Yang ML, Lei H, Liao Y et al. Improved blocking time analysis and evaluation for the multiprocessor priority ceiling protocol. JOURNAL OF COMPUTER SCIENCE AND TECHNOLOGY 29(6): 1003–1013 Nov. 2014. DOI 10.1007/s11390-014-1485-y

# Improved Blocking Time Analysis and Evaluation for the Multiprocessor Priority Ceiling Protocol

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Received September 8, 2013; revised July 9, 2014.

Abstract The Multiprocessor Priority Ceiling Protocol (MPCP) is a classic suspension-based real-time locking protocol for partitioned fixed-priority (P-FP) scheduling. However, existing blocking time analysis is pessimistic under the P-FP + MPCP scheduling, which negatively impacts the schedulability for real-time tasks. In this paper, we model each task as an alternating sequence of normal and critical sections, and use both the best-case execution time (BCET) and the worst-case execution time (WCET) to describe the execution requirement for each section. Based on this model, a novel analysis is proposed to bound shared resource requests. This analysis uses BCET to derive the lower bound on the inter-arrival time for shared resource requests, and uses WCET to obtain the upper bound on the execution time of a task on critical sections during an arbitrary time interval of  $\Delta t$ . Based on this analysis, improved blocking analysis and its associated worst-case response time (WCRT) analysis are proposed for P-FP + MPCP scheduling. Schedulability experiments indicate that the proposed method outperforms the existing methods and improves the schedulability significantly.

Keywords real-time scheduling, multiprocessor scheduling, locking protocol, blocking analysis, worst-case response time

#### 1 Introduction

In real-time systems, scheduling and locking mechanisms, supported by rigorous analysis techniques, are vital to ensuring that timing constraints of tasks will not be violated during system operation. The worst-case response time (WCRT) of a task, which is a widely used criterion for schedulability analysis, is determined by 1) the worst-case execution (and self-suspension) demand, 2) the worst-case blocking due to resource contentions, and 3) the maximum preemption delays. However, most existing analytical methods for multiprocessor locking protocols only use coarse-grained approaches to bound worst-case blockings, and thus are pessimistic and may lead to poor schedulability.

In multicore systems, tasks are usually scheduled by partitioned or global scheduling. Under partitioned scheduling, tasks are statically allocated among processing cores; while under global scheduling, tasks are dynamically allocated at runtime (i.e., tasks may migrate from one core to another during execution). Global scheduling yields higher system utilization theoretically, but may incur non-trivial run-time overheads<sup>[1-2]</sup>. In contrast, partitioned scheduling incurs less run-time overhead and is simpler to implement, and thus is a desirable choice in practice.

Once a task has locked a mutually exclusive resource (e.g., shared memory, I/O device, and critical data), it must finish executing the corresponding critical section before another task may get the lock to that resource (there are more approaches for synchronization that can be used such as wait free and block free, and we focus on mutually exclusive resources in the paper). In that case, the blocked task may busy-wait (i.e., spin) or suspend when waiting to acquire the shared resource. Intuitively, spinning consumes processor cycles, though it

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Regular Paper

This work was supported by the National Natural Science Foundation of China under Grant No. 61103041, the National High Technology Research and Development 863 Program of China under Grant No. 2012AA010904, the Fundamental Research Funds for the Central Universities of China under Grant No. ZYGX2012J070, the Huawei Technology Foundation under Grant No. IRP-2012-02-07, and the Excellent Ph.D. Student Academic Support Program of UESTC under Grant No. YBXSZC20131028.

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is more efficient in terms of runtime overheads<sup>[3]</sup>; while suspension is more efficient with respect to schedulability in theory, because when a task is suspended, another task can be scheduled. Empirical studies<sup>[2-4]</sup> show that suspension-based protocols are preferable especially when critical sections are long.

Another key design issue that any locking protocol must address is how to manage the order of blocked tasks. With regard to suspension-based protocols, MPCP<sup>[5]</sup> uses priority queues to order conflicting requests, while the Flexible Multiprocessor Locking Protocol (FMLP)<sup>[6]</sup> employs FIFO queues. Recently, a two-phase locking protocol under partitioned scheduling was proposed<sup>[7]</sup>, which uses a global FIFO queue and several per-processor priority queues. Priority queuing, fitting for priority scheduling in nature, may lead to starvations for lower priority tasks. In contrast, FIFO queuing is simple to implement, but may lead to increased priority inversions for higher priority tasks. Broadly speaking, neither choice is categorically superior to others.

In this paper, we focus on the worst-case blocking analysis for MPCP, mainly due to the fact that: 1) priority queuing adopted in MPCP is a natural fit for fixedpriority scheduling; besides, MPCP is a direct extension of the well-studied Priority Ceiling Protocol (PCP)<sup>[8]</sup>; 2) existing blocking analysis for MPCP is pessimistic, leaving large space for improvement. Firstly, we extend the task model in [9] by using both the best-case execution time (BCET) and the worst-case execution time (WCET) to describe the lower and the upper bounds on task execution requirement. Secondly, we derive an upper bound on time, for which a task will take to execute particular critical sections during a time interval  $\Delta t$ . Then, we tighten the bounds on worst-case blockings. Finally, we evaluate the performance of the proposed analysis through comparative schedulability experiments.

The rest of this paper is organized as follows. Background and related work are discussed in Section 2. Section 3 proposes bounds on shared resource requests. Worst-case blockings and WCRT are analyzed in Section 4. Schedulability experiments are conducted in Section 5. The last section concludes the paper.

# 2 Background and Related Work

# 2.1 Task Model

A set of nt sporadic tasks  $\Gamma = \{\tau_1, \tau_2, \dots, \tau_{nt}\}$  are scheduled on a multicore processor that contains m pro-

cessing cores  $p_1, p_2, \ldots, p_m$ , and share a set of q serially reusable resources  $\Phi = \{\rho_1, \rho_2, \dots, \rho_q\}$ . A sporadic task potentially generates infinite jobs. The v-th job of  $\tau_i$  is denoted as  $J_{i,v}$ , and an arbitrary job of  $\tau_i$  is also denoted as  $J_{i,*}$ . Each shared resource can only be held by at most one job at any time. We assume in this paper that tasks will not make nested requests<sup>(1)</sup> (in case of nested requests, we only consider the outermost ones). The priority assigned to  $\tau_i$  is denoted by  $\pi_i$ . We further assume that tasks are indexed by decreasing order of priorities (i.e., the priority of  $\tau_i$  is higher than that of  $\tau_i$  if i < j), and all jobs share the same priority as that assigned to the task (i.e.,  $J_{i,x}$  has the same priority as that of  $J_{i,y}$ ).  $J_{i,l}$  is released at time  $r_{i,l}$  and finishes at time  $f_{i,l}$ , and it is said to be pending during  $(r_{i,l}, f_{i,l})$ . The WCRT of  $\tau_i$  is defined as  $R_i = \max_{\forall l} (f_{i,l} - r_{i,l}).$ 

Following the work of Lakshmana  $et\ al.^{[9]}$ , we consider each task to be an alternating sequence of normal and critical section execution segments. Let  $\tau_i^j$  and  $\tau_i^{j*}$  denote the j-th normal and the j-th critical section of  $\tau_i$  respectively. Then,  $\tau_i$  can be represented as  $(\tau_i^1, \tau_i^{1*}, \tau_i^2, \tau_i^{2*}, \ldots, \tau_i^{S_i-1*}, \tau_i^{S_i})$ , where  $S_i$  is the number of normal sections of  $\tau_i$ . Let  $BC_i^j\ (BC_i^{j*})$  and  $WC_i^j\ (WC_i^{j*})$  be the BCET and the WCET of  $\tau_i^j\ (\tau_i^{j*})$  respectively. Wherein, the WCET of each section is assumed to be known in advance.  $BC_i^j\ \in [0,WC_i^j]$ , and  $BC_i^{j*}\ \in [0,WC_i^{j*}]$  for all sections. Let  $T_i$  be the minimum release time separation, or period, of  $\tau_i$ , and the WCET of  $\tau_i$  is denoted by  $WC_i\ (WC_i$  is not necessarily equal to  $\sum_{j=1}^{S_i-1}(WC_i^j+WC_i^{j*})+WC_i^{S_i*}$  because the task model does not strictly conform with the control flow of the task). For simplicity, we assume implicit deadlines, and do not consider release jitters and end-to-end delays in this paper.

# 2.2 Definition of Blocking

In uniprocessor systems, a waiting job with an unsatisfied request is not blocked if a higher-priority job is scheduled, because the delay to the lower priority job overlaps with higher priority work and the WCRTs of all tasks will remain unchanged. In contrast, a job is considered to be blocked if it is delayed due to priority inversions. Brandenburg et al.<sup>[7]</sup> discussed the notion of blocking in multiprocessor systems that a job incurs blocking if the completion of that job is delayed and such delay is not caused by higher priority jobs. Moreover, some delays unrelated to resource sharing (e.g., deferral delays under limited preemption scheduling<sup>[10-11]</sup>) are also referred to as blockings.

①The MPCP does not support fine-grained nested requests originally. However, nested requests can be supported by group locks (i.e., logically consider a nested request as a single request).

<sup>&</sup>lt;sup>2</sup>This model does not necessarily conform with the exact control flow. It just describes the time interval between share resource requests (critical sections) within a task.

Unlike in uniprocessor systems or globally scheduled multiprocessors, jobs can be blocked by their local or remote jobs<sup>3</sup> under P-FP scheduling. For example, a job may be delayed due to resource contentions by jobs (even those with higher priorities) on other processing cores. We consider such delay as a type of blocking in this paper. To avoid ambiguity, the notation of blocking under P-FP is defined as follows.

**Definition 1.** A job  $J_{i,*}$  is blocked by another job  $J_{x,*}$  if 1)  $J_{x,*}$  is a lower priority local job of  $J_{i,*}$ , and  $J_{x,*}$  is scheduled while  $J_{i,*}$  is pending; or 2)  $J_{x,*}$  is a remote job of  $J_{i,*}$ , and it has locked the global resource that  $J_{i,*}$  is waiting for.

Blocking events can be classified into local and remote blockings according to 1) and 2) in Definition 1, respectively. Local blocking is caused by local jobs due to priority inversion, while remote blocking is caused by remote jobs due to acquisition delays.

# 2.3 MPCP

MPCP is proposed on the basis of direct resource access, namely, each task accesses shared resources from the processor where it is assigned. Logically, shared resources can be classified into local and global resources under P-FP scheduling. Local resources are shared only by tasks assigned to the same core, and global resources can be shared by tasks deployed on different cores. Critical sections corresponding to global or local resources are called global critical sections (GCSs) or local critical sections (LCSs).

Under MPCP, a job  $J_{i,*}$  blocked on a global resource  $\rho_k$  is suspended and is inserted to a priority queue on  $\rho_k$ . During the time  $J_{i,*}$  is suspended, lower priority jobs are allowed to execute and lock resources. When  $J_{i,*}$  has locked  $\rho_k$ , it will execute the corresponding GCS at a priority ceiling of  $\Omega_k = \pi_{\text{base}} + \pi_k$ , where  $\pi_{\text{base}}$  is a priority level greater than any base priority of tasks in the system, and  $\pi_k$  is the highest base priority of tasks that can lock  $\rho_k$ . Job  $J_{i,*}$  within a GCS can be preempted by another job within another GCS if it has a priority higher than that of  $J_{i,*}$ 's GCS. Further, if the queue on  $\rho_k$  is not empty when  $J_{i,*}$  attempts to release  $\rho_k$ , the head of the queue is granted to lock  $\rho_k$ , otherwise,  $\rho_k$  is released. For local resources on each core, conflicting accesses are mediated by PCP<sup>[7]</sup>.

# 2.4 Worst-Case Blocking Analysis

Blocking analysis for the MPCP is quite intricate and error-prone in that tasks may encounter many blocking penalties, such as back-to-back executions, multiple priority inversions, and transitive interferences<sup>[5,9]</sup>. In most prior analysis, only coarsegrained exposition was used. Raikumar<sup>[5]</sup> classified the task blocking time into five items, and proposed the first upper bound on task blocking time by means of summing the five items together. This analysis is pessimistic because there are overlaps between individual blocking factors. Further, the maximum, not individual, critical section length of each task is used during analysis. This assumption is also pessimistic, because the ratio of the maximum to the minimum critical section length can be quite large in many cases. Lakshmanan et al. [9] applied the classic response time analysis to bound the remote blocking time for each individual request to global resources, and proposed a WCRT analysis for P-FP + MPCP based on the deferrable task model. Schliecker et al.[12] improved the classic blocking analysis using a sophisticated event stream model. This model exploits the minimum distance between any two shared resource requests to capture the shared resource load of a task during any time interval, based on which the maximum blocking can be expected to reduce. More recently, Brandenburg<sup>[13]</sup> developed a linear-programming analysis for P-FP scheduling. The key insight is to reduce repeated blockings that lower priority requests suffered from remote higher priority ones.

# 2.5 Worst-Case Response Time for P-FP + MPCP Scheduling

In P-FP + MPCP scheduled systems, tasks suspend when blocked by remote tasks. The feasibility problem in such scenario can be analogous to that of scheduling self-suspending tasks on uniprocessors, which is proved to be NP-hard<sup>[14]</sup>. Lakshmanan  $et\ al.^{[9]}$  provided a WCRT analysis for MPCP as follows.

$$R_i^{z+1} = \mathit{WC}_i + B_i^r + B_i^l + \sum_{h < i \wedge \tau_h \in \varGamma_i^{\mathrm{local}}} \Big\lceil \frac{R_i^z + B_h^r}{T_h} \Big\rceil \times \mathit{WC}_h,$$

where,  $B_i^r$  and  $B_i^l$  are the remote and the local blocking time of  $\tau_i$  respectively, and  $\Gamma_i^{\rm local}$  denotes the local tasks of  $\tau_i$ . The iteration starts with  $R_i^0 = 0$  and ends when  $R_i^{z+1} = R_i^z$ .  $\tau_i$  is considered to be schedulable if  $R_i \leq T_i$ . This iteration can also be ended early if  $R_i^{z+1} > T_i$ , in that case  $\tau_i$  is considered to be unschedulable.

# 3 Bounding the Shared Resource Requests

In this section, we exploit our task model to present tighter bounds on shared resource requests. Let  $\rho(\tau_i^{j*})$  be the shared resource corresponding to  $\tau_i^{j*}$ , e.g.,  $\rho(\tau_i^{2*})$ 

 $<sup>^{(3)}</sup>J_{x,*}$  is  $J_{i,*}$ 's local (or remote) job if it is assigned to the same (or a different) core to which  $J_{i,*}$  is assigned.

is  $\rho_k$  in Fig.1. Suppose that job  $J_{i,*}$  performs a maximum of  $N_{i,k}$  requests to  $\rho_k$ . Let  $\tau_{i,k}^{x*}$  denote the critical section where  $J_{i,*}$  issues its x-th request to  $\rho_k$ , and let  $S_{i,k}^x$  denote the index of the critical section corresponding to  $\tau_{i,k}^{x*}$ . For example in Fig.1,  $N_{i,k}=2$  and  $N_{i,h}=3$ , the fourth critical section of  $\tau_i$  is the second one to protect  $\rho_k$ , therefore  $S_{i,k}^2=4$ . To maintain consistency, we define  $BC_i^0=BC_i^{0*}=WC_i^0=WC_i^{0*}=0$ .

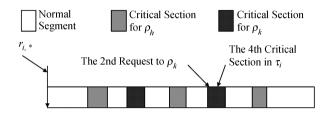


Fig.1. A task is modeled as an alternating sequence of normal and critical sections.

In cases when  $\tau_i$  executes the critical sections protecting  $\rho_k$  for the maximum possible time, then the minimum time between  $r_{i,\,v}$  and the instant when  $J_{i,\,v}$  issues the x-th  $(1\leqslant x\leqslant N_{i,\,k})$  request to  $\rho_k$  can be bounded by  $d_{i,\,k}^x$  as following:

$$\begin{split} d_{i,\,k}^x &= \sum_{\substack{\forall j \in [0,S_{i,\,k}^x] \\ \forall j \in [0,S_{i,\,k}^x - 1] \\ \\ \forall j \in [0,S_{i,\,k}^x - 1] \\ \\ \land \rho(\tau_i^{j^*}) = \rho_k}} BC_i^j + \sum_{\substack{\forall j \in [0,S_{i,\,k}^x - 1] \\ \\ \land \rho(\tau_i^{j^*}) = \rho_k}} BC_i^{j^*} + \\ \\ \sum_{\substack{\forall j \in [0,S_{i,\,k}^x - 1] \\ \\ \land \rho(\tau_i^{j^*}) = \rho_k}} WC_i^{j^*}. \end{split}$$

Similarly, the minimum time between the instant when  $J_{i,v}$  issues the x-th  $(1 \leq x \leq N_{i,k})$  request to  $\rho_k$  and  $f_{i,v}$  can be bounded by

**Lemma 1.** Suppose  $\tau_i$  executes the critical sections protecting  $\rho_k$  for the maximum possible time and a job of  $\tau_i$  (e.g.,  $J_{i,v}$ ) is about to issue the x-th  $(1 \le x \le N_{i,k})$  request to  $\rho_k$  (i.e.,  $\tau_{i,k}^{x*}$ ) at  $t_s$ , then it will take at least  $\delta_{i,k}^x(n)$  for  $\tau_i$  to issue the next n requests (including that corresponds to  $\tau_{i,k}^{x*}$ ) to  $\rho_k$ .

$$\delta_{i,k}^{x}(n) = d_{i,k}^{x+n-1} - d_{i,k}^{x}, \tag{1}$$

if  $x + n - 1 \leq N_{i,k}$ ; and

$$\delta_{i,k}^{x}(n) = e_{i,k}^{x} + T_i - R_i + d_{i,k}^{n-N_{i,k}+x-1}, \qquad (2)$$

if  $N_{i,k} < x + n - 1 \le 2N_{i,k}$ ; and

$$\delta_{i,k}^{x}(n) = e_{i,k}^{x} + (\lceil (n+x-1)/N_{i,k} \rceil - 1) \times T_{i} - R_{i} + d_{i,k}^{f(x)},$$
(3)

if  $x + n - 1 > 2N_{i,k}$ , where

$$f(x) = n + x - 1 - N_{i, k} \times (\lceil (n + x - 1)/N_{i, k} \rceil - 1).$$

*Proof.* Suppose that  $\tau_i$  has issued the *n*-th request to  $\rho_k$  at  $t_s + \Delta t$ . We prove this lemma as following.

Firstly, if  $x+n-1 \leq N_{i,k}$ , the following n requests will be issued within one job, as shown in Fig.2(a). Since  $J_{i,v}$  has to execute for at least  $d_{i,k}^{x+n-1} - d_{i,k}^x$  before it issues the n-th request to  $\rho_k$ ,  $\Delta t = d_{i,k}^{x+n-1} - d_{i,k}^x$ . We prove (1).

# The x-th Critical Section for $\rho_k$ The (x+n-1)-th Critical Section for $\rho_k$

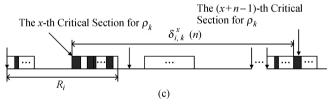


Fig.2. Illustrative timeliness of  $\tau_i$ . The n requests are issued (a) within one job, (b) by two successive jobs, and (c) by at least three jobs.

Secondly, if  $N_{i,\,k} < x+n-1 \leqslant 2N_{i,\,k}$ , the following n requests will be issued by two consecutive jobs,  $J_{i,\,v}$  and  $J_{i,\,v+1}$ , as shown in Fig.2(b). Wherein,  $J_{i,\,v}$  issues  $N_{i,\,k}-x+1$  requests to  $\rho_k$  after  $t_s$ , and  $J_{i,\,v+1}$  produces the remaining requests. Correspondingly,  $\Delta t$  can be divided into three sections: let  $\Delta t_1$  be the time interval between  $t_s$  and  $f_{i,\,v}$ ,  $\Delta t_2$  be the time interval between  $f_{i,\,v}$  and  $r_{i,\,v+1}$ , and  $\Delta t_3$  be the time interval from  $r_{i,\,v+1}$  to the instant  $J_{i,\,v+1}$  issues its  $(n-N_{i,\,k}+x-1)$ -th request to  $\rho_k$ . To minimize the respective sections, the following conditions must be satisfied: 1)  $J_{i,\,v}$  and

 $J_{i,\,v+1}$  are not interrupted during  $\Delta t_1$  and  $\Delta t_3$  respectively; 2) the response time of  $J_{i,\,v}$  is equal to  $R_i$ ; 3)  $J_{i,\,v+1}$  starts to execute at  $r_{i,\,v+1}$  (when it is released).

Conditions 1) and 3) guarantee that  $\Delta t_1$  and  $\Delta t_3$  are minimized, wherein,  $\Delta t_1 = e_{i,k}^x$ , and  $\Delta t_3 = d_{i,k}^{n-(N_{i,k}-x-1)}$ . Condition 2) guarantees that  $\Delta t_2$  is minimized, because  $\Delta t_2 = T_i - (f_{i,v} - r_{i,v})$  and  $R_i = \max_{\forall v} (f_{i,v} - r_{i,v})$ . We prove (2) by summing up the above three items.

Thirdly, if  $x + n - 1 > 2N_{i,k}$ , the following n requests will be issued by at least three jobs, as shown in Fig.2(c). Let  $J_{i,z}$  denote the last such job,  $\Delta t$  can also be divided into three sections:  $\Delta t_1^*$  denotes the time interval between  $t_s$  and  $r_{i,v+1}$ ,  $\Delta t_2^*$  denotes the time interval between  $r_{i,v+1}$  and  $r_{i,z}$ , and  $\Delta t_3^*$  denotes the time interval from  $r_{i,z}$  to the instant  $J_{i,z}$ issues the n-th request to  $\rho_k$ . Based on what discussed above,  $\Delta t_1^*$  can be minimized to  $\Delta t_1 + \Delta t_2 =$  $e_{i,k}^x + T_i - R_i$ . The remaining requests involve  $\lceil (n - 1)^{n-1} \rceil$  $N_{i,k} + x - 1 / N_{i,k} = [(n + x - 1) / N_{i,k}] - 1 \text{ jobs},$ which spans  $\lceil (n+x-1)/N_{i,k} \rceil - 2$  complete periods, therefore  $\Delta t_2^* = T_i \times [(n+x-1)/N_{i,k}] - 2$ . Finally,  $n - (N_{i,k} - x + 1) - N_{i,k} \times (\lceil (n + x - 1)/N_{i,k} \rceil - 2) = f(x)$ requests are remained to be issued by  $J_{i,z}$ , therefore,  $\Delta t_3^*$  can be minimized to be  $d_{i,k}^{f(x)}$ . Summing up the above three items, we prove (3).

Lemma 1 provides a lower bound on the time between any n requests of  $\tau_i$  to  $\rho_k$ , based on which, the following theorem upper bounds the number of  $\tau_i$ 's requests to  $\rho_k$  during a time interval  $\Delta t$ .

**Theorem 1.** From the instant when  $J_{i,v}$ 's x-th  $(1 \leqslant x \leqslant N_{i,k})$  request to  $\rho_k$  is satisfied,  $J_{i,v}$  (and the successive jobs of  $\tau_i$ ) can issue at most  $\eta^x_{i,k}(\Delta t) = \max\{n|\delta^x_{i,k}(n)\leqslant \Delta t\}$  requests to  $\rho_k$  (include that corresponding to  $\tau^{x*}_{i,k}$ ) within a time interval  $\Delta t$ .

*Proof.*  $\eta_{i,k}^x(\Delta t)$  is the inverse function of  $\delta_{i,k}^x(n)$ , therefore the proof follows directly from Lemma 1.  $\square$ 

Under P-FP + MPCP scheduling, higher priority remote tasks may issue several requests to a global resource  $\rho_k$  before a lower priority task acquires that resource. The following theorem provides the total execution time of a task in successive critical sections protecting a specific shared resource.

**Theorem 2.** From the instant when  $J_{i,v}$  has issued the x-th  $(1 \le x \le N_{i,k})$  request to  $\rho_k$ , the total execution time of the following n, including  $\tau_{i,k}^{x*}$ , critical sections that protect  $\rho_k$  can be upper bounded by  $\psi_{i,k}^x(n)$ , wherein.

$$\psi_{i,k}^{x}(n) = \sum_{\forall j \in [S_{i,k}^{x}, S_{i,k}^{x+n-1}] \land \rho(\tau_{i}^{j*}) = \rho_{k}} WC_{i}^{j*}, \quad (4)$$

if  $x + n - 1 \leq N_{i,k}$ ; and

$$\psi_{i,k}^{x}(n) = \sum_{\substack{\forall j \in [S_{i,k}^{x}, N_{i,k}] \\ \land \rho(\tau_{i}^{j*}) = \rho_{k}}} WC_{i}^{j*} + \sum_{\substack{\forall j \in [1, S_{i,k}^{n+x-1-N_{i,k}}] \\ \land \rho(\tau_{i}^{j*}) = \rho_{k}}} WC_{i}^{j*},$$
(5)

if  $N_{i,k} < x + n - 1 \le 2N_{i,k}$ ; and

$$\psi_{i,k}^{x}(n) = \psi_{i,k}^{x}(n - N_{i,k} \times \lfloor n/N_{i,k} \rfloor) + \xi_{i,k} \times \lfloor n/N_{i,k} \rfloor,$$
(6)

if  $x + n - 1 > 2N_{i,k}$ , where  $\xi_{i,k} = \sum_{\rho(\tau_i^{j*}) = \rho_k} WC_i^{j*}$ .

*Proof.* Firstly, if  $x + n - 1 \leq N_{i,k}$ , the *n* requests will be issued within one job of  $\tau_i$ . Thus,  $\psi_{i,k}^x(n)$  is equal to the cumulative execution time of the following *n* critical sections for  $\rho_k$ , as provided by (4).

Secondly, if  $N_{i,\,k} < x+n-1 \leqslant 2N_{i,\,k}$ , the n requests will be issued by two successive jobs,  $J_{i,\,v}$  and  $J_{i,\,v+1}$ . Wherein,  $J_{i,\,v}$  executes, from  $\tau^{x*}_{i,\,k}$  to  $\tau^{N_{i,\,k}*}_{i,\,k}$ ,  $N_{i,\,k}-x+1$  critical sections for  $\rho_k$ , and  $J_{i,\,v+1}$  will execute, from  $\tau^{1*}_{i,\,k}$ , the remaining  $n-(N_{i,\,k}-x+1)$  critical sections that protect  $\rho_k$ . We prove (5).

Thirdly, if  $x+n-1>2N_{i,\,k}$ , the total n requests will be issued by at least three successive jobs of  $\tau_i$ . Since the cumulative execution time in any  $N_{i,\,k}$  successive critical sections corresponding to  $\rho_k$  is equal to  $\xi_{i,\,k} = \sum_{\rho(\tau_i^{j*})=\rho_k} W C_i^{j*}$ , a total of  $N_{i,\,k} \times \lfloor n/N_{i,\,k} \rfloor$  successive requests to  $\rho_k$  sum up an execution time of  $\xi_{i,\,k} \times \lfloor n/N_{i,\,k} \rfloor$ . Besides these  $N_{i,\,k} \times \lfloor n/N_{i,\,k} \rfloor$  requests, the remaining requests to  $\rho_k$  are less than  $N_{i,\,k}$ . Thus,  $x+(n-N_{i,\,k}\times \lfloor n/N_{i,\,k} \rfloor)-1\leqslant N_{i,\,k}$ , or  $N_{i,\,k}\leqslant x+(n-N_{i,\,k}\times \lfloor n/N_{i,\,k} \rfloor)-1\leqslant 2N_{i,\,k}$ . As a result, (4) or (5) can be used to upper bound the execution time of the remaining requests. We prove (6).

Combining  $\eta_{i,k}^x(\Delta t)$  with  $\psi_{i,k}^x(n)$ , we can provide the upper bound on the total execution time of  $\tau_i$  in successive critical sections corresponding to  $\rho_k$  during a time interval  $\Delta t$ . Based on such bound, we present a tighter worst-case blocking time analysis in the next section.

# 4 Improved Response Time Analysis

In this section, we analyze the response time of tasks scheduled under P-FP + MPCP scheduling. Firstly, we provide upper bounds on worst-case blockings according to the refined task model discussed in Section 3. Then we modify the framework in [9] and present an improved WCRT analysis.

# 4.1 Upper Bounds on Worst-Case Blockings

According to Definition 1, task blockings can be formally classified into remote and local blockings. We bound the remote blocking time caused by remote tasks

. □ with lower and higher priorities respectively, and bound the local blocking time incurred by lower priority local tasks.

# 4.1.1 Derivation of Remote Blocking Time

Since there is an ordering for priority ceilings of GCSs, a job can be preempted by other local jobs even when it is executing in GCSs. For example in Fig.3,  $J_{2,*}$  is preempted (blocked) by a lower priority local job  $J_{3,*}$  at  $t_1$  when executing in the GCS. That is because  $J_{3,*}$  has queued on  $\rho_h$  and has inherited the priority ceiling  $\Omega_h$  before  $J_{2,*}$  releases, it is able to preempt the execution of  $J_{2,*}$  in the GCS because  $\Omega_h > \Omega_k$  (both  $J_{1,*}$  and  $J_{3,*}$  request the global resource  $\rho_h$ , and  $J_{1,*}$  has a base priority higher than that of  $J_{2,*}$ ).

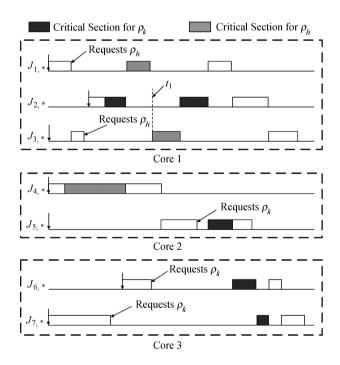


Fig.3. Illustrative example for remote blockings.

**Lemma 2.** When  $J_{i,*}$  is executing in the GCS protecting  $\rho_k$ , it suffers a delay for at most

$$\varphi_{i,k} = \sum_{\substack{\tau_a \in \Gamma_i^{\text{local}} \\ \wedge \rho(\tau_a^{j^*}) = \rho_h \wedge \Omega_h > \Omega_k}} W C_a^{j^*}.$$

*Proof.* Since  $J_{i,*}$  inherits the priority ceiling  $\Omega_k$ , it cannot be preempted by any other job with base priority. Suppose another local job  $J_{a,*}(\tau_a \in \Gamma_i^{\text{local}})$ , where  $\Gamma_i^{\text{local}}$  denotes the local tasks of  $\tau_i$ ) is queuing on another global resource  $\rho_h(\Omega_h > \Omega_k)$ , and it acquires the lock to  $\rho_h$  before  $J_{i,*}$  completes its execution in the GCS. Then  $J_{a,*}$  can preempt  $J_{i,*}$ , because it has inherited the priority ceiling  $\Omega_h$ . After  $J_{a,*}$  exits the GCS,

it cannot preempt  $J_{i,*}$  again. That is because  $J_{i,*}$  has the priority ceiling  $\Omega_k$ , making it impossible for  $J_{a,*}$  to be scheduled and issue another request. Therefore,  $J_{i,*}$  that is executing in a GCS can be preempted by  $J_{a,*}$  for at most once. Similarly, any job in GCSs protected by higher priority ceilings can potentially preempt  $J_{i,*}$  for at most once.

Once a job acquires the lock to a global resource, it occupies the global resource until it finishes the execution of the corresponding GCS. For example in Fig.3,  $J_{2,*}$  occupies  $\rho_k$  during  $t_1$  and  $t_2$ . Based on the analysis in Lemma 2, the maximum occupation time of  $J_{i,*}$  on  $\rho_k$  can be computed as follows:

$$MO_{i,k} = \varphi_{i,k} + \max_{j \in [1,S_{i-1}] \land \rho(\tau_i^{j*}) = \rho_k} WC_i^{j*}.$$

**Lemma 3.** Each time  $J_{i,*}$  requests the global resource  $\rho_k$ , it can be blocked by lower priority remote tasks for at most

$$b_{i,\,k}^l = \max_{\tau_l \in \varGamma - \varGamma_i^{\mathrm{local}} \wedge l > i} MO_{l,k}.$$

*Proof.* Since the waiting queue on  $\rho_k$  is prioritized, none of the lower priority remote jobs waiting for  $\rho_k$  can acquire the lock before  $J_{i,*}$  does. Thus,  $J_{i,*}$  needs to wait for at most one lower priority remote job  $J_{l,*}$  ( $\tau_l \in \Gamma - \Gamma_i^{\text{local}}$  and l > i) for at most the occupation time of  $MO_{l,k}$ .

When a lower priority job  $J_{i,*}$  is waiting for a global resource  $\rho_k$ , remote jobs with higher priorities may be added into the same waiting queue and inserted in front of  $J_{i,*}$ . As can be observed in Fig.3,  $J_{7,*}$  issues a request to  $\rho_k$  before  $J_{5,*}$  and  $J_{6,*}$ . However  $J_{5,*}$  and  $J_{6,*}$  acquire the lock to  $\rho_k$  in advance due to higher priorities. In addition, any high priority remote job may issue several requests to  $\rho_k$  before  $J_{i,*}$  acquires the lock to  $\rho_k$ . In that case,  $J_{i,*}$  has to wait until  $\rho_k$  is freed up and  $J_{i,*}$  is right in the head of the waiting queue.

**Lemma 4.** After  $J_{i,*}$  issues a request to global resource  $\rho_k$ , it can be blocked by higher priority remote tasks for at most  $b_{i,k}^h(\Delta t)$  during a time interval  $\Delta t$ .

$$b_{i,k}^{h}(\Delta t) = \sum_{\tau_h \in \Gamma - \Gamma_i^{\text{local}} \land h < i} \max_{x \in [1, N_{h,k}]} (\psi_{h,k}^x(\eta_{h,k}^x(\Delta t)) + \eta_{h,k}^x(\Delta t) \times \varphi_{h,k}).$$

$$(7)$$

Proof. The execution time of jobs of  $\tau_h$  in GCSs protecting  $\rho_k$  during a time interval  $\Delta t$  can be formulated by  $\psi_{h,k}^x(\eta_{h,k}^x(\Delta t))$ . The additional interferences during the execution of those jobs in GCSs can be bounded by  $\eta_{h,k}^x(\Delta t) \times \varphi_{h,k}$ . Thus, the maximum occupation time of jobs of  $\tau_h$  on  $\rho_k$  during a time interval of length  $\Delta t$  is  $\max_{x \in [1,N_{h,k}]} (\psi_{h,k}^x(\eta_{h,k}^x(\Delta t)) + \eta_{h,k}^x(\Delta t) \times \varphi_{h,k})$ .

Since all higher priority remote jobs can preempt  $J_{i,*}$  in the global queue of  $\rho_k$ , the cumulative blocking time of  $J_{i,*}$  can be safely bounded by the sum of the respective factors.

A solution can be obtained by iteration, because both sides of (7) grow monotonically with respect to the size of  $\Delta t$ . Summing up  $b_{i,k}^l$  and  $b_{i,k}^h(\Delta t)$ , the remote blocking time of  $J_{i,*}$  on  $\rho_k$  can be bounded by  $RB_{i,k}$  as following.

$$RB_{i,k}^{z+1} = b_{i,k}^l + b_{i,k}^h (RB_{i,k}^z).$$
 (8)

**Theorem 3.** Suppose  $\Phi_i^G$  is the set of global resources requested by  $\tau_i$ , then  $J_{i,*}$  may incur remote blocking for at most

$$RB_i = \sum_{\forall \rho_k \in \Phi_i^G} RB_{i,k} \times N_{i,k}. \tag{9}$$

*Proof.* The proof follows directly from the preceding discussion.  $\hfill\Box$ 

# 4.1.2 Derivation of Local Blocking Time

A job is suspended whenever it is blocked on a global resource. Lower priority local jobs may execute and even queue on or lock global resources, and thus may result in multiple interferences to higher priority jobs. Consider the following cases.

Suppose  $J_{i,*}$  is suspended on a global resource  $\rho_k$  while another lower priority local job  $J_{l,*}$  is queuing up on an arbitrary global resource  $\rho_a$ . Then  $J_{l,*}$  will cause  $J_{i,*}$  to incur blocking when 1)  $J_{l,*}$  acquires the lock to  $\rho_a$  during the time  $J_{i,*}$  is executing in the GCS and  $\Omega_a > \Omega_k$  ( $J_{l,*}$  preempts  $J_{i,*}$  immediately); 2)  $J_{l,*}$  acquires the lock to  $\rho_a$  during the time  $J_{i,*}$  is executing in the GCS and  $\Omega_a \leq \Omega_k^{(4)}$  ( $J_{l,*}$  will preempt  $J_{i,*}$  after which completes the execution of the GCS); 3)  $J_{l,*}$  acquires the lock to  $\rho_a$  when  $J_{i,*}$  is executing the non-critical code ( $J_{l,*}$  preempts  $J_{i,*}$  immediately).

In addition, priority inversions may occur before the higher priority job issues the first request to global resources. We illustrate the phenomenon of local blocking via an example, as shown in Fig.4.

 $J_{5,*}$  and  $J_{6,*}$  issue requests to  $\rho_k$  and  $\rho_h$  at  $t_1$  and  $t_2$  respectively, and both jobs are suspended and added into the waiting queues. Then higher priority job  $J_{4,*}$  releases and executes the non-critical code.  $J_{5,*}$  acquires the lock to  $\rho_k$  and inherits the priority ceiling  $\Omega_k$  at  $t_3$ , and it preempts  $J_{4,*}$  because of higher effective priority. Similar phenomenon occurs at  $t_4$  when  $J_{6,*}$  inherits the priority ceiling  $\Omega_h$ . Therefore,  $J_{4,*}$ 

suffers local blocking before issuing requests to shared resources.  $J_{4,*}$  is suspended at  $t_5$  when trying to lock  $\rho_k$ , letting  $J_{5,*}$ ,  $J_{6,*}$ , and  $J_{7,*}$  execute and queue on global resources at  $t_6$ ,  $t_7$ , and  $t_8$  respectively. Subsequently,  $J_{5,*}$  acquires the lock to  $\rho_h$  at  $t_9$  and preempts  $J_{4,*}$  when it is executing in the GCS (condition 1);  $J_{6,*}$  acquires the lock to  $\rho_k$  which is just released by  $J_{4,*}$  at  $t_{10}$ , thus  $J_{6,*}$  preempts  $J_{4,*}$  (condition 2);  $J_{7,*}$  acquires the lock to  $\rho_l$  at  $t_{11}$  and preempts  $J_{4,*}$  when it is executing in the non-critical code (condition 3).

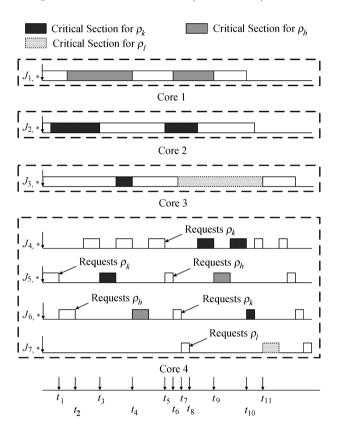


Fig.4. Illustrative sequence of tasks for local blockings.

According to what discussed above, a job  $J_{i,*}$  can be blocked by any lower priority local job for at most  $N_{i,G} + 1$  times, where  $N_{i,G}$  is the number of GCSs of  $J_{i,*}$ . In that case, the lower priority job must issue at least  $N_{i,G}$  requests to shared resources during the time  $J_{i,*}$  is pending (plus one before  $J_{i,*}$  releases). However, there is only a limited time for lower priority jobs to execute (only during the time when  $J_{i,*}$  is suspended), and thus most of these blockings can be ruled out.

To bound the execution time of jobs of  $\tau_i$  in successive critical sections, we slightly modify  $\delta^x_{i,k}(n)$  and  $\psi^x_{i,k}(n)$  to be  $\delta^x_{i,*,h}(n)$  and  $\psi^x_{i,*,h}(n)$  respectively. A special noteworthiness is that  $\delta^x_{i,k}(n)$  and  $\psi^x_{i,k}(n)$  are

<sup>&</sup>lt;sup>(4)</sup> It is possible for  $J_{l,*}$  to request  $\rho_a$  ( $\rho_a = \rho_k$ ) when  $J_{i,*}$  is waiting for the same global resource. In that case,  $J_{l,*}$  will be inserted to the same queue behind  $J_{i,*}$ , and may acquire the lock to  $\rho_k$  and preempt  $J_{i,*}$  after it releases  $\rho_k$ .

specified for certain critical sections protecting  $\rho_k$ , while the modified ones are for all critical sections that have priority ceilings higher than the base priority of  $\tau_h$  (i.e., in these critical sections,  $\tau_i$  has a higher effective priority than the base priority of  $\tau_h$ ). It can easily be shown that,  $\delta^x_{i,*,h}(n)$  and  $\psi^x_{i,*,h}(n)$  can be computed by the similar methods used in  $(1)\sim(6)$ .

**Lemma 5.**  $J_{i,*}$  suffers local blocking caused by jobs of  $\tau_l(\tau_l \in \Gamma_i^{\text{local}} \text{ and } i < l)$  for at most  $LB_i^l = \max_{\Omega_{\rho(\tau_{l,*}^{x_*})} \geqslant \pi(\tau_i) \land x \in [1,S_{i-1}]} \{\psi_{l,*,i}^x(n) | \psi_{l,*,i}^x(n) \geqslant \delta_{l,*,i}^x(n) - RB_i\}$ , where  $\pi(\tau_i)$  is the base priority of  $\tau_i$ .

*Proof.* Only when  $J_{i,*}$  is pending can it be blocked according to Definition 1. During that time, jobs of  $\tau_l$  can only be scheduled to execute non-critical sections when  $J_{i,*}$  is suspended. From Theorem 3, the suspension time of  $J_{i,*}$  is at most  $RB_i$ . Suppose  $J_{i,*}$  is blocked by jobs of  $\tau_l$  for n times, then jobs of  $\tau_l$  must execute for at least  $\delta^x_{l,*,i}(n)$ , during which a total of  $\psi^x_{l,*,i}(n)$  is the execution time of these jobs in critical sections. Thus,  $J_{i,*}$  can be blocked by jobs of  $\tau_l$  for at most  $\psi^x_{l,*,i}(n)$ . In that case, jobs of  $\tau_l$  need to execute for at least  $\psi^x_{l,*,i}(n) + RB_i$ . Since  $\delta^x_{l,*,i}(n) \leq \psi^x_{l,*,i}(n) + RB_i$  is always true,  $\psi^x_{l,*,i}(n) \geq \delta^x_{l,*,i}(n) - RB_i$  holds.  $\square$ 

**Theorem 4.**  $J_{i,*}$  incurs local blocking for at most

$$LB_i = \sum_{l > i \wedge \tau_l \in \varGamma_i^{\text{local}}} LB_i^l.$$

*Proof.* The proof follows directly from Lemma 5.  $\square$ 

# 4.2 Upper Bounds on Task Response Time

Based on the work in [9], the WCRT of tasks scheduled by P-FP + MPCP can be bounded by

$$R_i^{z+1} = WC_i + RB_i + LB_i + \sum_{h < i \land \tau_h \in \Gamma_i^{\text{local}}} \left\lceil \frac{R_i^z + RB_h}{T_h} \right\rceil \times WC_h.$$
 (10)

Note that the calculation of  $R_i$  with (10) requires the knowledge of blocking time. However, the derivation of both  $RB_i$  and  $LB_i$  involves  $\delta^x_{i,k}(n)$  and  $\delta^x_{i,*,h}(n)$  respectively, which in turn requires the value of  $R_i$ , i.e., there are inter-dependences between response time and blocking time. To distinguish the response time in different scenarios, we let  $WR_i$  denote the WCRT obtained according to (10) and  $IR_i$  denote the initial value used when computing  $\delta^x_{i,k}(n)$  or  $\delta^x_{i,*,h}(n)$ . A possible solution is as follows:

1) let  $IR_i = BC_i$  in Lemma 1 (computing  $\delta^x_{i,k}(n)$ ) when  $WR_i$  is calculated for the first time;

- 2) replace  $IR_i$  with  $WR_i$  that is obtained in the previous step, so as to recalculate  $WR_i$ ;
- 3) repeat 2) until the value of  $WR_i$  becomes stable. It is noted in  $(1)\sim(3)$  that, for a given value of n,  $\delta_{i,k}^x(n)$  is a non-increase function of  $R_i$  ( $IR_i$ ). Since  $\eta_{i,k}^x(\Delta t)$  can be considered as an inverse function of  $\delta_{i,k}^x(n)$ , the value of  $\eta_{i,k}^x(\Delta t)$  can potentially increase with  $IR_i$ . Further, according to  $(7)\sim(9)$ ,  $RB_i$  increases or remains stable when  $IR_i$  increases. However, it is unclear whether  $LB_i$  is non-decreasing with the increase of  $IR_i$ . Therefore, (10) may not converge in some special cases in theory. If oscillation happens in step 2), we turn to test all  $R_i \in [\kappa, T_i]$  in increasing order until the first  $\omega \in [\kappa, T_i]$  that satisfies (11). Then,  $R_i = \omega$ , otherwise we consider  $\tau_i$  is unschedulable.

$$R_{i} = WC_{i} + RB_{i} + LB_{i} + \sum_{h < i \wedge \tau_{h} \in \Gamma_{i}^{local}} \left\lceil \frac{R_{i} + RB_{h}}{T_{h}} \right\rceil \times WC_{h},$$

$$\kappa = WC_{i} + \sum_{h < i \wedge \tau_{h} \in \Gamma_{i}^{local}} \left\lceil \frac{\kappa}{T_{h}} \right\rceil \times WC_{h}. \tag{11}$$

# 5 Evaluations

In this section, we evaluate the efficiency of the proposed analysis by comparing it with the original schedulability test<sup>[5]</sup> (Original), the deferrable model-based WCRT analysis<sup>[9]</sup> (D-WCRT), and the event stream model-based WCRT analysis<sup>[12]</sup> (E-WCRT).

Firstly, we use a simple example to illustrate the improvement of the proposed analysis on worst-case blockings. Suppose five tasks, indexed in decreasing order of priorities, as shown in Table 1, run on a 2-core multicore processor.  $\tau_1$  and  $\tau_3$  are assigned on core 1, and the other three tasks are assigned on core 2. All tasks will share a single shared resource  $\rho_k$ . Suppose  $\alpha = BC_i^j/WC_i^j = BC_i^{j*}/WC_i^{j*} = 0.5$ , we focus on  $\tau_2$ 

Table 1. Task Parameters for the Illustrative Example

$ au_i$	Core 1		Core 2		
	$ au_1$	$ au_3$	$ au_2$	$ au_4$	$ au_5$
$WC_i^1$	7	16	12	16	234
$WC_i^{1*}$	3	3	3	3	\
$WC_i^2$	10	26	19	32	\
$WC_i^{2*}$	3	3	3	3	\
$WC_i^3$	10	19	26	33	\
$WC_i^{3*}$	3	3	\	3	\
$WC_i^4$	6	15	\	18	\
$WC_i$	42	85	63	108	234
$T_i$	120	340	300	600	650
$u_i$	0.3	0.25	0.21	0.18	0.36

<sup>&</sup>lt;sup>⑤</sup>Nonetheless, we tested a total of more than 10 million randomly generated task sets in various scenarios, and (10) always converges.

and calculate its worst-case blocking time using different analysis methods. It is noted that the worst-case critical section lengths of all tasks are same in this example (i.e., equal to 3), and we use  $WC^*$  to denote such length for simplicity.

Each time  $\tau_2$  requests  $\rho_k$ , it can be blocked by lower priority remote task (i.e.,  $\tau_3$ ) for  $b_{2,k}^3 = WC^*$ . Since  $RB_{2,k}^0=0,\ RB_{2,k}^1=b_{2,k}^3+b_{2,k}^1(0)$ . From Lemma 4,  $au_2$  can also be blocked by higher priority remote task (i.e.,  $\tau_1$ ) for  $b_{2,k}^1$  ( $\Delta t$ ) during a time interval  $\Delta t$ . From (7),  $b_{2,k}^1(0) = \max_{x \in [1,N_{1,k}]} \psi_{1,k}^x(\eta_{1,k}^x(0)) = WC^*$ , thus  $RB_{2,k}^1 = 2WC^*$ . Further, from Lemma 1, it will take at least  $WC^* + 10 \times \alpha = 8 > 2WC^*$  for  $\tau_1$  to issue two requests to  $\rho_k$ , thus  $b_{2,k}^1(2WC^*) = WC^*$ , making  $RB_{2,k}^2 = 2WC^*$ . Since  $\tau_2$  requests  $\rho_k$  for twice (i.e.,  $N_{2,k} = 2$ ),  $RB_2 = 2 \times 2WC^* = 4WC^*$ . On the other hand,  $\tau_2$  may experience local blocking for at most  $LB_2^4$ , according to Lemma 5. Since  $\delta_{4,*,2}^{x}(2) = WC^* + 32 \times \alpha = 19 \text{ and } \psi_{4,*,2}^{x}(2) = 2WC^*,$  $\psi_{4,*,2}^{x}(2) < \delta_{4,*,2}^{x}(2) - RB_2$ . From Lemma 5,  $LB_2^4 =$  $\max_{x \in [1, S_2 - 1] \wedge \Omega_{\rho(\tau_{4, *}^{x*})} \geqslant \pi(\tau_2)} \psi_{4, *, 2}^x(1) = WC^*$ . Therefore, the worst-case blocking for  $\tau_2$  is  $5WC^*$ .

While in [5], blocking terms are calculated independently. These terms include: 1) local blocking on local resources (nonexistent in this example); 2) remote blocking by lower priority tasks, that is,  $b_{2,k}^3 = WC^* \times N_{2,k} = 2WC^*$ ; 3) remote blocking by higher priority tasks, that is,  $b_{2,k}^1 = N_{2,k} \times \lceil T_2/T_1 \rceil \times WC^* = 6WC^*$ ; 4) indirect remote blocking (nonexistent in this example); 5) local blocking on global resources, that is,  $LB_2^4 = \min(N_{2,k} + 1, 2N_{4,k}) \times WC^* = 3WC^*$ . Therefore, the worst-case blocking for  $\tau_2$  is  $11WC^*$ .

In [9], worst-case blockings are bounded by using a WCRT-like approach. Accordingly,  $rb_{2,1}^1 = WC^* + (\lceil WC^*/T_1 \rceil + 1) \times WC^* = 3WC^*$ , and  $rb_{2,1}^2 = rb_{2,1}^1$ , so  $rb_{2,1} = 3WC^*$  (according to (2) and (3) in [9]). Further,  $rb_{2,2} = rb_{2,1}$ , and the overall remote blocking is  $RB_2 = rb_{2,1} + rb_{2,2} = 6WC^*$ . Additionally, the local blocking of  $\tau_2$  is  $LB_2 = S_2 \times WC^* = 3WC^*$ . Thus, the worst-case blocking for  $\tau_2$  is  $9WC^*$ .

In [12], blocking terms are calculated independently as that in [5], and a function,  $\tilde{\eta}_i^+(\Delta t) = \lceil \Delta t/d_{i,\text{srr}} \rceil$ , was introduced to bound the number of requests that  $\tau_i$  can issue to shared resources during a time interval  $\Delta t$ .  $d_{i,\text{srr}}$  is defined to be the minimum distance between any two shared resource requests of  $\tau_i$ . Accordingly,  $b_{2,k}^3$  and  $LB_2^4$  are the same as that in [5], while  $b_{2,k}^1 = \tilde{\eta}_1^+(R_2) \times WC^*$ . Since  $R_2$  is at least

 $\sum_{j \in [1, S_2 - 1]} (WC_2^j + WC_2^{j*}) + WC_2^{S_i} = 35, \text{ and } d_{1, \text{srr}} = 10, \ b_{2, k}^1 = 4WC^{*}^{(6)}.$  The worst-case blocking for  $\tau_2$  is  $9WC^*$ .

Next, we conduct schedulability experiments to illustrate the efficiency of the proposed method. Task sets with varying characteristics are generated, and are tested for schedulability (hard real-time) under P-FP + MPCP scheduling.

# 5.1 Experimental Setup

The ratio of schedulable task sets with varying characteristics on a platform with eight cores is evaluated. The Worst-Fit-Decreasing (WFD) heuristic is used for task partitioning. We explore factors that affect the schedulability as follows: 1) the ratio of best-case to worst-case execution time for each execution segment, i.e.,  $\alpha = BC_i^j/WC_i^j = BC_i^{j*}/WC_i^{j*}$ , and  $\alpha \in [0,1]$ ; 2) the total system utilization  $U = \sum_{i=1}^n u_i$  varies in increments of 0.2 from 0.2 to 8; 3) the maximum number of tasks that may require any shared resource, denoted by  $\beta^{\bigcirc}$ , varies from 1 to 20; 4) the critical section length that varies from 0.05ms to 2 ms; 5) the number of critical sections per task varies from 1 to 18.

An experimental scenario consists of a permutation of the above parameters. We select a system utilization cap (in (0, 8]) in each scenario, based on which we generate tasks as follows. Task periods are generated from [10ms, 600ms] with uniform distribution, and task utilizations are selected uniformly from [0.05, 0.2]. Priorities of tasks are assigned according to the Rate Monotonic (RM) algorithm<sup>[15]</sup>. The WCET of each task  $\tau_i$  is determined by the selected period and utilization, and the WCETs of the normal computation segments are randomly chosen from  $[0.5 \times \sum_{i=1}^{S_i} NC_i^j/S_i,$  $1.5 \times \sum_{i=1}^{S_i} NC_i^j / S_i$ ]. These tasks are added to the task set until the cumulative task utilization exceeds the selected system utilization cap, and in that case the last generated task is discarded. If the remaining utilization gap is within the range of values allowed for the task utilization, we generate a task that exactly fits the utilization gap and add it to the task set; otherwise, we regenerate the task set. The number of shared resource is determined by the experimental scenario. Suppose a task set contains nt tasks, each task contains y critical sections, and each shared resource can be requested by at most  $\beta$  tasks, then the number of the shared resources is set to  $[y \times nt/\beta]$ . A total number of 50 000 task sets are generated for each scenario, and are tested using different schedulability algorithms.

<sup>&</sup>lt;sup>®</sup> As shown in the example, function  $\tilde{\eta}_i^+(\Delta t)$  may be very pessimistic when  $d_{i,\text{srr}}$  is small. We use  $\min(\tilde{\eta}_i^+(R_j), \lceil T_j/T_i \rceil \times N_{i,k})$  in our schedulability experiments.

<sup>&</sup>lt;sup>(7)</sup> For example, if each resource in the system can only be requested by at most w tasks, then  $\beta = w$ .

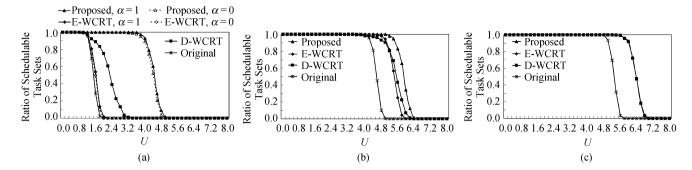


Fig.5. Schedulability with increasing U. (a)  $\alpha=1$  and 0.01,  $\beta=9$ , the critical section length is 0.2 ms, and each task has 8 critical sections. (b)  $\alpha=0.5$ ,  $\beta=4$ , the critical section length is 0.2 ms, and each task has 2 critical sections. (c)  $\alpha=0.5$ ,  $\beta=1$ , the critical section length is 0.2 ms, and each task has 1 critical section.

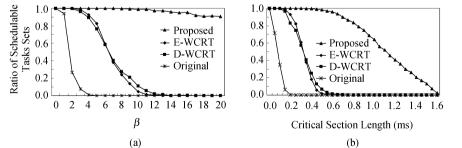
# 5.2 Experimental Results

Firstly, we study the influence of  $\alpha$  on the schedulability performances. Fig.5(a) shows the proposed method and E-WCRT performance slightly better when  $\alpha=1$ , compared to that when  $\alpha=0.01$ . That is because the worst-case blockings from both analysis approaches depend on the minimum inter-arrival time for shared resource requests, and tasks tend to issue more requests and incur more blockings within a time interval  $\Delta t$  when  $\alpha$  (equivalently, the minimum inter-arrival time for shared resource requests) is small. On the other hand, D-WCRT and Original only use WCET during blocking analysis, thus the performances of both methods remain unchanged.

Both E-WCRT and D-WCRT improve upon the original analytical method, and the proposed method performs the best, as shown in Fig.5(a), Fig.5(b), and in Fig.6, E-WCRT performs better than D-WCRT when system loads are light, e.g., U < 5 in Fig.6(b), but it performs worse than D-WCRT otherwise. Similar trends can also be observed in Fig.6. It is also noted in Fig.5(c) that, the performances of E-WCRT, D-WCRT, and the proposed method are same when each task has only one critical section.

Fig.6 shows the influences of  $\beta$ , critical section length, and the number of critical sections per task on the performances of different methods. When each shared resource can be requested by more tasks, there are fewer shared resources in the system (recall that the number of shared resources is  $\lceil y \times nt/\beta \rceil$  in this experiment). Consequently, tasks are more likely to block each other with bigger  $\beta$ . It is shown in Fig.6(a) that the schedulability performances of all methods tend to decrease with increasing  $\beta$ . Notably, the performance of the proposed method is seldom affected in the scenario when  $\alpha=0.5$ , U=5, and each task has two critical sections with lengths equal to 0.2 ms.

Fig.6(b) shows that the performances of each methods decrease uniformly with increasing critical section lengths. That is because tasks tend to suffer longer blockings, when critical section lengths are long. It can also be observed in Fig.6(b) that, the performance of the proposed method decreases slowly with increasing critical section length, while the other three methods degrade dramatically. Similar trends can also be seen in Fig.6(c) when each task has more critical sections. That is because tasks may experience more blockings when issuing more requests to shared resources.



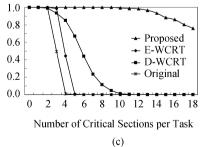


Fig.6. (a) Schedulability with increasing  $\beta$ , when  $\alpha=0.5$ , U=5, the critical section length is 0.2 ms, and each task has 2 critical sections. (b) Schedulability with increasing critical section length, when  $\alpha=0.5$ , U=5,  $\beta=2$ , and each task has 2 critical sections. (c) Schedulability with increasing number of critical sections per task, when  $\alpha=0.5$ , U=5,  $\beta=2$ , and the critical section length is 0.2 ms.

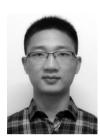
# 6 Conclusions

This paper addressed the blocking analysis for P-FP + MPCP scheduled multiprocessor real-time systems. In this paper, tasks are modeled as alternating execution segments of critical sections and non-critical sections, and each execution segment is associated with best-case and worst-case execution time (to make the model expressive, BCET can vary from zero to WCET). Based on this model, we conducted a detailed timing analysis and derived the lower bound of the inter-arrival time for shared resource requests within the same task. Furthermore, we provided a tighter upper bound, compared to existing work, on the execution time of a task in successive critical sections during an arbitrary time interval of  $\Delta t$ . Then, we improved the worst-case blocking analysis and presented a modified WCRT analysis based on [9]. Schedulability experiments in various scenarios show that the proposed analysis improves upon the existing approaches.

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