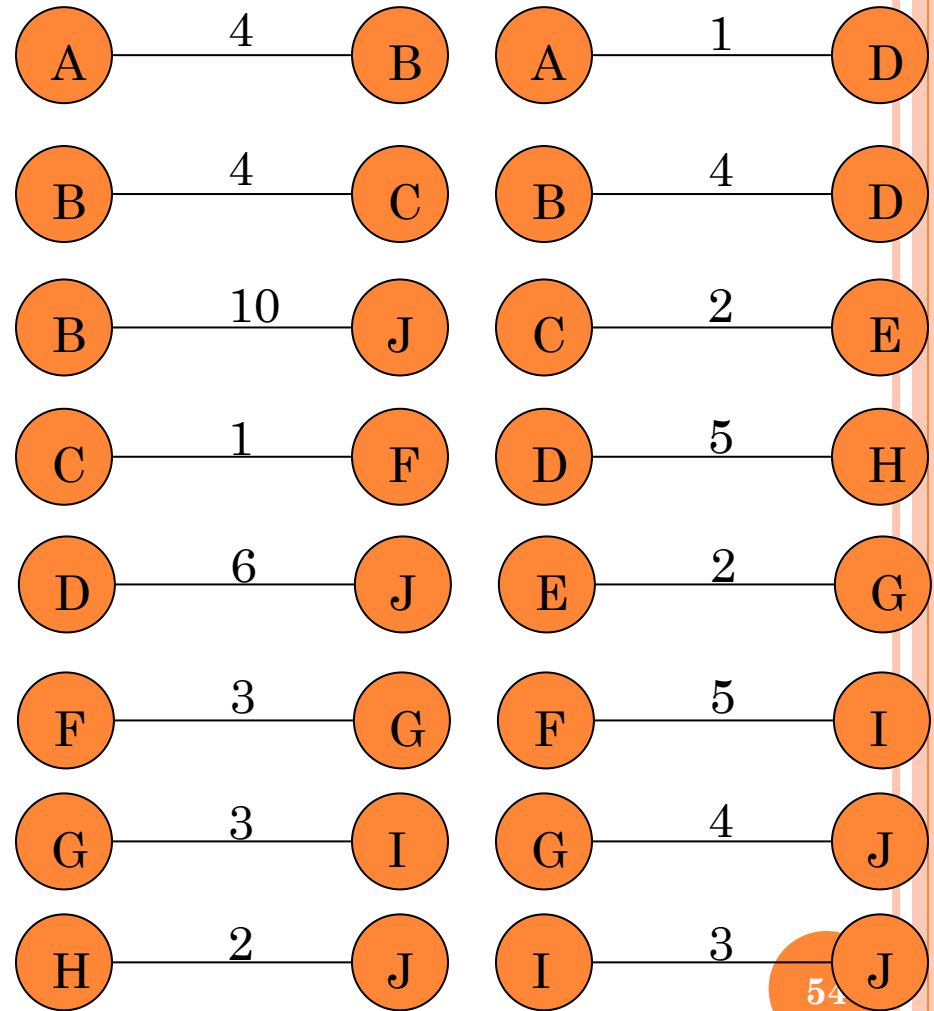
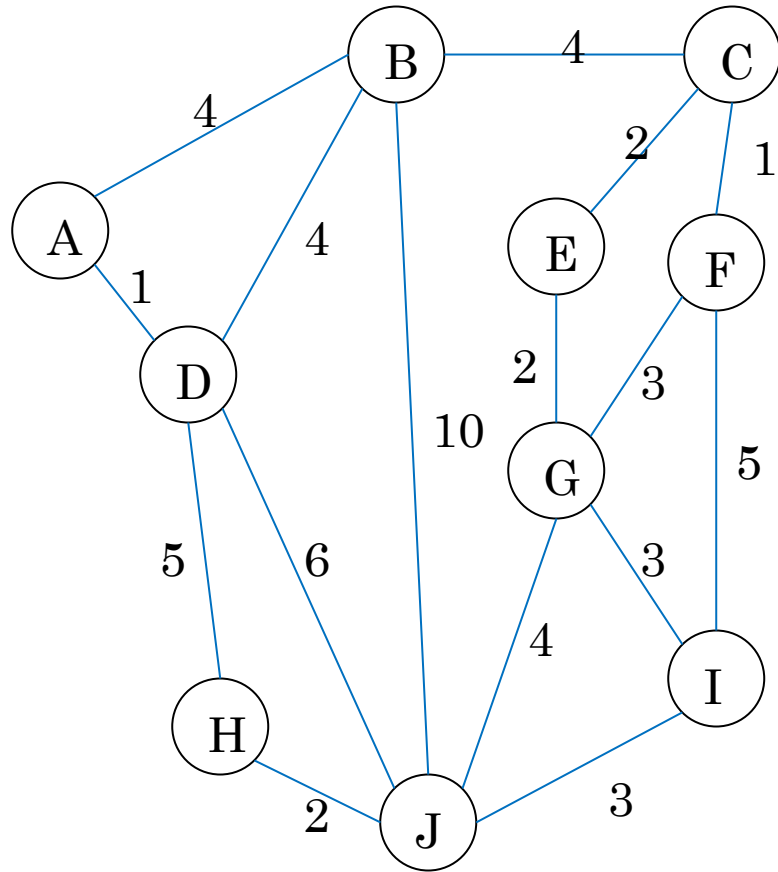
1. The forest is constructed – with each node in a separate tree
  2. The edges are placed in a priority queue
  3. Until we've added  $n-1$  edges (assumption: connected graph)
    1. Extract the cheapest edge from the queue
    2. If it forms a cycle, reject it
    3. Else add it to the forest. Adding it to the forest will join two trees
- Every step will have joined two trees in the forest together, so that at the end, there will only be one tree

# KRUSKAL'S ALGORITHM – EXAMPLE

- Complete Graph

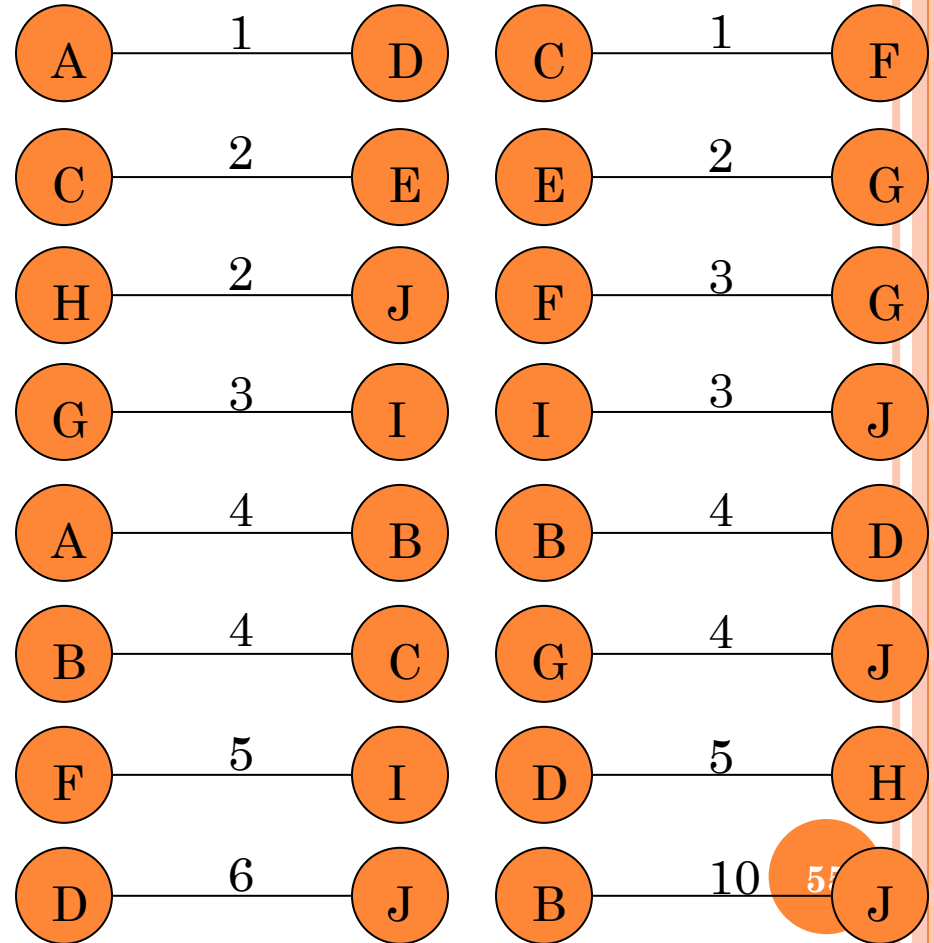
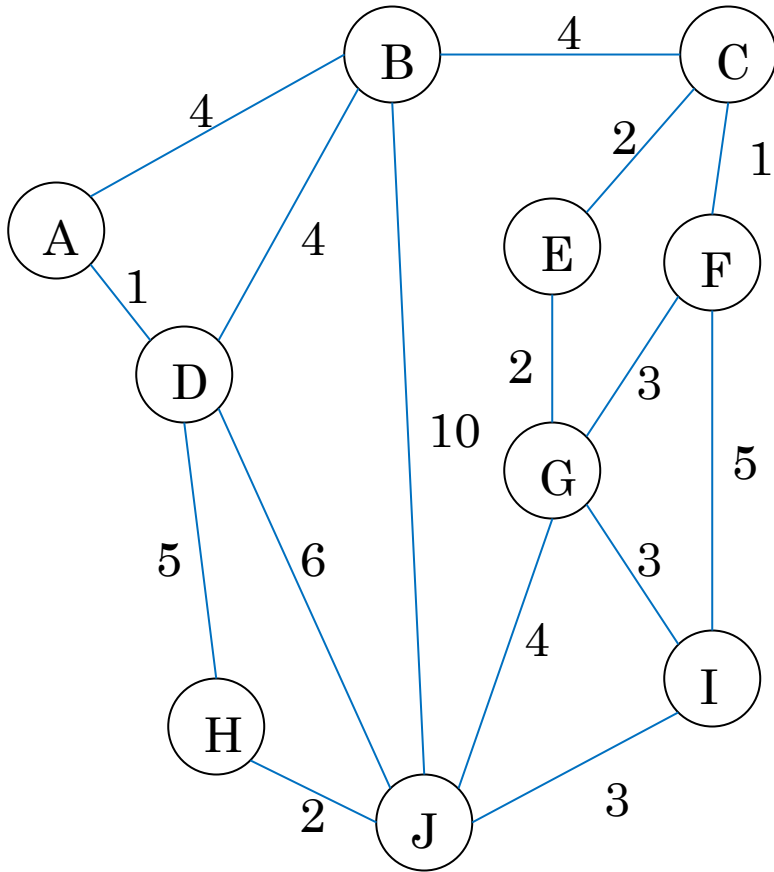


# KRUSKAL'S ALGORITHM – EXAMPLE



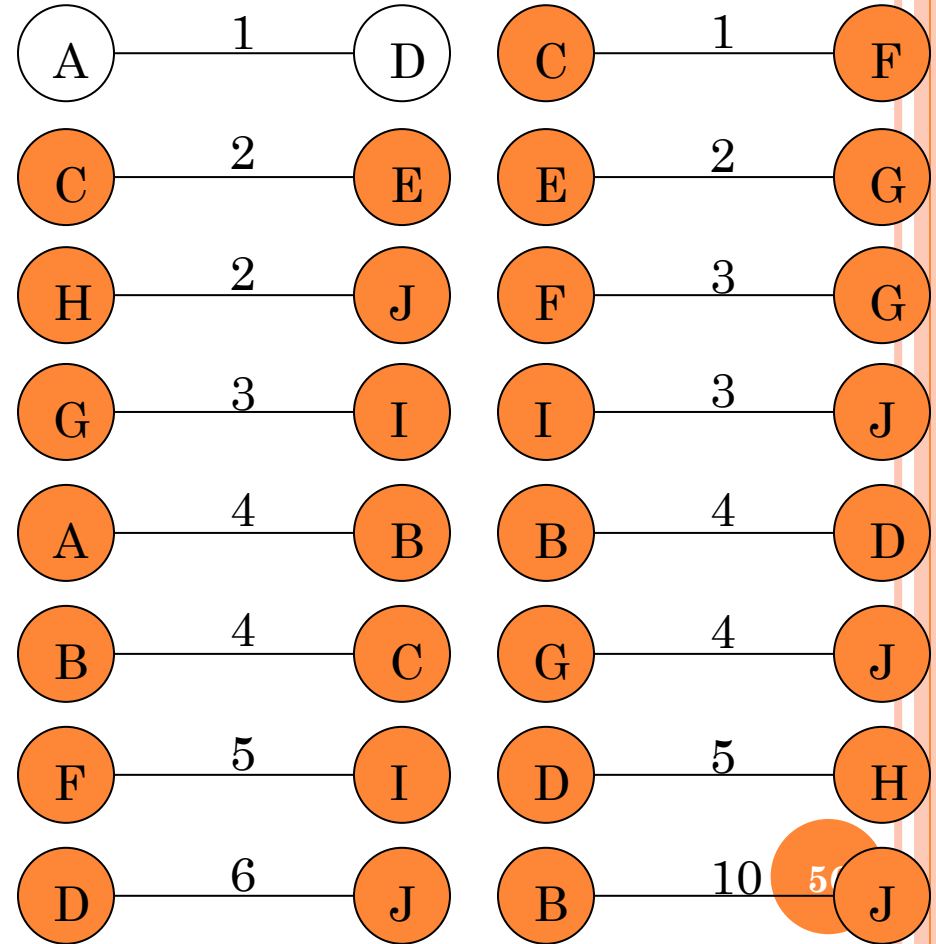
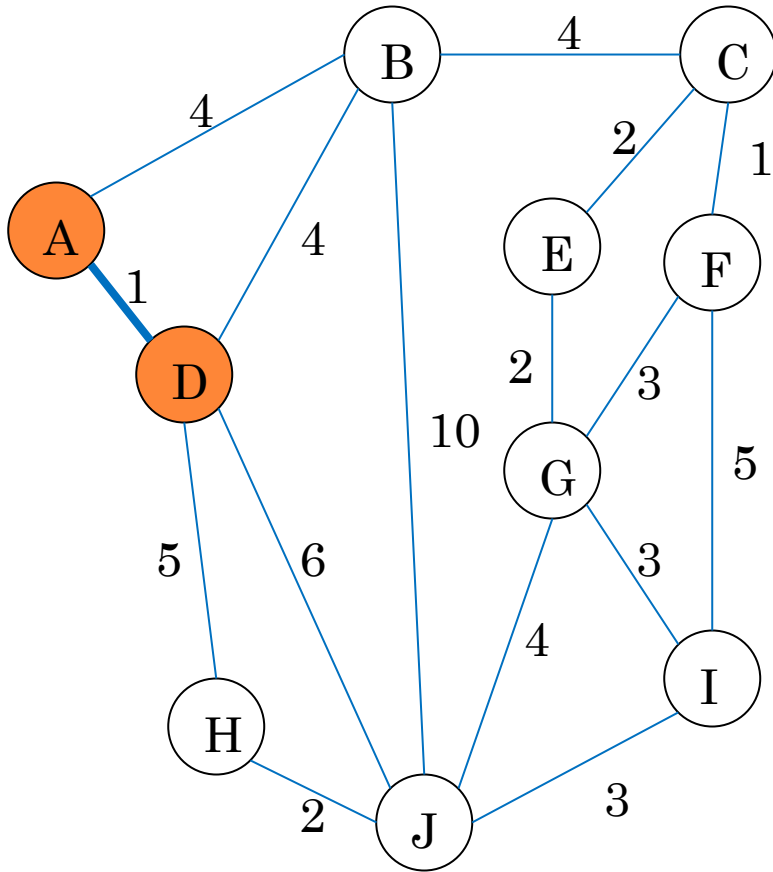
# KRUSKAL'S ALGORITHM – EXAMPLE

- Sort Edges: In reality priority queue is used



# KRUSKAL'S ALGORITHM – EXAMPLE

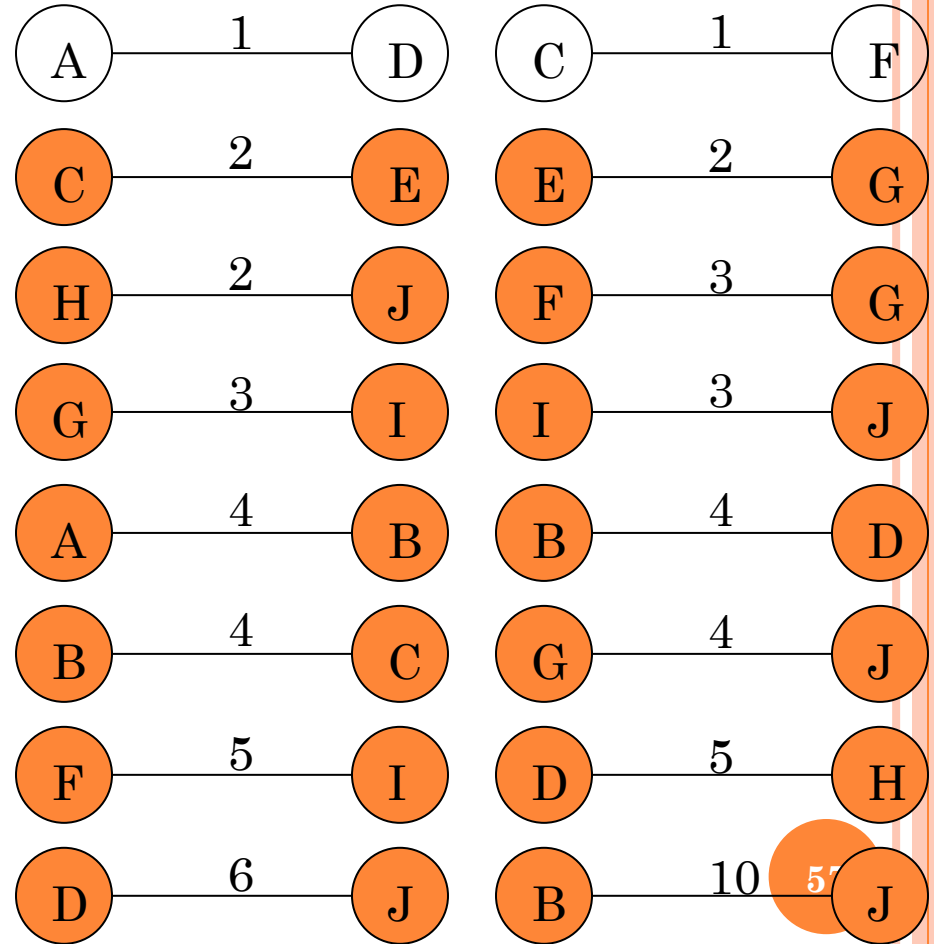
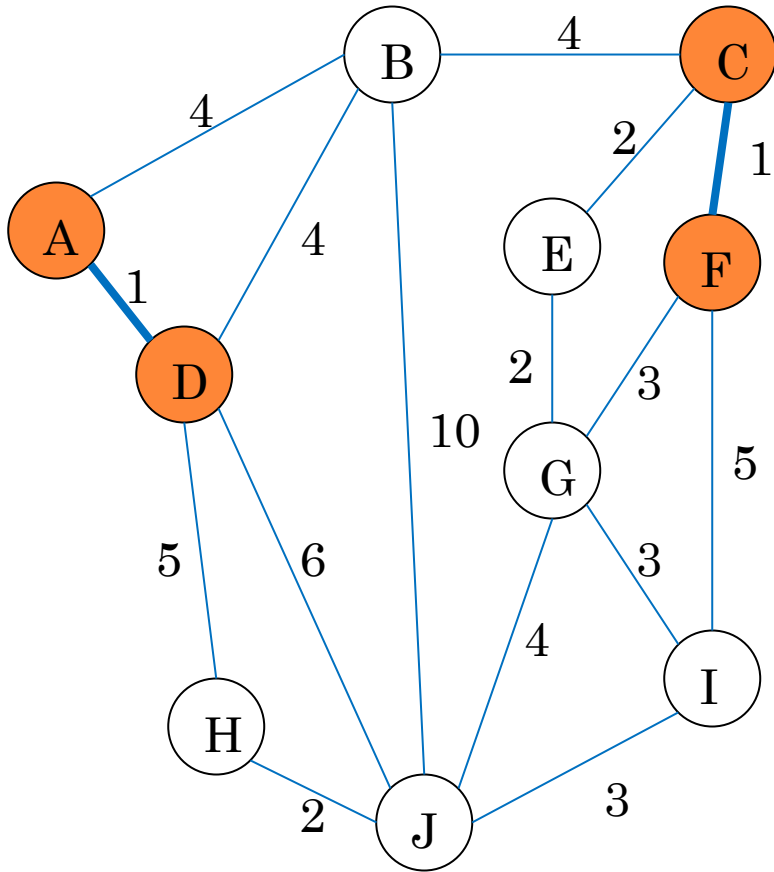
## ○ Add Edge





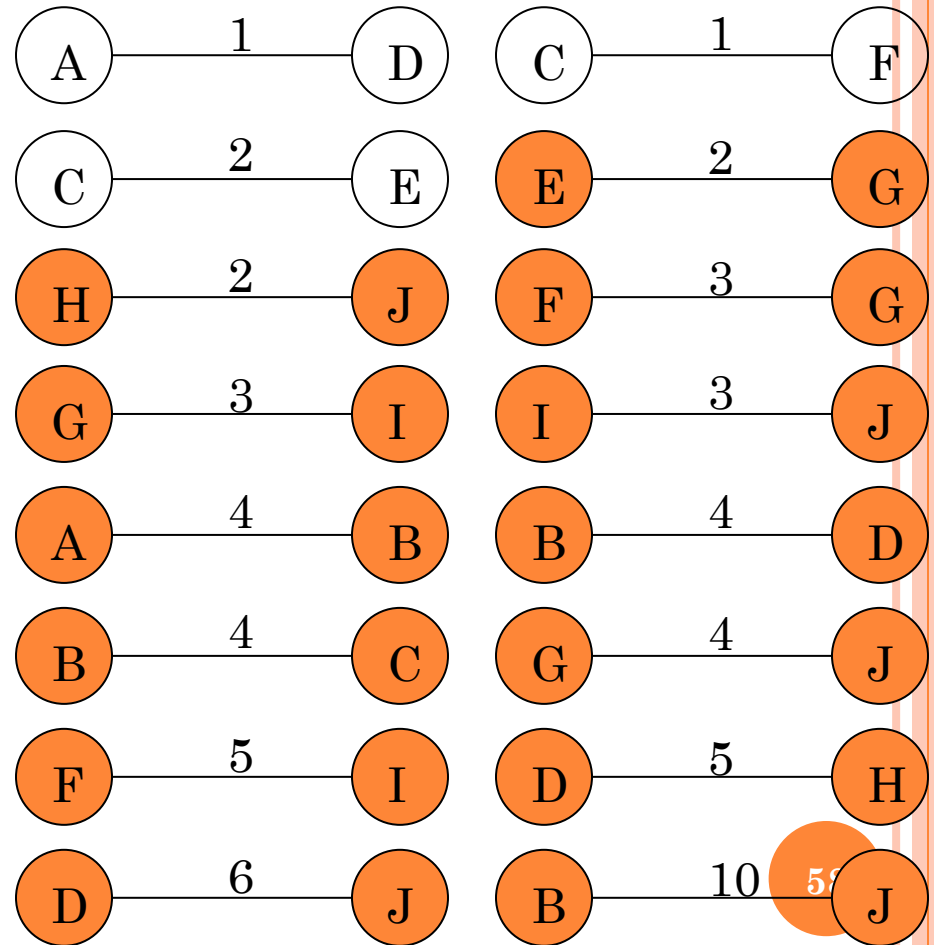
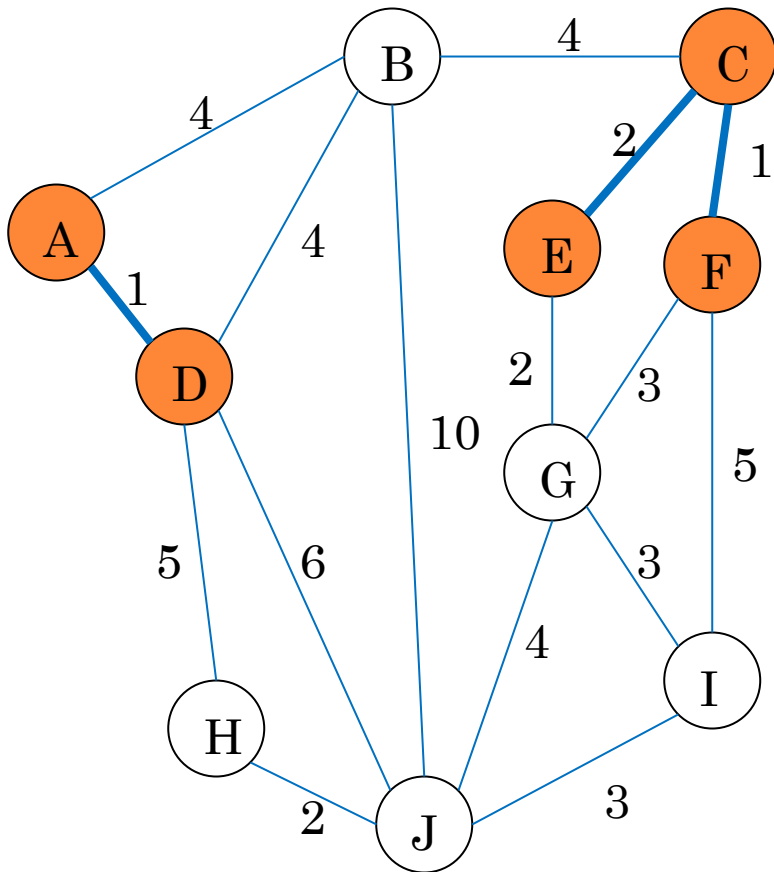
# KRUSKAL'S ALGORITHM – EXAMPLE

○ Add Edge



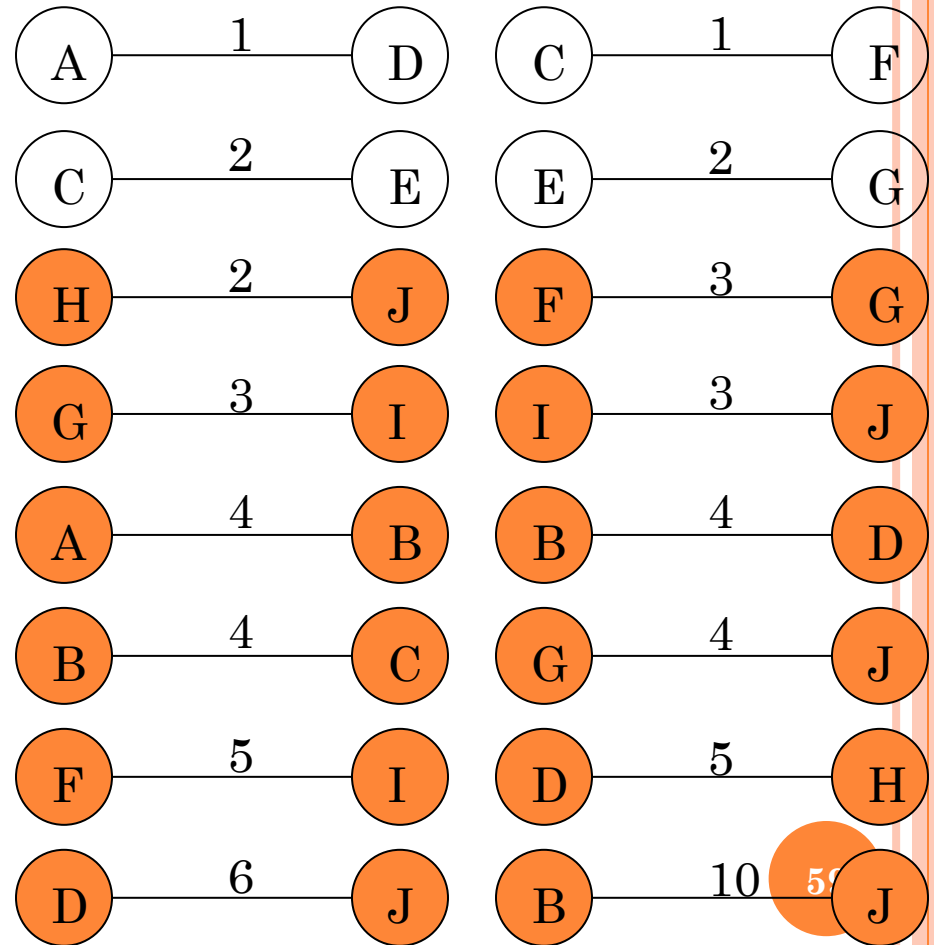
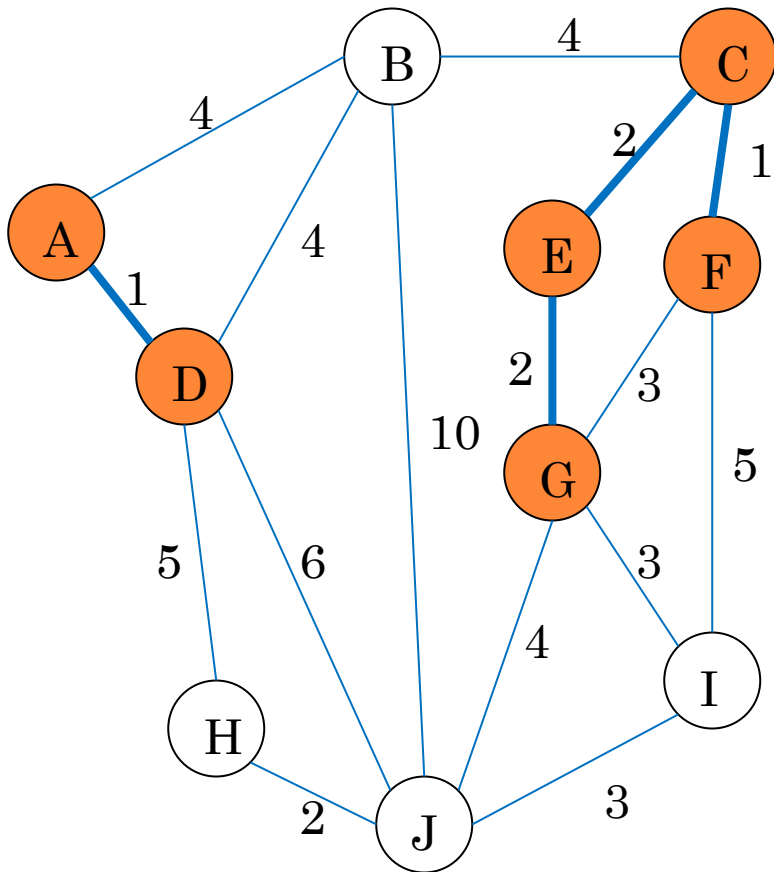
# KRUSKAL'S ALGORITHM – EXAMPLE

○ Add Edge



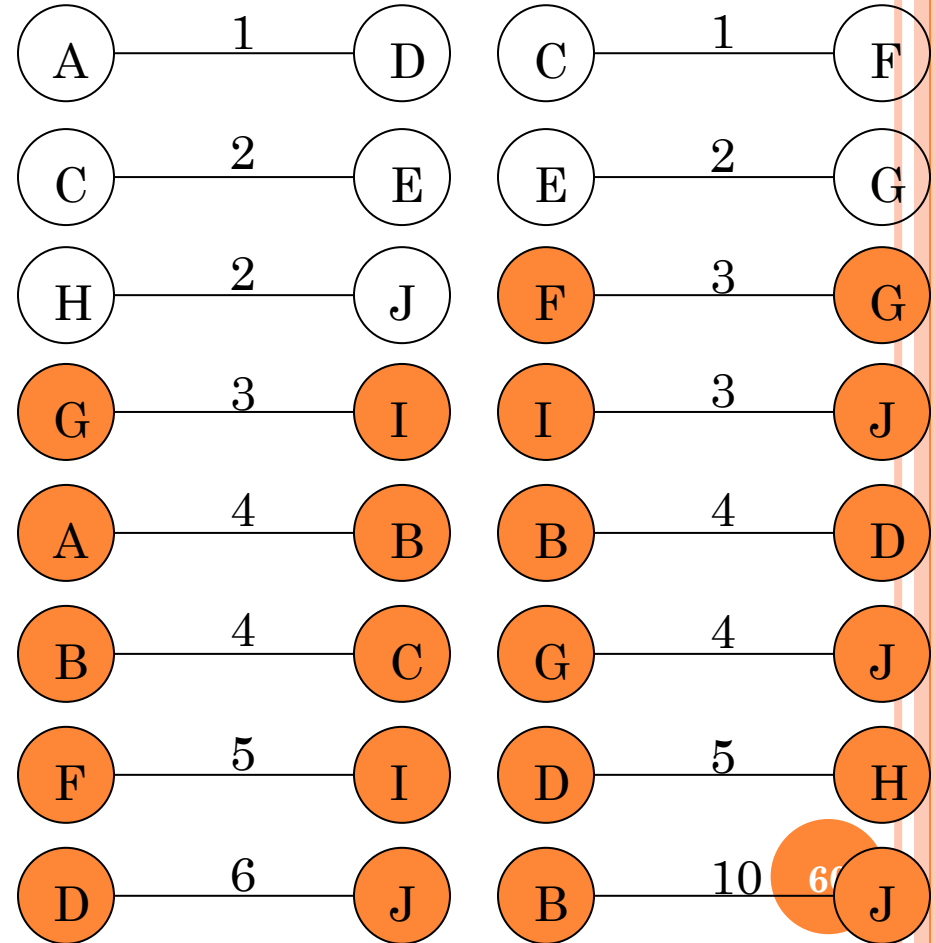
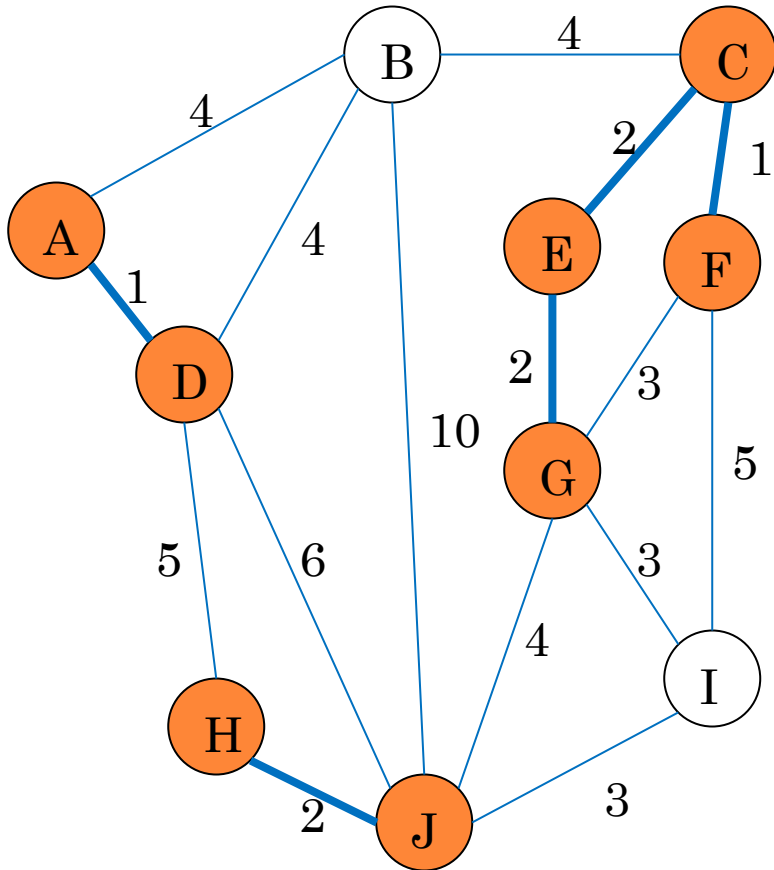
# KRUSKAL'S ALGORITHM – EXAMPLE

○ Add Edge



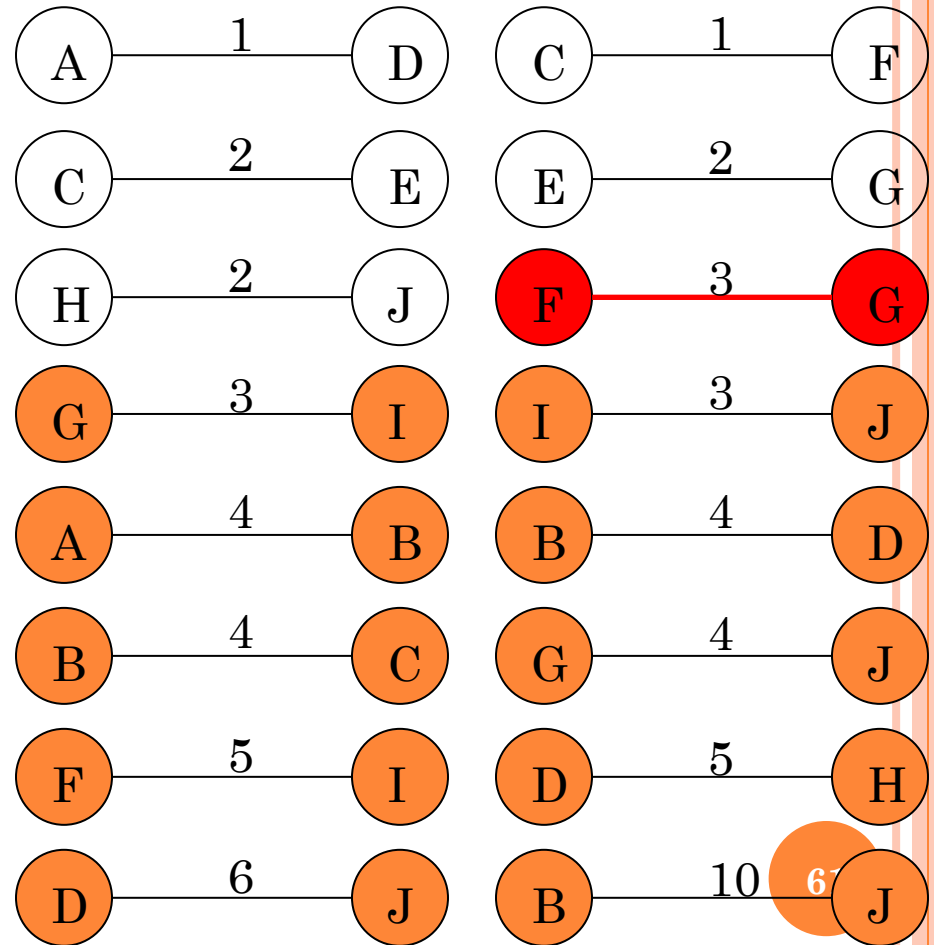
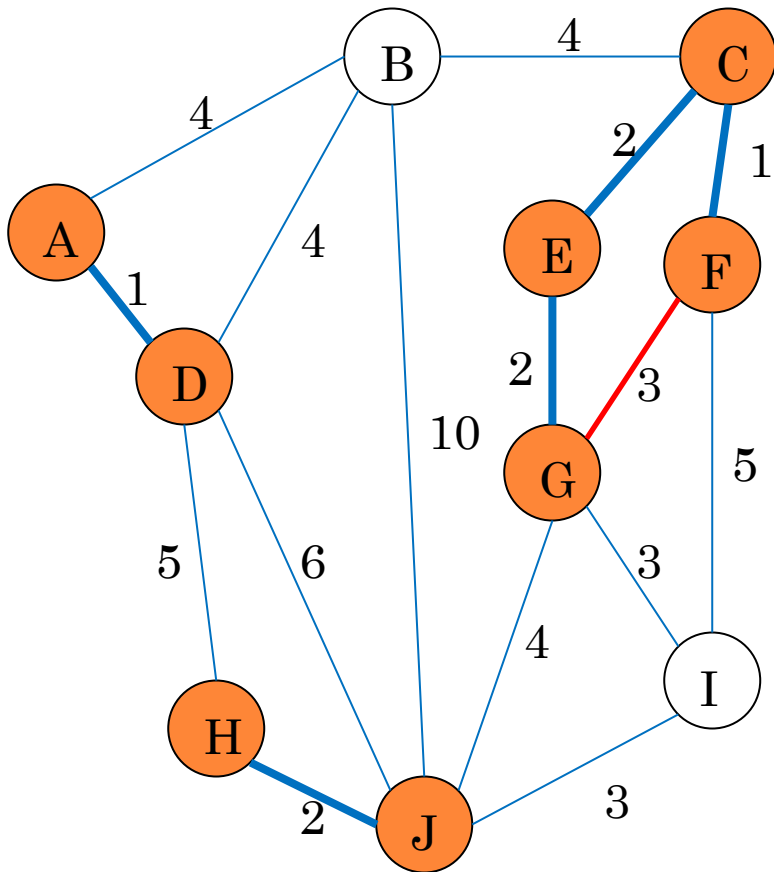
# KRUSKAL'S ALGORITHM – EXAMPLE

## ○ Add Edge



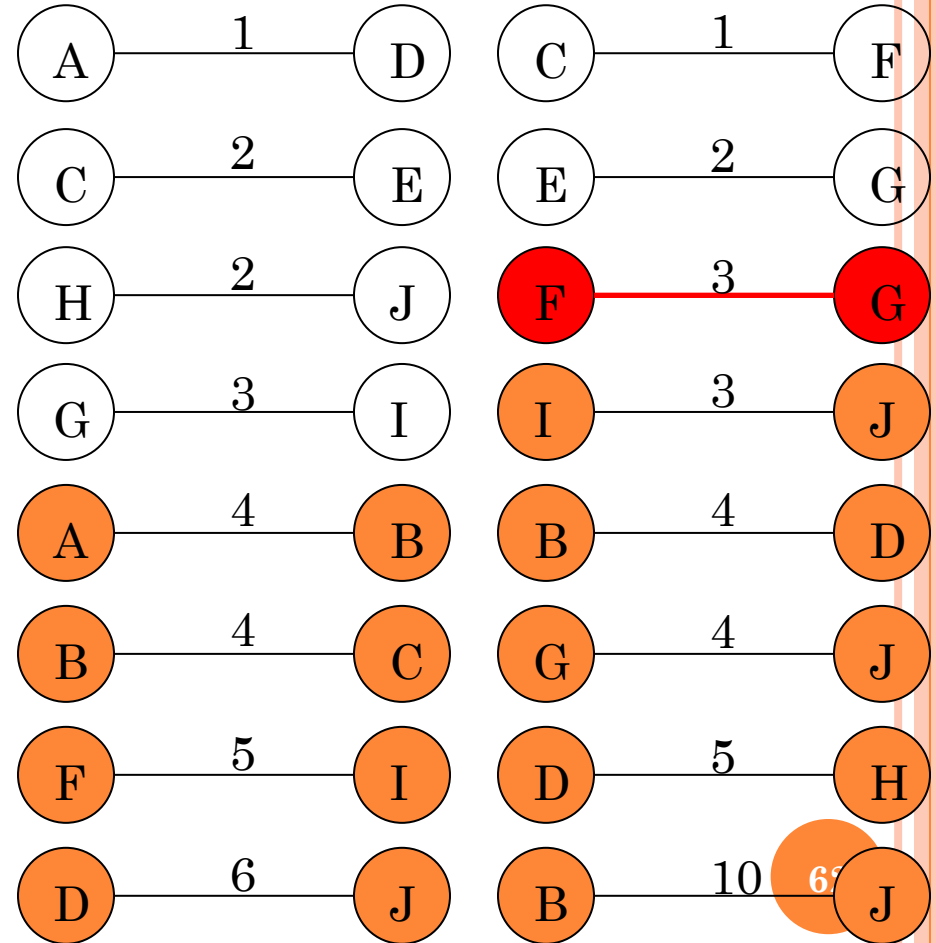
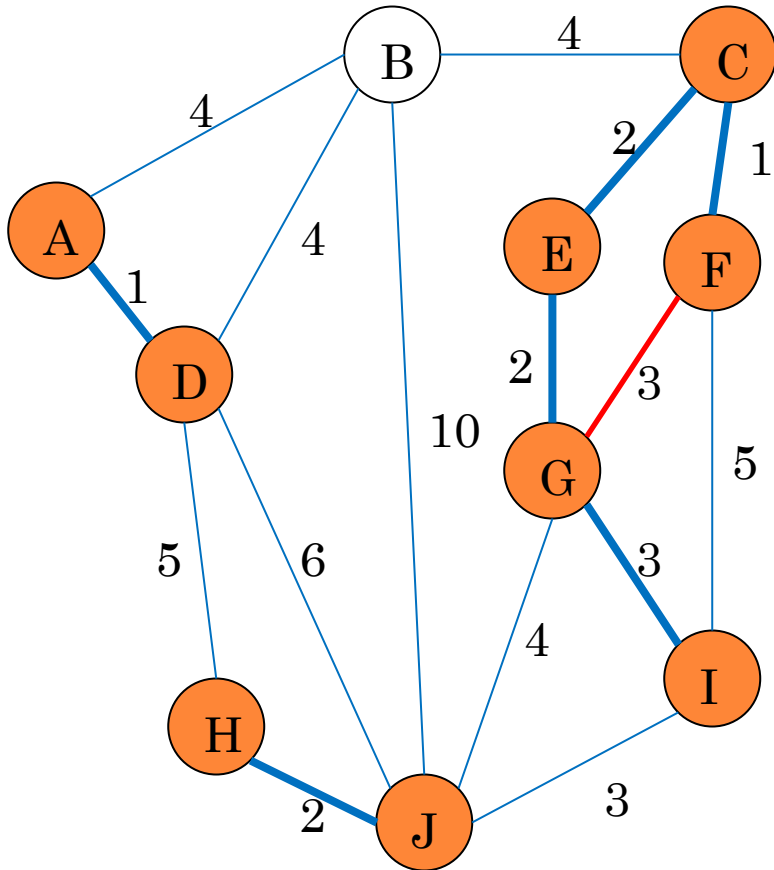
# KRUSKAL'S ALGORITHM – EXAMPLE

○ Add Edge



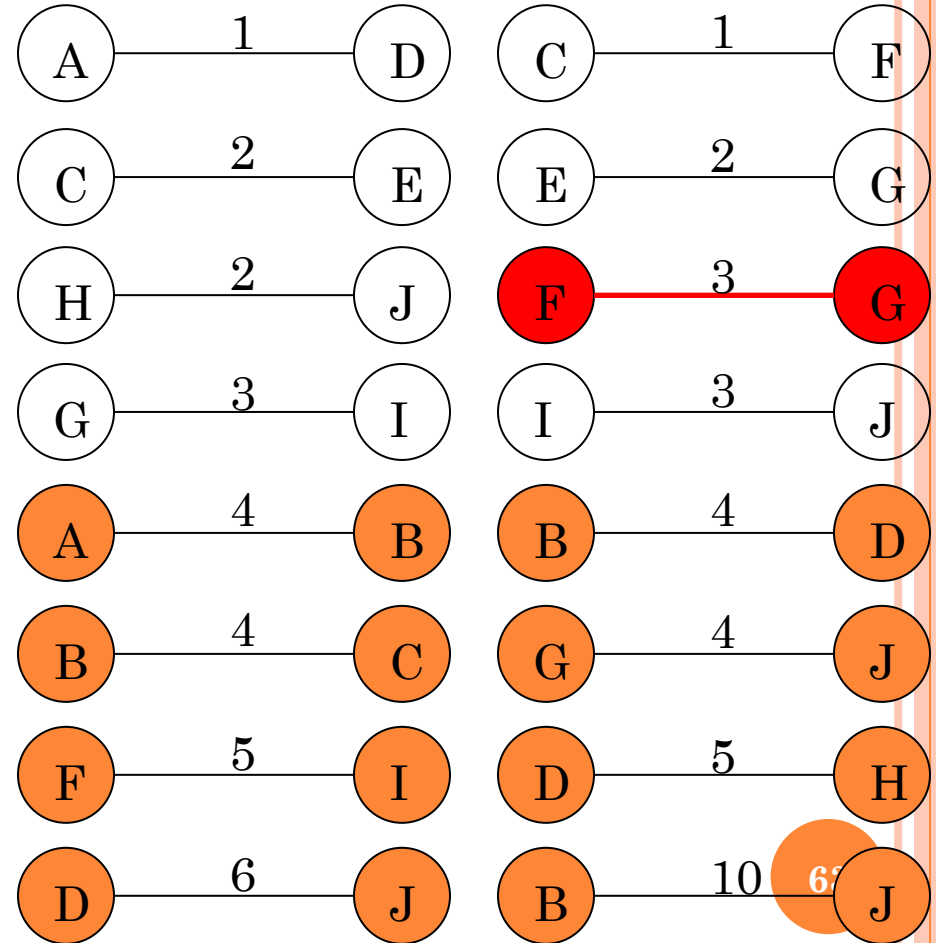
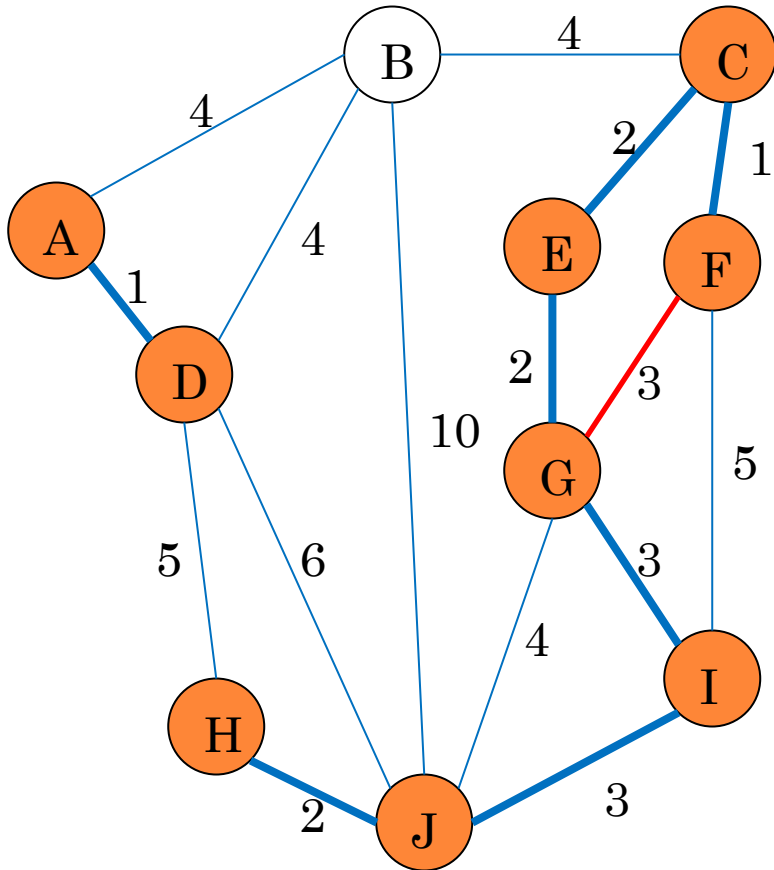
# KRUSKAL'S ALGORITHM – EXAMPLE

○ Add Edge



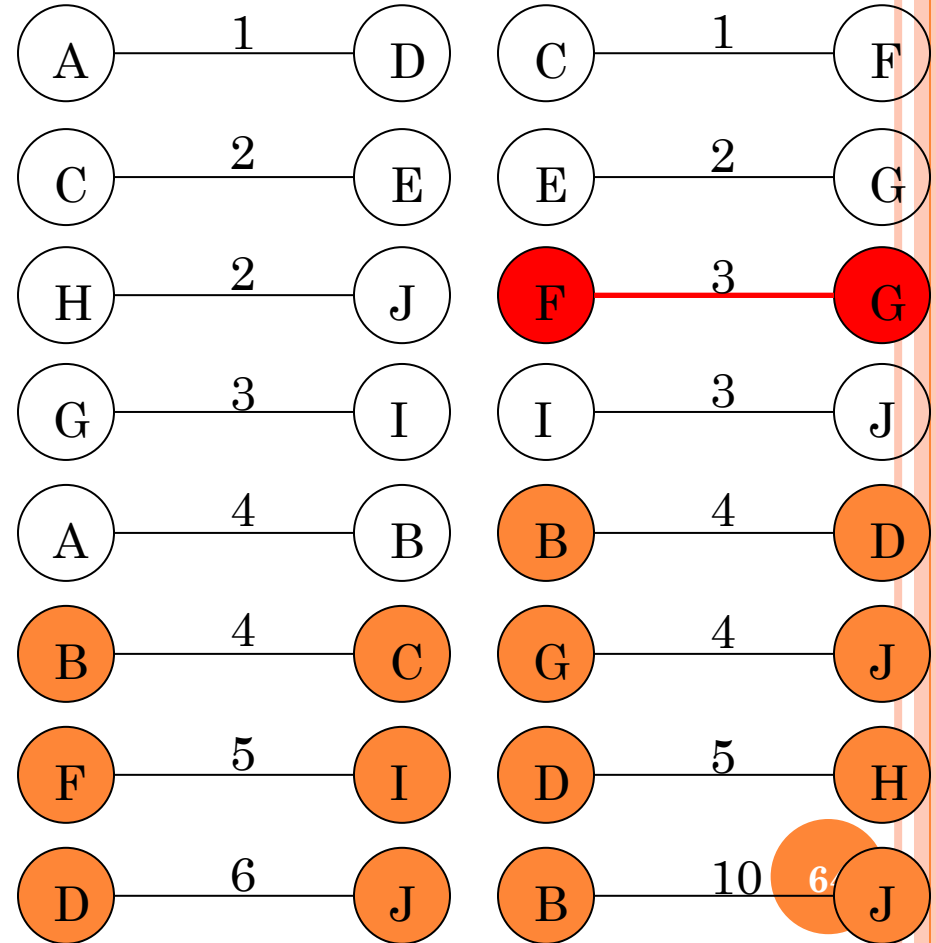
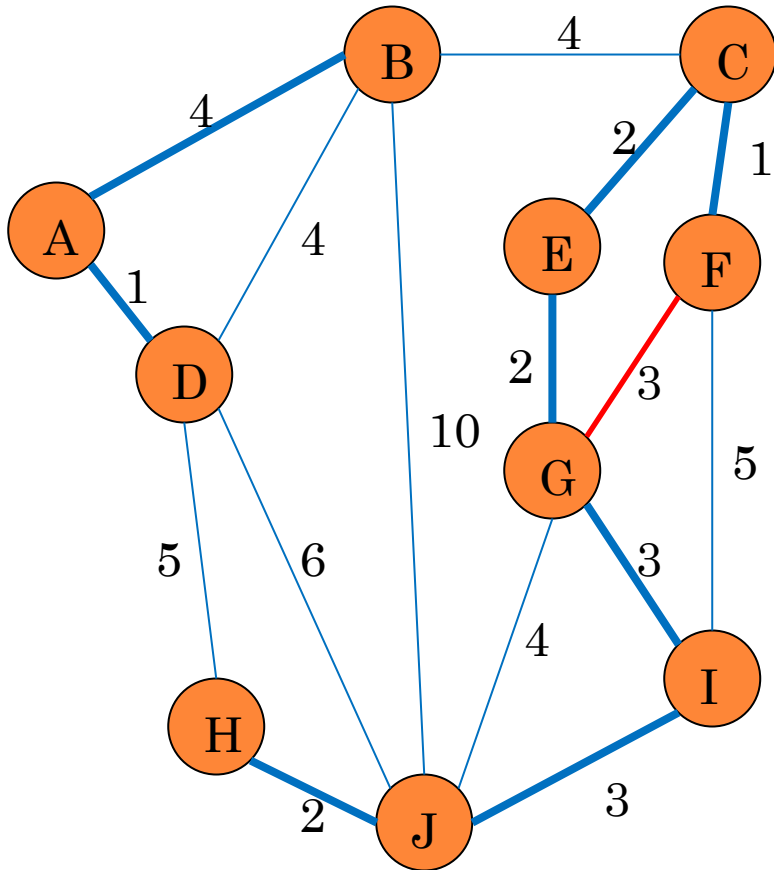
# KRUSKAL'S ALGORITHM – EXAMPLE

## ○ Add Edge



# KRUSKAL'S ALGORITHM – EXAMPLE

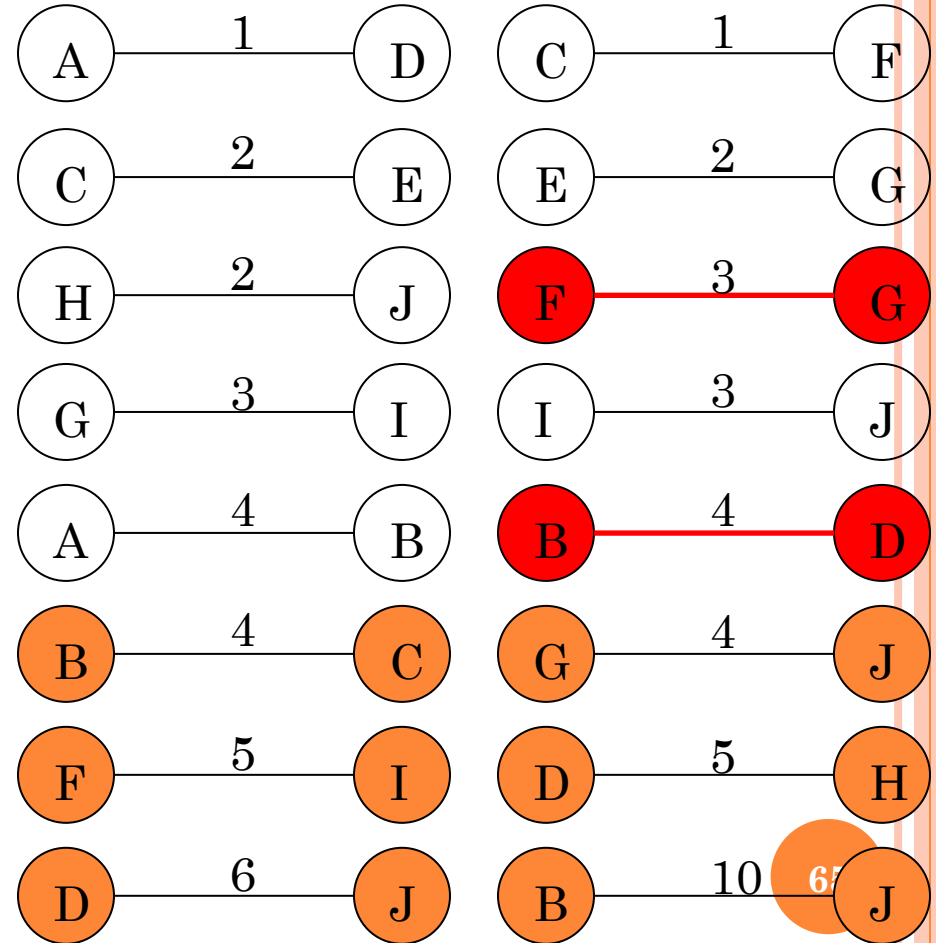
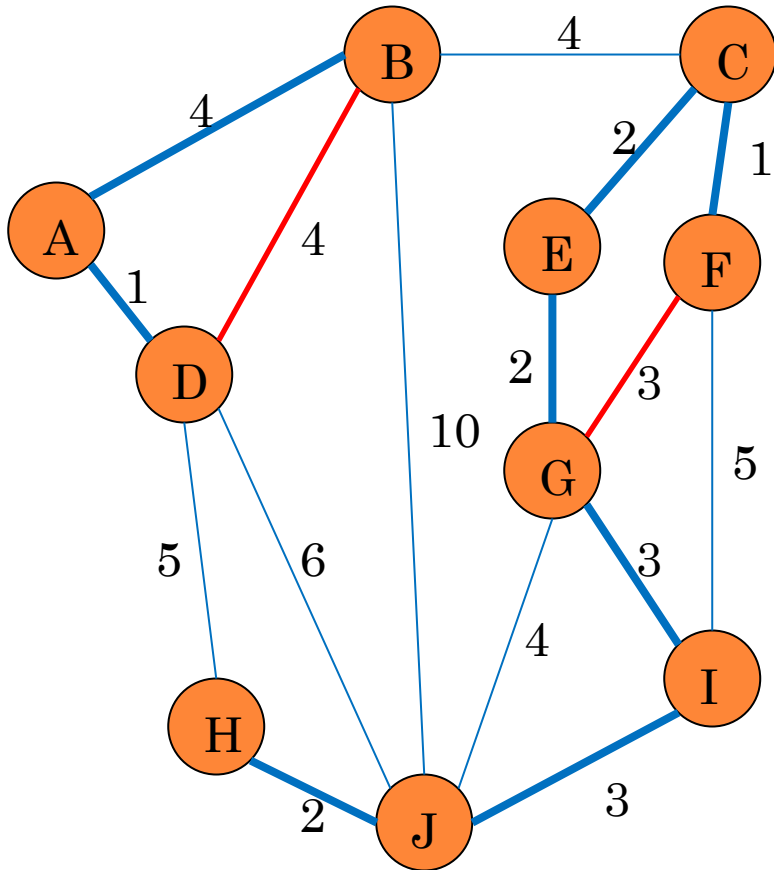
## ○ Add Edge





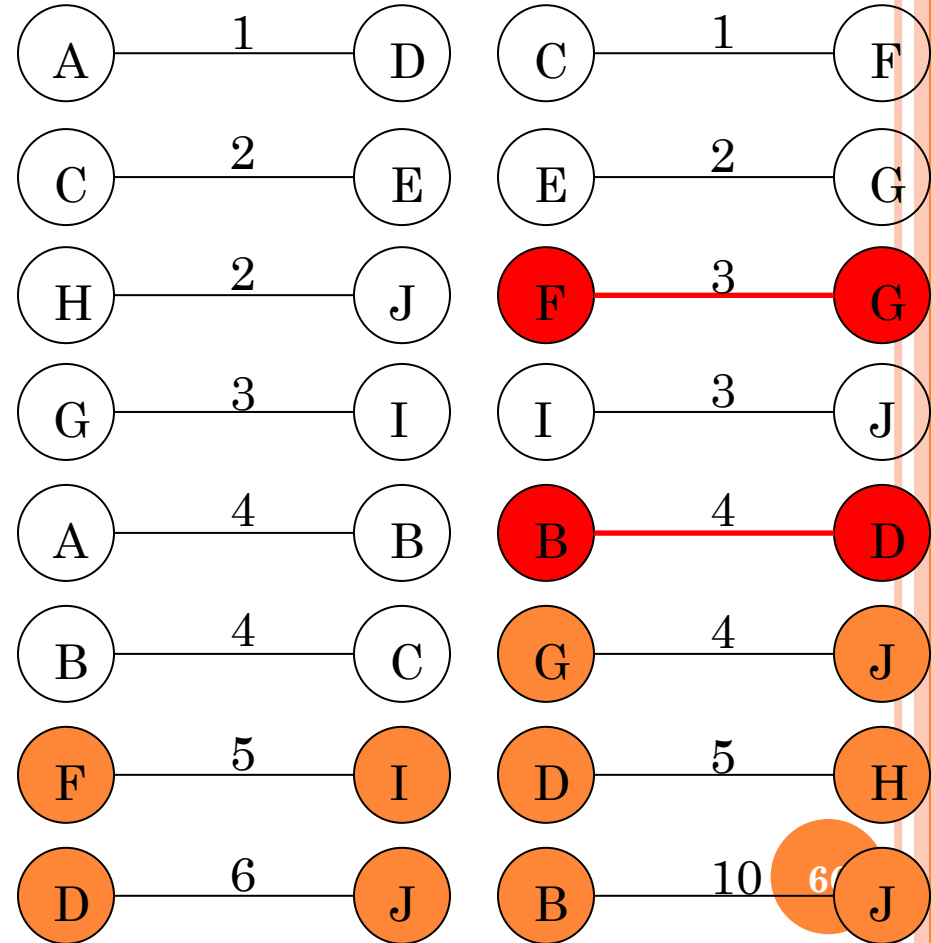
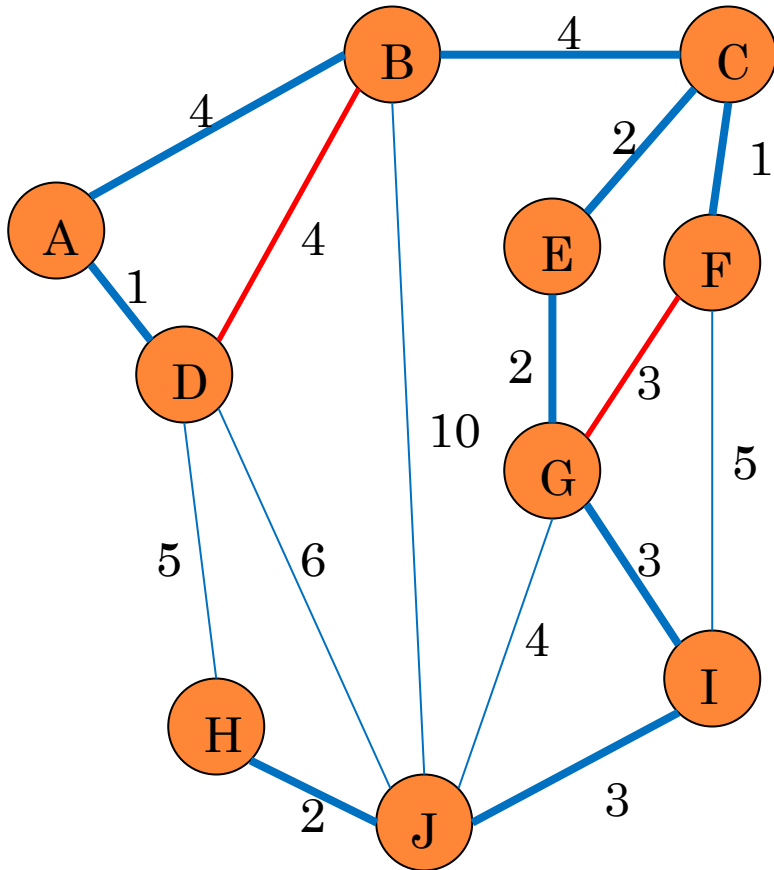
# KRUSKAL'S ALGORITHM – EXAMPLE

○ Add Edge



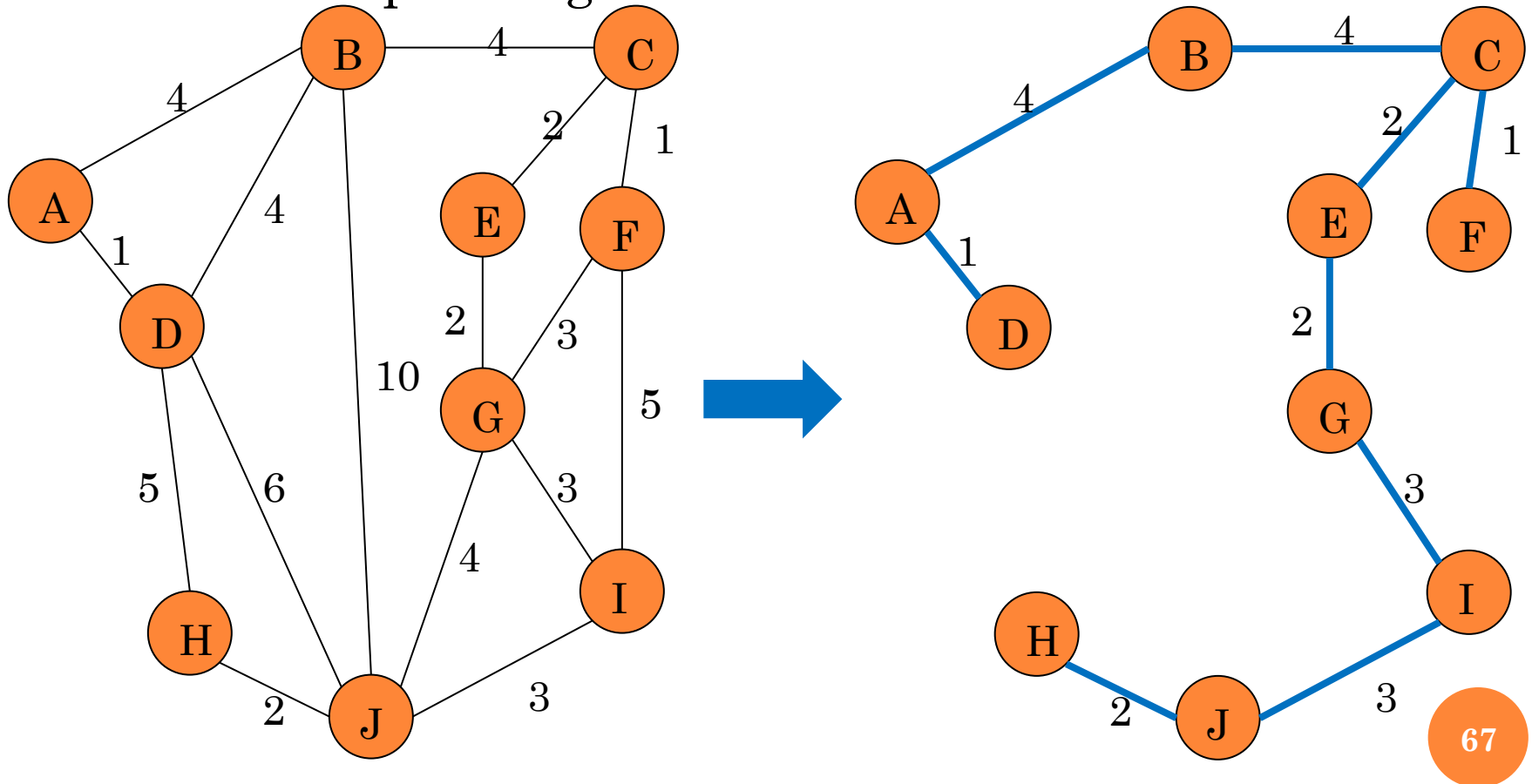
# KRUSKAL'S ALGORITHM – EXAMPLE

○ Add Edge



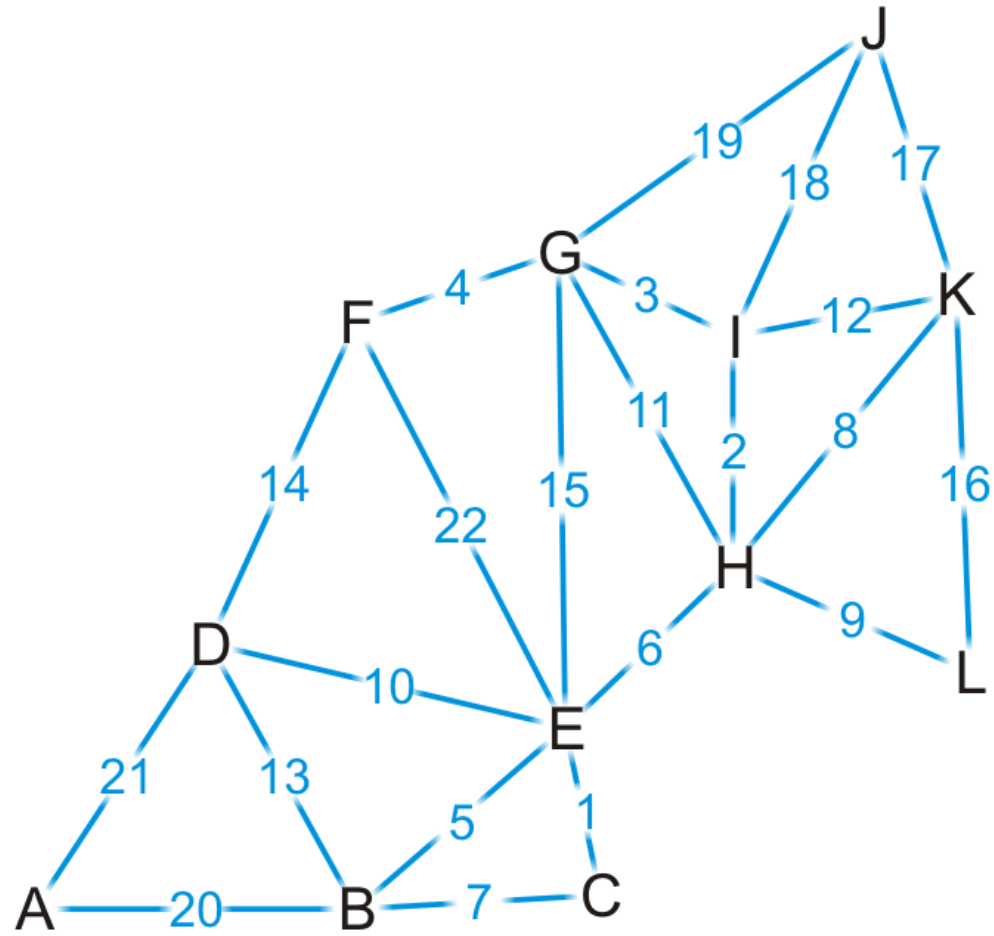
# KRUSKAL'S ALGORITHM – EXAMPLE

- Minimum spanning tree

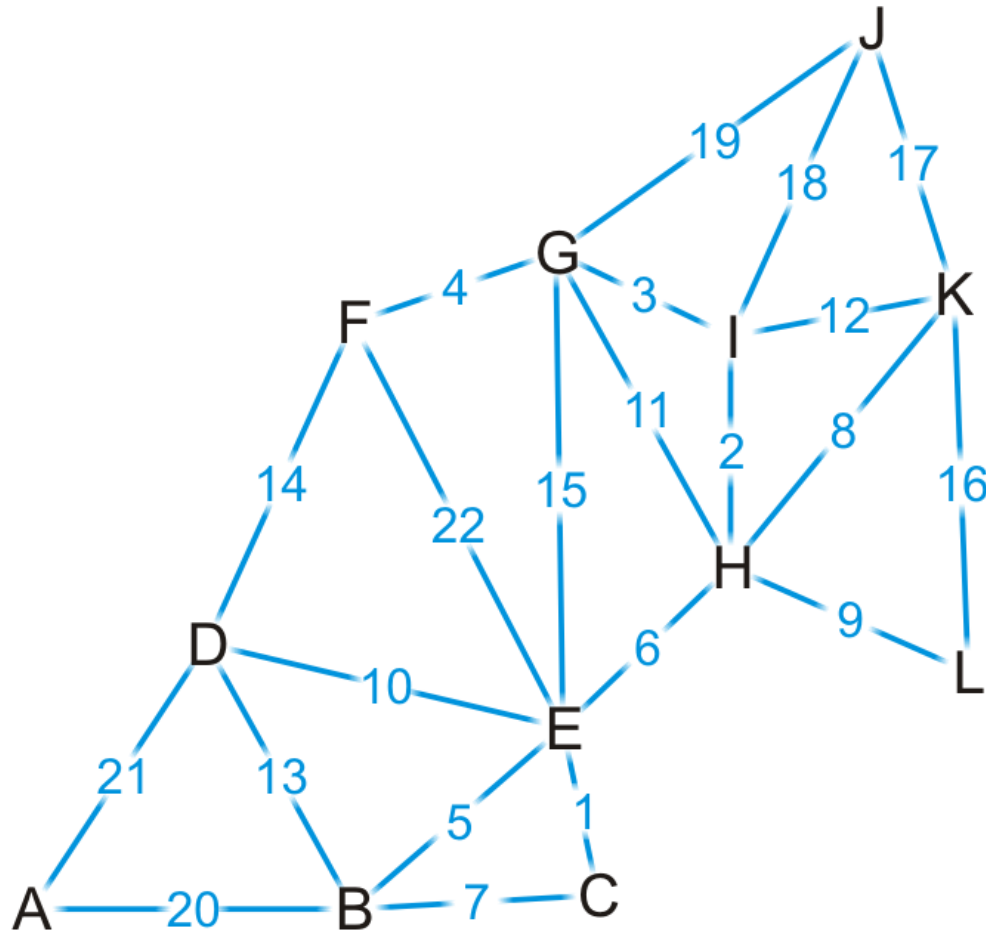


# KRUSKAL'S ALGORITHM – EXAMPLE

- Complete graph

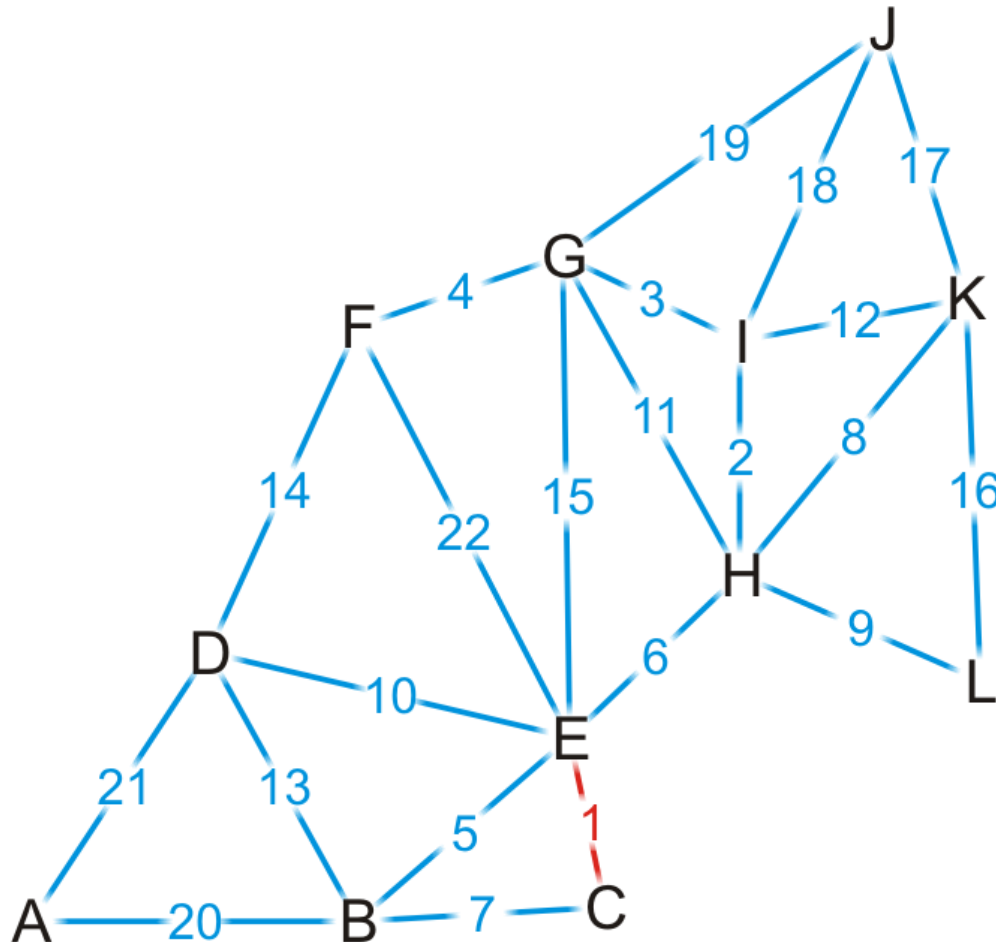


# KRUSKAL'S ALGORITHM – EXAMPLE



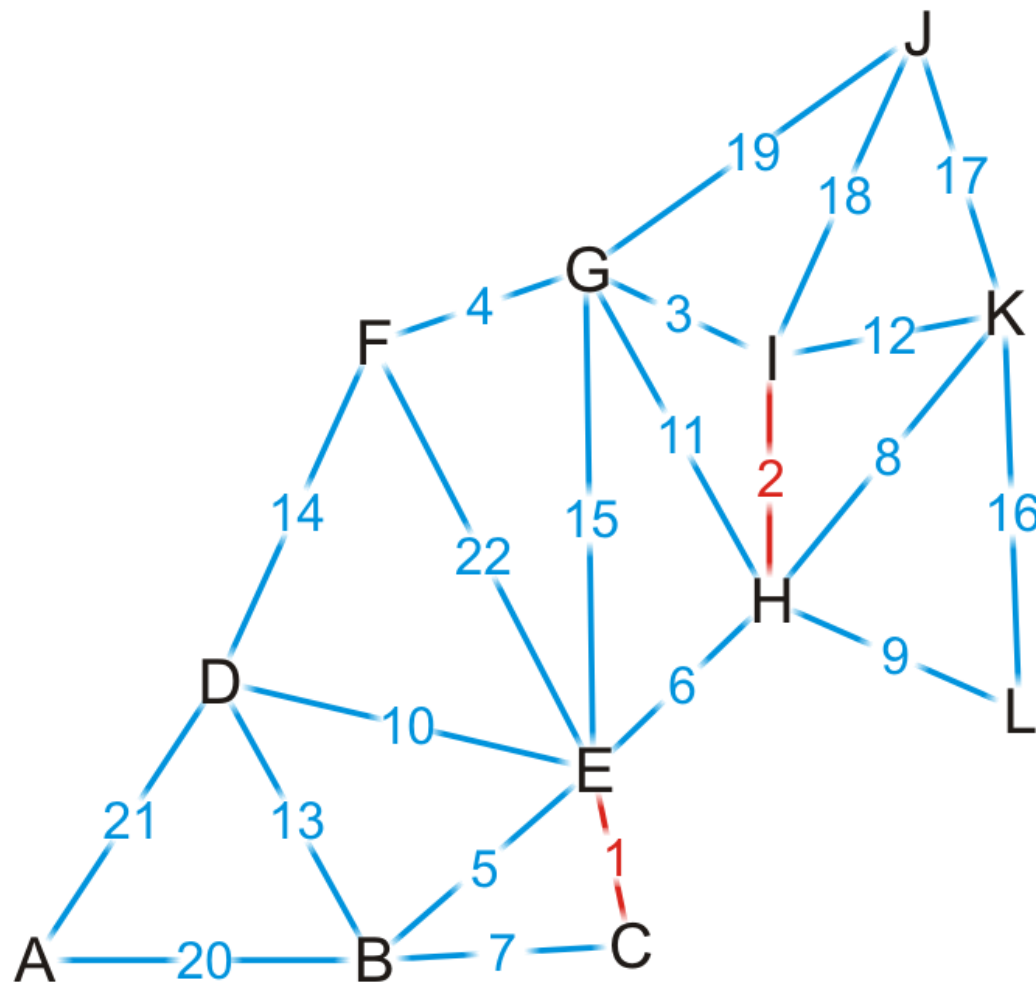
{C, E}  
{H, I}  
{G, I}  
{F, G}  
{B, E}  
{E, H}  
{B, C}  
{H, K}  
{H, L}  
{D, E}  
{G, H}  
{I, K}  
{B, D}  
{D, F}  
{E, G}  
{K, L}  
{J, K}  
{J, I}  
{J, G}  
{A, B}  
{A, D}  
{E, F}

# KRUSKAL'S ALGORITHM – EXAMPLE → {C, E}



{C, E}  
{H, I}  
{G, I}  
{F, G}  
{B, E}  
{E, H}  
{B, C}  
{H, K}  
{H, L}  
{D, E}  
{G, H}  
{I, K}  
{B, D}  
{D, F}  
{E, G}  
{K, L}  
{J, K}  
{J, I}  
{J, G}  
{A, B}  
{A, D}  
{E, F}

# KRUSKAL'S ALGORITHM – EXAMPLE

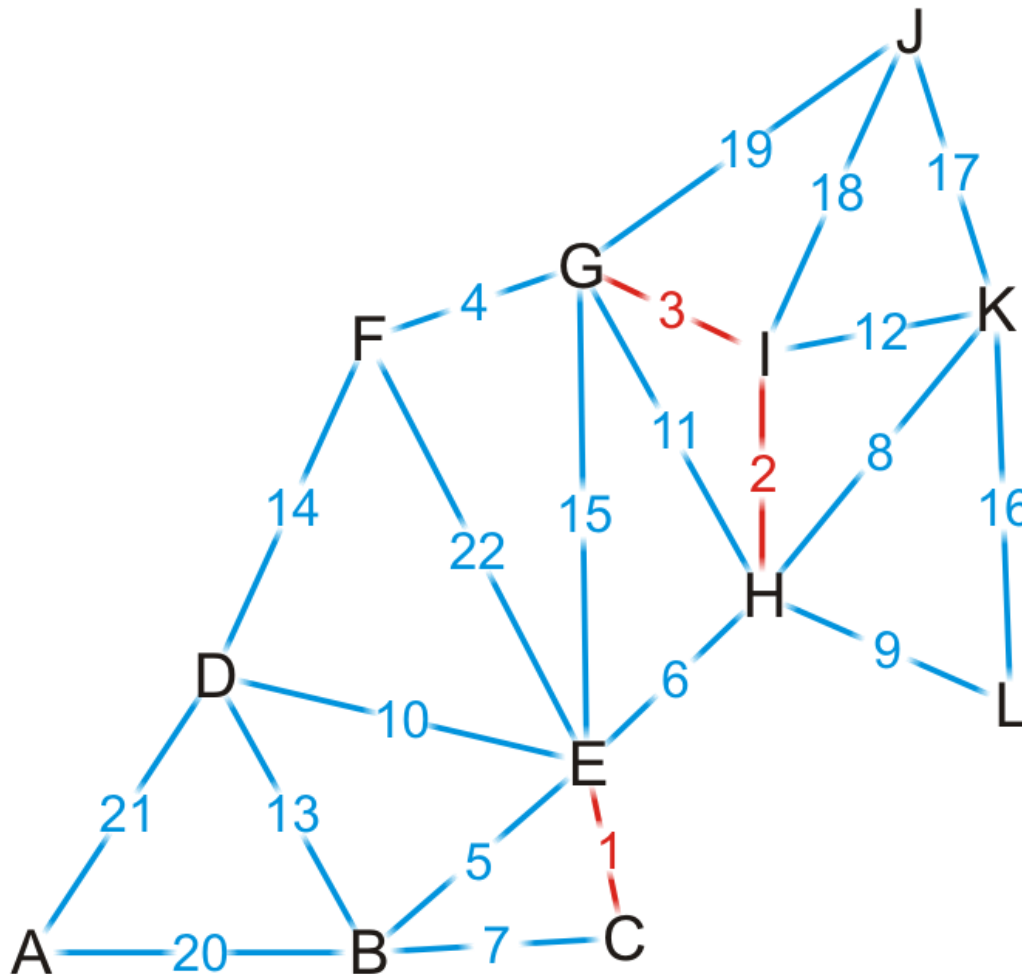


→ {C, E}  
{H, I}  
{G, I}  
{F, G}  
{B, E}  
{E, H}  
{B, C}  
{H, K}  
{H, L}  
{D, E}  
{G, H}  
{I, K}  
{B, D}  
{D, F}  
{E, G}  
{K, L}  
{J, K}  
{J, I}  
{J, G}  
{A, B}  
{A, D}  
{E, F}

# KRUSKAL'S ALGORITHM – EXAMPLE

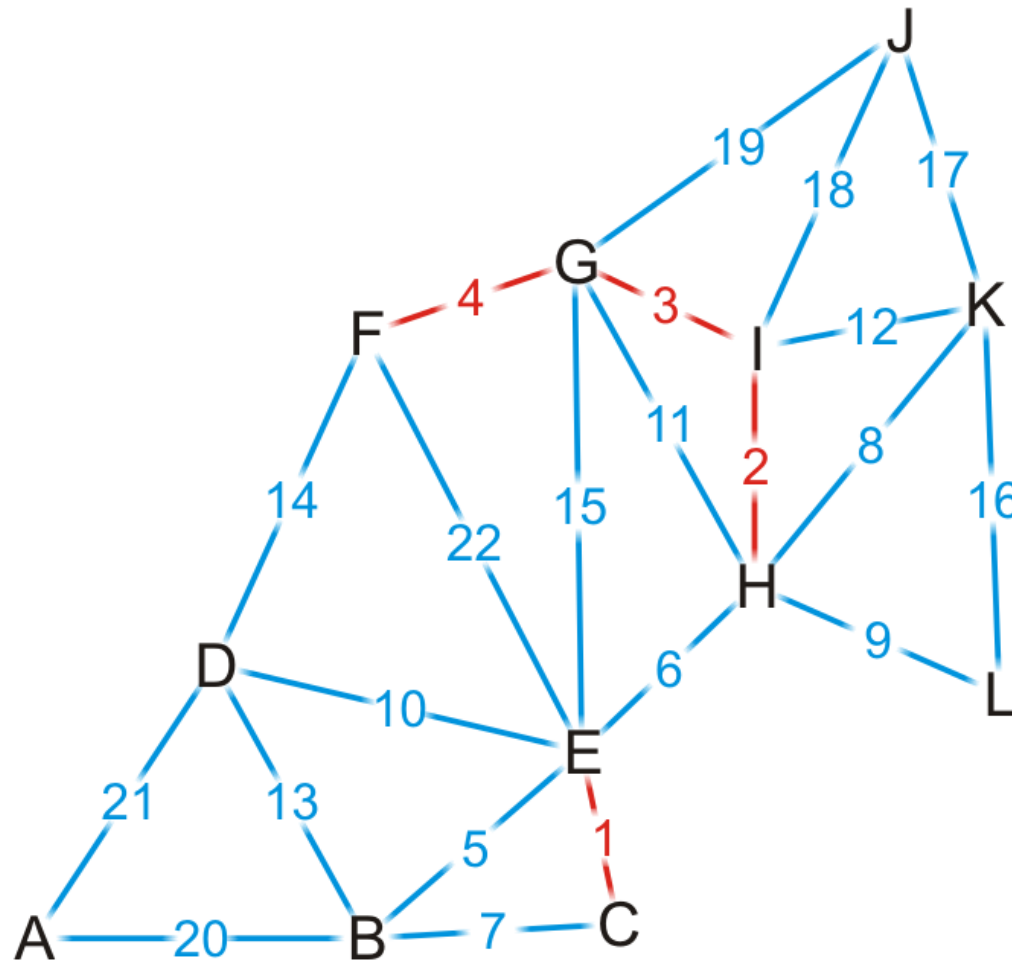
→

- $\{C, E\}$
- $\{H, I\}$
- $\{G, I\}$
- $\{F, G\}$
- $\{B, E\}$
- $\{E, H\}$
- $\{B, C\}$
- $\{H, K\}$
- $\{H, L\}$
- $\{D, E\}$
- $\{G, H\}$
- $\{I, K\}$
- $\{B, D\}$
- $\{D, F\}$
- $\{E, G\}$
- $\{K, L\}$
- $\{J, K\}$
- $\{J, I\}$
- $\{J, G\}$
- $\{A, B\}$
- $\{A, D\}$
- $\{E, F\}$



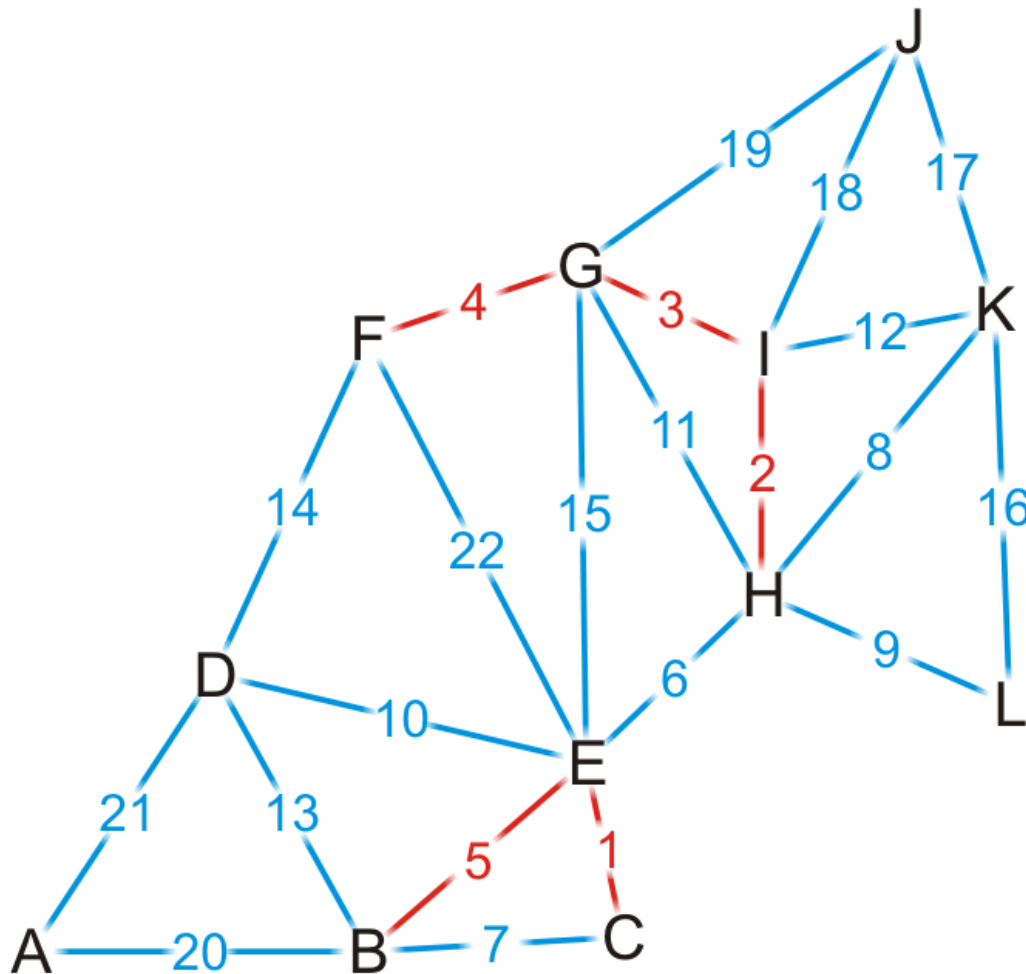


# KRUSKAL'S ALGORITHM – EXAMPLE



$\{C, E\}$   
 $\{H, I\}$   
 $\{G, I\}$   
 $\rightarrow \{F, G\}$   
 $\{B, E\}$   
 $\{E, H\}$   
 $\{B, C\}$   
 $\{H, K\}$   
 $\{H, L\}$   
 $\{D, E\}$   
 $\{G, H\}$   
 $\{I, K\}$   
 $\{B, D\}$   
 $\{D, F\}$   
 $\{E, G\}$   
 $\{K, L\}$   
 $\{J, K\}$   
 $\{J, I\}$   
 $\{J, G\}$   
 $\{A, B\}$   
 $\{A, D\}$   
 $\{E, F\}$

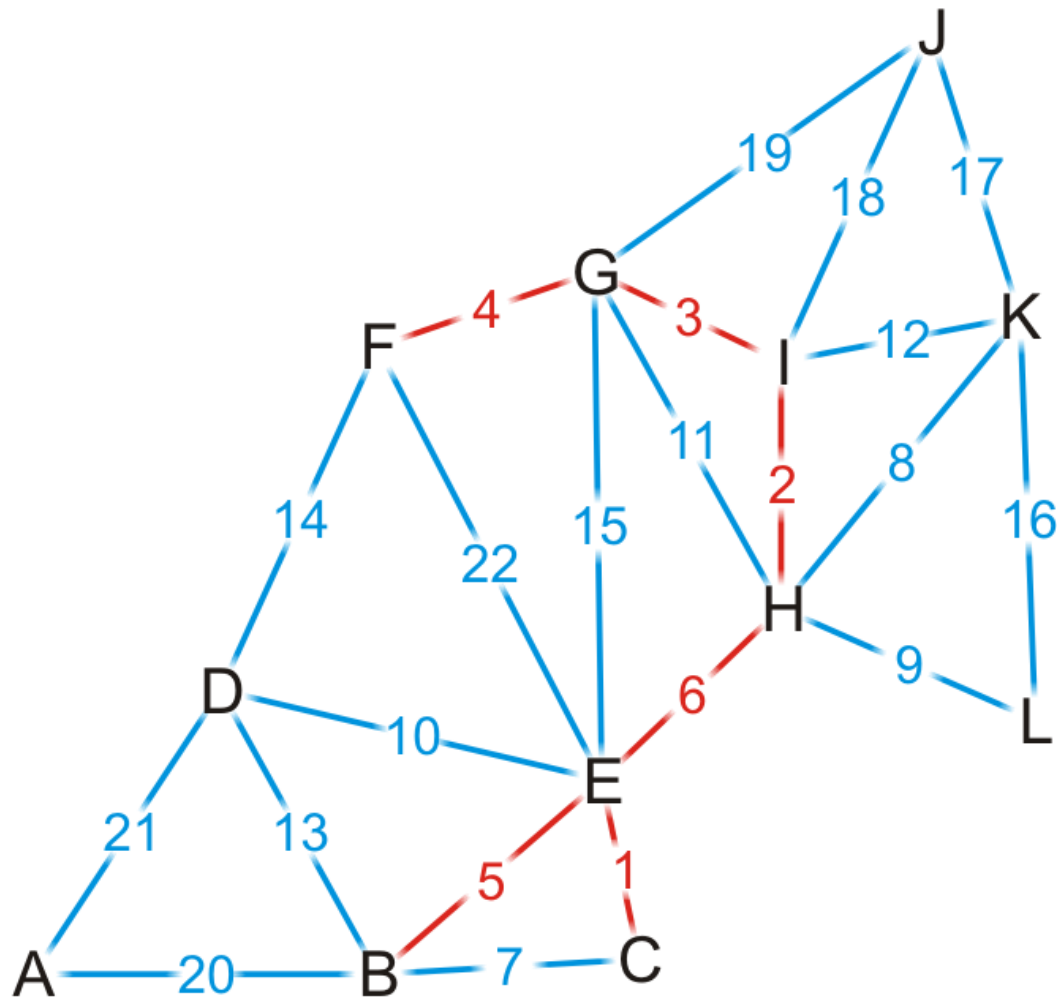
# KRUSKAL'S ALGORITHM – EXAMPLE



$\{C, E\}$   
 $\{H, I\}$   
 $\{G, I\}$   
 $\{F, G\}$   
 $\{B, E\}$   
 $\{E, H\}$   
 $\{B, C\}$   
 $\{H, K\}$   
 $\{H, L\}$   
 $\{D, E\}$   
 $\{G, H\}$   
 $\{I, K\}$   
 $\{B, D\}$   
 $\{D, F\}$   
 $\{E, G\}$   
 $\{K, L\}$   
 $\{J, K\}$   
 $\{J, I\}$   
 $\{J, G\}$   
 $\{A, B\}$   
 $\{A, D\}$   
 $\{E, F\}$

# KRUSKAL'S ALGORITHM – EXAMPLE

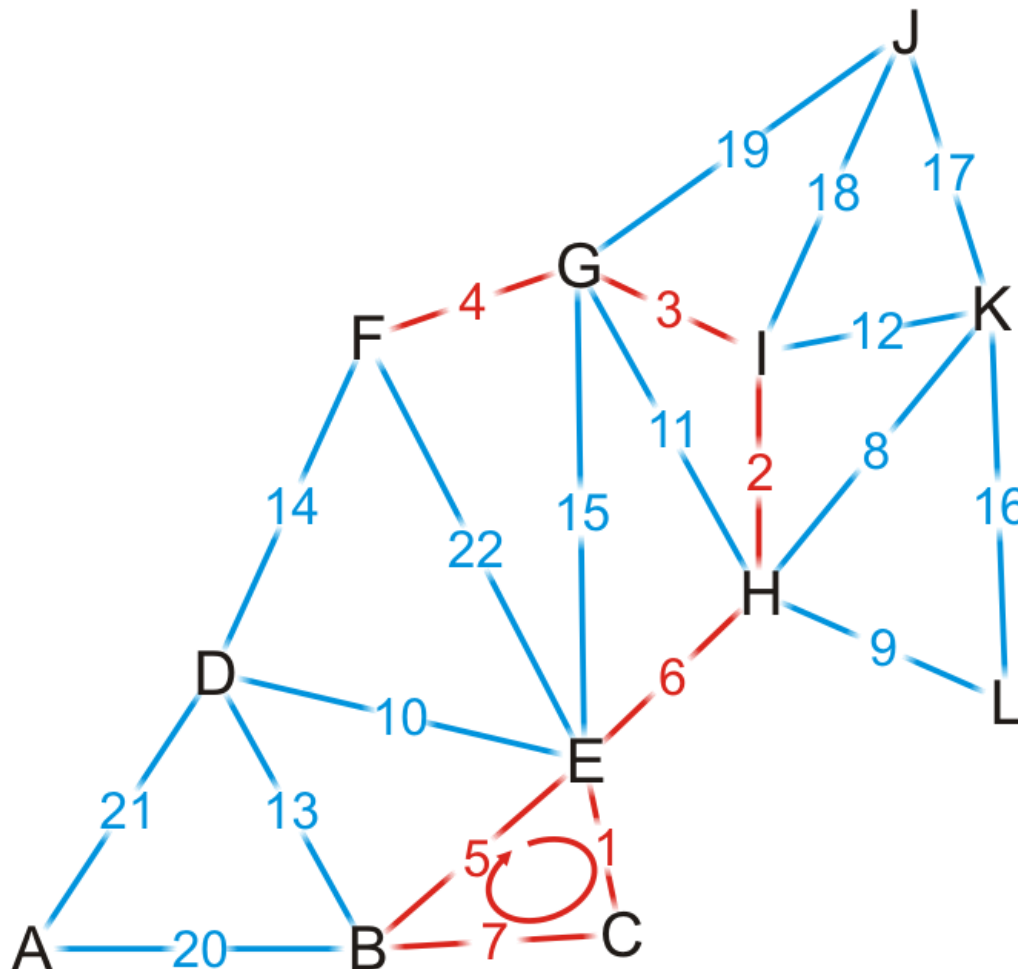
- We add edge  $\{E, H\}$ 
  - This coalesces the two spanning sub-trees into one



$\{\mathbf{C}, \mathbf{E}\}$   
 $\{\mathbf{H}, \mathbf{I}\}$   
 $\{\mathbf{G}, \mathbf{I}\}$   
 $\{\mathbf{F}, \mathbf{G}\}$   
 $\{\mathbf{B}, \mathbf{E}\}$   
 $\{\mathbf{E}, \mathbf{H}\}$   
 $\{\mathbf{B}, \mathbf{C}\}$   
 $\{\mathbf{H}, \mathbf{K}\}$   
 $\{\mathbf{H}, \mathbf{L}\}$   
 $\{\mathbf{D}, \mathbf{E}\}$   
 $\{\mathbf{G}, \mathbf{H}\}$   
 $\{\mathbf{I}, \mathbf{K}\}$   
 $\{\mathbf{B}, \mathbf{D}\}$   
 $\{\mathbf{D}, \mathbf{F}\}$   
 $\{\mathbf{E}, \mathbf{G}\}$   
 $\{\mathbf{K}, \mathbf{L}\}$   
 $\{\mathbf{J}, \mathbf{K}\}$   
 $\{\mathbf{J}, \mathbf{I}\}$   
 $\{\mathbf{J}, \mathbf{G}\}$   
 $\{\mathbf{A}, \mathbf{B}\}$   
 $\{\mathbf{A}, \mathbf{D}\}$   
 $\{\mathbf{E}, \mathbf{F}\}$

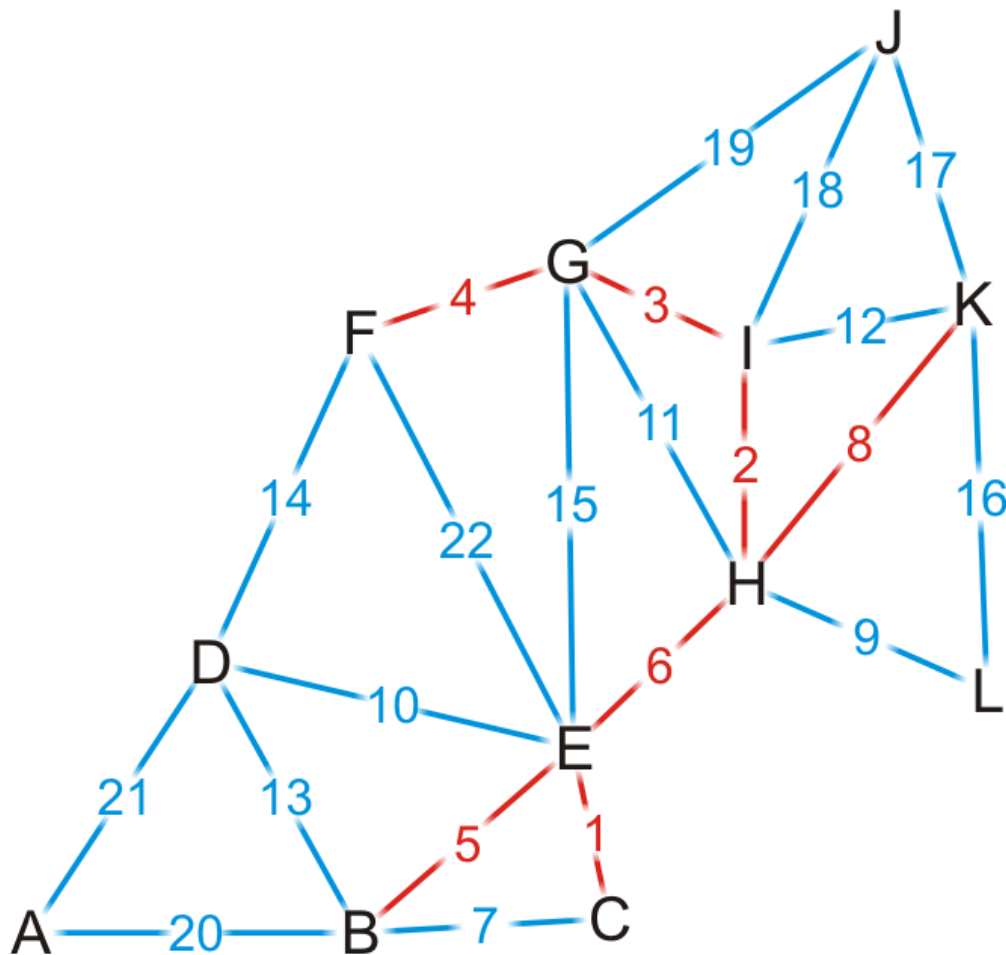
# KRUSKAL'S ALGORITHM – EXAMPLE

- We try adding {B, C}, but it creates a cycle



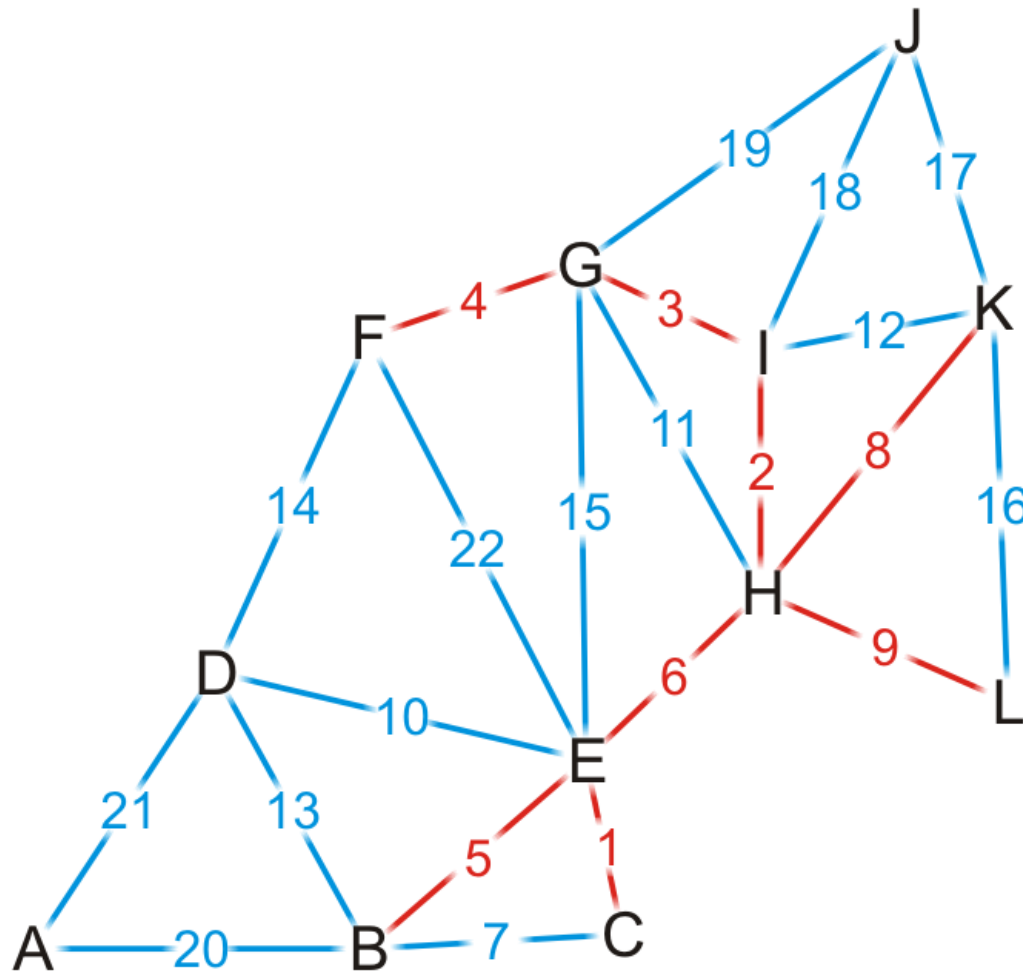
{C, E}  
{H, I}  
{G, I}  
{F, G}  
{B, E}  
{E, H}  
→ {B, C}  
{H, K}  
{H, L}  
{D, E}  
{G, H}  
{I, K}  
{B, D}  
{D, F}  
{E, G}  
{K, L}  
{J, K}  
{J, I}  
{J, G}  
{A, B}  
{A, D}  
{E, F}

# KRUSKAL'S ALGORITHM – EXAMPLE



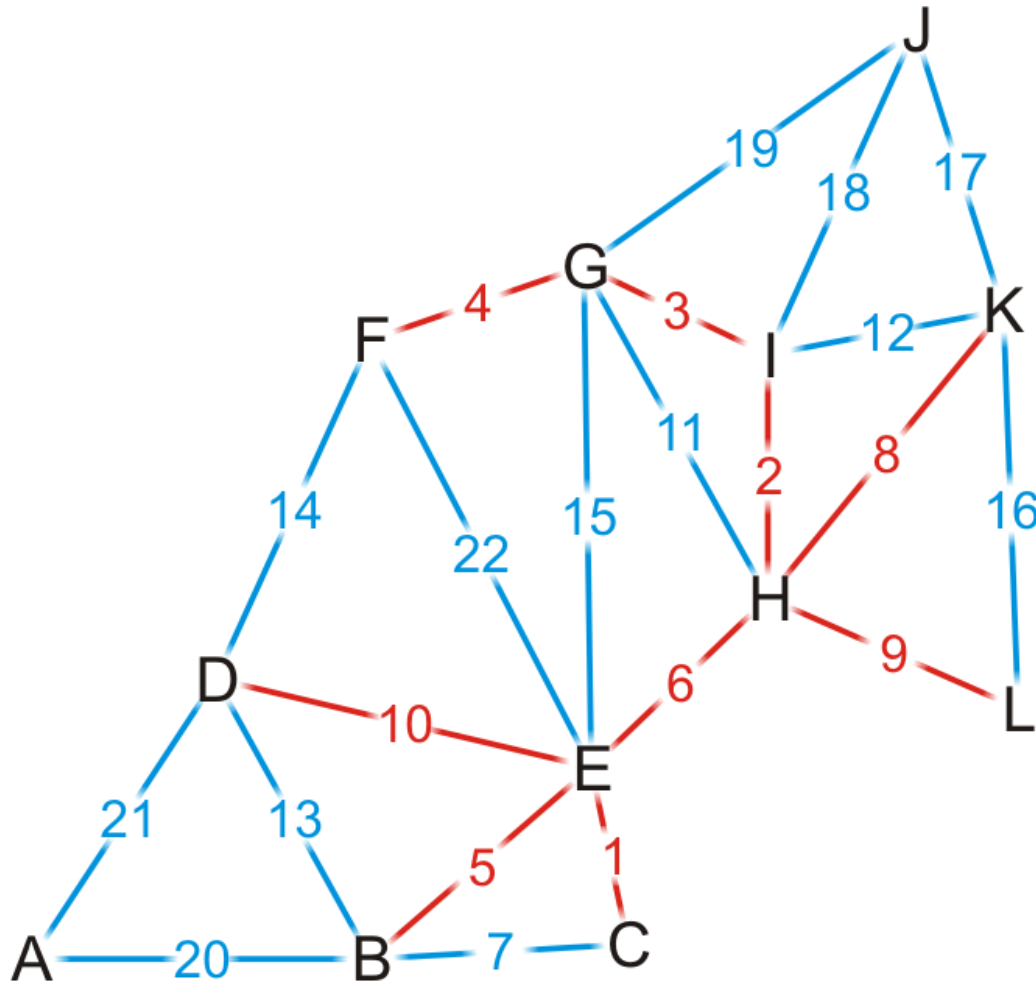
$\{\text{C}, \text{E}\}$   
 $\{\text{H}, \text{I}\}$   
 $\{\text{G}, \text{I}\}$   
 $\{\text{F}, \text{G}\}$   
 $\{\text{B}, \text{E}\}$   
 $\{\text{E}, \text{H}\}$   
 $\{\text{B}, \text{C}\}$   
 $\{\text{H}, \text{K}\}$   
 $\{\text{H}, \text{L}\}$   
 $\{\text{D}, \text{E}\}$   
 $\{\text{G}, \text{H}\}$   
 $\{\text{I}, \text{K}\}$   
 $\{\text{B}, \text{D}\}$   
 $\{\text{D}, \text{F}\}$   
 $\{\text{E}, \text{G}\}$   
 $\{\text{K}, \text{L}\}$   
 $\{\text{J}, \text{K}\}$   
 $\{\text{J}, \text{I}\}$   
 $\{\text{J}, \text{G}\}$   
 $\{\text{A}, \text{B}\}$   
 $\{\text{A}, \text{D}\}$   
 $\{\text{E}, \text{F}\}$

# KRUSKAL'S ALGORITHM – EXAMPLE



$\{C, E\}$   
 $\{H, I\}$   
 $\{G, I\}$   
 $\{F, G\}$   
 $\{B, E\}$   
 $\{E, H\}$   
 $\{B, C\}$   
 $\{H, K\}$   
 $\{H, L\}$   
 $\{D, E\}$   
 $\{G, H\}$   
 $\{I, K\}$   
 $\{B, D\}$   
 $\{D, F\}$   
 $\{E, G\}$   
 $\{K, L\}$   
 $\{J, K\}$   
 $\{J, I\}$   
 $\{J, G\}$   
 $\{A, B\}$   
 $\{A, D\}$   
 $\{E, F\}$

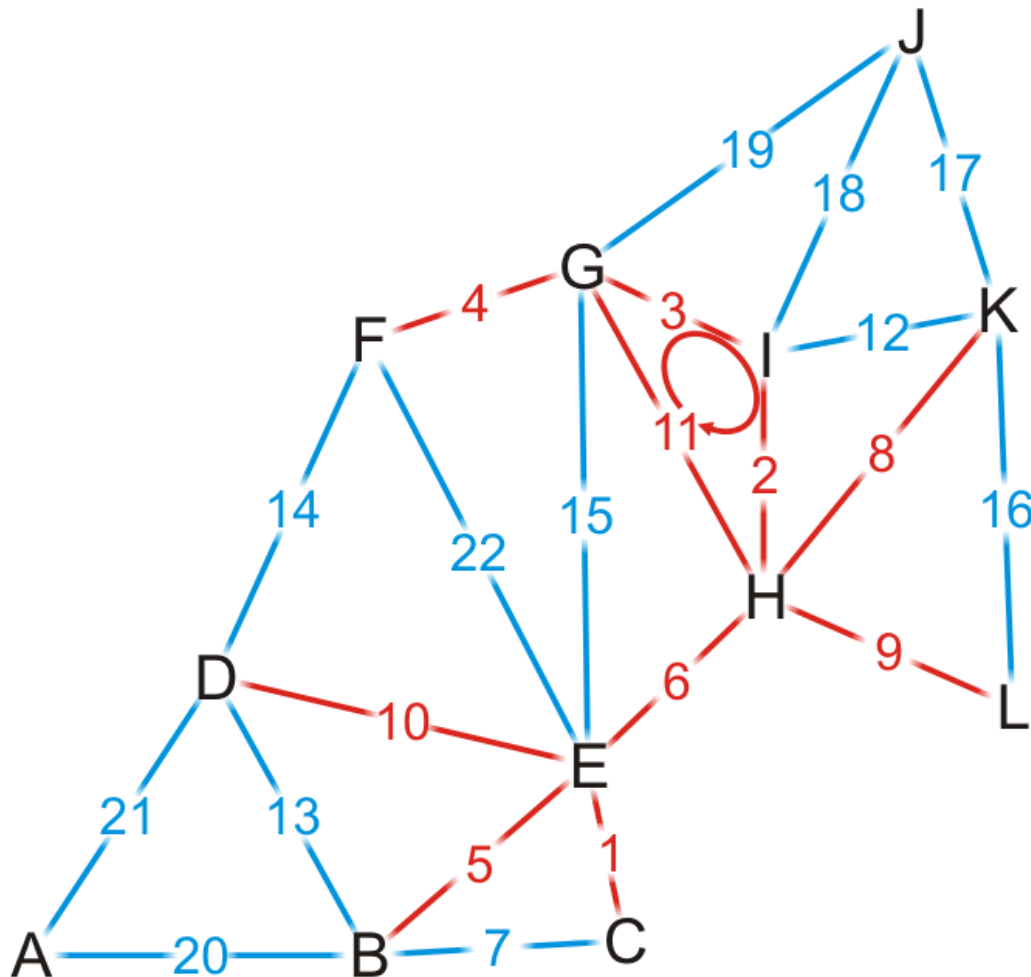
# KRUSKAL'S ALGORITHM – EXAMPLE



{C, E}  
 {H, I}  
 {G, I}  
 {F, G}  
 {B, E}  
 {E, H}  
 {B, C}  
 {H, K}  
 {H, L}  
 {D, E}  
 {G, H}  
 {I, K}  
 {B, D}  
 {D, F}  
 {E, G}  
 {K, L}  
 {J, K}  
 {J, I}  
 {J, G}  
 {A, B}  
 {A, D}  
 {E, F}

# KRUSKAL'S ALGORITHM – EXAMPLE

- We try adding {G, H}, but it creates a cycle

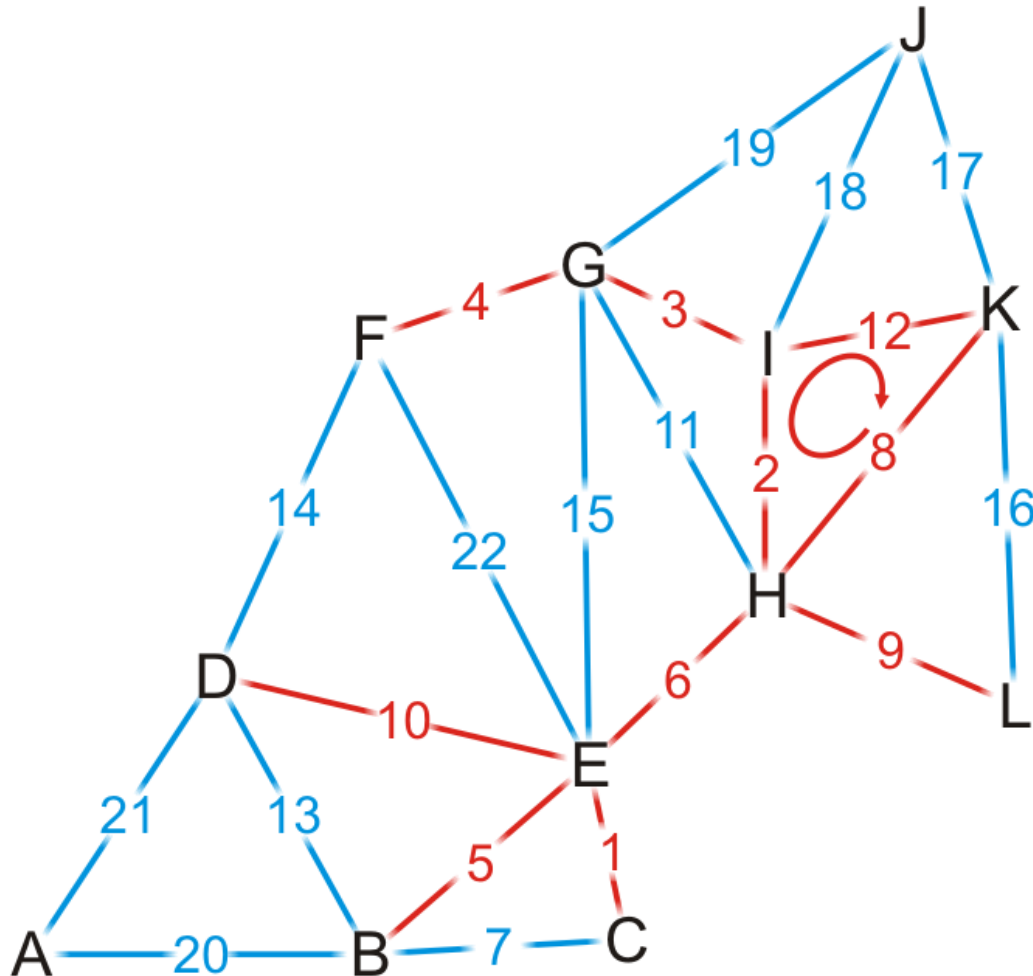


{C, E}  
{H, I}  
{G, I}  
{F, G}  
{B, E}  
{E, H}  
{B, C}  
{H, K}  
{H, L}  
{D, E}  
→ {G, H}  
{I, K}  
{B, D}  
{D, F}  
{E, G}  
{K, L}  
{J, K}  
{J, I}  
{J, G}  
{A, B}  
{A, D}  
{E, F}



# KRUSKAL'S ALGORITHM – EXAMPLE

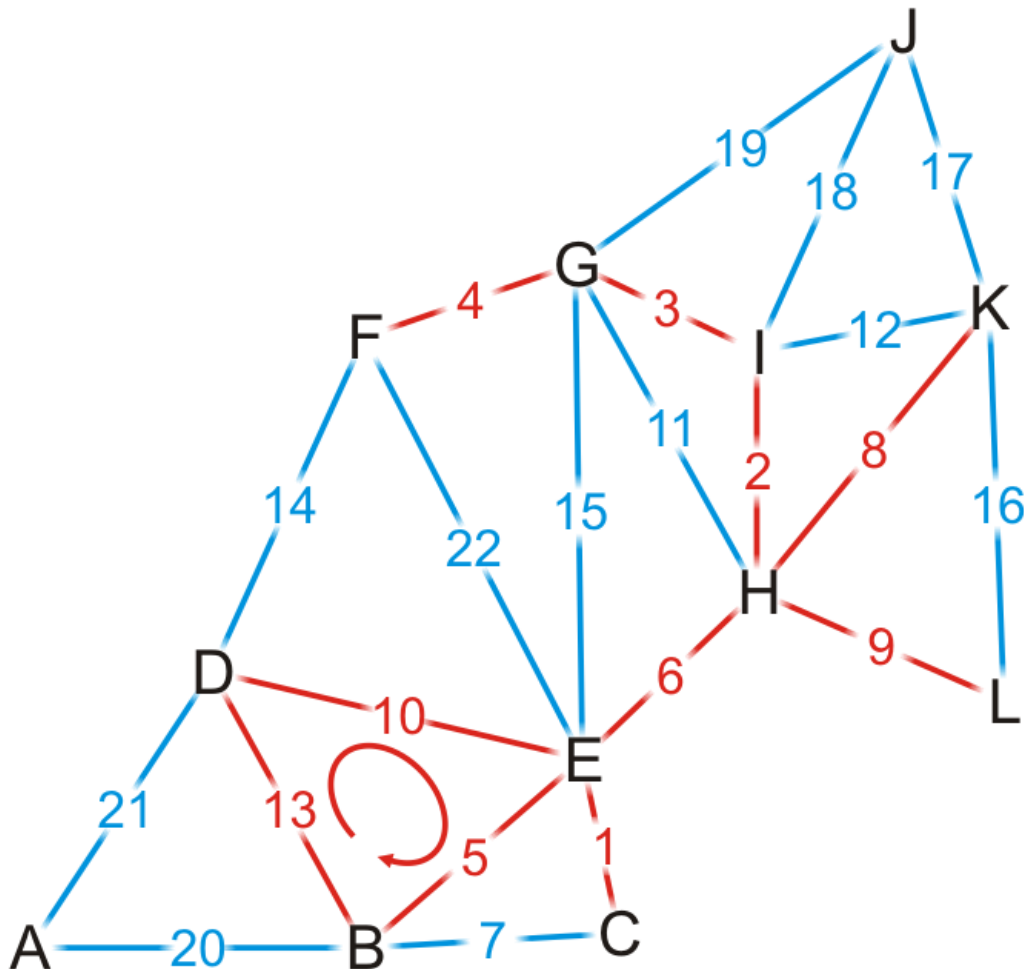
- We try adding {I, K}, but it creates a cycle



{C, E}  
{H, I}  
{G, I}  
{F, G}  
{B, E}  
{E, H}  
{B, C}  
{H, K}  
{H, L}  
{D, E}  
{G, H}  
→ {I, K}  
{B, D}  
{D, F}  
{E, G}  
{K, L}  
{J, K}  
{J, I}  
{J, G}  
{A, B}  
{A, D}  
{E, F}

# KRUSKAL'S ALGORITHM – EXAMPLE

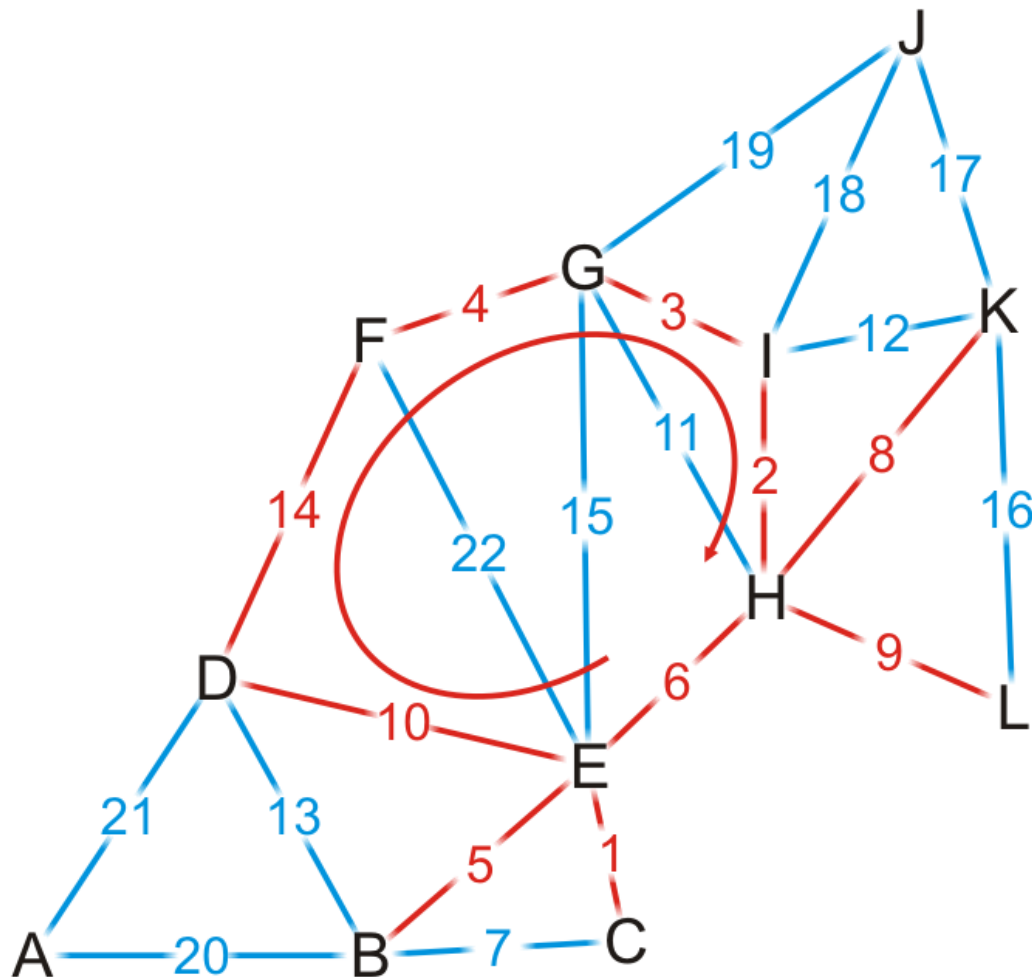
- We try adding  $\{B, D\}$ , but it creates a cycle



{C, E}  
 {H, I}  
 {G, I}  
 {F, G}  
 {B, E}  
 {E, H}  
 {B, C}  
 {H, K}  
 {H, L}  
 {D, E}  
 {G, H}  
 {I, K}  
 {B, D}  
 {D, F}  
 {E, G}  
 {K, L}  
 {J, K}  
 {J, I}  
 {J, G}  
 {A, B}  
 {A, D}  
 {E, F}

# KRUSKAL'S ALGORITHM – EXAMPLE

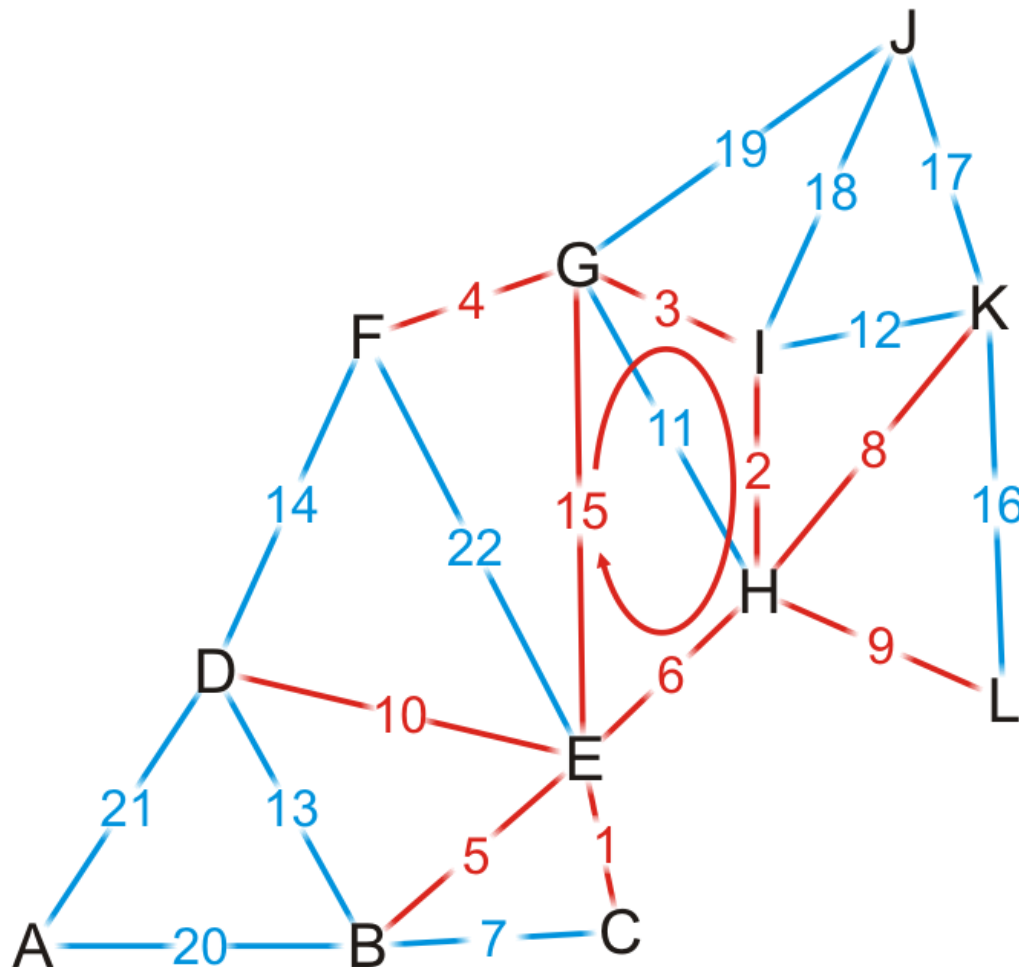
- We try adding {D, F}, but it creates a cycle



~~{C, E}~~  
~~{H, I}~~  
~~{G, I}~~  
~~{F, G}~~  
~~{B, E}~~  
~~{E, H}~~  
~~{B, C}~~  
~~{H, K}~~  
~~{H, L}~~  
~~{D, E}~~  
~~{G, H}~~  
~~{I, K}~~  
~~{B, D}~~  
→ {D, F}  
{E, G}  
{K, L}  
{J, K}  
{J, I}  
{J, G}  
{A, B}  
{A, D}  
{E, F}

# KRUSKAL'S ALGORITHM – EXAMPLE

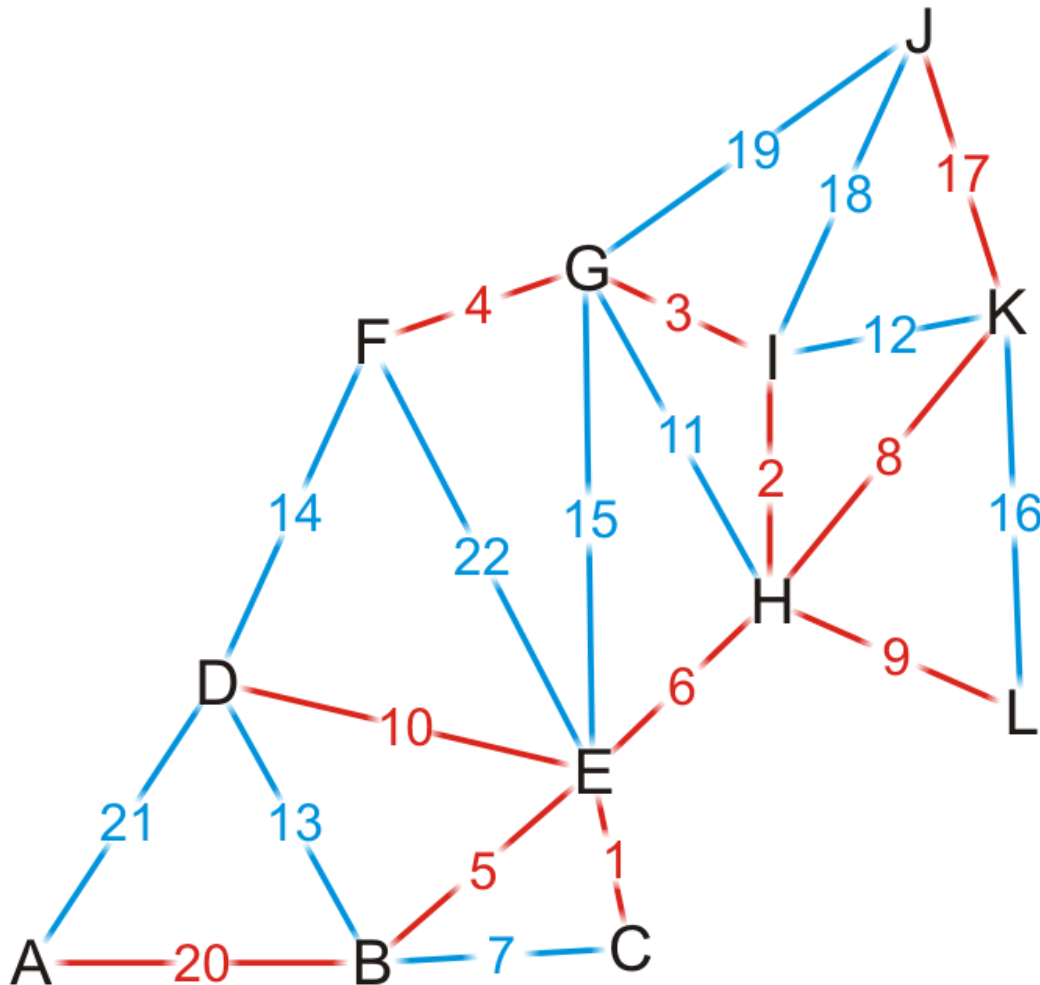
- We try adding {E, G}, but it creates a cycle



{C, E}  
{H, I}  
{G, I}  
{F, G}  
{B, E}  
{E, H}  
{B, C}  
{H, K}  
{H, L}  
{D, E}  
{G, H}  
{I, K}  
{B, D}  
{D, F}  
→ {E, G}  
{K, L}  
{J, K}  
{J, I}  
{J, G}  
{A, B}  
{A, D}  
{E, F}

# KRUSKAL'S ALGORITHM – EXAMPLE

- By observation, we can still add edges {J, K} and {A, B}

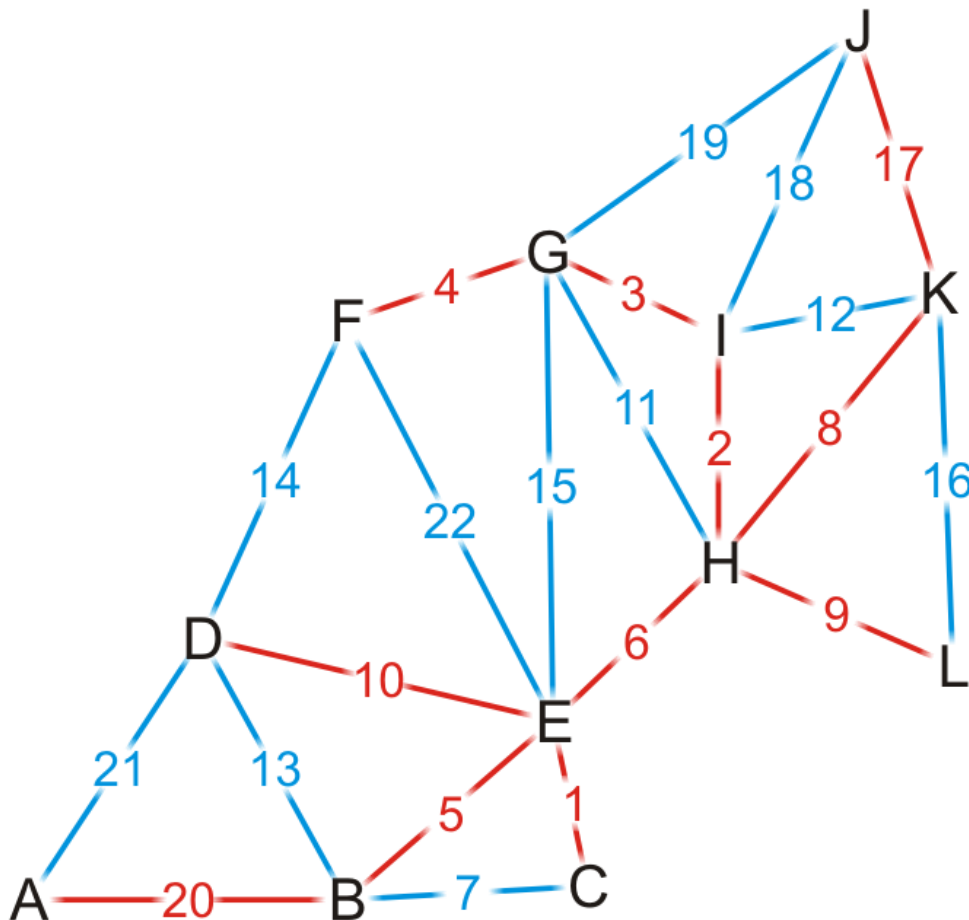


{C, E}  
{H, I}  
{G, I}  
{F, G}  
{B, E}  
{E, H}  
{B, C}  
{H, K}  
{H, L}  
{D, E}  
{G, H}  
{I, K}  
{B, D}  
{D, F}  
{E, G}  
{K, L}  
**{J, K}**  
{J, I}  
{J, G}  
**{A, B}**  
{A, D}  
{E, F}



# KRUSKAL'S ALGORITHM – EXAMPLE

- Having added {A, B}, we now have 11 edges
  - We terminate the loop
  - We have our minimum spanning tree



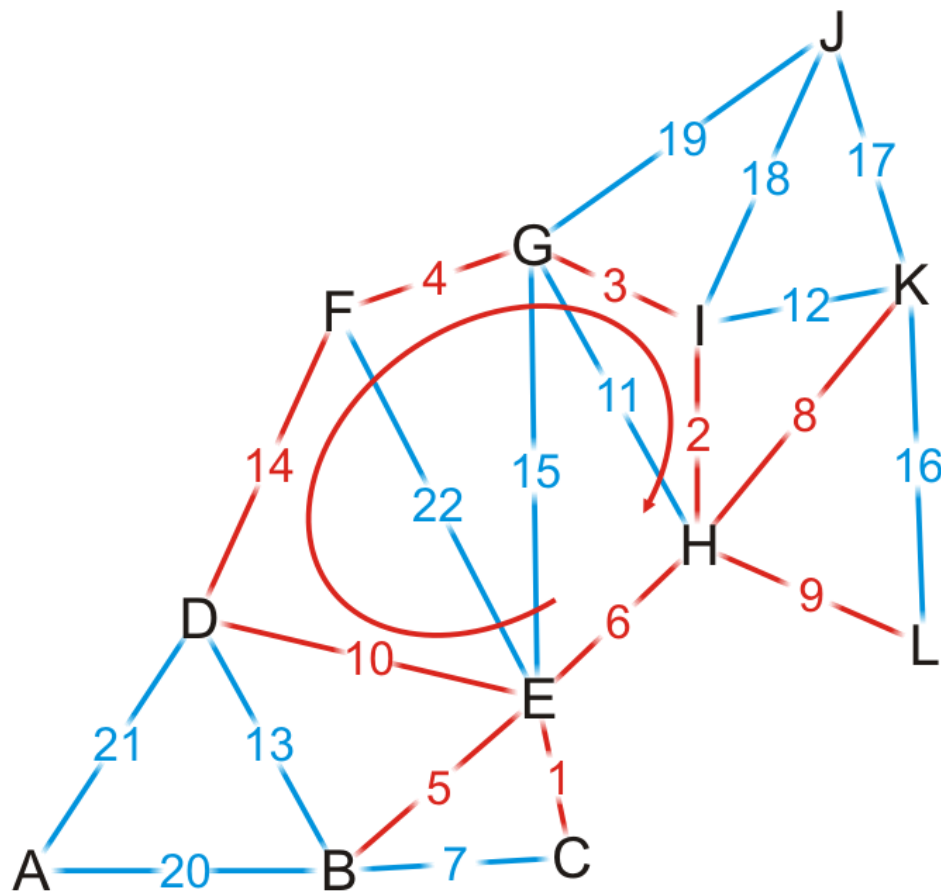
{C, E}  
{H, I}  
{G, I}  
{F, G}  
{B, E}  
{E, H}  
{B, C}  
{H, K}  
{H, L}  
{D, E}  
{G, H}  
{I, K}  
{B, D}  
{D, F}  
{E, G}  
{K, L}  
{J, K}  
{J, I}  
{J, G}



{A, B}  
{A, D}  
{E, F}

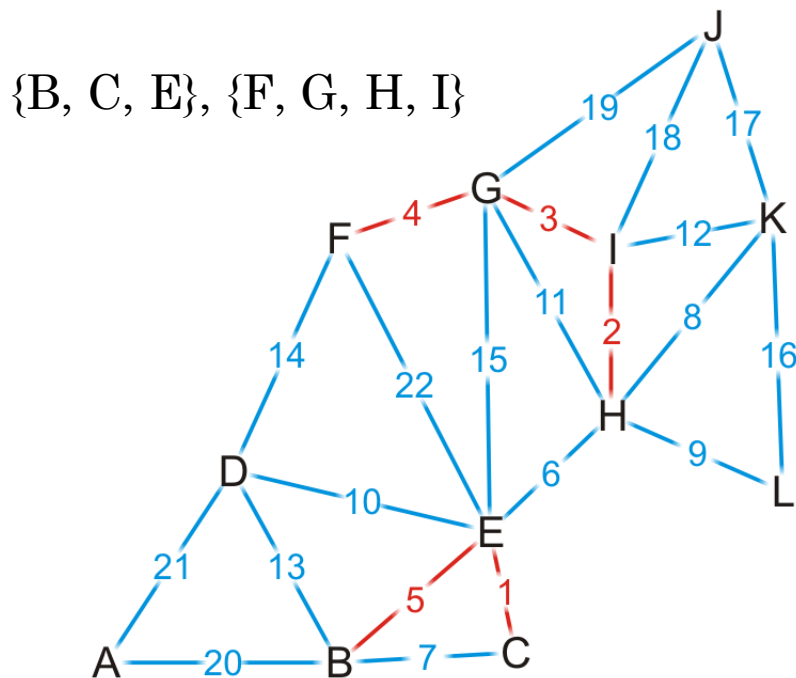
# DETECTING A CYCLE

- To determine if a cycle is created, we could perform a traversal
  - A run-time of  $O(|V|)$



# DETECTING A CYCLE – DISJOINT SETS

- Consider edges in the same connected sub-graph as forming a set



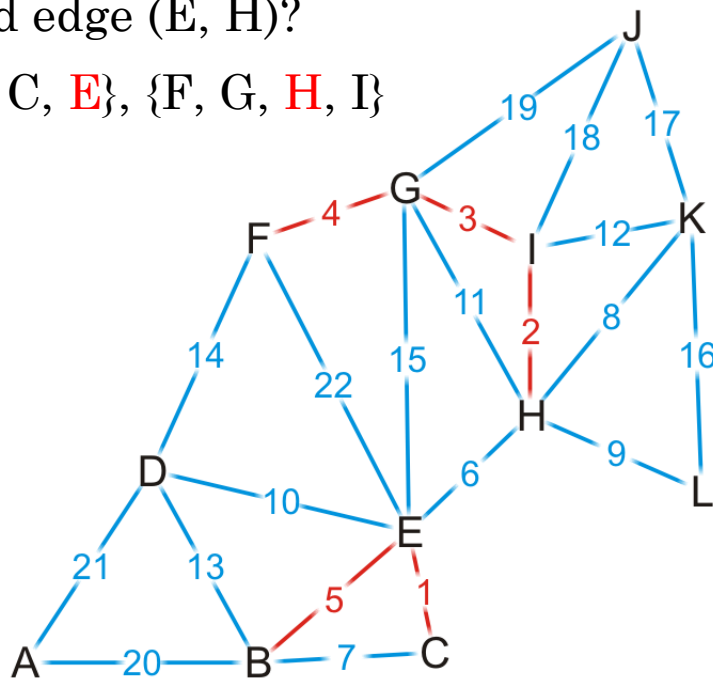


# DETECTING A CYCLE – DISJOINT SETS

- Consider edges in the same connected sub-graph as forming a set
- If the vertices of the next edge are in different sets
  - Take the union of the two sets

Add edge (E, H)?

{B, C, **E**}, {F, G, **H**, I}

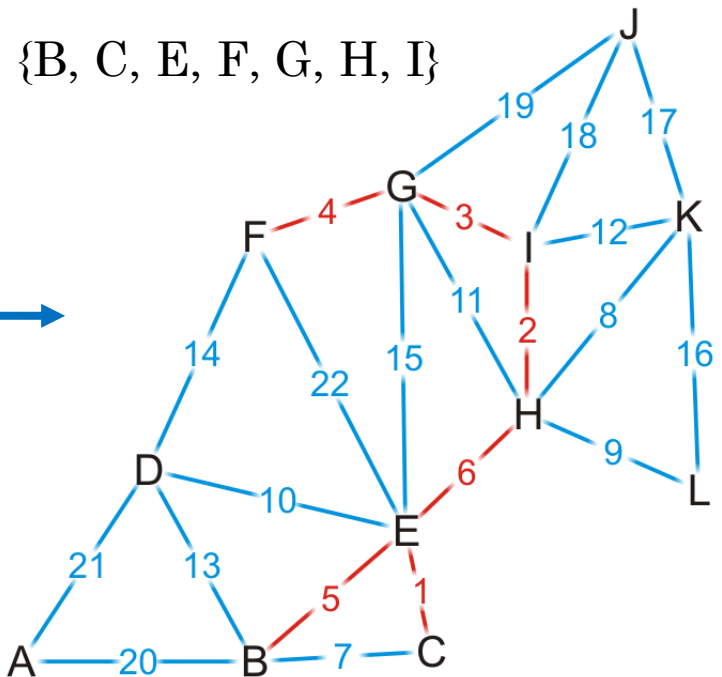
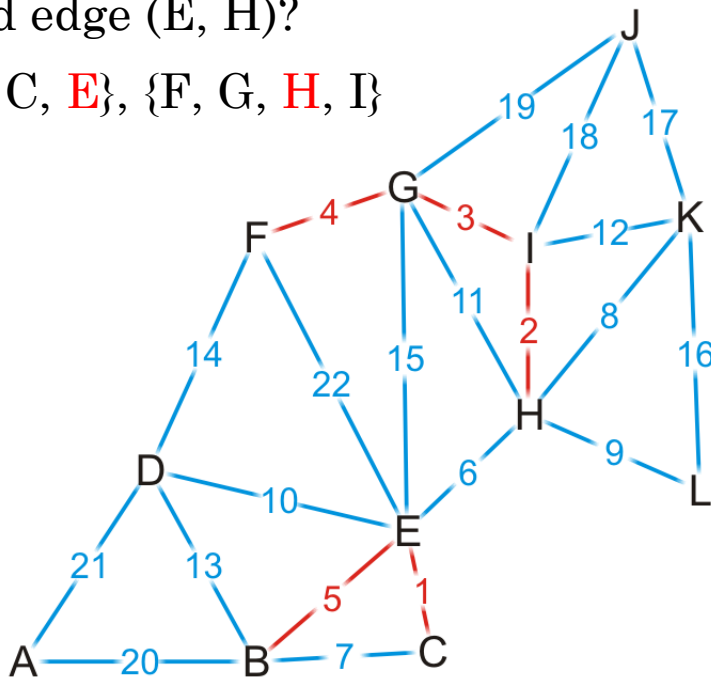


# DETECTING A CYCLE – DISJOINT SETS

- Consider edges in the same connected sub-graph as forming a set
- If the vertices of the next edge are in different sets
  - Take the union of the two sets

Add edge (E, H)?

{B, C, **E**}, {F, G, **H**, I}

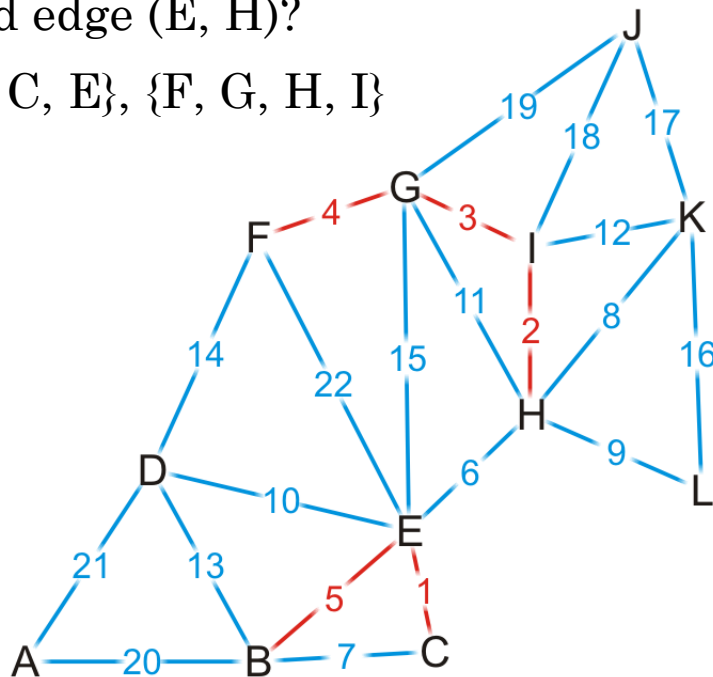


# DETECTING A CYCLE – DISJOINT SETS

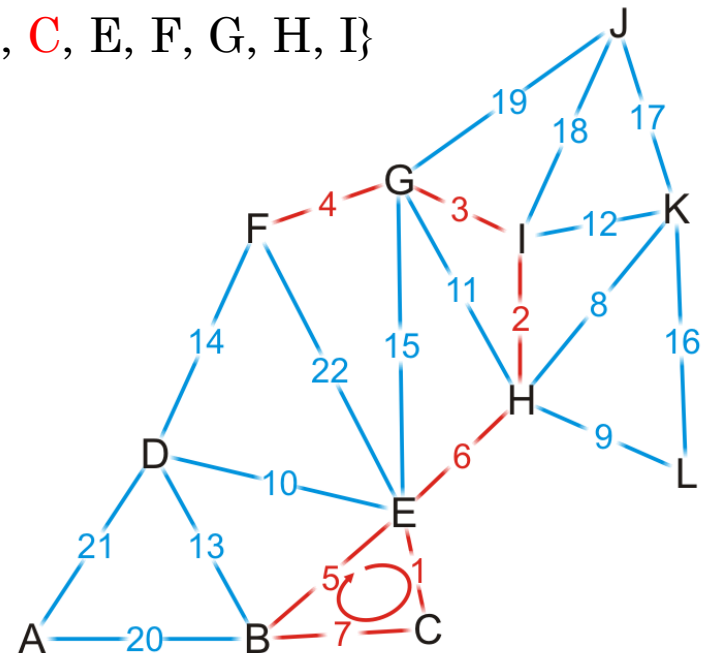
- Consider edges in the same connected sub-graph as forming a set
- If the vertices of the next edge are in different sets
  - Take the union of the two sets
- Do not add an edge if both vertices are in the same set

Add edge (E, H)?

{B, C, E}, {F, G, H, I}



{B, C, E, F, G, H, I}



# KRUSKAL( $V, E, w$ )

1.  $A \leftarrow \emptyset$
  2. **for** each vertex  $v \in V$
  3.     **do** MAKE-SET( $v$ )
  4. sort  $E$  into non-decreasing order by  $w$
  5. **for** each  $(u, v)$  taken from the sorted list
  6.     **do if** FIND-SET( $u$ )  $\neq$  FIND-SET( $v$ )
  7.         **then**  $A \leftarrow A \cup \{(u, v)\}$
  8.         UNION( $u, v$ )
  9. **return**  $A$
- Complexity annotations:
- $O(V)$  (for lines 2-3)
  - $O(E \lg E)$  (for line 4)
  - $\leftarrow O(E)$  (for line 5)
  - $\leftarrow O(\lg V)$  (for lines 6-8)

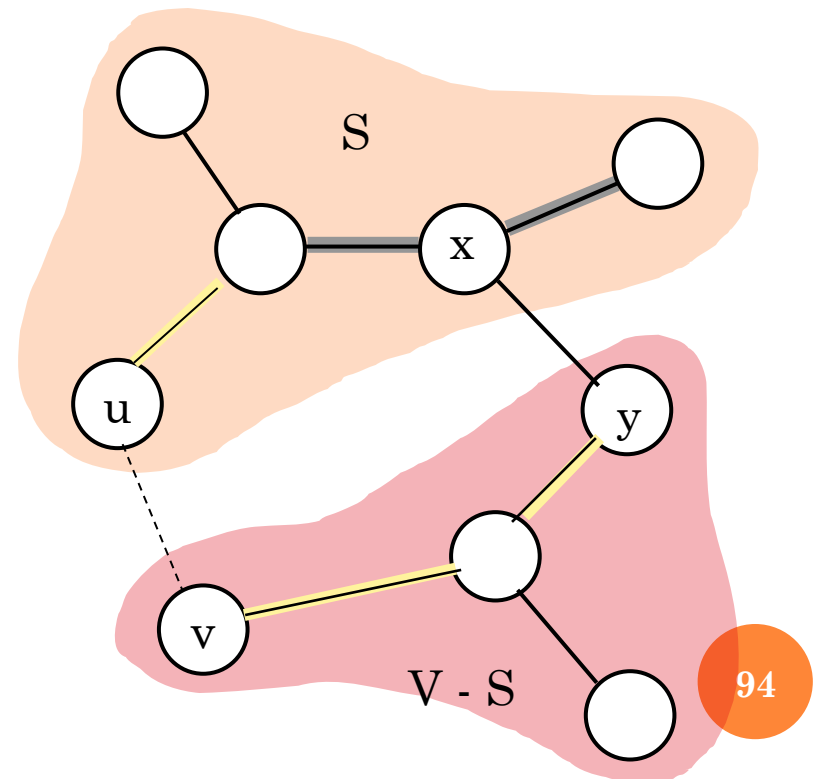
Running time:  $O(V + E \lg E + E \lg V) = O(E \lg E)$  –  
dependent on the implementation of the  
disjoint-set data structure

# KRUSKAL( $V, E, w$ ) (CONT.)

1.  $A \leftarrow \emptyset$
  2. **for** each vertex  $v \in V$
  3.     **do** MAKE-SET( $v$ )
  4.     sort  $E$  into non-decreasing order by  $w$
  5.     **for** each  $(u, v)$  taken from the sorted list
  6.         **do if** FIND-SET( $u$ )  $\neq$  FIND-SET( $v$ )
  7.             **then**  $A \leftarrow A \cup \{(u, v)\}$
  8.             UNION( $u, v$ )
  9.     **return**  $A$
- Running time:  $O(V + ElgE + ElgV) = O(ElgE)$
- Since  $E = O(V^2)$ , we have  $lgE = O(2lgV) = O(lgV)$
- $O(ElgV)$**

# KRUSKAL'S ALGORITHM

- Kruskal's algorithm is a “**greedy**” algorithm
- Kruskal's greedy strategy produces a globally optimum solution
- Proof for generic approach applies to Kruskal's algorithm too



# KRUSKAL'S ALGORITHM VS PRIM'S ALGORITHM

- In **prim's algorithm**, graph must be a **connected**
- **Kruskal's** algorithm can function on **disconnected** graphs too
- **Prim's algorithm** is significantly faster for **dense graphs** with more number of edges than vertices
- **Kruskal's algorithm** runs faster in the case of **sparse graphs**

# PROBLEM 1

- **(Exercise 23.2-3, page 637)** Compare Prim's algorithm with and Kruskal's algorithm assuming:

(a) sparse graphs:

In this case,  $E=O(V)$

Kruskal:

$$O(E \lg E) = O(V \lg V)$$

Prim:

- binary heap:  $O(E \lg V) = O(V \lg V)$
- Fibonacci heap:  $O(V \lg V + E) = O(V \lg V)$



# PROBLEM 1 (CONT.)

(b) dense graphs

In this case,  $E=O(V^2)$

Kruskal:

$$O(E \lg E) = O(V^2 \lg V^2) = O(2V^2 \lg V) = O(V^2 \lg V)$$

Prim:

- binary heap:  $O(E \lg V) = O(V^2 \lg V)$
- Fibonacci heap:  $O(V \lg V + E) = O(V \lg V + V^2) = O(V^2)$

## PROBLEM 2

- Suppose that some of the weights in a connected graph  $G$  are negative. Will Prim's algorithm still work? What about Kruskal's algorithm? Justify your answers.
  - Yes, both algorithms will work with negative weights. Review the proof of the generic approach; there is no assumption in the proof about the weights being positive.

# PROBLEM 3

- Find an algorithm for the “maximum” spanning tree. That is, given an undirected weighted graph  $G$ , find a spanning tree of  $G$  of maximum cost. Prove the correctness of your algorithm.
  - Consider choosing the “heaviest” edge (i.e., the edge associated with the largest weight) in a cut. The generic proof can be modified easily to show that this approach will work.
  - Alternatively, multiply the weights by  $-1$  and apply either Prim’s or Kruskal’s algorithms without any modification at all!

# MST ALGORITHMS

Kruskal's algorithm (see CLRS):

- Uses the *disjoint-set data structure* (see CLRS, Ch. 21).
- Running time =  $O(E \lg V)$ .

Best to date:

- Karger, Klein, and Tarjan [1993].
- Randomized algorithm.
- $O(V + E)$  expected time.

# REFERENCE

## ○ Introduction to Algorithms

- Minimum Spanning Tree
- Chapter # 23
- Thomas H. Cormen
  
- Disjoint Set
- <http://www.csl.mtu.edu/cs4321/www/Lectures/Lecture%2019%20-%20Kruskal%20Algorithm%20and%20Dis-joint%20Sets.htm>

# SUMMARY OF GRAPH ALGORITHMS

## BREADTH-FIRST SEARCH

```
BFS( $G, s$ )
1 for each vertex  $u \in V[G] - \{s\}$ 
2   do  $color[u] \leftarrow \text{WHITE}$ 
3      $d[u] \leftarrow \infty$ 
4      $\pi[u] \leftarrow \text{NIL}$ 
5  $color[s] \leftarrow \text{GRAY}$ 
6  $d[s] \leftarrow 0$ 
7  $\pi[s] \leftarrow \text{NIL}$ 
8  $Q \leftarrow \emptyset$ 
9 ENQUEUE( $Q, s$ )
10 while  $Q \neq \emptyset$ 
11   do  $u \leftarrow \text{DEQUEUE}(Q)$ 
12     for each  $v \in Adj[u]$ 
13       do if  $color[v] = \text{WHITE}$ 
14         then  $color[v] \leftarrow \text{GRAY}$ 
15            $d[v] \leftarrow d[u] + 1$ 
16            $\pi[v] \leftarrow u$ 
17           ENQUEUE( $Q, v$ )
18  $color[u] \leftarrow \text{BLACK}$ 
```

## DEPTH-FIRST SEARCH

### DFS( $G$ )

```
1 for each vertex  $u \in G.V$ 
2    $u.color = \text{WHITE}$ 
3    $u.\pi = \text{NIL}$ 
4  $time = 0$ 
5 for each vertex  $u \in G.V$ 
6   if  $u.color == \text{WHITE}$ 
7     DFS-VISIT( $G, u$ )
```

### DFS-VISIT( $G, u$ )

```
1  $time = time + 1$  // white
2  $u.d = time$ 
3  $u.color = \text{GRAY}$ 
4 for each  $v \in G.Adj[u]$  // explore
5   if  $v.color == \text{WHITE}$ 
6      $v.\pi = u$ 
7     DFS-VISIT( $G, v$ )
8  $u.color = \text{BLACK}$  // black
9  $time = time + 1$ 
10  $u.f = time$ 
```

# ANALYSIS OF PRIM

```

 $\Theta(V)$  total {
     $Q \leftarrow V$ 
     $key[v] \leftarrow \infty$  for all  $v \in V$ 
     $key[s] \leftarrow 0$  for some arbitrary  $s \in V$ 
    while  $Q \neq \emptyset$ 
    do  $u \leftarrow \text{EXTRACT-MIN}(Q)$ 
    for each  $v \in Adj[u]$ 
    do if  $v \in Q$  and  $w(u, v) < key[v]$ 
    then  $key[v] \leftarrow w(u, v)$ 
     $\pi[v] \leftarrow u$ 
}

```

Handshaking Lemma  $\Rightarrow \Theta(E)$  implicit DECREASE-KEY's.

Time =  $\Theta(V) \cdot T_{\text{EXTRACT-MIN}} + \Theta(E) \cdot T_{\text{DECREASE-KEY}}$

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# SHORTEST PATH