

Evaluating Cellular Communication Sensing for Lapse Risk Prediction During Early Recovery from Alcohol Use Disorder

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Introduction

Alcohol Use Disorder (AUD) is a chronic, relapsing disease (Dennis & Scott, 2007; McLellan et al., 2000; Rounsaville, 2010). Lapses, single episodes of alcohol use, are among the strongest predictors (and a necessary precursor) for relapse, a full return to harmful drinking (Marlatt & Donovan, 2007; Marlatt & Gordon, 1985). While lapses can occur at any point in recovery, they are particularly risky during early recovery (Daley & Douaihy, 2019). Protective coping mechanisms and socio-environmental resources that support recovery are dynamic and accumulate over time (Cleveland et al., 2021). As a result, early recovery represents a critical window of vulnerability during which a lapse is more likely to escalate into relapse.

An automated recovery support system powered by personal sensing and machine learning may assist with the inherently difficult task of identifying when and why someone is at increased risk for lapse. Personal sensing of densely sampled data from individuals' day-to-day lives can provide the inputs necessary for temporally dynamic lapse predictions (Mohr et al., 2017). Early machine learning models using ecological momentary assessment data have achieved excellent accuracy (Chih et al., 2014; Wyant et al., 2024, under review).

Still, questions remain about the long-term feasibility of self-report sensing methods and whether new, important risk factors might emerge from sensing methods that passively collect smartphone data without user input.

Cellular communication sensing may be one promising method. It offers the potential for greater temporal specificity for capturing fluctuations in risk compared with self-report data (i.e., as it occurs vs. prompting users to make reports the next day). Late night phone calls

could indicate an emergency, “drunk dialing”, or interpersonal conflict. It can also capture risk-relevant constructs difficult for people to self report, such as slow changing social patterns. A decrease in the number of contacts someone is communicating with could indicate a shrinking social circle, isolation, or disengagement.

These passive data may become more powerful still when communications are contextualized with personal meaning for a given participant (e.g., Who is this contact to them?). In the above examples, contextualized communication data might reveal that late-night phone calls are to a sponsor or that a shrinking social circle is due to reduced contact with people unsupportive of their recovery.

In this study, we evaluated the performance of a machine learning model that predicts the probability of a next-day alcohol lapse among individuals in early recovery from AUD using contextualized cellular communication data. We also describe the most important features contributing to these predictions, with the goal of identifying new, clinically meaningful features emerging from communication-based sensing.

Methods

Participants and Procedure

We recruited adults in early recovery from AUD in Madison, Wisconsin, through print and digital advertisements and partnerships with treatment centers. Eligibility criteria required that participants were age 18 or older, able to read and write in English, had moderate to severe AUD ¹, had a goal of abstinence from alcohol, had been abstinent for 1–8 weeks, were willing to use a single smartphone, and were not exhibiting severe psychosis or paranoia.²

Participants completed up to 5 study visits over approximately 3 months: a screening visit, intake visit, and 3 monthly follow-up visits. At screening we collected demographic information (age, sex at birth, race, ethnicity, education, marital status, employment, and income) and clinical characteristics (DSM-5 AUD symptom count, alcohol problems (Hurlbut & Sher, 1992), and presence of psychological symptoms (Derogatis, L.R., 2000)). At intake we collected additional self-report data on abstinence self-efficacy (McKiernan et al., 2011), craving (Flannery et al., 1999), and recent recovery efforts.

At each monthly follow-up, we downloaded backups of participants’ cellular communication metadata directly from their smartphones. Metadata included the phone number of the other party, the date and time of the communication, the origin of call or message (i.e., incoming or outgoing), whether the call was answered (voice calls only), and the duration of the call (voice calls only). During each follow-up visit, study staff identified important contacts. Contacts

¹(4 self-reported DSM-5 symptoms)

²Defined as scores >2.2 or 2.8, respectively, on the psychosis or paranoia scales of the Symptom Checklist–90 (Derogatis, L.R., 2000).

that participants communicated with at least twice by call or text in the past month were deemed important. For each important contact, participants answered 7 contextual questions about their type of relationship, whether they ever drank alcohol with this person, the drinking status of the contact, expectations about whether the contact would drink in their presence, recovery status of contact, level of supportiveness of contact, and affective experiences with the contact.

While enrolled, participants completed 4 brief daily ecological momentary assessments (7-10 questions). The first item assessed alcohol use (date and time of any unreported drinking episodes). Lapse reports were verified at follow-up visits using a timeline follow-back interview. Additional sensing data streams and self-report measures were collected for the parent grant. The full study protocol is available on our Open Science Framework page (<https://osf.io/wgpz9/>).

Data Analysis Plan

Our models predicted the probability of an alcohol lapse within a 24-hour window. Predictions were generated daily at 4 a.m., beginning on participants' second study day and continuing for up to 3 months. Participants reported the date and hour of the start and end time of any alcohol use on the first item of the EMA. Prediction windows were labeled as lapse if any alcohol use was reported in the 24-hour window. In total, there were 11,507 labeled prediction windows across all participants. Positive lapse labels were underrepresented (7.5%; 861/11,507).

We filtered the data to include only communications with known context (i.e., people with whom they communicated with at least twice in a month and whom they provided self-report context about). Cellular communication features were engineered from all available data up to the start of each window. We used six scoring epochs (6, 12, 24, 48, 72, and 168 hours before the start of the prediction window) to create features. Within each scoring epoch we calculated two types of features: raw and difference features. Raw features represent the raw feature value calculated within a scoring epoch (e.g., the rate count of text messages during the 48 hours immediately preceding the start of the prediction window). Difference features capture participant-level changes from their baseline scores. Specifically, we subtracted each participant's mean score for each feature (using all available data prior to the prediction window) from the associated raw feature (e.g., the participant's average rate count of text messages across all time on study subtracted from the rate count in the preceding 48 hours).

The full model included 406 features from cellular communication data plus 24 numeric or dummy-coded features from baseline self-report measures. We also evaluated a comparison model that used only the baseline features. Table 1 details the raw predictors, feature engineering procedures, and features included in the full vs. baseline models. Other feature engineering steps performed during cross-validation included imputing missing values (median

imputation for numeric features and mode imputation for nominal features) and removing zero and near-zero variance features as determined from held-in data.

Candidate model configurations differed by algorithm (elastic net, random forest, XGBoost), outcome resampling method, and hyperparameter values. The best configuration for each model was selected using 6 repeats of participant-grouped 5-fold cross-validation. Our performance metric was area under the receiver operating curve (auROC). Folds were stratified so that all folds contained comparable proportions of individuals who lapsed frequently (i.e., 10+ times).

We evaluated model performance with a Bayesian hierarchical generalized linear model. Posterior distributions with 95% credible intervals (CI) were estimated from the 30 held-out test sets using weakly informative, data-dependent priors to regularize and reduce overfitting.³ Random intercepts were included for repeat and fold (nested within repeat). auROCs were logit-transformed and regressed on model type to estimate the probability that model performances differed systematically.

Our best performing models used an elastic net algorithm. We quantified feature importance by examining the retained features (i.e., coefficient value > 0) in the full model and ordering them by absolute coefficient value. These values provide an estimate of the direction and magnitude of association between each predictor and the outcome, conditional on the other features retained. All our annotated analysis scripts are publicly available on our study website (https://jjcurtin.github.io/study_messages/).

Raw Predictor	Response Options
Originated	Incoming, outgoing
Call duration	Duration (in minutes)
Call answered	Yes, no
Date/time of communication	Date and time
Phone number	Phone number
Type of Relationship	Family, friend, counselor or so
Have you drank alcohol with this person?	Never/almost never, occasion
What is their drinking status?	Drinker, non-drinker, don't k
Would you expect them to drink in your presence?	Yes, no, uncertain
Are they currently in recovery from drugs or alcohol?	Yes, no, don't know
Are they supportive about your recovery goals?	Supportive, unsupportive, mi
How are your typical experiences with this person?	Pleasant, unpleasant, mixed,
DSM-5 symptom count	Numeric (4-11)
Past year alcohol problems	Numeric (0-27)
Craving	Numeric (0-30)
Abstinence self-efficacy: Negative affect, social, physical, and craving subscales	Numeric (0-20)

³Residual SD \sim normal(0, exp(2)); intercept (centered predictors) \sim normal(2.3, 1.3); window-width contrasts \sim normal(0, 2.69); covariance \sim decov(1,1,1,1).

Raw Predictor	Response Options
Number of individual alcohol counseling sessions attended (past 30 days)	Numeric
Number of group alcohol counseling sessions attended (past 30 days)	Numeric
Number of self-help group meetings attended (past 30 days)	Numeric
Number of other mental health counseling sessions attended (past 30 days)	Numeric
Number of days in contact with supportive people (past 30 days)	Numeric
Number of days in contact with unsupportive people (past 30 days)	Numeric
Taken prescribed medication for alcohol use disorder (past 30 days)	Yes, no
Taken prescribed medication for other mental health disorder (past 30 days)	Yes, no
Satisfaction with progress toward recovery goals (past 30 days)	Numeric (0-4)
Confidence in abstinence ability (next 30 days)	Numeric (0-4)
Has a goal of abstinence	Yes, no, uncertain
Age	Numeric (years)
Sex at birth	Male, female
Race	Non-Hispanic White, non-Wh
Education	High school or less, some coll
Income	Numeric (dollars)
Marital Status	Married, not married, other

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Ethical Considerations

All procedures were approved by the University of Wisconsin-Madison Institutional Review Board (Study #2015-0780). All participants provided written informed consent.

Results

Participants

We screened 192 participants. Of these, 169 enrolled and 154 completed the first follow-up visit. Data from 1 participant was excluded due to not reporting a goal of abstinence and lapsing multiple times a day every day on study. Data from 1 participant was excluded due to evidence of careless responding. Data from 1 participant was excluded due to poor compliance with EMA resulting in questionable lapse labels. Data from 7 participants were excluded due to poor compliance providing communication data (i.e., deleting logs prior to download and/or not providing context information about important contacts). The final analytic sample

included 144 participants. Table 2 provides the demographic characterization of our sample. 56% of participants reported at least one lapse while on study.

	N	%	M	SD	Range
Age			40.4	11.8	21-72
Sex at Birth					
Female	74	51.4			
Male	70	48.6			
Race					
American Indian/Alaska Native	3	2.1			
Asian	2	1.4			
Black/African American	8	5.6			
White/Caucasian	125	86.8			
Other/Multiracial	6	4.2			
Hispanic, Latino, or Spanish origin					
Yes	3	2.1			
No	141	97.9			
Education					
Less than high school or GED degree	1	0.7			
High school or GED	14	9.7			
Some college	39	27.1			
2-Year degree	13	9.0			
College degree	55	38.2			
Advanced degree	22	15.3			
Employment					
Employed full-time	70	48.6			
Employed part-time	25	17.4			
Full-time student	7	4.9			
Homemaker	1	0.7			
Disabled	7	4.9			
Retired	8	5.6			
Unemployed	15	10.4			
Temporarily laid off, sick leave, or maternity leave	3	2.1			
Other, not otherwise specified	8	5.6			
Personal Income			\$35,050	\$32,069	\$0-200,000
Marital Status					

	N	%	M	SD	Range
Never married	63	43.8			
Married	32	22.2			
Divorced	42	29.2			
Separated	5	3.5			
Widowed	2	1.4			

Table 2: Demographics

Note:

N = 144

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Communications

Participants had an average of 26 important contacts (range 2-113) that were contextualized with self-report information. We obtained a total of 375,912 contextualized communications across participants. Participants had, on average, 2,610 contextualized communications (range = 109-14,225) averaging to about 33 communications per day (range 3-278).

Model Evaluation

The median posterior auROC for the full model was 0.68, with relatively narrow 95% CI ([0.64, 0.71]) that did not contain .5. This provides strong evidence that the model is capturing signal in the data. The final model retained 13 features (Figure 1). The top four were baseline measures of abstinence confidence, having a goal of abstinence, abstinence self-efficacy when experiencing negative affect, and craving. Communication frequency with people unaware of the individual’s recovery goals also emerged as an important feature associated with increased lapse risk.

We evaluated a comparison model to assess the incremental predictive value of cellular communication features beyond baseline measures. The baseline model retained 5 features and achieved performance nearly identical to the full model (median auROC = 0.68, 95% CI [0.64, 0.71]). The median difference in auROC between the full and baseline models was less than .01, providing no evidence (52% probability) that the full model performed better than the baseline model.

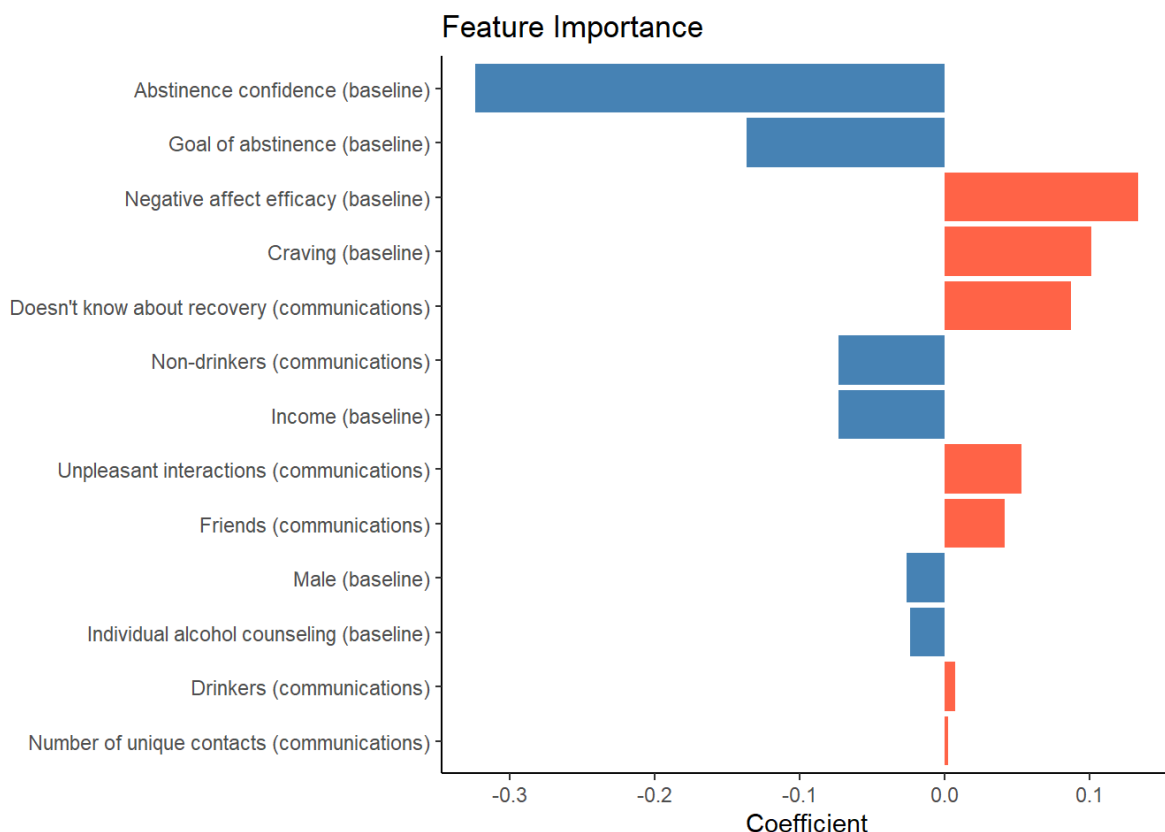


Figure 1: Global feature importance (elastic net coefficient) for the full model. Features are ordered by absolute coefficient value. Blue bars indicate higher feature values, on average, lower lapse risk. Red bars indicate higher feature values, on average, increase risk. Baseline features were collected from self-report measures at the start of the study. Communication features were engineered from the contextualized cellular communications.

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Discussion

Our model achieved fair performance, with an auROC of 0.68, indicating that some predictive signal was present. However, it did not offer incremental value beyond a baseline model that included only demographic and self-report measures. Consistent with this, the four most important predictors in our model were all self-report variables: abstinence confidence, abstinence goal, negative affect efficacy, and craving.

Nonetheless, several communication features were retained in the final model with moderately sized coefficients. These included communications with people unaware of the participant’s recovery status, non-drinkers, friends, and individuals who were unpleasant to interact with. In contrast, raw counts of calls and text messages and call durations were not retained in the final model. This implies that the quantity of communication may be less informative than the quality and social significance. Future research may benefit from collecting richer contextual data about communication contacts to better understand the social dynamics contributing to lapse risk.

Even with highly contextualized communication data, however, prediction may be limited by data sparsity. Many participants had few daily communications, and some had extended periods with no recorded interactions at all. Our study design may have further contributed to this limitation. We collected only phone and SMS text communications through the native smartphone app. In recent years, many individuals use private messaging apps (e.g., WhatsApp, Signal) or social media platforms (e.g., Facebook Messenger, Instagram) as their primary communication method (McDowell et al., 2025). Therefore, our dataset likely missed a substantial portion of participants’ communications. Notably, the communication features that were retained in the final model were scored over our longest scoring epochs (72 and 168 hours), suggesting that with more communications these features may become more important. Future studies could explore whether increasing communication data from these alternative platforms yield stronger predictive signals.

We cannot entirely dismiss the potential value of cellular communication data for risk prediction. For example, researchers have successfully incorporated communication data into models with other sensing data (e.g., accelerometer, geolocation, and device usage) to predict alcohol use episodes (S. Bae et al., 2017; S. W. Bae et al., 2023). However, even in these instances, the contribution of cellular communications is questionable and other sensing methods like geolocation appear to be more promising. Other practical challenges in collecting call and text message data further limit the feasibility of this sensing method. For example, we obtained participants’ cellular communication data by downloading backups of their communication logs in person during their monthly follow-up visits. However, Apple heavily restricts apps in its app store from accessing call and text message data, making real-time sensing of communications challenging (if not impossible) for IOS users. We conclude that other forms of social interaction characterization (e.g., engineering time spent with supportive contacts from geolocation data) are more worthwhile to pursue in future research.

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