# Practical performance enhancements to the evaluation model of the Hazel programming environment

Jonathan Lam<sup>1</sup> Prof. Fred Fontaine, Advisor<sup>1</sup> Prof. Robert Marano, Co-advisor<sup>1</sup> Prof. Cyrus Omar<sup>2</sup>

 $^{1}$ Electrical Engineering The Cooper Union for the Advancement of Science and Art

<sup>2</sup>Electrical Engineering and Computer Science Future of Programming Lab (FPLab), University of Michigan

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#### Overview I

Project context

Implementation-based Mostly practically-driven

Functional programming Context for PL theory

Hazel live programming environment An experimental editor with typed holes aimed at solving the "gap problem," developed at UM

#### Overview II

#### Project scope

Evaluation with environments Lazy variable lookup for performance Hole instances to hole closures Redefining hole instances for performance Implementing fill-and-resume (FAR) Efficiently resume evaluation

#### Project evaluation

Empirical evaluation Measure performance gain of motivating cases Informal metatheory State metatheorems and provide proof sketches

#### Table of Contents

- Primer on PL theory
- The Hazel live programming environment
- Evaluation using the environment model
- 4 Identifying hole instances by physical environment
- 5 The fill-and-resume (FAR) optimization
- 6 Empirical results
- Discussion and conclusions

## A programming language is a specification

Syntax is the grammar of a valid program

Semantics describes the behavior of a syntactically valid program

$$\begin{split} \tau &::= \tau \rightarrow \tau \mid b \mid \emptyset ) \\ e &::= c \mid x \mid \lambda x : \tau.e \mid e \mid e \mid e \mid \tau \mid \emptyset \mid \emptyset e ) \end{split}$$

Figure: Hazelnut grammar

## Static and dynamic semantics

Statics Edit actions, type-checking, elaboration ("compile-time")

Dynamics Evaluation ("run-time")

$$\frac{e_1 \Downarrow \lambda x. e_1' \qquad e_2 \Downarrow e_2' \qquad [e_2'/x]e_1' \Downarrow e}{e_1 e_2 \Downarrow e} \mathsf{EAp}$$

Figure: Evaluation rule for function application using a big-step semantics

## A brief primer on the $\lambda$ -calculus

Untyped  $\lambda$ -calculus Simple universal model of computation by Church Simply-typed  $\lambda$ -calculus Extension of the ULC with static type-checking Gradually-typed  $\lambda$ -calculus Optionally-typed, with "pay-as-you-go" benefits of static typing

Figure: The untyped  $\lambda$ -calculus

(b) Dynamic semantics

(a) Grammar

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## The Hazel programming language and environment

Live programming Rapid static and dynamic feedback ("gap problem")
Structured editor Elimination of syntax errors
Bidirectionally typed Simple type inference
Gradually typed Hole type and cast-calculus based on Siek et al. [1, 2]
Purely functional Avoids side-effects and promotes commutativity





(a) The Hazelgrove organization

(b) Implemented in ReasonML and JSOO

Figure: Hazel implementation

## The Hazel programming interface

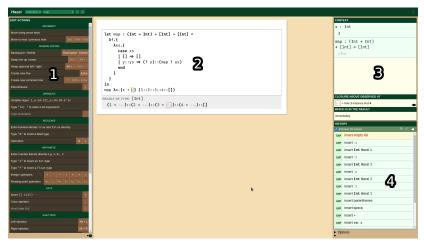


Figure: The Hazel interface

## Hazelnut: A bidirectionally-typed static semantics

(Typed) expression holes Internalize "red squiggly underlines"
Action semantics Structural editing behavior, ensures always well-typed



Figure 1. Constructing the increment function in Hazelnut.

now assume $incr : num \rightarrow num$				
#	Z-Expression	Next Action	Rule	
14	▷(())⊲	construct var incr	(13c)	
15	⊳incr⊲	construct ap	(13h)	
16	$incr(\triangleright ( \lozenge \triangleleft ) \triangleleft )$	construct var incr	(13d)	
17	incr((⊳incr⊲))	construct ap	(13h)	
18	$incr((incr(\triangleright(0\triangleleft))))$	construct lit 3	(13j)	
19	$incr((incr(\triangleright 3 \triangleleft)))$	move parent	(8j)	
20	$incr((\triangleright incr(3) \triangleleft))$	move parent	(8p)	
21	$incr(\triangleright(incr(3))\triangleleft)$	finish	(16b)	
22	$incr(\triangleright incr(\underline{3})\triangleleft)$	_	-	

Figure 2. Applying the increment function.

Figure: Sample Hazelnut action sequence [3]

## Hazelnut Live: A bidirectionally-typed dynamic semantics

Internal language Cast calculus from Siek et al. [1, 2] for dynamic typing Hole evaluation Evaluation continues *around* holes, captures environment



Figure: Illustration of Hazelnut Live context inspector [4]

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## Evaluation using environments vs. substitution

let 
$$x = 3$$
 in if True then 0 else  $x$ 

(a) Expression with variable binding

if True then 0 else 3 
$$\{x \leftarrow 3\} \vdash (\text{if True then 0 else } x)$$

(b) Substitution (eager)

(c) Environments (lazy)

Figure: Comparison of variable binding methods

## Updated evaluation rules

$$\sigma \vdash d \Downarrow d' \mid d$$
 evaluates to  $d'$  given environment  $\sigma$ 

$$\frac{\sigma \vdash (\lambda x : \tau.d) \Downarrow [\sigma](\lambda x : \tau.d')}{\sigma, x \leftarrow d \vdash x \Downarrow d} \, \mathsf{EVar}$$

$$\frac{\sigma \vdash d_1 \Downarrow [\sigma'] \lambda x : \tau.d_1' \qquad \sigma \vdash d_2 \Downarrow d_2' \qquad \sigma', x \leftarrow d_2' \vdash d_1' \Downarrow d}{\sigma \vdash d_1 \ d_2 \Downarrow d} \, \mathsf{EAp}$$

$$\frac{\sigma \vdash d_1 \Downarrow [\sigma'] \wedge x : \tau.d_1' \qquad \sigma \vdash d_2 \Downarrow d_2' \qquad \sigma', x \leftarrow d_2' \vdash d_1' \Downarrow d}{\sigma \vdash (d)^u \Downarrow [\sigma] (d')^u} \, \mathsf{EvalB-NEHole}$$

Figure: Big-step semantics for evaluation with environments

## Handling recursion

Fixpoint form Useful for a pure implementation of recursive functions, from Plotkin's System PCF

$$\frac{\sigma \vdash d \Downarrow [\sigma']d'}{\sigma \vdash \operatorname{fix} f : \tau.d \Downarrow [\sigma, f \leftarrow \operatorname{fix} f : \tau.[\sigma']d']d'} \operatorname{EFix}$$

$$\frac{d \neq \operatorname{fix} f : \tau.d'}{\sigma, x \leftarrow d \vdash x \Downarrow d} \operatorname{EVar} \qquad \frac{\sigma \vdash \operatorname{fix} f : \tau.d \Downarrow d'}{\sigma, x \leftarrow \operatorname{fix} f : \tau.d \vdash x \Downarrow d'} \operatorname{EUnwind}$$

Figure: Big-step semantics for evaluation of fixpoints

# Matching the result from evaluation using substitution

 $d \uparrow_{\Pi} d' \mid d$  is substitutes to d' inside the evaluation boundary

$$\frac{\sigma \Uparrow_{\llbracket} \sigma' \qquad \sigma' \vdash d \Uparrow_{\rrbracket} d'}{\llbracket \sigma \rrbracket d \Uparrow_{\rrbracket} d'} \ \mathsf{PPI}_{\llbracket} \mathsf{Closure}$$

 $\sigma \vdash d \uparrow_{\Pi} d' \mid d$  substitutes to d' outside the evaluation boundary

$$\frac{\sigma, x \leftarrow d \vdash x \Uparrow_{\boxed{0}} d}{\sigma \vdash (\textcircled{0})^u \Uparrow_{\boxed{0}} [\sigma] (\textcircled{0})^u} \ \mathsf{PPO}_{\boxed{0}} \mathsf{EHole}$$
 
$$\frac{\sigma \vdash d \Uparrow_{\boxed{0}} d'}{\sigma \vdash (\textcircled{0})^u \Uparrow_{\boxed{0}} [\sigma] (\textcircled{0}')^u} \ \mathsf{PPO}_{\boxed{0}} \mathsf{NEHole}$$

Figure: Big-step semantics for substitution postprocessing

#### Generalized closures

Interpretation	Sample expression	
Function closure	$[\sigma]\lambda x.d$	
Hole closure	$[\sigma](d)^u$	
Closure around unmatched let	$[\sigma](\text{let } x = d_1 \text{ in } d_2)$	
Closure around unmatched case	$[\sigma](case x of rules)$	
Closure around filled hole	$\llbracket \sigma  rbracket d_{fill}$	

Table: Examples of generalized closures

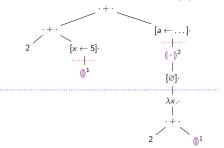
## The evaluation boundary

let 
$$a = \lambda x$$
. { 2 + (||)<sup>2</sup> } in  $a + 5 + (|a|)^2$ 

$$\downarrow (2 + [x \leftarrow 5]()^{1}) + [a \leftarrow \dots]([\varnothing](\lambda x.2 + ()^{1}))^{2}$$

(a) Program

(b) Program result



(c) Program result AST

Figure: Illustration of evaluation boundary

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#### Motivation for hole instances

```
let a = ()^1 in
let f = \lambda x . { ()^2 } in
f 3 + f 4
```

Figure: Illustration of hole instances

$$[a \leftarrow [\varnothing]] ()^1, x \leftarrow 3] ()^2 + [a \leftarrow [\varnothing]] ()^1, x \leftarrow 4] ()^2$$

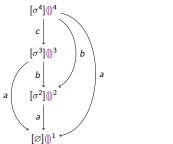
Figure: Result of Figure 13

## Motivation for hole closures/instantiations I

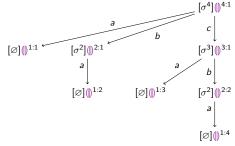
```
let a = (1)^1 in
let b = (1)^2 in
let c = (1)^3 in
let d = (1)^4 in
let e = (1)^5 in
let f = (1)^6 in
let g = (1)^7 in
let x = (1)^n in
()^{n+1}
```

Figure: A Hazel program that generates  $2^N$  total hole instances

## Motivation for hole closures/instantiations II



(a) Structure of the result



(b) Numbered hole instances in the result

Figure: Hole numbering in Figure 15

## A unified postprocessing algorithm

 $d \uparrow (H, d') \mid d$  postprocesses to d' with hole closure info H

$$\frac{d \Uparrow_{[]} d' \qquad \varnothing, \varnothing \vdash d' \Uparrow_{i} d'' \dashv H}{d \Uparrow d'' \dashv H} \text{ PP-Result}$$

Figure: Overall postprocessing judgment

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# Motivating example I

What happens if we want to fill the hole  $(1)^1$  with the expression x + 2?

```
let f: Int \rightarrow Int =
\lambda x \cdot \{
case x of
\mid 0 \Rightarrow 0
\mid 1 \Rightarrow 1
\mid n \Rightarrow f (n-1) + f (n-2)
end
\}
in x = f 30
in (||)^1
```

Figure: A sample program with an expensive calculation

# Motivating example II

$$[f \leftarrow [\varnothing] \lambda x. \{\dots\}, x \leftarrow 832040] \emptyset^1$$

Figure: Result of expensive calculation

$$[f \leftarrow [\varnothing] \lambda x. \{\dots\}, x \leftarrow 832040](x+2)$$
  
832040 + 2  
832042

Figure: Fill and resume

## The FAR process

Check if a fill is appropriate. If not, evaluate as usual. If so, then:

- Detect fill parameters (u, d)
- "Fill": substitute d for every instance of u
- "Resume": resume evaluation

## 1-step vs. *n*-step FAR

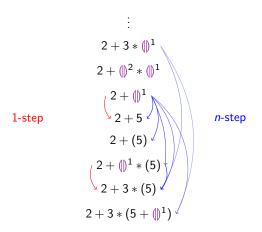


Figure: 1-step vs. *n*-step FAR detection

## Detecting a valid fill operation

Structural diff algorithm Intuitive, fast *n*-step FAR detection; find the smallest hole that subsumes the diff root

$$\lambda x. ()^3 \longrightarrow \lambda x. 4$$

$$u = 3$$

$$d = 4$$

$$2 + (\lambda x.3)^{1} \longrightarrow 2 + 5 * ()^{1}$$

$$u = 1$$

$$d = 5 * ()^{1}$$

## The fill and resume operations

#### The fill operation

- Mark closures un-final  $[\llbracket \sigma \rrbracket d / [\sigma] d] d_{result}$
- Fill hole instances  $[d_{fill}/(||)^{u_{fill}}]d_{result}$

#### The resume operation

- Evaluate as normal, except:
- Re-evaluate closures  $\llbracket \sigma \rrbracket d \Downarrow \llbracket \sigma' \rrbracket d'$

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#### Evaluation with environments I

```
let f : Int \to Int = \lambda x . \{
case x of
| 0 \to 0
| 1 \Rightarrow 1
| n \Rightarrow f (n-1) + f (n-2)
end
| 1 \Rightarrow 1
| 1
```

(a) Source

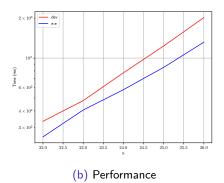


Figure: A computationally expensive Hazel program with no holes

#### Evaluation with environments II

```
let a = 0 in

let b = 0 in

let c = 0 in

let d = 0 in

let e = 0 in

let f : Int \rightarrow Int =

\lambda \times \cdot \{

case x of

| 0 \Rightarrow 0

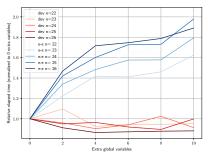
| 1 \Rightarrow 1

| n \Rightarrow f (n - 1) + f (n - 2)

end

\} in

f 25
```



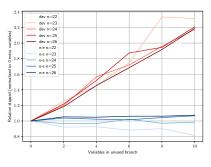
(a) Source

(b) Performance

Figure: Adding global bindings to the fib(n) program

#### Evaluation with environments III

```
let f: Int \rightarrow Int = \lambda x . {
    case x of | 0 \Rightarrow 0 | 1 \Rightarrow 1 |
    | n \Rightarrow f (n - 1) + f (n - 2) | 0 \Rightarrow f 0 + f 0 + f 0 + f 0 end | in f 25
```



(a) Source

(b) Performance

Figure: Adding variable substitutions to unused branches

# Hole numbering motivating example I

```
let \mathbf{a} = \langle \emptyset \rangle^1 in

let \mathbf{b} = \langle \emptyset \rangle^2 in

let \mathbf{c} = \langle \emptyset \rangle^3 in

let \mathbf{d} = \langle \emptyset \rangle^4 in

let \mathbf{e} = \langle \emptyset \rangle^5 in

let \mathbf{f} = \langle \emptyset \rangle^6 in

let \mathbf{g} = \langle \emptyset \rangle^7 in

...
let \mathbf{x} = \langle \emptyset \rangle^n in \langle \emptyset \rangle^{n+1}
```

Figure: A Hazel program that generates  $2^N$  total hole instances

## Hole numbering motivating example II

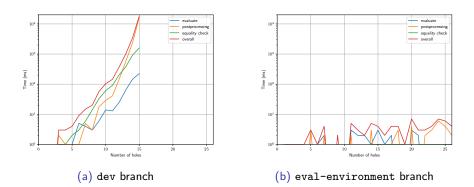


Figure: Performance of evaluating program in Figure 15

# FAR motivating example I

Program	Steps	Steps (w/ FAR)	Step Δ	Cumulative Step $\Delta$
let $f = \dots$ in let $a = ( )^1$ in $( )^2$	7	-	0	0
let f = in let a = f in ( ) <sup>2</sup>	12	21	9	9
let $f = \dots$ in let $a = f (  )^3$ in $(  )^2$	17	-	0	9
let $f = \dots$ in let $a = f 2$ in $\binom{n}{2}$	58	69	11	20

Table: A program edit history with an expensive computation



# FAR motivating example II

Program	Steps	Steps (w/ FAR)	Step ∆	Cumulative Step Δ
let f = in let a = f 25 in () <sup>2</sup>	4762964	=	0	20
let f = in let a = f 25 in $()^2 + ()^4$	4762966	12	-4762954	-4762934
let f = in let a = f 25 in ()) <sup>2</sup> + 2	4762966	21	-4762954	-9525879
let f = in let a = f 25 in a + 2	4792967	13	-4792954	-14288813

Table: A program edit history with an expensive computation, cont'd.

# FAR motivating example III

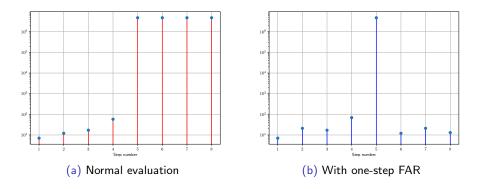


Figure: Number of evaluation steps per edit in Table 2

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#### Innovations of this work

Generalized closures Useful for evaluation and memoization Unique hole closures Grouping hole instances by environment FAR as a generalization of evaluation Each edit is a *n*-step FAR

## Metatheory

Invariants of the evaluation steps; informally justified

**Evaluation boundary** 

Singular evaluation boundary

Substitution postprocessing closures

Evaluation with environments correctness

Hole numbering postprocessing

Fill operation

Resume operation

### Proposed updates to the evaluation model I

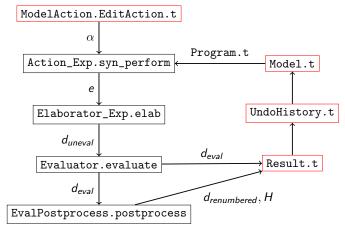


Figure: Previous evaluation model

## Proposed updates to the evaluation model II

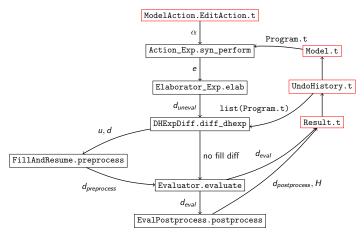


Figure: Proposed evaluation model

#### Future work

Fully automatic FAR Integrate FAR into the Hazel MVC model *n*-step FAR Integrate edit history into FAR

Generalized memoization Unify notation and metatheory of memoization Formal evaluation of metatheory Check coverage and correctness of metatheorems using Agda

User editing studies Gather data on "true" performance impact

#### **Conclusions**

Evaluation with environments Expected performance gains, implementation remains functionally pure

Generalized closures Simplify many parts of the implementation, also useful for FAR

Memoization of environments Applicable for postprocessing, equality checking, resume operation

FAR PoC Including *n*-step detection, re-evaluation of closures Plausible metatheory For future work in Agda

### References I



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