

# SPECTRAL RIGIDITY OF HURWITZ SURFACES

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For an element  $\gamma \in \mathrm{PSL}(2, \mathbb{R})$ , there is a unique lift  $\tilde{\gamma} \in \mathrm{SL}(2, \mathbb{R})$  such that  $\mathrm{Tr}(\tilde{\gamma}) \geq 0$ . Define  $\mathrm{Tr}(\gamma) := \mathrm{Tr}(\tilde{\gamma})$ . We say that an element  $\gamma \in \mathrm{PSL}(2, \mathbb{R})$  is hyperbolic if  $\mathrm{Tr}(\gamma) > 2$ . In this case, there is a unique real number  $N(\gamma) > 1$  such that  $\tilde{\gamma}$  is conjugate in  $\mathrm{SL}(2, \mathbb{R})$  to  $\begin{bmatrix} N(\gamma) & 0 \\ 0 & N(\gamma)^{-1} \end{bmatrix}$ . We call  $N(\gamma)$  the *norm* of  $\gamma$ . The norm and trace are related by the equation  $\mathrm{Tr}(\gamma) = N(\gamma) + N(\gamma)^{-1}$ . In particular, they uniquely determine one another.

Let  $\Gamma \leq \mathrm{PSL}(2, \mathbb{R})$  be a cofinite Fuchsian group. We say that a hyperbolic element  $\gamma$  is primitive if it is not a proper power. Let  $[\Gamma]_{\mathrm{prim}}$  denote the collection of conjugacy classes of primitive hyperbolic elements of  $\Gamma$ . Since trace and norm are both conjugation invariant, we may extend their definition to  $[\Gamma]_{\mathrm{prim}}$ .

Let  $\rho : \Gamma \rightarrow \mathrm{GL}(V)$  be a representation of  $\Gamma$  on a finite dimensional vectorspace  $V$ . Define, for  $\mathrm{Re}(s) \gg 0$  the (twisted) Selberg zeta function

$$Z_\Gamma(s, \rho) := \prod_{[\gamma] \in [\Gamma]_p} \prod_{k=0}^{\infty} \det(1 - \rho(\gamma)N(\gamma)^{-(s+k)})$$

## 0.0.0.1 Multiplicative independence

Set  $\Lambda_\Gamma(s, \rho) = \log Z_\Gamma(s, \rho)$ . Compute for  $\mathrm{Re}(s) \gg 0$ ,

$$\begin{aligned} \Lambda_\Gamma(s, \rho) &= \sum_{[\gamma] \in [\Gamma]_p} \sum_{k=0}^{\infty} \log \det(1 - \rho(\gamma)N(\gamma)^{-(s+k)}) \\ &= \sum_{[\gamma] \in [\Gamma]_p} \sum_{k=0}^{\infty} \mathrm{Tr} \log(1 - \rho(\gamma)N(\gamma)^{-(s+k)}) \\ &= - \sum_{[\gamma] \in [\Gamma]_p} \sum_{k=0}^{\infty} \sum_{m=1}^{\infty} \frac{\chi_\rho(\gamma^m)}{m} N(\gamma)^{-m(s+k)}, \end{aligned}$$

since  $\log(1 - A) = -\sum_{m=1}^{\infty} \frac{A^m}{m}$  for any matrix  $A$  with sufficiently small entries. In the last line,  $\chi_\rho(\gamma) = \mathrm{Tr}(\rho(\gamma))$  is the character of  $\rho$ .

The expression in the last line of the previous display is sensible for  $\chi_\rho$  for any class function on  $\Gamma$ . For  $\chi$  a class function on  $\Gamma$ , we take this as a definition of  $\Lambda_\Gamma(s, \chi)$  and thereby  $Z_\Gamma(s, \chi)$ . For a class function  $\chi$ , suppose there are finitely many nonzero rational numbers  $a_\rho$ , for  $\rho \in \hat{\Gamma}$  such that  $\chi = \sum_{\rho \in \hat{\Gamma}} a_\rho \chi_\rho$ . We call such a  $\chi$  a *virtual character*, and write  $\chi \in \mathrm{Vchar}(\Gamma)$ . For such a  $\chi$ ,

$$\Lambda_\Gamma(s, \chi) = \sum_{\rho \in \hat{\Gamma}} a_\rho \Lambda_\Gamma(s, \rho)$$

and

$$Z_\Gamma(s, \chi) = \prod_{\rho \in \hat{\Gamma}} Z_\Gamma(s, \rho)^{a_\rho}$$

Suppose there is some multiplicative dependency among the functions  $Z_\Gamma(s, \rho_1), \dots, Z_\Gamma(s, \rho_n)$ , i.e. there are rational numbers  $a_{\rho_i}$  so that  $\prod_{i=1}^n Z_\Gamma(s, \rho_i)^{a_{\rho_i}} = 1$ . Then this may be expressed concisely as  $Z_\Gamma(s, \chi) = 1$ , where  $\chi = \sum_{i=1}^n a_{\rho_i} \chi_{\rho_i}$ .

Let  $\Gamma' \leq \Gamma$  be a finite index normal subgroup, and set  $G = \Gamma' \backslash \Gamma$ . For each class function  $\chi$  of  $G$ , let  $\tilde{\chi}$  be its inflation to  $\Gamma$ . Now suppose  $\chi$  is a virtual character of  $G$  such that  $Z_\Gamma(s, \tilde{\chi}) = 1$ . This is equivalent to

$$\Lambda_\Gamma(s, \tilde{\chi}) = - \sum_{[\gamma] \in [\Gamma]_p} \sum_{k=0}^{\infty} \sum_{m=1}^{\infty} \frac{\tilde{\chi}(\gamma^m)}{m} N(\gamma)^{-m(s+k)} = 0$$

Recall (find ref) the uniqueness principle for generalized Dirichlet series: let  $\nu_1, \nu_2, \dots$  be a sequence of distinct positive real numbers and  $a(\nu_1), a(\nu_2), \dots$  a sequence of complex numbers such that the series

$$\sum_{i=1}^{\infty} a(\nu_i) \nu_i^{-s}$$

converges absolutely to an analytic function  $f(s)$  for  $\text{Re } s \gg 0$ . Then  $f(s) = 0$  identically if and only if  $a(\nu_i) = 0$  for all  $\nu_i$ .

In order to apply the uniqueness principle for (generalized) Dirichlet series, we must collect summands according to their base. To this end, let  $\mathcal{N}_\Gamma$  denote the *primitive norm spectrum* of  $\Gamma$ . That is,  $\mathcal{N}_\Gamma$  is the (multi-)set of values taken by the norm map  $N$  at primitive hyperbolic conjugacy classes in  $\Gamma$ . For every real number  $\nu$ , let  $\mathcal{N}_\Gamma(\nu)$  denote the collection of classes  $[\gamma] \in [\Gamma]_p$  with  $N(\gamma) = \nu$ . By definition,  $\mathcal{N}_\Gamma(\nu)$  is empty unless  $\nu \in \mathcal{N}_\Gamma$ . Now we have

$$\Lambda_\Gamma(s, \tilde{\chi}) = - \sum_{\nu \in \mathcal{N}_\Gamma} \sum_{[\gamma] \in \mathcal{N}_\Gamma(\nu)} \sum_{k=0}^{\infty} \sum_{m=1}^{\infty} \frac{\tilde{\chi}(\gamma^m)}{m} \nu^{-m(s+k)} = 0.$$

The sum over  $k$  is a geometric series with base  $\nu^{-m}$ , so

$$\Lambda_\Gamma(s, \tilde{\chi}) = - \sum_{\nu \in \mathcal{N}_\Gamma} \sum_{[\gamma] \in \mathcal{N}_\Gamma(\nu)} \sum_{m=1}^{\infty} \frac{\tilde{\chi}(\gamma^m)}{m(1 - \nu^{-m})} \nu^{-ms} = 0$$

The set consisting of all powers of a fixed primitive norm and the set consisting of a fixed power of all primitive norms may intersect nontrivially. Indeed, by McReynolds-Lafont, arithmetic non-compact hyperbolic surfaces admit arbitrarily long arithmetic progressions in their length spectrum.

For each primitive norm  $\nu$ , and each integer  $m > 0$ , let  $A_m(\nu)$  denote the set of primitive hyperbolic conjugacy classes  $[\gamma]$  in  $\Gamma$ , such that  $N(\gamma^m) = \nu$ . For a fixed  $\nu \in \mathcal{N}_\Gamma$ , only finitely many  $A_m(\nu)$  are nonempty. Let  $m(\nu)$  be the largest  $m$  such that  $A_m(\nu)$  is nonempty. Then we have a partition  $\mathcal{N}_\Gamma(\nu) = A_1(\nu) \sqcup \dots \sqcup A_{m(\nu)}(\nu)$ . Thus, for each  $\nu \in \mathcal{N}_\Gamma$ , the coefficient  $b(\nu, \chi)$  of  $\nu^{-s}$  in  $\Lambda_\Gamma(s, \chi)$  is

$$b(\nu, \chi) := - \sum_{m=1}^{m(\nu)} \frac{1}{m(1 - \nu^{-m})} \sum_{[\gamma] \in A_m(\nu)} \tilde{\chi}(\gamma^m).$$

which we conclude is zero for every primitive length  $\nu$ . This does not immediately imply that the class function  $\tilde{\chi}$  is zero.

Indeed, for each fixed  $\nu$ , as we vary  $\chi$  among the virtual characters, the function  $\lambda_\nu : \chi \mapsto b(\nu, \chi)$  is actually a linear functional on the vectorspace  $\text{Vchar}(\Gamma' \backslash \Gamma)$ . In order to conclude that  $\chi = 0$ , we must demonstrate that the (infinite!) set of functionals  $\{\lambda_\nu : \nu \in \mathcal{N}_\Gamma\}$  spans the (finite dimensional!) dual space to  $\text{Vchar}(\Gamma' \backslash \Gamma)$ .

### 0.0.0.2 Conjugacy classes in $\text{GL}(2, q)$ and $\text{PGL}(2, q)$

Let  $q$  be an odd prime power and set  $G = \text{GL}(2, q)$  and  $\bar{G} = \text{PGL}(2, q) = G/Z$  where  $Z$  is the center of  $G$ . For an element  $g \in G$ , define the *characteristic polynomial*  $p_g := x^2 - \text{tr}(g)x + \det(g) \in F_q[x]$ . Among non central conjugacy classes, the characteristic polynomial of an element completely determines its class:

**Lemma 1.** Suppose  $g$  and  $h$  are non central. Then  $g$  and  $h$  are conjugate in  $G$  if and only if  $p_g = p_h$

*Proof.* This follows from the theory of Jordan normal forms. □

Conjugation in  $\bar{G}$  can be described in terms of conjugation in  $G$ :

**Lemma 2.** Elements  $gZ$  and  $hZ$  in  $\bar{G}$  are conjugate if and only if  $g$  is conjugate to  $\lambda h$  in  $G$  for some  $\lambda \in Z$ .

For an element  $gZ$  of  $\bar{G}$ , let  $\bar{p}_{gZ}$  denote the collection of characteristic polynomials of lifts of  $gZ$  to  $G$ . That is,  $\bar{p}_{gZ} = \{p_{\lambda g} : \lambda \in Z\}$ . Combining the preceding lemmas, we obtain a characterization of nonidentity conjugacy classes in  $\bar{G}$ :

**Lemma 3.** Nonidentity elements  $gZ$  and  $hZ$  are conjugate in  $\bar{G}$  if and only if  $\bar{p}_{gZ} = \bar{p}_{hZ}$ .

### 0.0.0.3 Multiplicative independence for principal congruence covers of semi-arithmetic surfaces

Now suppose  $\Gamma$  is a subgroup of  $\text{PGL}(2, \mathcal{O}_K)$  where  $K$  is a totally real number field, and  $\mathcal{O}_K$  is its ring of integers. We further suppose that under some embedding  $K \rightarrow \mathbb{R}$ , the image of  $\Gamma$  is a lattice in  $\text{PGL}(2, \mathbb{R})$ . For any prime ideal  $\mathfrak{p}$  of  $\mathcal{O}_K$ , the reduction mod  $\mathfrak{p}$  map  $\mathcal{O}_K \rightarrow \mathbb{F}_{\mathfrak{p}} := \mathcal{O}_K / \mathfrak{p} \mathcal{O}_K$  induces a map  $\text{PGL}(2, \mathcal{O}_K) \rightarrow G(\mathfrak{p}) := \text{PGL}(2, \mathbb{F}_{\mathfrak{p}})$ . Let  $\pi_{\mathfrak{p}}$  be its restriction to  $\Gamma$ . For each  $\mathfrak{p}$ , define the *principal congruence subgroup*  $\Gamma(\mathfrak{p}) := \ker \pi_{\mathfrak{p}}$  of level  $\mathfrak{p}$ . Let  $S$  be the set of primes  $\mathfrak{p}$  such that the map  $\pi_{\mathfrak{p}}$  is surjective. Thus, when  $\mathfrak{p} \in S$ ,  $\pi_{\mathfrak{p}}$  induces an isomorphism of  $\Gamma(\mathfrak{p}) \backslash \Gamma$  with  $G(\mathfrak{p}) = \text{PGL}(2, \mathcal{O}_K / \mathfrak{p} \mathcal{O}_K)$ . If  $H$  is one of the groups  $\Gamma, \Gamma(\mathfrak{p})$  or  $G(\mathfrak{p})$ , let  $\tilde{H}$  denote its lift to  $\text{GL}(2, \mathbb{F}_{\mathfrak{p}})$ .

**Theorem 1.** Suppose  $\gamma \in \Gamma - \Gamma(\mathfrak{p})$  is hyperbolic and that the characteristic polynomial  $p_\gamma$  is irreducible over  $K$ . If  $\lambda \in \Gamma$  is such that  $N(\gamma) = N(\lambda)$ , then  $\pi_{\mathfrak{p}}(\gamma)$  and  $\pi_{\mathfrak{p}}(\lambda)$  are conjugate in  $G(\mathfrak{p})$ .

*Proof.* It suffices to show that  $N(\gamma)$  completely determines the characteristic polynomial  $p_\gamma$ . To this end, observe that the characteristic polynomial  $p_\gamma$  of  $\gamma$  is also the minimal polynomial for the unit  $N_\gamma$  in the quadratic extension  $K_\gamma := K(N(\gamma))$  of  $K$ . Let  $\sigma$  denote the nontrivial Galois automorphism of  $K_\gamma/K$ . Then  $p_\gamma = (t - N(\gamma))(t - N(\gamma)^\sigma)$ , as claimed. Specifically, the constant term  $\det(\gamma)$  of  $p_\gamma$  is the Galois norm  $N(\gamma)N(\gamma)^\sigma$  of the quadratic unit  $N(\gamma)$ . □

**Corollary 1.** Suppose  $\chi$  is the inflation of a class function from  $G(\mathfrak{p})$  to  $\Gamma$ . Then  $\chi$  is constant along each set  $\mathcal{N}_\Gamma(\nu)$  such that  $\nu \not\equiv 1 \pmod{\mathfrak{p}}$ . If  $\nu \equiv 1 \pmod{\mathfrak{p}}$ , and  $\mathcal{N}_\Gamma(\nu) \cap \Gamma(\mathfrak{p}) = \emptyset$ , then  $\chi$  is constant on  $\mathcal{N}_\Gamma(\nu)$ .

**Theorem 2.** Suppose  $\Gamma$  is as above, and  $\mathfrak{p} \in S$ . Let  $\chi$  be a class function on  $\Gamma$  inflated from one on  $G(\mathfrak{p})$ . Then  $\Lambda_\Gamma(s, \chi) = 0$  if and only if  $\chi = 0$ .

*Proof.* If  $\Lambda_\Gamma(s, \chi) = 0$ , then for all  $\nu \in \mathcal{N}_\Gamma$ , we have  $b(\nu, \chi) = 0$ .

First, suppose  $\nu \not\equiv 1 \pmod{\mathfrak{p}}$ . Then along the set  $\mathcal{N}_\Gamma(\nu)$  of primitive hyperbolic  $\gamma \in \Gamma$  with norm  $\nu$ , the function  $\chi$  takes a common value which we denote  $\chi(\nu)$ . Let  $a_m(\nu)$  denote the cardinality of  $A_m(\nu)$ , the set of primitive hyperbolic  $\gamma \in \Gamma$  such that  $N(\gamma^m) = \nu$ . Then

$$b(\nu, \chi) = - \sum_{m=1}^{m(\nu)} \frac{a_m(\nu)}{m(1 - \nu^{-m})} \chi(\nu) = 0$$

To conclude that  $\chi(\nu) = 0$ , it suffices to demonstrate the existence of a primitive hyperbolic  $\gamma \in \Gamma$  such that  $N(\gamma) = \nu \pmod{\mathfrak{p}}$ . This follows from the Chebotarev density theorem of (cite Sarnak):

**Theorem 3.** Let  $C$  be a conjugacy class in  $G(\mathfrak{p})$  and  $F(T, C)$  denote the collection of primitive hyperbolic  $\gamma$  in  $\Gamma$  with  $N(\gamma) < T$  such that  $\pi_{\mathfrak{p}}(\gamma) \in C$ . Then as  $T \rightarrow \infty$ ,

$$F(T, C) = \frac{|C|}{|G(\mathfrak{p})|} \frac{T}{\log T} + o(1).$$

Thus, for any primitive hyperbolic  $\gamma \in \Gamma$  with  $N(\gamma) \not\equiv 1 \pmod{\mathfrak{p}}$ , we have  $\chi(\gamma) = 0$ .

Now suppose  $\nu \equiv 1 \pmod{\mathfrak{p}}$ . Then if  $\gamma \in \mathcal{N}_\Gamma(\nu)$ , either  $\pi_{\mathfrak{p}}(\gamma) \in I$  the identity conjugacy class, or  $\pi_{\mathfrak{p}}(\gamma) \in P$  the unique parabolic conjugacy class in  $G(\mathfrak{p})$ . Note that  $|I| = 1$ , and  $|P| = q^2 - 1$ , where  $q = |O_K/\mathfrak{p}O_K|$ . By Sarnak's version of Chebotarev's density theorem, there are infinitely  $\nu \in \mathcal{N}_\Gamma$  with  $\nu \equiv 1 \pmod{\mathfrak{p}}$  such that  $\mathcal{N}_\Gamma(\nu) \cap \Gamma(\mathfrak{p}) = \emptyset$ . For any such  $\nu$ ,  $\chi$  is constant along  $\mathcal{N}_\Gamma(\nu)$ . As above, for such  $\nu$ ,  $b(\nu, \chi) = 0$  implies  $\chi(\nu) = 0$ .

□

## REFERENCES