

Minimum number of special characters in a merged string

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Suppose we are merging pairs of k-mers of equal length l , where each pair of k-mers may overlap with each other by k characters. And each k-mer contains a subset of characters which we denote ‘special characters’, such that the total number of characters in each k-mer is at least p .

We are specifically interested in finding a lower bound on the relative proportion of special characters in the merged string, accounting for the fact that the special characters may fall entirely in the overlap region k . We will denote this as ℓ_{min} . This quantity may be of interest if we want to ensure that merging k-mers does not cause the proportion of special characters in each k-mer to drastically decrease, and want to restore our confidence in our methods by finding a lower bound.

To start, we consider the length of the merged k-mers L , which is

$$L = 2l - k$$

since each k-mer has length l , and the overlap region is of length k .

We will then consider the ‘worst-case’ scenario, where all special characters are found in the overlap region. This entails that $k = p$ gives rise to the worse-case scenario. Thus, the special characters in each k-mer are identical, which entails that each k-mer has no unique special characters.

The proportion of the merged k-mer of length L that contains the unique characters is therefore p/L , and since $k = p$, we can write that

$$\ell_{min} = \frac{p}{2l - p}$$

This is the desired lower bound.

We may ‘naively’ (and incorrectly) think that since each k-mer contains at least p/l proportion of special characters that the merged k-mer’s lower bound of the proportion of special characters occurs when there is no overlap, so $k = 0$. This would imply that the merged

k-mer has a proportion of special characters $\ell = 2p/2l = p/l$. But to show that p/l is not the true minimum, we would like to prove the inequality

$$\begin{aligned}\frac{p}{2l-p} &\leq \frac{p}{l} \\ \iff 2l &\geq l+p \\ \iff l &\geq p\end{aligned}$$

Which must be true since p is defined as a length of a substring of l .