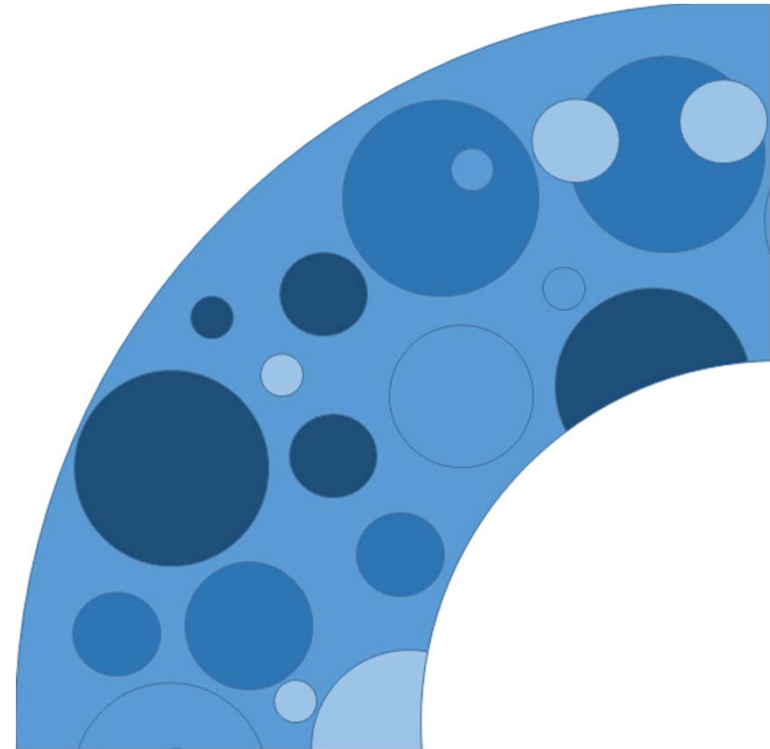


Algorithms

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5. Graph Algorithms I

Contents

- Graph representation
- Minimum spanning trees
- Prim's algorithm
- Kruskal's algorithm

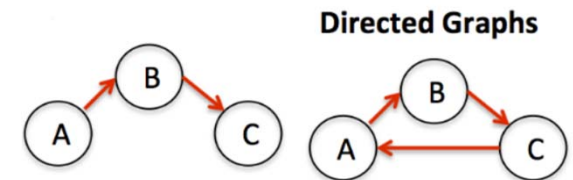
- Problem 9: Saving ink

Graphs (review)

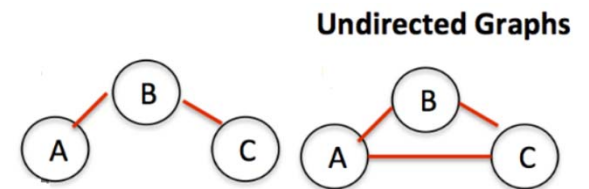
- **Definition.** A *directed graph (digraph)*

$G = (V, E)$ is an ordered pair consisting of

- a set V of *vertices* (singular: *vertex*),
- a set $E \subseteq V \times V$ of *edges*.



- In an *undirected graph* $G = (V, E)$, the edge set E consists of *unordered* pairs of vertices.



- In either case, we have $|E| = O(V^2)$. Moreover, if G is connected, then $|E| \geq |V| - 1$, which implies that $\lg |E| = \Theta(\lg V)$.

E : edge

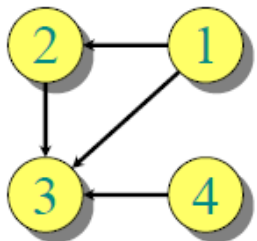
A	X	X	X
B	X	X	X
C	X	X	X
	A	B	C



Adjacency-matrix representation

The **adjacency matrix** of a graph $G = (V, E)$, where $V = \{1, 2, \dots, n\}$, is the matrix $A[1 \dots n, 1 \dots n]$ given by

$$A[i, j] = \begin{cases} 1 & \text{if } (i, j) \in E, \\ 0 & \text{if } (i, j) \notin E. \end{cases} \quad \text{edge가}$$



A	1	2	3	4
1	0	1	1	0
2	0	0	1	0
3	0	0	0	0
4	0	0	1	0

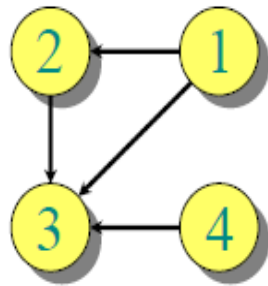
$\Theta(V^2)$ storage

\Rightarrow **dense**

representation.

Adjacency-list representation

An **adjacency list** of a vertex $v \in V$ is the list $Adj[v]$ of vertices adjacent to v .



$$Adj[1] = \{2, 3\}$$

$$Adj[2] = \{3\}$$

$$Adj[3] = \{\}$$

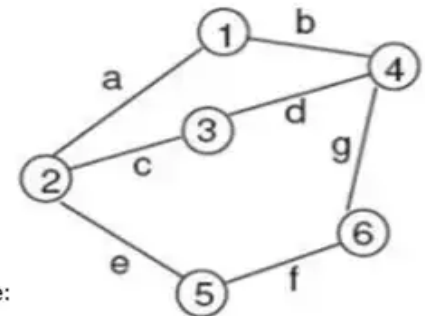
$$Adj[4] = \{3\}$$

For undirected graphs, $|Adj[v]| = degree(v)$.

For digraphs, $|Adj[v]| = out-degree(v)$.

Handshaking Lemma: $\sum_{v \in V} = 2 |E|$ for undirected graphs \Rightarrow adjacency lists use $\Theta(V + E)$ storage — a **sparse** representation (for either type of graph).

degree : 2



degree : 3

Right Hand Side:

$$|E| = 7$$

$$2 * |E| = 14$$

Left Hand side:

$$d(1) = 2$$

$$d(4) = 3$$

$$d(2) = 3$$

$$d(5) = 2$$

$$d(3) = 2$$

$$d(6) = 2$$

$$d(1) + d(2) + d(3) + d(4) + d(5) + d(6) = 2+3+2+3+2+2=14$$

Minimum spanning trees

Input: A connected, undirected graph $G = (V, E)$ with weight function $w : E \rightarrow \mathbb{R}$

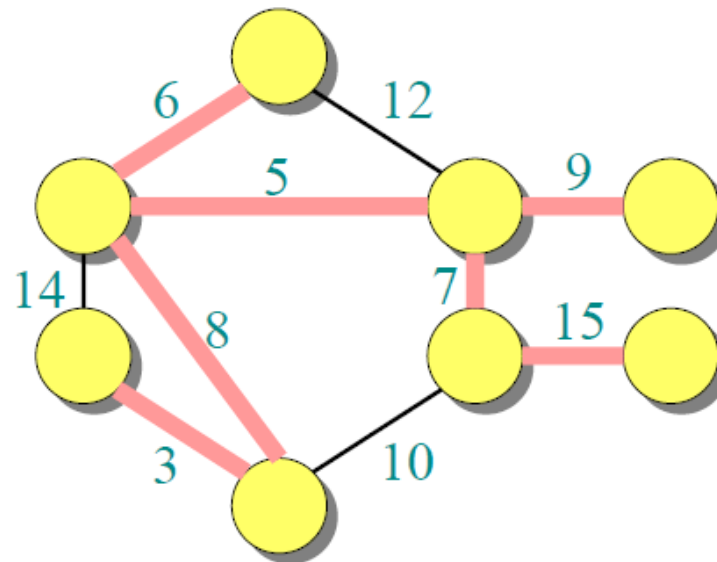
- For simplicity, assume that all edge weights are distinct.

Output: A *spanning tree* T — a tree that connects all vertices of minimum weight:

$$w(T) = \sum_{(u,v) \in T} w(u,v).$$

weight(가) 가 tree

Example of MST



Optimal substructure

MST T :

(Other edges of G
are not shown.)

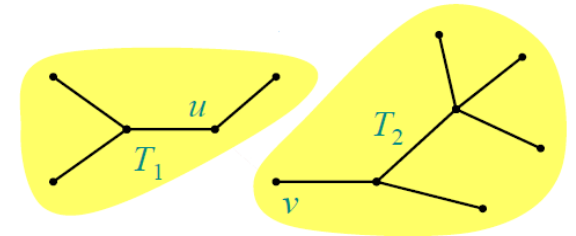
Remove any edge $(u, v) \in T$. Then, T is partitioned
into two subtrees T_1 and T_2 .

Theorem. The subtree T_1 is an MST of $G_1 = (V_1, E_1)$,
the subgraph of G *induced* by the vertices of T_1 :

$V_1 = \text{vertices of } T_1$,

$E_1 = \{ (x, y) \in E : x, y \in V_1 \}$.

Similarly for T_2 .



v1

edge

Proof of optimal substructure

Proof. Cut and paste:

$$w(T) = w(u, v) + w(T_1) + w(T_2).$$

If T_1' were a lower-weight spanning tree than T_1 for G_1 , then $T' = \{(u, v)\} \cup T_1' \cup T_2$ would be a lower-weight spanning tree than T for G .

Do we also have overlapping subproblems?

- *Yes*

dynamic programming can work

Proof of optimal substructure

Proof. Cut and paste:

$$w(T) = w(u, v) + w(T_1) + w(T_2).$$

If T_1' were a lower-weight spanning tree than T_1 for G_1 , then $T' = \{(u, v)\} \cup T_1' \cup T_2$ would be a lower-weight spanning tree than T for G .

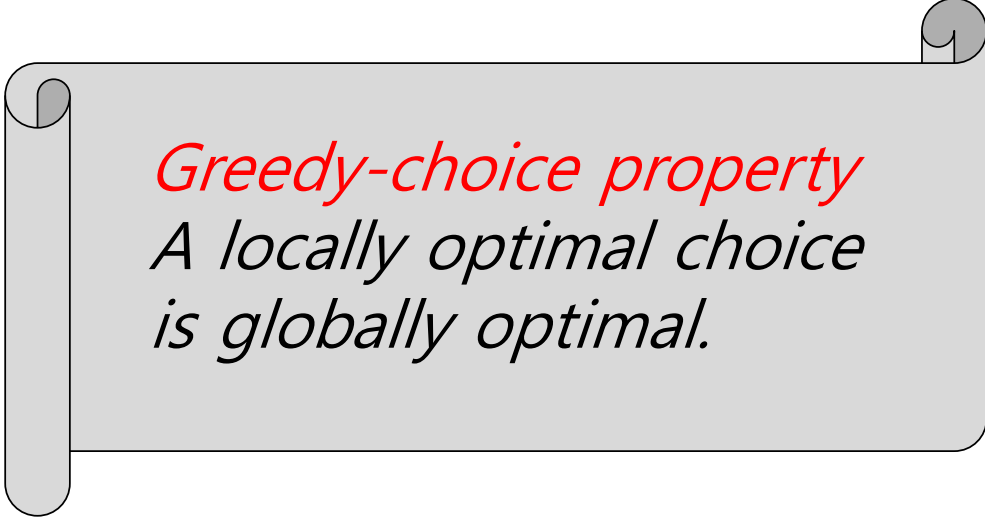
Do we also have overlapping subproblems?

Yes

Great, then dynamic programming may work!

- *Yes, but MST exhibits another powerful property which leads to an efficient algorithm.*

Hallmark for “greedy” algorithms

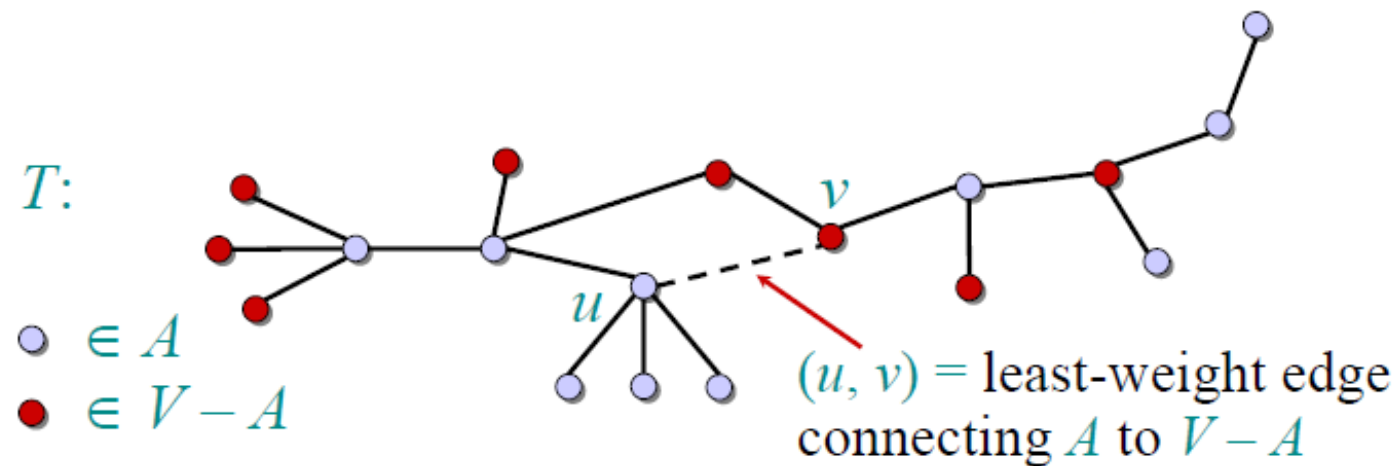


Greedy-choice property
A locally optimal choice
is globally optimal.

Theorem. Let T be the MST of $G = (V, E)$, and let $A \subseteq V$. Suppose that $(u, v) \in E$ is the least-weight edge connecting A to $V - A$. Then, $(u, v) \in T$.

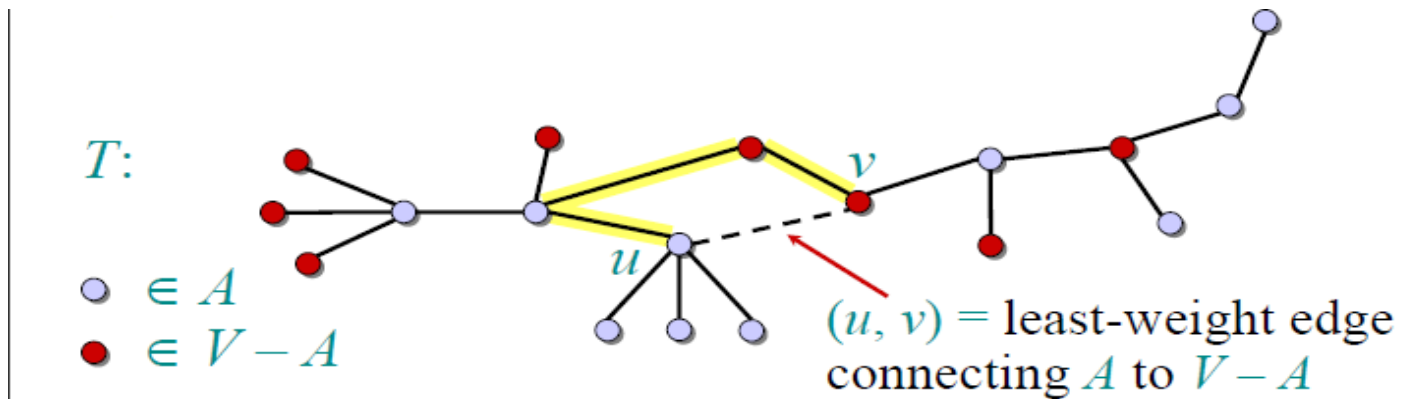
Proof of theorem

Proof. Suppose $(u, v) \notin T$. Cut and paste.



Proof of theorem

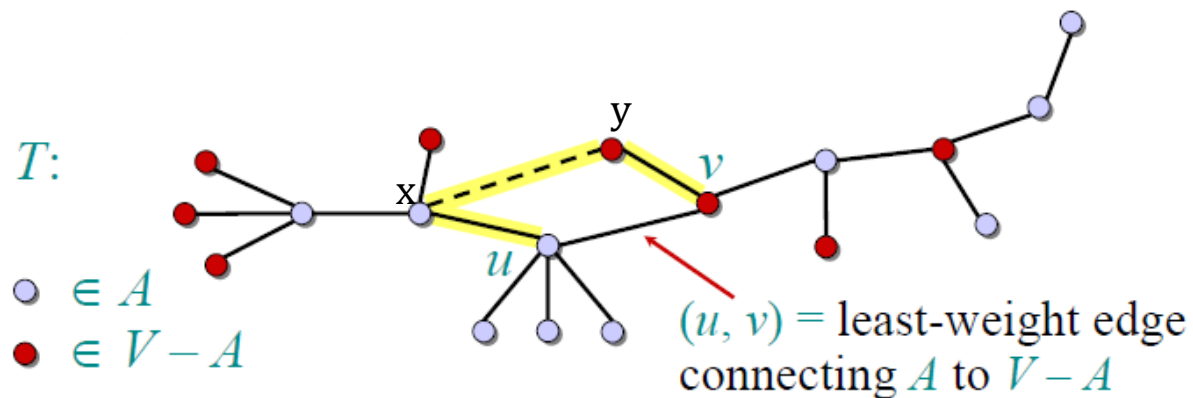
Proof. Suppose $(u, v) \notin T$. Cut and paste.



Consider the unique simple path from u to v in T .

Proof of theorem

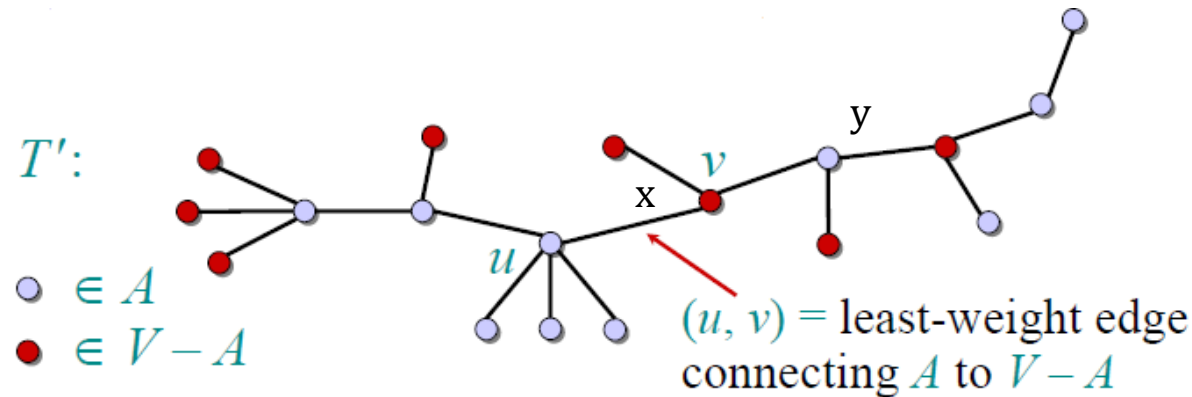
Proof. Suppose $(u, v) \notin T$. Cut and paste.



Consider the unique simple path from u to v in T .
Swap (u, v) with the first edge on this path that connects a vertex in A to a vertex in $V - A$.

Proof of theorem

Proof. Suppose $(u, v) \notin T$. Cut and paste.



Consider the unique simple path from u to v in T .

Swap (u, v) with the first edge on this path that connects a vertex in A to a vertex in $V-A$.

A lighter-weight spanning tree than T results.

$$T' = T - \{(x, y)\} \cup \{(u, v)\}$$



$$w(u, v) \leq w(x, y)$$

$$w(T') = w(T) - w(x, y) + w(u, v) \leq w(T)$$

$$w(T) \leq w(T')$$

$\therefore (u, v)$ is safe for A

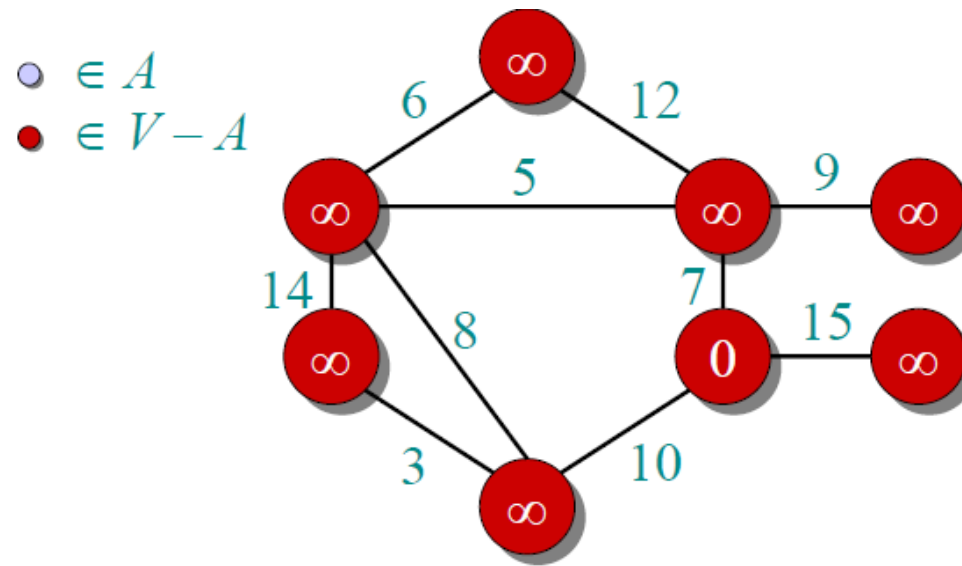
Prim's algorithm

IDEA: Maintain $V - A$ as a priority queue Q . **Key** each vertex in Q with the weight of the least-weight edge connecting it to a vertex in $A(MST)$.

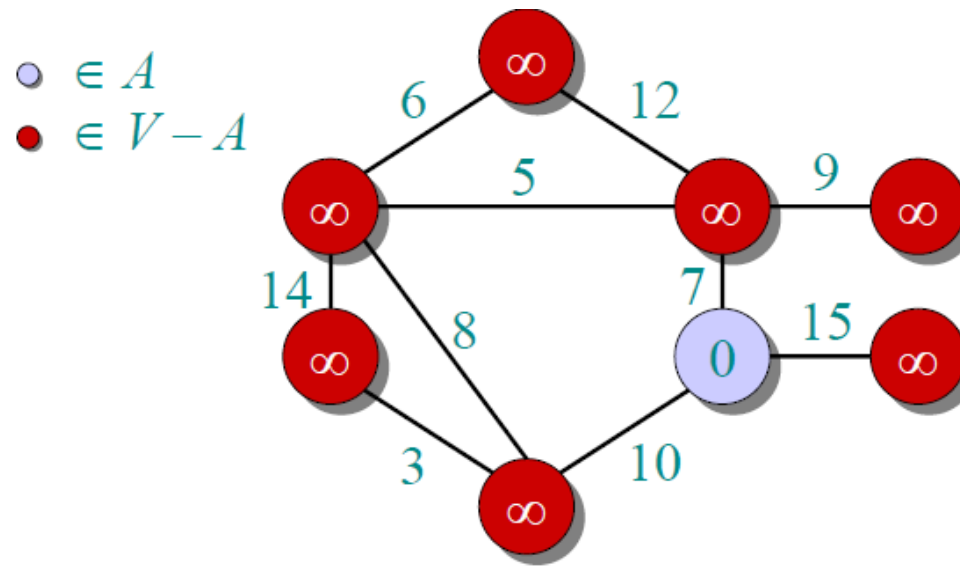
```
1  $Q \leftarrow V$ 
2  $key[v] \leftarrow \infty$  for all  $v \in V$ 
3  $key[s] \leftarrow 0$  for some arbitrary  $s \in V$ 
4 while  $Q \neq \emptyset$ 
5   do  $u \leftarrow \text{EXTRACT-MIN}(Q)$   $Q$  least weight edge
6   for each  $v \in \text{Adj}[u]$ 
7     do if  $v \in Q$  and  $w(u, v) < key[v]$ 
8       then  $key[v] \leftarrow w(u, v)$   $\triangleright$  DECREASE-KEY
9          $\pi[v] \leftarrow u$ 
```

At the end, $\{(v, \pi[v])\}$ forms the MST.

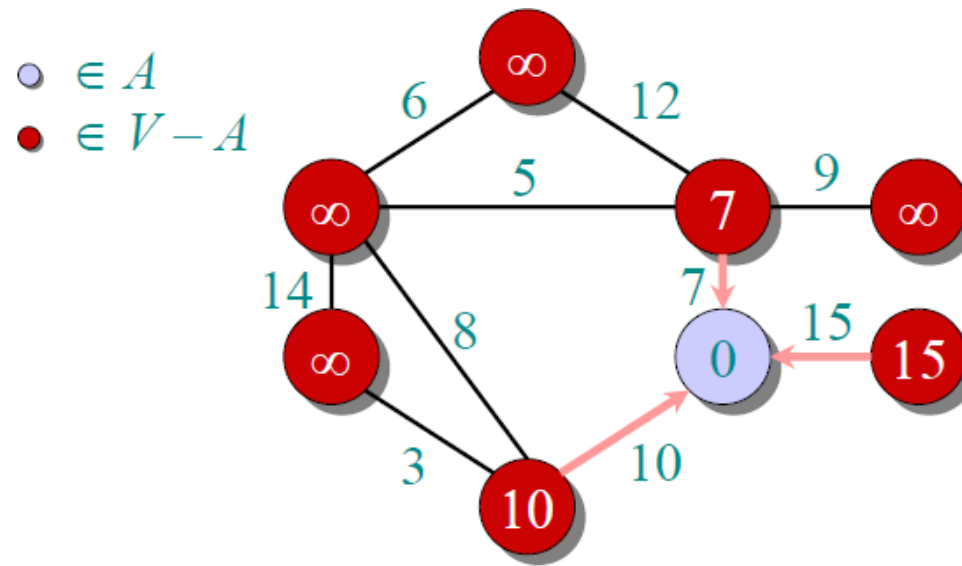
Example of Prim's algorithm



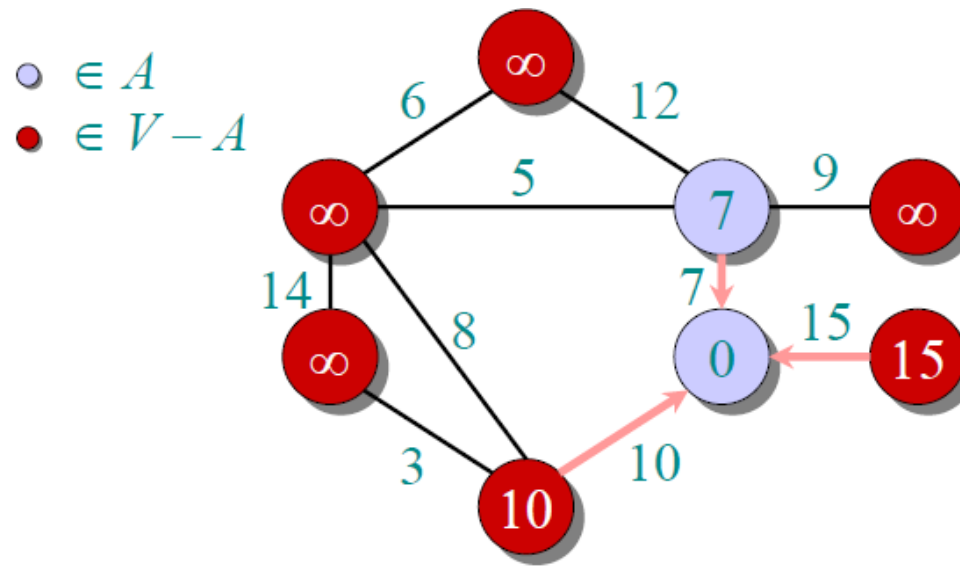
Example of Prim's algorithm



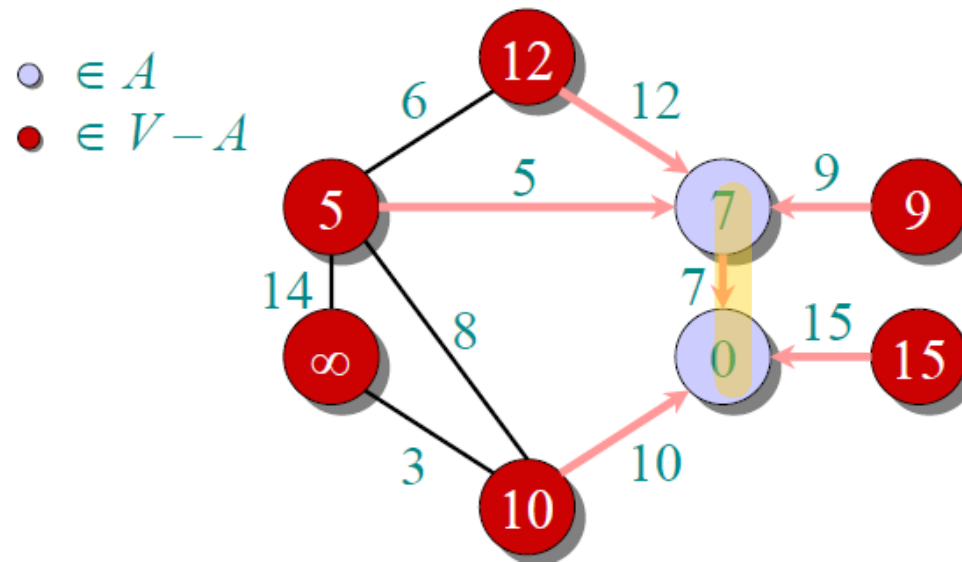
Example of Prim's algorithm



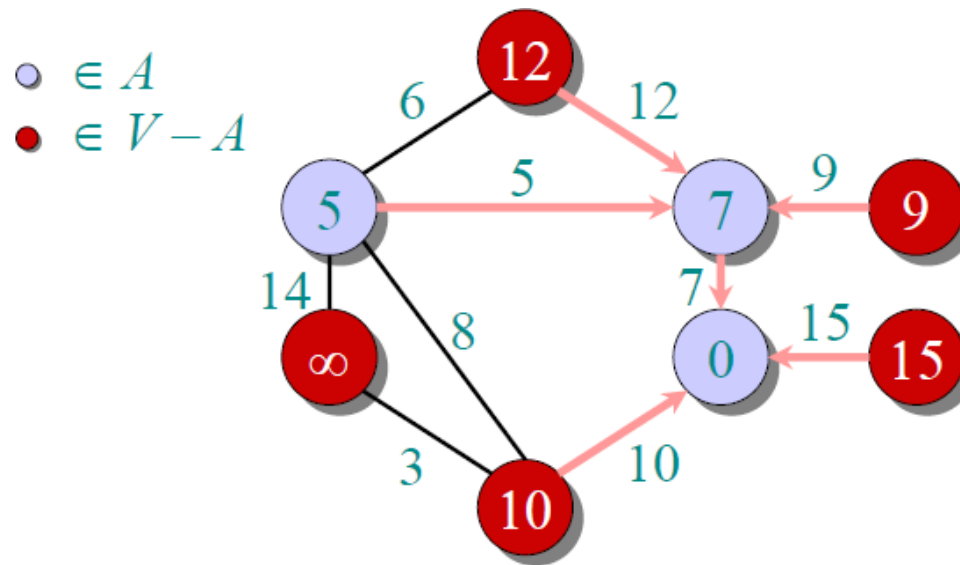
Example of Prim's algorithm



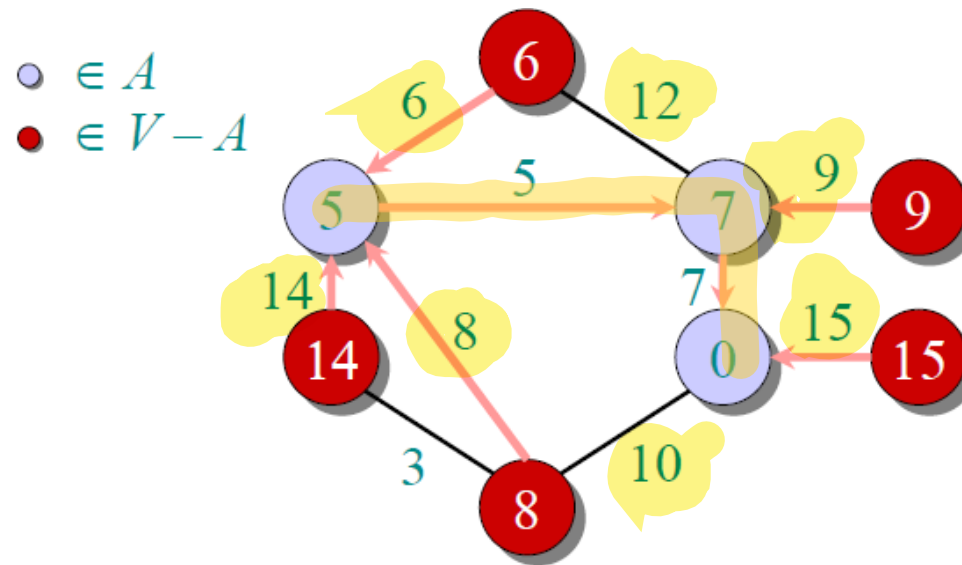
Example of Prim's algorithm



Example of Prim's algorithm

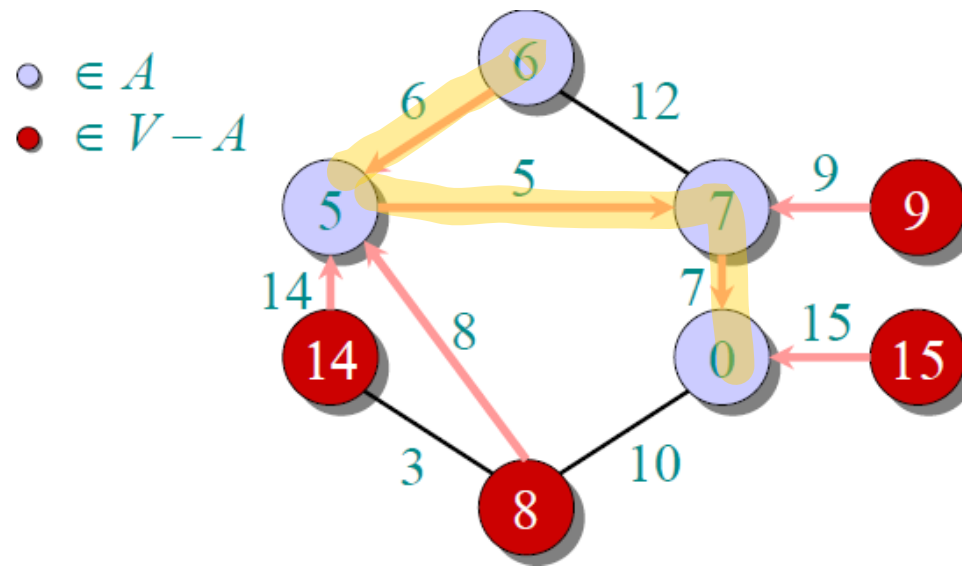


Example of Prim's algorithm



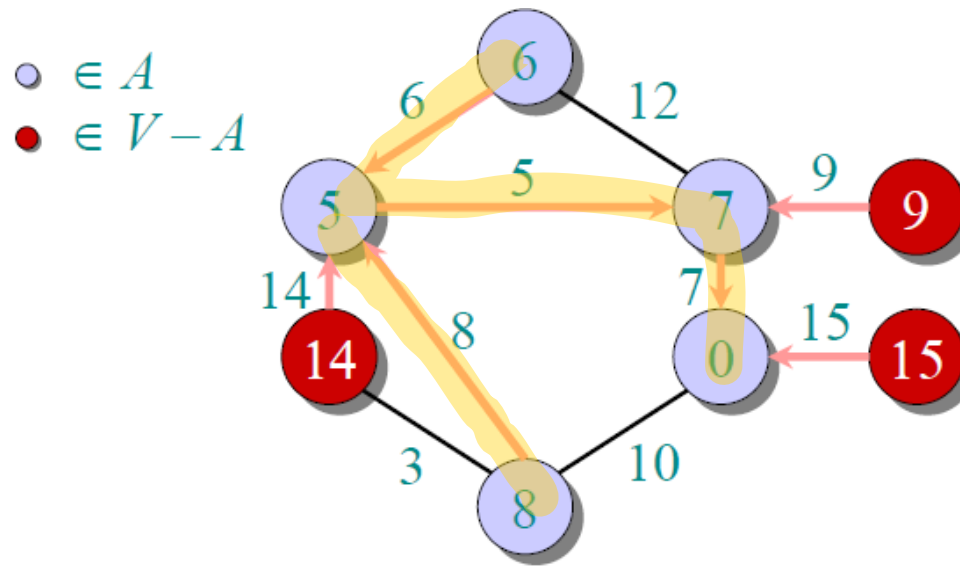
6

Example of Prim's algorithm

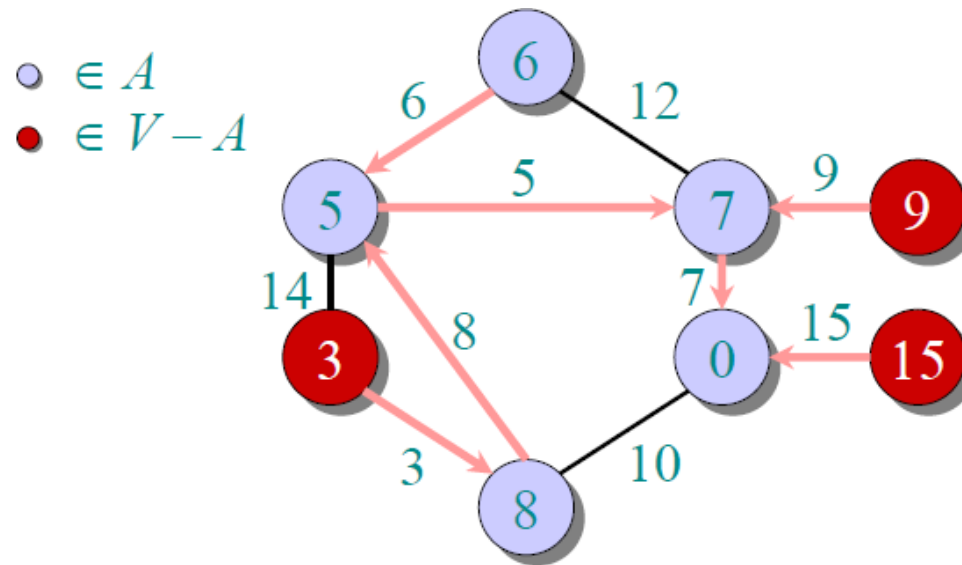


14 8 15 9

Example of Prim's algorithm



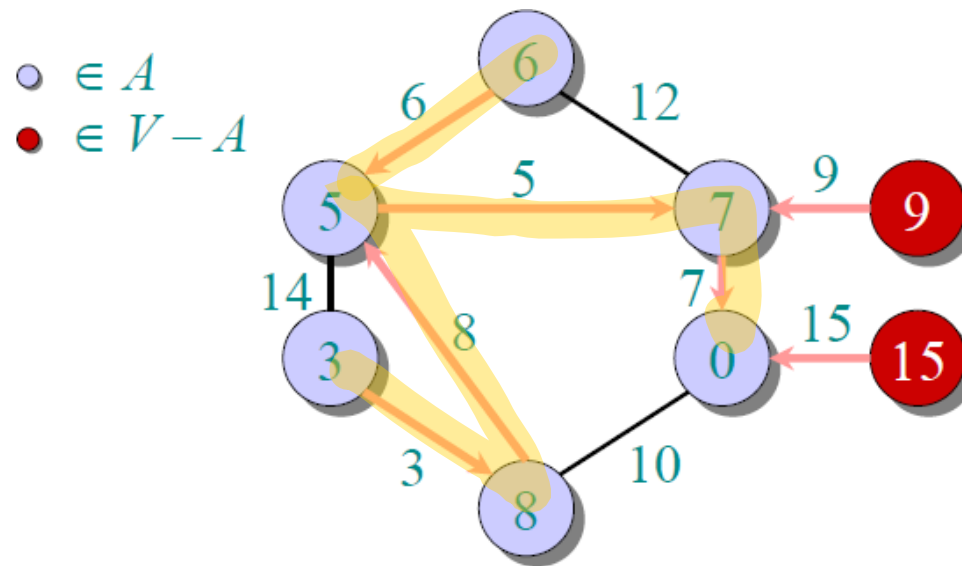
Example of Prim's algorithm



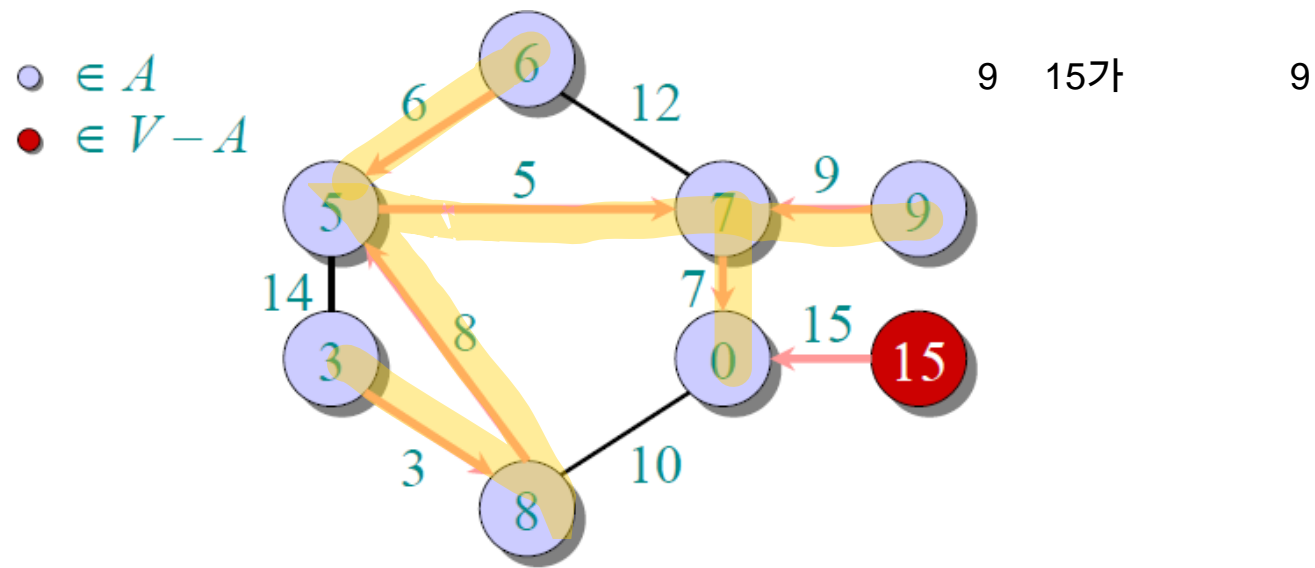
14가 3

3

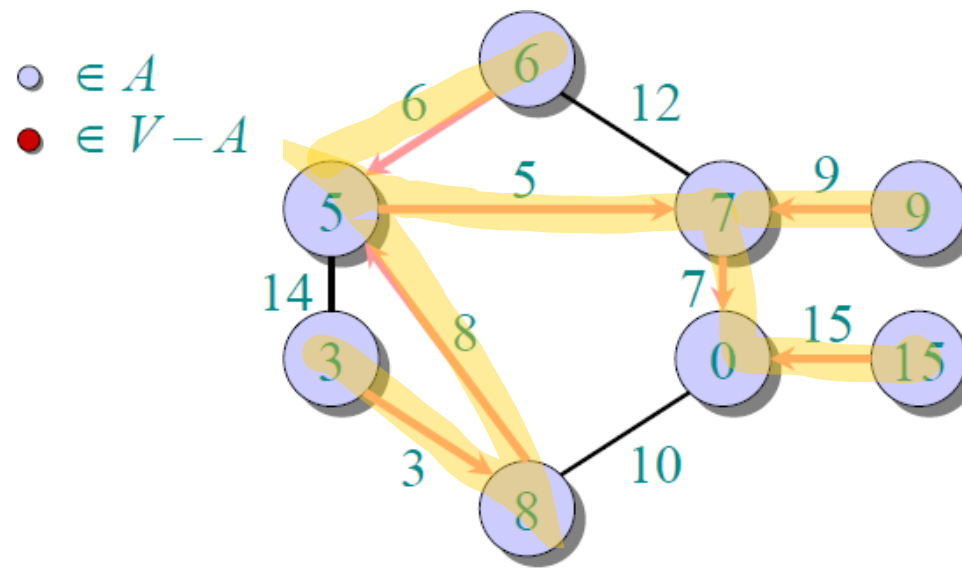
Example of Prim's algorithm



Example of Prim's algorithm



Example of Prim's algorithm



Analysis of Prim

$$\begin{array}{l}
 \Theta(V) \text{ total} \left\{ \begin{array}{l} Q \leftarrow V \\ \text{key}[v] \leftarrow \infty \text{ for all } v \in V \\ \text{key}[s] \leftarrow 0 \text{ for some arbitrary } s \in V \end{array} \right. \\
 |V| \text{ times} \left\{ \begin{array}{l} \text{while } Q \neq \emptyset \\ \text{do } u \leftarrow \text{EXTRACT-MIN}(Q) \text{ } \textit{Using Binary Heap} \\ \text{degree}(u) \text{ times} \left\{ \begin{array}{l} \text{for each } v \in \text{Adj}[u] \\ \text{do if } v \in Q \text{ and } w(u, v) < \text{key}[v] \\ \text{then } \text{key}[v] \leftarrow w(u, v) \\ \pi[v] \leftarrow u \end{array} \right. \end{array} \right.
 \end{array}$$

Handshaking Lemma $\Rightarrow O(E)$ implicit DECREASE-KEY's.

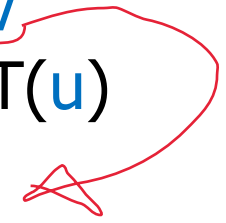
$$\text{Time} = O(V) \cdot T_{\text{EXTRACT-MIN}} + O(E) \cdot T_{\text{DECREASE-KEY}} = O(V \log V) + O(E \log V) = O(E \log V)$$

Kruskal's algorithm

Idea: Start with a forest of single-node trees. Grow MST by repeatedly adding a light edge from all edges connecting two different trees in the forest. Such a light edge is safe by corollary.

가 edge 가 MST grow .

Implementation: Sort the edges in non-decreasing order of weights. Using a *disjoint-set (union-find) data structure*, u and v are vertices of the same tree if and only if $\text{FIND-SET}(u) = \text{FINDSET}(v)$.



depth가

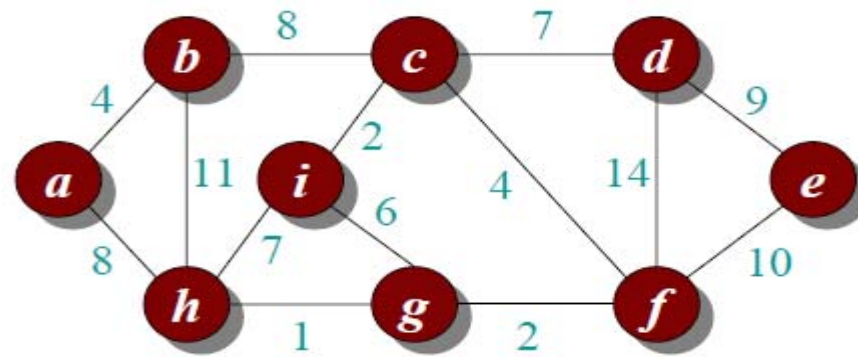
Kruskal's algorithm

MST-KRUSKAL(G, w)

```

1  $A \leftarrow \emptyset$ 
2 for each vertex  $v \in V[G]$  do
3   MAKE-SET( $v$ )
4 sort  $E$  in nondecreasing order of  $w$  values
5 for each edge  $(u, v) \in E$ , in sorted order, do
6   if FIND-SET( $u$ )  $\neq$  FIND-SET( $v$ ) then
7      $A \leftarrow A \cup \{(u, v)\}$ 
8     UNION( $u, v$ )
9 return  $A$ 

```



edge 가

Disjoint-set collection:

$\{\{a\}, \{b\}, \{c\}, \{d\}, \{e\}, \{f\}, \{g\}, \{h\}, \{i\}\}$

Kruskal's algorithm

- Edge (g, h) is safe.

MST-KRUSKAL(G, w)

$A \leftarrow \emptyset$

for each vertex $v \in V[G]$ **do**

MAKE-SET(v)

sort E in nondecreasing order of w values

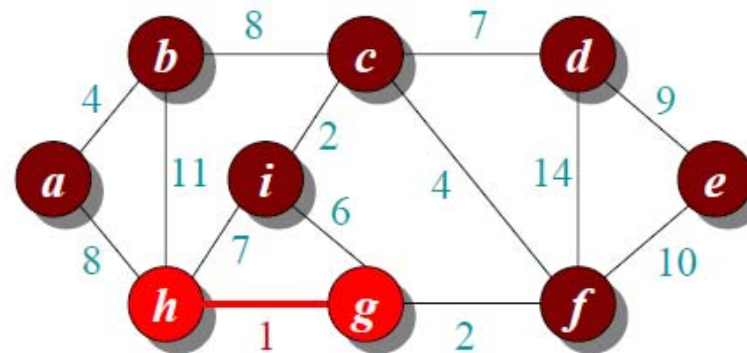
for each edge $(u, v) \in E$, in sorted order, **do**

if FIND-SET(u) \neq FIND-SET(v) **then**

$A \leftarrow A \cup \{(u, v)\}$

UNION(u, v)

return A



$\{1, 2, 2, 4, 4, 6, 7, 7, 8, 8, 9, 10, 11, 14\}$

set

Disjoint-set collection:

$\{\{a\}, \{b\}, \{c\}, \{d\}, \{e\}, \{f\}, \{g, h\}, \{i\}\}$

Kruskal's algorithm

- Edge (c, i) is safe.

MST-KRUSKAL(G, w)

$A \leftarrow \emptyset$

for each vertex $v \in V[G]$ **do**

 MAKE-SET(v)

sort E in nondecreasing order of w values

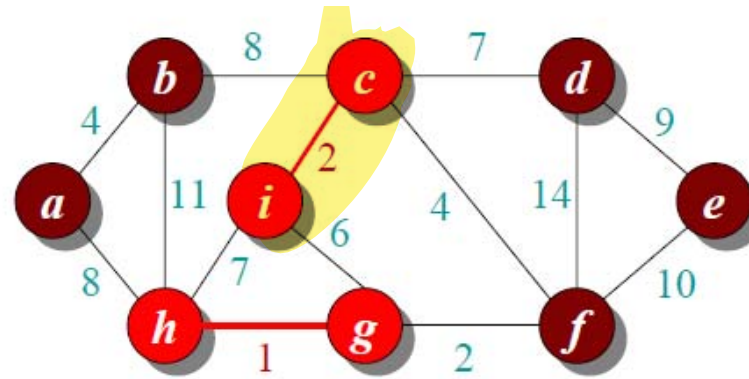
for each edge $(u, v) \in E$, in sorted order, **do**

if FIND-SET(u) \neq FIND-SET(v) **then**

$A \leftarrow A \cup \{(u, v)\}$

 UNION(u, v)

return A



Disjoint-set collection:

$\{\{a\}, \{b\}, \{c, i\}, \{d\}, \{e\}, \{f\}, \{g, h\}\}$

Kruskal's algorithm

- Edge (f, g) is safe.

MST-KRUSKAL(G, w)

$A \leftarrow \emptyset$

for each vertex $v \in V[G]$ **do**

 MAKE-SET(v)

sort E in nondecreasing order of w values

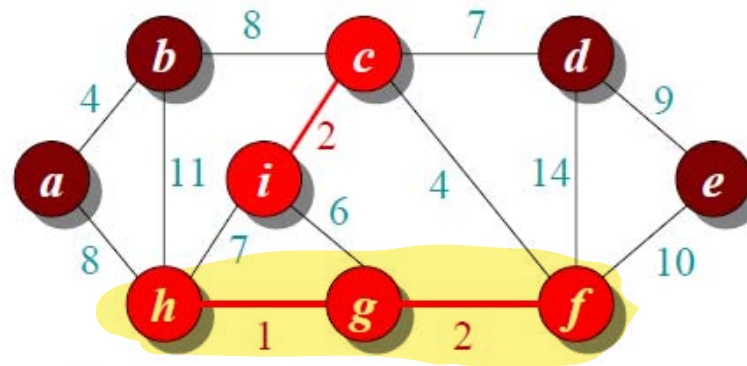
for each edge $(u, v) \in E$, in sorted order, **do**

if FIND-SET(u) \neq FIND-SET(v) **then**

$A \leftarrow A \cup \{(u, v)\}$

 UNION(u, v)

return A



Disjoint-set collection:

$\{\{a\}, \{b\}, \{c, i\}, \{d\}, \{e\}, \{f, g, h\}\}$

Kruskal's algorithm

- Edge (a, b) is safe.

MST-KRUSKAL(G, w)

$A \leftarrow \emptyset$

for each vertex $v \in V[G]$ **do**

 MAKE-SET(v)

sort E in nondecreasing order of w values

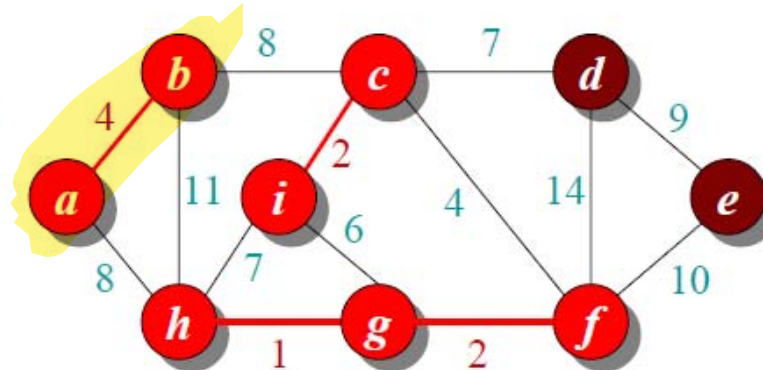
for each edge $(u, v) \in E$, in sorted order, **do**

if FIND-SET(u) \neq FIND-SET(v) **then**

$A \leftarrow A \cup \{(u, v)\}$

 UNION(u, v)

return A



Disjoint-set collection:

$\{\{a, b\}, \{c, i\}, \{d\}, \{e\}, \{f, g, h\}\}$

Kruskal's algorithm

- Edge (c, f) is safe.

MST-KRUSKAL(G, w)

$A \leftarrow \emptyset$

for each vertex $v \in V[G]$ **do**

 MAKE-SET(v)

sort E in nondecreasing order of w values

for each edge $(u, v) \in E$, in sorted order, **do**

if FIND-SET(u) \neq FIND-SET(v) **then**

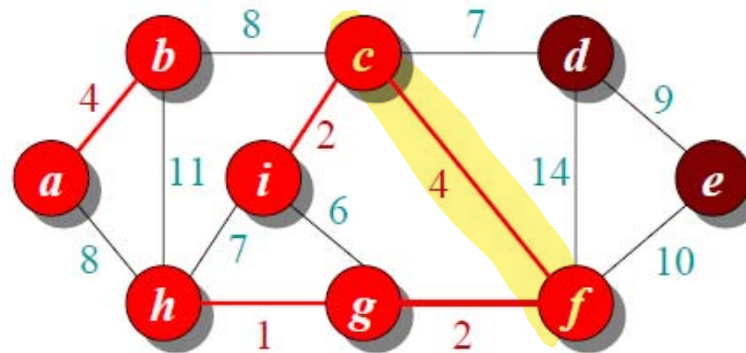
$A \leftarrow A \cup \{(u, v)\}$

 UNION(u, v)

return A

Disjoint-set collection:

$\{\{a, b\}, \{c, f, g, h, i\}, \{d\}, \{e\}\}$



Kruskal's algorithm

- Edge (g, i) is unsafe.

MST-KRUSKAL(G, w)

$A \leftarrow \emptyset$

for each vertex $v \in V[G]$ **do**

 MAKE-SET(v)

sort E in nondecreasing order of w values

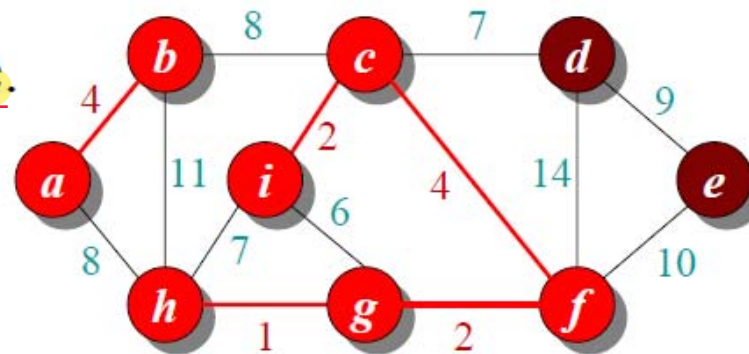
for each edge $(u, v) \in E$, in sorted order, **do**

if FIND-SET(u) \neq FIND-SET(v) **then**

$A \leftarrow A \cup \{(u, v)\}$

 UNION(u, v)

return A



Disjoint-set collection:

$\{\{a, b\}, \{c, f, g, h, i\}, \{d\}, \{e\}\}$

Kruskal's algorithm

- Edge (c, d) is safe.

MST-KRUSKAL(G, w)

$A \leftarrow \emptyset$

for each vertex $v \in V[G]$ **do**

 MAKE-SET(v)

sort E in nondecreasing order of w values

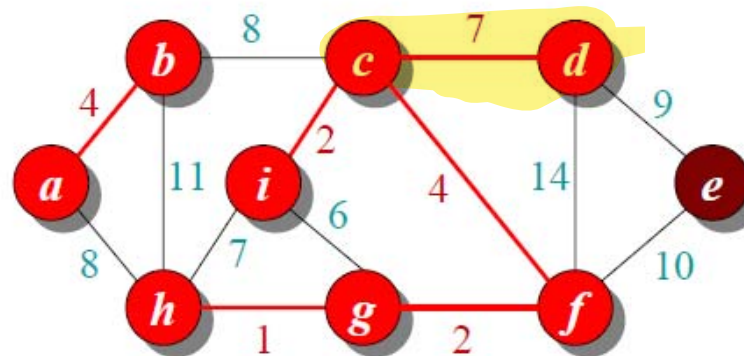
for each edge $(u, v) \in E$, in sorted order, **do**

if FIND-SET(u) \neq FIND-SET(v) **then**

$A \leftarrow A \cup \{(u, v)\}$

 UNION(u, v)

return A

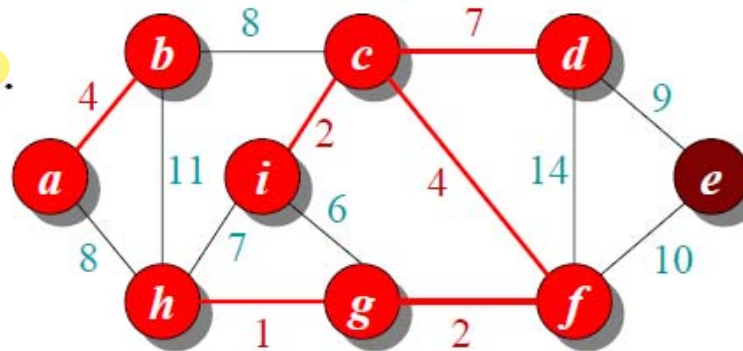


Disjoint-set collection:

$\{\{a, b\}, \{c, d, f, g, h, i\}, \{e\}\}$

Kruskal's algorithm

- Edge (h, i) is unsafe.

MST-KRUSKAL(G, w)
$$A \leftarrow \emptyset$$
for each vertex $v \in V[G]$ do

MAKE-SET(v)

sort E in nondecreasing order of w values

for each edge $(u, v) \in E$, in sorted order, **do**

if FIND-SET(u) \neq FIND-SET(v) then

$$A \leftarrow A \cup \{(u, v)\}$$
UNION(u, v)return A

Disjoint-set collection:

$$\{\{a, b\}, \{c, d, f, g, h, i\}, \{e\}\}$$

Kruskal's algorithm

- Edge (b, c) is safe.

MST-KRUSKAL(G, w)

$A \leftarrow \emptyset$

for each vertex $v \in V[G]$ **do**

 MAKE-SET(v)

sort E in nondecreasing order of w values

for each edge $(u, v) \in E$, in sorted order, **do**

if FIND-SET(u) \neq FIND-SET(v) **then**

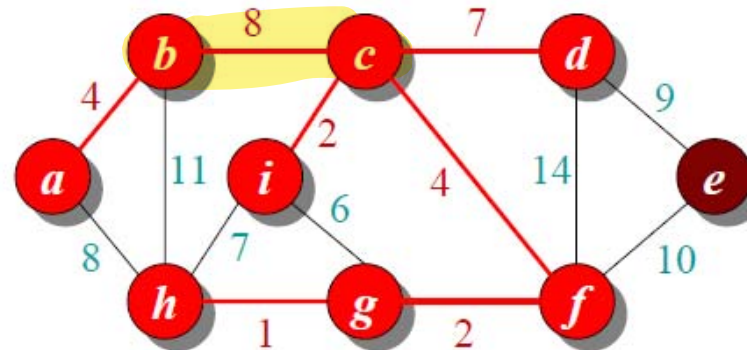
$A \leftarrow A \cup \{(u, v)\}$

 UNION(u, v)

return A

Disjoint-set collection:

$\{\{a, b, c, d, f, g, h, i\}, \{e\}\}$



Kruskal's algorithm

- Edge (a, h) is unsafe.

MST-KRUSKAL(G, w)

$A \leftarrow \emptyset$

for each vertex $v \in V[G]$ **do**

MAKE-SET(v)

sort E in nondecreasing order of w values

for each edge $(u, v) \in E$, in sorted order, **do**

if FIND-SET(u) \neq FIND-SET(v) **then**

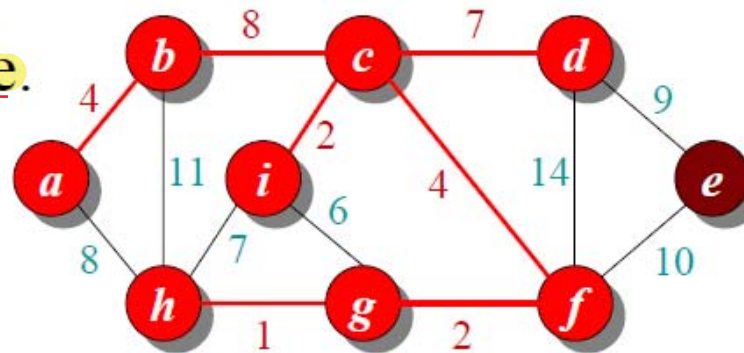
$A \leftarrow A \cup \{(u, v)\}$

UNION(u, v)

return A

Disjoint-set collection:

$\{\{a, b, c, d, f, g, h, i\}, \{e\}\}$



Kruskal's algorithm

- Edge (d, e) is safe.

MST-KRUSKAL(G, w)

$A \leftarrow \emptyset$

for each vertex $v \in V[G]$ **do**

 MAKE-SET(v)

sort E in nondecreasing order of w values

for each edge $(u, v) \in E$, in sorted order, **do**

if FIND-SET(u) \neq FIND-SET(v) **then**

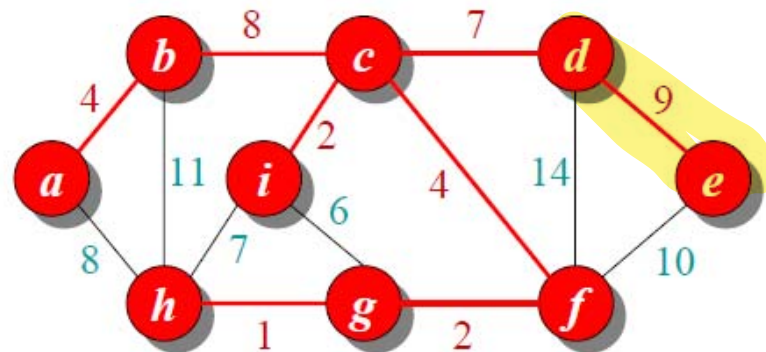
$A \leftarrow A \cup \{(u, v)\}$

 UNION(u, v)

return A

Disjoint-set collection:

$\{\{a, b, c, d, e, f, g, h, i\}\}$



Kruskal's algorithm

- Edge (e, f) is unsafe.

MST-KRUSKAL(G, w)

$A \leftarrow \emptyset$

for each vertex $v \in V[G]$ **do**

MAKE-SET(v)

sort E in nondecreasing order of w values

for each edge $(u, v) \in E$, in sorted order, **do**

if FIND-SET(u) \neq FIND-SET(v) **then**

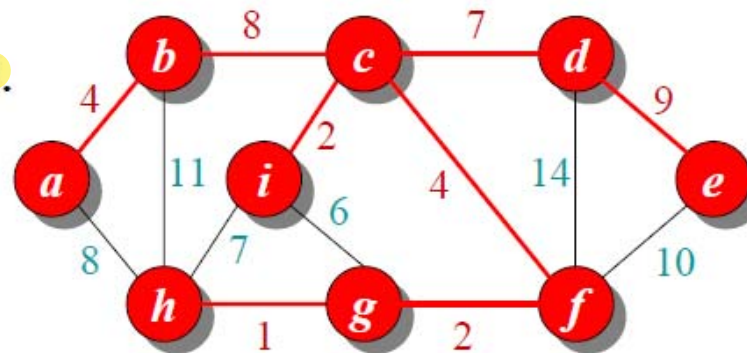
$A \leftarrow A \cup \{(u, v)\}$

UNION(u, v)

return A

Disjoint-set collection:

$\{\{a, b, c, d, e, f, g, h, i\}\}$



Kruskal's algorithm

- Edge (b, h) is unsafe.

MST-KRUSKAL(G, w)

$A \leftarrow \emptyset$

for each vertex $v \in V[G]$ **do**

 MAKE-SET(v)

sort E in nondecreasing order of w values

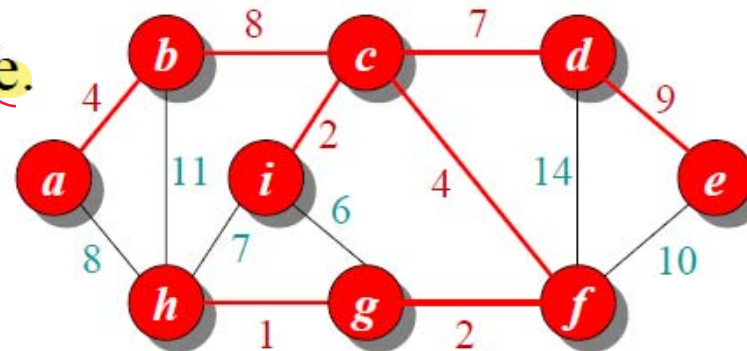
for each edge $(u, v) \in E$, in sorted order, **do**

if FIND-SET(u) \neq FIND-SET(v) **then**

$A \leftarrow A \cup \{(u, v)\}$

 UNION(u, v)

return A



Disjoint-set collection:

$\{\{a, b, c, d, e, f, g, h, i\}\}$

Kruskal's algorithm

- Edge (d, f) is unsafe.

MST-KRUSKAL(G, w)

$A \leftarrow \emptyset$

for each vertex $v \in V[G]$ **do**

 MAKE-SET(v)

sort E in nondecreasing order of w values

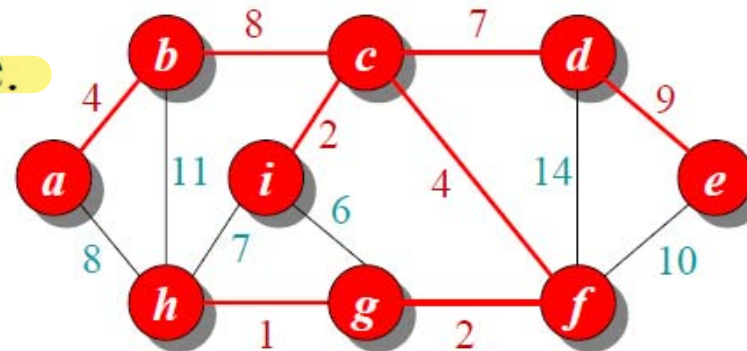
for each edge $(u, v) \in E$, in sorted order, **do**

if FIND-SET(u) \neq FIND-SET(v) **then**

$A \leftarrow A \cup \{(u, v)\}$

 UNION(u, v)

return A



Disjoint-set collection:

$\{\{a, b, c, d, e, f, g, h, i\}\}$

MST-KRUSKAL Pseudocode

- MST-KRUSKAL(G, w)
 1. $A = \{ \}$
 2. For each vertex $v \in G.V$
 3. MAKE-SET(v)
 4. sort the edges of $G.E$ into nondecreasing order by weight w
 5. For each edge $(u, v) \in G.E$, taken in nondecreasing order by weight
 6. if FIND-SET(u) \neq FIND-SET(v)
 7. $A = A \cup \{(u, v)\}$
 8. UNION(u, v)
 9. return A

Kruskal's algorithm

Theorem On a disjoint-set forest with union by rank and path compression, a sequence of m MAKE-SET, FIND-SET, and UNION operations, n of which are MAKE-SET operations, take $O(m \alpha(n))$ time, where α is a very slowly growing function.

Kruskal's algorithm makes $|V|$ MAKE-SET operations, $2|E|$ FIND-SET operations, and $|V| - 1$ UNION operations. Since G is connected, $|E| \geq |V| - 1$, and so the total number of disjoint set operations is $2|E| + |V| - 1 = O(E)$. Running time is thus $O(E \alpha(V)) = O(E \lg V) = O(E \lg E)$.

Problem 9: Saving ink

- Susan likes to make a line drawing with ink. There're several dots on drawing paper. Your job is to tell Susan how to connect the dots so as to minimize the amount of ink used.
 - Susan connects the dots by drawing straight lines between pairs, possibly lifting the pen between lines.
 - When Susan is done there must be a sequence of connected lines from any dot to any other dot.
- Test using 3 different data sets (test cases).

Problem 9: Saving ink

- Input

- The input begins with a single positive integer on a line by itself indicating the number of dots ($0 < n < 30$) on drawing paper. For each dots, a line follows; each following line contains two real numbers indicating the (x, y) coordinates of the dots.

- Output

- Your program must print a single real number to two decimal places: the minimum total length of ink lines that can connect all the dots.

Problem 9: Saving ink

- Sample input

- 3
- 1.0 1.0
- 2.0 2.0
- 2.0 4.0

- Sample output

✓3.41

Problem 9: Data sets for Saving ink

- Data sets

3	4	5
1	1	1
2	2	2
2	4	1
	3	2
	1	2
		5
3.41	5.83	7.24

THANK YOU

