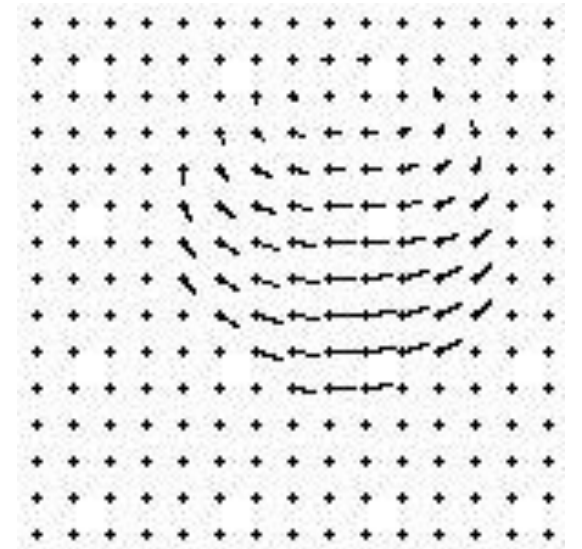
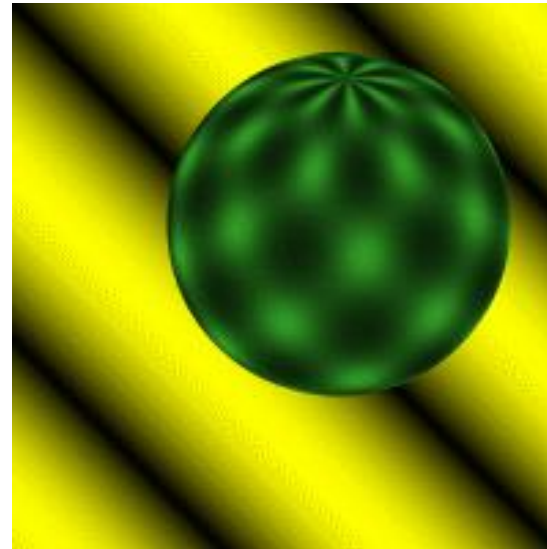


# Computer Vision

## - Motion & Optical flow

Junjie Cao @ DLUT

Spring 2018



# We live in a moving world

- Perceiving, understanding and predicting motion is an important part of our daily lives



-- from Linda Shapiro

# Motion and perceptual organization

- Even “impoverished” motion data can evoke a strong percept



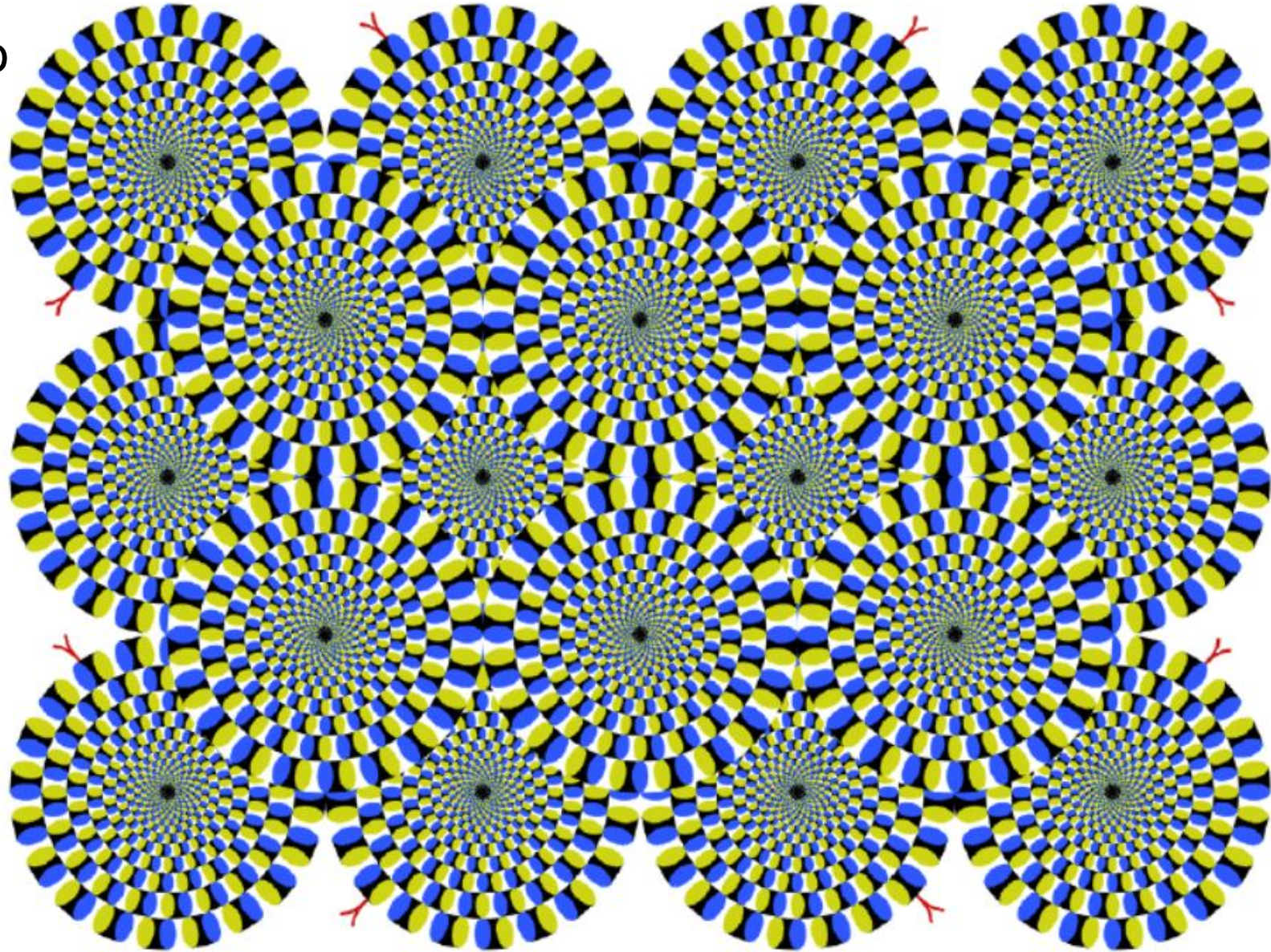
G. Johansson, “Visual Perception of Biological Motion and a Model For Its Analysis”, *Perception and Psychophysics* 14, 201-211, 1973.

-- from Linda Shapiro



# Seeing motion from a static picture?

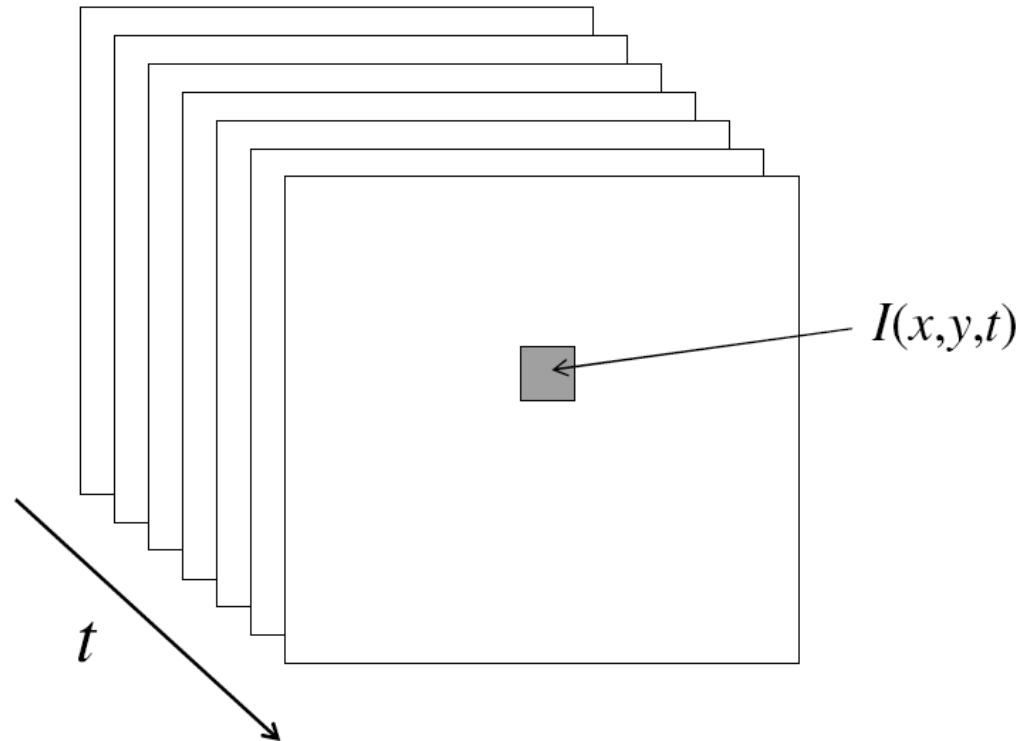
- The true mechanism is yet to be revealed
- FMRI data suggest that illusion is related to some component of eye movements
- We don't expect computer vision to "see" motion from these stimuli, yet



-- from Linda Shapiro

# Video

- A video is a sequence of frames captured over time
- Now our image data is a function of space (x, y) and time (t)



# The cause of motion

- Three factors in imaging process
  - Light
  - Object
  - Camera
- Varying either of them causes motion
  - Static camera, moving objects (surveillance)
  - Moving camera, static scene (3D capture)
  - Moving camera, moving scene (sports, movie)
  - Static camera, moving objects, moving light (time lapse)





# Motion scenarios (priors)

-- from Linda Shapiro



Static camera, moving objects (surveillance)



Moving camera, static scene (3D capture)



Moving camera, moving scene (sports, movie)



Static camera, moving objects, moving light (time lapse)

# We still don't touch these areas



-- from Linda Shapiro



# Uses of motion

- Estimating 3D structure
- Segmenting objects based on motion cues
- Learning dynamical models
- Recognizing events and activities
- Improving video quality (motion stabilization)



# How can we recover motion?

# Recovering motion

- **Feature-tracking**
  - Extract visual features (corners, textured areas) and “track” them over multiple frames
- **Optical flow**
  - Recover image motion at each pixel from spatio-temporal image brightness variations (optical flow)
- **Two problems, one registration method**

B. Lucas and T. Kanade. An iterative image registration technique with an application to stereo vision. In Proceedings of the International Joint Conference on Artificial Intelligence, pp. 674–679, 1981.

-- from Linda Shapiro



# Motion estimation techniques

- **Direct methods**

- Directly recover image motion at each pixel from spatio-temporal image brightness variations
- Dense motion fields, but sensitive to appearance variations
- Suitable for video and when image motion is small

- **Feature-based methods**

- Extract visual features (corners, textured areas) and track them over multiple frames
- Sparse motion fields, but more robust tracking
- Suitable when image motion is large (10s of pixels)

- **Two problems, **one** registration method**

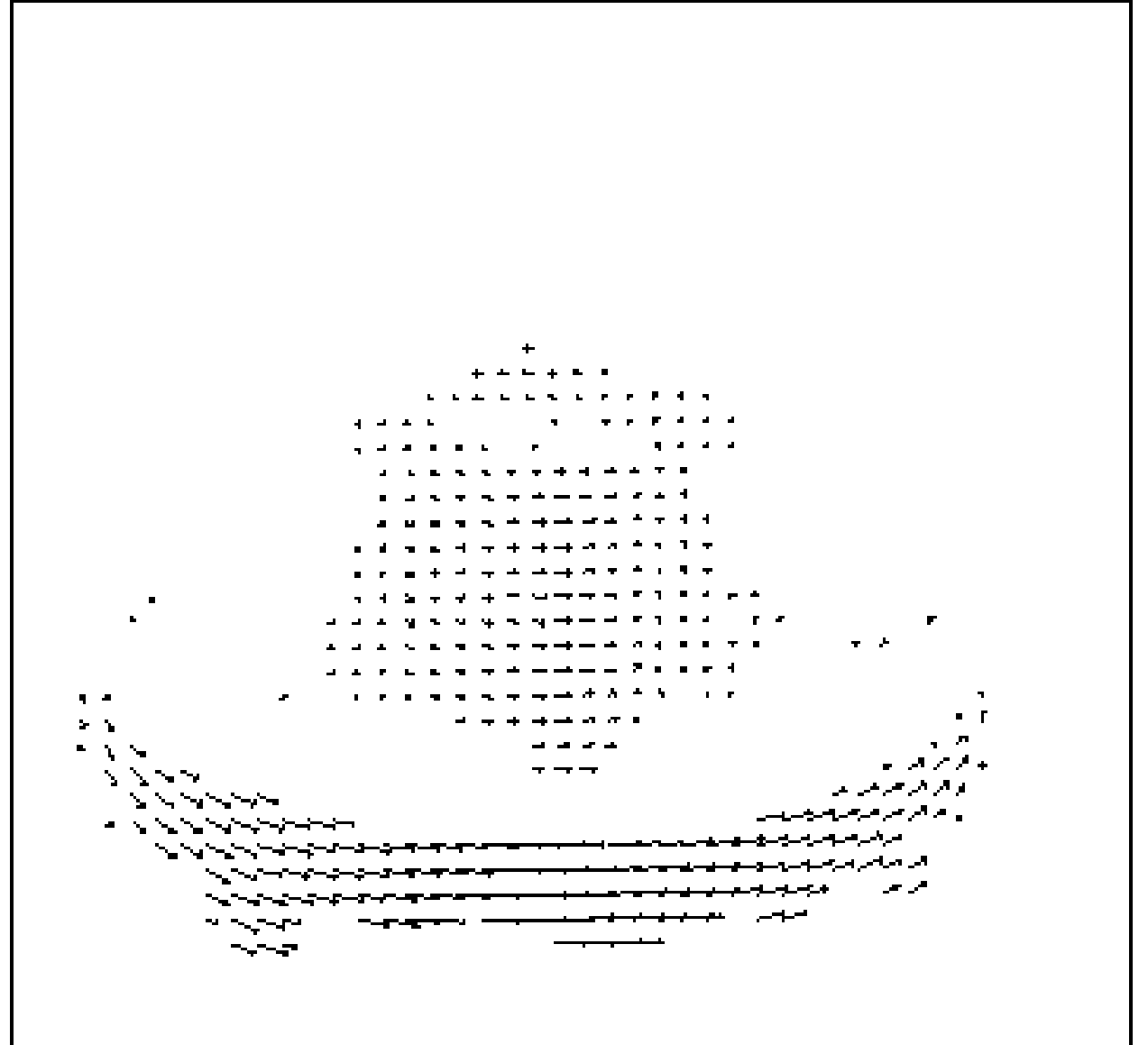
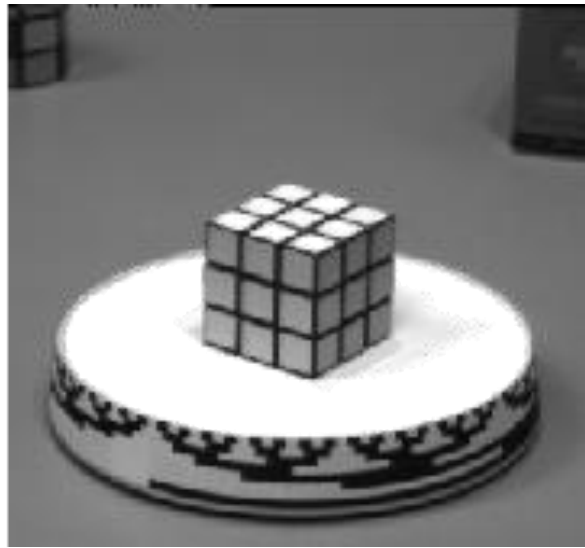
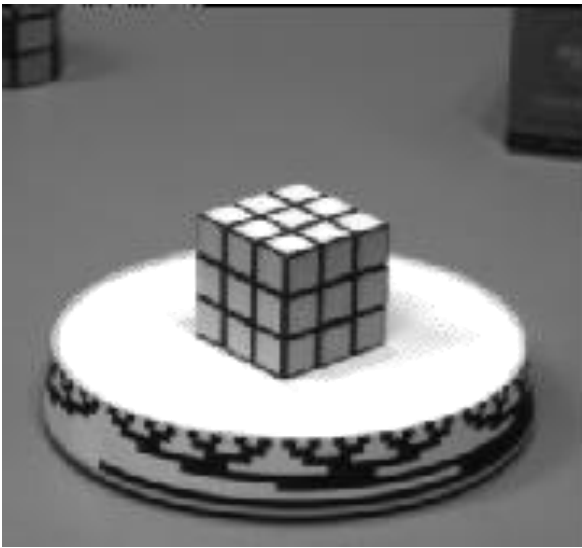
B. Lucas and T. Kanade. An iterative image registration technique with an application to stereo vision. In Proceedings of the International Joint Conference on Artificial Intelligence, pp. 674–679, 1981.

# Challenges

- **Figure out which features can be tracked**
- **Efficiently track across frames**
- **Some points may change appearance over time (e.g., due to rotation, moving into shadows, etc.)**
- **Drift: small errors can accumulate as appearance model is updated**
- **Points may appear or disappear: need to be able to add/delete tracked points**

# Motion field

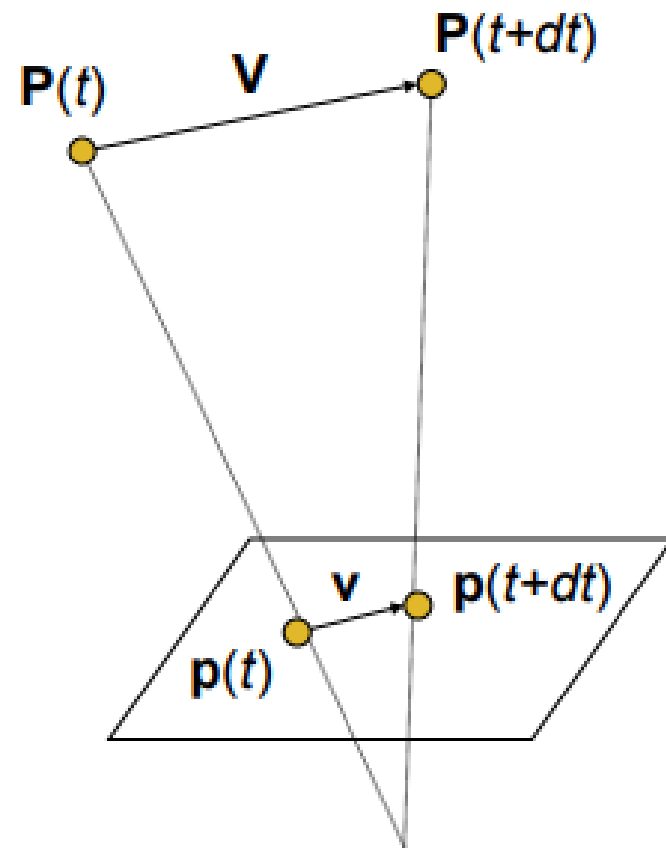
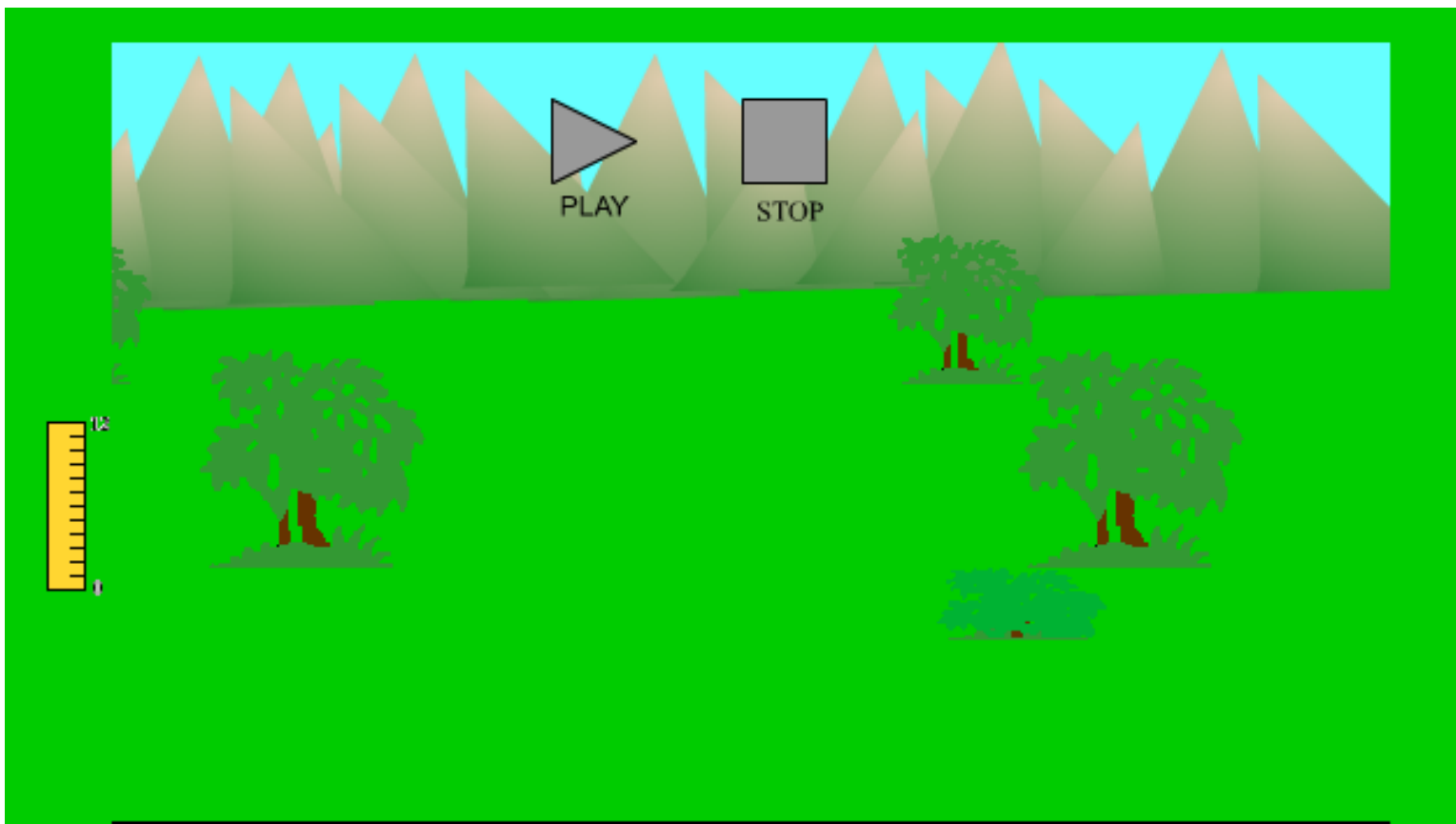
- The motion field is the projection of the 3D scene motion into the image





# Motion parallax 运动视差

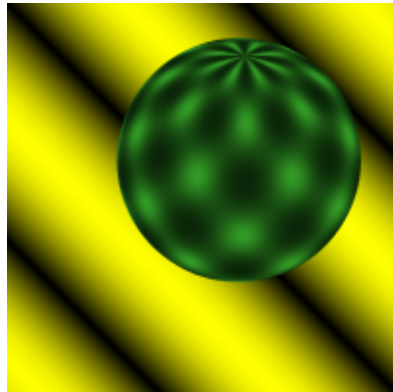
- Motion parallax is a **depth cue** that results from our motion.
  - As we move, objects that are closer to us move farther across our field of view than do objects that are in the distance.



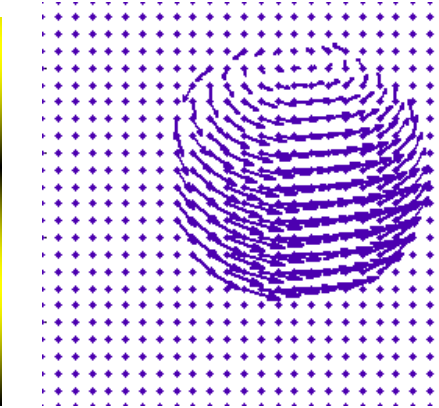
# What is Optical Flow?

The **optical flow** is a velocity field in the image which transforms one image into the next image in a sequence

[ Horn&Schunck ]



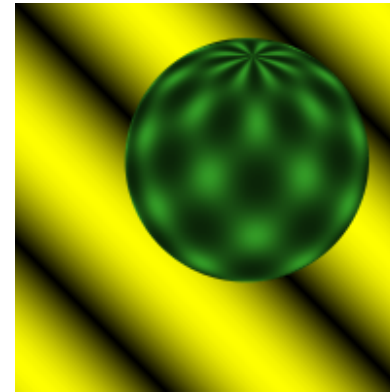
frame #1



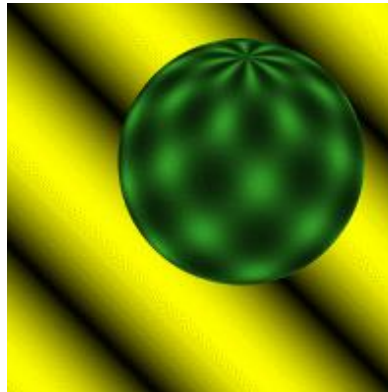
+

flow field

=

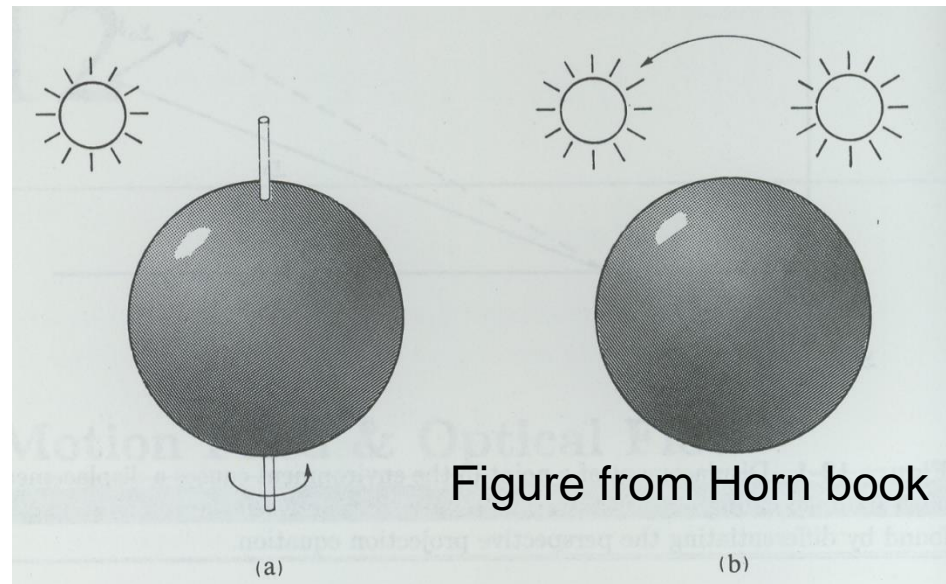


frame #2



# Optical flow (Apparent motion) != motion field

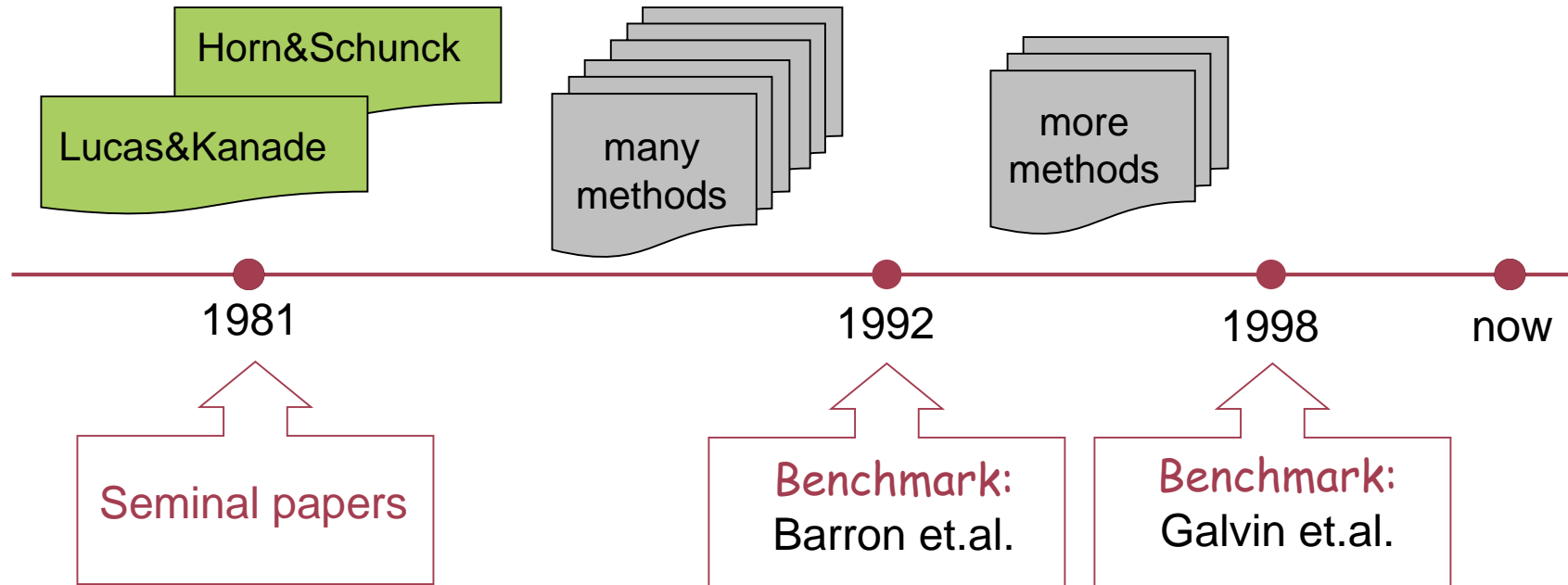
- **Definition:** optical flow is the **apparent motion** of **brightness patterns** in the image
- **Ideally, optical flow would be the same as the motion field**
- **Have to be careful:** apparent motion can be caused by lighting changes without any actual motion



The **motion field** ... is the projection into the image of three-dimensional motion vectors [Horn&Schunck]

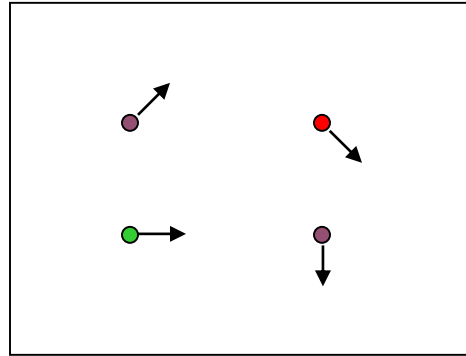


# Optical Flow Research: Timeline

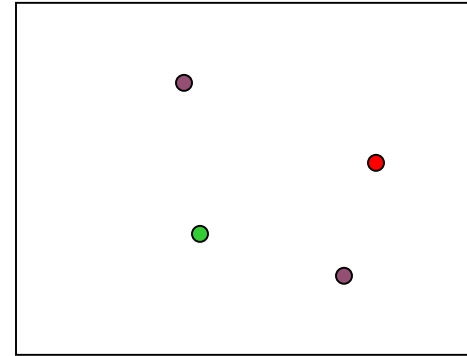


**A slow and not very consistent improvement in results,  
but a lot of useful ingredients were developed**

# Problem Definition: Optical Flow



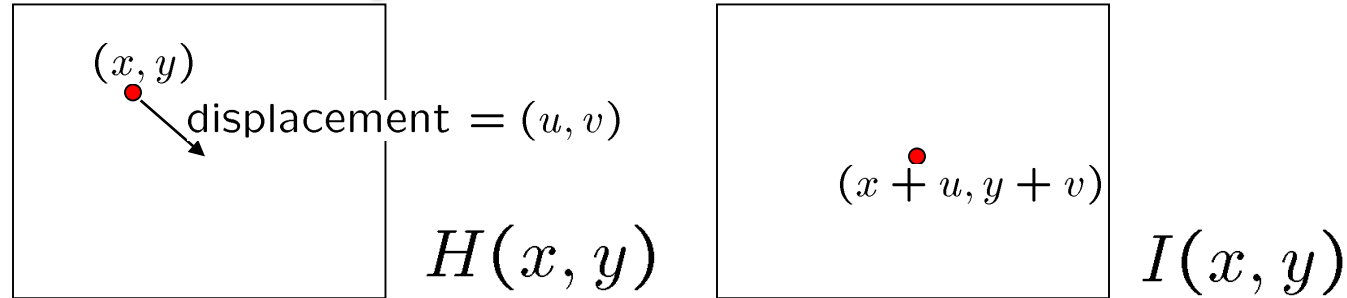
$H(x, y)$



$I(x, y)$

- How to estimate pixel motion from image  $H$  to image  $I$ ?
  - Find pixel correspondences
    - Given a pixel in  $H$ , look for nearby pixels of the same color in  $I$
- Key assumptions
  - **color constancy**: a point in  $H$  looks “the same” in image  $I$ 
    - For grayscale images, this is brightness constancy
  - **small motion**: points do not move very far

# Brightness constancy constraint



- Brightness Constancy Equation:

$$I(x, y, t) = I(x + u, y + v, t + 1)$$

Take Taylor expansion of  $I(x+u, y+v, t+1)$  at  $(x, y, t)$  to linearize the right side:

$$I(x + u, y + v, t + 1) \approx I(x, y, t) + \overset{\text{Image derivative along x}}{I_x} \cdot u + I_y \cdot v + \overset{\text{Difference over frames}}{I_t}$$

$$I(x + u, y + v, t + 1) - I(x, y, t) = +I_x \cdot u + I_y \cdot v + I_t$$

So:  $I_x \cdot u + I_y \cdot v + I_t \approx 0 \quad \rightarrow \nabla I \cdot [u \ v]^T + I_t = 0$

# Brightness constancy constraint (for gray image)

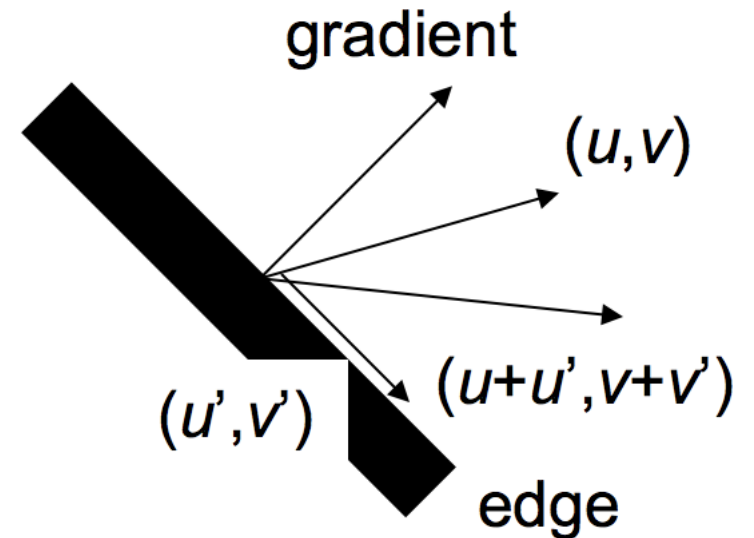
- Can we use this equation to recover image motion  $(u,v)$  at each pixel?

$$\nabla I \cdot [u \ v]^T + I_t = 0$$

- How many equations and unknowns per pixel?
  - One equation (this is a scalar equation!), two unknowns  $(u,v)$
- The component of the motion perpendicular to the gradient (i.e., parallel to the edge) cannot be measured

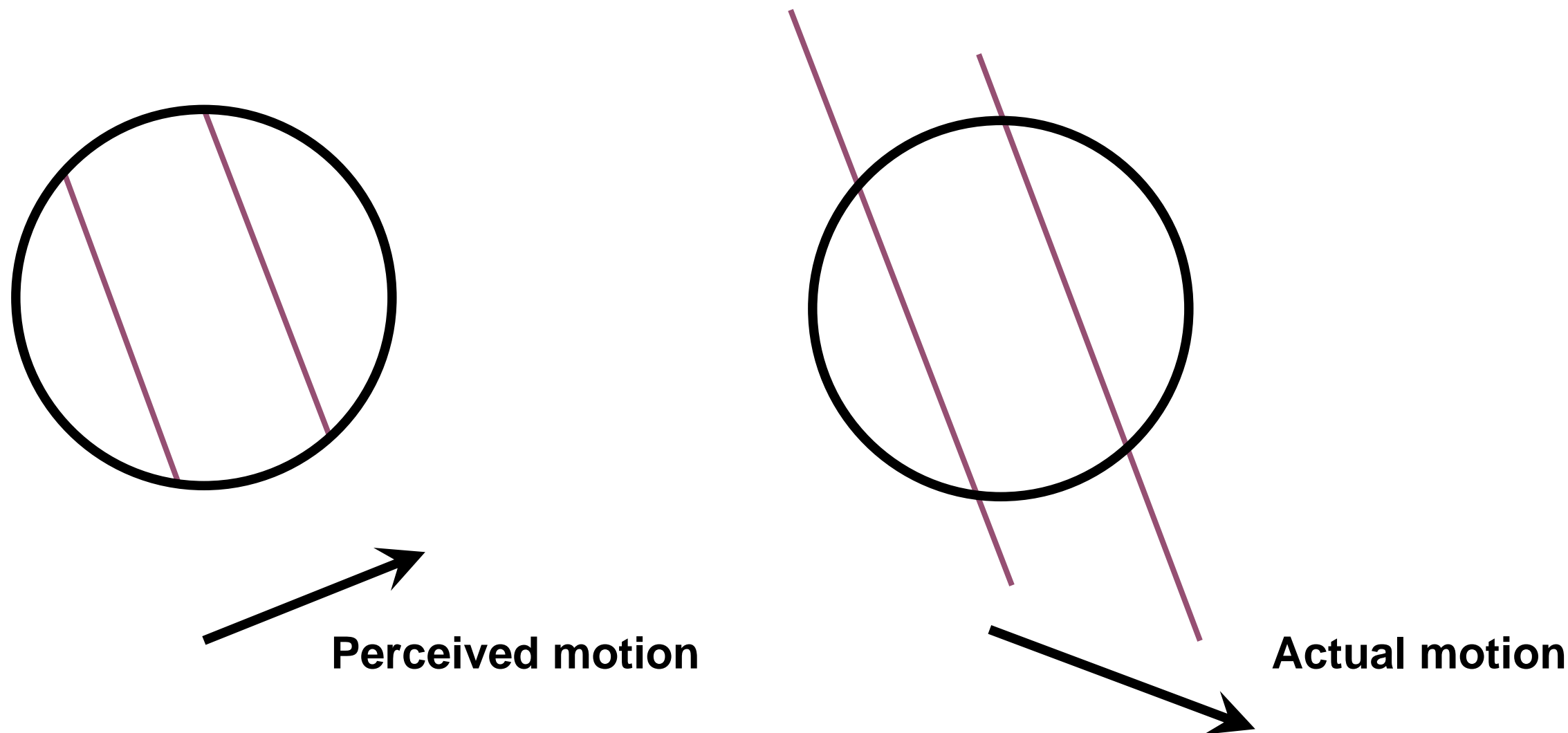
If  $(u, v)$  satisfies the equation,  
so does  $(u+u', v+v')$  if

$$\nabla I \cdot [u' \ v']^T = 0$$





# The aperture problem 孔径问题



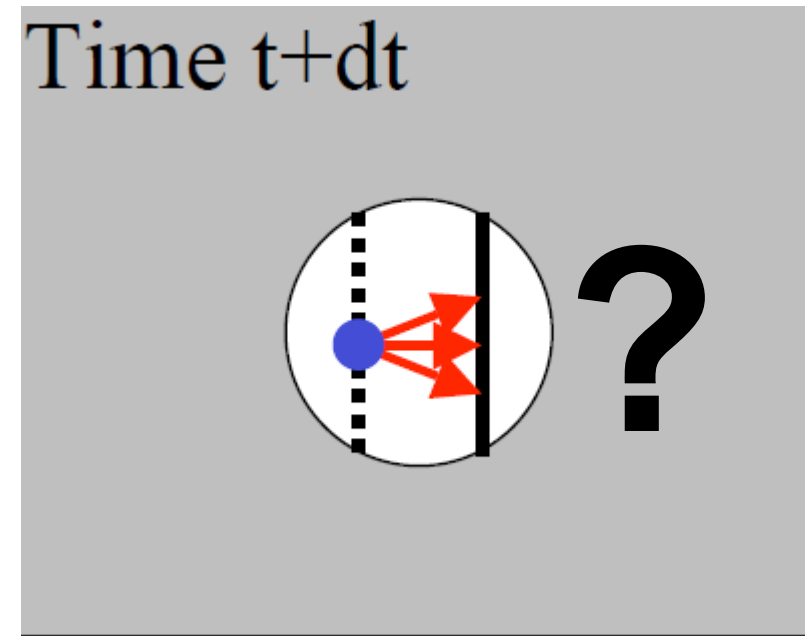
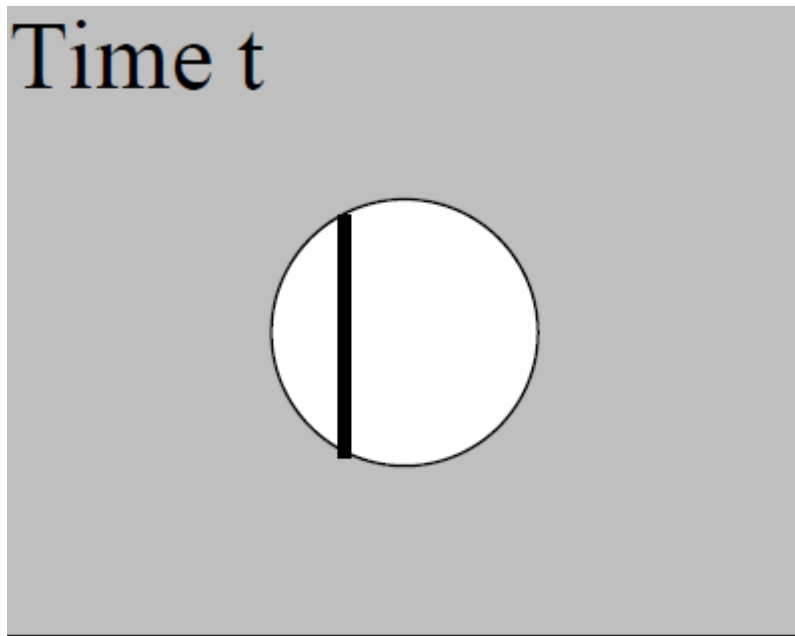
The component of the flow perpendicular to the gradient (i.e., parallel to the edge) is unknown

# Aperture Problem



# The aperture problem

- For points on a line of fixed intensity we **can only recover the normal flow**



Where did the blue point move to?

**We need additional constraints.**

# Solving the aperture problem

- Basic idea: assume motion field is smooth
- Horn & Schunk: add smoothness term

$$\int \int (I_t + \nabla I \cdot [u \ v])^2 + \lambda^2 (\|\nabla u\|^2 + \|\nabla v\|^2) \, dx \, dy$$

- Lucas & Kanade: assume locally constant motion
  - pretend the pixel's neighbors have the same (u,v)
- Many other methods exist. Here's an overview: • S. Baker, M. Black, J. P. Lewis, S. Roth, D. Scharstein, and R. Szeliski. A database and evaluation methodology for optical flow. In Proc. ICCV, 2007 • <http://vision.middlebury.edu/flow/>



# Solving the aperture problem - Lucas-Kanade flow

- How to get more equations for a pixel?
- **Spatial coherence constraint:** pretend the pixel's neighbors have the same  $(u,v)$

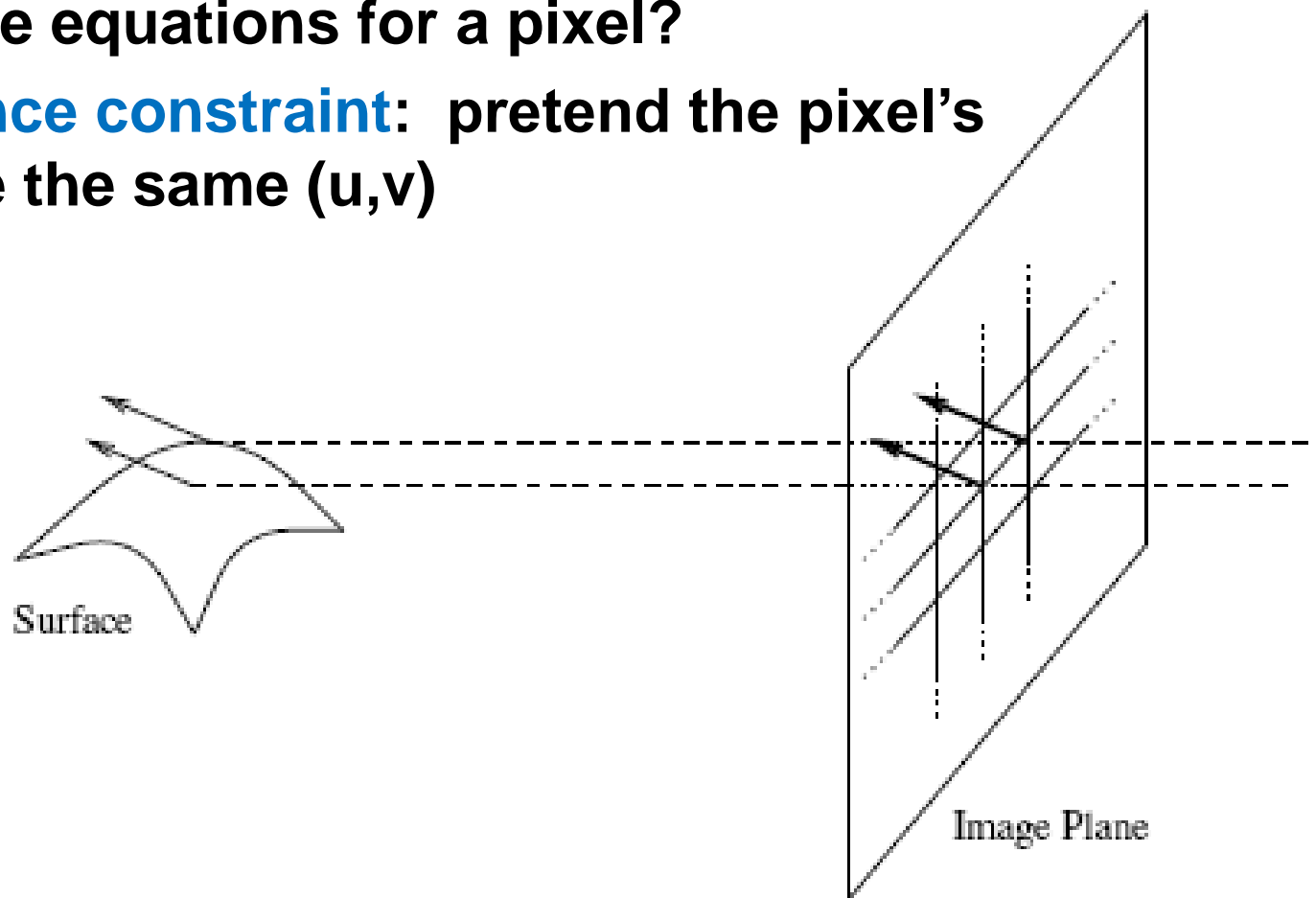


Figure 1.7: Spatial coherence assumption. Neighboring points in the image are assumed to belong to the same surface in the scene.

# Solving the aperture problem

- **Spatial coherence constraint:** pretend the pixel's neighbors have the same (u,v)
  - If we use a 5x5 window, that gives us 25 equations per pixel

$$0 = I_t(\mathbf{p}_i) + \nabla I(\mathbf{p}_i) \cdot [u \ v]$$

$$\begin{bmatrix} I_x(\mathbf{p}_1) & I_y(\mathbf{p}_1) \\ I_x(\mathbf{p}_2) & I_y(\mathbf{p}_2) \\ \vdots & \vdots \\ I_x(\mathbf{p}_{25}) & I_y(\mathbf{p}_{25}) \end{bmatrix} \begin{bmatrix} u \\ v \end{bmatrix} = - \begin{bmatrix} I_t(\mathbf{p}_1) \\ I_t(\mathbf{p}_2) \\ \vdots \\ I_t(\mathbf{p}_{25}) \end{bmatrix}$$

$$\begin{matrix} A & d & = & b \\ 25 \times 2 & 2 \times 1 & & 25 \times 1 \end{matrix}$$

# RGB version

- How to get more equations for a pixel?
  - Basic idea: impose additional constraints
    - most common is to assume that the flow field is smooth locally
    - one method: pretend the pixel's neighbors have the same (u,v)
      - If we use a 5x5 window, that gives us **25\*3 equations per pixel!**

$$0 = I_t(\mathbf{p}_i)[0, 1, 2] + \nabla I(\mathbf{p}_i)[0, 1, 2] \cdot [u \ v]$$

$$\underbrace{\begin{bmatrix} I_x(\mathbf{p}_1)[0] & I_y(\mathbf{p}_1)[0] \\ I_x(\mathbf{p}_1)[1] & I_y(\mathbf{p}_1)[1] \\ I_x(\mathbf{p}_1)[2] & I_y(\mathbf{p}_1)[2] \\ \vdots & \vdots \\ I_x(\mathbf{p}_{25})[0] & I_y(\mathbf{p}_{25})[0] \\ I_x(\mathbf{p}_{25})[1] & I_y(\mathbf{p}_{25})[1] \\ I_x(\mathbf{p}_{25})[2] & I_y(\mathbf{p}_{25})[2] \end{bmatrix}}_{\substack{A \\ 75 \times 2}} \underbrace{\begin{bmatrix} u \\ v \end{bmatrix}}_{\substack{d \\ 2 \times 1}} = - \underbrace{\begin{bmatrix} I_t(\mathbf{p}_1)[0] \\ I_t(\mathbf{p}_1)[1] \\ I_t(\mathbf{p}_1)[2] \\ \vdots \\ I_t(\mathbf{p}_{25})[0] \\ I_t(\mathbf{p}_{25})[1] \\ I_t(\mathbf{p}_{25})[2] \end{bmatrix}}_{\substack{b \\ 75 \times 1}}$$

# Solving the aperture problem

Prob: we have more equations than unknowns

$$\begin{matrix} A & d = b \\ 25 \times 2 & 2 \times 1 & 25 \times 1 \end{matrix} \longrightarrow \text{minimize } \|Ad - b\|^2$$

**Solution: solve least squares problem**

- minimum least squares solution given by solution (in d) of:

$$\begin{matrix} (A^T A) & d = A^T b \\ 2 \times 2 & 2 \times 1 & 2 \times 1 \end{matrix}$$

$$\boxed{\begin{bmatrix} \sum I_x I_x & \sum I_x I_y \\ \sum I_x I_y & \sum I_y I_y \end{bmatrix}} \begin{bmatrix} u \\ v \end{bmatrix} = - \begin{bmatrix} \sum I_x I_t \\ \sum I_y I_t \end{bmatrix}$$

$A^T A$   $A^T b$

- The summations are over all pixels in the K x K window
- This technique was first proposed by Lucas & Kanade (1981)



# Conditions for solvability

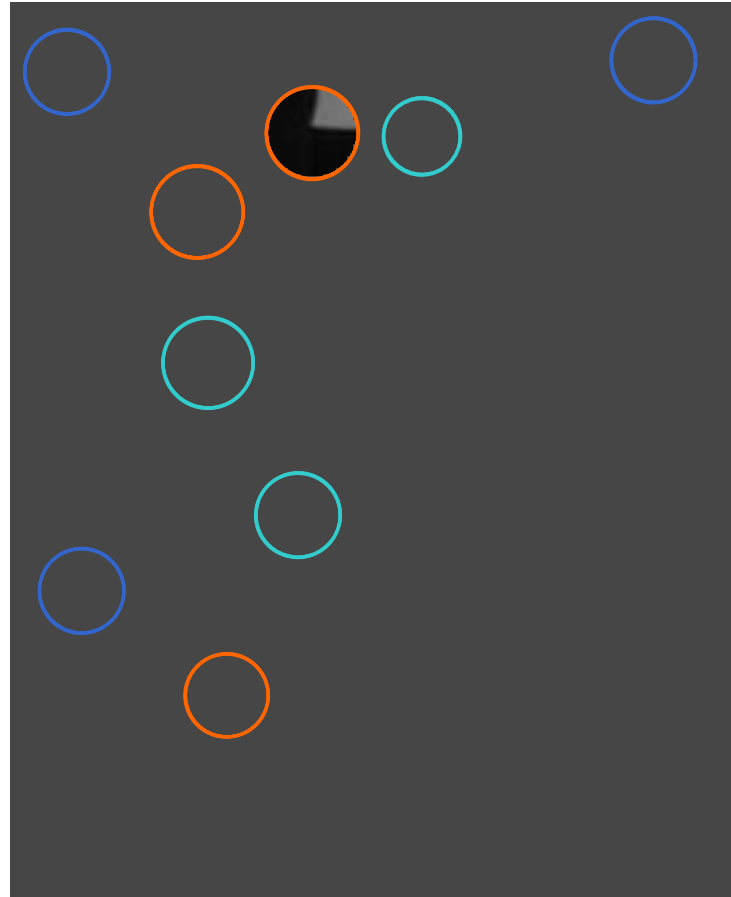
$$\begin{bmatrix} \sum I_x I_x & \sum I_x I_y \\ \sum I_x I_y & \sum I_y I_y \end{bmatrix} \begin{bmatrix} u \\ v \end{bmatrix} = - \begin{bmatrix} \sum I_x I_t \\ \sum I_y I_t \end{bmatrix}$$

$A^T A$   $A^T b$

## When is this solvable?

- $A^T A$  should be invertible
- $A^T A$  should not be too small due to noise
  - eigenvalues  $\lambda_1$  and  $\lambda_2$  of  $A^T A$  should not be too small
- $A^T A$  should be well-conditioned
  - $\lambda_1 / \lambda_2$  should not be too large ( $\lambda_1$  = larger eigenvalue)

# Aperture problem



Corners

Lines

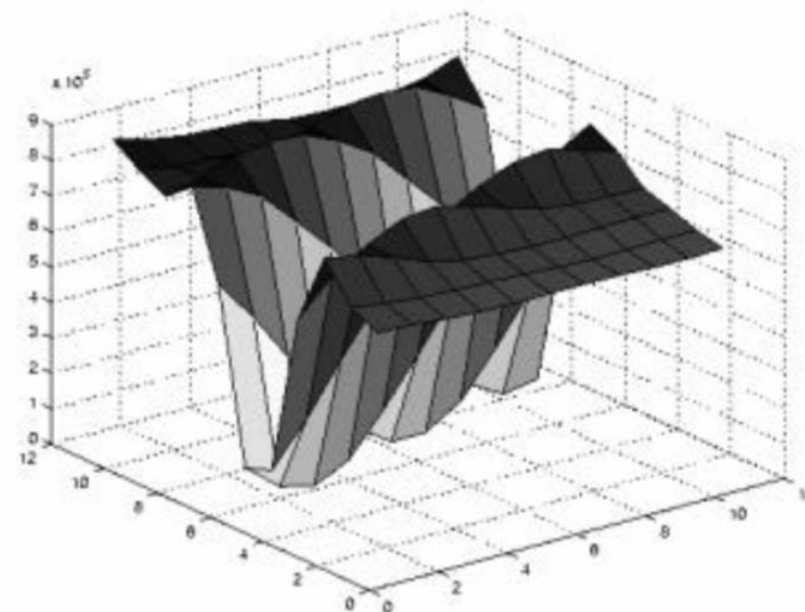
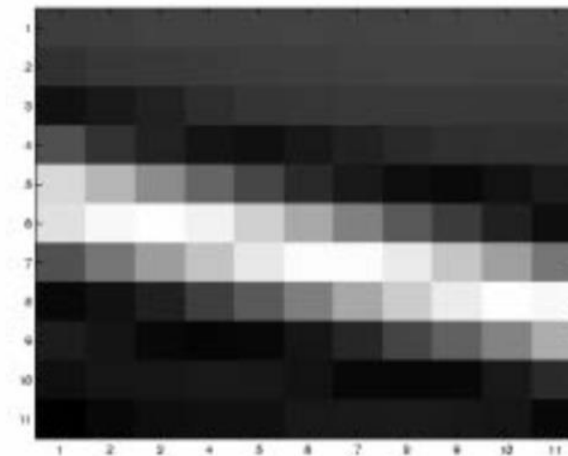
Flat regions

# Edge



- gradients very large or very small
- large  $\lambda_1$ , small  $\lambda_2$

$A^T A$  always becomes singular

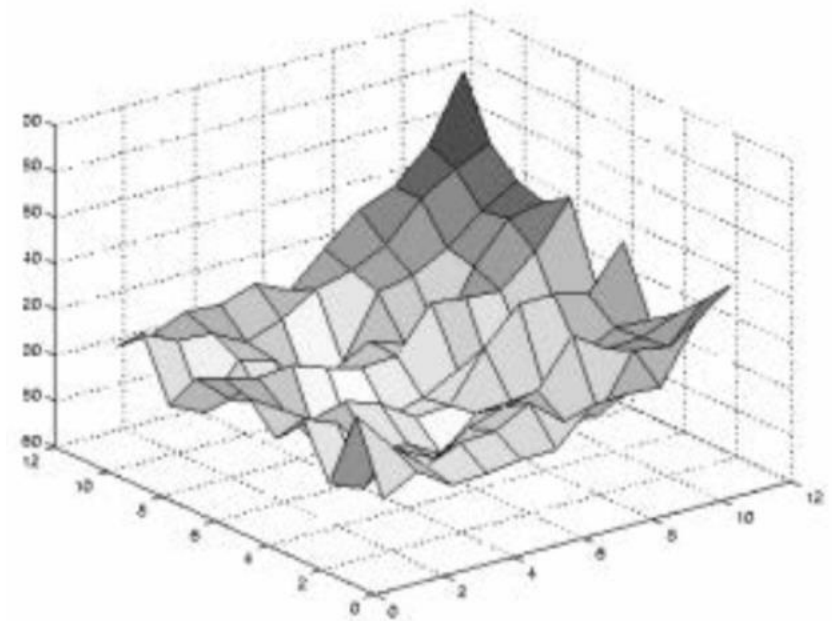
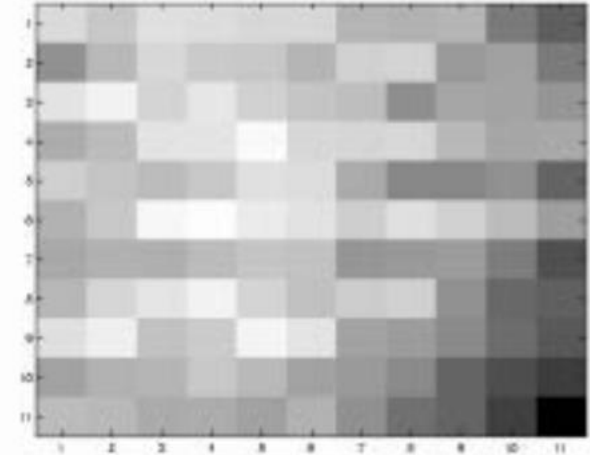


# Low-texture region



- gradients have small magnitude
- small  $\lambda_1$ , small  $\lambda_2$

$$A^T A \sim 0$$

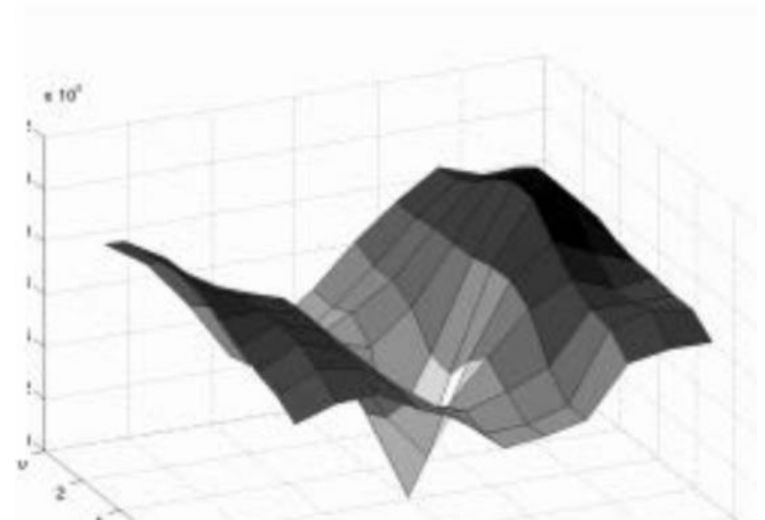
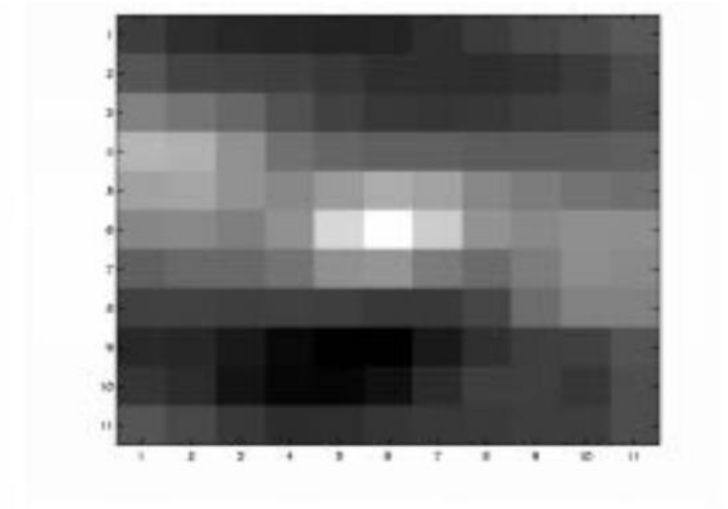




# High-texture region



- gradients are different, large magnitudes
- large  $\lambda_1$ , large  $\lambda_2$



# Computing Optical Flow-from Energy: Horn & Schunk

- Formulate Error in Optical Flow Constraint:

$$e_c = \iint_{image} (I_x u + I_y v + I_t)^2 dx dy$$

- We need additional constraints!
- **Smoothness Constraint** (as in shape from shading and stereo):

Usually motion field varies smoothly in the image.

So, penalize departure from smoothness:

$$e_s = \iint_{image} (u_x^2 + u_y^2) + (v_x^2 + v_y^2) dx dy$$

- Find (u,v) at each image point that **MINIMIZES**:

$$e = e_s + \lambda e_c \longrightarrow \text{weighting factor}$$

# Errors in Lukas-Kanade

What are the potential causes of errors in this procedure?

- Suppose ATA is easily invertible  
Suppose there is not much noise in the image

When our assumptions are violated

- Brightness constancy is not satisfied
- The motion is not small
- A point does not move like its neighbors
  - window size is too large
  - what is the ideal window size?

# Improving accuracy

Recall our small motion assumption

$$\begin{aligned} 0 &= I(x + u, y + v) - H(x, y) \\ &\approx I(x, y) + I_x u + I_y v - H(x, y) \end{aligned}$$

This is not exact

- To do better, we need to add higher order terms back in:

$$= I(x, y) + I_x u + I_y v + \text{higher order terms} - H(x, y)$$

This is a polynomial root finding problem

- Can solve using **Newton's method**
  - Also known as **Newton-Raphson** method
  - For more on Newton-Raphson, see (first four pages)
    - » [http://www.ulib.org/webRoot/Books/Numerical\\_Recipes/bookcpdf/c9-4.pdf](http://www.ulib.org/webRoot/Books/Numerical_Recipes/bookcpdf/c9-4.pdf)
- Lucas-Kanade method does one iteration of Newton's method
  - Better results are obtained via more iterations

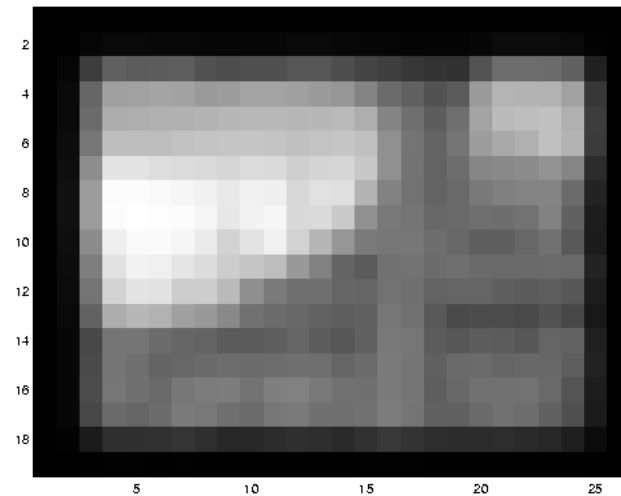
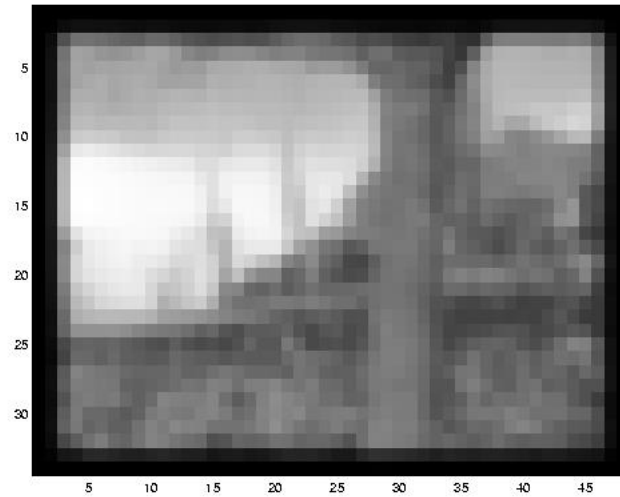
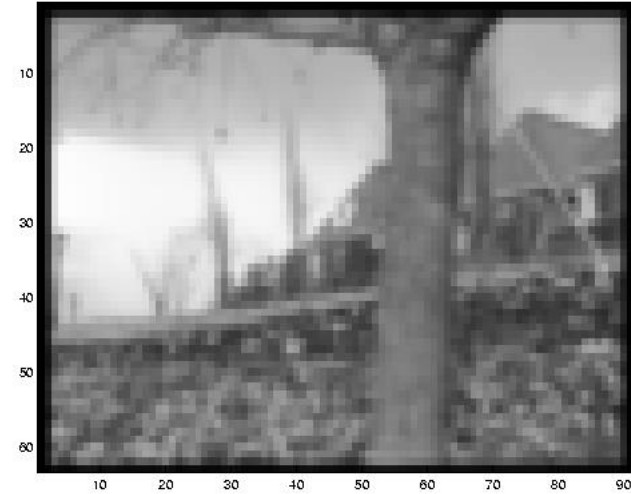
1D case  
on board

# Revisiting the Small Motion Assumption

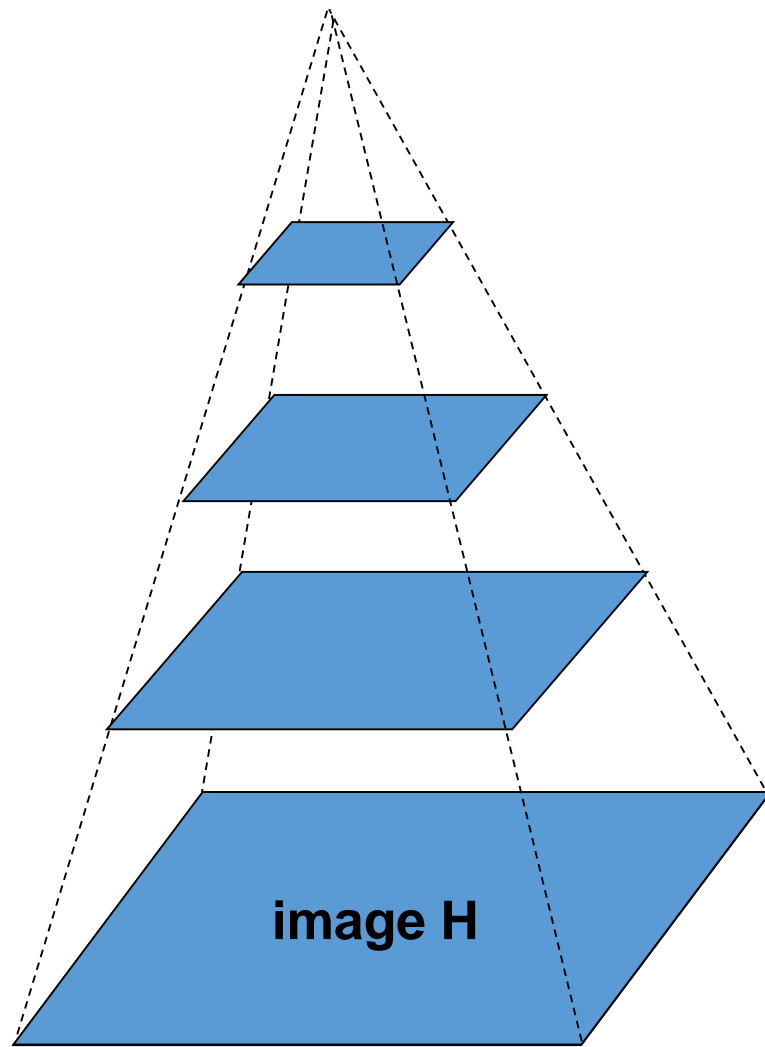


- **Is this motion small enough?**
  - Probably not—it's much larger than one pixel (2<sup>nd</sup> order terms dominate)
  - How might we solve this problem?

# Reduce the Resolution!



# Coarse-to-fine Optical Flow Estimation



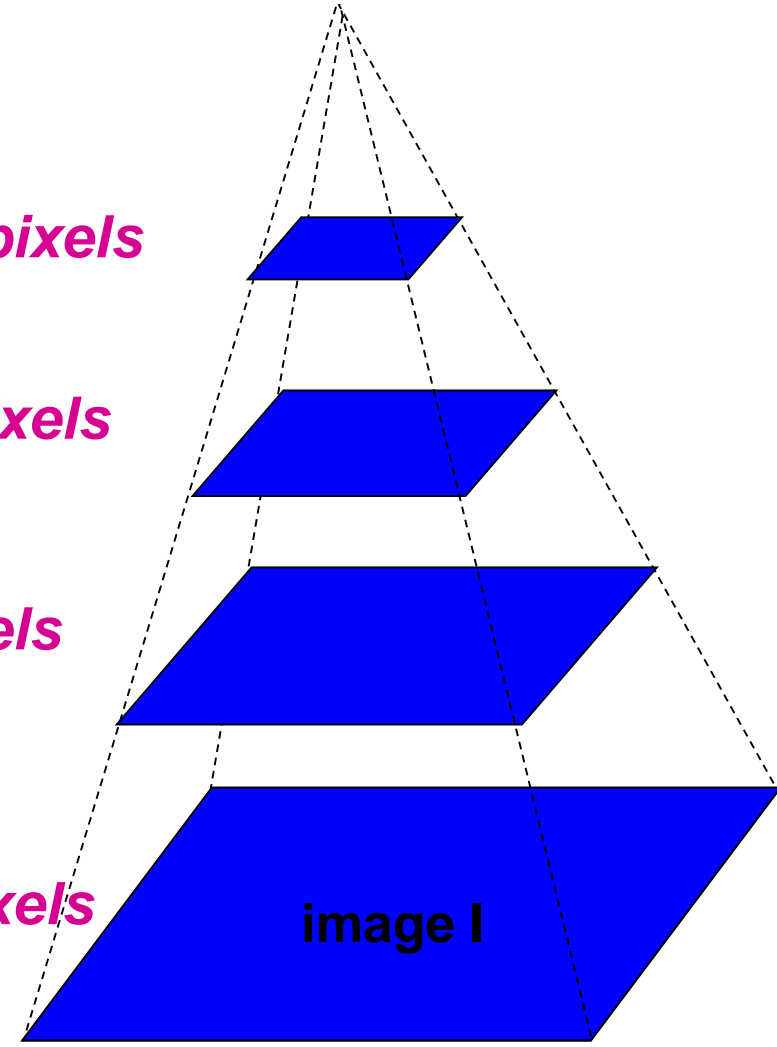
Gaussian pyramid of image *H*

*$u=1.25$  pixels*

*$u=2.5$  pixels*

*$u=5$  pixels*

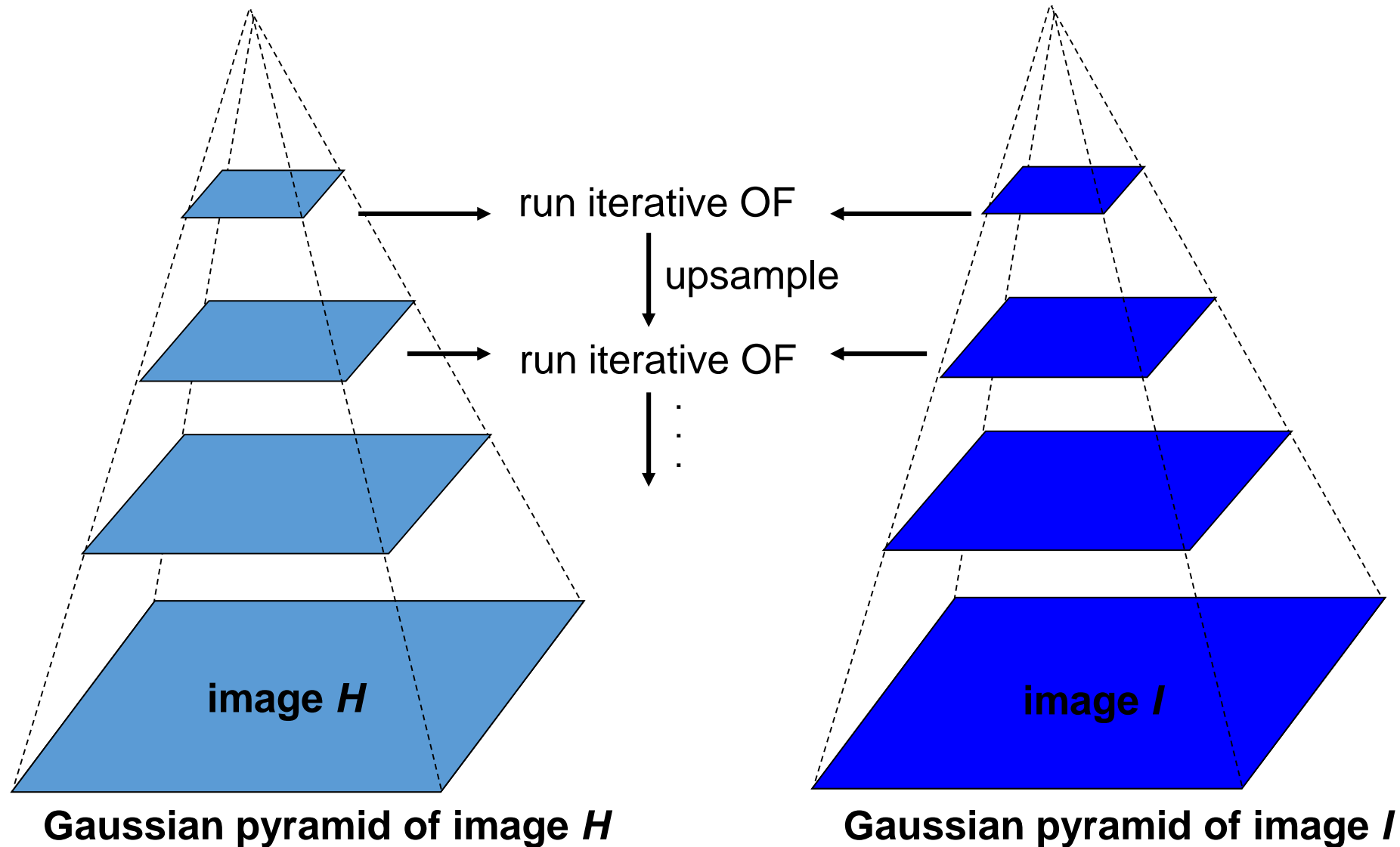
*$u=10$  pixels*



Gaussian pyramid of image *I*



# Coarse-to-fine Optical Flow Estimation



# Iterative Lucas-Kanade Algorithm

- **Top level**

- Apply L-K to get a flow field representing the flow from the first frame to the second frame.
- Apply this flow field to warp the first frame toward the second frame.
- Rerun L-K on the new warped image to get a flow field from it to the second frame. Repeat till convergence

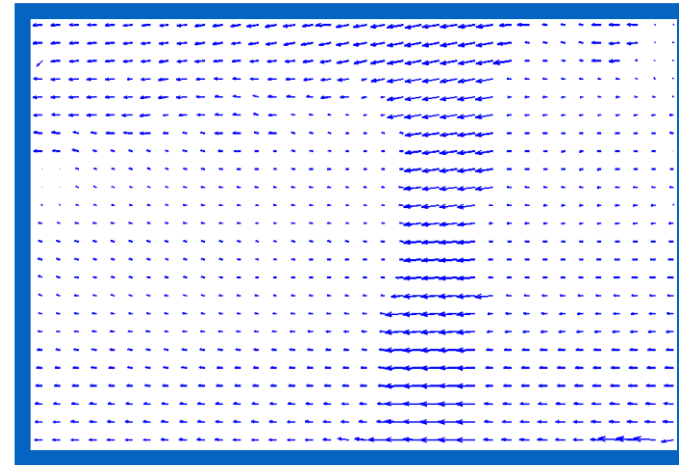
- **NextLevel**

- Upsample the flow field to the next level as the first guess of the flow at that level. Apply this flow field to warp the first frame toward the second frame. Rerun L-K and warping till convergence as above

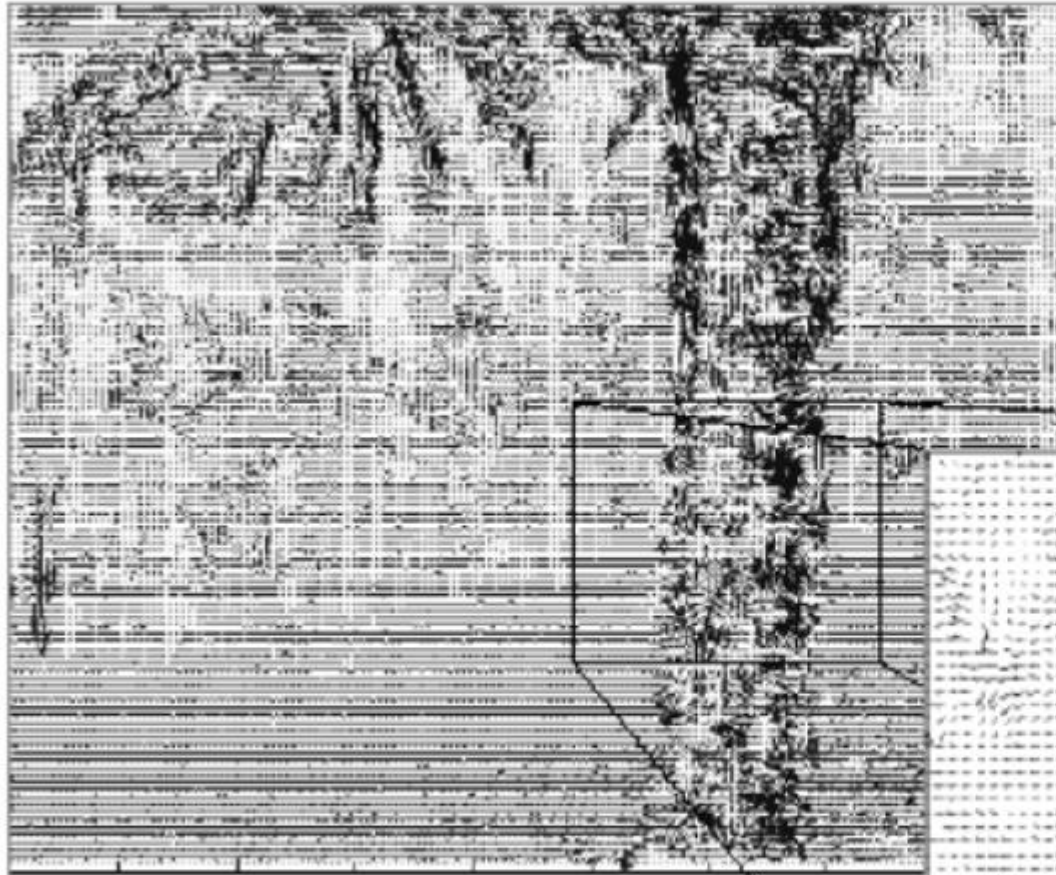
- **Etc**

# The Flower Garden Video

What should the  
optical flow be?

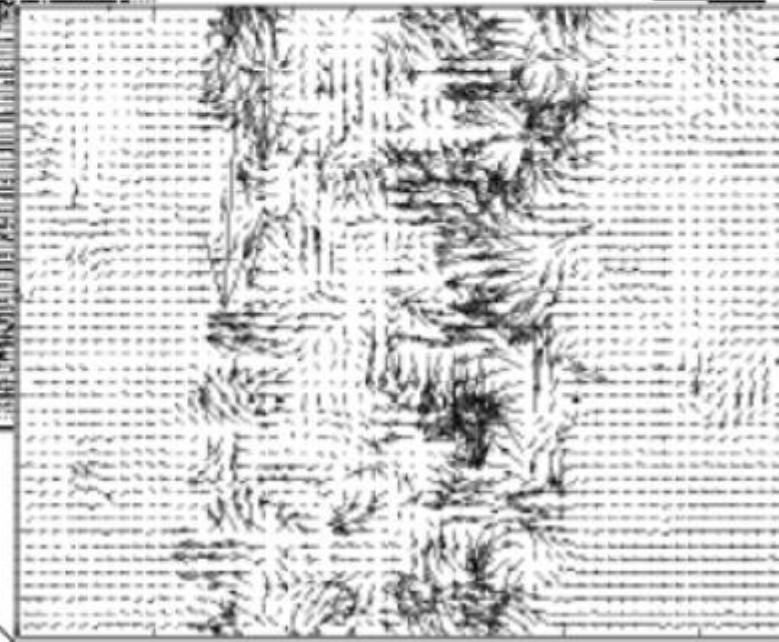


# Optical Flow Results

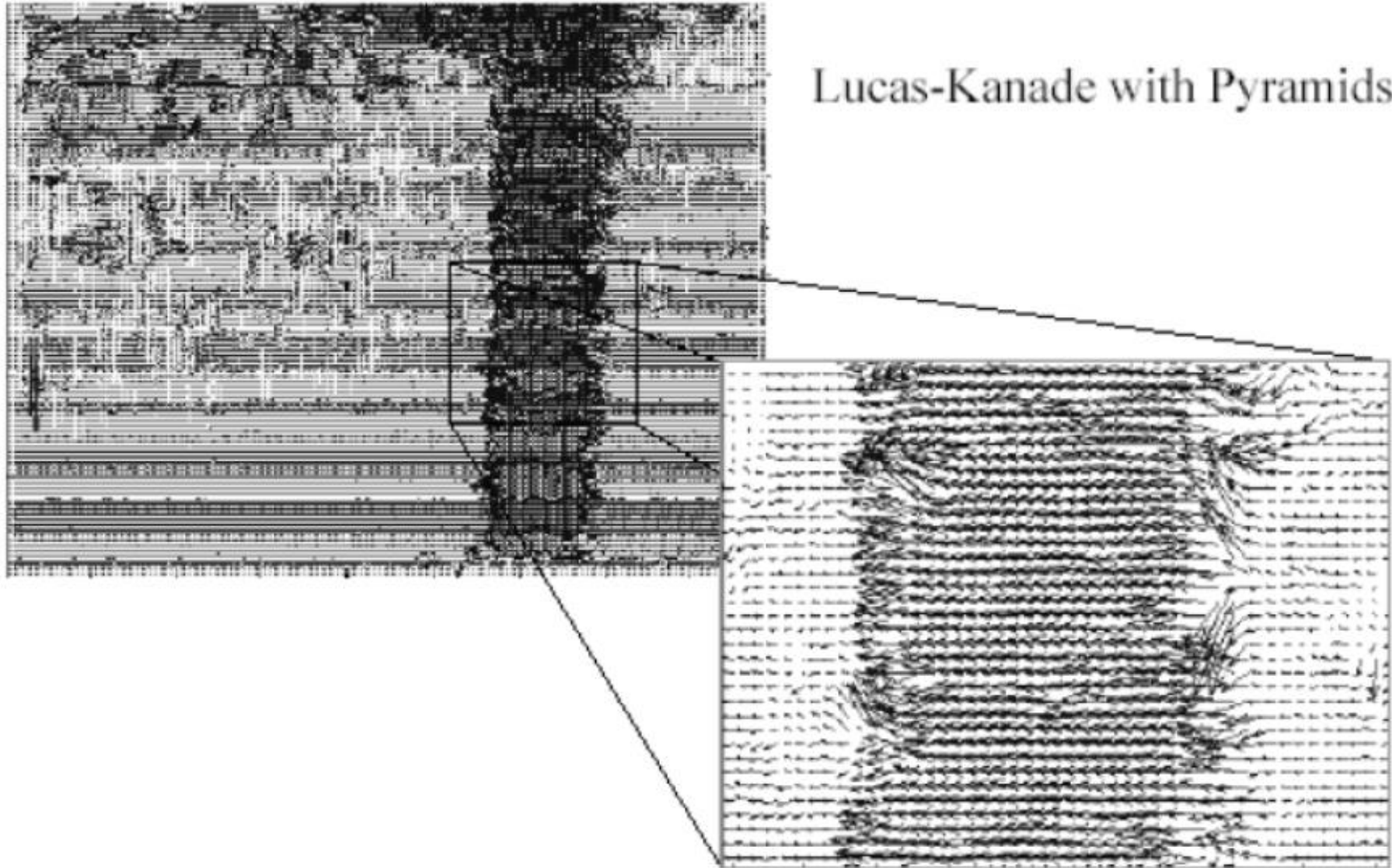


Lucas-Kanade  
without pyramids

Fails in areas of large  
motion



# Optical Flow Results



# Brightness is not always constant

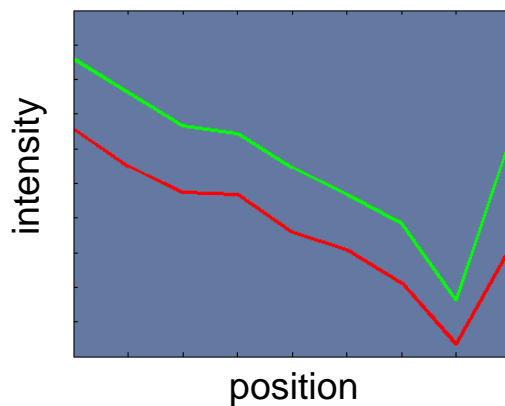


Rotating  
cylinder



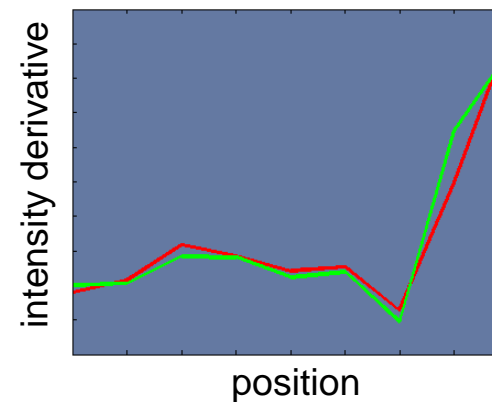
Brightness constancy  
does not always hold

$$I(x + u, y + v, t + 1) \neq I(x, y, t)$$



Gradient constancy holds

$$\nabla I(x + u, y + v, t + 1) = \nabla I(x, y, t)$$

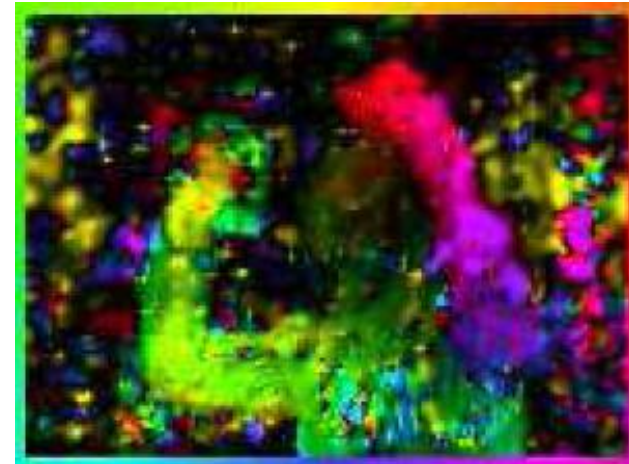


# Local constraints work poorly

input video



Optical flow direction using  
only local constraints



color encodes  
direction as  
marked on  
the boundary





# Where local constraints fail

**Occlusions** We have not seen where some points moved



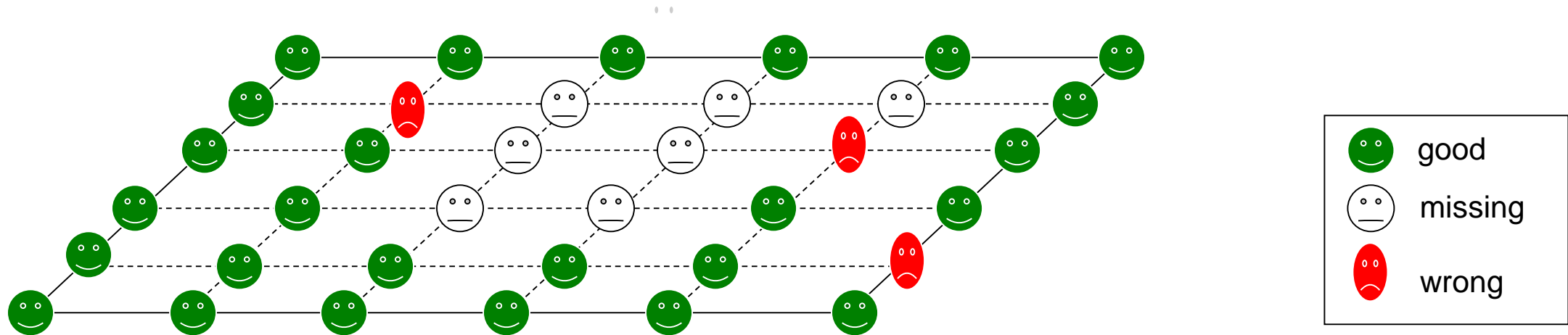
Occluded regions are marked in red

# Obtaining support from neighbors

## Two main problems with local constraints:

- information about motion is missing in some points  
=> need **spatial coherency**

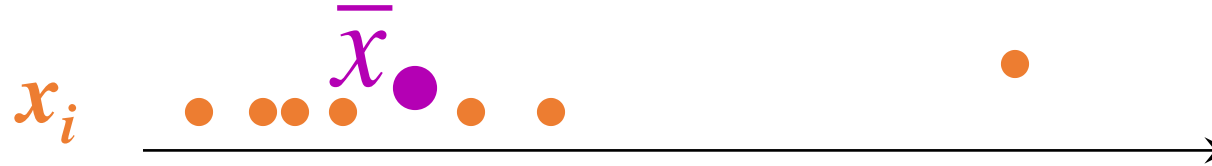
- constraints do not hold everywhere  
=> need methods to **combine them robustly**



# **Robust combination of partially reliable data**

# Toy example

Find "best" representative for the set of numbers



L2:

$$E = \sum_i |\bar{x} - x_i|^2 \rightarrow \min$$

L1:

$$E = \sum_i |\bar{x} - x_i| \rightarrow \min$$

Influence of  $x_i$  on  $E$ :  $x_i \rightarrow x_i + \Delta$

$$E_{new} \cong E_{old} + 2(x_i - \bar{x}) \cdot \Delta$$

proportional to  $|\bar{x} - x_i|$

$$E_{new} \cong E_{old} + \Delta$$

equal for all  $x_i$

Outliers influence the most

$$\bar{x} = \text{mean}(x_i)$$

Majority decides

$$\bar{x} = \text{median}(x_i)$$

# Elections and robust statistics



many ordinary people



a very rich man

wealth

Oligarchy

Votes proportional to the wealth

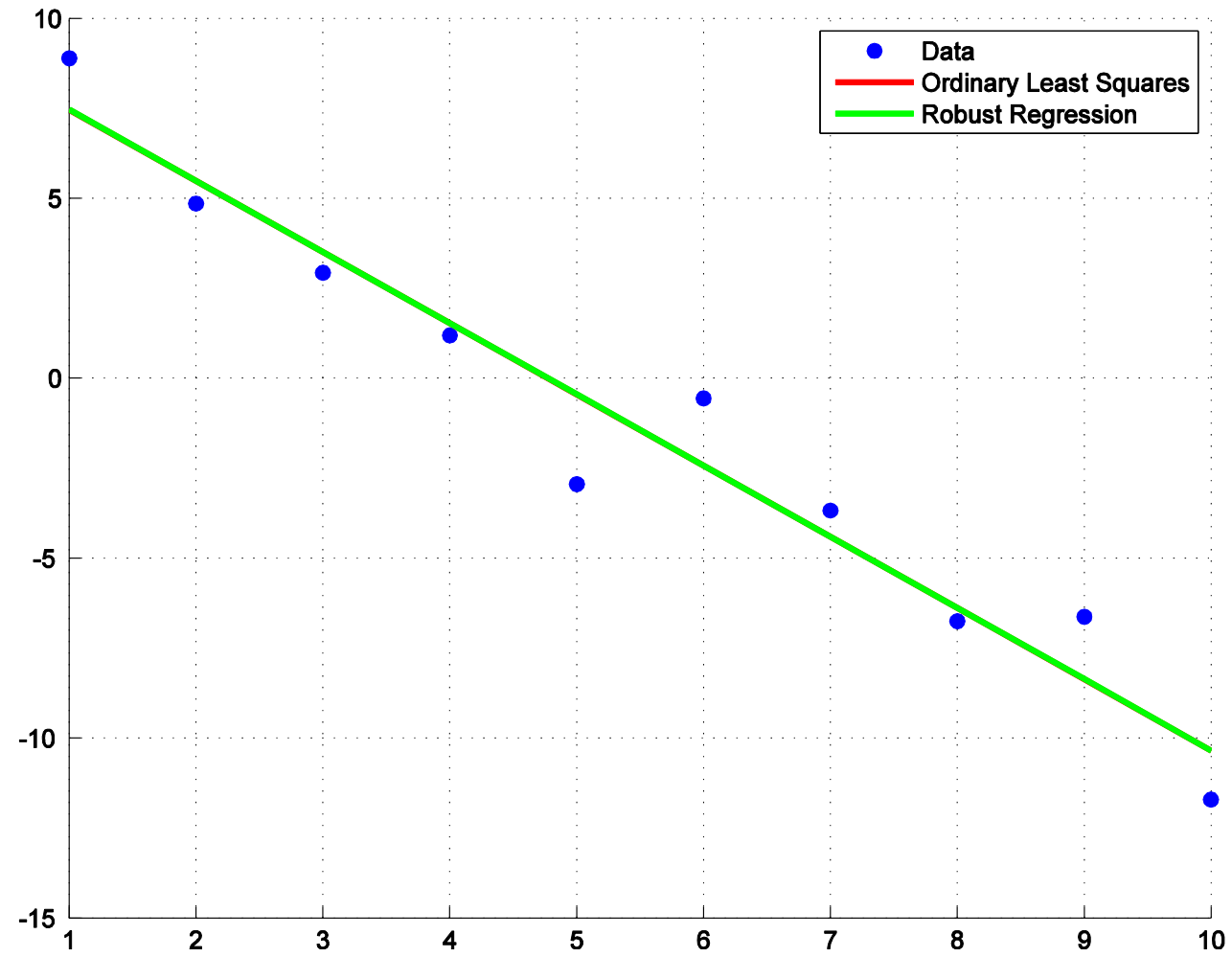
like in L2 norm minimization

Democracy

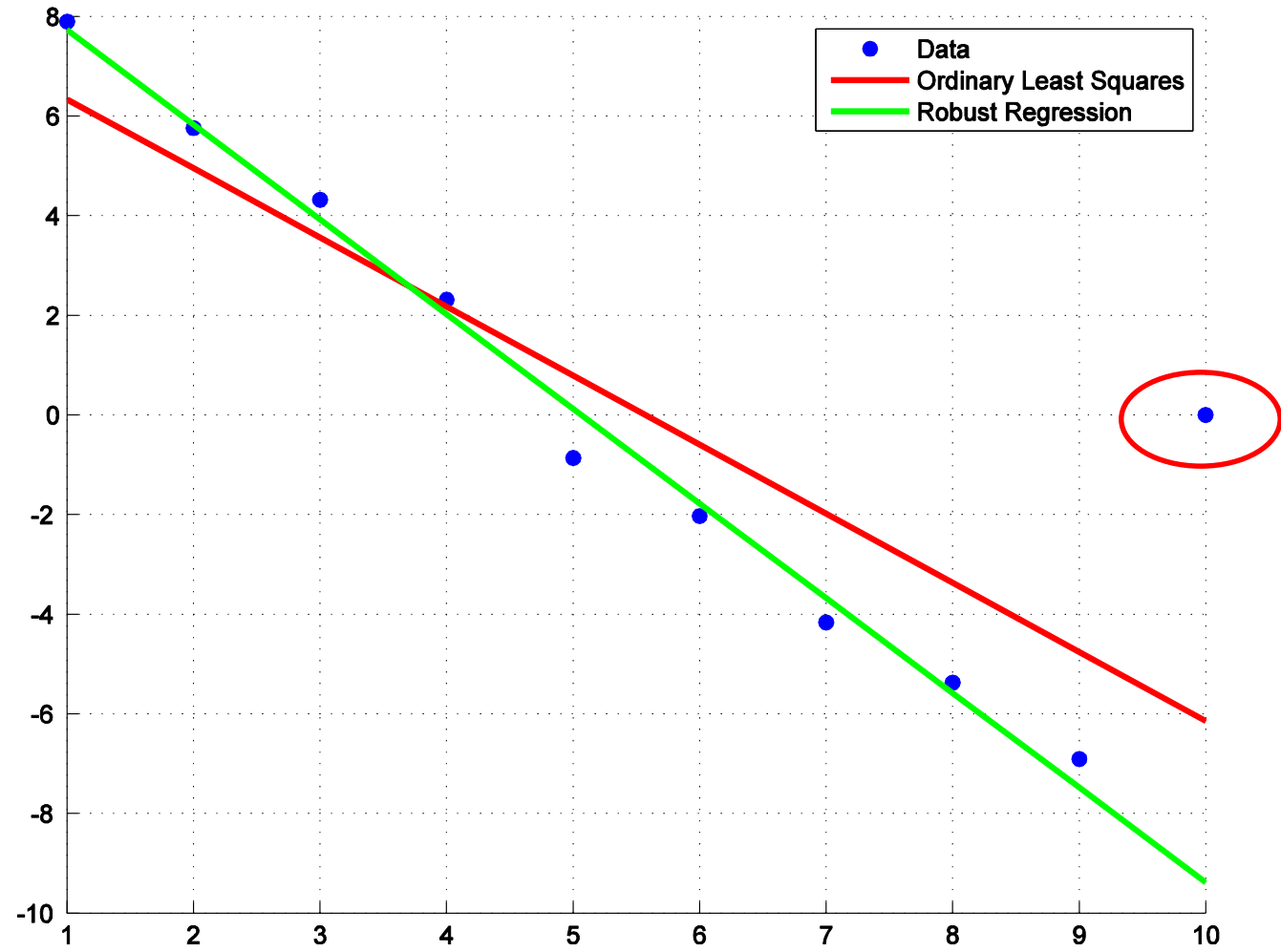
One vote per person

like in L1 norm minimization

# A Simple Example



# A Simple Example



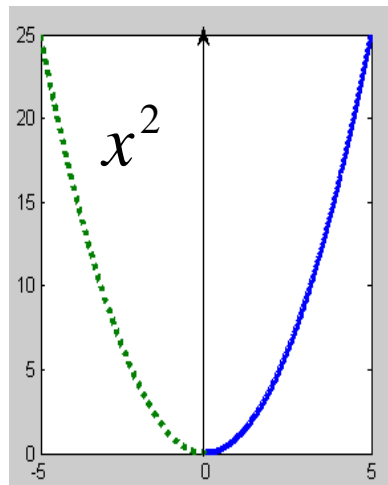


# Combination of two flow constraints

$$\min_{\text{video}} \int \phi(|I_{\text{warped}} - I|) + \alpha \phi(|\nabla I_{\text{warped}} - \nabla I|)$$

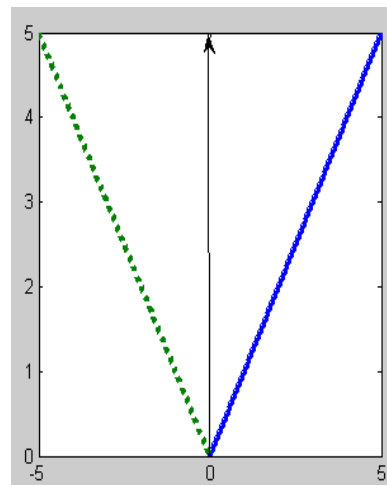
$$I_{\text{warped}} = I(x + u, y + v, t + 1); \quad I = I(x, y, t)$$

usual: L2



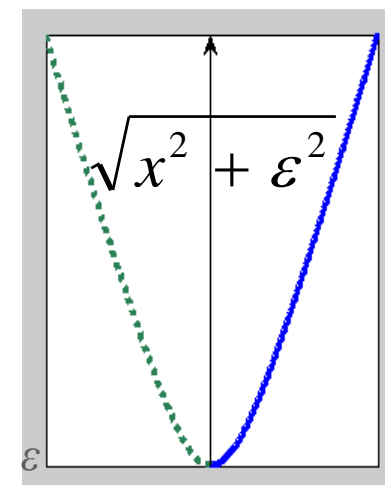
- ✓ easy to analyze and minimize
- sensitive to outliers

robust: L1



- ✓ robust in presence of outliers
- non-smooth: hard to analyze

robust regularized



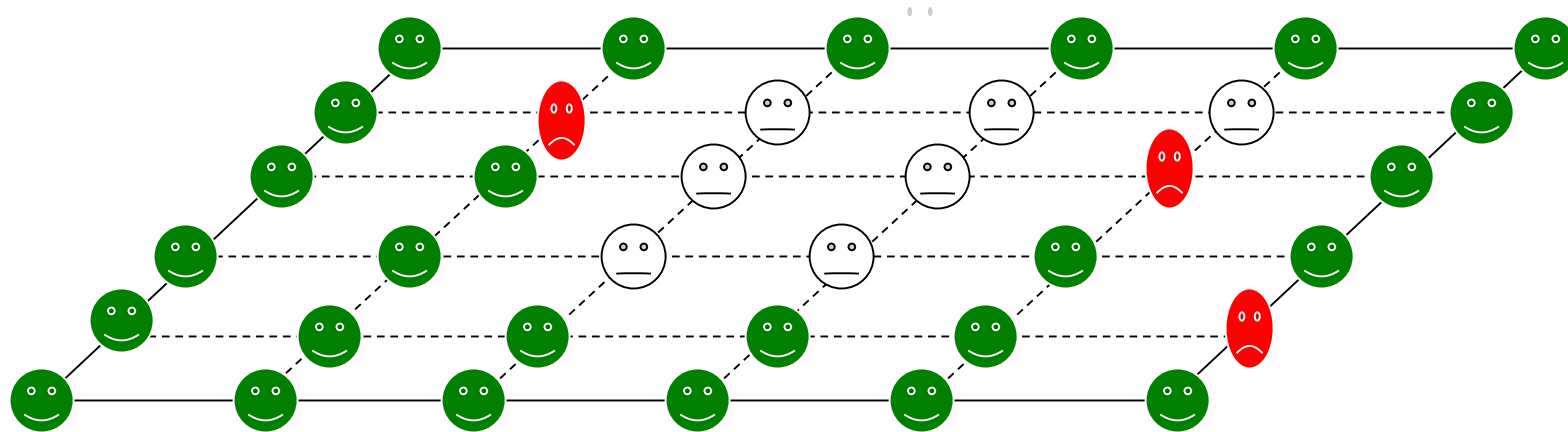
- ✓ smooth: easy to analyze
- ✓ robust in presence of outliers

# Spatial Propagation

# Obtaining support from neighbors

## Two main problems with local constraints:

- information about motion is missing in some points  
=> need **spatial coherency**
- constraints do not hold everywhere  
=> need methods to **combine them robustly**



# Homogeneous propagation

$$\min_{\text{video}} \int |\nabla u|^2 + |\nabla v|^2$$

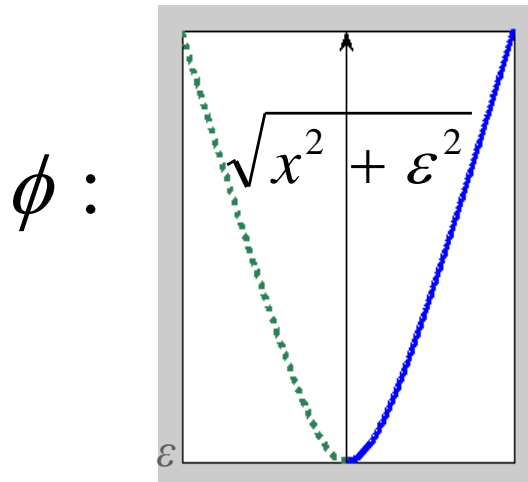
$u(x, y, t)$  - flow in the x direction  
 $v(x, y, t)$  - flow in the y direction  
 $\nabla$  - gradient



**This constraint is not correct on motion boundaries  
=> over-smoothing of the resulting flow**

# Robustness to flow discontinuities

$$\min_{\text{video}} \int \phi(\sqrt{|\nabla u|^2 + |\nabla v|^2})$$



(also known as **isotropic flow-driven regularization**)


# Combining ingredients

## Local constraints

- Brightness constancy
- Image gradient constancy

## Spatial coherency

- Homogeneous
- Flow-driven


$$\text{Energy} = \int \phi(\text{Data}) + \int \phi(\text{“Smoothness”})$$

Combined using robust statistics

$\phi$

Computed coarse-to-fine

Use several frames

# The more ingredients - the better

brightness constancy

gradient constancy

spatial coherence

$$\int_{\text{video}} \phi \left( |I_{\text{warped}} - I| \right) + \alpha \phi \left( |\nabla I_{\text{warped}} - \nabla I| \right) + \beta \int_{\text{video}} \phi \left( \|\nabla \text{flow}\| \right)$$

[Bruhn, Weickert, 2005]

Towards ultimate motion estimation: Combining highest accuracy with real-time performance



# How to minimize energy

$$\text{minimize } E(u) = \int F(x, u, u') dx$$

Necessary condition:

$$\frac{\partial F}{\partial u} - \frac{d}{dx} \frac{\partial F}{\partial u'} = 0$$

Euler-Lagrange equation

Analogy:

$$\text{minimize } f(x)$$

Necessary condition

$$f'(x) = 0$$

# Recent & interesting papers

- Large displacement optical flow with nearest neighbor field, cvpr 2013
- Optical Flow Fields: Dense Correspondence Fields for Highly Accurate Large Displacement Optical Flow Estimation, cvpr 2017

# Summary

- Major contributions from Lucas, Tomasi, Kanade
  - Tracking feature points
  - Optical flow
  - Stereo
  - Structure from motion
- Key ideas
  - By assuming brightness constancy, truncated Taylor expansion leads to simple and fast patch matching across frames
  - Coarse-to-fine registration

# Thanks

- More information can be found in
- <http://vision.middlebury.edu/flow/eval>

# Advanced topics

- Particles: combining features and flow
  - Peter Sand et al.
  - <http://rvsn.csail.mit.edu/pv/>
- State-of-the-art feature tracking/SLAM
  - Georg Klein et al.
  - <http://www.robots.ox.ac.uk/~gk/>