Final Year Project Report

A Theorem Proving Assistant

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Project Specification

General Information

Calculational Theorem Proving is the name given to a particular style of mathematical proof which was developed during the 1980s by Feijen and Dijkstra. It emphasises the syntactic manipulation of expressions rather than manipulation based on an interpretation of the expression. In doing so it tries to let the notation do the work.

In order to do so the choice of notation and they layout of the proofs are important.

This style of proof has been taught in a number of our undergraduate modules over the last 10 years and is probably quite familiar to all of our undergraduates. An example proof would have been included here but the special symbols for the boolean operators were not available.

The aim of this project is to develop a tool which will assist the person proving the theorem by performing basic housekeeping tasks. So for example, the user should be able to select a sub-expression, select an appropriate rule to transform it, and have the system carry out the transformation and record the appropriate hint to show which rule was used and what the variable bindings were. It is important to mention that we are not interested in automatic theorem proving, the user will still be required to drive the proof but the system will perform the matching and applying of the rules.

It should be possible to store and retrieve partial or complete proofs. It should be possible to undo a series of steps and select different rules to apply. Once a theorem is proved it should be possible to add it to the rule base so it can be used in future proofs.

Mandatory Requirements

○ The design of algorithms to a selected sub-expression with a subexpression of a rule.
○ The design of an interface to allow users to select subexpressions and rules to apply.
○ The design of appropriate algorithms to allow partial and complete proofs to be stored and retrieved.
○ The design of appropriate algorithms to allow the user to undo steps in a proof.
○ The implementation of the above functionality.

Discretionary Requirements

○ Incorporating quantified expressions and their manipulation rules in the system.

Exceptional Requirements

○ Incorporating additional small calculii such as Max/Min, Floor/Ceiling and GCD/LCM into the system.

Suggested Reading

○ http://www.cs.utexas.edu/ EWD/
○ http://www.mathmeth.com/
○ Program Construction by Roland Backhouse
○ A logical approach to discrete maths by David Gries

Abstract

Context

This report introduces the reader to predicate calculus and theorem proving in the style used by Dijkstra. It highlights the features of it that lend themselves to a computer application. Proving boolean theorems is a pattern matching excersise without need for interpretation of the expression at each stage of the proof. This report explores issues and solutions for building a software package which facilitates theorem proving in a clear and easy manor. A software package written in Java is presented from both user experience and technical development points of view.

Methodology

An exploratory approach was taken to not only resolving the major issues but finding them aswell. There is no road map to follow so a lot of time and resources were put into paths that led nowhere. In order to carry out this project algorithms were defined which were relied upon throughout, some of which have proven to be flawed and others invaluable. These will be discussed. A modular approach was taken to the software development, focusing compleleting small tasks and proving their correctness before moving on to the next.

Findings

Several major algorithms were defined to carry out the steps needed for theorem proving with the assistance of software. These algorihtms were implemented in Java and a fully functioning software package was produced. It facilitates theorem proving over the predicate calculus with quantified expressions as well as other small calculii such as max/min and floor/ceiling.

Acknowledgments

Thank you kindly

Introduction

It's what we do as humans, it's what differs us from animals, we look for connections and patterns. As soon as we see something we can associate with we grab onto it, it's for that reason we have developed as a society, and and animals not, not only do we grab onto it we attempt to continue with it, to push it further, it's amazing really,

my project is essentially pattern matching, i find it fascinating how we can put things together so easily, yet in order to yet a computer to do this it's impossible! like if I tell you "x+y" is that same as "y+x" what can you tell me about "p+q"

try and think of the simple logical steps you would have to define in order to tell a computer this, what about "x+(p*q)" clearly it's the same as "(p*q)+x"

are you going to list every possible combination? that's never going to cut it

try and define the essence of it, so a computer can figure it out on it's own, the issue is that you won't be able too, It's in our nature to put these things together, a computer on the other hand,,, stupid as hell

Introduction to Dijykstra's Theorem Proving Style

Predicate calculus theorem proving works like this: given a set of axioms and theorems (rules) one can calculate, or derive, further theorems. Relying on those derived previously a full calculus can be evolved. This process relies heavily on pattern matching and this notion is vitaly important. With each step of a proof a hint is given which tells the reader which rule was used and what assignment was made to each variable in that rule. Dijykstra states that the notation used is vitally important for a clear and easy to read proof [1].

Prelimniaries

Before giving an example proof over the boolean domain we must set some conventions for notation and give information about operators.

- Upper case letters such as X,Y and Z will be used as boolean identifiers.

- For this brief introduction I am only going to introduce 3 operators:

    Conjunction - boolean multiplication: $\wedge$ reads as "and".

    Disjunction - boolean addition: $\vee$ reads as"or".

    Equivalence - boolean equals: $\equiv$ reads as "equal".

- Equivalence holds the lowest precedence of the three operators and conjunction and disjunction share equal precedence meaning explicit bracketing must sometimes be used.

- Brackets can be introduced as long as precedence and operators operands are respected.

- Brackets can be removed under the same conditions as removal.

- Rules will be numbered and named and be referenced to by their number or name.

- Each new line of a proof will be preceeded by an assignment and the corresponding rule. We call this "the hint".
  eg. $\{(X,Y := Z,Y).(5)\}$ reads as X and Y are assigned the values Z and Y respectively in rule 5.

- Axioms will be denoted with a $*$ to the left of it's number and theorems with a $\cdot$.

In order to give a sample proof I provide the following set of axioms and theorems.

$$* \ (0) \ [(X \equiv (Y \equiv Z)) \equiv ((X \equiv Y) \equiv Z)] \qquad \equiv \text{ associative}$$
$$* \ (1) \ [X \equiv Y \equiv Y \equiv X] \qquad \equiv \text{ symmeetric}$$
$$* \ (2) \ [X \equiv true \equiv X] \qquad \equiv \text{ identity}$$
$$\cdot \ (3) \ [X \equiv X] \qquad \equiv \text{ reflexive}$$
$$\cdot \ (4) \ [true] \qquad \text{true}$$
$$* \ (5) \ [X \vee Y \equiv Y \vee X] \qquad \vee \text{ symmetric}$$
$$* \ (6) \ [X \vee (Y \vee Z) \equiv (X \vee Y) \vee Z] \qquad \vee \text{ associative}$$
$$* \ (7) \ [X \vee X \equiv X] \qquad \vee \text{ idempotent}$$
$$* \ (8) \ [X \vee (Y \equiv Z) \equiv X \vee Y \equiv X \vee Z] \qquad \vee/\equiv$$

A Sample Proof

We will now attempt to prove that disjuntion distributes over its self.

$$
\begin{aligned}
& (X \lor Y) \lor (X \lor Z) \\
\equiv \quad & \{(X, Y, Z := (X \lor Y), X, Z).(6)\} \\
& ((X \lor Y) \lor X) \lor Z \\
\equiv \quad & \{(X, Y := (X \lor Y), X)).(5)\} \\
& (X \lor (X \lor Y)) \lor Z \\
\equiv \quad & \{(X, Y, Z := (X, X, Y).(6)\} \\
& ((X \lor X) \lor Y) \lor Z \\
\equiv \quad & \{(X := X).(7)\} \\
& ((X) \lor Y) \lor Z \\
\equiv \quad & \{remove\ brackets\} \\
& (X \lor Y) \lor Z \\
\equiv \quad & \{(X, Y, Z := (X, Y, Z).(6)\} \\
& X \lor (Y \lor Z)
\end{aligned}
$$

This has yielded a new theorem so we shall record it so it is available for use in future proofs.

$$\cdot\ (9)\ [(X \lor Y) \lor (X \lor Z) \equiv X \lor (Y \lor Z)] \qquad\qquad \lor/\lor$$

Note that in the above proof no interpretation of any of the boolean expressions was done. It is purely a pattern matching exercise. At each step of the proof we used a single theorem to replace a section of an expression with an equivalent section. The theorem proving assistant functions by exploiting this feature of the proof style.

A second proof is provided here for demonstration. It proves that $P \lor true \equiv true$.

$$
\begin{aligned}
& P \lor true \\
\equiv \quad & \{(X ::= P).(2)\} \\
& P \lor (P \equiv P) \\
\equiv \quad & \{(X, Y, Z := P, P, P).(6)\} \\
& P \lor P \equiv P \lor P \\
\equiv \quad & \{(X := P).(7)\} \\
& P \equiv P \lor P \\
\equiv \quad & \{(X := P).(7)\} \\
& P \equiv P \\
\equiv \quad & \{(X := P).(2)\} \\
& true
\end{aligned}
$$

Again we record the proof:

$$\cdot\ (10)\ [P \lor true \equiv true] \qquad\qquad \lor zero$$

Further Notation and Calculii

The brief above introduction uses three operators, conjunction, disjunction and equivalance. Dijykstra's theorem proving style holds for many other operators and even other calculii. Those that are important for comprehension of this report and available for use in the Theorem Proving Assistant are described below.

Other Boolean Operators
Negation - $\neg$
Implication - $\Rightarrow$
Follows from - $\Leftarrow$
Not Equivalent - $\not\equiv$

Quantified Expressions
Quantified expressions are a way of gathering boolean expressions with the same operator, either conjunction or disjunction. They consist of 3 parts seperated by colons.

1. The quantifier and dummy
2. The range
3. The term

The entire expression is wrapped in open-angled brackets as demonstrated in the examples below.

$$\langle \forall i : r.i : f.i \vee X \rangle \text{ - For all i in the range r.i, f.i or X holds}$$
$$\langle \exists j :: g.j \rangle \text{ - There exists a j in all ranges where g.j holds}$$

The Floor/Ceiling Calculus
The floor/ceiling calculii are defined over the real numbers. The floor function rounds a number down to the next nearest integer and the ceiling function rounds a number up to the next nearest integer.

– Floor of x: $\lfloor x \rfloor$
– Ceiling of x: $\lceil x \rceil$

The Max/Min Calculus
The max and min calculii are also defined over the real numbers. The max function yields the greater of it's two operands and the min function yields the lesser.

– Max of x and y: $x \uparrow y$
– Min of x and y: $x \downarrow y$

Lattice Theory
I have no clue what to write :/

Introduction to The Theorem Proving Assistant

The Software

The theorem proving assistant is a user friendly software environment for assisting in proving predicate calculus theorems. It is not be an automated tool, but one which provides the user with a comprehensive and robust environment in which theorems can be proved. The software takes care of the "housework", such as automating the generation of the hint between each step.

The user is presented with an environment in which they prove theorems. To start a theorem the user needs an expression to work from. This is typed in in a psuedo language ****APENDIX FOR PSEUDO LAN-GUAGE**** and after clicking start a parser will transform the input into the correct form. For example: "X and Y" will be transformed into the expression "$X \wedge Y$".

The expression which the user is working with at any one time will be refered to as the current expression. In order to carry out steps of the proof the following steps are carried out by the user:

1. Select a theorem (or sub-expression of a theorem) to use as the current expression.

2. Select a sub-expression in the current expression (the software ensures that only a valid subexpression can be chosen).

3. Choose a theorem to apply.

4. Make a selection of which replacement/assigment to use from that theorem from a list of options.

5. View the new expression generated with a hint step describing which theorem and what assigment was used.

6. Continue to carry out steps the new expression as the current expression until a conclusion has been drawn.

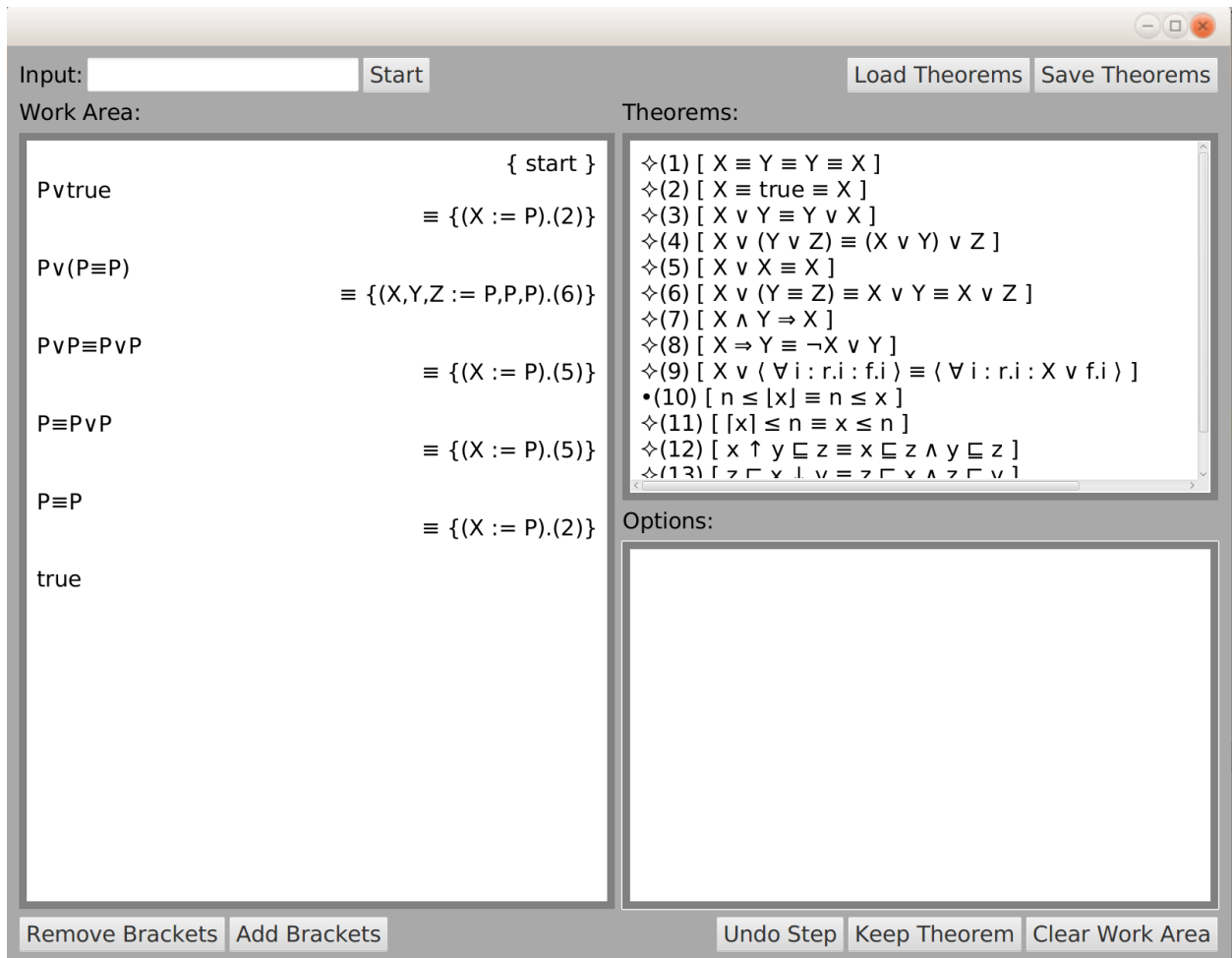7. Save the proven theorem as a new theorem for future use.

Further functionality allows the user to add and remove brackets where appropriate, undo a step, clear the work area and load or save theorem sets.

The Interface

The interface consits of three distinct areas. The left hand side is refered to as the work area. It is here where the current expression and all steps taken to derive it will be displayed. It is here that a user will select a sub-expression of the current expression as described in step 2 above.

The righthand side of the interface will constists of both an area where a list of numbered theorems are displayed and also an area for replacement options to be displayed. The list of theorems will be made up of loaded axioms as well as theorems that have been previously derived and saved by the user. It is here that a theorem will be chosen as described in step 3 above and the replacement options generated will be displayed in the options area. The user also has the ability to explore a theorem by clicking on it when the work area is clear. This "exploration" shows the theorems derivation and which steps were taken in order to derive it as well as giving the option to either delete a theorem or continue work on it.

The 3 areas are surrounded by several buttons as well as the input box for generating the starting step of a proof. The buttons are used to access the functionality of the theorem proving assistant.

Input: [   ] Start   Load Theorems   Save Theorems

Work Area: Theorems:

{ start }   ✧(1) [X ≡ Y ≡ Y ≡ X]
P∨true   ✧(2) [X ≡ true ≡ X]
                    ≡ {(X := P).(2)}   ✧(3) [X ∨ Y ≡ Y ∨ X]
                                                    ✧(4) [X ∨ (Y ∨ Z) ≡ (X ∨ Y) ∨ Z]
P∨(P≡P)   ✧(5) [X ∨ X ≡ X]
                    ≡ {(X,Y,Z := P,P,P).(6)}   ✧(6) [X ∨ (Y ≡ Z) ≡ X ∨ Y ≡ X ∨ Z]
                                                    ✧(7) [X ∧ Y ⇒ X]
P∨P≡P∨P   ✧(8) [X ⇒ Y ≡ ¬X ∨ Y]
                    ≡ {(X := P).(5)}   ✧(9) [X ∨ ⟨ ∀ i : r.i : f.i ⟩ ≡ ⟨ ∀ i : r.i : X ∨ f.i ⟩]
                                                    •(10) [n ≤ ⌊x⌋ ≡ n ≤ x]
P≡P∨P   ✧(11) [[x] ≤ n ≡ x ≤ n]
                    ≡ {(X := P).(5)}   ✧(12) [x ↑ y ⊑ z ≡ x ⊑ z ∧ y ⊑ z]
                                                    ✧(13) [z ⊓ x ⊔ y ≡ z ⊓ x ∧ z ⊓ y]
P≡P
                    ≡ {(X := P).(2)}   Options:

true

Remove Brackets   Add Brackets   Undo Step   Keep Theorem   Clear Work Area
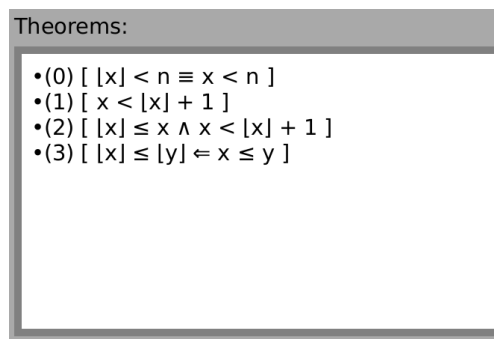
Functionality Overview

In this section the functionality of the Theorem proving assistant is explained. The steps are demonstrated with varying calculii to illustrate the versitility of the Theorem Proving Assistant.

Creating/Loading a Theorem Set

Theorem files are stored in a JSON format but can be added to or created by writing theorems in a plain text psuedo language. A $*$ or a $-$ is used to indicate whethere this theorem should be interpretted as an axiom or not. Each theorem is placed on a seperate line and the software will automatically handle their indexes. For example, if the user wants to use boolean theorems relating to the Floor/Ceiling calculus the following would be added to a theorem file.
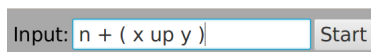
```
- |_ x _| < n == x < n
- x < |_ x _| + 1
- |_ x _| <= x and x < |_ x _| + 1
- |_ x _| <= |_ y _| <- x <= y
```

After clicking the Load Theorems button and selecting a file the theorems will be loaded into the theorems area.

Theorems:

- (0) [$\lfloor x \rfloor < n \equiv x < n$]
- (1) [$x < \lfloor x \rfloor + 1$]
- (2) [$\lfloor x \rfloor \leq x \wedge x < \lfloor x \rfloor + 1$]
- (3) [$\lfloor x \rfloor \leq \lfloor y \rfloor \Leftarrow x \leq y$]
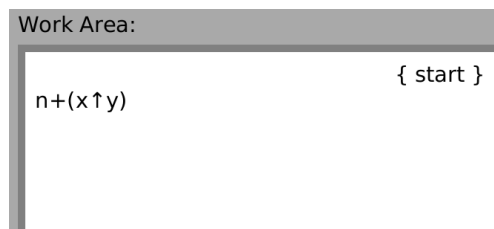
Starting a Proof

To start a proof the user types the starting expression into the input box in plain text. The following example is taken from the Max/Min calculus.

Input: n + (x up y)   Start

After clicking Start the input is parsed and presented to the user as the first proof step.

Work Area:

$$n+(x \uparrow y) \qquad \{ \text{ start } \}$$

Performing a Proof Step

To perform a proof step it consists of 3 distinct operations. This example demonstrates permuting a boolean term within a quantified expression.
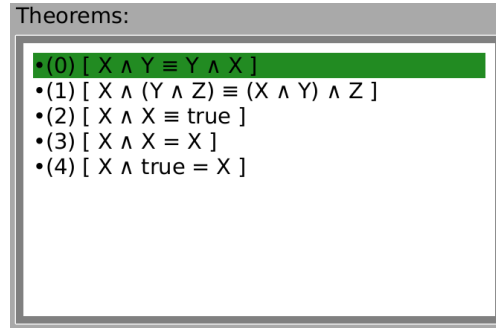
Select A subexpression

To select valid sub expressions the user needs to select the operator around which the expression pivots. This is the lowest precedence operator in the required subexpression. If multiple option are available, clicking multiple times will cycle the selection. Below shows the highlighting of several subexpressions within the current expression.

Work Area:	Work Area:
{ start }	{ start }
Q∨⟨∀i : r.i : P∧f.i⟩	Q∨⟨∀i : r.i : P∧f.i⟩

Work Area:	Work Area:
{ start }	{ start }
Q∨⟨∀i : r.i : P∧f.i⟩	Q∨⟨∀i : r.i : P∧f.i⟩

Select A Theorem

After choosing a subexpression the user then needs to choose the theorem that they wish to apply. In this case we wish to commute P and $f.i$ around the $\wedge$ operator so we choose rule (0).

```
Theorems:

•(0) [ X ∧ Y ≡ Y ∧ X ]
•(1) [ X ∧ (Y ∧ Z) ≡ (X ∧ Y) ∧ Z ]
•(2) [ X ∧ X ≡ true ]
•(3) [ X ∧ X = X ]
•(4) [ X ∧ true = X ]
```
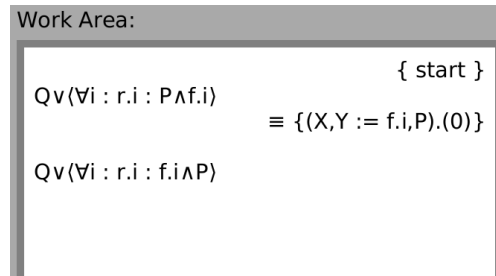
Select An Option

The list of options is automatically generated after clicking a theorem. Each option shows what the new current expression will be along with the hint which informs the user of the assignment and the rule used. In this case, after choosing theorem (0) only two options are available. The both yield the same end result but the assignment of variables in the hint is different.

```
Options:

                              ≡ {(X,Y := f.i,P).(0)}

Q∨⟨∀i : r.i : f.i∧P⟩

                              ≡ {(X,Y := P,f.i).(0)}

Q∨⟨∀i : r.i : f.i∧P⟩
```
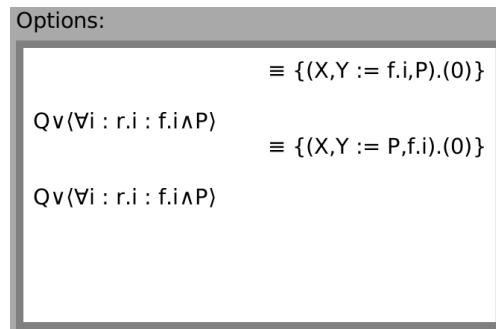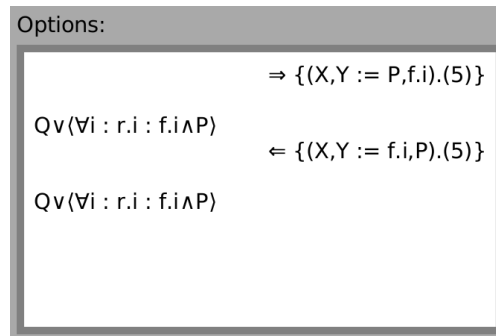
We choose the first option and the Work Area is updated with the new proof step. We are then free to select a subexpression of the new current expression and continue generating new proof steps until a conclusion has been drawn.
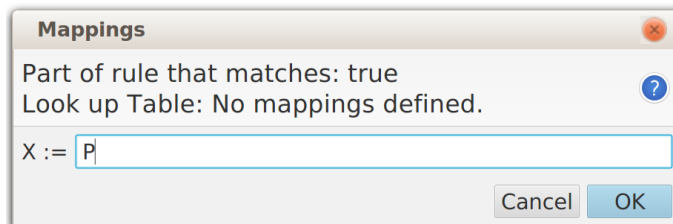
```
Work Area:

                                              { start }
  Q∨⟨∀i : r.i : P∧f.i⟩
                                 ≡ {(X,Y := f.i,P).(0)}

  Q∨⟨∀i : r.i : f.i∧P⟩
```

If the user is not satisfied with the options and clicks a different theorem, the software will generate a new list of options. This fast cycling and viewing of the options is the strongest point of the Theorem Proving Assistant. It allows easy and fast explorations of different options during a proof.

There are two points to highlight about the generation of options. Firstly note that the equivalance character is given with the hint, meaning that the current expression and the new generated expression are equivalent. This "transition" character is yielded from the rule. If the rule stated that $[X \wedge Y \Rightarrow Y \wedge X]$ then the transition character would be $\Rightarrow$. There is also a subltly taken into consideration where none commutative transition characters such as implication where depending on the side of the rule which is used, the transition character must be pointing the correct direction. Below is the list of options generated from the given hypothetical theorem.

```
Options:

                                 ⇒ {(X,Y := P,f.i).(5)}

  Q∨⟨∀i : r.i : f.i∧P⟩
                                 ⇐ {(X,Y := f.i,P).(5)}

  Q∨⟨∀i : r.i : f.i∧P⟩
```

The second point to hightlight is regarding unaccounted for Identifiers. Consider the below example where the selection is *true* and the user wishes to use the rule $[X \equiv X \equiv true]$. It is clear that the replacement is $X \equiv X$ but the replacements $P \equiv P$ and $Q \equiv Q$ are equally valid. Should this case arrise the user is prompted with a dialog to enter the desired mapping.

```
Mappings                                                    ⊗

Part of rule that matches: true                             ?
Look up Table: No mappings defined.

X := P

                                          Cancel     OK
```
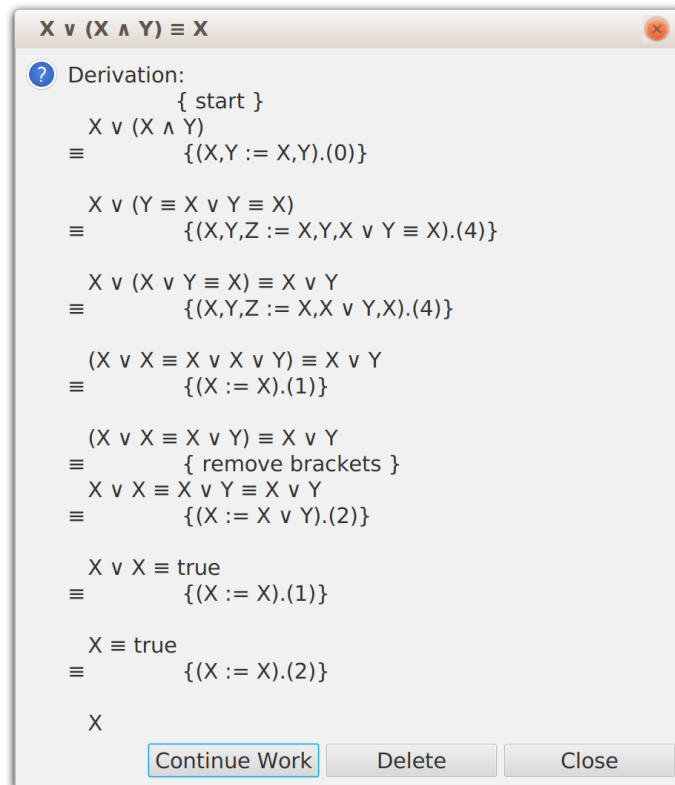
Keeping a Theorem

When a conclusion has been drawn such as the proof of Absorbtion.0 $[X \wedge (X \vee Y) \equiv X]$ the user can save this theorem for future use. The Work Area below shows the entire proof.

```
Work Area:

                                                      { start }
X∨(X∧Y)
                                      ≡ {(X,Y := X,Y).(0)}

X∨(Y≡X∨Y≡X)
                          ≡ {(X,Y,Z := X,Y,X ∨ Y ≡ X).(4)}

X∨(X∨Y≡X)≡X∨Y
                                    ≡ {(X,Y,Z := X,X ∨ Y,X).(4)}

(X∨X≡X∨X∨Y)≡X∨Y
                                      ≡ {(X := X).(1)}

(X∨X≡X∨Y)≡X∨Y
                                    ≡ { remove brackets }
X∨X≡X∨Y≡X∨Y
                                      ≡ {(X := X ∨ Y).(2)}

X∨X≡true
                                      ≡ {(X := X).(1)}

X≡true
                                      ≡ {(X := X).(2)}

X
```

After clicking the Keeper button the theorem is added to the list of theorems. Note that the equivalance operator ($\equiv$) is used to join the left and right sides of the theorem. If an operator of higher precedence was used within the proof, such as implication, then that operator would be used to join the two sides.

```
Theorems:

✧(0) [ X ∨ Y ≡ X ∧ Y ≡ X ≡ Y ]
✧(1) [ X ∨ X ≡ X ]
✧(2) [ X ≡ X ≡ true ]
✧(3) [ X ≡ Y ≡ Y ≡ X ]
✧(4) [ X ∨ (Y ≡ Z) ≡ X ∨ Y ≡ X ∨ Z ]
✧(5) [ X ∨ (Y ∨ Z) ≡ (X ∨ Y) ∨ Z ]
•(6) [X ∨ (X ∧ Y) ≡ X]
```

This kept theorem can be clicked on to be explored. (This feature is only available when the work area is clear. This exploration shows all the proof steps that were taken when proving the theorem.

```
X ∨ (X ∧ Y) ≡ X                                                    ⊗

?  Derivation:
                  { start }
        X ∨ (X ∧ Y)
     ≡            {(X,Y := X,Y).(0)}

        X ∨ (Y ≡ X ∨ Y ≡ X)
     ≡            {(X,Y,Z := X,Y,X ∨ Y ≡ X).(4)}

        X ∨ (X ∨ Y ≡ X) ≡ X ∨ Y
     ≡            {(X,Y,Z := X,X ∨ Y,X).(4)}

       (X ∨ X ≡ X ∨ X ∨ Y) ≡ X ∨ Y
     ≡            {(X := X).(1)}

       (X ∨ X ≡ X ∨ Y) ≡ X ∨ Y
     ≡            { remove brackets }
        X ∨ X ≡ X ∨ Y ≡ X ∨ Y
     ≡            {(X := X ∨ Y).(2)}

        X ∨ X ≡ true
     ≡            {(X := X).(1)}

        X ≡ true
     ≡            {(X := X).(2)}

        X

      [ Continue Work ]    [ Delete ]    [ Close ]
```

There is also the option to delete the theorem or to continue work. If Continue is chosen a list of continuation proof steps are given as options. These options are the theorem split up on the lowest precedence operator in the theorem.

```
Options:

                                        { continue.(6) }
    X∨(X∧Y)
                                        { continue.(6) }
    X
```
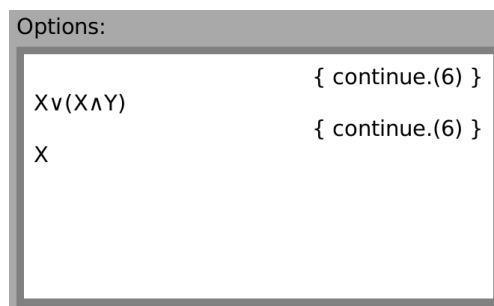
Saving all Theorems

If the user chooses to save the theorem set the theorems are turned to plain text by googles GSON package. This creates a JSON string for each theorem. The saved theorem file (consisting of JSON strings) can be extended with plain text theorems if needed.

Backend Algorithms - The Engine

The software apparently is string maniphation based but the engine driving the system only generates these strings at the moment they are needed. The backend engine has most of the responsiblity of allowing the user to make selections, match them in rules and perform replacements.

Here the fundamental methodolgies and algorithms of this engine are discussed.

Expression Representation

When starting this project the only fact that was clear was the need to not represent expressions as strings. Immidiate issues associated with a string based solution are the lack of sense of precededence, the lack of pivots to easily commute around and no easy way to identify the begining and end of bracketed sections.

Syntax trees are a commonly used solution [2]. Leaves would be identifiers and nodes operators. Below I demonstrate an example with a simple boolean expression: $X \wedge Y \equiv Z$.
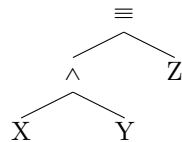
In order to yield the boolean expression from the tree we need only to perform an in-order travesal, printing the leaf or node's identifier or operator as we visit them. As a human we can read the expression from the tree by reading the identifiers and operators from left to right.

Depth is used to indicate precedence. One can see how precedence is maintained as the conjunction operator is at a deeper level than the equivalence. The two operators are commutative and swapping the left and right children of either node will yield an equivalent (in terms of ultimate value) tree.

Bracketing sections proved to be more of an issue. Below I demonstrate several options that were considerd with the boolean expresion $(X \wedge Y) \vee Z$.
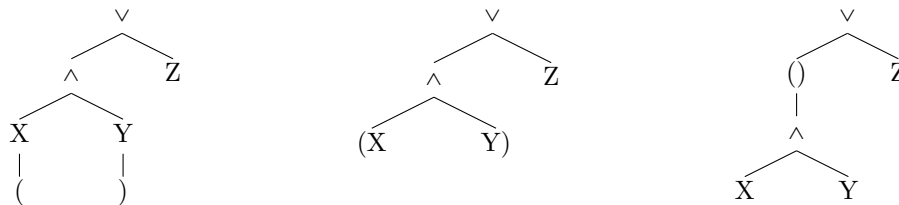
The decision as to which option to use was implicitly made when attempting to define a valid substring.
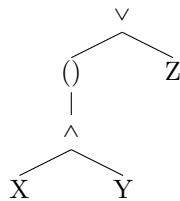
A Valid Sub-expression

A valid sub-expression is a fundamental part of the project. It is what a user will first select in order to carry out a replacement. For a sub-expression to be valid when selecting it we must respect precedence, bracketing and the number of operands associated with an operator. These requirements indicate that maybe a formal grammar will need to be defined with a corresponding parser but this was avoided as looking having the ability to parse strings lends its self to string based solutions. The engine needs to work with purely tree based solutions due to the issues associated with string manipulation.

To demonstrate valid and invalid sub-expressions of $X \wedge Y \equiv Z$ I present the table below.

Valid	Invalid
$X \wedge Y$	$Y \equiv Z$
X	$\wedge Y$
Z	$\equiv Z$

Validating the selection of a sub-expression has to be a simple task. Examining drawn syntax trees makes it clear that any node's subtree is a valid sub-expression. For this reason the right most bracket option is used throughout. Any node of that tree can be selected and its subtree is a valid sub-expression. We list the valid sub-exressions of $(X \wedge Y) \vee Z$ beside the tree and the corrolation becomes clear.

$(X \wedge Y) \vee Z$
$(X \wedge Y) \vee Z$
$(X \wedge Y)$
$X \wedge Y$
X
Y
Z

Initially this simple approach of using a node's subtree to define a sub-expression appeared comprehensive but when attempting to extract all valid sub-expressions of an expression with associative operators it became clear that this was not possible. We use the expression $X \wedge Y \wedge Z$ to demonstrate this. Consider the following tree representation and list of valid sub-expressions as defined above.
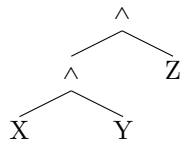
$X \wedge Y \wedge Z$
$X \wedge Y \wedge Z$
$X \wedge Y$
X
Y
Z

$Y \wedge Z$ is a valid sub-expression of $X \wedge Y \wedge Z$ but is not attainable from the above tree in its current state. We need to permute the syntax tree to "re-shuffle" it so $Y \wedge Z$ can exist as a subtree while still maintaining the value of the boolean expression.

Permutations of a Syntax Tree

In order to achieve this re-shuffling of a tree we need to rely on a tree rotation algorithm called zigging [3]. Performing the zig operation on the deeper conjunction node will rotate the tree clockwise, causing the node to be the new root. X will be the left child of the new root with the previous root as its right child. The old roots right child, Z, persists, and the temporarily orphaned Y becomes Zs sibling. The following two trees present two permutations of a tree for $X \wedge Y \wedge Z$ attainable by zigging the left or right child of the root.

Now by unioning the the set of sub-expressions yielded from the two trees we have a complete set. This simple approach however does not scale. An algorithm combining zigging nodes and zigging nodes from a depth of two is needed in order yield all valid sub-expressions of any tree.

Consider the following series of tree representations of $X \wedge Y \wedge Z \wedge W \wedge Q$ and one can see how the whole tree is being "dragged" through the root node by performing the zig operation on the roots left child.
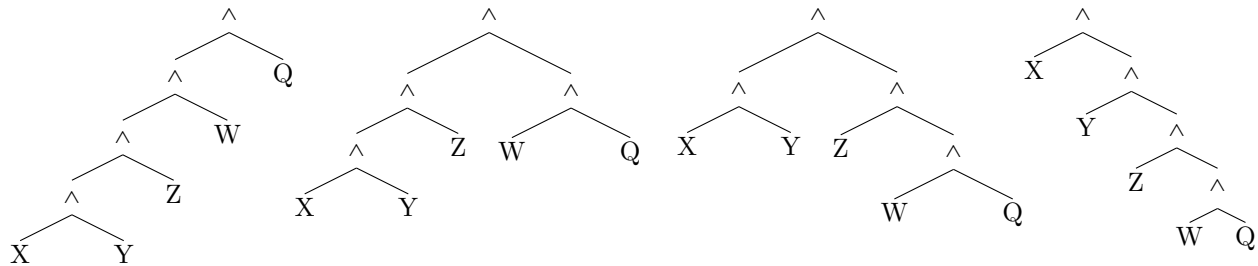
After each zig we add a copy of the tree as it stands to a set and continue until no new trees are generated. This we will ultimately create every possible permutation. When the alorithm stops we have will yielded all equivalent tree permutations. The following tree is one of them which will be produced at some point during permuting algorithm.
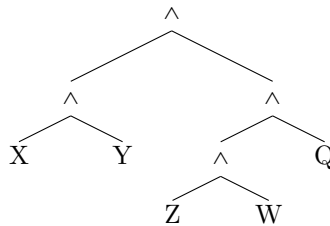
Recursively calling the described permute algorithm on the children of the root of each permutation will ultimately yield all valid sub-expressions. When attempting to identify valid sub-expressions of an expression all permutations of that expressions's tree must exist simultaniously; all possible tree arrangments for a given expression must be considered when validating or refuting an operation on an expression.

Matching, Mapping and Replacing

At this point a new theorem must be introduced but no longer in terms of X and Y but P and Q.

$$\cdot \quad [P \wedge (P \vee Q) \equiv P] \qquad\qquad\qquad absorbtion0$$

We consider a state half way through a proof where the user's current expression is $X \wedge (X \vee Y) \equiv X \wedge Y$ and wishes to use absorbtion0 to replace the entire left hand side of the expression with X. The action is documented below with the notation we defined.

$$X \wedge (X \vee Y) \equiv X \wedge Y$$
$$= \qquad \{(P, Q := X, Y).(absorbtion0)\}$$
$$X \equiv X \wedge Y$$

As humans we can easily map P to X and Q to Y and do the replacement as it is in our nature to look for patterns and repetition. To design alorithms for a computer to do this is an complex task. To further complicate the matter we change the the boolean identifier Y on the left hand side of the expression to a boolean expression consisting of several operands. We redine the current expression and application of absorbtion0 as follows.

$$X \wedge (X \vee (Z \wedge W)) \equiv X \wedge Y$$
$$= \qquad \{(P, Q := X, (Z \wedge W)).(absorbtion0)\}$$
$$X \equiv X \wedge Y$$

In looking to match the users selection $(X \wedge (X \vee (Z \wedge W)))$ with a sub-expression of absorbtion0 we must compare it to every valid sub-expression of absorbtion0. Not only that, but we must handle the fact that the boolean identifiers in the rule and current expression are completely different. We start by drawing a tree representation of the current expression with the current expression highlighted and the rule, absorbtion0. Note that I have convieniently chosen to draw the correct permutation the rule. The software program actually itterates through all permutations in attempts to find matches.
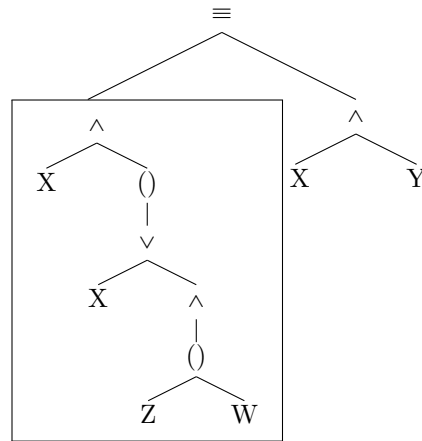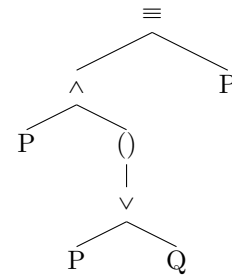

The matching algorthim first requests all valid trees representing the rule with a root node that was a child of an equival node and has an operator which matches the root of the selection. In this case, the single permutation of the subtree which starts at absorbtion0's single conjunction operator.

We walk each of the yielded subtrees along side the current selection tree checking equivalence at each step. If a discrepency is found (such as operators that don't match) that subtree is discarded and we examine the next. During the walk if an identifier is found in the rule's subtree, that identifier is added to a look-up table with the corresponding node from the selection. This lookup table will define the mapping used in the hint.

During the walk of the example trees provided there are three identifiers to be found in the subtree of absorbtion0.

1. On discovery of P (the left child of the subtrees root), it will map directly to the corresponding X in the current expresion. $P \rightarrow X$ will be added to the look up table.

2. On discovery of the second P we must be sure that this new mapping we are about to define corresponds with the mapping defined in step 1. If there is a discrepency this permutation of the subtree of the rule is not valid and thus discarded.

3. On discovery of the identifier Q we note that it does not correspond to another identifier, but a whole expression. That is not a problem and we add $Q \rightarrow (Z \wedge W)$ to the look-up table.

If the walk of any subtree of the rule that is checked completes, then we add that subtree and its look-up table to a list. This list will be the list of possible uses of a rule on a selection. Be aware that there may be multiple uses of a rule on a selection. In the example given the list will contain only one element, the following subtree and lookup table. One final check needs to be carried out to determine if there is a variable in the rule which has no baring on the selected sub expression, ie: it has no mapping automatically defined. In this case the mapping is open, and any value can be chosen.

Look-Up Table
$P \rightarrow X$
$Q \rightarrow (Z \wedge W)$
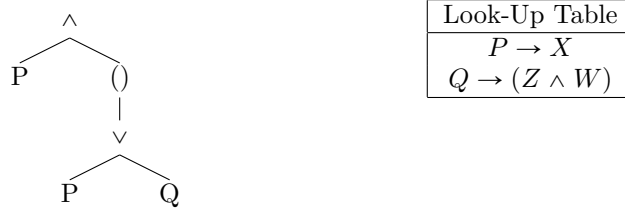
To complete the replacement step there are a few small steps to take. None of which pose a large issue. We now need to remove the subtree from the permutation of the rule that contained it. In this case it will leave just the P node. Once we have the rule without the matched subtree we need to walk it and use the lookup table to replace its identifiers with their new nodes. If when walking we encounter an identifier not present in the look-up table we can use any identifier not present in the current expression.

In this example the rule without the matching subtree, P, will be walked and have its node replaced with its counterpart from the lookup table, X. At this point the matching and mapping is complete and we can return to the original expression we were working on and replace the current selection with the new tree (in this case, just an identifier node).
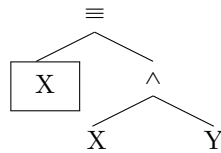
The replacement step has been performed, we know what rule we used and we also know what assignemnts were made into the rule. We can use this information to generate the needed hint and present the user with the outcome they expect. Here the replacement step from the start of this section is presented again to conclude the calculation of the step.

$$X \wedge (X \vee (Z \wedge W)) \equiv X \wedge Y$$
$$= \qquad \{(P, Q := X, (Z \wedge W)).(absorbtion0)\}$$
$$X \equiv X \wedge Y$$

Frontend Algorithms - The User Interface

Two major alrogithms were needed to link the backend engine with the graphical user inteface. Before introducing them the term "bit" must be introduced. In this context a bit referes to a character displayed to the user. A bit has many subtle properties which allow the software to perform. A further extenstion of this is the notion of a Bit Box. The software is impletmented with JavaFX, and the container used to house an entire expression is a HBox (horizontal box) so they are refered to as Bit Boxes.

The Bit Box Maker

To give the illusion of a string based piece of software a Bit Box is used to house an expression. For expressions with no bracket-type (brackets or floor/ceiling) or unary operators. a simple in-order traversal of any one of the trees for an expression will suffice. One only needs to create a bit when visiting each node with corresponding character and add it to the Bit Box.

If a bracket-type node is found during the traversal then two bits need to be created, the opening and closing bits. In this case the open bit inserted before continuing the traverse. Only when the recursive tree walker returns to to the level of this bracket-type node is the closing bit inserted. It can be thought of a special case "pre & post" order traversal.

In the case of a unary operator such as negation the node is simply visited, and a bit added to the Bit Box, before continuing to traverse. It is treated as a pre-order visit. Both of these exceptions are special cases that are handled by the tree walker.

The Associator

It is the role of the Associator to associate bits and their corresponding nodes in the syntax trees. It is not a bijective mapping as one bit can map to many nodes in equivalent (in terms of the expression they represent) syntax trees. Each bit has a list of nodes with which it is associated. Should the bit belong to a bracket-type node then it has a "bracket-buddy". This is a reference to the corresponding closing (or opening) bracket. Only one of these two will hold the list of bracket-type nodes.

Each equivalent syntax tree is walked and the bits itterated across. The bits lists of nodes are populated. Bracket-type nodes and Unary nodes have to be handled carefully as they break the ordering of the in-order traversal. Instead of using convoluted logic to track the locations of these bits they are simply pushed onto a stack. Similary, any opening bracket-type bit is pushed onto a parralel stack. Closing bracket-type bits are skipped as they are not needed, the bracket-buddy reference is used instead. After completion of the walk of each tree and single itteration of the bits, (which conclude at the same time), we have two sets of stacks. One for bracket-type operators with a bit stack and a node stack, and another for unary operators with same. The bit and Node stacks are popped in tandem and associated as their order in the stacks correspond.

The nodes and bits are associated in this way so that when a user clicks on any bit, it is known what valid sub expressions that bit is a root of as it has a reference to that root. We need only walk that sub tree and hightlight each bit associated with the visited nodes in order to hightlight, and thus select, a valid subexpression. Repeatedly clicking on the same bit will cycle the subtrees with which is it associated and hightlight different subexpressions.

The Parser and Grammar

In order to start theorems the user needs to type in a starting expression. For simplicity, I defined a plain text psuedo language *** APPENDIX **** with which a user can specify the starting expression. A lexer uses a string tokenizer to itterate throught the input symbols and then a recursive descent parser (RDP) builds the abstract syntax tree for the expression (assuming it's valid).

The EBNF Grammar

In order to build an RDP a grammar was needed. JJTree and JavaCC were considered as a tools to build an RDP but ultimately it was deemed too heavy weight. A grammar was defined in extended BackusNaur form (EBNF).*** NEED REF The EBNF grammar consited of terminal symbols and non-terminal production rules. The terminal symbols define legal characters and strings in the expressions and the non-terminal production rules define the way in which the symbols can be legally arranged in order to create expressions.

– Terminal symbols are enclosed in angle brackets (<>) and have a sequecnces of characters associated with them. Eg: <ID>:= [A-Z,a-z]+

– Production rules have a left hand side and a right hand side. The left hand side is a non-terminal and the right hand side is a combination of terminals and non-terminals.
Eg: Max := $Val\{\uparrow Val\}$ (where val produces a terminal)
The { and } brackets indicate an optional amount of the terminals and non terminals within it. This allows for arbirtrarily long expressions with the Max operator.
Alternate production rules are indicated with a |.

The entire grammar is documented in the appendix ****.

The Parser

The parser has two key roles; to build the syntax tree for the expression and to respect the laws of precendence of the operators while doing so. To build the parser I followed a very simple approach of defining a method for each non-terminal production. The order in which these methods are called is the order of precedence of the operators. Each method follows the same framework. Except for the final method, factor(). The factor() method has special code to define the different types of node to create, depending on the value yielded by the lexer.
Below is one of the standard methods. Seeing as or() is called from within implication() it will cause disjunction expressions to be created at a deeper level in the tree, and as a result the disjunction operator will be considered to have a higher precedence than that of implication.

```java
public void implication() {
    or();
    while (symbol == Lexer.FF) {
        INode n = new BinaryOperator(Operators.REVERSE_IMPLICATION, null, null);
        n.children()[0] = root;
        or();
        n.children()[1] = root;
        root = n;
    }
}
```

Using this RDP will ensure that with any legally constructed expressions, written in plain text, will yield a syntax tree which respects the precedence of the operators. This is essential for the algorithms in the engine of the Theorem Proving Assistnant to work.

Design Details and Implementation

yada

Tools Used

### Java

JavaFX

IntelliJ

Git

Gson

UML Diagrams

The Theorem Proving Assistant consists of thirty seven Java classes across thirty six files. While it is possible to generate a diagram of the entire application it's so large it would offer no benefit. Instead three small subsets of the diagram are presented here.

The Backend Engine

The entry point to the engine is the Replacer class. It is the responsibility of this class, when given an expression, a selection and a rule, to yield possible replacement options. It directly relies on four other worker classes and indirectly on three data-bean classes.
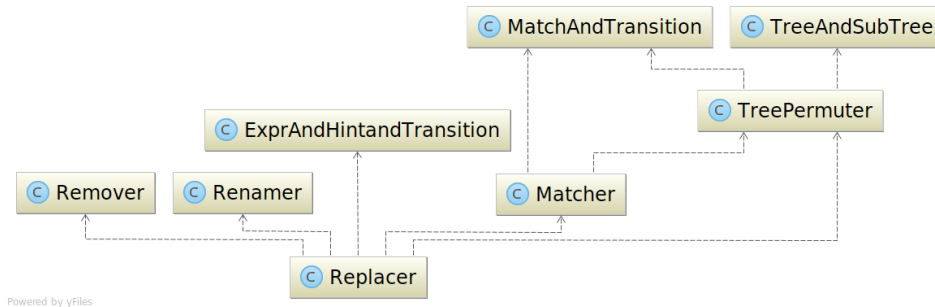

The Front End GUI

The TheoremProvingAssistant class is the entry point of the Gui. Any class preappended with CL is a click listener. The theorem click listener has a Replacer which is called upon to yield valid replacements.

The State class in the top left maintains the state of the application. References to values such as the current expression, the list of theorems available and the steps in the current proof are all available through this class. It is not a manager as it has no logic code, it merely holds references to values.

The Associator and BitBoxMaker classes can be seen depending on the Bit class in order to create a ProofStep. Both the TheoremProvingAssistant and TheoremLoader classes depend on the Parser to build syntax trees.
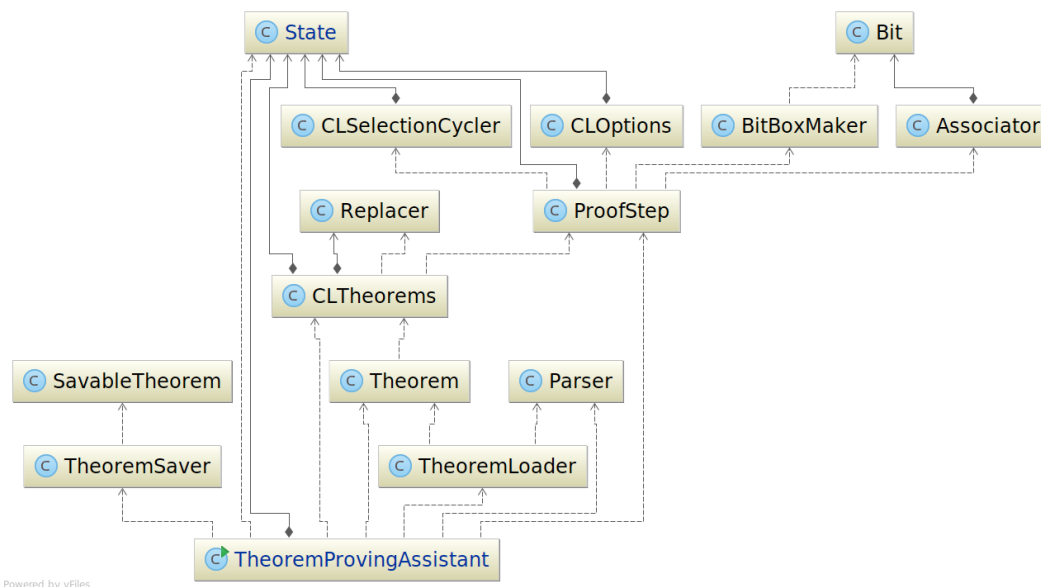
The Parser

It is the role of the Parser class to create syntax trees. These trees consists of Operators and Terminals. Code which is common to all nodes is implemented in the Node class, a superclass of the specific nodes.

Each non bracket-type node class implements either ITerminal, IUnaryOperator or IBinaryOperator. It is with these interfaces that the classes can be refereneced polymorphically. For the same reason, although it is not displayed here for clarity, every class which is used as a node implements INode.
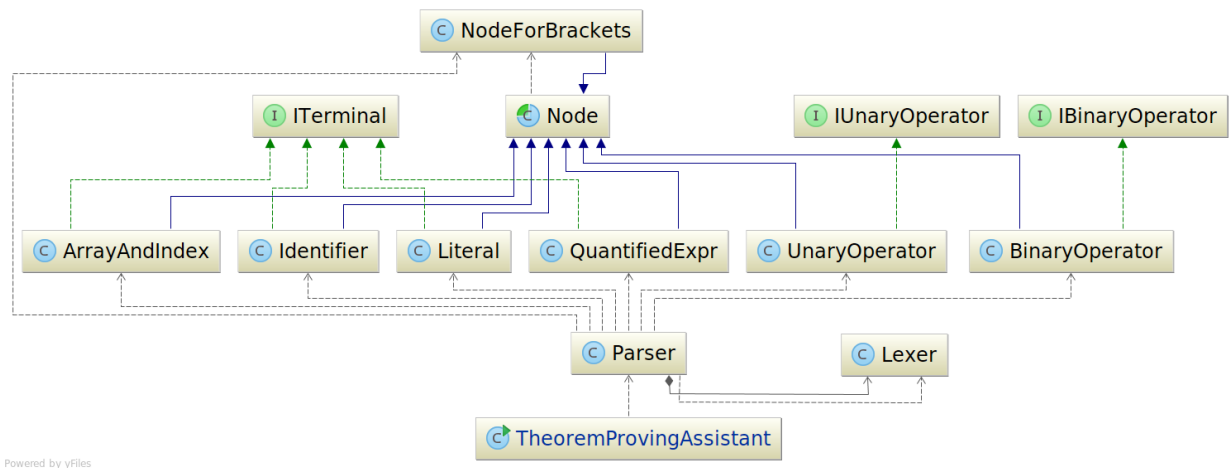
Testing And Evaluation

yada

Test method used thoughout build process

test etst etst

Proving Correctness

:/ (hope)

Conclusion

Progress So Far

At this point of the project I have implemented (in Java) the algorithms described in this report. I have test cases written for each individual component aswell as test cases carrying out processes relying on several components such as finding all matches for a given sub-expression in a given rule. The test cases take no input as all trees are hard coded and they output to the console. All operations must be performed programatically.

It is not a requirement of this report to submit any implemented code but anything written so far is available for viewing on https://github.com/jj05y/Final-Year-Project-Theorem-Proving-Assitant. Note that this is a work in progress, attempts to download and run any code at this point will most likely end in heartache.

Next Steps

I next intend on focusing my efforts on two areas.

The GUI

The end result of this project will be a graphical user interface to allow a user to carry out the steps defined in this report. I need to research what is the best way to approach this. At the moment I am considering Java's Swing package or C# forms.

The GUI will need to add extra functionality seperate to the util of the theorem proving assistant such as load/save functionality, an undo stack and a smooth and clear interface.

The Grammar and The Parser

As well as a user having the ability to load a workspace with a set of hard-coded axioms to work with, I would like a user to be able to define their own workspace. For this a grammar and parser would be needed to translate an arbitrary input language into syntax trees. I am yet to give any thought to defining a grammar or the parser which will suit it.

Appendix

The Plain Text Pseudo-Language

Boolean Expressions

$X \equiv Y$: `"X == Y"`
$X \not\equiv Y$: `"X !== Y"`
$X \wedge Y$: `"X and Y"`
$X \vee Y$: `"X or Y"`
$X \Rightarrow Y$: `"X -> Y"`
$X \Leftarrow Y$: `"X <- Y"`
$\neg X$: `"! X"`
$X \wedge (Y \vee Z)$: `"X and ( Y or Z )"`

Quantified Boolean Expressions

$\langle \forall i : r.i : f.i \rangle$: `"<| forall i :  r.i :  f.i |>"`
$\langle \exists j : s.j : g.j \rangle$: `"<| exists j :  s.j :  g.j |>"`

Floor/Ceiling Expressions

$\lfloor x \rfloor$: `"|_ x _|"`
$\lceil x \rceil$: `"|' x '|"`
$\lfloor x \rfloor \leqslant x$: `"|_ x _| <= x"`
$\lceil x \rceil \geqslant x$: `"|' x '| >= x"`
$\lfloor x + n \rfloor = \lfloor x \rfloor + n$: `"|_ x + n _| = |_ x _| + n"`

Lattice Theory Expressions

$\langle \forall x, y :: x \sqsubseteq y \wedge y \sqsubseteq x \Leftarrow x = y \rangle$: `"<| forall x,y :  :  x under y and y under x <- x = y |>"`
$\langle \forall z :: x \sqsubseteq z \equiv y \sqsubseteq z \rangle \Rightarrow x = y$: `"<| forall z :  :  x under z == y under z |> -> x = y"`

Max/Min Expressions

$x \uparrow y$: `"x up y"`
$x \downarrow y$: `"x down y"`

The Grammar

```
Expr := NotEq { <EQUIV> NotEq }
NotEq := Impl { <NOT_EQUIVAL> Impl }
Impl ::= FF { <IMPL> FF }
FF ::= Or { <FF> Or }
Or ::= And { <OR> And }
And ::= Equals { <AND> Equals }
Equals ::= NotEquals { <EQUAL> NotEquals }
NotEquals ::= Lt { <NOT_EQUALS> Lt }
Lt ::= Gt { <LT> Gt }
Gt ::= Lte { <GT> Lte }
Lte ::= Gte { <LTE> Gte }
Gte ::= Over { <GTE> Over }
Over ::= Under { <OVER> Under }
Under ::= Up { <UNDER> Up }
Up ::= Down { <MAX> Down }
Down ::= Add { <MIN> Add }
Add ::= Minus { <PLUS> Minus }
Minus ::= Factor { <MINUS> Factor }
Factor ::= <ID> | <NOT> Factor | <LPAR> Expr <RPAR> | <LFLOOR> Expr <RFLOOR>
           | <LCEILING> Expr <RCEILING> | <ARRAY_AND_INDEX>
           | <LANGLE> <EXISTS> <ID> <COLON> Expr <COLON> Expr <RANGLE>
           | <LANGLE> <FORALL> <ID> <COLON> Expr <COLON> Expr <RANGLE>
<EQUIV>  ::= "=="
<NOT_EQUIV>  ::= "!=="
<IMPL>  ::= "->"
<FF>  ::= "<-"
<OR>  ::= "or"
<AND>  ::= "and"
<EQUAL>  ::= "="
<NOT_EQUALS>  ::= "!="
<LT>  ::=  "<"
<GT>  ::= ">"
<LTE>  ::= "<="
<GTE>  ::= ">="
<OVER>  ::= "over"
<UNDER>  ::= "under"
<MAX>  ::= "up"
<MIN>  ::= "down"
<PLUS>  ::= "+"
<MINUS>  ::= "-"
<ID> ::= "[A-Z,a-z]+"
<NOT> ::= "!"
<LPAR> ::= "("
<RPAR> ::= ")"
<LFLOOR> ::= "|_"
<RFLOOR> ::= "_|"
<LCEILING> ::= "|'"
<RCEILING> ::= "'|"
<ARRAY_AND_INDEX> ::= "[A-Z,a-z]+\.[A-Z,a-z]+"
<LANGLE> ::= "<|"
<RANGE> ::= "|>"
<COLON> ::= ":"
```

References

[1] Carel S. Scholten and Edsger W. Dijkstra. *Predicate Calculus and Program Semantics*. 220 pages. ISBN: 978-1-4612-7924-2. Springer-Verlag New York, 1990.

[2] Jeffrey Ullman, Alfred Aho, and Ravi Sethi. *Compilers: Principles, Techniques, and Tools*. 796 pages. ISBN: 0201100886. Addison Wesley, 1986.

[3] Michael T. Goodrich and Roberto Tamassia. *Data Structures and Algorithms in Java*. 736 pages. ISBN: 978-0-470-39880-7. John Wiley & Sons Inc, 2010.