

- HW 7 available
  - very different than others – you need to produce a written document based on experiments comparing sorting methods
  - If you are not using a Python (like Anaconda) that has pylab installed, get one. You need it for HW7
- Discussion sections tomorrow are important!
  - Will cover basics of making graphs with Pylab as needed for HW7. We won't cover this in lecture!
- Exam 2: Thursday, April 20, 6:30-8:00pm

## Last time

- Ch 21 – analysis of algorithms, Big-O notation

## Today

- Sorting algorithms

# Last time: asymptotic notation

Big-picture thinking led to rules of thumb for describing asymptotic complexity of a program:

- if the running time is the sum of multiple terms, *keep the one with the largest growth rate*, dropping the others
- if the remaining term is a product, *drop any leading constants*

E.g.  $132022 + 14 n^3 + 59 n \log n + 72 n^2 + 238 n + 12 \sqrt{n}$

$\rightarrow 14 n^3 \rightarrow n^3$

There is a special notation for this, commonly called “Big O” notation. We say

- $132022 + 14 n^3 + 59 n \log n + 72 n^2 + 238 n + 12 \sqrt{n}$  is  $O(n^3)$

# Last time - asymptotic notation

Big O notation is used to give an *upper bound* on a function's asymptotic growth or order of growth (growth as input size gets very large)

- if we say  $f(x)$  is  $O(x^3)$ , or  $f(x)$  is in  $O(x^3)$ , we are saying that  $f$  grows no faster than  $x^3$  in an asymptotic sense.
- $100 x^3$ ,  $.001x^3$ ,  $23x^3 + 14 x^2$ , and  $x^3$  all grow at the same rate in the big picture – all grow like  $x^3$ . They are all  $O(x^3)$

# Important complexity classes

Common big-O cases:

- $O(1)$  denotes constant running time – a fixed number of steps, *independent of input size*. 1, 2, 20000000.
- $O(\log n)$ : logarithmic running time. E.g. binary search
- $O(n)$ : linear time. E.g. linearSearch
- $O(n \log n)$ : this is the characteristic running time of most good comparison sorting algorithms, including the built-in Python sort.
- $O(n^k)$ : polynomial time.  $k = 2$ : quadratic,  $k = 3$ : cubic, ... E.g. some simple sorts (bubble sort, selection sort), or enumerating pairs of items selected from a list
- $O(c^n)$ : exponential time.  $2^n$ ,  $3^n$ , ... E.g. generating all subsets of a set, trying every possible path in a graph

# Last time - important complexity classes

Some big-O cases:

- $O(1)$ ,  $O(\log n)$ ,  $O(n)$ ,  $O(n \log n)$ ,  $O(n^2)$ ,  $O(n^3)$ ,  $O(2^n)$ ,  $O(2^n)$ ,  $O(n!)$ , and even  $O(2^{2^n})$
- Try to get a feel for which are “good” (or good enough specifications of your particular problem)
- Often, very useful to try to redesign algorithm to convert a factor of  $n$  to a  $\log n$ .  $O(n^2) \rightarrow O(n \log n)$
- Exponential algorithms are *very* slow except for very small inputs. For any but toy problem sizes, you usually need a different algorithm (and sometimes need a whole different approach – aiming for an approximate or heuristic solution rather than an optimal/complete/perfect one).

# Sorting ( [https://www.youtube.com/watch?v=k4RRi\\_ntQc8](https://www.youtube.com/watch?v=k4RRi_ntQc8) )

It's mostly a “solved” problem – available as excellent built-in functions – so why study? The variety of sorting algorithms demonstrate a variety of important computer science algorithmic design and analysis techniques.

Sorting has been studied for a long time. Many algorithms: selection sort, insertion sort, bubble sort, radix sort, Shell sort, quicksort, heapsort, counting sort, Timsort, comb sort, bucket sort, bead sort, pancake sort, spaghetti sort ... (see, e.g., wikipedia: sorting algorithm)

Why sort? Searching a sorted list is very fast, even for very large lists (*log n is your friend*). So if you are going to do a lot of searching, sorting is often excellent prep.

Should you always sort? (Python makes it so easy ... )

- We can search an unsorted list in  $O(n)$ , so answer depends on how fast we can sort.
- How fast can we sort? Certainly not faster than linear time (must look at, and maybe move, each item). In fact, in general we cannot sort in  $O(n)$ . Best “comparison-based” sorting algorithms are  $O(n \log n)$
- So, when should you sort? If, for example, you have many searches to do. Suppose we have  $n/2$  searches to do.
  - $n/2$  linear searches  $\rightarrow n/2 * O(n) \rightarrow O(n^2)$
  - sort, followed by  $n/2$  binary searches  $\rightarrow O(n \log n) + n/2 * O(\log n) \rightarrow O(n \log n) + O(n \log n) \rightarrow O(n \log n)$  *for large n, this is much faster*

# Sorting

- Python built-in methods, functions
    - `myList.sort()`
    - `sorted(mylist)`
    - `sorted(mylist, key=lambda item: item[2])`
  - first, a simple sort
    - how you would sort if given, say, a big list of numbers written on a page? How would you write down the sorted version of the list: 5 23 -2 15 100 1 8 2?
- 5 23 -2 15 100 1 8 2    →    -2 1 2 5 8 15 23 100



Idea: repeatedly find min in unsorted part and move it to sorted

5 23 -2 15 100 1 8 2

Sorted

Not yet sorted

-2

5 23 -2 15 100 1 8 2

-2 1

5 23 15 100 1 8 2

-2 1 2

5 23 15 100 8 2

-2 1 2 5

5 23 15 100 8

-2 1 2 5 8

23 15 100 8

-2 1 2 5 8 15

23 15 100

-2 1 2 5 8 15 23

23 100

-2 1 2 5 8 15 23 100

100

# Sorting – selection sort



Given:

$L[0:i]$  sorted and in final position

$L[i:]$  unsorted

How do we “grow” solution?

*Find min in unsorted part and move it to position  $i$*

# Sorting – selection sort



```
def selectionSort(L):  
    for i in range(len(L)):  
        # swap min item in unsorted region with ith  
        # item
```



# Sorting – selection sort



```
def selectionSort(L):
```

```
    i = 0
```

```
    # assume L[0:i] sorted and in final position
```

```
    while i < len(L):
```

```
        minIndex = findMinIndex(L, i)
```

```
        L[i], L[minIndex] = L[minIndex], L[i]
```

```
        # now L[0:i+1] sorted and in final position.
```

```
        # Reestablish loop invariant before continuing.
```

```
        i = i + 1
```

```
    # L[0:i] sorted and in final position
```

```
# return index of min item in L[startIndex:]
# assumes startIndex < len(L)
#
def findMinIndex(L, startIndex):
    minIndex = startIndex
    currIndex = minIndex + 1
    while currIndex < len(L):
        if L[currIndex] < L[minIndex]:
            minIndex = currIndex
        currIndex = currIndex + 1
    return minIndex
```

# Sorting – selection sort

- running time – Big O?
- let  $n$  be  $\text{len}(L)$
- $\text{findMinIndex}(L, \text{startIndex})$  - number of basic steps?
  - $n - \text{startIndex}$
- $\text{selectionSort}(L)$ 
  - calls  $\text{findMinIndex}(L, i)$  for  $i = 0..n-1$
  - so total steps =  $(n-0) + (n-1) + (n-2) + \dots + 1 = ?$
  - so,  $O(n^2)$

# Sorting

- lec30sorts.py code has sorting functions plus
  - timing functions timeSort, timeAllSorts
  - mixup function that takes a list as input and randomly rearranges items (note: contains commented out code that demonstrates *incorrect* random mixup algorithm as well)

# Sorting

- Another simple approach – insertion sort. Slightly different main step picture than for selection sort



Given:

$L[0:i]$  sorted (but not necessarily in final position)

$L[i:]$  unsorted

How do we “grow” solution?

*Move  $L[i]$  into correct spot (shifting larger ones in  $L[0:i]$  one slot to the right)*



Idea: repeatedly move first item in unsorted part  
and to proper place in sorted part

5 23 -2 15 100 1 8 2

Sorted

Not yet sorted

	5 23 -2 15 100 1 8 2
5	23 -2 15 100 1 8 2
5 23	-2 15 100 1 8 2
-2 5 23	15 100 1 8 2
-2 5 15 23	100 1 8 2
-2 5 15 23 100	1 8 2
-2 1 5 15 23 100	8 2
-2 1 5 8 15 23 100	2
-2 1 2 5 8 15 23 100	

# Insertion sort

- running time of insertion sort?
  - best case?
    - sorted already  $O(n)$
  - worst/average case?
    - $O(n^2)$

# Next time

- more efficient sorting:
  - merge sort
  - Quicksort
- Many visualizations of sorting algorithms on the web:
  - <http://www.sorting-algorithms.com>, <http://sorting.at>,  
<https://www.cs.usfca.edu/~galles/visualization/ComparisonSort.html>
  - <https://www.youtube.com/watch?v=kPRA0W1kECg>
  - <https://www.youtube.com/watch?v=ROaIU379I3U>  
(dance group demonstrating sorting algorithms ...)