

# **Python & C++ Program Design**

## **-- Algorithm & Function: Sorting!**

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Summer 2022

<https://github.com/jjcao-school/c>

# Content

- Data structure & algorithm – a brief introduction
- Sorting algorithm
  - Why sorting
  - Selection Sorting
  - Insertion Sorting
- Pseudo Code

# Problem Solving by Human



input



Manipulation



output



# Problem Solving by Computer



input



Algorithm



output



# What is an Algorithm?

Data

input

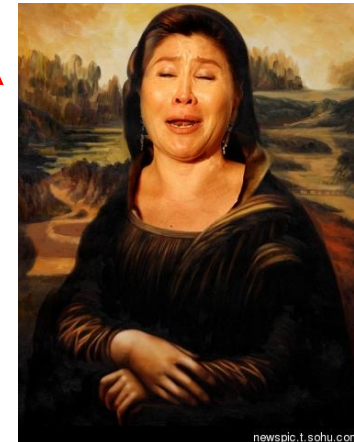


Data manipulation



Data

output



# Purpose of learning the course: Solving problems by computer

- **Data:** information being analyzed  
e.g.: numbers, words, movies
- **Algorithm:** a computational procedure for solving a problem
- **Data structure:** The way the data is organized



# Why Study DA?

- important for all other branches of computer science
- plays a key role in modern technological innovation
- provides novel “lens” on processes outside of computer science and technology
  - quantum mechanics, economic markets, evolution
- challenging (i.e., good for the brain!)
- fun

**Why sorting?**



# The Sorting 排序 Problem

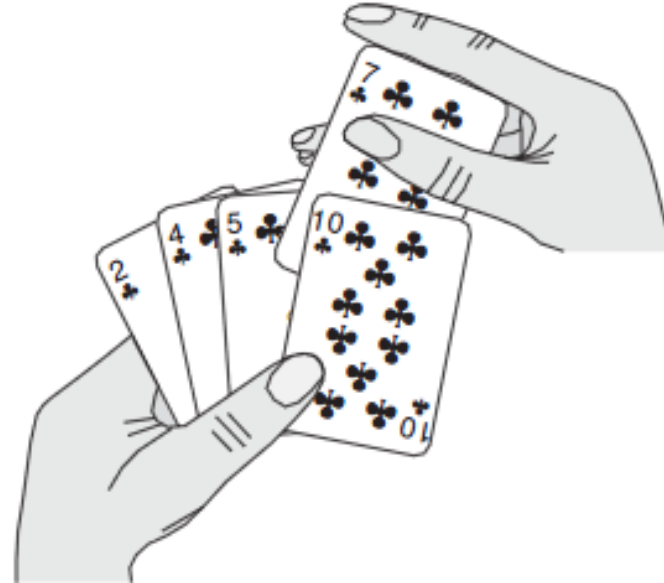
- Example:



- **Input:** A sequence of  $n$  numbers  $\langle a_1, a_2, \dots, a_n \rangle$
- **Output:** A permutation (reordering)  $\langle b_1, b_2, \dots, b_n \rangle$  of the input sequence such that  $b_1 \leq b_2 \leq \dots \leq b_n$

# Why is sorting worth so much attention?

1. Sorting is **basic** building block



2. Historically,  $\frac{1}{4}$  mainframe cycles were spent sorting data [Knu98]. It remains most **ubiquitous** in practice.
  - Could you make an example?

# Algorithm Efficiency

To sort 10 million numbers:

- Insertion sort:  $O(c_1 n^2)$ ,  $c_1 ? = 2$

$$\frac{2 \cdot (10^7)^2 \text{ instructions}}{10^{10} \text{ instructions/second}} = 20,000 \text{ seconds (more than 5.5 hours)}$$

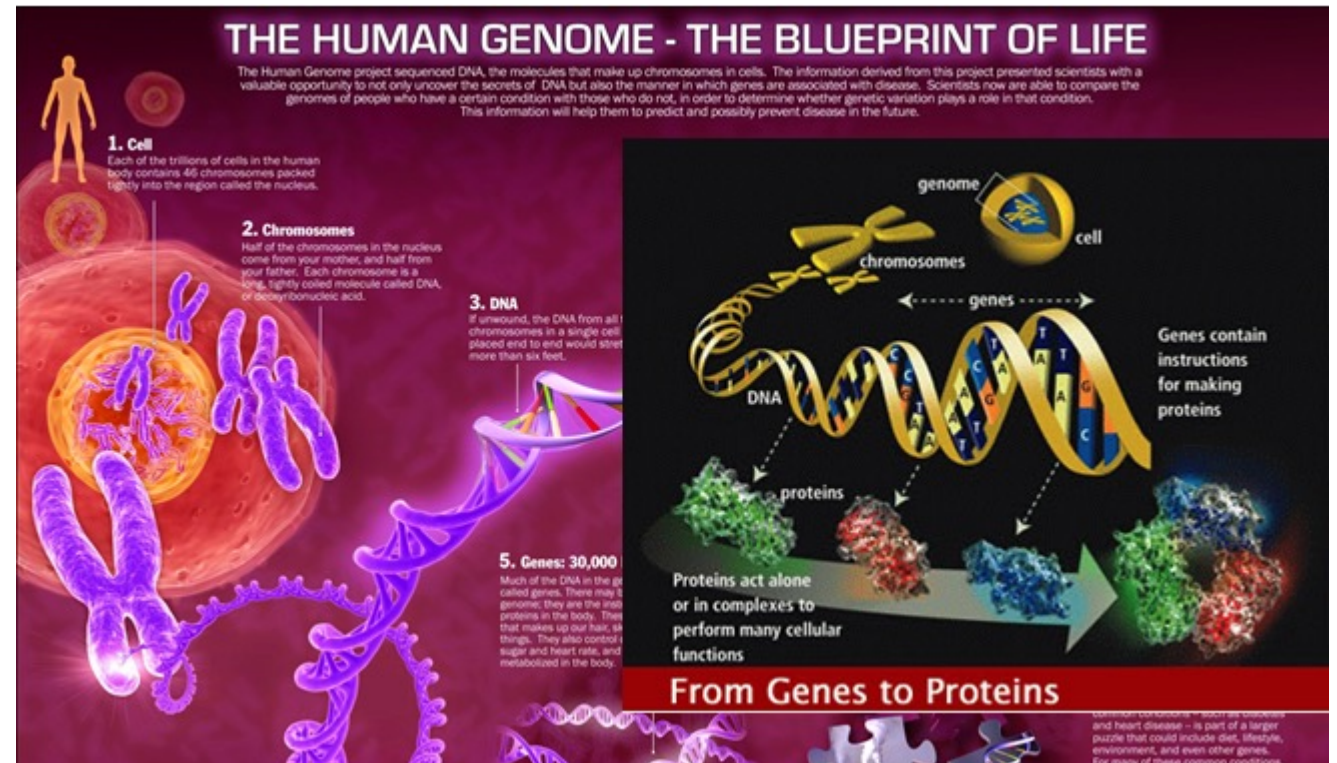
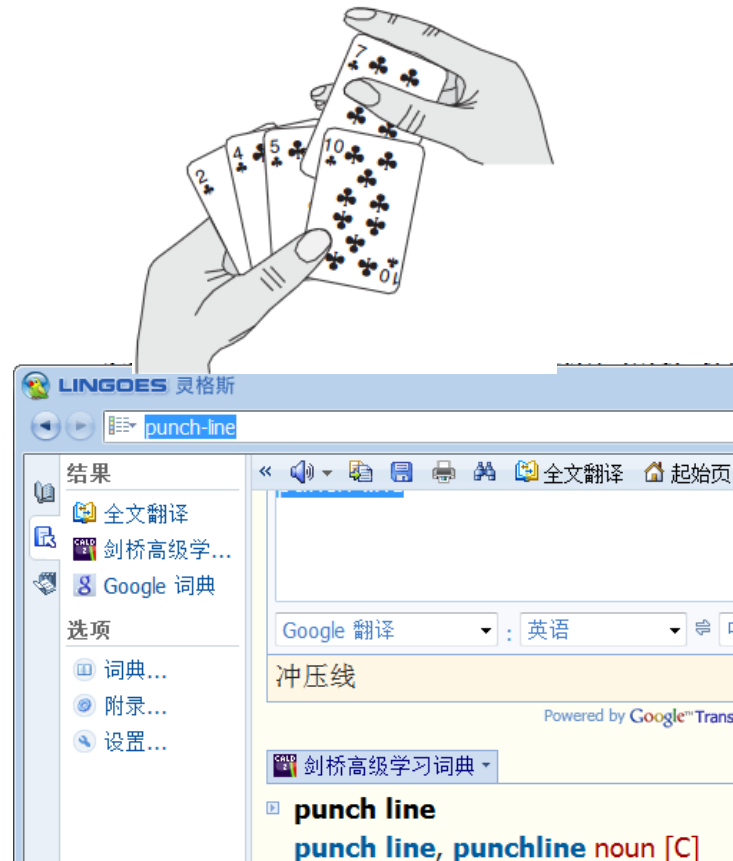
- Merge sort:  $c_2 n \log n$ ,  $c_2 ? = 50$

$$\frac{50 \cdot 10^7 \lg 10^7 \text{ instructions}}{10^7 \text{ instructions/second}} \approx 1163 \text{ seconds (less than 20 minutes)}$$

- Many important problems can be reduced to sorting, so we can use our clever  $O(n \log n)$  algorithms to do work

# Applications of Sorting - Searching

- Sorting an array can make searching an array more efficient, not only for humans, but also for computers.
- Binary search tests whether an item is in a dictionary in  $O(\log n)$  time, provided the keys are all sorted



# Applications of Sorting - Closest pair

- Given a set of  $n$  numbers, how do you find the pair of numbers that have the smallest difference between them?

5 1 12 -5 16 2 12 14

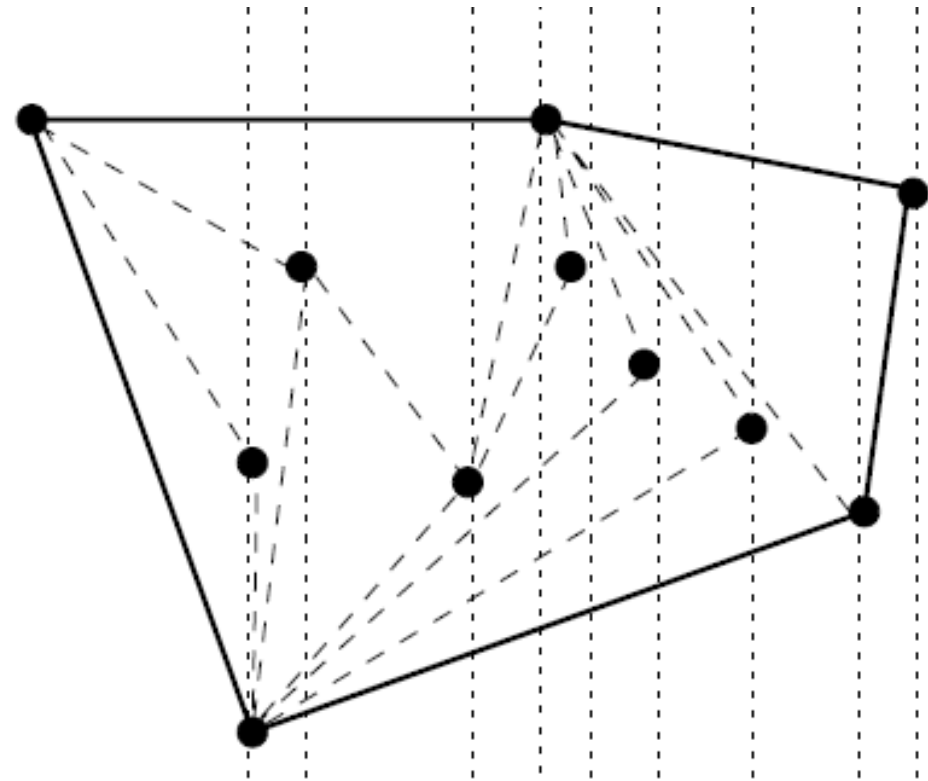
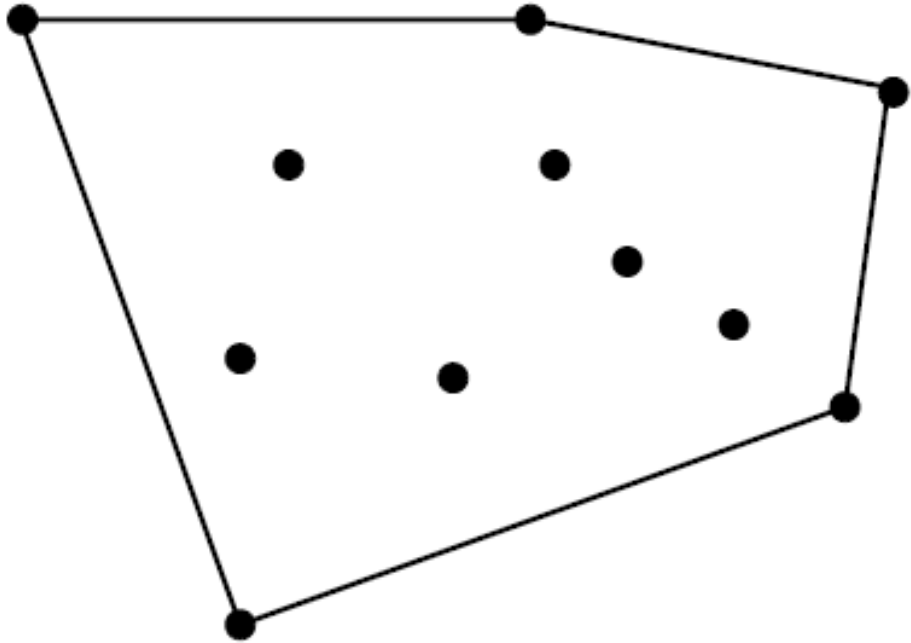
- Once the numbers are sorted, the closest pair of numbers must lie next to each other somewhere in sorted order. Thus, a linear-time scan through them completes the job:  $O(n) + O(n \log n) = O(n \log n)$

-5 1 2 5 12 12 14 16

- For point cloud processing: k-nearest neighbors or neighbors in ball

# Applications of Sorting – Convex Hull

- rubber band stretched over the points
- Collision detection in Game, etc.
- The total time is linear after the sorting has been done (by x-coordinate)



# Why review standard sorting when you are better off *not* implementing them and using built-in library functions instead?

1. Most of interesting **ideas** appear in sorting:
  - divide-and-conquer, data structures, randomized algorithms
1. Typical computer science students study the basic sorting algorithms at least **three times** before they graduate: first in **introductory programming**, then in **data structures**, and finally in their **algorithms** course.
2. Sorting is thoroughly studied. Dozens of diff algorithms exist, most of which possess some **particular advantage** over others in certain situations.



# Sorting!!

- Never be afraid to spend time sorting, provided you use an efficient sorting routine  $O(n \log n)$
- **Sorting lies at the heart of many algorithms. Sorting the data is one of the first things any algorithm designer should try in the quest for efficiency.**



# Sorting

Could you think of an algorithm now?

31	41	59	26	41	58
26	31	41	59	41	58
26	31	41	41	59	58
26	31	41	41	58	59



# First Idea in Our Head

**sort A**

for i = 1:n

    [B[i], pos] <- min A

    A.remove(pos)

end

**min A**

for i = 1:n

    if tmpv > A[i]

        tmpv = A[i]

        tmpi = i

    end

end

**A.remove(pos)**

A.Size <- A.size-1

for i = pos:A.size

    A[i] <- A[i+1]

end

“Noble’s method”, **easy to think, high cost for implementation:**

1. Additional storage space

2. High cost operation for array, even list  $O(c_1 n^2)$

# Selection Sort (When no additional storage space is allowed)

1.  $O(c_1 n^2)$ , quite inefficient for sorting large data volumes
2. Notable for **simple-to-code**

Algorithm:









































1. **sorted one** and **unsorted one**
2. At each step, algorithm finds **minimal element** in the **unsorted part** and adds it to the end of the **sorted one**

```
for i = 1:n,  
    k = i  
    //invariant: a[k] smallest of a[i..n]  
    for j = i+1:n  
        if a[j] < a[k] then k = j  
        //invariant: a[1..i] in final position  
    swap a[i,k]  
end
```



# Several Sort Algorithms

<http://www.sorting-algorithms.com>

	 Insertion	 Selection	 Bubble	 Shell	 Merge	 Heap	 Quick	 Quick3
 Random								
 Nearly Sorted								
 Reversed								
 Few Unique								

# Selection Sort - Complexity analysis

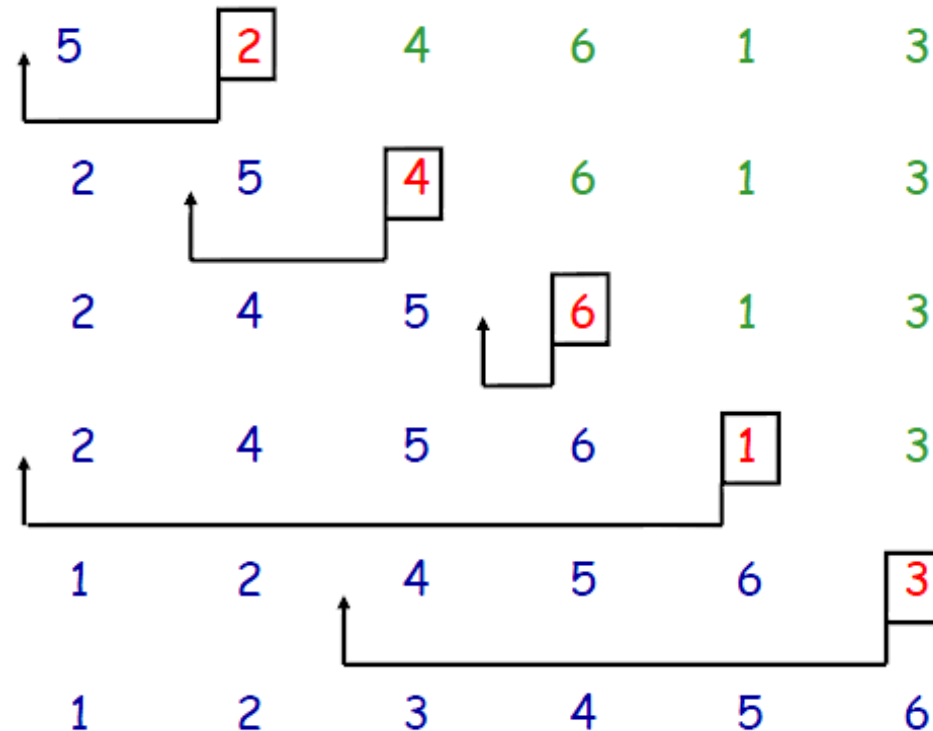
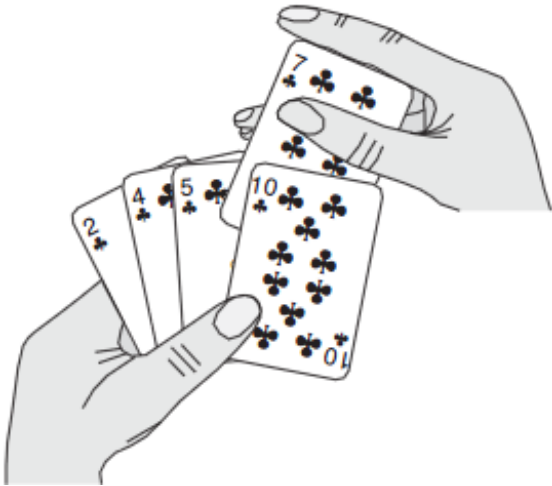
- Selection sort performs  $n$  iterations, where the average iteration takes  $n/2$  steps, for a total of  $O(n^2)$  time

```
for i = 1:n,  
    k = i  
    for j = i+1:n  
        if a[j] < a[k] then k = j  
    swap a[i,k]  
end
```

- Number of swaps may vary from zero (in case of sorted array) to  $n - 1$  (in case array was sorted in reversed order), which results in  $O(n)$  number of swaps.
- So selection sort requires  $n - 1$  number of swaps at most, makes it very efficient in situations, **when write operation is significantly more expensive, than read** operation.

# Insertion Sort

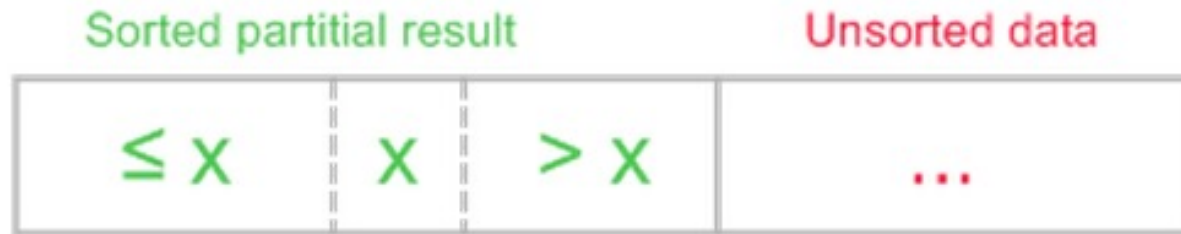
1. Although  $O(n^2)$ , it is applied in practice for sorting a **small** number of elements (8-12 elements).
2. Outperforms most of quadratic sorting algorithms, like selection & bubble
3. works the way many **people** sort a hand of playing cards
4. Somewhat resembles selection sort



# Insertion Sort, $O(c_1 n^2)$



becomes



1. **sorted one** and **unsorted one**
2. At the beginning, **sorted part** contains **first element** of the array and **unsorted one** contains the rest.
3. At each step, algorithm takes **first element** in the **unsorted part** and **inserts** it to the right place of the **sorted one**.

# Ideas of Insertion



16 > 5, swap

9 > 5, swap

7 > 5, swap

3 < 5 < 7, sifting is done

for  $i = 2:n$ ,

*//invariant:  $a[1..i]$  is sorted end*

for ( $k = i; k > 1 \ \&\& \ a[k] < a[k-1]; k--$ )

swap  $a[k,k-1]$

end

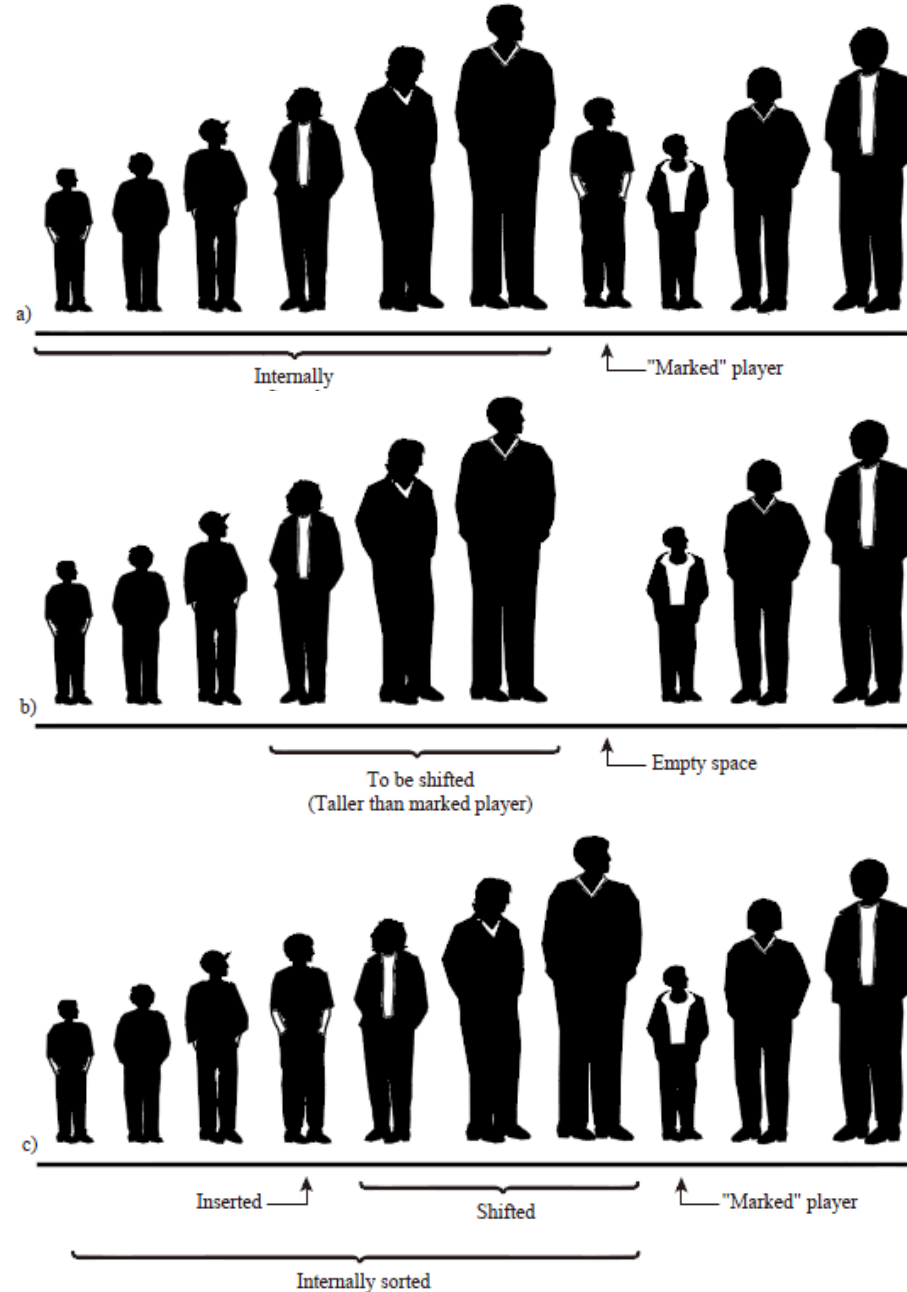
<http://www.sorting-algorithms.com>

Next implementation eliminates  
those unnecessary writes.





# Shifting instead of swapping



# Shifting instead of swapping

1	3	7	9	16	5
---	---	---	---	----	---

16 > 5, shift

1	3	7	9	?	16
---	---	---	---	---	----

9 > 5, shift

1	3	7	?	9	16
---	---	---	---	---	----

7 > 5, shift

1	3	5	7	9	16
---	---	---	---	---	----

3 < 5 < 7, shifting is done

↑ insert 5 to final position

**For** j=2:n

key<-A[j]

%Insert A[j] into the sorted sequence A[1 .. j-1]

**for** (i = j-1:0; A[i]>key; --i)

A[i+1] <-A[i]

A[i+1] <-key

**end**

# Selection & Insertion Sort

- Both  $O(n^2)$  , but insertion sort is faster.
- It uses the order info to **reduce** the average  $n/2$  operation furthermore.

```
for i = 1:n,  
    k <- i  
    for j = i+1:n  
        if a[j] < a[k] then k <- j  
        swap a[i,k]  
    end
```



becomes



# Pseudo Code

- A schematic description of the algorithm which can be **easily translated** to a “real” programming language
  - Common in the literature
  - Easily translated into real code

```
for i = 1:n,  
    k <- i  
    for j = i+1:n  
        if a[j] < a[k] then k <- j swap a[i,k]  
    end
```

- More information can be found in [CLRS] book

# Pseudo Code – Basic Operators

```
for i = 1:n,  
    k <- i  
    for j = i+1:n  
        if a[j] < a[k] then k <- j swap a[i,k]  
    end
```

- “←” – assignment operator
- “for”, “while”, “repeat-until” – loop operators
- “if-else”, “case” – condition operators
- “return” – return from procedure
- Lots of other operators with their meaning obvious from their names, e.g.,
  - “swap”, “delete”, “new”, ‘==’ etc.

# Pseudo Code - Conventions

- **Indentation** indicates block structure
- Variables are local to a given procedure
- $A[i]$  denotes the  $i$ -th element of the array  $A$ 
  - $A[i..j]$  denotes the subarray containing elements  $A[i], A[i+1], \dots, A[j]$
- An empty pointer value is denoted by **NIL**
- Fields (and methods) in the composite objects are accessed by “.”,
  - e.g. `Person.id`, `Person.FirstName`, `Person.FamilyName`, etc.