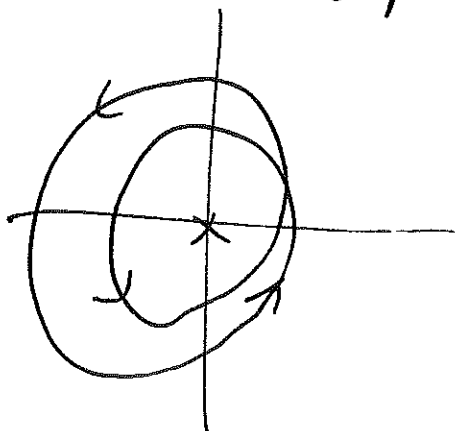


# Homotopy & Degree

Reminder:

if you have a function  $f: S^1 \rightarrow \mathbb{R}^2 \setminus P$

loop in the plane



the degree is  $\deg(f)$  is number of times  
it goes around the hole.

Fact: Two loops  $f, g$  are homotopic  
if and only if they have the same degree.

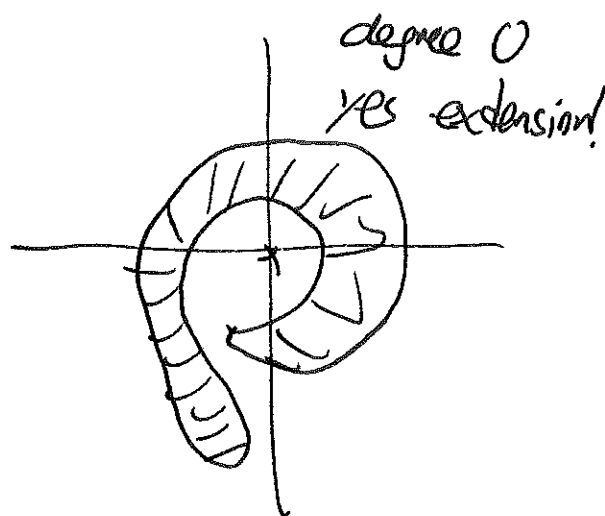
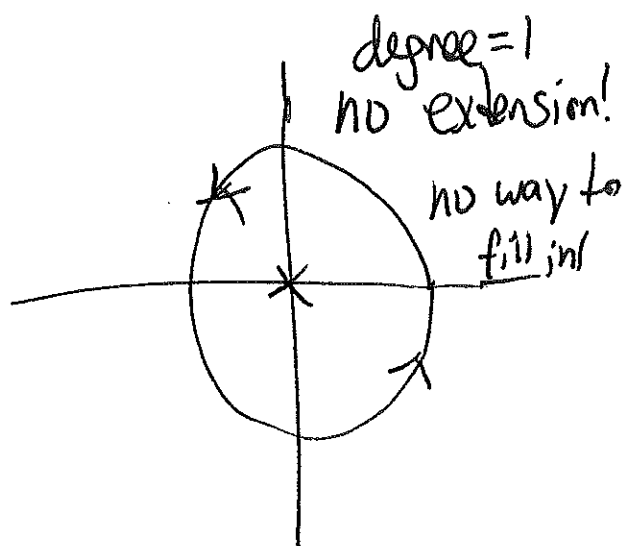
Fact #2:

$$f: S^1 \rightarrow \mathbb{R}^2 \setminus P$$

can be extended to

$$\tilde{f}: D^2 \rightarrow \mathbb{R}^2 \setminus P$$

if and only if degree is 0.



# The fundamental theorem of algebra

If  $p(x)$  is a <sup>nonconstant</sup> polynomial with complex coefficients, then  $p(x)$  has a (complex) root.

---

We had polynomial  $x^2+1$ , no roots.

So we invented a root:  $i$ .

---

---

This lets us solve every polynomial. That's crazy!

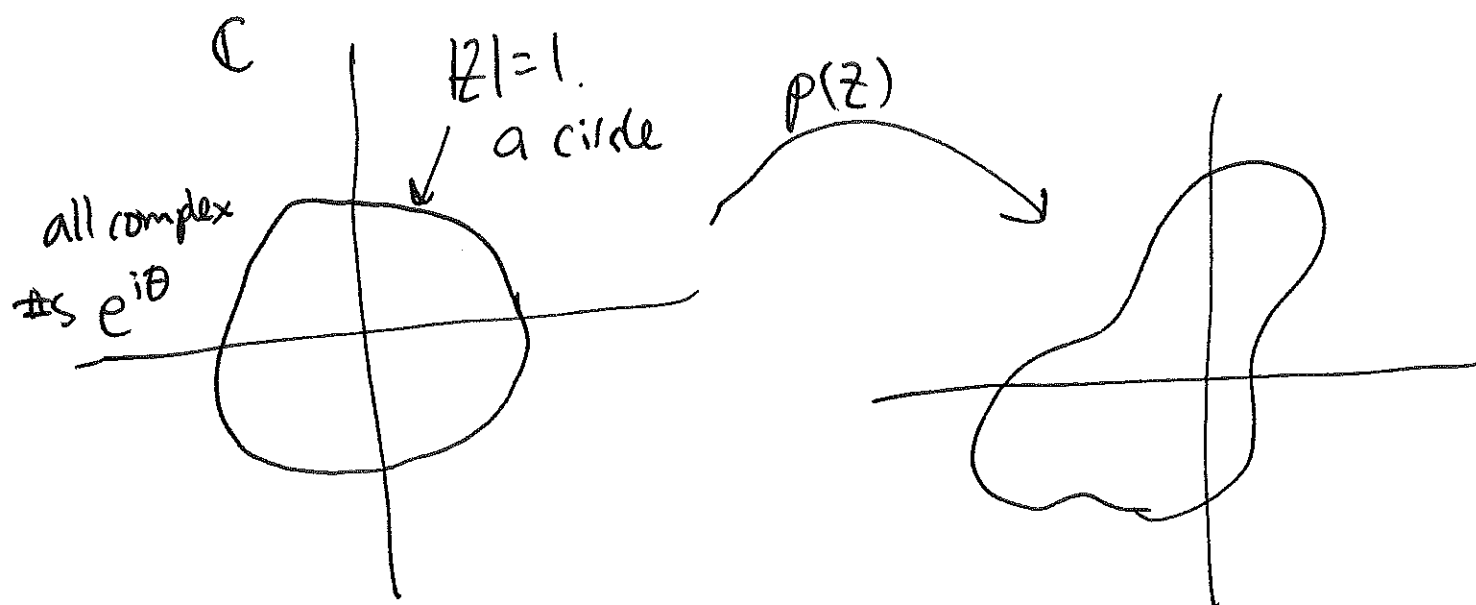
Just adding  $\sqrt{2}$  to  $\mathbb{Q}$  (for example) still leaves many unsolvable things

Idea:

$z = \text{complex}$   
variable

Any time you have a polynomial  $p(z)$

I can turn it into a loop:

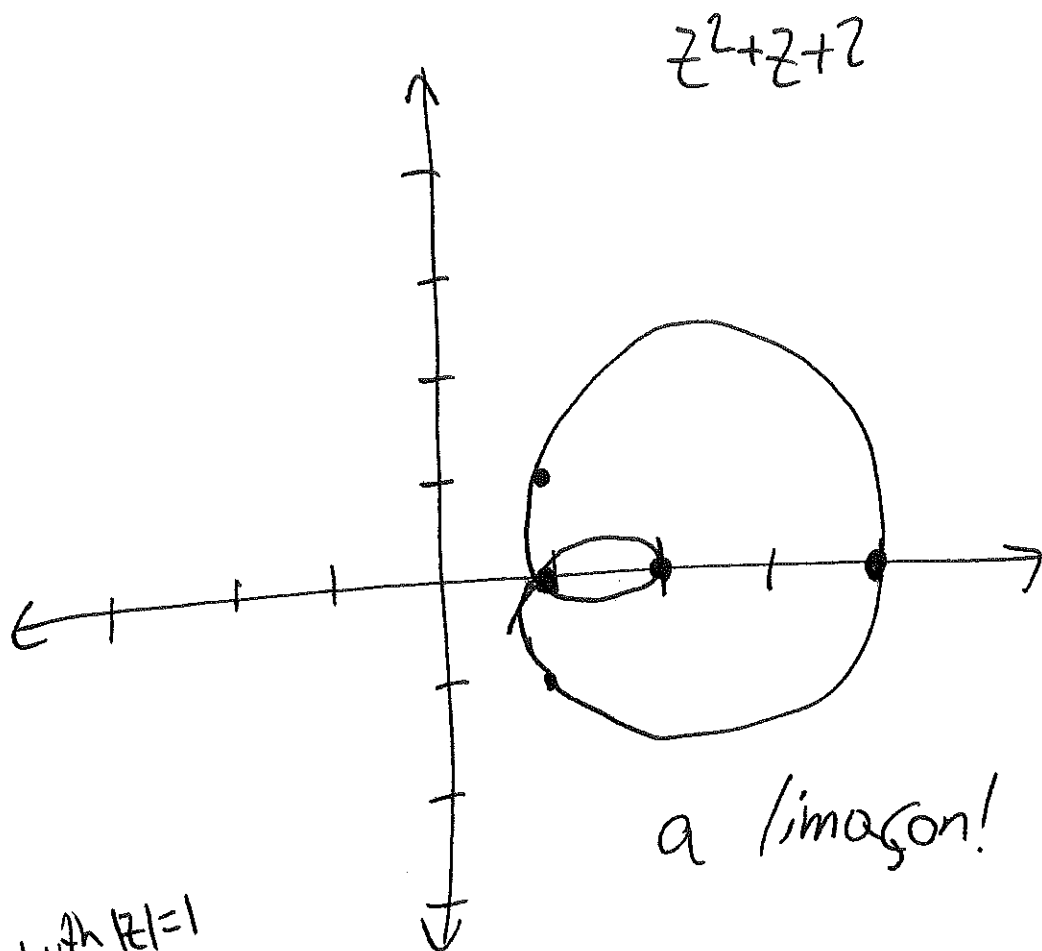


Q What's the loop corresponding

to

$$p(z) = z^2 + z + 2$$

?



with  $|z|=1$

↓

$z$	$p(z)$
1	4
-1	2
$i$	$1+i$
$-i$	$1-i$
$e^{i \cdot 120^\circ}$	1

(tried lots on computer)

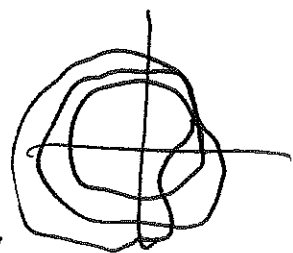
degree & path  
changes for  
different quadratics.

e.g. shift limaçon left/right  
by adding constants.

What about  $z^3$ ?  $(e^{i\theta})^3 = e^{3i\theta}$   
 goes around 3 times.  $\parallel \rightarrow$  degree 3

What if we look at a polynomial like

$$z^3 + 0.1z^2 - 0.2z + 0.01$$



$\parallel \rightarrow$  just adds a little wiggle to  $z^3$ , but degree still 3.  
 loop

Conclusion:

all the coefficients very small  
 for  $z^n + ( \quad )z^{n-1} + \dots + \dots$

we get a loop of degree  $n$ ,

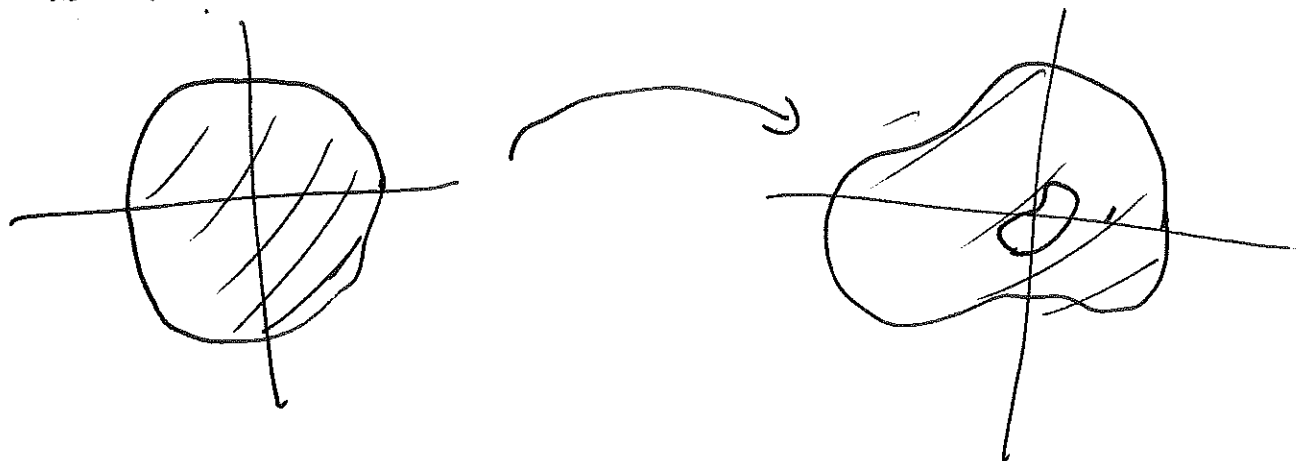
since it's just a wiggle of the  $z^n$  loop.

Here's the trick:

Suppose  $p(z) = z^n + (\text{terms with small coefficients})$

has no root: there's no complex number  $z_0$  with  $p(z_0) = 0$ .

Then we can extend the loop for  $p(z)$  to a map from the disk, which misses  $(0,0)$  since  $p$  had no root.



Impossible! Can't extend for degree  $n$  loop.

unless  $n=0$ .

→ A polynomial  $p(z) = z^n + (\text{small stuff})$

must have a root!

But every polynomial can be turned into  $z^n + \text{small stuff}$ .

$$z^3 + 3z^2 - 7z + 4 = 0$$

let  $y = z/1000$ .

then

$$(1000y)^3 + 3(1000y)^2 - 7(1000y) + 4 = 0$$

$\div 10^9$

$$y^3 + \frac{3}{1000}y^2 - \frac{7}{1000000}y + \frac{4}{1000000000} = 0$$

↑

$\underbrace{\hspace{10em}}_{\text{all small}}$

that has a root  $y_0$  by degree,  
so our original does too:

$$z_0 = 1000y_0.$$



# Borsuk-Ulam theorem (run-up to ham sandwich)

Suppose  $f: S^2 \rightarrow \mathbb{R}^2$  is continuous.

Then there's an  $x$  such that  $f(x) = f(-x)$

the opposite point  
on sphere.

Ex.

$$f(\text{a point on Earth}) = \begin{pmatrix} \text{temperature at } x, & \text{humidity at } x \end{pmatrix}$$

---

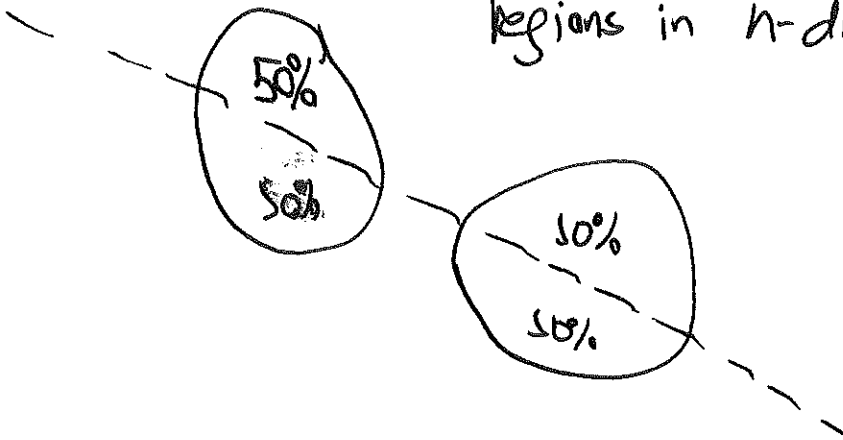
Let's just believe this for now.

(has to do with degree)

Assume B-U theorem

Ham sandwich theorem.

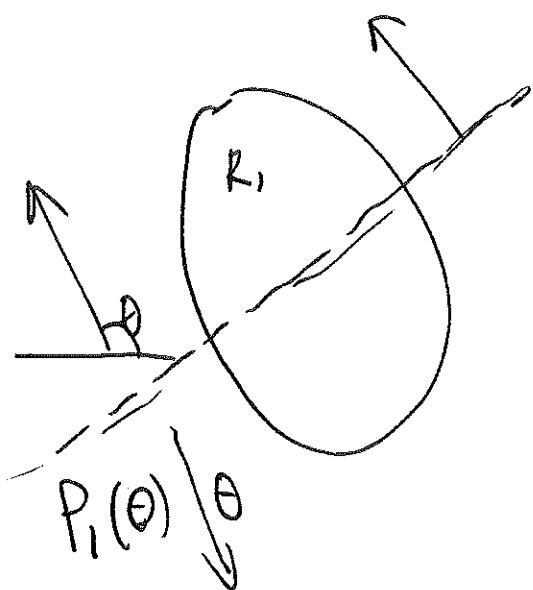
Say we have  $n$  regions in  $n$ -dimensional space.



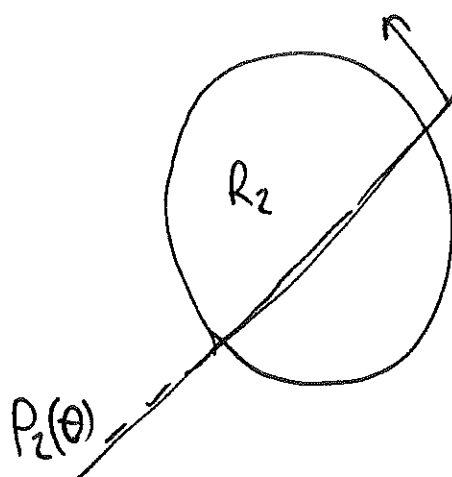
Can make a cut that splits every region in half.

2D proof.

# 2D proof



$d(\theta) < 0$  here.



for any angle  $\theta$ , you can find a ~~plane~~<sup>cut</sup>  $P_1(\theta)$  cutting with normal direction  $\theta$  which cuts  $R_1$  in half, and a ~~plane~~<sup>cut</sup>  $P_2(\theta)$  with normal  $\theta$  which cuts  $R_2$  in half.

goal: Show there's a  $\theta$  where the two are the same.

parallel planes

Define a function  $d(\theta) = \text{distance between } P_1(\theta) \text{ \& } P_2(\theta)$

positive if  $P_2(\theta)$  is in positive normal direction, from  $P_1(\theta)$   
negative if  $P_2(\theta)$  is in negative direction.

What if we use  $-\theta$ ?  
 $P_1(\theta) = P_1(-\theta)$   
 $P_2(\theta) = P_2(-\theta)$

$$d(\theta) = -d(-\theta)$$

$$d: S' \rightarrow \mathbb{R}$$



Sq:

2D Borsuk-Ulam theorem:

If  $f: \overset{\text{circle}}{S^1} \rightarrow \mathbb{R}$ , there's an  $x$  so  $f(x) = f(-x)$

---

Applying to our  $d$  function from before:

There's a  $\theta$  so  $d(\theta) = d(-\theta)$

But we know:  $d(\theta) = -d(-\theta)$ .

This means  $d(\theta) = 0$ ! So  $P_1(\theta) = P_2(\theta) = \emptyset$ !

So cut at this angle  $\theta$ . the two planes agree.

---

For 3D one get

For 3D,

given a point on sphere  $S^2$ , think of it as giving a normal direction  $\hat{n}$ .

find cuts  $P_1(\hat{n})$ ,  $P_2(\hat{n})$ ,  $P_3(\hat{n})$  cutting regions in half. (Want to find  $\hat{n}$  that makes them the same.)

Define  $d_{12}(\hat{n}) = \overset{\text{Signed}}{\text{distance}} P_1(\hat{n}) \& P_2(\hat{n})$

$d_{13}(\hat{n}) = \text{distance } P_1(\hat{n}) \& P_3(\hat{n}).$

→ Gives us

$$d: S^2 \rightarrow \mathbb{R}^2$$

$$d(\hat{n}) = (d_{12}(\hat{n}), d_{13}(\hat{n}))$$

$$\begin{aligned} \cancel{d(\hat{n})} &= \cancel{-d(-\hat{n})} \\ d(-\hat{n}) &= -d(\hat{n}). \end{aligned}$$

BU tells us there's an  $\hat{n}$  so  $d(\hat{n}) = d(-\hat{n})$  as before.  
so  $d(\hat{n}) = 0$ , all three the same.