# UNIVERSITY OF BRISTOL DEPARTMENT OF COMPUTER SCIENCE

http://www.cs.bris.ac.uk



## **Concurrent Computing (COMS20001)**

# Assessed coursework assignment CW2

#### Note that:

- 1. The deadline for this assignment is 24/04/20, with standard regulations enforced wrt. late submission.
- 2. This assignment represents 25 percent of marks available for COMS20001, and is assessed on an individual basis. Before you start work, make sure you are aware of and adhere to the various regulations<sup>a</sup> which govern this mode of assessment.
- 3. There are numerous support resources available, for example:
  - the unit forum hosted via

http://www.ole.bris.ac.uk

where lecturers, lab. demonstrators and students all regularly post questions and answers,

• the lecturer responsible for this assignment, who is available within stated office hours, by appointment, or via email.

 $<sup>{\</sup>it "http://www.bristol.ac.uk/academic-quality/assessment/code} on line.html \\$ 

## 1 Introduction

Any sufficiently large program eventually becomes an operating system.

- M. Might (http://matt.might.net/articles/what-cs-majors-should-know)

This assignment focuses on development of an operating system kernel. The practical nature of this task is important from an educational perspective: it *should* offer deeper understanding of various topics covered in theory alone, *and* transferable experience applicable when you either a) develop software whose effectiveness and efficiency depend on detailed understanding how it interacts with hardware and/or a kernel, or b) develop software for a platform that lacks a kernel yet still requires run-time support of some kind (that *you* must therefore offer). The constituent stages offer a variety of options, in attempt to cater for differing levels of interest in and ability with the topic as a whole. In particular, note that they offer an initially low barrier to entry for what is obviously a challenging task when considered as a whole.

## 2 Terms and conditions

• The assignment description may refer to question.txt or "the marksheet". Download this ASCII text file from

```
http://tinyurl.com/y2fxztqv/csdsp/os/cw/CW2/question.txt
```

then complete and include it in your submission: this is important, and failure to do so may result in a loss of marks.

- Certain aspects of the assignment have a (potentially large) design space of possible approaches. Where there is some debate about the correct or "best" approach, the assignment demands *you* make an informed decision *yourself*: it is therefore not (purely) a programming exercise st. blindly implementing *an* approach will be enough. Such decisions should ideally be based on a reasoned argument formed via your *own* background research (vs. reliance on the teaching material alone), and clearly documented (e.g., using the marksheet).
- The assignment design includes two heavily supported, closed initial stages which reflect a lower mark, and one mostly unsupported, open final stage which reflects a higher mark. This suggests the marking scale is non-linear: it is clearly easier to obtain *X* marks in the initial stages than in the final stage. The terms open and closed should be read as meaning flexibility wrt. options *for* work, *not* open-endedness wrt. workload: each stage has clear success criteria that should limit the functionality you implement, meaning you can (and should) stop work once they have been satisfied.
  - The stages are intentionally designed and ordered so as to be a) compatible (meaning that a solution for some stage i can co-exist with that for another stage  $j \neq i$ ), and b) cumulative (meaning that meeting the success criteria for some stage i implies meeting it for another, previous stage i-1). The latter fact implies you do not (necessarily) need to maintain a "history" of solutions to demonstrate.
- You should submit your solution, into the correct component, via

```
http://www.ole.bris.ac.uk
```

Include any a) source code files, b) text or PDF files, (e.g., documentation) and c) auxiliary files (e.g., example output) required, or *you* feel are relevant and/or of value.

- To make the submission process easier, the recommended approach is to develop your solution within the *same* directory structure as the material provided. This will allow you to first create then submit a *single* archive (e.g., solution.zip using zip, or solution.tar.gz using tar and gzip) of your entire solution, rather than *multiple* separate files.
- Your submission will be assessed in a 20 minute viva<sup>1</sup> (or oral exam). By the stated submission deadline, select a viva slot online via

https://www.doodle.com/poll/k2bweg586tvzwac8

to suit your schedule. Note that:

- During the sign-up process, you will need to enter your name; to make the data easier to process, you should ideally use the format "full name (UoB user name)" (e.g., "Daniel Page (csdsp)").

<sup>1</sup> http://en.wikipedia.org/wiki/Oral\_exam

- The location of the viva is room MVB-3.42a.
- The viva will be based on your submission: to identify it, you will need to know your 7-digit UoB student number.
- The discussion will focus on demonstration and explanation of your solution wrt. the stated success criteria. Keeping this in mind, it is *essential* you have a simple, clear way to execute and demonstrate your work. Ideally, you will be able to a) use one (or very few) command(s) to build a kernel image (e.g., using a script or Makefile), then b) demonstrate that a given success criteria has been met by discussing appropriate diagnostic output. Any significant editing and/or recompilation of the solution during the viva is strongly discouraged, as are multi-part solutions (e.g., use of separately compiled source code for each stage).
- Immediate personal feedback will be offered verbally during the viva, with a general, written marking report released at the same time as the marks.
- Implementations produced as part of the assignment will be assessed using a platform equivalent to the MVB Linux lab(s). (e.g., MVB-1.15 or MVB-2.11). As such, they *must* compile, execute, and be thoroughly tested using both the operating system and development tool-chain versions available by default.
- Although you can *definitely* expect to receive partial marks for a partial solution, it will be marked *as is*. This means a) there will be no effort to enable either optional or commented functionality (e.g., by uncommenting it, or via specification of compile-time or run-time parameters), and b) submitting multiple variant solutions is strongly discouraged, but would be dealt with by considering the variant which yields the highest single mark.

## 3 Description

#### 3.1 Material

Download and unarchive the file

http://tinyurl.com/y2fxztqv/csdsp/os/cw/CW2/question.tar.gz

somewhere secure in your file system (e.g., in the Private sub-directory in your home directory): from here on, we assume \${ARCHIVE} denotes a path to the resulting, unarchived content, which is illustrated by Figure 1. The content *and* structure of this archive *should* be familiar: it closely matches that used by the lab. worksheets, and represents a skeleton starting point for your submission.

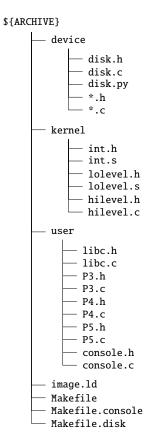
- Two extra (sub-)Makefile are provided: these relate to Appendix A and Appendix B, automating associated commands, and are introduced at the appropriate points below.
- The extra files disk.[ch] and disk.py also relate to Appendix B, but are only relevant for one option within the final stage.
- In combination, image.ld, lolevel.[sh] and int.[sh] implement an interrupt handling skeleton for the
  reset, SVC and IRQ interrupts: this is analogous to worksheet #2, with each low-level interrupt handler
  function invoking an associated high-level, empty placeholder in hilevel.[ch].
- The user programs provided, namely P[345]. [ch], relate specifically to success criteria in one or more of the stages: they should *not* be altered. If, say, you need to demonstrate or debug functionality in your kernel, then a better approach would be to include *additional* user programs of your own design.

#### 3.2 Overview

The overarching goal of this assignment is to develop an initially simple but then increasingly capable operating system kernel. It should execute and thus manage resources on a specific ARM-based target platform, namely the RealView Platform Baseboard for Cortex-A8 [1] emulated by QEMU<sup>2</sup>. The capabilities of said platform suggest a remit for the kernel, or, equivalently, a motivating context: if and when it makes sense to do so, imagine the platform and kernel form an embedded, consumer electronics product (e.g., set-top box<sup>3</sup> or media center).

<sup>&</sup>lt;sup>2</sup>http://www.qemu.org

<sup>3</sup> http://en.wikipedia.org/wiki/Set-top\_box



**Figure 1:** A diagrammatic description of the material in question.tar.gz.

#### 3.3 Detail

Stage 1. This stage involves the implementation of a baseline kernel, which acts as a starting point then improved in subsequent stages. It is important to note that each sub-stage is supported directly by the lab. worksheet(s) and lecture slides(s), and, as such, it would be sensible to complete or recap associated background material before starting.

Within this stage, automatically execute the user programs P3 and P4 (via appropriate initialisation of the process table) when the kernel is reset, i.e., within hilevel\_handler\_rst.

- (a) The kernel developed in lab. worksheet #3 was based on the concept of cooperative multi-tasking: driven by regular invocation of the yield system call, it context switched between and so concurrently executed a fixed number of active user processes (that stemmed from statically linked user programs). The task presented in lab. worksheet #4 was to enhance this starting point by supporting pre-emptive multi-tasking instead: complete this task.
  - **Success criteria.** Demonstrate the concurrent execution of processes, now under pre-emptive (vs. cooperative) multi-tasking.
- (b) Let N denote the (fixed) maximum number of active user processes, and n denote the (variable) current number of active user processes. The kernel developed in lab. worksheet #3 used a special-purpose scheduling algorithm, which assumed N = n = 2. Replace this with an improved alternative, a) generalising the implementation st. having selected an N, it makes no assumption about n: it should be  $possible^4$  for n to vary st.  $1 < n \le N$ , and b) extending the implementation to accommodate the concept of priorities via a scheduling algorithm of your choice.
  - **Success criteria.** Demonstrate the differing behaviour of your implementation vs. round-robin scheduling; explain when and why this represents an improvement.

Stage 2. This stage involves the design and implementation of various improvements to the baseline kernel, which, in combination, allow it to support richer and so more useful forms of user program. Each sub-stage is less directly supported, meaning more emphasis on *you* designing then implementing associated solutions.

 $<sup>^4</sup>$ Keep in mind that the rationale is to prepare for stage 2: n is fixed in stage 1 because the only active user processes are those stemming from execution of P3 and P4, whereas n can vary in stage 2 when user processes are dynamically created and terminated.

Within this stage and beyond, automatically execute the user program console (via appropriate initialisation of the process table) when the kernel is reset, i.e., within hilevel\_handler\_rst. This will allow you to interact with the kernel via a command-line shell<sup>5</sup>, and thus, with appropriate alterations, control each (sub-)stage; for more detail, see Appendix A.

- (a) Add support for dynamic<sup>6</sup> creation and termination of processes via the fork, exec, and exit system calls.
  - **Success criteria.** Demonstrate the dynamic creation and termination of some user processes (i.e., correct behaviour of the underlying fork, exec and exit system calls) via appropriate use of the console.
  - Keep in mind that the semantics of these system calls does not need to match POSIX exactly: fork [2, Page 881] can be *much* simpler, for example, while still supporting the functionality required (i.e., creation of processes).
- (b) Add support for *general-purpose*<sup>7</sup> Inter-Process Communication (IPC): first select a mechanism for IPC, from various available, then implement it (i.e., any data structures required, and a system call interface) within the kernel.
  - **Success criteria.** Develop a new user program which uses your IPC mechanism to solve the dining philosophers<sup>8</sup> problem: upon execution, it should first use fork to spawn 16 new "philosopher child processes" which then interact with each other via IPC. Demonstrate execution of this new program from the console, and explain how the solution a) ensures mutual exclusion, and b) prevents starvation.
- Stage 3. This stage includes several diverse, more challenging options which *you* can select between. Keep in mind that a) you should only attempt this stage having first completed each previous (sub-)stage, and b) per question.txt, you select and submit *one* option only: *if* you submit more, only the option with the highest mark will be considered wrt. assessment.
  - (a) As discussed in lab. worksheet #1, the PB-A8 represents a complete computer system. As such, an ambitious but realistic goal is to investigate various devices *not* utilised thus far. For example, either:
    - i. **Success criteria.** Demonstrate use of the MMU to a) prevent access by one process into an address space allocated to the kernel or another process, and b) offer some degree of memory virtualisation (i.e., a uniform address space per process).
    - ii. **Success criteria.** Demonstrate a) management of the LCD and PS/2 controllers within an appropriate device driver framework, and b) implementation of an improved UI vs. interaction via a command-line shell.
  - (b) In contrast to investigating one of the various real, albeit emulated devices supported by the PB-A8, we could consider a compromise: for certain cases we could consider a simplified device instead, and therefore focus on higher-level use rather than low-level detail of the device itself.
    - Appendix B outlines the source code provided in order to support such a case. The goal is to use a simplified disk, which offers block-based storage of data, to implement a file system: *ideally* this will a) implement a UNIX-like, inode-based data structure, allowing some form of directory hierarchy, and b) support a suite of system calls such as open, close, read and write, with semantics of your own design, which, in turn, demand management of file descriptors.
    - **Success criteria.** Demonstrate either a) two new user programs which model the cat and wc tools (i.e., the ability to write data into a new file, or append to an existing file, then count the lines etc. in it), and/or b) the kernel dynamically loading a user program from the disk then executing it (vs. using one of the statically compiled user programs, as assumed above).
  - (c) Originally, emulation of the PB-A8 was motivated by a need to a) reduce the challenge of software development, and b) address the issue of scale when used in the context of the unit. That said, investigation of a *physical* alternative such the RaspberryPi<sup>9</sup> can be a rewarding exercise. So,

<sup>5</sup>http://en.wikipedia.org/wiki/Shell\_(computing)

<sup>&</sup>lt;sup>6</sup>Note that dynamic process creation does not *imply* a need to use malloc etc. for dynamic memory allocation: it is enough to a) select an N, b) *statically* allocate a fixed size set of resources (e.g., a process table) based on N, then c) *dynamically* allocate resources for a given process from that fixed size set.

<sup>&</sup>lt;sup>7</sup>Various criteria *could* be used to characterise a given IPC mechanism as general- vs. special-purpose, but the point here is to avoid over-focusing on the success criteria: the dining philosophers problem is *a* not *the* use-case, meaning the IPC mechanism should be more broadly applicable.

 $<sup>^8</sup>$ http://en.wikipedia.org/wiki/Dining\_philosophers\_problem

<sup>&</sup>lt;sup>9</sup>Although the specifications differ per model, the RaspberryPi2 represents a good example because it houses a Cortex-A7 close in specification to the Cortex-A8 used by the PB-A8.

provided you are willing to accept the associated and significant challenges, the goal is to port your existing kernel and have it execute on such a platform.

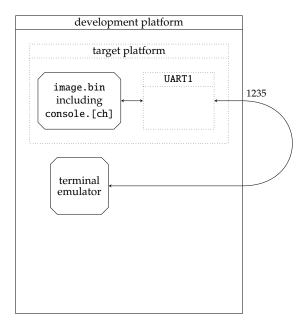
**Success criteria.** Demonstrate the kernel executing on whatever physical target platform you select, and, ideally, utilising board-specific devices available.

# References

- [1] RealView Platform Baseboard for Cortex-A8. Tech. rep. HBI-0178. ARM Ltd., 2011. URL: http://infocenter.arm.com/help/topic/com.arm.doc.dui0417d/index.html (see p. 3).
- [2] Standard for Information Technology Portable Operating System Interface (POSIX). Institute of Electrical and Electronics Engineers (IEEE) 1003.1-2008. 2008. URL: http://standards.ieee.org (see p. 5).

# A A simplified console: console.[ch]

Consider the diagram below:



Although the terms are often conflated, is is common to define a console as a (command-line) terminal specific to local, kernel-mode interaction; this contrasts with a more general terminal, where interaction a) can often be remote (e.g., over a network), and b) is related to user-mode login. The mechanism used to implement a console differs system-by-system, but in embedded contexts, use of a UART is not uncommon: a RaspberryPi, for example, has such a console by default. In fact, the lab. worksheet(s) already made extensive use of this model. QEMU was configured st. an emulated UART (namely the PL011\_t instance UART0) is associated with the emulation terminal: this meant the kernel could read and write input and output, and hence interact with the user. However, QEMU is more flexible than this. It also supports association between an emulated UART and a network port, st. reading or writing bytes to or from the UART thus implies receiving or transmitting them across the network.

This is essentially what the diagram shows. A user program implementing the console (i.e., console.[ch]) executes under control of the kernel, using the PL011\_t instance UART1. This is associated with a network port, allowing a connection from a terminal emulator executing on the development platform: the result can be viewed as roughly analogous to a command-line terminal resulting from (remote) login to snowy.cs.bris.ac.uk using SSH.

#### A.1 Why is this a (reasonable) simplification?

The approach outlined above is not a radical simplification at all. In fact, the only significant difference vs. a real kernel is direct use of UART1 by the console implementation. In reality, this would obviously need to be managed by the kernel (e.g., via a device driver). The major advantage of this minor simplification is the fact that console I/O can be segregated from I/O by other user programs. This is important, in so far as it offers a cleaner interactive interface with the kernel. Put simply, the alternative of interleaving *all* I/O can be confusing and therefore hinder progress wrt. the core ILOs.

## A.2 Interacting with the console

Note that Makefile.console extends the vanilla Makefile provided with variables and build targets related to use of the console. We explain how to do so directly below, but keep in mind that, as a result, the same steps can and perhaps should be automated.

1. Ensure the line in Makefile that reads

```
QEMU_UART += telnet:127.0.0.1:1235,server
```

is uncommented, then launch QEMU as normal in one terminal: this instructs QEMU to associate the PL011\_t instance UART1 with the network port 1235. Note that QEMU will initially wait for a connection to be made via said port, indicated by a message similar to

QEMU waiting for connection on: disconnected:telnet:127.0.0.1:1235,server

2. Issue the command

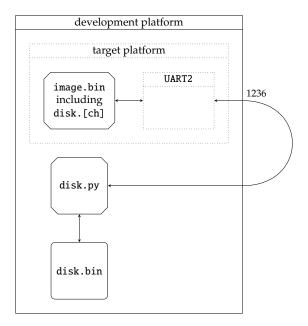
nc 127.0.0.1 1235

in another terminal we refer to as the console terminal.

3. Once you execute the kernel (e.g., issue a continue command to gdb), the console terminal should show a command prompt (assuming the kernel has automatically executed the associated user process): by typing commands into the console terminal, you can interact with the console and hence the kernel.

# B A simplified disk: disk.py and disk.[ch]

Consider the diagram below:



The lower part of the diagram illustrates components that exists on the development platform:

- disk.bin models the disk mechanism: it stores the disk content as a sequence of bytes as a so-called disk image. This is a standard file, stored on the file system available via the development platform.
- disk.py models the disk controller: it acts as an interface, accepting high-level commands that it satisfies at a low level using the underlying disk mechanism. When executed, the controller will connect to and communicates via a network port. Note it imposes structure on the disk content, so rather than a "flat" sequence of bytes it is interpreted as some number of blocks of a given length.

The upper part of the diagram illustrates components that exists on the target platform, which in this case is represented by QEMU (and so is, in turn, executing on the development platform). As was the case in Appendix A, we capitalise on the ability to form an association between emulated UART and network port: by connecting the disk controller to the same port, we allow the kernel image and disk controller to communicate. disk.[ch] includes a set of high-level functions the kernel can call; you could view this source code as a primitive form of device driver, which acts as an abstraction of the communication protocol.

#### B.1 Why is this a (reasonable) simplification?

Hopefully it is obvious that the approach outlined above is not how a real disk would be connected to a real computer system like the PB-A8! Likewise, the communication protocol used has, at best, a limited relationship with a real analogy such as  $SCSI^{10}$ .

As a result, it is fair to question whether the approach represents a reasonable or useful simplification of reality. The answer is basically that it offers a compromise. That is, what it lacks wrt. realism, it gains in allowing a focus on high(er)-level ILOs: with a simplified low-level, block-based storage medium you can focus on high-level design and implementation of a file system without complicating detail that would exist otherwise. In particular, the approach allows a) inspection and manipulation of the disk state using more familiar and "trusted" tools on the development platform, and/or b) manual interaction with the disk controller in order to test and verify behaviour of a command (you can *type* a command, and then inspect the response). Used correctly, both can make the implementation challenge vastly easier.

#### **B.2** Creating a disk image

Creation of an initial, empty disk image is simple. For example, by issuing the command

dd of=disk.bin if=/dev/zero count=1048576 bs=1

<sup>10</sup> http://en.wikipedia.org/wiki/SCSI

we instruct dd to copy 1048576B from the input file /dev/zero to the output file disk.bin. Given that reading from /dev/zero will always produce a sequence of zero bytes (i.e., whose value is  $00_{(16)}$ ), this will create a 1MiB file disk.bin that is *entirely* zero bytes. Note that:

- Although the use of dd *initialises* the disk image via 1048576 blocks each of 1B, the disk controller *using* said image must select a block length of more than 1B: not doing so basically means this is not a block device, and masks many inherent issues.
- Beyond this restriction, the total capacity should equal the product of whatever block count and length you opt for. For example, you *could* opt for more, shorter blocks st. 65536 · 16B = 1MiB or for fewer, longer blocks st. 256 · 4096B = 1MiB to suit: both result in the same capacity, but imply that the controller will interpret the underlying sequence of bytes in a different way.
- You can inspect the byte-by-byte file content using the command

Initially, however, it will produce somewhat limited output: the repeated zero bytes are printed in a "compressed" form, rather than in their entirety.

### B.3 Interacting with the disk

Note that Makefile.disk extends the vanilla Makefile provided with variables and build targets related to use of the disk. We explain how to do so directly below, but keep in mind that, as a result, the same steps can and perhaps should be automated. Also note disk.py has an optional --debug flag, which causes it to emit extra debugging information: this can be useful if/when use of it fails to behave as you expect.

#### **B.3.1** The communication protocol

The communication protocol used by the disk controller is, by design, very simple: it receives a command then transmits a response where

- each command and response is 1-line of ASCII text terminated by an EOL character,
- each such line is comprised of some number of fields separated by space characters,
- each field is a sequence of bytes, represented in hexadecimal; the bytes are presented so when read left-to-right, the 0-th byte (resp. (n-1)-th byte) is toward the left (resp. right) of the field, and
- the first field of each command or response is a 1-byte code which identifies the type (e.g., distinguishes between a write command vs. a read command, or success vs. failure response).

There are only three commands, which are outlined briefly below:

- 1. A command of the form **00** invokes a query operation: it reports the block count and length of the disk. The response can indicate
  - failure, in which case the response is 01, or
  - success, in which case the response is of the form 00 <data> where the data field captures the number of blocks and their length: for example, the response

#### 00 0000010010000000

packs together two 32-bit integers, i.e.,

to indicate there are 65536 blocks, each of length 16B.

2. A command of the form 01 <address> <data> invokes a write operation: it writes the block of data to the disk at the given block address. For example, the command

#### 01 01230000 F0F1F2F3F4F5F6F7F8F9FAFBFCFDFEFF

writes the 16-byte block, i.e., the sequence of bytes

$$\langle F0_{(16)}, F1_{(16)}, F2_{(16)}, F3_{(16)}, F4_{(16)}, F5_{(16)}, F6_{(16)}, F7_{(16)}, F8_{(16)}, F9_{(16)}, FA_{(16)}, FB_{(16)}, FC_{(16)}, FC_{(16$$

to the disk at the block address

$$\langle 01_{(16)}, 23_{(16)}, 00_{(16)}, 00_{(16)} \rangle_{(2^8)} = 8961_{(10)}.$$

The data provided *must* match the block length expected by the controller: data which is too short (i.e., an incomplete block) or too long will result in an error, or even unexpected behaviour. The response can indicate

- failure, in which case the response is 01, or
- success, in which case the response is 00.
- 3. A command of the form

invokes a read operation: it reads a block of data from the disk at the given block address. For example, the command

reads a 16-byte block from the disk at the block address

$$\langle 01_{(16)}, 23_{(16)}, 00_{(16)}, 00_{(16)} \rangle_{(2^8)} = 8961_{(10)}.$$

The response can indicate

- failure, in which case the response is 01, or
- success, in which case the response is of the form 00 <data> where the data field captures the block read: for example, the response

means the 16-byte block, i.e., the sequence of bytes

$$\langle 00_{(16)}, 11_{(16)}, 22_{(16)}, 33_{(16)}, 44_{(16)}, 55_{(16)}, 66_{(16)}, 77_{(16)}, 88_{(16)}, 99_{(16)}, AA_{(16)}, BB_{(16)}, CC_{(16)}, DD_{(16)}, EE_{(16)}, FF_{(16)} \rangle$$
 was read.

#### **B.3.2** Manual interaction

1. Issue the command

in one terminal.

2. Launch the disk controller using a command such as

```
python disk.py --host=127.0.0.1 --port=1236 --file=disk.bin --block-num=65536 --block-len=16 in another terminal.
```

3. You should be able to type commands into the first terminal, and, provided you adhere to the protocol, get a response from the disk controller.

### **B.3.3** Programmatic interaction

- 1. In your kernel implementation, include disk.h: this includes declarations for functions that manage interaction with the disk controller. Note that the device driver assumes the disk controller will communicate via the PL011\_t instance UART2.
- 2. Ensure the line in Makefile that reads

is uncommented, then launch QEMU as normal in one terminal: this instructs QEMU to associate the PL011\_t instance UART2 with the network port 1236. Note that QEMU will initially wait for a connection to be made via said port, indicated by a message similar to

QEMU waiting for connection on: disconnected:telnet:127.0.0.1:1236,server

3. Launch the disk controller using a command such as

```
python disk.py --host=127.0.0.1 --port=1236 --file=disk.bin --block-num=65536 --block-len=16 at which point the connection QEMU was waiting for is made.
```

4. Once you execute the kernel (e.g., issue a continue command to gdb) the disk interface will respond to commands made via the device driver.