

# Practical performance enhancements to the evaluation model of the Hazel programming environment

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# Overview I

Project context

**Implementation-based** Mostly practically-driven

**Functional programming** Context for PL theory

**Hazel live programming environment** An experimental editor with typed holes aimed at solving the “gap problem,” developed at UM

# Overview II

## Project scope

- Evaluation with environments** Lazy variable lookup for performance
- Hole instances to hole closures** Redefining hole instances for performance
- Implementing fill-and-resume (FAR)** Efficiently resume evaluation

## Project evaluation

- Empirical evaluation** Measure performance gain of motivating cases
- Informal metatheory** State metatheorems and provide proof sketches

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# A programming language is a specification

**Syntax** is the grammar of a valid program

**Semantics** describes the behavior of a syntactically valid program

$$\begin{aligned}\tau &::= \tau \rightarrow \tau \mid b \mid \text{⌈⌋} \\ e &::= c \mid x \mid \lambda x : \tau. e \mid e \ e \mid e : \tau \mid \text{⌈⌋} \mid \text{⌈⌋} e\end{aligned}$$

**Figure:** Hazelnut grammar

# Static and dynamic semantics

**Statics** Edit actions, type-checking, elaboration (“compile-time”)

**Dynamics** Evaluation (“run-time”)

$$\frac{e_1 \Downarrow \lambda x. e'_1 \quad e_2 \Downarrow e'_2 \quad [e'_2/x]e'_1 = e}{e_1 \ e_2 \Downarrow e} \text{EAp}$$

**Figure:** Evaluation rule for function application using a big-step semantics

# A brief primer on the $\lambda$ -calculus

- Untyped  $\lambda$ -calculus Simple universal model of computation by Church
- Simply-typed  $\lambda$ -calculus Extension of the ULC with static type-checking
- Gradually-typed  $\lambda$ -calculus Optionally-typed, with “pay-as-you-go” benefits of static typing

$$\begin{array}{l}
 e ::= x \\
 \quad | \lambda x. e \\
 \quad | e \ e
 \end{array}
 \qquad
 \begin{array}{c}
 \frac{}{\lambda x. e \Downarrow \lambda x. e} \Lambda\text{-ELam} \\
 \\
 \frac{e_1 \Downarrow \lambda x. e'_1 \quad [e_2/x]e'_1 \Downarrow e}{e_1 \ e_2 \Downarrow e} \Lambda\text{-EAp}
 \end{array}$$

(a) Grammar

(b) Dynamic semantics

Figure: The untyped  $\lambda$ -calculus

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# The Hazel programming language and environment

**Live programming** Rapid static and dynamic feedback (“gap problem”)

**Structured editor** Elimination of syntax errors

**Bidirectionally typed** Simple type inference

**Gradually typed** Hole type and cast-calculus based on Siek et al. [1, 2]

**Purely functional** Avoids side-effects and promotes commutativity



(a) The Hazelgrove organization



(b) Implemented in ReasonML and JSOO

Figure: Hazel implementation

# The Hazel programming interface

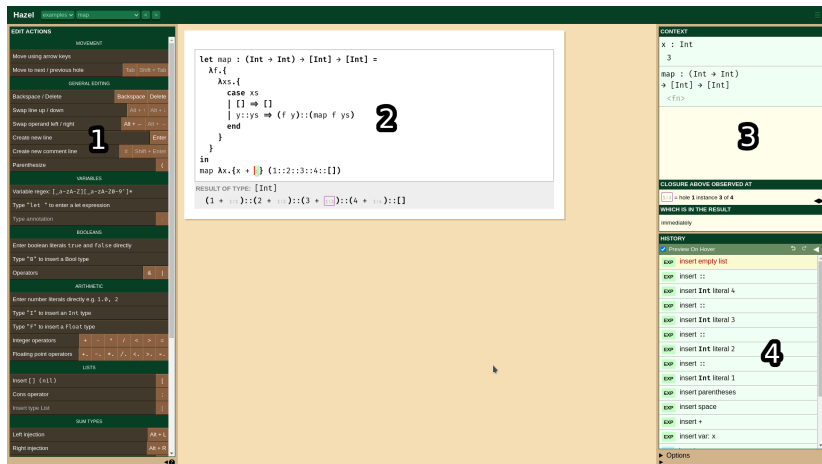


Figure: The Hazel interface

# Hazelnut: A bidirectionally-typed static semantics

(Typed) expression holes Internalize “red squiggly underlines”

Action semantics Structural editing behavior, ensures always well-typed

#	Z-Expression	Next Action	Rule
1	$\lambda x. \langle \rangle$	construct lam x	(13e)
2	$(\lambda x. \langle \rangle : (\langle \rangle \rightarrow \langle \rangle))$	construct num	(12b)
3	$(\lambda x. \langle \rangle : (\langle \rangle \rightarrow \langle \rangle))$	move parent	(6c)
4	$(\lambda x. \langle \rangle : (\langle \rangle \rightarrow \langle \rangle))$	move child 2	(6b)
5	$(\lambda x. \langle \rangle : (\langle \rangle \rightarrow \langle \rangle))$	construct num	(12b)
6	$(\lambda x. \langle \rangle : (\langle \rangle \rightarrow \langle \rangle))$	move parent	(6d)
7	$(\lambda x. \langle \rangle : (\langle \rangle \rightarrow \langle \rangle))$	move parent	(8d)
8	$(\lambda x. \langle \rangle : (\langle \rangle \rightarrow \langle \rangle))$	move child 1	(8a)
9	$(\lambda x. \langle \rangle : (\langle \rangle \rightarrow \langle \rangle))$	move child 1	(8e)
10	$(\lambda x. \langle \rangle : (\langle \rangle \rightarrow \langle \rangle))$	construct var x	(13c)
11	$(\lambda x. \langle \rangle : (\langle \rangle \rightarrow \langle \rangle))$	construct plus	(13l)
12	$(\lambda x. \langle \rangle : (\langle \rangle \rightarrow \langle \rangle))$	construct lit 1	(13j)
13	$(\lambda x. \langle \rangle : (\langle \rangle \rightarrow \langle \rangle))$	—	—

Figure 1. Constructing the increment function in Hazelnut.

now assume $incr : \text{num} \rightarrow \text{num}$			
#	Z-Expression	Next Action	Rule
14	$\langle \rangle$	construct var $incr$	(13c)
15	$\langle \rangle$	construct ap	(13h)
16	$incr(\langle \rangle)$	construct var $incr$	(13d)
17	$incr(\langle \rangle)$	construct ap	(13h)
18	$incr(incr(\langle \rangle))$	construct lit 3	(13j)
19	$incr(incr(\langle \rangle))$	move parent	(8j)
20	$incr(incr(\langle \rangle))$	move parent	(8p)
21	$incr(incr(\langle \rangle))$	finish	(16b)
22	$incr(incr(\langle \rangle))$	—	—

Figure 2. Applying the increment function.

Figure: Sample Hazelnut action sequence [3]

# Hazelnut Live: A bidirectionally-typed dynamic semantics

**Internal language** Cast calculus from Siek et al. [1, 2] for dynamic typing

**Hole evaluation** Evaluation continues *around* holes, captures environment

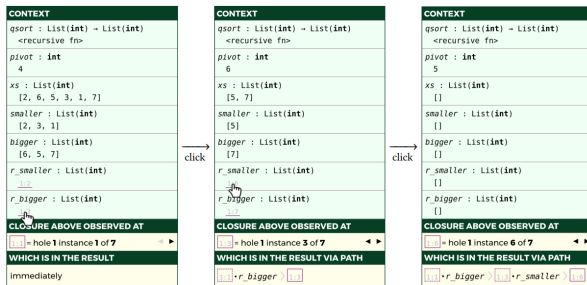


Figure: Illustration of Hazelnut Live context inspector [4]

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# Evaluation using environments vs. substitution

**[TODO: comparison table, illustration of how each one works]**

# Updated evaluation rules

$\sigma \vdash d \Downarrow d'$   $d$  evaluates to  $d'$  given environment  $\sigma$

$$\frac{}{\sigma \vdash (\lambda x : \tau. d) \Downarrow [\sigma](\lambda x : \tau. d')} \text{ELam}$$

$$\frac{}{\sigma, x \leftarrow d \vdash x \Downarrow d} \text{EVar}$$

$$\frac{\sigma \vdash d_1 \Downarrow [\sigma']\lambda x : \tau. d' \quad \sigma \vdash d_2 \Downarrow d'_2 \quad \sigma', x \leftarrow d'_2 \vdash d'_1 \Downarrow d}{\sigma \vdash d_1 d_2 \Downarrow d} \text{EAp}$$

$$\frac{}{\sigma \vdash (d)^u \Downarrow [\sigma](d)^u} \text{EvalB-EHole}$$

$$\frac{\sigma \vdash d \Downarrow d'}{\sigma \vdash (d)^u \Downarrow [\sigma](d')^u} \text{EvalB-NEHole}$$

Figure: Big-step semantics for evaluation with environments

# Handling recursion

**Fixpoint form** Useful for a pure implementation of recursive functions, from Plotkin's System PCF

$$\begin{array}{c}
 \frac{\sigma \vdash d \Downarrow [\sigma']d'}{\sigma \vdash \text{fix } f : \tau. d \Downarrow [\sigma, f \leftarrow \text{fix } f : \tau. [\sigma']d']d'} \text{EFix} \\
 \\
 \frac{d \neq \text{fix } f : \tau. d'}{\sigma, x \leftarrow d \vdash x \Downarrow d} \text{EVar} \qquad \frac{\sigma \vdash \text{fix } f : \tau. d \Downarrow d'}{\sigma, x \leftarrow \text{fix } f : \tau. d \vdash x \Downarrow d'} \text{EUnwind}
 \end{array}$$

**Figure:** Big-step semantics for evaluation of fixpoints



# Matching the result from evaluation using substitution

$d \uparrow_{\square} d'$   $d$  is substitutes to  $d'$  inside the evaluation boundary

$$\frac{}{c \uparrow_{\square} c} \text{PPI}_{\square} \text{Const} \qquad \frac{d_1 \uparrow_{\square} d'_1 \quad d_2 \uparrow_{\square} d'_2}{d_1 \ d_2 \uparrow_{\square} d'_1 \ d'_2} \text{PPI}_{\square} \text{Ap} \qquad \frac{\sigma \uparrow_{\square} \sigma' \quad \sigma' \vdash d \uparrow_{\square} d'}{[\sigma]d \uparrow_{\square} d'} \text{PPI}_{\square} \text{Closure}$$

$\sigma \vdash d \uparrow_{\square} d'$   $d$  substitutes to  $d'$  outside the evaluation boundary

$$\frac{}{c \uparrow_{\square} c} \text{PPO}_{\square} \text{Const} \qquad \frac{}{\sigma, x \leftarrow d \vdash x \uparrow_{\square} d} \text{PPO}_{\square} \text{BoundVar} \qquad \frac{x \notin \sigma}{\sigma, \vdash x \uparrow_{\square} x} \text{PPO}_{\square} \text{UnboundVar}$$

$$\frac{\sigma \vdash d \uparrow_{\square} d'}{\sigma \vdash \lambda x. d \uparrow_{\square} \lambda x. d'} \text{PPO}_{\square} \text{Lam} \qquad \frac{\sigma \vdash d_1 \uparrow_{\square} d'_1 \quad \sigma \vdash d_2 \uparrow_{\square} d'_2}{\sigma \vdash d_1(d_2) \uparrow_{\square} d'_1(d'_2)} \text{PPO}_{\square} \text{Ap}$$

$$\frac{}{\sigma \vdash \langle d \rangle^u \uparrow_{\square} [\sigma] \langle d' \rangle^u} \text{PPO}_{\square} \text{EHole} \qquad \frac{\sigma \vdash d \uparrow_{\square} d'}{\sigma \vdash \langle d \rangle^u \uparrow_{\square} [\sigma] \langle d' \rangle^u} \text{PPO}_{\square} \text{NEHole}$$

Figure: Big-step semantics for substitution postprocessing

# Generalized closures

Interpretation	Sample expression
Function closure	$[\sigma]\lambda x.d$
Hole closure	$[\sigma](d)^u$
Closure around unmatched <code>let</code>	$[\sigma](\text{let } x = d_1 \text{ in } d_2)$
Closure around unmatched <code>case</code>	$[\sigma](\text{case } x \text{ of rules})$
Closure around filled hole	$\llbracket \sigma \rrbracket d_{fill}$

Table: Examples of generalized closures

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# Motivation for hole instances

```

let a =  $\emptyset^1$  in
let b =  $\lambda x . \{ \emptyset^2 \}$  in
f 3 + f 4

```

Figure: Illustration of hole instances

$$[a \leftarrow [\emptyset]\emptyset^1, x \leftarrow 3]\emptyset^2 + [a \leftarrow [\emptyset]\emptyset^1, x \leftarrow 4]\emptyset^2$$

Figure: Result of Figure 11

# Motivation for hole closures/instantiations I

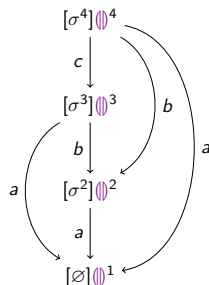
```

let a =  $\bigoplus^1$  in
let b =  $\bigoplus^2$  in
let c =  $\bigoplus^3$  in
let d =  $\bigoplus^4$  in
let e =  $\bigoplus^5$  in
let f =  $\bigoplus^6$  in
let g =  $\bigoplus^7$  in
...
let x =  $\bigoplus^n$  in
 $\bigoplus^{n+1}$ 

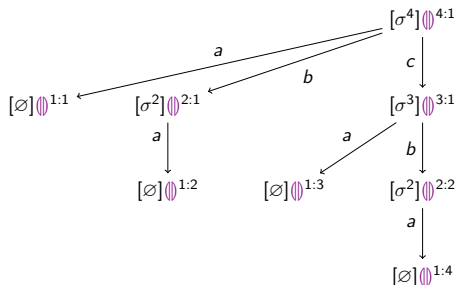
```

Figure: A Hazel program that generates  $2^N$  total hole instances

# Motivation for hole closures/instantiations II



(a) Structure of the result



(b) Numbered hole instances in the result

Figure: Hole numbering in Figure 13

# The hole numbering algorithm

$H, p \vdash d \uparrow_i d' \dashv H'$   $d$  gets renumbered to  $d'$

$$\frac{(u, e) \notin H \quad i = \text{hid}(H, u) \quad H, (u, i) \vdash \sigma \uparrow_i \sigma' \dashv H' \quad H'' = H, (u, e) \leftarrow (i, \{p\}, \sigma')}{H, p \vdash [\sigma^e] \uparrow_i [\sigma'^e] \dashv H''} \text{PP}_i\text{EHoleNew}$$

$$\frac{H = H', (u, e) \leftarrow (i, \{p_i\}, \sigma'^e) \quad H'' = H, (u, e) \leftarrow (i, \{p_i\} \cup \{p\}, \sigma'^e)}{H, p \vdash [\sigma^e] \uparrow_i [\sigma'^e] \dashv H''} \text{PP}_i\text{EHoleFound}$$

$$\frac{H, (u, e) \vdash \sigma \uparrow_i \sigma' \dashv H' \quad (u, e) \notin H \quad i = \text{hid}(H, u) \quad H'' = H, (u, e) \leftarrow (i, \{p\}, \sigma') \quad H'', p \vdash d \uparrow_i d' \dashv H'''}{H, p \vdash [\sigma^e] \uparrow_i [\sigma'^e] \dashv H'''} \text{PP}_i\text{NEHoleNew}$$

$$\frac{H = H', (u, e) \leftarrow (i, \{p_i\}, \sigma'^e) \quad H'' = H, (u, e) \leftarrow (i, \{p_i\} \cup \{p\}, \sigma'^e) \quad H'', p \vdash d \uparrow_i d' \dashv H'''}{H, p \vdash [\sigma^e] \uparrow_i [\sigma'^e] \dashv H'''} \text{PP}_i\text{NEHoleFound}$$

Figure: Hole closure numbering postprocessing semantics

# A unified postprocessing algorithm

$$\boxed{d \uparrow (H, d')} \quad d \text{ postprocesses to } d' \text{ with hole closure info } H$$

$$\frac{d \uparrow_{\square} d' \quad \emptyset, \emptyset \vdash d' \uparrow_i d'' \dashv H}{d \uparrow d'' \dashv H} \text{ PP-Result}$$

Figure: Overall postprocessing judgment



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# Motivating example I

What happens if we want to fill the hole  $\text{hole}^1$  with the expression  $x + 2$ ?

```
let f : Int → Int =
  λ x . {
    case x of
    | 0 ⇒ 0
    | 1 ⇒ 1
    | n ⇒ f (n - 1) + f (n - 2)
    end
  }
in x = f 30
in  $\text{hole}^1$ 
```

Figure: A sample program with an expensive calculation

# Motivating example II

$$[f \leftarrow [\emptyset]\lambda x.\{\dots\}, x \leftarrow 832040] \parallel^1$$

Figure: Result of expensive calculation

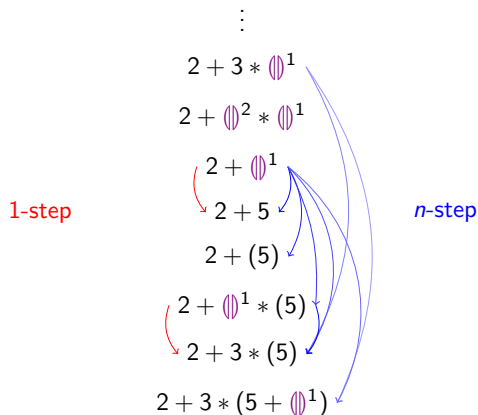
$$\begin{aligned} [f \leftarrow [\emptyset]\lambda x.\{\dots\}, x \leftarrow 832040](x + 2) \\ 832040 + 2 \\ 832042 \end{aligned}$$

Figure: Fill and resume

# The FAR process

Check if a fill is appropriate. If not, evaluate as usual. If so, then:

- 1 Detect fill parameters ( $u$ ,  $d$ )
- 2 “Fill”: substitute  $d$  for every instance of  $u$
- 3 “Resume”: resume evaluation

1-step vs.  $n$ -step FARFigure: 1-step vs.  $n$ -step FAR detection

# Detecting a valid fill operation

**[TODO: this slide]**

# The fill and resume operations

## The fill operation

- Mark closures for re-evaluation
- Fill all instances of hole  $u$  with  $d$

## The resume operation

- Evaluate as normal, except:
- Evaluate closure environments (recursively)

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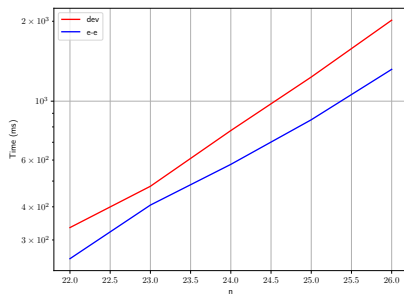
# Evaluation with environments I

```

let f : Int → Int =
  λ x . {
    case x of
    | 0 ⇒ 0
    | 1 ⇒ 1
    | n ⇒ f (n - 1) + f (n - 2)
    end
  } in
f 25

```

(a) Source



(b) Performance

Figure: A computationally expensive Hazel program with no holes

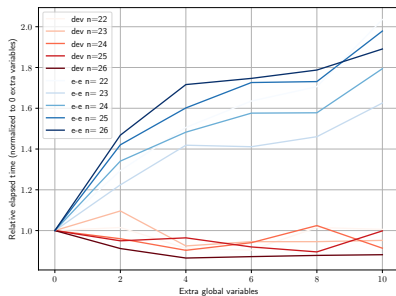
# Evaluation with environments II

```

let a = 0 in
let b = 0 in
let c = 0 in
let d = 0 in
let e = 0 in
let f : Int → Int =
  λ x . {
    case x of
    | 0 ⇒ 0
    | 1 ⇒ 1
    | n ⇒ f (n - 1) + f (n - 2)
  } in
f 25

```

(a) Source



(b) Performance

Figure: Adding global bindings to the fib( $n$ ) program

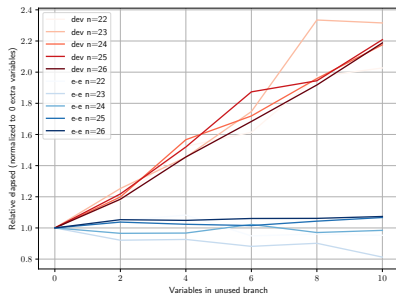
# Evaluation with environments III

```

let f : Int → Int =
  λ x . {
    case x of
    | 0 ⇒ 0
    | 1 ⇒ 1
    | n ⇒ f (n - 1) + f (n - 2)
    | 0 ⇒ f 0 + f 0 + f 0 + f 0 + f 0
    end
  } in
f 25

```

(a) Source



(b) Performance

Figure: Adding variable substitutions to unused branches

# Hole numbering motivating example I

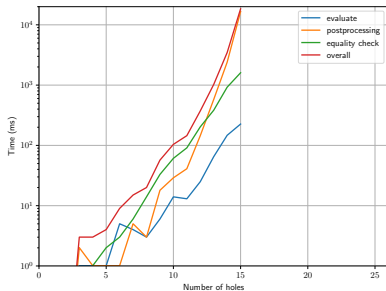
```

let a =  $\text{hole}^1$  in
let b =  $\text{hole}^2$  in
let c =  $\text{hole}^3$  in
let d =  $\text{hole}^4$  in
let e =  $\text{hole}^5$  in
let f =  $\text{hole}^6$  in
let g =  $\text{hole}^7$  in
...
let x =  $\text{hole}^n$  in
 $\text{hole}^{n+1}$ 

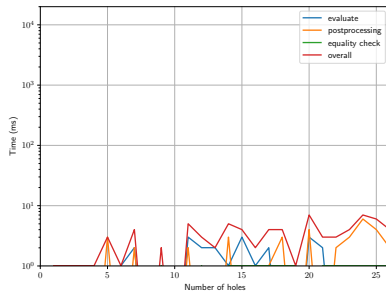
```

Figure: A Hazel program that generates  $2^N$  total hole instances

# Hole numbering motivating example II



(a) dev branch



(b) eval-environment branch

Figure: Performance of evaluating program in Figure 13

# FAR motivating example I

Program	Steps	Steps (w/ FAR)	Step $\Delta$	Cumulative Step $\Delta$
<pre>let f = ... in let a = <math>\textcircled{1}</math><sup>1</sup> in <math>\textcircled{1}</math><sup>2</sup></pre>	7	-	0	0
<pre>let f = ... in let a = f in <math>\textcircled{1}</math><sup>2</sup></pre>	12	21	9	9
<pre>let f = ... in let a = f <math>\textcircled{1}</math><sup>3</sup> in <math>\textcircled{1}</math><sup>2</sup></pre>	17	-	0	9
<pre>let f = ... in let a = f <sup>2</sup> in <math>\textcircled{1}</math><sup>2</sup></pre>	58	69	11	20

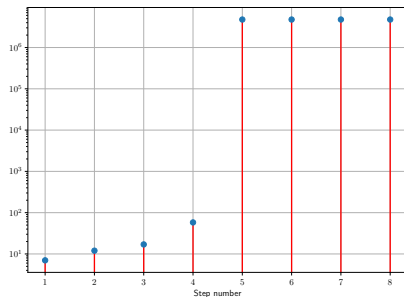
Table: A program edit history with an expensive computation

# FAR motivating example II

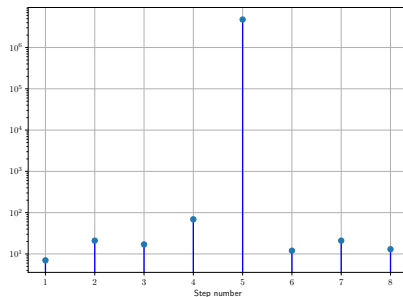
Program	Steps	Steps (w/ FAR)	Step $\Delta$	Cumulative Step $\Delta$
<pre>let f = ... in let a = f 25 in (<math>\text{f}^2</math>)</pre>	4762964	-	0	20
<pre>let f = ... in let a = f 25 in (<math>\text{f}^2</math> + <math>\text{f}^4</math>)</pre>	4762966	12	-4762954	-4762934
<pre>let f = ... in let a = f 25 in (<math>\text{f}^2</math> + 2)</pre>	4762966	21	-4762954	-9525879
<pre>let f = ... in let a = f 25 in a + 2</pre>	4792967	13	-4792954	-14288813

Table: A program edit history with an expensive computation, cont'd.

# FAR motivating example III



(a) Normal evaluation



(b) With one-step FAR

Figure: Number of evaluation steps per edit in Table 2



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# Innovations of this work

Generalized closures Useful for evaluation and memoization

Unique hole closures Grouping hole instances by environment

FAR as a generalization of evaluation Each edit is a  $n$ -step FAR

# Proposed updates to the evaluation model I

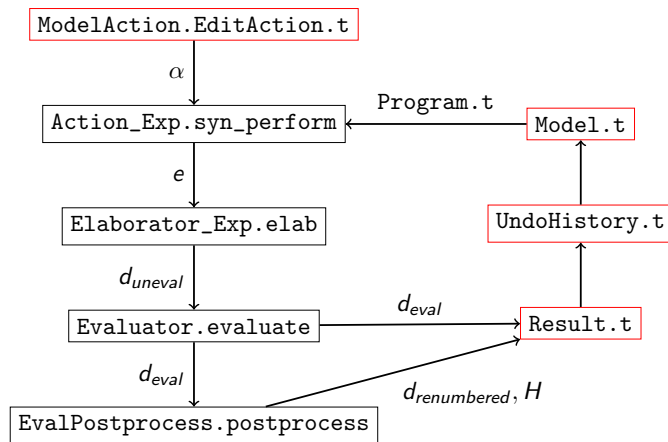


Figure: Previous evaluation model

# Proposed updates to the evaluation model II

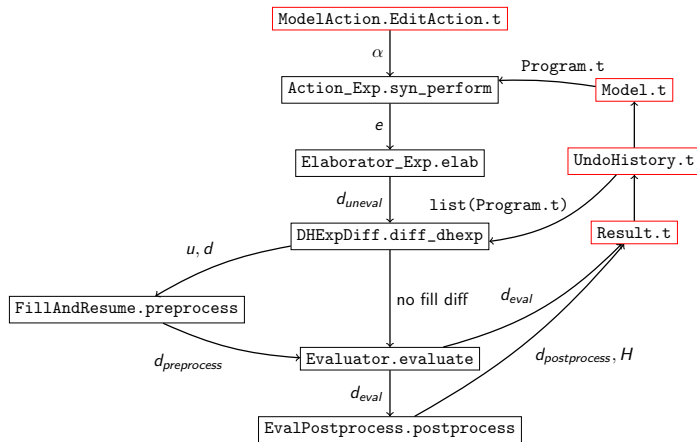


Figure: Proposed evaluation model

# Future work

Fully automatic FAR Integrate FAR into the Hazel MVC model

*n*-step FAR Integrate edit history into FAR

Generalized memoization Unify notation and metatheory of memoization

Formal evaluation of metatheory Check coverage and correctness of metatheorems using Agda

User editing studies Gather data on “true” performance impact

# Conclusions

**Evaluation with environments** Expected performance gains,  
implementation remains functionally pure

**Generalized closures** Simplify many parts of the implementation, also  
useful for FAR

**Memoization of environments** Applicable for postprocessing, equality  
checking, resume operation

**FAR PoC** Including  $n$ -step detection, re-evaluation of closures

**Plausible metatheory** For future work in Agda

# References I



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