

# Medical Vision Seminar

——Wei Lou

# DiNTS: Differentiable Neural Network Topology Search for 3D Medical Image Segmentation (CVPR2021)

—— Yufan He, Dong Yang, Holger Roth, Can Zhao, Daguang Xu  
Johns Hopkins University, NVIDIA

**Aim:** Design a good 3-D medical image segmentation network automatically.

# 1. Background

## **Differentiable Neural Network Architecture Search**

Richard Shin\* & Charles Packer\* & Dawn Song

University of California, Berkeley

(DARTS), (ICLR 2018)

# 1.1 Neural Network Architecture Search (NAS)

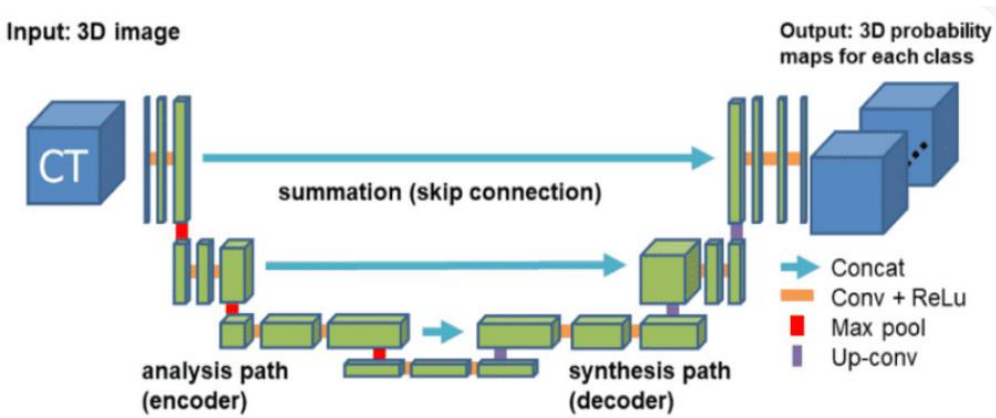


Fig. 1 An example of manual designed network architecture

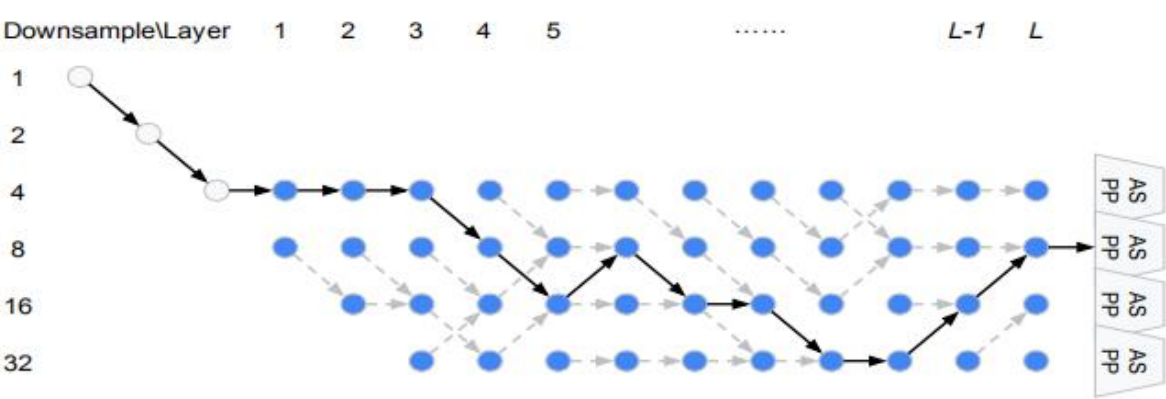


Fig. 2 An example of searched network architecture

## 1.2 Three key components of NAS (Search Space; Search Strategy; Evaluation Metrics)

- **Search Space:** Topology structure; Layer numbers; Connections; Operations (conv, pooling, skip...) and parameters (stride, channels, height, width, activation functions...);

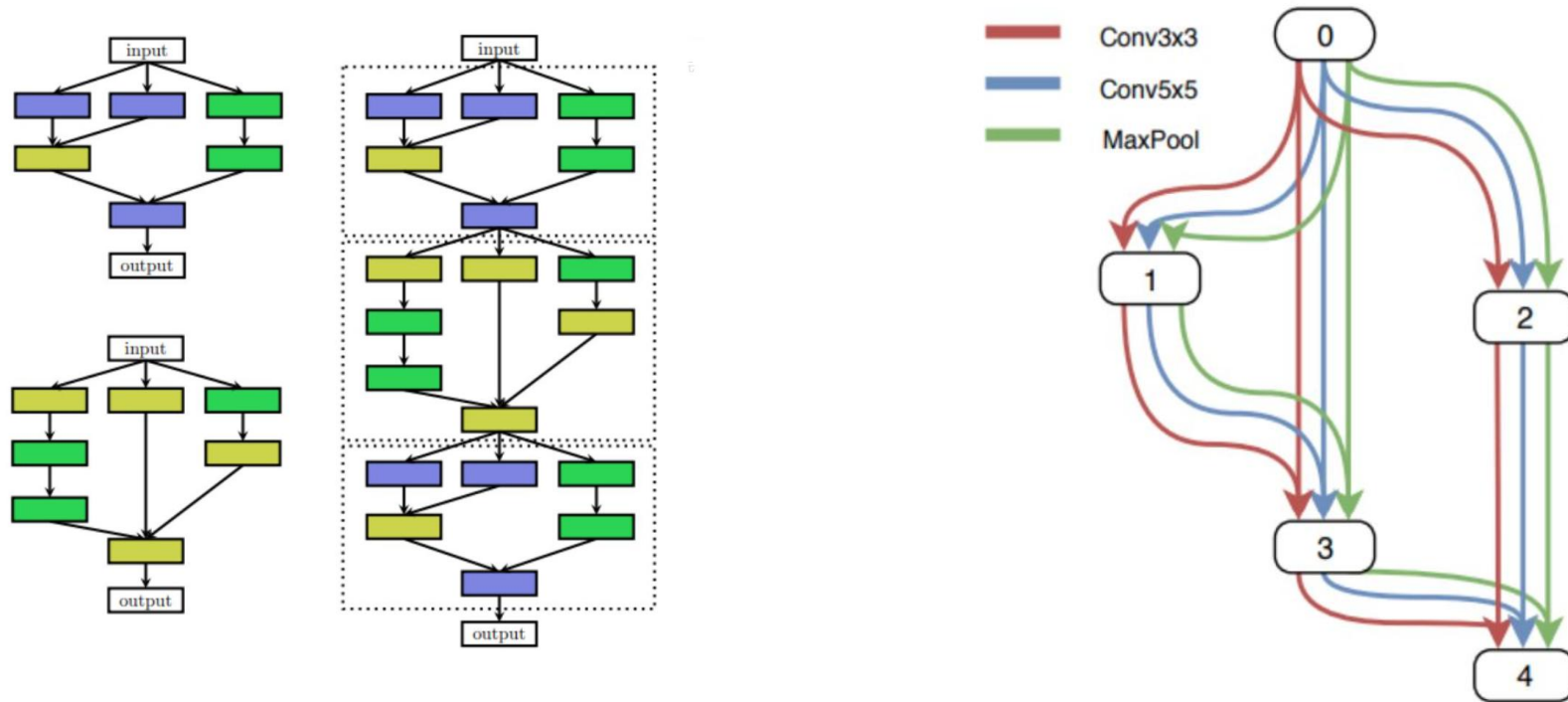


Fig.3 Search Space. (Left) Topology; (Right) Operations and connections.

## 1.2 Three key components of NAS (Search Space; Search Strategy; Evaluation Metrics)

- **Search Strategy:** Reinforcement Learning; Random Search; Genetic Algorithm; **Differentiable Search Algorithm.**

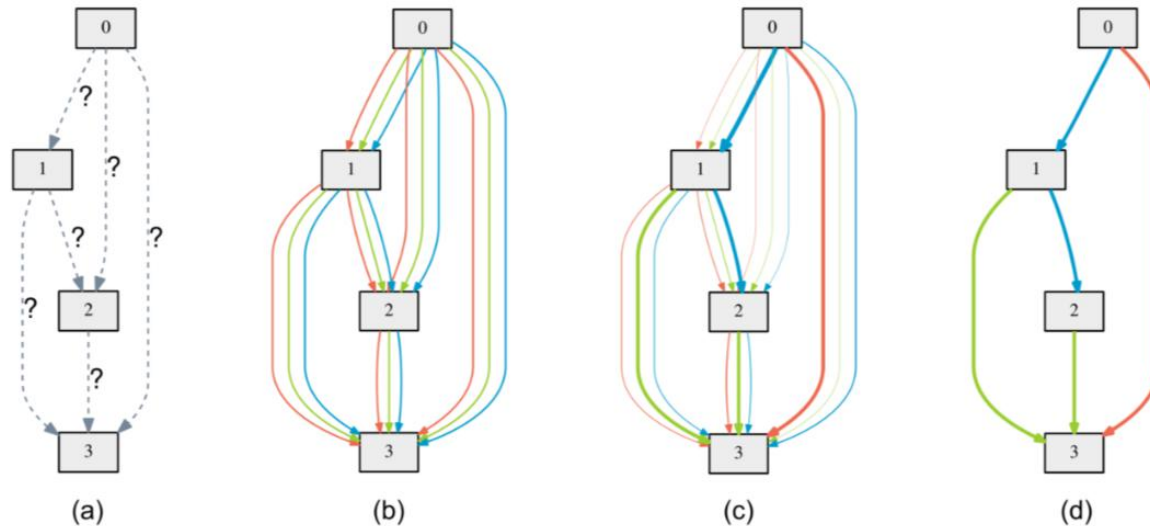


Fig.4 Differentiable Neural Network Architecture Search (DARTS), (ICLR 2018)

- **Evaluation Metrics:** Performance; Efficiency...

### Definition of search space:

From node  $i$  to node  $j$ ,  $o$  represents different operations,  $x$  represents features.

$$x^{(j)} = \sum_{i < j} o^{(i,j)}(x^{(i)})$$

### Continuous relaxation:

Define continuous weights  $\alpha_i$  for each operation  $o_i$ . Relax discrete operations to continuous using Softmax activation function:

$$\bar{o}_{(i,j)}(x) = \sum_{o \in O} \frac{\exp(\alpha_{o,(i,j)})}{\sum_{o \in O} \exp(\alpha_{o,(i,j)})} o(x)$$

$$\alpha = [\alpha_{1(i,j)} \dots \alpha_{|O|(i,j)}]$$

### Bi-level optimization problem:

$$\begin{aligned} & \min_{\alpha} L_{val}(w^*(\alpha), \alpha) \\ & s.t. \quad w^*(\alpha) = \operatorname{argmin}_w L_{train}(w, \alpha) \end{aligned}$$

### 1.3 Conclusion: DARTS training pipeline

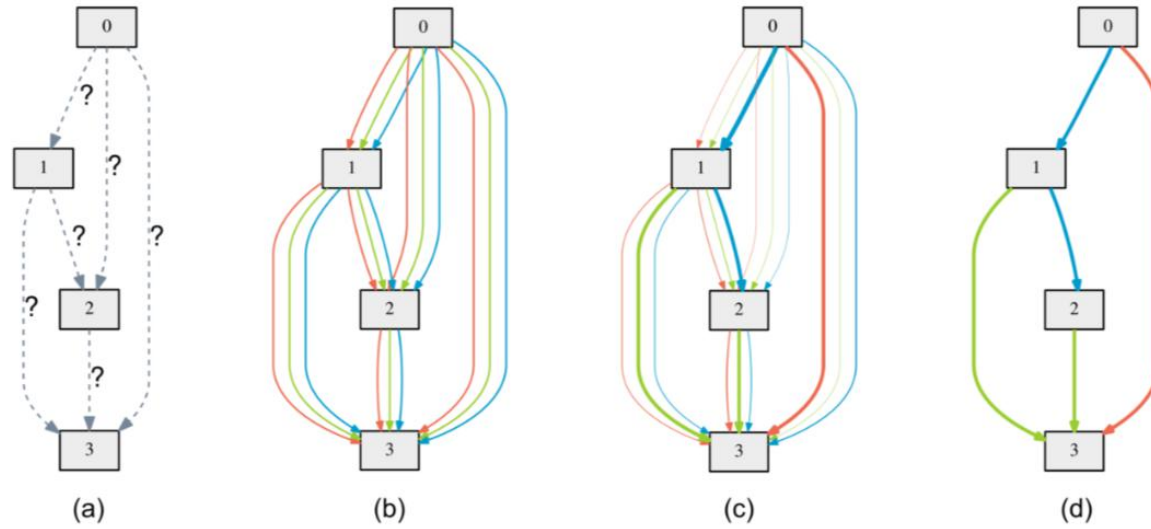


Fig.4 Typical NAS training process

1. Define a search space
2. Continuous relaxation
3. Optimization
4. Operation selection / Discretization

## **2. Related Work**

**Auto-DeepLab:**

**Hierarchical Neural Architecture Search for Semantic Image Segmentation**

Chenxi Liu, Liang-Chieh Chen, Florian Schroff, Hartwig Adam, Wei Hua,

Alan Yuille, Li Fei-Fei

Johns Hopkins University, Google, Stanford University



## 2.1 Auto-DeepLab (CVPR 2019)

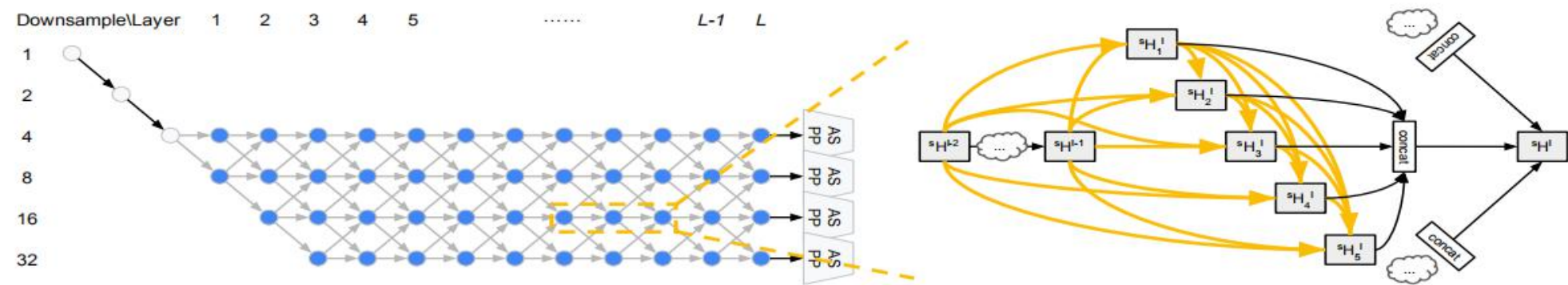


Fig.5 Search Space. (Right) Outer network level; (Left) Inner cell level

**Key Contribution:**

1. Two level hierarchical architecture search space (inner cell level / outer network level):

**Inner cell level:** Operations inside convolutional module.

**Outer network level:** Topology path among cells.

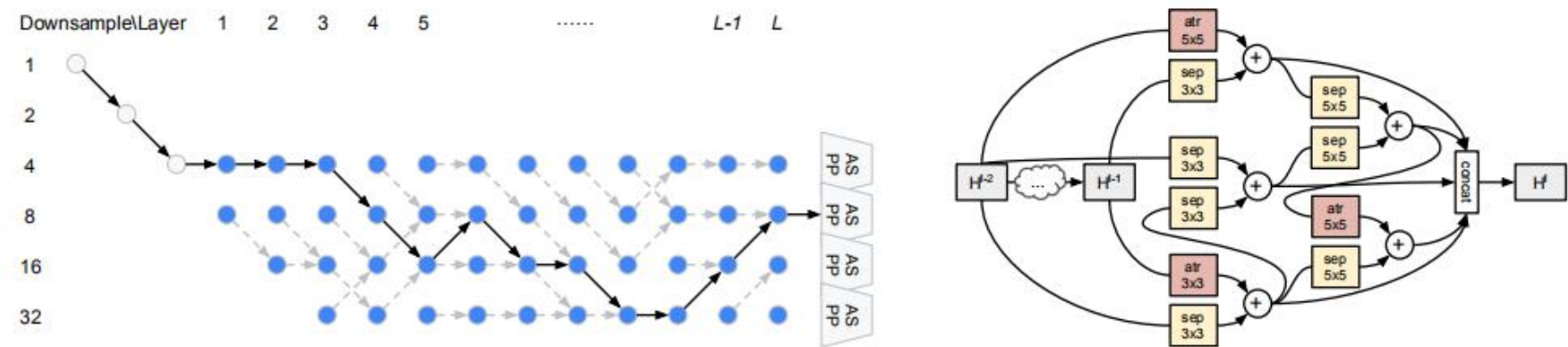


Fig.6 Searched result

## 2.2 Remain problems (NAS; Medical image segmentation)

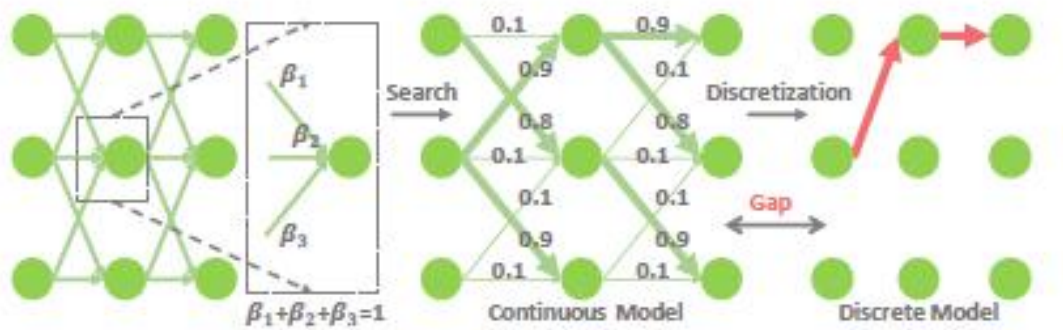


Fig.7 Limitation of formal single-path discrete model

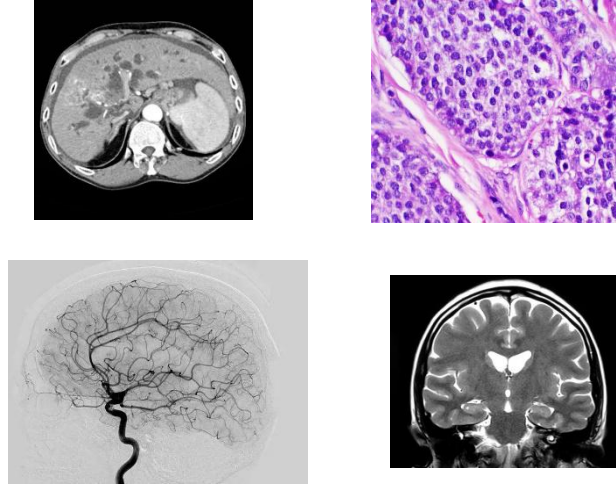


Fig.8 Different medical images

- (1) Current single-path discrete model can result in a large '**discretization gap**' with searched continuous model.
- (2) NAS based methods usually cost tremendous training time and memory usage.
- (3) Manually designed networks, like U-Net, are less likely to be optimal for different type of medical images.

### **3. Method**

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(CVPR2021)

## 3 Overall

### 3.1 Network Topology Search Space

网络搜索空间

### 3.2 Continuous Relaxation and Discretization

连续松弛和离散化操作

### 3.3 Discretization with topology constraints

用拓扑算法来进行离散操作

### 3.4 Bridging the Discretization Gap

缓解离散-连续转化Gap

### 3.5 Memory Budget Constraints

控制内存的消耗

### 3.6 Optimization

### 3.1 Network Topology Search Space

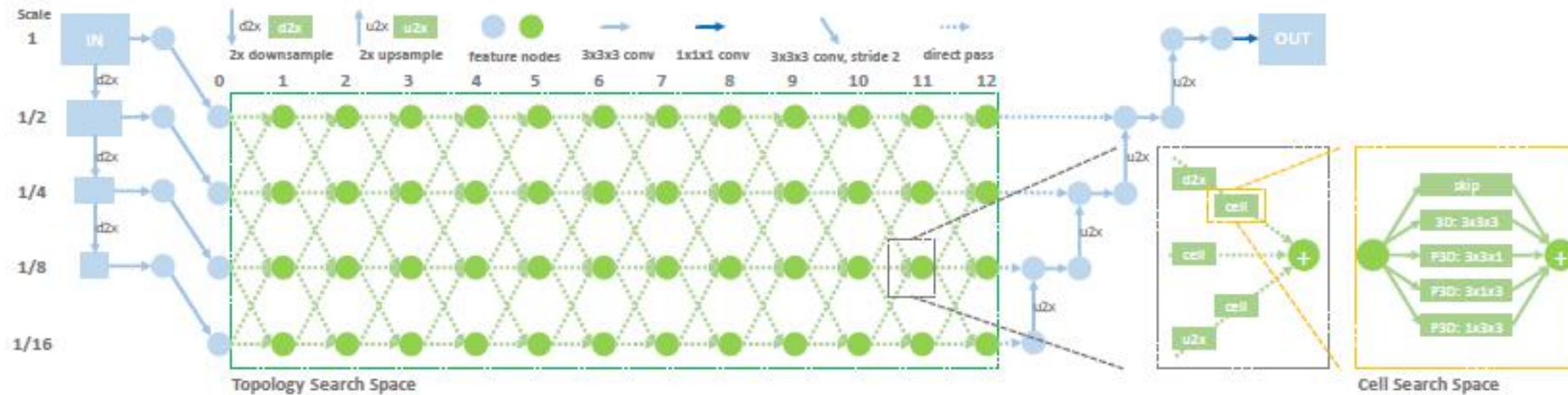


Fig.8 Left: Topology search space; Right: Cell search space

Outer network search space:

Layer number:  $L = 12$ .

Input for layer 0:  $D = 4$  feature map of different scale.

Input for layer  $i$ :  $E = 4$  nodes \*  $j=3$  inputs

(upsampling/no change/downsampling) – 2 (first/end node).

Edge: Each edge contains a cell and a spatial operation.

Output: Summation of edge outputs.

Inner cell operations:

Note that the spatial operations are not included in cells

P3D: Pseudo 3D

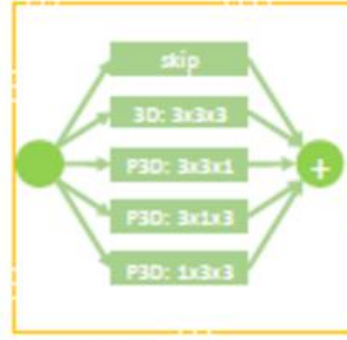
- (1) skip connection
- (2) 3x3x3 3D convolution
- (3) P3D 3x3x1: 3x3x1 followed by 1x1x3 convolution
- (4) P3D 3x1x3: 3x1x3 followed by 1x3x1 convolution
- (5) P3D 1x3x3: 1x3x3 followed by 3x1x1 convolution

## 3.2 Continuous Relaxation and Discretization

3.2.1 Cell Space Relaxation follow the same method with DARTS.

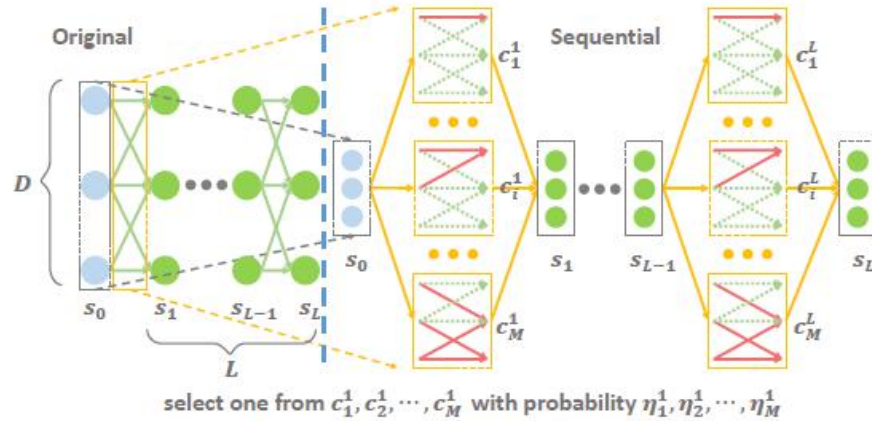
$$\bar{o}_{(i,j)}(x) = \sum_{o \in O} \frac{\exp(\alpha_{o,(i,j)})}{\sum_{o \in O} \exp(\alpha_{o,(i,j)})} o(x)$$

$$\alpha = [\alpha_{1(i,j)} \dots \alpha_{|O|(i,j)}]$$



Cell Search Space

3.2.2 Network Topology Space Relaxation.



1. D feature nodes of each layer are combined as a 'super feature node'  $s_i$
2. From  $s_{i-1}$  to  $s_i$ , there are  $M = E^2 - 1$  connection pattern  $cp$ .
3. Define the input connection operation to  $s_i$  with connection pattern  $cp_j$  as  $c_j^i$ , it also includes cell operations on the selected edges in  $cp_j$ .
4. Associate a variable  $\eta_j^i$  to the connection operation  $c_j^i$ .

Goal: Select one connection pattern to connect super feature nodes.

$$s_i = \sum_{j=1}^M (\eta_j^i * c_j^i(s_{i-1})) \quad i = 1 \dots, L \quad (1)$$

$$\sum_{j=1}^M \eta_j^i = 1, \eta_j \geq 0 \quad \forall i, j$$



### 3.3 Discretization with topology constraints

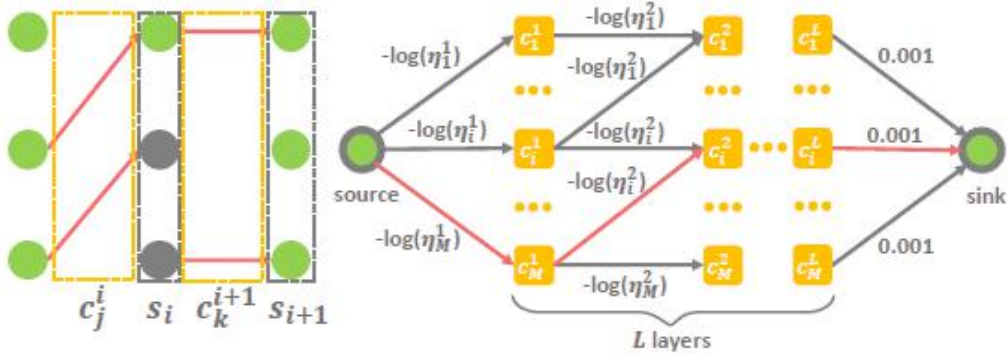


Fig.9 Derive discrete architecture

A directed graph  $G$  contains  $L*M+2$  nodes. Each input edge cost is  $-\log \eta_j^i$ . Those  $L$  nodes on the shortest path from source to sink in  $G$  represents the optimal connection operations (**Dijkstra Algorithm**). For cell operations, simply use the operation with the largest  $\alpha$ .

Why we need topology constraints — — Because some connection pattern may **not feasible** (gray nodes in Fig. 9)

$I$ : Array of selected **input connection pattern** indexes (whole path)

$F(j)$ : All the feasible **output connection pattern** with input pattern  $j$

$p(I)$ : Distribution of input connection pattern indexes

$$p(I) = \begin{cases} \prod_{i=1}^L \eta_i^{I(i)}, & \forall i : I(i+1) \in \mathcal{F}(I(i)) \\ 0, & \text{else.} \end{cases} \quad (3)$$

$$I = \underset{I}{\operatorname{argmin}} \sum_{i=1}^L -\log(\eta_i^{I(i)}), \quad \forall i : I(i+1) \in \mathcal{F}(I(i)) \quad (4)$$

### 3.4 Bridging the Discretization Gap

#### 3.4.1 Encourage binarization of $\alpha$ and $\eta$ .

$$\mathcal{L}_\alpha = \frac{-1}{L * E * N} \sum_{i=1}^L \sum_{e=1}^E \sum_{n=1}^N \alpha_n^{i,e} * \log(\alpha_n^{i,e})$$
$$\mathcal{L}_\eta = \frac{-1}{L * M} \sum_{i=1}^L \sum_{j=1}^M \eta_j^i * \log(\eta_j^i)$$
(5)

#### 3.4.2 Try to constraint the network to build feasible connection patterns.

$$p_{in}^i(a) = \sum_{j \in F_{in}(a)} \eta_j^i, \quad p_{out}^i(a) = \sum_{j \in F_{out}(a)} \eta_j^{i+1} \quad (6)$$

$$\mathcal{L}_{tp} = - \sum_{i=1}^{L-1} \sum_{a \in \mathcal{A}} ( p_{in}^i(a) \log(p_{out}^i(a)) + (1 - p_{in}^i(a)) \log(1 - p_{out}^i(a)) ) \quad (7)$$

**a**: Indication function of length D,  $a(i) = 1$  if i-th node of a super feature node is activated.

**$F_{in}(a)/F_{out}$** : All feasible input and output connection pattern indexes for activated a.

**$p_{in}^i(a)$** : The probability that the activation pattern for  $s_i$  is a.

**$p_{out}^i(a)$** : The probability that the  $s_i$  with pattern a is feasible.

**$L_{tp}$** : By minimizing  $L_{tp}$ , the search stage is aware of topology constraints and encourages all the super feature nodes to be topologically feasible.



### 3.5 Memory Budget Constraints

Reason: For segmentation tasks, the searched model is usually retrained under different training setting (input size, filter number, datasets). The memory budget normally huge in 3D image training tasks.

Solution: Consider memory usage in architecture search

$$M_e = \sum_{i=1}^L \sum_{j=1}^M \eta_j^i * (\sum_{e=1}^E M^{i,e} * cp_j(e)) \quad M^{i,e} = \sum_{n=1}^N \alpha_n^{i,e} M_n.$$

$M_n$ : Estimate memory usage for operation  $O_n$   
 $M_e$ : Expected memory usage of searched model  
 $M_a$ : Maximum memory usage of whole model  
 $\sigma$ : Memory budget

$$M_a = \sum_{i=1}^L \sum_{j=1}^M * (\sum_{e=1}^E (\sum_{n=1}^N M_n) * cp_j(e))$$
$$\mathcal{L}_m = |M_e/M_a - \sigma|_1$$

### 3.6 Optimization

1. Split the training data into train1 and train2.
2. The optimization using 2 different loss alternately.

Optimize network weight  $w$  using  $\mathcal{L}_{seg}$  (DICE + cross-entropy loss) with train1;

Optimize architecture weights  $\alpha$  and  $\eta$  using  $\mathcal{L}_{arch}$  with train2:

$$\mathcal{L}_{arch} = \mathcal{L}_{seg} + t/t_{all} * (\mathcal{L}_{\alpha} + \mathcal{L}_{\eta} + \lambda * \mathcal{L}_{tp} + \mathcal{L}_m)$$

$t/t_{all}$ : current iteration / total iterations;  $\lambda = 0.001$  ;

$$\mathcal{L}_{\alpha} = \frac{-1}{L * E * N} \sum_{i=1}^L \sum_{e=1}^E \sum_{n=1}^N \alpha_n^{i,e} * \log(\alpha_n^{i,e})$$

$$\mathcal{L}_{\eta} = \frac{-1}{L * M} \sum_{i=1}^L \sum_{j=1}^M \eta_j^i * \log(\eta_j^i)$$

$$\mathcal{L}_{tp} = - \sum_{i=1}^{L-1} \sum_{a \in \mathcal{A}} ( p_{in}^i(a) \log(p_{out}^i(a)) + (1 - p_{in}^i(a)) \log(1 - p_{out}^i(a)) )$$

$$\mathcal{L}_m = |M_e/M_a - \sigma|_1$$

## **4. Experiments**

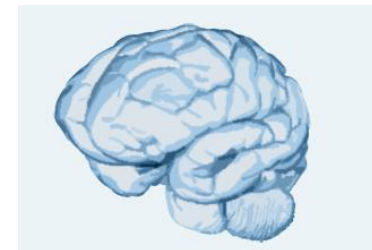
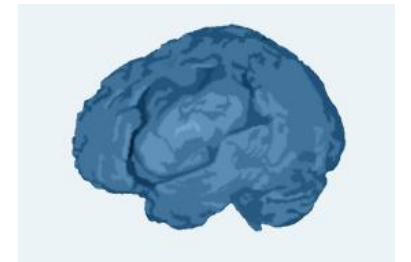
#### 4.1 Training and Testing datasets:

MSD dataset has ten segmentation tasks (CT/MRI Liver/Brain/Hippocampus(海马体)/Lung/Prostate(前列腺)/Cardiac(心脏)/Pancreas (胰腺)/ Colon(结肠)/ Hepatic(肝脏)/ Spleen(脾脏))

Numbers: 131/70; 484/266; 263/131; 64/32; 32/16; 20/10; 282/139; 126/64; 303/140; 41/20.

Training set for search: Pancreas dataset (282 3D CT images).

Testing set: All the testing set of ten tasks.



## 4.2 Evaluation Metrics (time/efficiency)

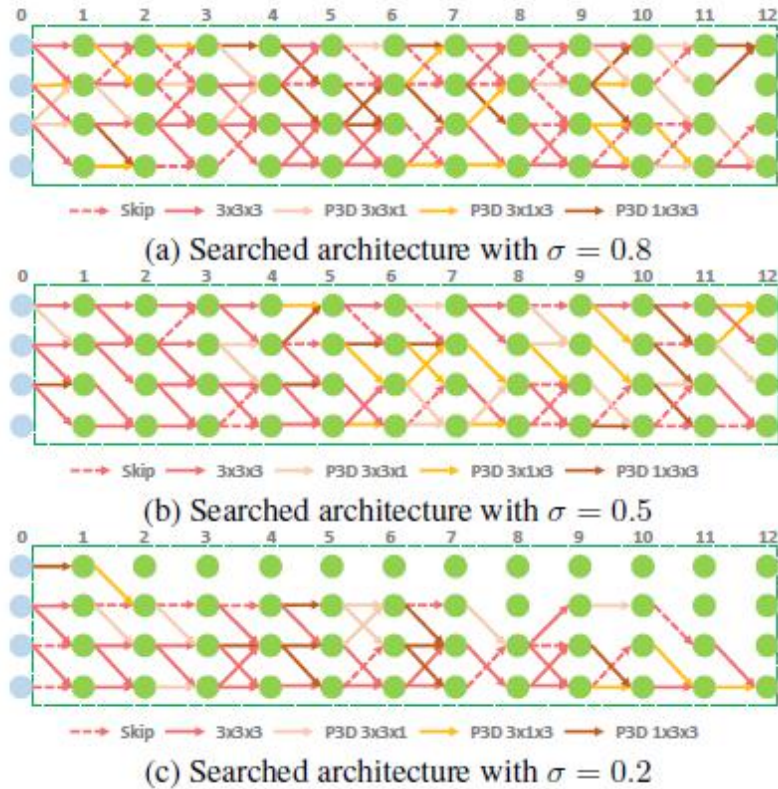


Table 1. Comparison of FLOPs, Parameters and Retraining GPU memory usage and the 5-Fold cross validation Dice-Sørensen score of our searched architectures on Pancreas dataset

Model	FLOPs (G)	Params. (M)	Memory (MB)	DSC1	DSC2	Avg.
3D UNet [6] (nn-UNet)	658	18	9176	-	-	-
Attention UNet [28]	1163	104	13465	-	-	-
C2FNAS [45]	151	17	5730	-	-	-
DiNTS ( $\sigma=0.2$ )	146	163	5787	77.94	48.07	63.00
DiNTS ( $\sigma=0.5$ )	308	147	10110	<b>80.20</b>	52.25	66.23
DiNTS ( $\sigma=0.8$ )	334	152	13018	80.06	<b>52.53</b>	<b>66.29</b>

- Search Time: DiNTS/C2FNAS 5.8 GPU(V100) days / 333 GPU(V100) days
- FLOPS/Params/Memory: No advantage but achieve 3D NAS successfully.



## 4.2 Evaluation Metrics (performance)

- Segmentation performance:

MSD challenge champion nnUNet:

ensembles 2D/3D/Cascaded-3D Unet on different tasks,  
hand-crafted hyper-parameters.

Latest NAS SOTA on MSD dataset: C2FNAS

Results:

DiNTS is better on bigger dataset (Pancrease, Brain, Colon),  
worse on smaller dataset (Heart (10), Prostate (16),  
Spleen(20)). DiNTS outperforms manual-designed and NAS  
SOTA with best average performance for all ten tasks.

	Brain							
Metric	DSC1	DSC2	DSC3	Avg.	NSD1	NSD2	NSD3	Avg.
CerebriuDIKU [30]	69.52	43.11	66.74	59.79	88.25	68.98	88.90	82.04
NVDLMED [41]	67.52	45.00	68.01	60.18	86.99	69.77	89.82	82.19
Kim et al [15]	67.40	45.75	68.26	60.47	86.65	72.03	90.28	82.99
nnUNet [14]	68.04	46.81	68.46	61.10	87.51	72.47	90.78	83.59
C2FNAS [45]	67.62	48.60	69.72	61.98	87.61	72.87	91.16	83.88
DiNTS	69.28	48.65	69.75	62.56	89.33	73.16	91.69	84.73

	Heart		Liver					
Metric	DSC1	NSD1	DSC1	DSC2	Avg.	NSD1	NSD2	Avg.
CerebriuDIKU [30]	89.47	90.63	94.27	57.25	75.76	96.68	72.60	84.64
NVDLMED [41]	92.46	95.57	95.06	71.40	83.23	98.26	87.16	92.71
Kim et al [15]	93.11	96.44	94.25	72.96	83.605	96.76	88.58	92.67
nnUNet [14]	93.30	96.74	95.75	75.97	85.86	98.55	90.65	94.60
C2FNAS [45]	92.49	95.81	94.98	72.89	83.94	98.38	89.15	93.77
DiNTS	92.99	96.35	95.35	74.62	84.99	98.69	91.02	94.86

	Lung		Hippocampus					
Metric	DSC1	NSD1	DSC1	DSC2	Avg.	NSD1	NSD2	Avg.
CerebriuDIKU [30]	58.71	56.10	89.68	88.31	89.00	97.42	97.42	97.42
NVDLMED [41]	52.15	50.23	87.97	86.71	87.34	96.07	96.59	96.33
Kim et al [15]	63.10	62.51	90.11	88.72	89.42	97.77	97.73	97.75
nnUNet [14]	73.97	76.02	90.23	88.69	89.46	97.79	97.53	97.66
C2FNAS [45]	70.44	72.22	89.37	87.96	88.67	97.27	97.35	97.31
DiNTS	74.75	77.02	89.91	88.41	89.16	97.76	97.56	97.66

	Spleen		Prostate					
Metric	DSC1	NSD1	DSC1	DSC2	Avg.	NSD1	NSD2	Avg.
CerebriuDIKU [30]	95.00	98.00	69.11	86.34	77.73	94.72	97.90	96.31
NVDLMED [41]	96.01	99.72	69.36	86.66	78.01	92.96	97.45	95.21
Kim et al [15]	91.92	94.83	72.64	89.02	80.83	95.05	98.03	96.54
nnUNet [14]	97.43	99.89	76.59	89.62	83.11	96.27	98.85	97.56
C2FNAS [45]	96.28	97.66	74.88	88.75	81.82	98.79	95.12	96.96
DiNTS	96.98	99.83	75.37	89.25	82.31	95.96	98.82	97.39

	Colon		Hepatic Vessels					
Metric	DSC1	NSD1	DSC1	DSC2	Avg.	NSD1	NSD2	Avg.
CerebriuDIKU [30]	28.00	43.00	59.00	38.00	48.50	79.00	44.00	61.50
NVDLMED [41]	55.63	66.47	61.74	61.37	61.56	81.61	68.82	75.22
Kim et al [15]	49.32	62.21	62.34	68.63	65.485	83.22	78.43	80.825
nnUNet [14]	58.33	68.43	66.46	71.78	69.12	84.43	80.72	82.58
C2FNAS [45]	58.90	72.56	64.30	71.00	67.65	83.78	80.66	82.22
DiNTS	59.21	70.34	64.50	71.76	68.13	83.98	81.03	82.51

	Pancreas						Overall	
Metric	DSC1	DSC2	Avg.	NSD1	NSD2	Avg.	DSC	NSD
CerebriuDIKU [30]	71.23	24.98	48.11	91.57	46.43	69.00	67.01	77.86
NVDLMED [41]	77.97	44.49	61.23	94.43	63.45	78.94	72.78	83.26
Kim et al [15]	80.61	51.75	66.18	95.83	73.09	84.46	74.34	85.12
nnUNet [14]	81.64	52.78	67.21	96.14	71.47	83.81	77.89	88.09
C2FNAS [45]	80.76	54.41	67.59	96.16	75.58	85.87	76.97	87.83
DiNTS	81.02	55.35	68.19	96.26	75.90	86.08	77.93	88.68