#### Specification Inference

Wei Le

April 15, 2019

#### What is specification?

- specification requirement of a program or a function, typically they are "formal" – mathematical, computable ..
  - annotations
  - "types" in the code
  - mathematical description of software using formal languages like JML, Z and alloy

#### Types of specification

- pre-condition, post-condition: program conditions that must be hold before/after executing a program or a procedure
- program invariant: conditions that hold for all program paths at a program point
- assertion: conditions that programmers expect/require a program to hold along all execution paths
- typestate: the API/system call only can be performed on a proper state of a program (typically refer to some resource problems). We also call this source sink problem

#### Specification for different systems

- ▶ Infer deterministic specifications of multi-threaded programs
- ▶ Infer specification for distributed systems
- ► Infer specification for embedded systems

#### Why we should care?

- ➤ Since 2011, engineers at Amazon Web Services (AWS) have been using formal specification and model checking to help solve difficult design problems in critical systems [1]
- ► Microsoft uses annotations to verify buffer overflows [2]
- ▶ A strongly typed language would have reduced bugs by 15% [3]
- ► Assertions are great for testing, debugging ... [4]

#### **Topics**

Automatically infer specifications (off-line trace analysis), specification languages, check and verify programs using specifications (both static and dynamic analysis)

- Diakon (2000): dynamic analysis to detect likely invariant
- Specifying changes (2015): change contract and differential assertions
- ▶ Infer finite machines (2002): offline dynamic analysis
- Definition use invariant and their applications\*

#### Diakon

See 1999 ICSE slides from the first paper of Diakon

#### Diakon: example from experiment 2

```
...
else if ((arg[i] == CLOSURE) && (i > start))
{
    lj = lastj;
    if (in_set_2(pat[lj]))
        done = true;
    else
        stclose(pat, &j, lastj);
}
...
```

Modify from plclose to stclose

#### Software change contract

- change contract: express the intended program behavior changes across program versions
- based on a specification language called Java modeling language (JML)

Program behaviors: pre-/post-conditions, but how to specify changes of pre-/post-conditions?

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## Program behaviors: pre-/post-conditions, but how to specify changes of pre-/post-conditions?

```
condition_old: using variables in version 1: v == 0 condition_new: using variables in version 2: v == 0 Is the behavior changed? —- problem: the two are not comparable if the values of variables are changed. What about something like this?
```

```
whenever in > 0 holds, out' == out + 1
whenever out > 0 holds, out' == out + 1
```

#### Software change contract

#### The contributions of the work:

- design a novel approach to specify changes
- evaluate its expressiveness, usability
- develop static and dynamic checkers to check if software changes conform to the specification

**Bug 51668 - Junitreport broken on JDK 7 when a SecurityManager is set Fails with:
"Use of the extension element 'redirect' is not allowed when the secure processing feature is set
to true." It turns out to apply to any environment in which there is a system security manager
set. JDK 7's TransformerFactoryImpl constructor introduced:** 

```
if (System.getSecurityManager() != null) {
    _isSecureMode = true; _isNotSecureProcessing = false;
}
```

which conflicts with <redirect:write>.

(a) a sample Bugzilla report for software Ant

```
// file: XMLResultAggregator.scc
package org.apache.tools.ant.taskdefs.optional.junit;

public class XMLResultAggregator extends Task implements XMLConstants {
    /*@ changed_behavior
    @ requires System.getSecurityManager() != null &&
    @ System.getProperty("java.runtime.version").startsWith("1.7") &&
    @ getDestinationFile().exists() := false;
    @ when.signaled (BuildException e) e.getMessage().contains(
    @ "Use of the extension element 'redirect' is not allowed " +
    @ "when the secure processing feature is set to true.");
    @ signals (BuildException e) false;
    @ ensures getDestinationFile().exists();
    @*/
    public void execute() throws BuildException;
}
```

(b) a change contract corresponding to the bug report in (a)

- ightharpoonup requires  $\varphi$ : the input constraint for the old and new version
- when\_signaled  $\psi$ , signaled  $\psi'$ : exception output for old and new versions
- when\_ensure  $\theta$ , ensure  $\theta'$ : normal output condition for the old and new versions

(c) a core-developer-level change contract

```
public class DirectoryScanner implements FileScanner {
 3
      private /*@ new_field @*/ int mode:
      // If !cs at the entry of the method, the behavior of the method changes,
      // If cs at the entry of the method, the behavior of the method is preserved.
      /+@ changed_behavior
        @ when_required true;
        @ requires !cs:
10
       @ ensures /* omitted: description about behavioral changes */;
11
        @ preserves when cs:
12
        @*/
13
      File findFile(File base, String path, /*@ old_param @*/ int mode, /*@ new_param @*/ boolean cs);
14
```

#### Software Change Contract Language

```
// the full change contract, (\varphi,\psi,\theta;\varphi',\psi',\theta')

/*@ changed_behavior

@ when_required \varphi; when_ensured \psi; when_signaled (T_1 \ x) \ \theta;

@ requires \varphi'; ensures \psi'; signals (T_2 \ x) \ \theta';

@*/
```

(b) a boilerplate for the full change contract (the greek letters denote predicates, and  $T_1$  and  $T_2$  represent exception types)

#### Software Change Contract Language

(a) the grammar of our change contract language, which is an extension of a JML subset (standard regular expression notation  $\ast$  is used)

# Software Change Contract: design studies to evaluate the specification

Goal: is the language expressive?

Approach: recruited 16 final year undergraduate students to finish the following tasks:

- write a change contract given a description (W)
- explain a change contract in English (RD)
- accomplish the code based on change contract (RM)

#### Software Change Contract: results

Table II. Distribution of Correct Answer Rates Depending on the Criterion Used to Categorize Questions

Three Categorization Criteria									
Question Type			Program	Source	Change Kind				
RM	RD	W	Artificial AspectJ		В	S			
100%	86%	93%	92%	92%	85%	97%			

correct answer rate 92%, ave 53 min for a total of 20 questions conclusions: easily learned and used in dependent of real life programs or constructed programs, structure changes are easier than behavior changes

#### Checking software change contract

Definition 3 (CCC). Given a full-blown change contract  $(\varphi, \psi, \theta; \varphi', \psi', \theta')$  of a method m, we say that CCC succeeds in m iff the following two properties hold. For all  $(S_{in}, S_{out}) \in B[m\_v1]$  and  $(S_{in}', S_{out}') \in B[m\_v2]$ ,

$$\begin{split} (P1) \ S_{in} \approx S_{in}' \wedge (S_{in} \vDash \varphi \wedge S_{out} \vDash ((\neg ex \Rightarrow \psi) \vee (ex \Rightarrow \theta))) \\ \Rightarrow (S_{in}' \vDash \varphi' \Rightarrow S_{out}' \vDash ((\neg ex \Rightarrow \psi') \wedge (ex \Rightarrow \theta'))); \\ (P2) \ S_{in} \approx S_{in}' \wedge \neg (S_{in} \vDash \varphi \wedge S_{out} \vDash ((\neg ex \Rightarrow \psi) \vee (ex \Rightarrow \theta))) \\ \Rightarrow S_{out} \approx S_{out}' \end{aligned}$$

- ▶ P1: the behavior of a method changes
- ▶ P2: the behavior of a method remains the same

Update condition: which pattern of the behavior of  $m_{v1}$  triggers behavioral changes in  $m_{v2}$ 

#### Dynamic Checking

- generate relevant test: the update condition holds based the test results of the first version
- repair tests for the new version based on structure changes
- run tests for the new version

#### Evaluating CCC: Experimental Setup

- ▶ software subject: 10 versions of changes for Java program Ant
- convert to change contract from three sources:
  - transform bug reports to change contract
  - incorrect program changes found from previous studies
  - two structural changes

### Evaluating CCC: Results

Change		Randoop	loop Test genera		eration Test repair			Contract checking		
Old	New	$\overline{T_{\text{first}}}$ (s)	T <sub>first</sub> (s)	# of tests/m	# of errors	# of fixes	# of passes	# of violations		
0632cd	b6c725	290	5	17	0	0	17	0		
c39b90	2f95b7	0.4	0.4	1	0	0	0	0		
32e66f	f0e466	62	9	4	0	0	4	0		
a84f2e	1de96b	32	0.9	58	0	0	6	0		
cbda11	9a0689	>300	0.2	252	0	0	0	250		
dfa59d	de3f32	>300	1	79	0	0	0	79		
5bee9d	1532f4	1	0.3	762	1239	1239	172	506		
1de7b3	626f28c	5	1	183	263	263	0	183		
3a1518	aef2f7	0.3	0.2	1209	1832	1832	1209	0		
f87075	d17d1f	0.2	0.2	955	2	2	955	0		

#### Static Checking: 2015

- Scope on a clean language and then extend to Java specifics
- key idea: composed program
- An example:

(a) the two versions of procedure p and their change contract in the middle

#### Static Checking: Composed Program

```
1 /***** Part I: assume (1) isomorphic input and (2) the requires clause *****/
    assume x_v1 == x_v2: // parameters should be isomorphic
    boolean requires_clause = \|\varphi\|; // store the value of the requires clause
    /***** Part II: interpret v1 to see if the update condition is true *****/
    boolean update_condition = false: // the update condition is initially false.
    int result v1: // the variable to hold the return value of m at v1
     result_v1 = [body_1]; // interpret body_1 and store the return value at result_v1
10 // set the update condition true if the when_ensured clause is true.
    boolean when_ensured_clause = \llbracket \psi \rrbracket;
    if (requires_clause && when_ensured_clause) {
12
13
       update_condition = true:
14
15
    /***** Part III: interpret v2 to see if there is any change contract violation *****/
    int result_v2: // the variable to hold the return value of m at v2
    result_v2 = \[ \lightarrow body_2 \]; // interpret body_2 and store the return value at result_v2
19
20
    if (update_condition) {
21
     // we expect the ensures clause to be true
22
      boolean ensures_clause = \llbracket \psi' \rrbracket:
23
      assert ensures clause:
24 } else {
25
    // we expect no change
      assert result v1==result v2:
27
```

#### Static Checking: Composed Program

**Theorem** If our composed program CP is correct (i.e., no assertion error is possible), then CCC succeeds

When one of the assertions in CP is violated, a change contract violation occurs.

#### Static Checking: Experiment Setup

- Joda-time: 18 change instances, iBUGS dataset: pre-fix and post-fix revisions available
- Z3 and openJML (verifying programs written in JML)
- 4 types of changes and applications:
  - V: it verifies the program changes as intended
  - L: localize buggy methods
  - R: debugging, regression errors
  - C: classify causes for a test failure (is it the test code incorrect or programs contain bugs?)

#### Static Checking: Results

		Rev	sion	D	iff	Contract Size (li	nes)	Ki	nd	Ti	me (s)	
Usage	Bug#	Previous	Updated	_	+	CC (lines/mthds)	JML	В	$\mathbf{s}$	Total	Z3	Verified
v	1788282			98	82	3/1	2	~	×	7.7	1.4 (18%)	~
	1877843		post-fix	62	81	3/1	23	~	×	8.1	1.9 (23%)	~
	2111763	pre-fix		9	14	2/1	3	~	×	6.7	7.5 (4%)	~
	2487417			25	28	2/1	5	~	×	6.2	4.7 (7%)	~
	2783325	(iBU		2	14	(1 + 1)/1	0	~	~	6.2	2.6 (4%)	~
	2903029			78	45	2/2	4	~	×	6.5 6.5	1.0 (16%) 0.6 (10%)	×
L	2025928	pre-fix (iBU	post-fix JGS)	8	6	22/7	6	,	×	7.6 8.5 7.0 8.5 9.5 8.0	1.0 (14%) 1.5 (18%) 1.4 (21%) 1.7 (20%) 3.2 (35%) 0.9 (11%)	******
R	1887104	7755b 7755b	c41ef a478f	95 1417	$\frac{222}{3524}$	2/1	10	~	×	8.4 6.7	1.0 (12%) 0.9 (15%)	×
C	-	7b179	7b179' 7b179" 1c524	2038	962	(8 + 3)/3	4	~	~	7.9 7.1 6.7	2.3 (30%) 1.9 (28%) 1.8 (27%)	×

Pre-fix/post-fix indicates the previous/updated revision provided through the iBUGS dataset; in the first column, V stands for Verification, L Localization, R Regression, and C Classification; each usage is detailed in each section.

### Differential Assertion (2013): key ideas

- Goal: to perform incremental verification and quickly verify evolving programs
- "relative specification": are there inputs for which P2 accesses buffer regions that are not accessed by P1?
- ► Given P and P' that contain a set of assertions, does there exist an environment in which P passes but P' fails?
- An example relative specification:  $\mathsf{axiom}(\forall x : \mathsf{int}, y : \mathsf{int} :: x \leq y \Rightarrow \mathit{Valid}(y) \Rightarrow \mathit{Valid}(x))$
- Generate a composed program and we can verify the relative specification as if we verify a single programs:

```
\begin{array}{ll} \text{assume i1} == \text{i2 \&\& g1} == \text{g2}; \\ \text{call p1(i1); call p2(i2);} \\ \text{assert (ok.1} ==> \text{ok.2);} \end{array}
```

#### Differential Assertion: an example

```
void StringCopy.1(
                             void StringCopy.2(
    wchar_t *dst.
                                 wchar_t *dst.
    wchar_t *src.
                                 wchar_t *src.
     int size)
                                 int size)
    wchar_t *dtmp = dst,
                                 wchar_t *dtmp = dst,
            *stmp = src:
                                         *stmp = src:
     int i:
                                  int i:
     for (i = 0)
                                  for (i = 0;
                                      i < size - 1 &&
         *stmp &&
          i < size - 1;
                                      *stmp;
          i++i
                                      i++)
        *dtmp++ = *stmp++:
                                    *dtmp++ = *stmp++:
    *dtmp = 0:
                                 *dtmp = 0:
```

```
pre stmp.1 == stmp.2 && dtmp.1 == dtmp.2 && Mem.char.1 == Mem.char.2 && i.1 == i.2 && size .1 == size .2 && ok.1 <==> ok.2 

post ok.1 ==> ok.2 && dtmp.1 == dtmp.2 
proc MS_loop.1_loop.2(dst.1, ..., dst.2, ...);
```

#### Differential Assertion: an example

- ▶ inputs of two versions are the same: stmp.1 == stmp.2, dtmp.1 == dtmp.2, size.1==size.2
- heaps of two versions are the same: Mem\_char.1 == Mem\_char.2, i.1==i.2
- $\blacktriangleright$  two versions have the same correctness state: ok.1 <==> ok.2
- ► MS\_loop.1\_loop.2(dst.1, ..., dst.2, ...): composed loops

#### **Evaluation:** Experimental Setup

- ► Subject: Verisec suite
- ► Infrastructure: SYMDIFF, Z3
- ► Applications:
  - verify bug fixes
  - filtering alarms for evolving programs compared to checking assertions on a single program

#### Evaluation: Results on Windows Driver Kit

Name	Diff	SymDiff	single	sound	unsound	shallow	nonmodular	LOC	#procs
firefly	1	1	1	1	1	1	1	634	7
moufilter	4	2	0	0	0	0	0	504	6
pciide	4	0	1	0	0	0	0	182	5
sfloppy	14	6	11	1	1	1	2	3404	20
diskperf	4	4	4	3	2	2	2	2319	24
event	1	1	0	0	0	0	1	555	5
cancel	3	1	0	1	0	0	0	476	5
Total	31	15	16	6	4	4	6	8074	72

- diff: number of procedures syntactically modified
- symdiff: the tool SymDiff fails
- ▶ single: the number of warnings generated by verifying single versions
- ➤ sound/unsound/shallow/nonmodular: the number of warnings generated by verifying using differential assertions (different configurations for handling procedural calls: sound using summary of callees, unsound ignore callees, shallow assume callees are the same, nonmodular inline callees)

### Mining Specification

- motivation: verifying program specific properties needs program specific specification
- output: the temporal and data dependencies when a program interacts with API (application programming interface) and ADT (abstract datatype)
- input: traces of a program's run-time interaction with an API or ADT

#### Mining Specification: code

```
1 int s = socket (AF INET, SOCK STREAM, 0);
 3 bind(s, &serv addr, sizeof(serv addr));
 5 listen(s, 5);
 6 . . .
7 while(1) {
8 int ns = accept(s, &addr, &len);
   if (ns < 0) break;
10
   do {
11
       read(ns, buffer, 255);
12
   write(ns, buffer, size);
13
14
     if (cond1) return;
   } while (cond2)
15
16
    close (ns);
17 }
18 close(s);
```

Figure 1: An example program using the socket API.

#### Mining Specification: trace

```
1 socket (domain = 2, type = 1, proto = 0,
           return = 7)
 2 bind(so = 7, addr = 0x400120, addr_len = 6,
         return = 0
 3 \text{ listen}(so = 7, \text{ backlog} = 5, \text{ return} = 0)
 4 accept (so = 7, addr = 0x400200,
           addr len = 0x400240, return = 8)
 5 read(fd = 8, buf = 0x400320, len = 255,
         return = 12)
 6 write (fd = 8, buf = 0x400320, len = 12,
          return = 12)
 7 \text{ read}(fd = 8, buf = 0x400320, len = 255,
         return = 7
 8 write (fd = 8, buf = 0x400320, len = 7,
          return = 7)
 9 \text{ close}(fd = 8, \text{ return} = 0)
10 accept (so = 7, addr = 0x400200,
           addr_len = 0x400240, return = 10)
11 read(fd = 10, buf = 0x400320, len = 255,
         return = 13)
12 write (fd = 10, buf = 0x400320, len = 13,
          return = 13)
13 \text{ close}(fd = 10, \text{ return} = 0)
14 \text{ close}(fd = 7, \text{ return} = 0)
```

Figure 2: Part of the input to our mining process: a trace of an execution of the program in Figure 1.

## Mining Specification: automata

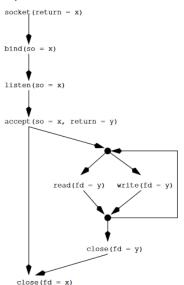


Figure 3: The output of our mining process: a specification automaton for the socket protocol.

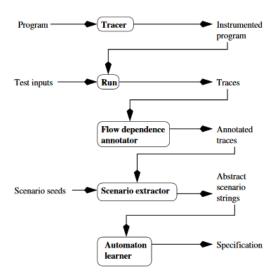


Figure 4: Overview of our specification mining system.

Step 1 – tracing: 1) instrument C stdio library 2) generate instrumented x11 API, replace current executable with instrumented versions (graphical output in UNIX has to go through the standard UNIX windowing system: the X Window System, release 11)

Figure 5: Illustration of trace instrumentation (instrumented version of socket).

#### Step 2 - flow dependence annotator

- dependency analysis (manually define which call is define, which call is use): define – change the state of an object, use – depend on the object of a state; aim to extract a small sets of dependent interactions – scenarios
- type inference: assigns a type for each interaction attribute

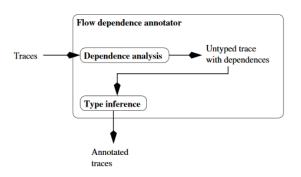


Figure 6: Detailed view of the flow dependence annotator.

```
Type(socket.return) = T0
 Definers: socket . return
         bind.so
                               Type(bind.so) = T0
                               Type(listen.so) = T0
         listen.so
                               Type(accept.so) = T0
         accept.return
                               Type(accept.return) = T0
         close.fd
                               Type(read.fd) = T0
                               Type(write.fd) = T0
 Users:
         bind.so
                               Type(close.fd) = T0
         listen.so
         accept.so
         read, fd
         write.fd
         close, fd
 1 int s = socket(AF INET, SOCK STREAM, 0);
 3 bind(s, &serv_addr, sizeof(serv_addr));
 5 listen(s. 5);
 7 while(1) {
    int ns = accept(s, &addr, &len);
    if (ns < 0) break;
10
     do 4
11
        read(ns, buffer, 255);
12
13
        write(ns, buffer, size);
14
        if (cond1) return;
15
     } while (cond2)
16
     close (ns);
17 }
18 close(s);
```

Step 3 – scenario extraction: a scenario is a set of interactions related by flow dependencies

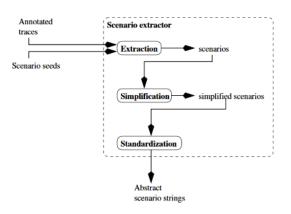


Figure 9: Detailed view of the scenario extractor.

#### seed: accept(so, return)

```
1 socket (domain = 2, type = 1, proto = 0,
         return = 7)
2 bind(so = 7, addr = 0x400120, addr len = 6,
       return = 0)
3 listen(so = 7, backlog = 5, return = 0)
4 accept (so = 7, addr = 0x400200,
         addr len = 0x400240,
         return = 8) [seed]
5 read(fd = 8, buf = 0x400320, len = 255,
       return = 12)
6 write (fd = 8, buf = 0x400320, len = 12,
        return = 12)
7 read(fd = 8, buf = 0x400320, len = 255,
       return = 7)
8 write (fd = 8, buf = 0x400320, len = 7.
        return = 7
9 close (fd = 8, return = 0)
```

Figure 10: A scenario extracted from around line 4 of Figure 2, with N=10

```
1 socket(return = 7)

2 bind(so = 7)

3 listen(so = 7), 4 accept(so = 7, return = 8) [seed]

5 read(fd = 8)

6 write(fd = 8)

7 read(fd = 8)

8 write(fd = 8)

9 close(fd = 8)
```

Figure 11: The simplification of the scenario in Figure 10.

```
1 socket(return = x0:T0)
                                                  (A)
2 \quad \text{bind(so} = x0:T0)
                                                  (B)
3 listen(so = x0:T0)
                                                  (C)
4 accept(so = x0:T0, return = x1:T0) [seed]
                                                  (D)
5 read(fd = x1:T0)
                                                  (E)
7 read(fd = x1:T0)
                                                  (E)
6 write(fd = x1:T0)
                                                  (F)
8 write (fd = x1:T0)
                                                  (F)
9 close(fd = x1:T0)
                                                  (G)
```

Figure 12: Scenario string for the simplified scenario from Figure 11.

Step 3 – scenario extraction: given a N that represents how many interactions in the trace, the extractor constructs:

```
\begin{array}{lll} S_a &=& \{N \text{ closest ancestors of } t_s \} \\ S_d &=& \{N \text{ closest descendants of } t_s \} \\ S_{ad} &=& \{t_s\} \cup S_a \cup S_d \\ \\ S_{ar} &=& \{t \in [t_a,t_d] \mid \exists t' \in S_a.\ t' \text{ reaches } t \} \\ S_{dr} &=& \{t \in [t_a,t_d] \mid \exists t' \in S_d.\ t' \text{ reaches in reverse } t \} \end{array} The final scenario is S = S_{ad} \cup (S_{ar} \cap S_{dr}).
```

#### Step 4 – automaton learning

- Learn a PFSA from the string (k-tail algorithm)
- Convert from PFSA to NFA with edges labeled by standardized interactions by dropping off infrequent edges (caused due to heuristics in the algorithm)

#### Evaluation - experimental setup

- ▶ subject: X11 programs that uses the Xlib and X Toolkit libraries
- implementation: Executable Editing Library (EEL) for binary instrumentation
- challenge of coping with very few correct traces at the beginning (see paper for the process)

#### Evaluation - results

Name	Verifies?	Reason for failure	Action
xcb	n/a	n/a	accept
bitmap	no	spec. too narrow	accept
ups	no	bug!	reject
ted	no	spec. too narrow	accept
rxvt	yes	n/a	accept
xterm	no	spec. too narrow	accept
display	no	spec. too narrow	accept
xcutsel	no	spec. too narrow	accept
kterm	yes	n/a	accept
pixmap	yes	n/a	accept
cxterm	yes	n/a	accept
xconsole	no	benign violation	reject
nedit	no	spec. too narrow	accept
e93	no	bug!	reject
xclipboard	no	benign violation	reject
clipboard	no	benign violation	reject

Table 2: Results of processing each client program, in the order in which they were processed.

Verify: it

takes a trace, a specification and a max scenario size; it verifies that the trace satisfies the spec



#### Evaluation - results

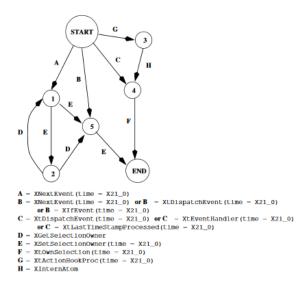


Figure 22: The NFA from the selection ownership specification.

# Further Reading

- 1. Use of Formal Methods at Amazon Web Services
- 2. Modular Checking for Buff er Overflows in the Large
- 3. To Type or Not to Type: Quantifying Detectable Bugs in JavaScript
- 4. Use of Assertions
- Dynamically Discovering Likely Program Invariant to Support Program Evolution, 2001
- Dynamically Discovering Likely Program Invariant, PhD thesis by Michael Ernst
- 7. Software Change Contract
- 8. Do I Use the Wrong Definition?
- 9. Differential Assertions
- 10. Mining specifications