# Computer Graphics -Ray tracing 

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http://jicao.github.io/ComputerGraphics/


## Rendering = Scene to Image

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## Camera

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Image
Scene plane

## Two approaches to rendering


object order
or
rasterization

```
for each pixel in the image {
    for each object in the scene {
        if (object affects pixel) {
            do something
        }
    }
}
```

image order or
ray tracing

## Renderina - Pinhole Camera

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 one ray. We need to figure out which scene point each one hits.

## Rendering

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## Scene

## Dürer's Ray Casting Machine





## Dürer's Ray Casting Machine

- Albrecht Dürer, 16th century



## Dürer's Ray Casting Machine



## Ray tracing algorithm



## Generating eye rays



Parallel projection same direction, different origins


Perspective projection same origin, different directions

## Software interface for cameras

- Key operation: generate ray for image position

```
class Camera {
    Ray generateRay(int col, int row); «_ args go from 0,0
}
to width - I, height - I
```

- Modularity problem: Camera shouldn't have to worry about image resolution
- better solution: normalized coordinates

```
class Camera {
    Ray generateRay(float u, float v);
                \longleftarrow args go from 0,0 to I, I
}
```


## Specifying views in Ray I

<camera type="PerspectiveCamera"> <viewPoint>10 4.26 </viewPoint> <viewDir>-5 -2.1-3</viewDir> <viewUp>0 l 0</viewUp> <projDistance>6</projDistance> <viewWidth>4</viewWidth> <viewHeight>2.25</viewHeight> </camera>
<camera type="PerspectiveCamera"> <viewPoint>10 4.26 </viewPoint> <viewDir>-5-2.1-3</viewDir> <viewUp>0 l 0</viewUp> <projDistance>3</projDistance> <viewWidth>4</viewWidth> <viewHeight>2.25</viewHeight> </camera>


## Pixel-to-image mapping

- One last detail: exactly where are pixels located?



## Ray intersection



## Ray: a half line

- Standard representation: point $\mathbf{p}$ and direction $\mathbf{d}$

$$
\mathbf{r}(t)=\mathbf{p}+t \mathbf{d}
$$

- this is a parametric equation for the line
- lets us directly generate the points on the line
- if we restrict to $t>0$ then we have a ray
- note replacing $\mathbf{d}$ with $\alpha \mathbf{d}$ doesn't change ray ( $\alpha>0$ )


## Ray-sphere intersection: algebraic

- Condition I: point is on ray

$$
\mathbf{r}(t)=\mathbf{p}+t \mathbf{d}
$$

- Condition 2: point is on sphere
- assume unit sphere; see book or notes for general

$$
\begin{aligned}
& \|\mathbf{x}\|=1 \Leftrightarrow\|\mathbf{x}\|^{2}=1 \\
& f(\mathbf{x})=\mathbf{x} \cdot \mathbf{x}-1=0
\end{aligned}
$$

- Substitute:

$$
(\mathbf{p}+t \mathbf{d}) \cdot(\mathbf{p}+t \mathbf{d})-1=0
$$

- this is a quadratic equation in $t$


## Ray-sphere intersection: algebraic

- Solution for $t$ by quadratic formula:

$$
\begin{aligned}
& t=\frac{-\mathbf{d} \cdot \mathbf{p} \pm \sqrt{(\mathbf{d} \cdot \mathbf{p})^{2}-(\mathbf{d} \cdot \mathbf{d})(\mathbf{p} \cdot \mathbf{p}-1)}}{\mathbf{d} \cdot \mathbf{d}} \\
& t=-\mathbf{d} \cdot \mathbf{p} \pm \sqrt{(\mathbf{d} \cdot \mathbf{p})^{2}-\mathbf{p} \cdot \mathbf{p}+1}
\end{aligned}
$$

- simpler form holds when $\mathbf{d}$ is a unit vector
but we won't assume this in practice (reason later)
- I'll use the unit-vector form to make the geometric interpretation

Ray-sphere intersection: geometric

$$
\begin{aligned}
t_{m} & =-\mathbf{p} \cdot \mathbf{d} \\
l_{m}^{2} & =\mathbf{p} \cdot \mathbf{p}-(\mathbf{p} \cdot \mathbf{d})^{2} \\
\Delta t & =\sqrt{1-l_{m}^{2}} \\
& =\sqrt{(\mathbf{p} \cdot \mathbf{d})^{2}-\mathbf{p} \cdot \mathbf{p}+1} \\
t_{0,1} & =t_{m} \pm \Delta t=-\mathbf{p} \cdot \mathbf{d} \pm \sqrt{(\mathbf{p} \cdot \mathbf{d})^{2}-\mathbf{p} \cdot \mathbf{p}+1}
\end{aligned}
$$

## Ray-triangle intersection

- Condition I: point is on ray

$$
\mathbf{r}(t)=\mathbf{p}+t \mathbf{d}
$$

- Condition 2: point is on plane

$$
(\mathbf{x}-\mathbf{a}) \cdot \mathbf{n}=0
$$

- Condition 3: point is on the inside of all three edges
- First solve I\&2 (ray-plane intersection)
- substitute and solve for $t$ :

$$
\begin{array}{r}
(\mathbf{p}+t \mathbf{d}-\mathbf{a}) \cdot \mathbf{n}=0 \\
t=\frac{(\mathbf{a}-\mathbf{p}) \cdot \mathbf{n}}{\mathbf{d} \cdot \mathbf{n}}
\end{array}
$$

Ray-triangle intersection

- In plane, triangle is the intersection of 3 half spaces



## Ray-triangle intersection

- In plane, triangle is the intersection of 3 half spaces



## Deciding about insideness

- Need to check whether hit point is inside 3 edges
- easiest to do in 2D coordinates on the plane
- Will also need to know where we are in the triangle
- for textures, shading, etc. ... next couple of lectures
- Efficient solution: transform to coordinates aligned to the triangle


## Barycentric coordinates

- A coordinate system for triangles
- algebraic viewpoint:

$$
\begin{aligned}
& \mathbf{p}=\alpha \mathbf{a}+\beta \mathbf{b}+\gamma \mathbf{c} \\
& \alpha+\beta+\gamma=1
\end{aligned}
$$

- geometric viewpoint (areas):
- Triangle interior test:

$$
\alpha>0 ; \quad \beta>0 ; \quad \gamma>0
$$



## Barycentric coordinates

- A coordinate system for triangles
- geometric viewpoint: distances

- linear viewpoint: basis of edges

$$
\begin{aligned}
& \alpha=1-\beta-\gamma \\
& \mathbf{p}=\mathbf{a}+\beta(\mathbf{b}-\mathbf{a})+\gamma(\mathbf{c}-\mathbf{a})
\end{aligned}
$$

## Barycentric coordinates

- Linear viewpoint: basis for the plane

- in this view, the triangle interior test is just

$$
\beta>0 ; \quad \gamma>0 ; \quad \beta+\gamma<1
$$

## Barycentric ray-triangle intersection

- Every point on the plane can be written in the form:

$$
\mathbf{a}+\beta(\mathbf{b}-\mathbf{a})+\gamma(\mathbf{c}-\mathbf{a})
$$

for some numbers $\boldsymbol{\beta}$ and $\gamma$.

- If the point is also on the ray then it is

$$
\mathbf{p}+t \mathbf{d}
$$

for some number $t$.

- Set them equal: 3 linear equations in 3 variables

$$
\mathbf{p}+t \mathbf{d}=\mathbf{a}+\beta(\mathbf{b}-\mathbf{a})+\gamma(\mathbf{c}-\mathbf{a})
$$

$\ldots$ solve them to get $\boldsymbol{t}, \boldsymbol{\beta}$, and $\gamma$ all at once!

## Barycentric ray-triangle intersection

$$
\begin{aligned}
\mathbf{p}+t \mathbf{d} & =\mathbf{a}+\beta(\mathbf{b}-\mathbf{a})+\gamma(\mathbf{c}-\mathbf{a}) \\
\beta(\mathbf{a}-\mathbf{b})+\gamma(\mathbf{a}-\mathbf{c})+t \mathbf{d} & =\mathbf{a}-\mathbf{p} \\
{\left[\begin{array}{lll}
\mathbf{a}-\mathbf{b} & \mathbf{a}-\mathbf{c} & \mathbf{d}
\end{array}\right]\left[\begin{array}{l}
\beta \\
\gamma \\
t
\end{array}\right] } & =[\mathbf{a}-\mathbf{p}] \\
{\left[\begin{array}{lll}
x_{a}-x_{b} & x_{a}-x_{c} & x_{d} \\
y_{a}-y_{b} & y_{a}-y_{c} & y_{d} \\
z_{a}-z_{b} & z_{a}-z_{c} & z_{d}
\end{array}\right]\left[\begin{array}{l}
\beta \\
\gamma \\
t
\end{array}\right] } & =\left[\begin{array}{l}
x_{a}-x_{p} \\
y_{a}-y_{p} \\
z_{a}-z_{p}
\end{array}\right]
\end{aligned}
$$

Cramer's rule is a good fast way to solve this system (see text Ch. 2 and Ch. 4 for details)

## Ray intersection in software

- All surfaces need to be able to intersect rays with themselves.



## Image so far

- With eye ray generation and sphere intersection

```
Surface s = new Sphere((0.0, 0.0, 0.0), 1.0);
for 0<= iy < ny
    for 0<= ix< nx {
        ray = camera.getRay(ix, iy);
        hitSurface, t = s.intersect(ray, 0, +inf)
        if hitSurface is not null
        image.set(ix, iy, white);
    }
```



## Ray intersection in software

- Scenes usually have many objects
- Need to find the first intersection along the ray
- that is, the one with the smallest positive $t$ value
- Loop over objects
- ignore those that don't intersect
- keep track of the closest seen so far
- Convenient to give rays an ending $t$ value for this purpose (then they are really segments)


## Intersection against many shapes

- The basic idea is:

```
intersect (ray, tMin, tMax) {
    tBest = +inf; firstSurface = null;
    for surface in surfaceList {
        hitSurface, t = surface.intersect(ray, tMin, tBest);
        if hitSurface is not null {
            tBest = t;
            firstSurface = hitSurface;
        }
    }
    return hitSurface, tBest;
}
```

- this is linear in the number of shapes
- real applications use sublinear methods (acceleration structures) which we will see later


## Image so far

## - With eye ray generation and scene intersection

```
for 0<= iy < ny
    for 0<= ix < nx {
        ray = camera.getRay(ix, iy);
        c = scene.trace(ray, 0, +inf);
        image.set(ix, iy, c);
    }
Scene.trace(ray, tMin, tMax) {
    surface, t = surfs.intersect(ray, tMin, tMax);
    if (surface!= null) return surface.color();
    else return black;
}
```


## Shading

- Compute light reflected toward camera
- Inputs:
- eye direction
- light direction
(for each of many lights)
- surface normal
- surface parameters (color, roughness, ...)


## Shading philosophy

- Goals of shading depend on purpose of image
- visualization, CAD: maximize visual clarity
- visual effects, advertising: maximize resemblance to reality
- animation, games: somewhere in between
- Basic starting point: physics of light reflection
- a set of useful approximations to real surfaces
- can remove things for simplicity/clarity
- can add things for increased accuracy/realism


## Light

- Think of light as a flow of particles through space
- disregarding wave nature: polarization, interference, diffraction
- for now disregarding color: only how much light
- Sources of light
- point sources (a flashlight) $\leftarrow$ we will stick to this for now.
- directional sources (the sun)
- area sources (a fluorescent tube)
- environment sources (the sky)


## Light falloff

## Irradiance from isotropic point source

- A sphere surrounding the source receives all the power
- A small, flat surface of area $A$ facing the source receives a fraction (area of surface) / (area of sphere) of that power:

$$
P_{A}=P \frac{A}{4 \pi r^{2}}
$$

- Irradiance is power per unit area:


$$
E=P_{A} / A=\frac{P}{4 \pi r^{2}}=\frac{P}{4 \pi} \frac{1}{r^{2}}
$$


intensity geometry factor

## Lambert's cosine law



Top face of cube receives a certain amount of light


Top face of $60^{\circ}$ rotated cube intercepts half the light


In general, light per unit area is proportional to $\cos \theta=\mathbf{I} \cdot \mathbf{n}$

## Irradiance from isotropic point source

- A surface of area $A$ facing at an angle to the source receives a factor of $\cos \theta$ less light:

$$
P_{A}=P \frac{A \cos \theta}{4 \pi r^{2}}
$$

- Irradiance is power per unit area:

$$
E=P_{A} / A=\frac{P}{4 \pi} \frac{\cos \theta}{r^{2}}
$$

## Diffuse reflection

- Simplest reflection model
- Reflected light is independent of view direction
- Reflected light is proportional to irradiance
- constant of proportionality is the diffuse reflection coefficient

$$
L_{d}=k_{d} E
$$

- More useful to think in terms of reflectance
- reflectance is the fraction reflected (between 0 and I)

$$
L_{d}=\frac{R_{d}}{\pi} E
$$

- will have to explain the factor of pi later


## Lambertian shading

- Shading independent of view direction



## Lambertian shading

- Produces matte appearance


$$
k_{d} \longrightarrow
$$

## Image so far - diffuse shading

```
Scene.trace(Ray ray, tMin, tMax) {
    surface, t = hit(ray, tMin, tMax);
    if surface is not null {
        point = ray.evaluate(t);
        normal = surface.getNormal(point);
        return surface.shade(ray, point,
            normal, light);
    }
    else return backgroundColor;
}
Surface.shade(ray, point, normal, light) {
    v = -normalize(ray.direction);
    l = normalize(light.pos - point);
    // compute shading
}
```



## Shadows

- Surface is only illuminated if nothing blocks the light - i.e. if the surface can "see" the light
- With ray tracing it's easy to check
- just intersect a ray with the scene!



## Image so far

```
Surface.shade(ray, point, normal, light) {
    shadRay = (point, light.pos - point);
    if (shadRay not blocked) {
        v = -normalize(ray.direction);
        l = normalize(light.pos - point);
        // compute shading
    }
    return black;
}
```



## Shadow rounding errors

- Don't fall victim to one of the classic blunders:

-What's going one?
- Hint: at what $t$ does the shadow ray intersect the surface your are shading?


## Shadow rounding errors

- Solution: shadow rays start a tiny distance from the surface

- Do this by moving the start point, or by limiting the $t$ range


## Multiple lights

- Important to fill in black shadows
- Just loop over lights, add contributions
- Ambient shading
- black shadows are not really right
- one solution: dim light at camera
- alternative: add a constant "ambient" color to the shading...


## Image so far

```
shade(ray, point, normal, lights) {
    result = ambient;
    for light in lights {
        if (shadow ray not blocked) {
        result += shading contribution;
        }
    }
    return result;
}
```



## Specular reflection

- Intensity depends on view direction
- bright near mirror configuration


Caution: in notes and assignment, $\mathbf{v}$ is called $\omega_{r}$ and $\mathbf{l}$ is called $\omega_{i}$. No meaningful difference, just notational.

## Specular shading (Blinn-Phong)

- Intensity depends on view direction
- bright near mirror configuration



## Specular shading (Blinn-Phong)

- Close to mirror $\Leftrightarrow$ half vector near normal
- Measure "near" by dot product of unit vectors


$$
\begin{aligned}
\mathbf{h} & =\operatorname{bisector}(\mathbf{v}, \mathbf{l}) \\
& =\frac{\mathbf{v}+\mathbf{l}}{\|\mathbf{v}+\mathbf{l}\|}
\end{aligned}
$$

let's work with the expression:

$$
\begin{aligned}
& (\cos \alpha)^{p} \\
= & (\mathbf{n} \cdot \mathbf{h})^{p}
\end{aligned}
$$

## Phong model-plots

- Increasing p narrows the peak
- corresponds to increasing "shininess"



## Specular shading (Blinn-Phong)

note: this model is officially called "modified Blinn-Phong."

specular coefficient

## Specular shading

- Blinn-Phong


$$
p \longrightarrow
$$

Diffuse + Phong shading


## Ambient shading

- Shading that does not depend on anything
- add constant color to account for disregarded illumination and fill in black shadows



## Mirror reflection

- Consider perfectly shiny surface
- there isn't a highlight
- instead there's a reflection of other objects
- Can render this using recursive ray tracing
- to find out mirror reflection color, ask what color is seen from surface point in reflection direction
- already computing reflection direction for Phong...
- "Glazed" material has mirror reflection and diffuse

$$
L=L_{a}+L_{r}+L_{m}
$$

- where $L_{m}$ is evaluated by tracing a new ray

Mirror reflection

- Intensity depends on view direction
- reflects incident light from mirror direction


$$
\begin{aligned}
\mathbf{r} & =\mathbf{v}+2((\mathbf{n} \cdot \mathbf{v}) \mathbf{n}-\mathbf{v}) \\
& =2(\mathbf{n} \cdot \mathbf{v}) \mathbf{n}-\mathbf{v}
\end{aligned}
$$

## Diffuse + mirror reflection (glazed)


(glazed material on floor)

Specular shading

diffuse

specular

## Light reflection: full picture

- when writing a shader, think like a bug standing on the surface
- bug sees an incident distribution of light arriving at the surface
- physics question: what is the outgoing distribution of light?

incident distribution (function of direction)

reflected distribution (function of direction)


## General shading by bidirectional reflectance distribution function (BRDF)



## Smooth surfaces


metal
dielectric


## Ideal specular reflection

- Smooth surfaces of pure materials have ideal specular reflection
- Metals (conductors) and dielectrics (insulators) behave differently
- Reflectance (fraction of light reflected) depends on angle

metal

dielectric


## Reflection and transmission



- Law of reflection:

$$
\theta_{i}=\theta_{r}
$$

Index of refraction is speed of light, relative to speed of light in vacuum $=c / v, c$ is speed in vacuum

Vacuum: 1.0
Air: 1.000277
Water: 1.33
Glass: 1.49

■ Snell's law of refraction:

$$
\eta_{\mathrm{i}} \sin \theta_{\mathrm{I}}=\eta_{\mathrm{t}} \sin \theta_{\mathrm{t}}
$$

$\square$ where $\eta_{\mathrm{i}}, \eta_{\mathrm{t}}$ are indices of refraction.

## Translucency

- Most real objects are not transparent, but blur the background image
- Scatter light on other side of surface

- Use stochastic sampling (called distributed ray tracing)


## Transmission + Translucency Example



## Total Internal Reflection

- The equation for the angle of refraction can be computed from Snell's law:
- What happens when $\eta_{\mathrm{i}}>\eta_{\mathrm{t}}$ ?
- When $\theta_{t}$ is exactly $90^{\circ}$, we say that $\theta_{I}$ has achieved the "critical angle" $\theta_{c}$.
- For $\theta_{I}>\theta_{c}$, no rays are transmitted, and only reflection occurs, a phenomenon known as "total internal reflection" or TIR.



## Reflected and transmitted rays

- For incoming ray $P(t)=P+t d$
- Compute input cosine and sine vectors $\boldsymbol{C}_{i}$ and $\boldsymbol{S}_{i}$
- Reflected ray vector $\boldsymbol{R}=\boldsymbol{C}_{i}+\boldsymbol{S}_{i}$
- Compute output cosine and sine vectors $\boldsymbol{C}_{i}$ and $\boldsymbol{S}_{i}$
- Transmitted ray vector $\boldsymbol{T}=\boldsymbol{C}_{t}+\boldsymbol{S}_{t}$
$\mathbf{C}_{\mathbf{i}}=\mathbf{N}(-\mathbf{d} \cdot \mathbf{N})$



## Recursive Shading Model

$$
L_{r}=\left(\frac{R}{\pi}+k_{s}(\mathbf{n} \cdot \mathbf{h})^{p}\right) \frac{\max (0, \mathbf{n} \cdot \mathbf{l})}{r^{2}} I
$$

- Global ambient term, emission from material
- For each light, diffuse specular terms
- Highlighted terms are recursive specularities [mirror reflections] and transmission (latter is extra)
- Trace secondary rays for mirror reflections and refractions, include contribution in lighting model

Texture coordinates on meshes

- Texture coordinates are per-vertex data like vertex positions
- can think of them as a second position: each vertex has a position in 3D space and in 2D texture space
- How to come up with ( $u, v$ )s for points inside triangles?

> | 09 | 19 | 29 | 39 | 49 | 59 | 69 | 79 | 89 | 99 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 08 | 18 | 28 | 38 | 48 | 58 | 68 | 78 | 88 | 98 |
| 07 | 17 | 27 | 3 | 4 | 4 | 57 | 67 | 77 | 87 |
| 06 | 16 | 26 | 30 | 46 | 5 | 66 | 76 | 86 | 96 |
| 05 | 15 | 25 | 85 | 45 | 55 | 6 | 75 | 85 | 95 |
| 04 | 14 | 2 | 34 | 44 | 54 | 64 | 7 | 84 | 94 |
| 03 | 13 | 2 | 3 | 33 | 43 | 53 | 65 | 73 | 83 |
| 02 | 12 | 22 | 32 | 42 | 52 | 62 | 72 | 82 | 92 |
| 01 | 11 | 21 | 31 | 41 | 51 | 61 | 71 | 81 | 91 |
| 00 | 10 | 20 | 30 | 40 | 50 | 60 | 70 | 80 | 90 |



## Linear interpolation, ID domain

- Given values of a function $f(x)$ for two values of $x$, you can define in-between values by drawing a line


See textbook Sec. 2.6

- there is a unique line through the two points
- can write down using slopes, intercepts
- ...or as a value added to f(a)
- ...or as a convex combination of $f(a)$ and $f(b)$

$$
\begin{aligned}
f(x) & =f(a)+\frac{x-a}{b-a}(f(b)-f(a)) \\
& =(1-\beta) f(a)+\beta f(b) \\
& =\alpha f(a)+\beta f(b)
\end{aligned}
$$

## Linear interpolation in ID

- Alternate story
I. write $x$ as convex combination of $a$ and $b$

$$
x=\alpha a+\beta b \quad \text { where } \alpha+\beta=1
$$

2. use the same weights to compute $f(x)$ as a convex combination of $f(a)$ and $f(b)$

$$
f(x)=\alpha f(a)+\beta f(b)
$$

## Linear interpolation in ID



## Linear interpolation in 2D

- Use the alternate story:
I. Write $\mathbf{x}$, the point where you want a value, as a convex linear combination of the vertices

$$
\mathbf{x}=\alpha \mathbf{a}+\beta \mathbf{b}+\gamma \mathbf{c} \quad \text { where } \alpha+\beta+\gamma=1
$$

2. Use the same weights to compute the interpolated value $f(\mathbf{x})$ from the values at the vertices, $f(\mathbf{a}), f(\mathbf{b})$, and $f(\mathbf{c})$

$$
f(\mathbf{x})=\alpha f(\mathbf{a})+\beta f(\mathbf{b})+\gamma f(\mathbf{c})
$$

## Interpolation in ray tracing

- When values are stored at vertices, use linear (barycentric) interpolation to define values across the whole surface that:
I. ...match the values at the vertices

2. ...are continuous across edges
3. ... are piecewise linear (linear over each triangle)
as a function of 3D position, not screen position-more later

- How to compute interpolated values

4. during triangle intersection compute barycentric coords
5. use barycentric coords to average attributes given at vertices

## What to interpolate?

- Texture coordinates
- without interpolating there can't really be textures
- Surface normals
- for smooth surfaces approximated with meshes
- use interpolated normal for shading in place of actual normal
- "shading normal" vs. "geometric normal"

geometric normals

interpolated normals


## Acceleration

- Testing each object for each ray is slow
- Fewer Rays
- Adaptive sampling, depth control
- Generalized Rays
- Beam tracing, cone tracing, pencil tracing etc.
- Faster Intersections (more on this later)
- Optimized Ray-Object Intersections
- Fewer Intersections


## Acceleration Structures

- Bounding boxes (possibly hierarchical)
- If no intersection bounding box, needn't check objects

- Spatial Hierarchies (Oct-trees, kd trees, BSP trees)


## Acceleration and Regular Grids

- Simplest acceleration, for example $5 \times 5 \times 5$ grid
- For each grid cell, store overlapping triangles
- March ray along grid (need to be careful with this), test against each triangle in grid cell

- More sophisticated: kd-tree, oct-tree bsp-tree
- Or use (hierarchical) bounding boxes


## Motivation: Effects needed for Realism



Reflections
(Mirrors and Glossy)
(Soft)Shadows

Transparency, Refractions
(Water, Glass)


Inter reflections (Color Bleeding)


## Motivation: Effects needed for Realism

- (Soft) Shadows
- Reflections (Mirrors and Glossy)
- Transparency (Water, Glass)
- Inter reflections (Color Bleeding)
- Complex Illumination (Natural, Area Light)
- Realistic Materials (Velvet, Paints, Glass)
- ...


## References

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