- Speakers of diverse languages structure their utterances for efficient communication
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9 Abstract

What role does communicative efficiency play in how we organize our utterances? In this

paper, we present a novel method of examining how much information speakers in a given

language communicate in each word in their utterances, surveying numerous diverse

languages. We find that speakers produce frequent and informative words at regular parts of

their utterances, depending on language they use, which is predictable in part from the

15 features and genealogy of their language. This robust information distribution characterizes

both spoken and written communication, and emerges in children's earliest utterances.

17 However, in real-time communication, in-context word predictability allows listeners to

process information at a constant, optimal rate, regardless of the information distribution in

19 the language they understand.

20 Keywords: keywords

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23 Introduction

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One of the defining features of human language is its power to transmit information.

We use language for a variety of different proximal tasks tasks: greeting friends, taking notes,
signalling group identities. But all share a common unifying purpose: changing the mental
state of the listener or reader of our communications (Austin, 1975). Language can thus
naturally thought of as a code that allows speakers to turn their intended meanings into a
form that can be transmitted through the air (or paper, or electrons) and subsequently
converted by listeners back into an approximation of the intended meaning (Shannon, 1948).

Beyond its utility as a metaphor, this coding perspective on language is powerful
because it affords a framework for rational analysis: If language has evolved to be an optimal
code for information transmission, how should this code be structured (Anderson & Milson,
1989)? The optimal code would have to optimize two competing pressures: (1) a pressure for
listeners to easily and successfully decode messages sent by the speaker, and (2) a pressure
for speakers to easily code their messages and transmit them with minimal effort and error.
A fundamental constriaint on both of these processes is the serial nature of human language:
sounds are produced one at a time and each is unavailable perceptually once it is no longer
being produced.

The solution that languages have arrived on is incremental processing: Listeners
process speech continuously as it arrives, predicting upcoming words and building
expectations about the likely meaning of utterances in real-time rather than at their
conclusion (Kutas & Federmeier, 2011; Pickering & Garrod, 2013; Tanenhaus,
Spivey-Knowlton, Eberhard, & Sedivy, 1995). Because prediction errors can lead to severe
processing costs and difficulty integrating new information, speakers should seek to minimize
prediction errors. However, the cost of producing more predictable utterances is using more
words. Thus, an optimal coding strategy is for speakers seeking to minimize their production

- costs is to produce utterances that are are just at the prediction capacity of listeners without exceeding this capacity (Aylett & Turk, 2004; Genzel & Charniak, 2002).
- Using information theory—a mathematical framework for formalizing
  predictability—researchers have tested and confirmed this general prediction of optimal
  coding across levels and contexts of language production. For instance, Genzel and Charniak
  (2002) provided a clever indirect test of this hypothesis at the level of sentences. They
  showed that the predictability of successive sentences in a discourse—analyzed in
  isolation—decreases, as would be expected if readers use prior sentences to predict the content
  of future sentences and total predictability remains constant.
- the predicted if the amount of information is constant readers use prior sentences in a discourse to predict the content of future setences as they read.
- This prediction of optimal coding—that speakers should maintain a constant rate of predictability has been tested and confirmed in a variety of ways across levels of language.
- Coding/decoding and information transfer
- language as prediction
- how should the code be structured? optimization questions like this have a had \* a lot
   power across different parts of language: zipf, kemp & regier, etc etc. noisy channel
   stuff. (or does this go in discussion)
- don't under or overutilize channel, stay near limit constant entropy rate
- evidence for this at multiple levels from individual lexical choice \*(info/information theory paper), inclusion of non-obligatory aspects of language (filled pauses, that), across multiple utterances in a discourse
- what about over the course of an individual utterance—perhaps the strongest constraints from language itself?
- yu et al. found this puzzling effect.

- we replicate that in a different kind of analysis and show that it extends to trigrams
  etc???
  - BUT variation cross-linguistically. why? constraints from language.
- Due to their differences in distributional frequency, words vary in how much information content they contain (Shannon, 1948). Frequency is a meaningful predictor of word length: the more common the word, the shorter the word tends to be (Zipf, 1949). Over and above frequency, contextual information content predicts word length, due to speakers minimizing effort in the amount of information they encode in speech (Piantadosi, Tily, & Gibson, 2011). Speakers tend to smooth out their information to a more uniform rate within utterances that allows for easier decoding on the listener side (Jaeger & Levy, 2007). Across utterances, context allows speakers to produce more informative and surprising sentences later in communication. The later a sentence occurs in a paragraph, the the informative it is and the more unusual and surprising words it contains (Genzel & Charniak, 2002). From the level of individual words to sentences in paragraphs, information content shapes and is shaped by the context it appears in.
- The pressure for efficient communication influences language at all timescales, from
  online speech production to evolution. Mahowald, Fedorenko, Piantadosi, and Gibson (2013)
  found that speakers tend to use shorter, more efficient word forms in predictive contexts,
  minimizing communicative cost without sacrificing intelligibility. Predictability and
  ambiguity shape the distribution of color terms in languages (Gibson et al., 2017) as well as
  word lengths (Piantadosi et al., 2011) across languages, and speakers are able to
  deterministically decode words despite ambiguity in word forms (Piantadosi, Tily, & Gibson,
  2012).
- How do frequency and predictive context determine how we distribution information at the level of utterances? We do not speak only in phrases or paragraphs, but conversationally we speak in utterances and in writing we produce sentences as a coherent unit of a complete

thought. Languages possess very different grammatical and morphological structures—the
same thought can be encoded in at least as many ways as there are different languages. The
average rate of information speakers transfer in syllables differs from language to language
(Aylett & Turk, 2004). How do the different structures seen in different languages, with
different rates of information encoding, affect how speakers structure information in
production?

Yu, Cong, Liang, and Liu (2016) proposed a novel method for quantifying the amount of information typically found at each part of English utterances. Treating each word position by itself, Yu et al. (2016) calculated the Shannon entropy (a measure of uncertainty) over the words of each position, producing an estimate for the amount of uncertainty reduced once the listener hears the words used in a given position, related to the Shannon concept of information as a reduction in uncertainty (Shannon, 1948). They found a distinctive three-step shape to the distribution of information in English written sentences.

In this paper, we expand on this body of prior work in a number of novel ways. We 112 replicate the results from Yu et al. (2016) with a different metric, one intimately tied to 113 incremental word processing. We find the same distribution of information based on word 114 frequency in English adult speech as in English adult writing, as well as in English child 115 speech. We also apply a contextual version of the same metric, and find that in 116 communication, listeners are able to decode information from the utterances they hear at a 117 constant rate past the initial words. We expand the study of information density to the 118 largest set of languages yet considered, and incorporate contextual and typological information. We find that each language has a characteristic, robust frequency-based 120 information distribution tied to the language's morphology, phonology and syntax. Speakers of a language will tend to distribute information according to that language's distribution of information in their utterances. As soon as a child can form multi-word utterances in a given 123 language, they will produce utterances obeying the characteristic distribution of information in a given language.

126 Methods

Shannon (1948) defined information as "the reduction in uncertainty about one variable given that the value of another variable is known". We use a metric proposed by Shannon and applied by Levy (2008): lexical surprisal, which defines the information in word based on the ratio of possible continuations of the sentence after to before the word is seen. Equivalently, we can compute surprisal with the predictability of the word, as in the formula below. The surprisal of a word is inversely proportional to the predictability of a word, such that less common and less predictable words carry more information.

$$surprisal(word) = -logP(word)$$

The surprisal of a word is also correlated with the processing cost of a word, with 134 evidence from eye-tracking (Smith & Levy, 2013) and ERP (Frank, Otten, Galli, & Vigliocco, 135 2015) studies, among other sources. Considered without context, the surprisal of an individual word is inversely proportional to the frequency of that word, so that the less often 137 a person has seen a word, the more information that word holds. For example, "flower" has 138 less information than "azalea" because "flower" is much more common than "azalea". 139 Though the two words have the same length in number of letters, it's more difficult to 140 process "azalea" when reading it here than when reading "flower". Frequency is intimately 141 tied information content in words, with much of the differences between words frequencies 142 being explained by information content cross-linguistically (Piantadosi et al., 2011). 143

However, when reading or listening, people don't just consider each word as an isolated linguistic signal. Instead, listeners use the words they have already heard to predict and decode the word they are currently processing. Following this incremental processing paradigm, we can also condition the surprisal of a word in its context. In the formula below,

widenotes the word currently being read or heard, while wi-1denotes the first word before the current word, wi-2denotes the second word before the current word, and so on.

$$surprisal(w_i|w_{i-1}w_{i-2}...) = -logP(w_i|w_{i-1}w_{i-2}...)$$
$$= -log\frac{P(w_i, w_{i-1}w_{i-2},...)}{P(w_{i-1}w_{i-2}...)}$$

When we use a word or two of context in our surprisal calculations, then the set of 151 reasonable final items in our ngrams is greatly restricted. "Flower" may contain less 152 information than "azalea" when we consider the words independently of their context, but 153 with context this can be reversed. Flower appears in a variety of contexts, and so the 154 information content of a word like "flower" in a particular context may be higher than 155 "azalea". If you only have azaleas in your garden, then hearing someone say "in that garden, 156 look at the flowers" may be higher surprisal for you: you expect them to say "azalea". This 157 prediction does not need many words before to work out, for example in "I take my coffee 158 with cream and sugar". When you hear "cream and", you automatically predict "sugar" or 159 maybe "honey", but there are few possible continuations with even those two words. Hearing 160 "I" restricts the next word to a verb, or possibly an adverb, and since you have just heard 161 the speaker refer to themselves in the first person singular, your set of possible completions is 162 significantly restricted. 163

To find the distribution of information within a corpus, we calculate the surprisal or contextual surprisal for each unique word or sequence of words within the corpus. We take all utterances of a given length, and for each word position in utterances of that length, we compute the average of the surprisals for all of the non-unique words that occur in that position, conditioned or not conditioned on context. By computing these averages for each word position in an utterance, we compute a low-dimensional approximation to the average distribution of information in the corpus. With the surprisal metric, we base the information

contained in each word on how often the word is encountered in its context in the corpus. As long as the corpus is representative of the language or population we study, then the distribution of information is approximated for that language or population as a whole.

The flexibility of the surprisal metric we employ in this paper allows us to calculate the anticipated information for an individual utterance, as most work with the metric has done in the past. Averaging together the surprisal values for a word position within utterances is actually a step further than prior work, and indicates the tendencies speakers gravitate towards instead of examining individual stimuli in psycholinguistic experiments.

The frequency-based surprisal metric gives us an idea of when in their utterances
speakers say frequent i.e. independently information-rich words. The context-based surprisal
metric show us how speakers tend to distribute the information in utterances relative to
real-time processing in communication. We expect a priori that our frequency-based
surprisal curve will be flat. No one part of the sentence will on average have words that are
more frequent than another across utterance lengths. Similarly, we expect that there will be
a small smoothing effect for our contextual surprisal metric.

#### Written and Spoken English have the same information distribution

We first turn to working with written English in the British National Corpus (BNC; 187 Leech, 1992). The BNC is a collection of spoken and written records (90% written) from the 188 turn of the century, intended to be a representative sample of British English. Using their 189 word entropy metric without context, Yu et al. found a distinctive three-step distribution for information in written English sentences in the corpus. The first word tended to contain 191 little information. While the middle words of sentences each had more information than the first word, information was flat and non-increasing across the middle of sentences. The final 193 word, they found, contained the most information out of any in the sentence, with a 194 noticeable spike in information. They found the same distribution across sentence lengths, 195

from sentences with 15 words to sentences with 45 words.

We first replicate the Yu et al. (2016) result using the surprisal metric in place of the
entropy metric. We use the frequency-based or "contextless" surprisal metric, which
determines the average distribution of information based on word frequencies in a corpus. A
priori we expect that the frequency-based metric will produce a flat distribution of
information across word positions in the BNC.

See in Figure @ref(fig:bnc\_unigrams) a qualitative comparison of the information trajectory they found and the information trajectory we found. It is easily observable that the two information trajectories are identical, and we have found the same distribution of information in the BNC as Yu et al. (2016) did. The first words in written English sentences tend to contain little information, while the final words tend to contain a great deal of information.

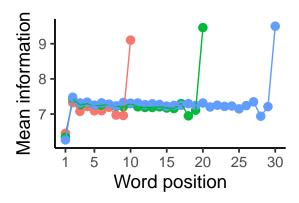


Figure 1. (#fig:bnc unigrams)BNC frequency-based information curves

However, this only characterizes written English sentences. If this information
distribution is characteristic more generally of English, then we will find the same
distribution for spoken English. We use the Switchboard corpus of spoken English telephone
conversations between adults to compute the distribution of information across spoken
utterances in English. The sentences in the BNC, compared to the conversations in
Switchboard, feature much longer sentences with larger vocabularies and more complex

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sentence structures. We split the conversations in Switchboard not by sentences, as in the BNC, but by turns.

For Switchboard we find the same information trajectory as we did for the BNC, and we find the same distribution of information regardless of utterance length. The longer the utterance, the more pronounced the information distribution shape becomes. A qualitative comparison of the two trajectories is in Figure @ref(fig:switchboard\_unigrams).

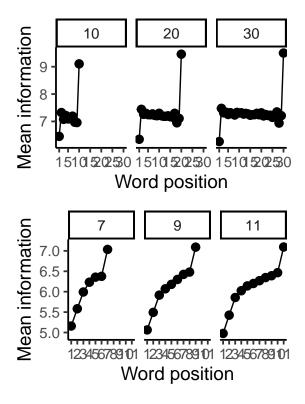


Figure 2. (#fig:switchboard\_unigrams)Switchboard frequency-based information curves

We have found a unique average distribution of information that appears to characterize the English language as a whole, regardless of medium (spoken or written) or utterance length. This distribution indicates that in English, the words we speak or write at the beginnings of utterances have little information, while the words we speak or write at the ends of utterances have a lot of information. The words in the middle of utterances have a middling amount of information, without the increasing trend in information from word to word that we might expect from (Genzel & Charniak, 2002).

What about context? So far we've only discussed the frequency-based metric, 227 considering words on their own without any explicit incorporation of prior context. As 228 previously discussed, listeners decode information and process what they hear incrementally, 229 using prior heard words to ease the comprehension process. We now include one word of 230 context (bigrams) and then two words of context (trigrams) for each word in our 231 measurements. We observe a flattening effect of context across both modalities and all 232 speaker populations. After the first word or two, where the listener does not have access to 233 prior context, then they decode information at a flat and more or less uniform rate. The 234 contextual information curves for the BNC and Switchboard are in Figures 235 @ref(fig:switchboard bigrams) and @ref(fig:switchboard trigrams). 236

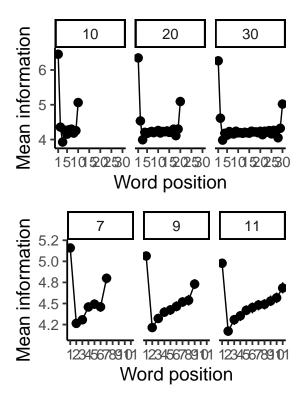


Figure 3. (#fig:switchboard\_bigrams)Switchboard bigram context-based information curves

Speakers produce information at a more or less constant rate, avoiding peaks or troughs in their information distribution, except at the beginnings of utterances, where listeners may not have any context to predict what the speaker is going to say. Speakers of

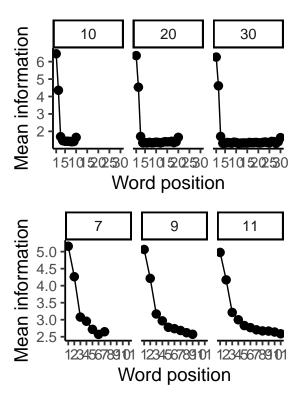


Figure 4. (#fig:switchboard\_trigrams)Switchboard trigram context-based information curves

English tend towards a characteristic and uneven distribution of word information based on 240 frequencies within their utterances. Their interlocutors, however, once they have a word or 241 two of context, decode information at a more or less constant and optimal rate. 242

# English CDS and child speech

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We found that English speakers and writers used the same robust and distinctive distribution of information within each utterance, regardless of the number of words in their utterances. To determine if this distribution truly characterizes all speakers of the English language as a whole, we wanted to examine speech from English-speaking children who are producing their very first multi-word utterances. We hypothesize the three-step distribution of information we found for English will characterize child speech and child-directed speech.

We use the Providence corpus from CHILDES (Evans & Demuth, 2012; MacWhinney, 250 2000), which consists of about 650000 utterances from parent-child conversations for six

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monolingual English-speaking children talking with the parents. We obtained the Providence 252 corpus using the childesr frontend to the childes-db database (Sanchez et al., 2019). The 253 child age range was between 11 months and 4 years. Sessions recorded in the home. Parents 254 said a majority of the utterances, but children take up an increasing share of the 255 conversation as they grow older and are actually able to speak full utterances. The 256 utterances in the Providence corpus are on average significantly shorter than those in the 257 BNC and Switchboard; over 90% of the utterances in the Providence corpus are at most 10 258 words long, with the median at around 6 words. Similar to our treatment of the Switchboard 259 corpus, we split the Providence corpus up by conversational turns and separate utterances. 260

We observe the same distinctive distribution of information for parents and children in the Providence corpus as we did for adults in the BNC and Switchboard. The distribution of information we found at the level of individual words in English, therefore, characterizes the English language as a whole and not only adult utterances, not only written utterances. See @ref(fig:providence\_unigrams).

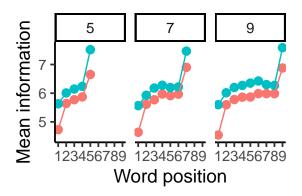


Figure 5. (#fig:providence\_unigrams)Providence frequency-based information curves. Lines around each point indicate 95% confidence intervals computed with non-parametric bootstrap

What about context in the Providence corpus? When incorporating one or two words of predictive context, we observe the same trend as in the Switchboard and BNC corpora.

Beyond the first couple of words, once your interlocutor has enough context to predict with some accuracy what you will say next, then you decode information from their speech stream

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at a constant and optimal rate. This applies to parents and children speaking to one another, as well as adults speaking and writing to one another. See @ref(fig:providence\_bigrams) and @ref(fig:providence\_trigrams).

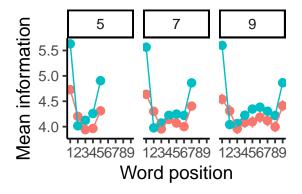
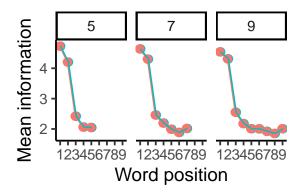


Figure 6. (#fig:providence\_bigrams)Providence bigram context-based information curves

## Child speech and CDS across languages

So far, we have only looked at the distribution of information in words in English, both with and without context. We have examined child speech, child-directed speech and adult-directed speech, as well as writing samples selected to be representative of British English as a whole. But this only captures the picture for English.

We now turn to a small number of typologically diverse languages, and conduct the 278 same analysis, using a monolingual adult-child speech corpora from CHILDES (MacWhinney, 279 2000) to compare the results from these languages directly to our results from the English 280 Providence corpus. We use corpora for Spanish, German, French, Mandarin and Japanese. 281 Similarly to the English Providence corpus, all of the following corpora consist mainly of 282 shorter utterances: most utterances in the corpora are under 10 words long. For Spanish, we use the corpus of conversations between primary school-aged children between 6 and 9 years 284 old and the researchers, in which the children were asked to recite stories in their native Spanish (Shiro, 1996). The students came from working class public schools and upper class 286 private schools. For German, we use the Wagner corpus (Wagner, 1985). This corpus 287 consists of a collection of mini-corpora collected from socio-economically diverse families, 288



 $Figure~7.~(\# fig:providence\_trigrams) Providence~trigram~context-based~information~curves$ 

with children aged from 1;0 to 14;0, mainly on the younger end of that range. The corpus 280 mainly consists of parent-child conversations between the children and their primary 290 caregivers. For French, we use the Palasis corpus (Palasis, 2009), a longitudinal study of 291 children between the ages of 2;5 and 4;0 from the same kindergarten class. The conversations 292 in this corpus take place between the children and other children in the same class, and 293 between the children and the investigator. For Mandarin Chinese, we use the Zhou corpus of 294 dinner conversations from the Shanghai area (Li & Zhou, 2015). The children in this corpus 295 are 5 or 6 years old, and half come from working class families (the other half from upper class families). For Japanese, we use the Okayama corpus (Shirai, 2001). This corpus 297 consists of conversations between children between the ages of 2;2 and 4;2 and their mothers.

We observe a distinct and characteristic frequency-based information trajectory emerge for each language, robust across each utterance length within each language. We see the same distribution of information for both parents and children in each language, with the child's curve normally below the parent's curve, likely due to the parent speaking a larger share of the utterances in the corpus and using a larger vocabulary than the child. We see the opposite amplitude trend in the Shiro corpus, where the children speak more than the adult investigators as the children are the ones telling the stories. We include the frequency-based information curve from the Providence corpus for comparison.

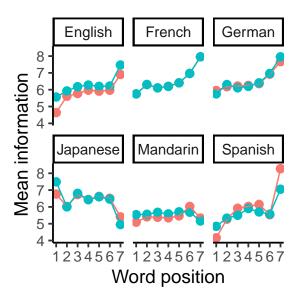


Figure 8. CHILDES frequency-based information curves

English, Spanish, French and German feature similar information curves shapes, with slight variations. The German information curve features lower information for longer towards the beginnings of utterances, possibly due to the grammatical restriction that the second word in German utterances must be a verb (V2). Spanish features a larger spike in the amount of information in the final words of utterances. For Japanese and Mandarin, we observe completely different frequency-based information curve trajectories. The Japanese frequency-based information curve trajectory begins high and finishes low, the mirror image of the European language information curves. The Mandarin curve begins low and finishes low, but features high information in the middle of utterances. We hypothesize this may be due to both Japanese and Mandarin speakers typically ending their utterances with particles, which contain very little information on their own. The penultimate noun with the speakers pair the particles are where the information lies.

For the bigram and trigram information curves, we see the same contextual smoothing
effect as for all the corpora we worked with in English. While the frequency-based
information curves may depend based on the language, the contextual information curves
show the same trajectory cross-linguistically. Using more than two words of context is

difficult for parent-child speech corpora because the utterances are so short on average (less than 10 words). We hypothesize that the frequency-based information curves may vary based on the genealogy and typology of the languages in question, but this does not extend to the information curves with two words of context in particular.

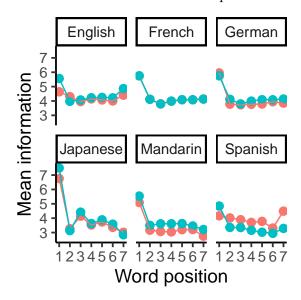


Figure 9. CHILDES bigram context-based information curves

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#### Language structure and large-scale data analysis: methods and preprocessing

To make a claim about language as a whole and languages on a larger scale, we needed 328 to use larger corpora and a much larger number of languages. We pulled corpora for 159 329 diverse languages from Wikipedia, each of which had at least 10000 articles on the 330 knowledge base. We split each article up into sentences; the variance in sentence lengths for 331 Wikipedia was significantly larger than for the CHILDES corpora we used in the previous 332 section. Most sentences in Wikipedia contained between 10 and 30 words, unlike the 333 CHILDES corpora which mainly contained utterances with under 10 words. We excluded the 334 small fraction of utterances with more than 50 words since they were small in number and, 335 from manual inspection, uncharacteristic of typical written sentences. 336

How do we analyze more than 40 different surprisal curves for each language, adding up to several thousand surprisal curves total? We used two different strategies, which yielded

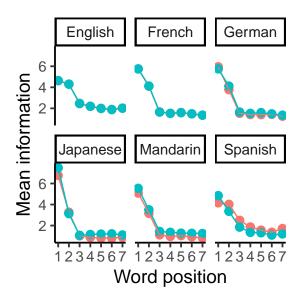


Figure 10. CHILDES trigram context-based information curves

identical results upon analysis. Each strategy gave us a five-dimensional vector space embedding for each language in a Wikipedia "slope space". For the first strategy, we split 340 each sentence length by number of words into fifths, and computed surprisal values for the 341 closest word position to each quintile. We then computed the slopes between the surprisal 342 values at neighboring quintiles, yielding five slope values for each curve. For the second strategy, we split each sentence length by number of words into sections based on those areas of the surprisal curves that had seemed most important before: between the first and second 345 word; between the second and third word; between the third word and third-to-last word; between the third-to-last word and the second to last word; and between the second-to-last 347 word and the last word. We then similarly computed surprisal values at each of these 348 positions, and similarly computed slopes between the surprisal values at each position, giving 349 us another five slope values for each language summarizing the surprisal curves. Illustrations 350 of these two strategies are in Figure 11 351

We computed unigram, bigram and trigram values for each language, and grouped the contextual and frequency-based values for each treatment.

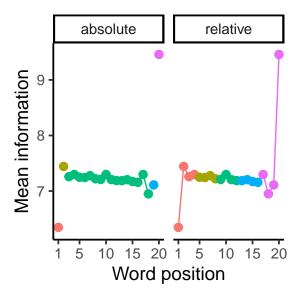


Figure 11. Illustration of slope treatments for Wikipedia information curves: relative on top and absolute on bottom

To more rigorously described the differences between languages, we used data from the 354 World Atlas of Language Structures (WALS; Dryer & Haspelmath, 2013). WALS has data 355 for 144 typological features in 2569 languages around the world. These features describe 356 aspects of morphology, syntax, phonology, etymology and semantics-in short the features 357 describe the structures in each language. As WALS is a compiled database from dozens of 358 papers from different authors, most of the features and languages are fairly sparse. Even 350 limiting ourselves to the 159 language corpora we pulled from Wikipedia and 122 features 360 from WALS, there are nearly 20000 individual possible feature values, fewer than half of 361 which were already inputted for those languages in the WALS database. 362

We used the Multiple Imputation Multiple Correspondence Analysis algorithm

(MIMCA; Audigier, Husson, & Josse, 2017) to fill in the missing data using statistical

imputation. MIMCA essentially uses mean imputation to begin with the missing values,

then performs repeatedly performs principle components analysis on and reconstructs the

contingency table formed from observations in categorical variables. By the end of this we

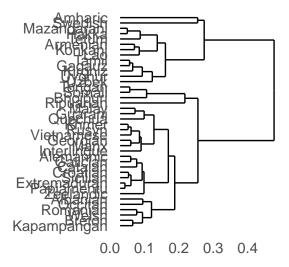
had frequency-based and contextual information curves for the 159 language corpora pulled

from Wikipedia, along with 122 typological features for each language.

However, the WALS features describe specific structural differences between languages, 370 while our surprisal metric is lexical. To target lexical differences between languages, we 371 computed the average normalized Levenshtein distance (LDN; Holman et al., 2008) over the 372 40 item Swadesh list (Swadesh, 1955), retrieved from the ASJP database (Wichmann et al., 373 2016). The Swadesh list is designed to include near-universal words that target basic 374 cognitive concepts, and are useful in determining the genealogical similarities and differences 375 between languages. The results of classifying languages using the Swadesh list and LDN are 376 correlated with those using WALS features, but the Swadesh list and LDN do not suffer from 377 the same sparsity problem as WALS (Holman et al., 2008). 378

### Language structures and large-scale data analysis: results

We ran a hierarchical clustering algorithm on the unigram information curves using the 380 hclust package from the R stats core library (Team & others, 2013). We used the complete 381 linkage algorithm for hierarchical clustering with distances between information curves in 382 language computed using cosine distance between their embeddings in the slope space. The 383 complete linkage algorithm at every step pairs each language or cluster of languages with its 384 closest neighboring language or cluster. A sample from the dendrogram is shown in Figure ??, and the full tree can be constructed using the code in our GitHub repository. The language family-like structure can be seen at first glance, although the dendrogram does not exactly replicate language genealogy for all 159 languages. This suggests using a first-pass 388 quantitative method that the information curves do correspond in some measure to language 380 families, but language families do not explain the entire story. 390



A sample of the contextual information curves (two words of context) are plotted in Figure @ref(fig:wiki\_trigrams), and all trigram information curves for the languages we used follow the same pattern. The first few words in utterances for each language are surprising, but with even a couple words of predictive context for each word, the amount of information in each word drops off and speakers produce information at a constant, optimal rate.

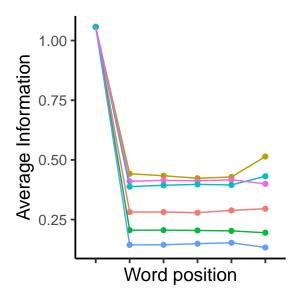


Figure 12. (#fig:wiki\_trigrams)Some trigram information curves from the Wikipedia data

First we examined the effects of individual typological features on the shapes of the unigram information curves. We ran logistic regressions using the lme4 package in R (Bates, Mächler, Bolker, & Walker, 2014), checking whether the cosine distance between two

languages' embeddings in the slope space played a role in determining if they had the same 400 value for a given WALS feature. Individual WALS features do not necessarily have ordinal 401 values. Some, such as the "Number of Cases" feature, are easy to quantify and order. Others 402 are more difficult. For example, how does one order "relative clauses appear after the nouns 403 they modify", "relative clauses appear before the nouns they modify" and "free order of 404 relative clauses and nouns"? We chose the identify relation to avoid deciding on the basis of 405 individual features. We found that 100 out of the 120 features from WALS we examined was 406 statistically significant (p < .001) in determining whether two languages had the same shape 407 to their unigram information distributions. The results for some important features are in 408 Figure @ref(fig:linear models), and the rest of the results are in the Appendices.

mportant Features	Exam
lative Clause and Noun	Noun-RC;
mber of Cases	No cases
ubject, Object and Verb	SOV;
phological Imperative	Only singular; s
efinite Articles	Affix; dist
on of Case Affixes	Prefixes;

Figure 13. (#fig:linear models)Some linear model results from Wikipedia and WALS features

We next compared how the cosine distance between two languages related to how many WALS features they had in common.  $r^2$  value is .005734, which suggests that in aggregate there is not a correlation between how many WALS features languages have in common and the similarity of their frequency-based information curves. Figure  $rac{1}{2}$  @ref(fig:cosine\_wals) displays the results. This result is surprising based on the significance of many WALS features in predicting the shapes of the frequency-based information curves, and we return to this result in the general discussion.

For lexical features, we see a stronger correlation between the similarity of two

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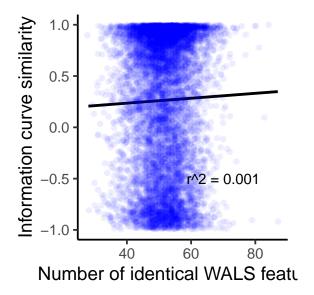


Figure 14. (#fig:cosine\_wals)wals features vs cosine similarity

languages in terms of their average LDN and the cosine distance between their information curves. Figure @ref(fig:cosine\_ldn). We see a higher  $r^2$  value here of .026, indicating that there is more correspondence between a language's lexical similarity to another language and their similarity in information curves.

From these typological investigations, we conclude that the shape of a language's frequency-based information curve covaries with its typological and lexical similarity to other languages.

425 Discussion

By considering the distribution of information at the level of utterances and sentences,
we join together the information-theoretic work focusing on sub-word units and words, and
that focusing on paragraphs. In doing so, we show that frequency and context-based metrics
complement one another in studying efficiency and information in language. We directly link
linguistic efficiency in a language to the genealogy and properties of that language, We
provide evidence for a novel linguistic universal: low processing cost for listeners beyond the
first words in utterances, driven by high average word predictability in conversation. Also

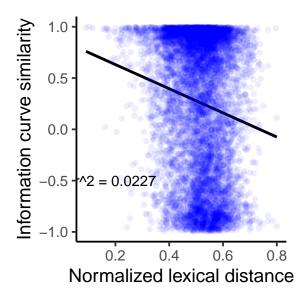


Figure 15. (#fig:cosine\_ldn)ldn features vs cosine similarity

considering the developmental angle, observing language-specific information distributions arising as soon as children begin speaking in multi-word utterances.

Throughout this work we have averaged the surprisal values at each position.

Averaging removes variation, which in turn may obscure trends in the data. As discussed in
the methods section, the surprisal metric has historically been used for calculating the
information and processing cost for individual utterances, and our use of the metric here is
actually a step forward rather than a step back. Future work can investigate variation in
how speakers distribute information in individual utterances.

The WALS database we used to investigate typological variation in the information curves is overall sparse. We imputed well over 50% of the WALS features for most of our 159 languages, although all of the languages had at least 20 features evaluated in WALS. A large part of this is due to WALS being a collection of a number of different studies, instead of a systematic effort to catalogue variation across the world's languages. Additionally, WALS features are meant to describe specific microvariations in languages, not to provide a comprehensive typological representation of each language compared to each other language.

- This may be why the Swadesh list provided a higher correlation for describing the differences
- 449 in information curves: Swadesh (1955) intended the list to allow researchers to more
- 450 comprehensively compared and constrast lexical differences between languages. For our
- Wikipedia analysis, we also reduce all of a language's variation down to a five-dimensional
- vector. These information curve representations show a surprising amount of variation
- despite the degree of compression.

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