

A Formal Semantics of the Final Rise

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Observations

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Are you asking me or telling me?

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(1) Sheldon: What's the sixth noble gas?

Leonard: Uh, RADON.
H* LH%

Sheldon: Are you asking me or telling me?

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I am presenting a formal semantics of the final rise in English

- ▶ that is **underspecified** to account for the range of observed phenomena.
- ▶ that is resolved **in context**.

A Closer Look

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- ▶ There is a difference in B's **public commitments**.

The Final Rise

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 c.' A: Okay, good. / Where is that?

The Final Rise

We model incompleteness as follows:

- ▶ A final rise utterance **demands a follow-up** to resolve the incompleteness.
 - ▶ Permissible follow-ups are **underspecified**.
 - ▶ Usually, **question force** is assumed.
 - ▶ Additionally, the speaker displays an **uncertain attitude**, prompting a need for resolution.
- ⇒ Incompleteness demands to be resolved, but resolution is **negotiated online**.

Follow-ups

(5) A: What did you do today?

B: I sat in on a history class.
LH%

B: I learned about housing prices.
LH%

B: And I watched a cool documentary.
LL%

(4) a.A: Where are you from?

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► Final Rise: *'I am not done.'*

Uncertainty

- (4) a.A: Where are you from?
b.B: I'm from SKOKIE.
 H* LH%
c.'A: Where is that?

- (1) A: What's the sixth noble gas?
B: Uh, RADON.
 H* LH%

► Final Rise: *'Does this answer your question?'*

Inferred Question Force

(1) A: What's the sixth noble gas?

B: Uh, RADON.
H* LH%

A: Are you asking me or telling me?

(2) A: You're a millionaire.

b.B: I'm a MILLIONAIRE.
H* LH%

► Final Rise: *'Is this true?'*

Inferred Question Force: Details

- (6) a.A: Did you go to the cinema last night?
LH%
b.A: # You went to the cinema last night.
LH%

- (7) A: You are rich.
a.B: I'm rich. 'Am I?'
LH%
b.B: # I'm a millionaire.
LH%

- Inferring question force needs a **suitable antecedent**.

Framework

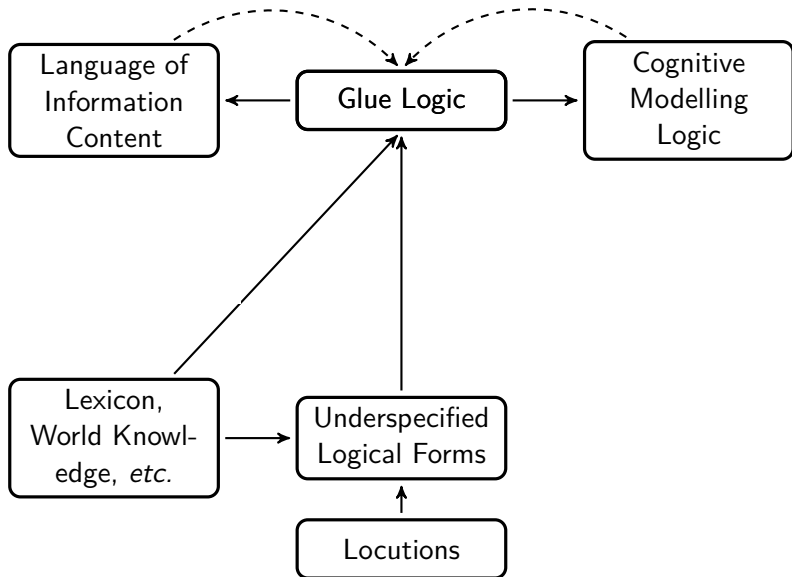
Observations

Formal Model

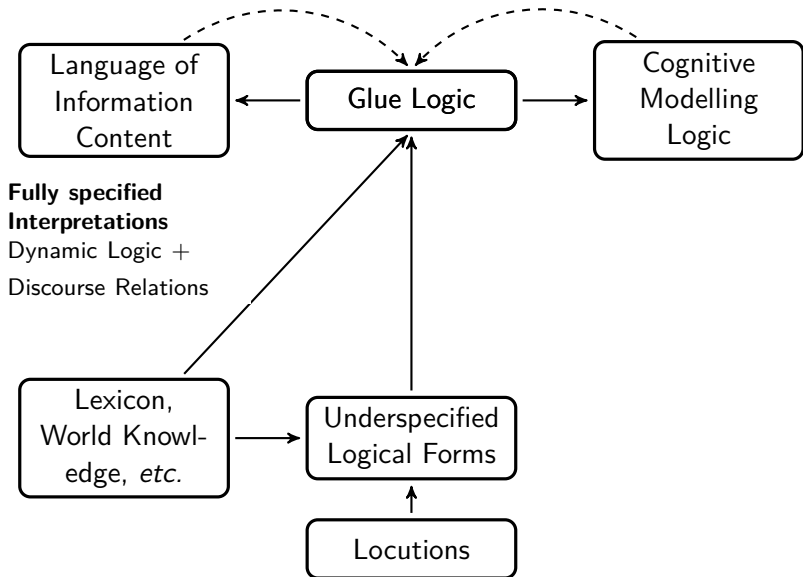
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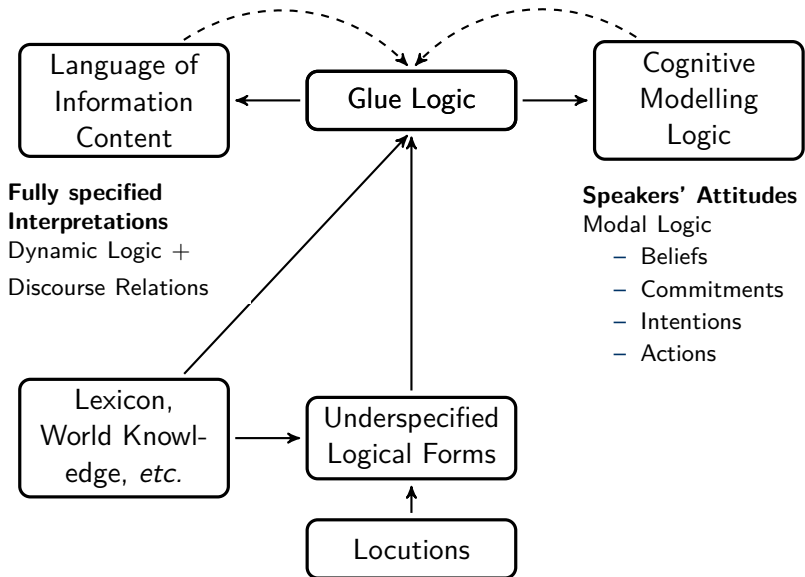
SDRT: Overview



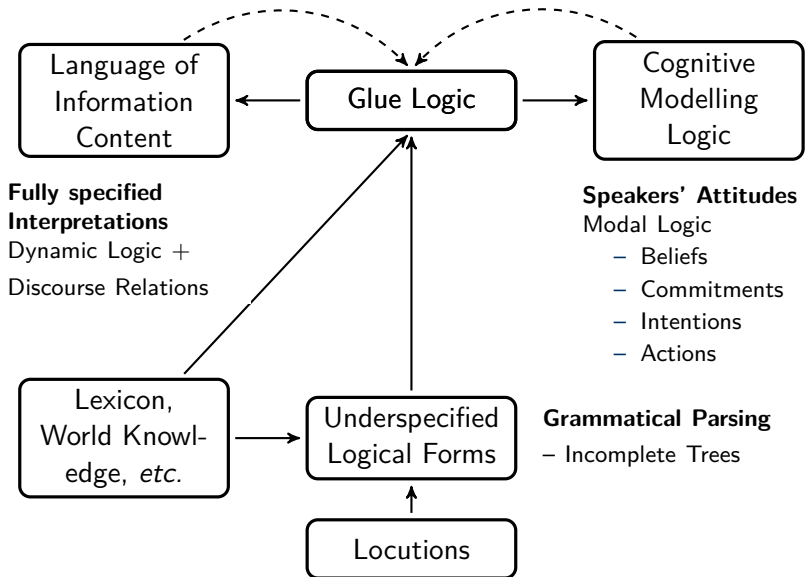
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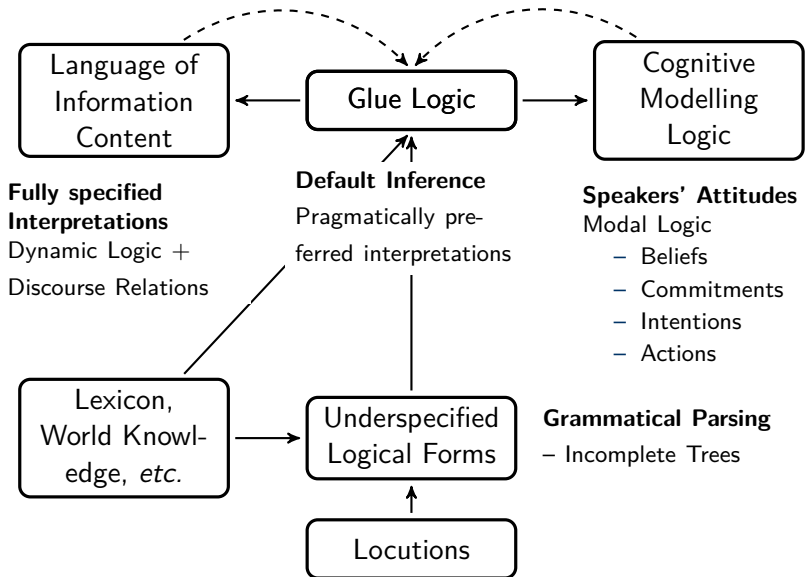
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SDRT: Example

- (7) A: Max fell.
B: John pushed him.

Information content:

Turn	A's SDRS	B's SDRS
1	$\pi_1 : \textit{fall}(e, m)$	\emptyset
2	$\pi_1 : \textit{fall}(e, m)$	$\pi : \textit{Explanation}(\pi_1, \pi_2)$ $\pi_2 : \textit{push}(e', j, m)$

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Construction via **Glue Logic Axioms**:

Explanation Axiom.

$(\lambda : ?(\alpha, \beta) \wedge cause_D(\beta, \alpha)) > (\lambda : Explanation(\alpha, \beta)).$

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Semantics of the Final Rise.

$$LH\%(\pi) \mapsto \exists R, \pi', \pi'' (R(\pi', \pi'') \wedge \pi' \succeq \pi).$$

'This needs a follow-up.'

- The final rise segment π is part of a discourse segment π' that is projecting a discourse relation R .

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Axiom to infer Question Force.

$$(\beta : LH\% \wedge \lambda : ?(\alpha, \beta) \wedge \Box(K_\alpha \rightarrow \text{prop}(K_\beta))) > \lambda : CR(\alpha, \beta).$$

'Are you sure?'

- ▶ $\Box(K_\alpha \rightarrow \text{prop}(K_\beta))$ is the **appropriateness constraint**.

Cognitive Contribution of the Final Rise.

$\pi : LH\% \wedge \lambda : R(\alpha, \pi) \wedge \neg \pi : ?prop(K_\pi) > P_S \neg B_S I_H P_H R(\alpha, \pi).$

'I'm not sure you are going to accept this.'

- ▶ The speaker displays uncertainty regarding the **uptake** of their speech act.
- ▶ In a cooperative conversation, this uncertainty needs to be addressed.

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'I'm not sure you are going to accept this.'

- ▶ The speaker displays uncertainty regarding the **uptake** of their speech act.
- ▶ In a cooperative conversation, this uncertainty needs to be addressed.
- ▶ Note that Question Force and Uncertainty are **mutually exclusive**.

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Public Commitments

- (2) A: You're a millionaire.
b. B: I'm a $\text{MILLIONAIRE}_{\text{H}^*}$. \rightsquigarrow *'Am I?'*
 $\text{LH}\%$

- ▶ The appropriateness constraint for Inferring Question Force is satisfied.
- ▶ We infer that B is asking a question, hence making no commitment.

Public Commitments

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- (3) A: Are you rich?
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 H^* LH\%

- ▶ The appropriateness constraint for Inferring Question force is **not** satisfied.
- ▶ We infer that B is making a commitment, but is uncertain if A will accept it as an answer.

Underspecified Follow-Ups

- (4) a.A: Where are you from?
 b.B: I'm from SKOKIE.
 H* LH%

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1	$\pi_1 : K_{\pi_1}$ $\pi_{1H} : \exists \pi'_1 \text{ QAP}(\pi_1, \pi'_1)$	\emptyset
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I'm from Skokie (c)

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	Resolution: π'_2 is π_2 , π''_2 is π_3 and R is Elaboration.	
3	$\pi_1 : K_{\pi_1}$ $\pi_{1H} : \exists \pi'_1 \text{ QAP}(\pi_1, \pi'_1)$	$\pi_2 : K_{\pi_2}$ $\pi_{1H} : \text{QAP}(\pi_1, \pi_{3S})$ $\pi_{2S} : \text{Elaboration}(\pi_2, \pi_3)$ $\pi_3 : K_{\pi_3}$ $\pi_{3S} : \text{Elaboration}(\pi_2, \pi_3)$

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In Sum

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- ▶ We formalize this account in the SDRT framework.
- ▶ Our model makes computable predictions, corresponding to the informal observations.
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Thank you!