



UNIVERSITÄT ZU LÜBECK

Using AutoGPT for Information Retrieval Agents

Nutzung von AutoGPT für Informations-Recherche Agenten

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Eidesstattliche Erklärung

Ich erkläre hiermit an Eides statt, dass ich diese Arbeit selbständig verfasst und keine anderen als die angegebenen Quellen und Hilfsmittel benutzt habe.

Jakob Horbank

Zusammenfassung

Es geht darum, der Welt »Hallo« zu sagen.

Abstract

It is about saying “hello” to the world.

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Introduction

This an introduction like not other. Information retrieval hard and stuff. Would be nice to have a chatbot that answer natural language questions and gives sources from research database and even web.

1.1 Contributions of this Thesis

Hopefully the above.

1.2 Related Work

There are different attempts at creating LLM outputs with sources. Perplexity AI hosts a question answering service, that gives source from websites.

Open Source LLM Agents

- AutoGPT
- babyagi

Information Retrieval Applications

In a variety of application contexts, the answers of an assistant have to be correct. This is espciacally true in reasearch contexts. A model that hallucinates isn't feasible in this case. But while hallucination can be reducing with fine-tuning, it can not be competely eliminated. Perplexity AI is an online service that leverages a language model to provide a search that generates an answer from different sources in the internet. The content of the answer is then linked to the found sources, so the user can see and verify the result.

As this is a propriety closed source product accessible through a web interface, this is not a useful to create our own research assistant. The provided API simply hosts different LLMs and allows for prompting them. There is no possibilty of of fine-tuning.

1.3 Structure of this Thesis

I do this then that and then that.

2

Analysis of AutoGPT for Information Retrieval Tasks

AutoGPT is an open source project that tries to 'make GPT fully autonomous'. It started out as collection of loose scripts but quickly gained alot of interest in the open source community and grew into a much bigger and now funded project. Initally it only contained the AutoGPT agent that I will anaylse in this chapter but over time has seen additions such as a agent framework called *forge*, a benchmarking system that was put in place to host an agent hackathon as well as branching out of the project to create the *agent protocol*. The agent protocol is an attempt of the community to standardize agent applications.

The AutoGPT was not built with a focus on information retrieval, which is why I will analyse the information retrieval capabilites in this chapter.

GPT language models are used to control an agent that works towards reaching a stated goal. The project contains a core general purpose agent with a predefined set of abilities. Addidtionaly a baseline sdk is beeing developed to build custom agents.

2.1 LLM Agent Backgrounds

The concept of agents in computer science is not new. An agent is a system that acts towards reaching a goal in an environment. Agents can be implemented as software or as physical robots or even humans. In the same way different envirmonments are possible such as the real physical world, a web browser or a simulation. The agent needs ways to sense its environment, which can be done by sensors in a physical environment or programatically in a software envirnment. A task has to be specified for to agent so it knows what his goal is. It can then employ different strategies to reach that goal. These strategies consist of planning steps to execute. While acting out these steps, the environment will probably change as time passes, so an agent needs to reevaluate its plan and the contained steps.

The impressive capabilities of large language models have lead to research about implementing them into agents system. The most prominent way of using LLMs for agents is to use in model as a planner that decides the nest step or action.

2.2 Architecture Overview

The AutoGPT agent is modeled after the classic agent architecture. After the start the user is asked to enter a task the AutoGPT agent should perform. Then the agent enters a loop of prompting the LLM, executing the proposed action, handling the result of the action and updating the agent state.

The LLM is prompted in a structured way. A base prompt template is defined and populated with current information before each prompting step. The information includes the task at hand, a list of possible actions, a history of previous actions and their results and some extra statements that are there to guide the language model. As the answer needs to be parsed, the system prompt defines a fixed format the LLM should answer in. The answer consists of the thoughts and the proposed next action.

AutoGPT is divided into four modules. The *brain* is the main module that controls the agent. In AutoGPT this is realized by prompting the language model in a structured way. Using the chat system prompt, the language model is prompted to answer a structured format. Different techniques are implemented in this structure. The language model is forced not only to plan the next step, but also to explain the choice for the chosen step and to add self-criticism. An extra output for the human user is also returned. The second part of the answer is the actual next action with the needed arguments. The action make up the second module of the agent. In this module the abilities of the agent are defined. These can be file operations, database queries or web search functionalities. The third module is the *memory*. Memories are modeled after humans which have short and long-term memory. Short term memory can be implemented as an in-memory list messages to the language model. Long-term memory needs a persistent store such as a database. A popular option for language models are vector databases that work with embeddings.

Currently, AutoGPT is only compatible with OpenAI models. Switching to a different model is not that hard, because only the API format would have to be changed. The challenge is to switch between different prompting styles, as every model needs to be prompted differently. For example OpenAI models benefit from profile sentences like "You are an expert in computer science", while Anthropic Claude does not...

2.3 Information Retrieval Capabilities

The AutoGPT Agent has different abilities that can be utilized for information retrieval. Notably, it is able to search the web and operate on the file system.

The web search is implemented by a two step process. First a search API like duckduckgo is called to get a list of relevant pages. Then the page contents are scraped with a headless browser. It is possible to read and write to text files. Other document types are processed by basic text extraction tools to get the plain text.

For longer files such as scientific journals the extracted fulltext is too long for the language model. The AutoGPT agent has no ability to chunk the text into smaller chunk or store it in a database. This is a limitation that needs to be addressed for information retrieval tasks over a research database repository.

Having a vectorestore would enable techniques such as retrieval augmented generation.

The agent would get a prompt which a question over the RDR and choose an action to start a semantic search over the vectorstore. The result of the search are the chunks that are semantically closest to the question. These chunks can then be included as context for the LLM prompt to generate an answer.

The default agent has a tendency towards searching the web for information. We want an agent that prioritizes information that is present in the research repository. This needs to be addressed in the prompting techniques of the agent.

3

Retrieval Augmented Generation Agent

Large language models are excellent at generating text in a variety of styles. Different approaches try to embed information sources into the generated text. One approach is to fine-tune the language model on a dataset that contains the information. This can work, but often there is not enough data to shift the model weights enough. A more promising approach is retrieval augmented generation. Before generating an answer to a prompt, a vectorestore is searched for relevant information. The retrieved information is then included in the prompt.

3.1 Methods To Improve LLM Generation

- Fine-tuning
- Chain-of-thought
- Retrieval augmented generation

3.2 Retrieval Augmented Generation

- what is a vectorestore compared to other databases
- how are documents stored and queried
- how is the information included in the prompt

3.3 Extending AutoGPT with retrieval capabilities

- Custom agent Loop
- Custom abilities
- Further guidances for the agent

4

Creating IR Agent Benchmarking Challenges

The evaluation of large language model agents is a difficult task, as evaluating LLMs themselves presents a challenge. There are different approaches to evaluate systems built around language models. Subjective evaluation is based on human feedback. As LLM systems are generally made to serve humans this is an important part of evaluation. On the other hand, quantitative metrics that can be computed are used for objective evaluation. Different metrics are used for different tasks. Another important method are benchmarks. Benchmarks are a set of tasks or an environment that the agent is to move in.

4.1 Existing IR Agent Benchmarks

As the space of possible agent domains is large, lots of different benchmarks were proposed. Simulation environments like

Although lots of benchmark for LLM applications have been proposed, there are few benchmarks that are designed to test the information retrieval capabilities of an agent. There are some benchmarks that are used to evaluate information retrieval in general and in a diverse domains like "cite".

4.2 The AutoGPT Benchmarking System

To evaluate AutoGPT and other agent systems that implement the agent protocol, the AutoGPT project has implemented its own benchmarking system. The system consists of a set of tasks that the agent has to complete. The tasks are designed to test different aspects of the agent, and are divided into different topics. Some tasks depend on the previous successful completion of other tasks. A task consists of an input prompt and an expected output. The output is defined by certain words that should be contained.

- Level based system
- Dependencies

4.3 Custom Benchmarks for local IR over journals

- Retrieval benchmarks

5

Results

5.1 Points of Failure

5.2 Benchmarking Results

5.3 Subjective Evaluation

- Why is subjective evaluation needed
- What aspects of the agent can be evaluated subjectively

6

Conclusion

- What was the premise
- What was tried out
- What worked what did not and why

6.1 Future Work