

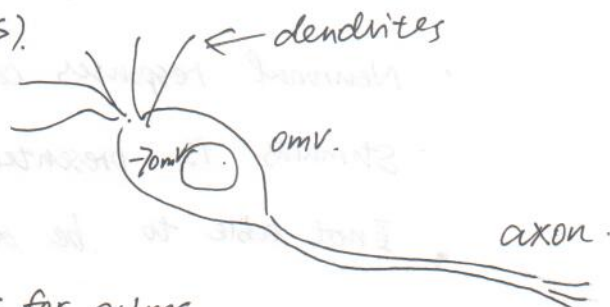
ch 1. Firing Rate & Spike Statistics

Nov. 22nd, 2020.

1. Introduction.

- Neural coding: involves measuring how stimulus attributes (such as light or sound intensity) or motor actions (such as the direction of arm movement) are ~~rep~~ represented by action potentials.

- Stimulus $\xrightarrow[\text{decoding (reconstruct a stimulus from the spike sequence it evokes)}]{\text{encoding (how neurons respond to stimuli)}}$ response.



- resting state: -70mV .

action potential: 100mV . lasts for $\sim 1\text{ms}$.

refractory period: for a few milliseconds just after an action potential has been fired, it may be virtually impossible to initiate another spike. (absolute refractory period?)

depolarization. hyperpolarization.

• Recording Neural Response.

intra-cellular

- sharp electrodes inserted through the cell
- patch electrodes. (seal).

• usually soma.

dendrites are ~~bec~~ becoming more and more.

• in vitro in vitro

extra-cellular.

- in vivo.

• only action potentials, not ~~subthreshold~~ subthreshold membrane potentials.

• From stimulus to response.

• How ^{do} neurons respond to stimulus?

producing complex sequences \rightarrow reflect $\left\{ \begin{array}{l} \text{intrinsic dynamics of neurons} \\ \text{temporal characteristics of the stimulus.} \end{array} \right.$

• challenges:

• How to isolate features of the response that encode changes in the stimulus?

• Neuronal responses can vary from trial to trial even when the stimulus is presented repeatedly. (trial-to-trial variability.

• \nexists not able to be described deterministically).

• population coding:

many neurons respond to the stimulus.

$\left\{ \begin{array}{l} \text{firing patterns of individual neurons} \\ \text{the relationships of these firing patterns to each other across the population.} \end{array} \right.$

2. Spike Trains and Firing Rates.

• spike time: $t_i, i=1, 2, \dots, n$.



$$0 \leq t_i \leq T, \quad \forall i=1, 2, \dots, n.$$

• Dirac δ function:

• properties:

• approaches 0 everywhere except where the argument is 0.

• infinite height & infinitesimal width.

• $\int \delta(t) dt = 1.$

$$\bullet \int \delta(t-s) f(s) ds = f(t) \quad f: \text{continuous} \quad (*)$$

• examples of possible $\delta(t)$ function:

$$\bullet \delta(t) = \lim_{\Delta t \rightarrow 0} \begin{cases} \frac{1}{\Delta t} & -\frac{\Delta t}{2} < t < \frac{\Delta t}{2} \\ 0 & \text{o.w.} \end{cases}$$

$$\bullet \delta(t) = \lim_{\Delta t \rightarrow 0} \frac{1}{\sqrt{2\pi}\Delta t} e^{-\frac{t^2}{2\Delta t^2}}$$

$$\bullet \delta(t) = \frac{1}{2\pi} \int_{-\infty}^{+\infty} \exp(i\omega t) d\omega$$

• spike sequence: $p(t) = \sum_{i=1}^n \delta(t-t_i)$

• According to (*): any $h(t)$ continuous:

$$\cancel{h(t)} \quad h(t) = \int \delta(t-s) h(s) ds$$

$$h(t-t_i) = \int \delta(t-s-t_i) h(s) ds$$

$$\sum_{i=1}^n h(t-t_i) = \sum_{i=1}^n \int \delta(t-s-t_i) h(s) ds$$

$$= \int \underbrace{\sum_{i=1}^n \delta(t-s-t_i)}_{p(t-s)} h(s) ds = \int p(t-s) h(s) ds$$

• Spike-count rate:

$$r = \frac{n}{T} = \frac{1}{T} \int_0^T p(\tau) d\tau$$

$$(n = \int_0^T p(\tau) d\tau)$$

- time-dependent firing rate. (averaged over trials).

page 4.

$\langle \rangle$: trial average.

$$r(t) = \frac{1}{\Delta t} \int_t^{t+\Delta t} \langle p(\tau) \rangle d\tau.$$

$$\int dt \int h(\tau) p(t-\tau) d\tau = \int h(\tau) r(t-\tau) d\tau.$$

- average firing rate.

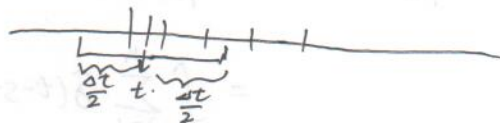
$$\langle r \rangle = \frac{\langle n \rangle}{T} = \frac{1}{T} \int_0^T \langle p(\tau) \rangle d\tau = \frac{1}{T} \int_0^T r(t) dt.$$

firing rate: $r(t)$.

spike-count rate: r . average firing rate: $\langle r \rangle$.

Measuring Firing Rates.

- bin. count within bins
- sliding window.
- kernel.



sliding window:

$$r_{\text{approx}}(t) = \sum_{i=1}^n w(t-t_i). \quad w(t) = \begin{cases} \frac{1}{\Delta t} & -\frac{\Delta t}{2} \leq t \leq \frac{\Delta t}{2} \\ 0 & \text{o.w.} \end{cases}$$

spike train convolve with ones (1, winSize) divided by winSize.

kernel:

$$r_{\text{approx}}(t) = \int_{-\infty}^{+\infty} w(\tau) p(t-\tau) d\tau. \quad (\text{linear filter}).$$

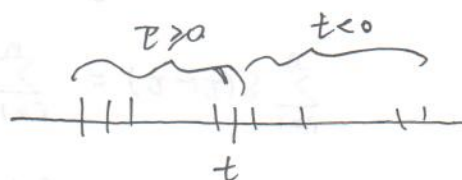
$$W(\tau) = \frac{1}{\sqrt{2\pi}\sigma\omega} e^{-\frac{\tau^2}{2\sigma\omega^2}}$$

pages

Causal: e.g. $W(\tau) = [\alpha^2 \tau e^{-\alpha\tau}]_+$.

$\tau < 0$: use spiking info after t :

$$[z]_+ = \begin{cases} z & z \geq 0 \\ 0 & \text{o.w.} \end{cases}$$



$$r_{\text{approx}}(t) = \int_{-\infty}^{+\infty} W(\tau) r(t-\tau) d\tau.$$

Tuning Curves.

$\langle r \rangle = f(s)$. neural response tuning curve.

3. What makes a neuron fire?

Spike-Triggered Average.

$C(\tau)$: Spike-triggered average stimulus, the average of the stimulus a time interval τ before a spike is fired.

$$C(\tau) = \left\langle \frac{1}{n} \sum_{i=1}^n s(t_i - \tau) \right\rangle \approx \frac{1}{\langle n \rangle} \left\langle \sum_{i=1}^n s(t_i - \tau) \right\rangle \quad (n \approx \langle n \rangle)$$

The response is typically affected by only the stimulus in a window a few hundred milliseconds wide immediately preceding a spike.

$$C(\tau) = 0 \quad (\tau < 0).$$

$C(\tau) = 0$. ($\tau > 0$ And. $\tau > \tau_c$ correlation time between stimulus and the response).

$$\sum_{i=1}^n S(t_i - \tau) = \int_{-\infty}^{+\infty} S(t) \delta(t - \tau) dt$$

$$S(t_i - \tau) = \int \delta(t_i - \tau - t) S(t) dt.$$

$$\sum_{i=1}^n S(t_i - \tau) = \sum_{i=1}^n \int \delta(t_i - \tau - t) S(t) dt = \int \sum_{i=1}^n \delta(t_i - \tau - t) S(t) dt \quad \text{let } t = t' - \tau$$

$$= \int \sum_{i=1}^n \delta(t_i - t') S(t' - \tau) dt' = \int \sum_{i=1}^n \delta(t' - t_i) S(t' - \tau) dt'$$

$$= \int P(t') S(t' - \tau) dt' = \int_{-\infty}^{+\infty} P(t) S(t - \tau) dt.$$

$$= \int_0^T P(t) S(t - \tau) dt. \quad S(t) = S(t + T). \quad \forall t.$$

$$C(\tau) = \frac{1}{\langle n \rangle} \left\langle \sum_{i=1}^n S(t_i - \tau) \right\rangle = \frac{1}{\langle n \rangle} \left\langle \int_0^T P(t) S(t - \tau) dt \right\rangle$$

$$= \frac{1}{\langle n \rangle} \int_0^T \langle P(t) S(t - \tau) \rangle dt = \frac{1}{\langle n \rangle} \int_0^T P(t) S(t - \tau) dt.$$

⊗

Correlation Function:

determining how two quantities that vary over time are related to one another.

$$Q_{rs}(\tau) = \frac{1}{T} \int_0^T r(t) \cdot S(t + \tau) dt$$

$$\left\{ \begin{array}{l} Q_{rs}(\tau) = \frac{1}{T} \int_0^T r(t) S(t + \tau) dt. \\ C(\tau) = \frac{1}{\langle n \rangle} \int_0^T r(t) \cdot S(t - \tau) dt. \Rightarrow C(-\tau) = \frac{1}{\langle n \rangle} \int_0^T r(t) S(t + \tau) dt \end{array} \right.$$

$$\Rightarrow \langle n \rangle \cdot C(-\tau) = T \cdot Q_{rs}(\tau). \Rightarrow C(\tau) = \frac{T}{\langle n \rangle} Q_{rs}(-\tau).$$

White-noise Stimuli:

its value at any one time is ~~unrelated~~ uncorrelated with its value at any other time.

Stimulus-stimulus correlation function:

$$Q_{ss}(\tau) = \int_0^T S(t) \cdot S(t+\tau) dt.$$

For white-noise stimulus, $Q_{ss}(\tau) = 0$. ($\tau \neq 0$). $Q_{ss}(\tau) = \sigma_s^2 \delta(\tau)$.

~~$Q_{ss}(0)$~~

4. Spike Train Statistics.

$P(z)$: probability density.

$P[\cdot]$: probability.

$$P[t_1, t_2, \dots, t_n] = P[t_1, t_2, \dots, t_n] (\Delta t)^n.$$

point process: a stochastic process that generates a sequence of events. The prob. of an event occurring at any

Renewal Process: given time depend on the entire history.

Poisson Process: only on the ~~preceding~~ preceding event.

no independence on ~~prev~~ preceding events.

Homogeneous Poisson Process.

$$\cdot \lambda(t) = \lambda.$$

$$S_n = \sum_{i=1}^n X_i.$$

$$\{N(t); t \geq 0\}$$

$$\{X_1, X_2, \dots\} \quad \{S_1, S_2, \dots\}$$

$$N(t) = n. \quad S_n \leq t < S_{n+1}. \quad X_i = S_i - S_{i-1}. \quad (i \geq 1)$$

↳ counting r.v. is defined as the number of arrivals in interval $(0, t]$.

$$\{S_n \leq t\} = \{N(t) \geq n\}.$$

$$\{S_n > t\} = \{N(t) < n\}.$$

$$\{S_1 > t\} = \{N(t) < 1\} = \{N(t) = 0\}$$

- a renewal process is an arrival process for which the sequence of inter-arrival times is a sequence of iid r.v.'s.
- A Poisson Process is a renewal process in which the inter-arrival times have an exponential distribution function.

i.e. for some $\lambda > 0$. $f_{X_i}(x) = \lambda e^{-\lambda x} \quad x \geq 0.$

λ : rate of the process.

• Memoryless Property:

$$X: \text{r.v.} \quad P(X > 0) = 1. \quad \forall x \geq 0, t \geq 0.$$

$$P(X > t+x) = P(X > x) \cdot P(X > t).$$

$$P(X > t+s | X > t) = P(X > s).$$

- Stationary increment~~ed~~ property of ~~a~~ a counting process $\{N(t); t \geq 0\}$ page 9
 $\forall t' > t > 0$. $N(t') - N(t)$ has the same distribution function as $N(t' - t)$.

$\tilde{N}(t, t') = N(t') - N(t)$. the number of arrivals in the interval $(t, t']$. ($t' > t$).

- independent increment property of a counting process $\{N(t); t \geq 0\}$.

$\forall k \in \mathbb{N}_+$. $\forall k$ -tuple. $0 < t_1 < t_2 < \dots < t_k$. $N(t_1)$, $\tilde{N}(t_1, t_2)$, \dots , $\tilde{N}(t_{k-1}, t_k)$ are statistically independent.

- Poisson Process. has both $\begin{matrix} \text{stationary \& independent} \\ \checkmark \end{matrix}$ increment. property(ies)

X_1, X_2 independent. $\Rightarrow f_{X_1, X_2}(x_1, x_2) = f_{X_1}(x_1) \cdot f_{X_2}(x_2)$.

$\textcircled{2} X_1 + X_2 = S_2$. $\Rightarrow f_{X_1, S_2}(x_1, s_2) = f_{X_1}(x_1) \cdot f_{X_2}(s_2 - x_1)$.

$\Rightarrow f_{X_1, S_2}(x_1, s_2) = f_{X_1}(x_1) \cdot f_{X_2}(s_2 - x_1) = \lambda \cdot e^{-\lambda x_1} \cdot \lambda e^{-\lambda(s_2 - x_1)} = \lambda^2 \cdot e^{-\lambda s_2}$. $0 \leq x_1 \leq s_2$.

~~$f_{X_1}(x_1)$~~ $f_{S_2}(s_2) = \int_{x_1} f_{X_1, S_2}(x_1, s_2) dx_1 = \int_0^{s_2} \lambda^2 e^{-\lambda s_2} dx_1 = \lambda^2 s_2 \cdot e^{-\lambda s_2}$.

$f_{X_1, \dots, X_n}(x_1, \dots, x_n) = \prod_{i=1}^n f_{X_i}(x_i) = \lambda^n \cdot e^{-\lambda \sum_{i=1}^n x_i}$. $S_n = \sum_{i=1}^n x_i$.

\Rightarrow ~~$f_{X_1, \dots, X_n}(x_1, \dots, x_n)$~~ $f_{X_1, \dots, X_n}(x_1, \dots, x_n) = \lambda^n \cdot e^{-\lambda S_n}$.

$f_{X_1, \dots, X_{n-1}, S_n}(x_1, \dots, x_{n-1}, S_n) = f_{X_1}(x_1) \cdot \dots \cdot f_{X_{n-1}}(x_{n-1}) \cdot f_{X_n}(S_n - x_1 - \dots - x_{n-1})$.

$= \lambda e^{-\lambda x_1} \cdot \dots \cdot \lambda e^{-\lambda x_{n-1}} \cdot \lambda e^{-\lambda(S_n - x_1 - \dots - x_{n-1})}$

$= \lambda^n \cdot e^{-\lambda x_1 - \lambda x_2 - \dots - \lambda x_{n-1} + \lambda x_1 + \dots + \lambda x_{n-1} - \lambda S_n}$

$= \lambda^n e^{-\lambda S_n}$.

~~$0 \leq x_i \leq S_n$. $i = 1, 2, \dots, n-1$~~

$$f_{S_n}(S_n) = \int_{x_1} \int_{x_2} \dots \int_{x_{n-1}} f_{x_1, \dots, x_{n-1}, S_n}(x_1, \dots, x_{n-1}, S_n) dx_1 dx_2 \dots dx_{n-1}$$

②

$$x_i \geq 0, \quad i=1, 2, \dots, n-1, \quad S_n - x_1 - \dots - x_{n-1} \geq 0.$$

$$S_1 = x_1, \quad S_2 = x_1 + x_2, \quad S_3 = x_1 + x_2 + x_3, \quad \dots, \quad S_n = x_1 + x_2 + \dots + x_n$$

$$S = (S_1 \ S_2 \ S_3 \ \dots \ S_n)' = \begin{pmatrix} 1 & 0 & 0 & \dots & 0 \\ 1 & 1 & 0 & \dots & 0 \\ 1 & 1 & 1 & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & 1 & 1 & \dots & 1 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{pmatrix}$$

$S = AX$. The joint density of a non-singular linear transformation

AX at $X=x$ is $f_X(x) / |\det A|$.

This is because the transformation A carries an incremental cube, δ on each side, into a parallelepiped of volume $\delta^n |\det A|$. $\det A = 1$ here.

$$f_{S_1, \dots, S_n}(S_1, \dots, S_n) = \lambda^n \exp(-\lambda S_n), \quad 0 \leq S_1 \leq S_2 \leq \dots \leq S_n.$$

- For a Poisson Process of rate λ , and for any $t > 0$. the pmf for $N(t)$ (i.e. the number of arrivals in $(0, t]$) is given by:

$$P_{N(t)}(n) = \frac{(N(t))^n e^{-\lambda t}}{n!}.$$

Non-homogeneous Poisson Process,

page 11

$$P(\tilde{N}(t, t+\delta) = 0) = 1 - \delta \lambda(t) + o(\delta).$$

$$P(\tilde{N}(t, t+\delta) = 1) = \delta \lambda(t) + o(\delta).$$

$$P(\tilde{N}(t, t+\delta) = 2) = o(\delta)$$

$$\tilde{N}(t, t+\delta) = N(t+\delta) - N(t).$$

does not have stationary increment property.

• $Y = g(X).$

$$F_Y(y) = P(Y \leq y) = P(g(X) \leq y) = P(X \leq g^{-1}(y)) \\ = F_X(g^{-1}(y)).$$

$$\Rightarrow f_Y(y) = F_Y'(y) = \frac{dF_X(g^{-1}(y))}{dy} = \frac{dF_X(g^{-1}(y))}{dg^{-1}(y)} \cdot \frac{dg^{-1}(y)}{dy} \\ = f_X(g^{-1}(y)) \cdot \frac{dg^{-1}(y)}{dy}.$$

(Random):

$$S_n = X_1 + X_2 + \dots + X_n. \quad S = AX. \quad A \text{ invertible.}$$

$$S = g(X).$$

$$f_{S_1, \dots, S_n}(s_1, \dots, s_n) = f_{X_1, \dots, X_n}(A^{-1}s) \cdot \frac{dg^{-1}(s)}{ds}.$$

$$= f_{X_1, \dots, X_n}(x_1, \dots, x_n) \cdot 1$$

~~dA~~

$$\lim_{\delta \rightarrow 0} \frac{A(x+\delta) - Ax}{\delta}$$

$$\lim_{\delta \rightarrow 0} \frac{A(x+\delta) - Ax}{\delta}$$

$$P_T[n] = \frac{(rT)^n}{n!} e^{-rT}.$$

$n \uparrow \rightarrow$ Gaussian.

$$\begin{aligned} \text{Mean: } \sum_{n=0}^{\infty} n \cdot P_T[n] &= \sum_{n=1}^{\infty} P_T[n] = \sum_{n=1}^{\infty} n \cdot \frac{(rT)^n}{n!} e^{-rT} = e^{-rT} \sum_{n=1}^{\infty} \frac{(rT)^n}{(n-1)!} \\ &= (rT) e^{-rT} \sum_{n=1}^{\infty} \frac{(rT)^{n-1}}{(n-1)!} = (rT) \cdot e^{-rT} \sum_{n=0}^{\infty} \frac{(rT)^n}{n!} = rT \cdot e^{-rT} \cdot e^{rT} \\ &= rT. \end{aligned}$$

$$\begin{aligned} \text{Variance: } \sum_{n=0}^{\infty} n^2 P_T[n] - (rT)^2 &= \sum_{n=0}^{\infty} n^2 \cdot \frac{(rT)^n}{n!} e^{-rT} - (rT)^2 = \sum_{n=1}^{\infty} n^2 \cdot \frac{(rT)^n}{n!} e^{-rT} - (rT)^2 \\ &= rT e^{-rT} \sum_{n=1}^{\infty} n \cdot \frac{(rT)^{n-1}}{(n-1)!} - (rT)^2 = (rT) \cdot e^{-rT} \sum_{n=1}^{\infty} n \cdot \frac{(rT)^{n-1}}{(n-1)!} - (rT)^2 \\ &= (rT) e^{-rT} \sum_{n=0}^{\infty} (n+1) \cdot \frac{(rT)^n}{n!} - (rT)^2 \\ &= (rT) e^{-rT} \left[\sum_{n=0}^{\infty} \frac{(rT)^n}{n!} + \sum_{n=1}^{\infty} \frac{(rT)^n}{(n-1)!} \right] - (rT)^2 \\ &= (rT) e^{-rT} [e^{rT} + rT \cdot e^{rT}] - (rT)^2 \\ &= rT + (rT)^2 - (rT)^2 = rT. \end{aligned}$$

□.

Fano Factor: $\frac{\sigma_n^2}{\langle n \rangle}$

~~Fano Factor = 1 \leftrightarrow homogeneous Poisson Process.~~

$$F_X(x) = \int_0^x \lambda e^{-\lambda t} dt = - \int_0^x e^{-\lambda t} d\lambda t = -e^{-\lambda t} \Big|_0^x = -(e^{-\lambda x} - 1) = 1 - e^{-\lambda x}.$$

$$P(X > x) = e^{-\lambda x}.$$

$$P[\tau \leq t_{i+1} - t_i < \tau + \Delta t] = e^{-r\tau} \cdot e^{-r\Delta t}$$

$$\frac{(r\Delta t)^n}{n!} e^{-r\Delta t}$$

page 13

$$= \frac{(r\tau)^0 e^{-r\tau}}{0!} \cdot \frac{(r\Delta t)^1 e^{-r\Delta t}}{1!} = r\Delta t \cdot e^{-r\tau} e^{-r\Delta t}$$

$$= r\Delta t e^{-r\tau}$$

$$\sum_{n=0}^{\infty} \frac{(-r\Delta t)^n}{n!}$$

τ : interspike interval.

$$= 1 - r\Delta t + \frac{(r\Delta t)^2}{2!} + \dots$$

$$\tau \sim \begin{cases} r e^{-r\tau} & \tau \geq 0 \\ 0 & \text{o.w.} \end{cases}$$

$$\langle \tau \rangle = \int_0^{+\infty} \tau \cdot r e^{-r\tau} d\tau = - \int_0^{+\infty} \tau d e^{-r\tau} = - \left[\tau e^{-r\tau} \Big|_0^{+\infty} - \int_0^{+\infty} e^{-r\tau} d\tau \right] = - (0 - 1) = 1$$

$$= - \int_0^{+\infty} \tau d e^{-r\tau} = - \left(\tau e^{-r\tau} \Big|_0^{+\infty} - \int_0^{+\infty} e^{-r\tau} d\tau \right)$$

$$= - \left(0 - 0 + \frac{1}{r} \int_0^{+\infty} d e^{-r\tau} \right)$$

$$= - \frac{1}{r} e^{-r\tau} \Big|_0^{+\infty} = - \frac{1}{r} (0 - 1) = \frac{1}{r}$$

$$\sigma_\tau^2 = \int_0^{+\infty} \tau^2 \cdot r e^{-r\tau} d\tau - \frac{1}{r^2} = - \int_0^{+\infty} \tau^2 d e^{-r\tau} - \frac{1}{r^2}$$

$$= - \left(\tau^2 e^{-r\tau} \Big|_0^{+\infty} - \int_0^{+\infty} e^{-r\tau} 2\tau d\tau \right) - \frac{1}{r^2}$$

$$= 2 \int_0^{+\infty} \tau e^{-r\tau} d\tau - \frac{1}{r^2}$$

$$= \frac{2}{r} \int_0^{+\infty} \tau e^{-r\tau} d\tau - \frac{1}{r^2} = \frac{2}{r} \cdot \frac{1}{r} - \frac{1}{r^2} = \frac{1}{r^2}$$

Coefficient of variation

$$C_V = \frac{\sigma_\tau}{\langle \tau \rangle} = \frac{\frac{1}{r}}{\frac{1}{r}} = 1$$

Homogeneous
Poisson Process.

Spike-Train Autocorrelation Function.

page 14.

useful for detecting patterns in a spike train, most notably, oscillations.

$$\langle r \rangle = \frac{\langle n \rangle}{T}$$

~~$$Q_{PP}(\tau) = \frac{1}{T} \int_0^T \langle P(t) \langle P(t+\tau) \rangle \rangle dt$$~~

$$Q_{PP}(\tau) = \frac{1}{T} \int_0^T \langle (P(t) - \langle r \rangle)(P(t+\tau) - \langle r \rangle) \rangle dt$$

Inhomogeneous Poisson Process.

$$0 \leq t_1 \leq t_2 \leq \dots \leq t_n \leq T.$$

$$p[t_1, t_2, \dots, t_n] = \exp\left(-\int_0^T r(t) dt\right) \prod_{i=1}^n r(t_i).$$