

# OCaml: Binary Tree

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# Introduction

This midterm project mainly revisits what we have done in the past, including definitions, self-reference, unit-test functions, inductive specification of the computation and structurally recursive function.

The project mainly contains 5 parts:

1. Revisiting accurate definition using Aristotle's four causes; revisiting microprocessor, interpreter, compiler, and self-reference
2. Revisiting induction and recursion through alternative types of binary tree
3. Further implementing structurally recursive functions to transform left-binary-tree
4. Further implementing structurally recursive functions to transform right-binary-tree
5. Rebalancing left-trees into right-trees and vice-versa.

More details will be shown in the following parts and conclusion.

## Part 1

### Question 1.1

1. A microprocessor
  - Microprocessor operates (efficient cause)
  - programs written in symbolic machine code which is (material cause)
  - implemented in hardware (formal cause)
  - to execute the programs (final cause)
2. An ordinary computer printer
  - After taking instructions from computer (formal cause)
  - a printer converts (efficient cause)
  - graphics or/and text on computer (material cause)
  - to physical graphics or/and text on paper (final cause)
3. A binary tree
  - A binary tree arranges (efficient cause)
  - data (material cause)
  - such that each node has at most two leaves (formal cause)
  - so data can be stored and processed efficiently (final cause)

## Question 1.2

a. Yes, we can.

1. Using the compiler from Python to x86 written in x86, compile the interpreter for Scheme written in Python. The result is an interpreter for Scheme written in x86.
2. The x86 microprocessor executes the interpreter for Scheme written in x86, this interpreter executes the interpreter for OCaml written in Scheme, and this interpreter executes the OCaml program, as shown in the figure below.

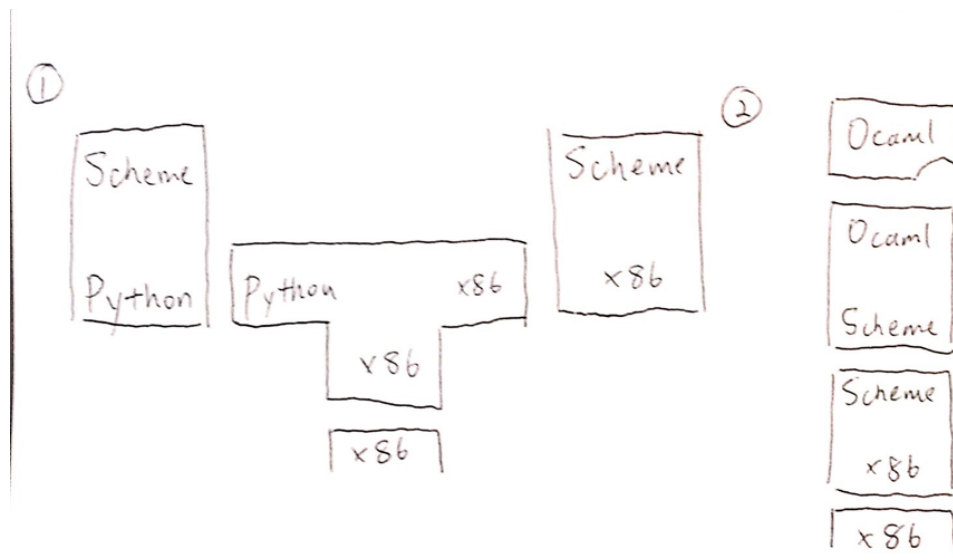


Figure 1 Question 1.2.a

b. Yes, we can.

1. The x86 microprocessor executes the interpreter for Scheme written in x86, this interpreter executes the compiler from Python to Scheme written in Scheme, which compiles the interpreter for Python written in Python. The result is an interpreter for Python written in Scheme.
2. The x86 microprocessor executes the interpreter for Scheme written in x86, this interpreter executes the interpreter for Python written in Scheme, and this interpreter executes the compiler from OCaml to x86 written in Python, which compiles the program written in OCaml into a program written in x86.
3. The x86 microprocessor executes this program written in x86, as shown in the figure below.

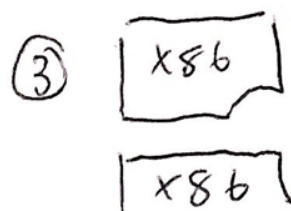
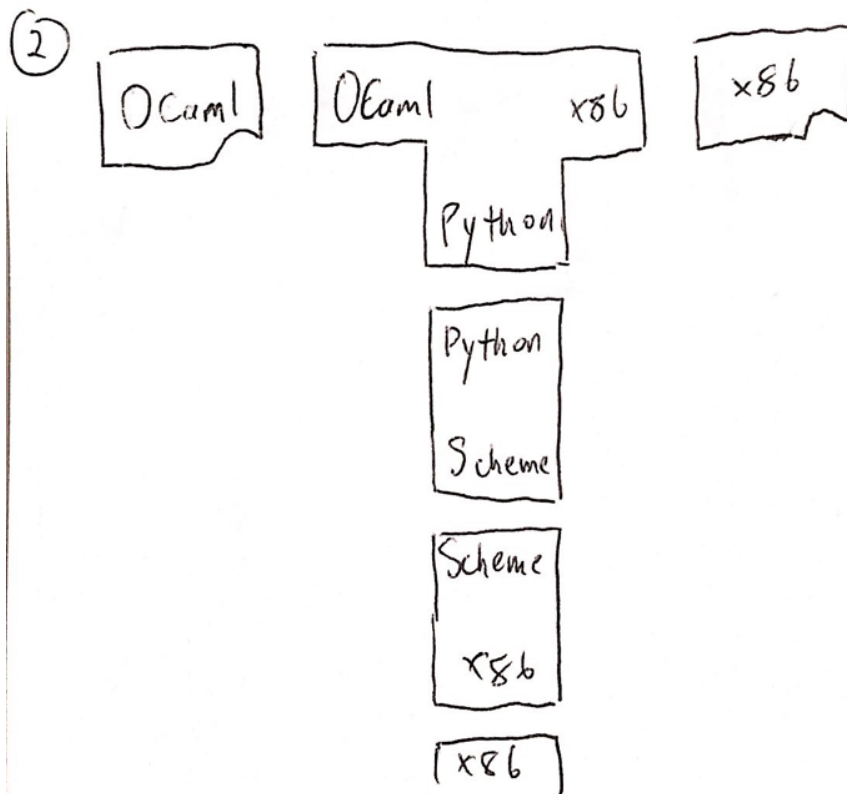
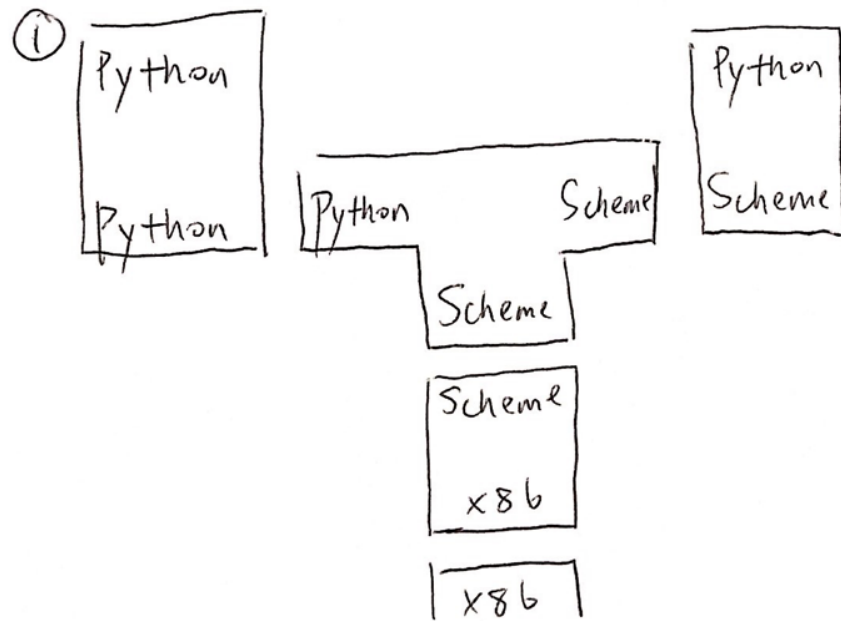


Figure 2 Question 1.2.b

c. Yes, we can.

1. The x86 microprocessor executes the interpreter for Scheme written in x86, this interpreter executes the compiler from OCaml to Scheme written in Scheme, which compiles the program written in OCaml. The result is a program written in Scheme.
2. The x86 microprocessor executes the interpreter for Scheme written in x86, this interpreter executes the program written in Scheme, as shown in the figure below.

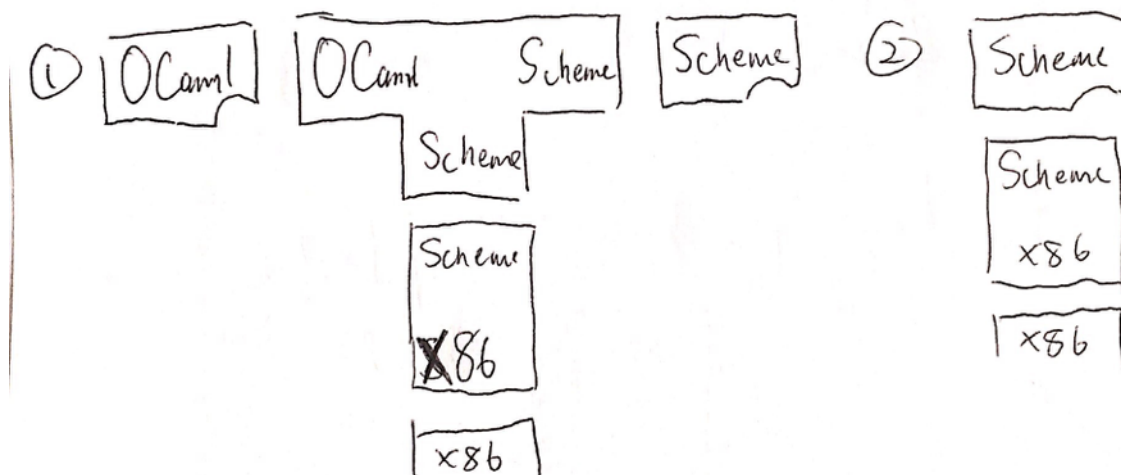


Figure 3 Question 1.2.c

### Question 1.3

- a. 1. true, always, 2 times an integer from 0 to 4 will always give an even number.
- b. 3. sometimes true, sometimes false, but an exception is never raised, because  $2 * 5 = 10$ , an integer from 0 to 9 is either an odd or even number.
- c. 1. true, always, because 2 times an integer from 0 to 5 will always give an even number.
- d. 3. sometimes true, sometimes false, but an exception is never raised, because  $2 * 6 = 12$ , an integer from 0 to 11 is either an odd or even number.
- e. 2. sometimes a random number, sometimes no result because an exception is raised, because `Random.int (6 * 9)` will give an integer from 0 to 53, and the outer `Random.int (Random.int (6 * 9))` will give an integer from 0 to the integer that `Random.int (6 * 9)` gives, but if `Random.int (6 * 9)` gives 0, then `Random.int 0` gives no result because applying `Random.int` to a non-positive integer is undefined and so an exception is raised.

## Part 2

Let's declare some data type before we start answering questions below. For this part, we mainly need to declare an data type called `binary_tree'`. This `binary_tree'` is inductively constructed as follows:

- in the base case, a leaf is written as `Leaf'`; and
- in the inductive case, given two binary trees `t1`, an integer `n` and `t2`, a node grouping these two trees (as subtrees) is written as `Node' (t1, n, t2)`.

### Question 2.1

#### Textual description

The `binary_tree'` is constructed recursively, so the function that accounts the number of leaves is constructed by recursively adding the number of the leaves in sub trees.

#### Construction of unit-tests

We have included different candidate binary trees that range from 1 to 6 leaves. Both Toby and I have constructed different binary trees of 1 to 4 leaves, and Kota constructed an outlier, a binary tree of 6 leaves to ensure the validity of unit-test function.

```
let test_count_leaf candidate_leaf =  
  (* test_count_leaf : (binary_tree' -> int) -> bool *)  
  (candidate_leaf (Leaf')  
   = 1)  
  &&  
  (candidate_leaf (Node' (Leaf', 1, Leaf'))  
   = 2)  
  &&  
  (candidate_leaf (Node' (Node' (Leaf', 2,  
                                Leaf')  
                                , 1, Leaf'))  
   = 3)  
  &&  
  (candidate_leaf (Node' (Leaf', 1,  
                          (Node' (Leaf', 2,  
                                Leaf'))))  
   = 3)  
  &&  
  (candidate_leaf (Node' (Node' (Leaf', 2,  
                                Leaf'), 1,  
                          (Node' (Leaf', 3,  
                                Leaf'))))  
   = 4)  
  &&  
  (candidate_leaf (Node' (Node' (Node' (Leaf', 4, Leaf'), 2,  
                                Leaf'), 1,  
                          Node' (Leaf', 3,  
                                Node' (Leaf', 5,  
                                      Leaf'))))  
   = 6)  
  (* etc. *);;
```

## Inductive specification

### Base case:

the number of leaves in Leaf' is 1

### Inductive case:

For any binary tree' t1 (resp. t2) whose number of leaves is n1 (resp. n2), the number of leaves in Node' (t1, int, t2) is the sum of n1 and n2 to account for the leaves in t1 and in t2.

We then construct the recursive function according to this specification.

```
let rec count_leaf t =  
  (* count_leaf : binary_tree' -> int *)  
  match t with  
  | Leaf' ->  
    1  
  | Node' (t1, n, t2) ->  
    let n1 = count_leaf t1  
    and n2 = count_leaf t2  
    in n1 + n2;;
```

## Implementation of unit-tests

The count\_leaf function successfully passed the unit-tests. No alert showed.

```
let () = assert(test_count_leaf count_leaf);;
```

## Question 2.2

### Textual description

Similar to the function above, this function accounts the number of nodes by recursively adding the number of the node in sub trees, plus 1 to account for the node that hold two sub trees together.

### Construction of unit-tests

Again, we have included different candidates that ranges from 1 to 5 nodes. Both Toby and I have constructed different binary trees of 1 to 3 nodes, and Kota constructed a binary tree of 5 nodes.



```

let test_count_node candidate_node =
  (* test_count_leaf : (binary_tree' -> int) -> bool *)
  (candidate_node (Leaf')
   = 0)
  &&
  (candidate_node (Node' (Leaf', 1, Leaf'))
   = 1)
  &&
  (candidate_node (Node' (Node' (Leaf', 2,
                                Leaf'),
                              1, Leaf'))
   = 2)
  &&
  (candidate_node (Node' (Leaf', 1,
                          (Node' (Leaf', 2,
                                   Leaf'))))
   = 2)
  &&
  (candidate_node (Node' (Node' (Leaf', 2,
                                Leaf'), 1,
                              (Node' (Leaf', 3,
                                       Leaf'))))
   = 3)
  &&
  (candidate_node (Node' (Node' (Node' (Leaf', 4,
                                        Leaf'), 2,
                                        (Node' (Leaf', 3,
                                                Node' (Leaf', 5,
                                                         Leaf'))))
                              1,
                              (Node' (Leaf', 3,
                                       Leaf'))))
   = 5 )
  (* etc. *);;

```

## Inductive specification

### Base case:

the number of nodes in Leaf' is 0

### Inductive case:

for any binary tree' t1 (resp. t2) whose number of nodes is n1 (resp. n2),

the number of nodes of Node (t1, int, t2) is the sum of n1 and n2 to account for the nodes in t1 and t2,

plus 1 to account for the node that holds t1 and t2 together.

We then construct this function according to this specification.

```

let rec count_node t =
  (* count_node : binary_tree' -> int *)
  match t with
  | Leaf' ->
    0
  | Node' (t1, n, t2) ->
    let n1 = count_node t1
    and n2 = count_node t2
  in n1 + n2 + 1;;

```

### Implementation of unit-tests

The `count_node` function successfully passed the unit-tests.

```
let () = assert(test_count_node count_node);;
```

### Question 2.3

There seems to be a relationship between the number of leaves and node:

$$\text{Number of leaves} = \text{Number of node} + 1$$

Thus this observation becomes our hypothesis:

for any tree, its number of leaves is its number of nodes, plus 1

#### Base case:

given a Leaf, the number of a node is 0, and the number of leaves is 1.

Indeed  $1 = 0 + 1$ , so the hypothesis is true for the base case.

#### Inductive case:

Given two trees  $t_1$  and  $t_2$  such as

$t_1$  contains  $l_1$  leaves and  $n_1$  nodes, and  $l_1 = n_1 + 1$  (inductive hypothesis), and

$t_2$  contains  $l_2$  leaves and  $n_2$  nodes, and  $l_2 = n_2 + 1$  (inductive hypothesis)

Given a tree whose left subtree is  $t_1$  and right subtree  $t_2$ ,

this tree contains  $l_1 + l_2$  leaves, which is  $n_1 + 1 + n_2 + 1$ , and

this tree contains  $n_1 + n_2 + 1$  nodes,

so, the number of leaves of this tree,  $l_1 + l_2$ , is its number of nodes, i.e.,  $n_1 + n_2 + 1$ , plus 1

Because the hypothesis is true for base case and inductive case, the hypothesis is true for all trees.

### Question 2.4

#### Textual description

This `left_balanced'` function will test whether the binary tree is left-balanced, i.e. all its right sub-trees are leaves.

## Construction of unit-tests

We included different candidate binary trees. Some are left-balanced while the others are not. Because the function outputs in Booleans, the result will either be true or false.

```
let test_left_balanced' candidate =  
  (candidate Leaf'  
    = true )  
  &&  
    (candidate (Node' (Leaf',  
                      1,  
                      Leaf'))  
      = true )  
  &&  
    (candidate (Node' (Node' (Leaf',  
                          2,  
                          Leaf'),  
                      1,  
                      Leaf'))  
      = true )  
  &&  
    (candidate (Node' (Node' (Node' (Leaf',  
                                    3,  
                                    Leaf'),  
                                2,  
                                Leaf'),  
                      1,  
                      Leaf'))  
      = true )  
  &&  
    (candidate (Node' (Node' (Node' (Node' (Leaf',  
                                            3,  
                                            Leaf'),  
                                        2,  
                                        Leaf'),  
                                    1,  
                                    Leaf'),  
                      0,  
                      Leaf'))  
      = true )  
  &&  
    (candidate (Node' (Node' (Node' (Leaf',  
                                  1,  
                                  Leaf'),  
                              3,  
                              Node' (Leaf',  
                                    0,  
                                    Leaf'))))  
      = false )  
  &&(* addition test by our team *)  
    (candidate (Node' (Node' (Leaf', 2,  
                          Leaf'), 1,  
                          (Node' (Leaf', 3,  
                                Leaf'))))  
      = false)  
  (* etc. *);;
```

## Inductive specification

### Base case:

the binary tree obtained by evaluating Leaf' is vacuously left-balanced.

### Inductive case:

Given two binary trees t1 and t2,

- 1) if t2 is a leaf, then Node (t1, t2) is left-balanced  
whenever t1 is left-balanced,
- 2) if t2 is a node, then Node (t1, t2) is not left-balanced

In OCaml, this function is implemented as a *structurally recursive function* to check whether all right sub-trees are leaves.

```
let rec left_balanced' t =  
  (* left_balanced' : binary_tree' -> bool *)  
  match t with  
  | Leaf' ->  
    true  
  | Node' (t1, n, t2) ->  
    let b1 = left_balanced' t1  
    and b2 = (match t2 with  
               | Leaf' ->  
                 true  
               | Node' _ ->  
                 false)  
    in b1 && b2;;
```

## Implementation of unit-tests

The left\_balance function successfully passed the unit-tests.

```
let() = assert (test_left_balanced' left_balanced');
```

## Question 2.5

### Textual description

Similar to above function, the right\_balanced' function will test whether the binary tree is right-balanced, i.e. all its left sub-trees are leaves.

### Construction of unit-tests

Similar to the unit-test function for left\_balanced, some candidate binary trees are right-balanced while the others are not.

```

let test_right_balanced' candidate_right =
  (* test given by instruction *)
  (candidate_right (Leaf')
    = true)
  &&
  (candidate_right (Node' (Leaf', 1,
    Leaf'))
    = true)
  &&
  (candidate_right (Node' (Leaf', 1,
    (Node' (Leaf', 2,
    Leaf'))))
    = true)
  &&
  (candidate_right (Node' (Leaf', 1,
    (Node' (Leaf', 2,
    (Node' (Leaf', 3,
    Leaf')))))
    = true)
  &&
  (candidate_right (Node' (Leaf', 1,
    (Node' (Leaf', 2,
    (Node' (Leaf', 3,
    (Node' (Leaf', 4,
    Leaf'))))))))
    = true)
  &&
  (candidate_right (Node' (Node' (Leaf', 2,
    Leaf'), 1,
    (Node' (Leaf', 3,
    Leaf'))))
    = false)
  (* etc *);;

```

## Inductive specification

### Base case:

the binary tree obtained by evaluating Leaf' is vacuously right-balanced.

### Inductive case:

Given two binary trees t1 and t2,

- 1) if t1 is a leaf, then Node (t1, t2) is right-balanced  
whenever t1 is right-balanced,
- 2) if t1 is a node, then Node (t1, t2) is not right-balanced

In OCaml, this function is implemented as a *structurally recursive function* to check whether all left sub-trees are leaves.

```

let rec right_balanced' t =
  (* right_balanced' : binary_tree' -> bool *)
  match t with
  | Leaf' ->
    true
  | Node' (t1, n, t2) ->
    let b2 = right_balanced' t2
    and b1 = (match t1 with
      | Leaf' ->
        true
      | Node' _ ->
        false)
    in b1 && b2;;

let() = assert(test_right_balanced' right_balanced');;

```

## Implementation of unit-tests

The right\_balance function successfully passed the unit-tests.

```
let() = assert(test_right_balanced' right_balanced');
```

## Question 2.6

For a binary tree to be both left and right balanced, the tree's sub-trees should be both leaves.

So only two trees are both left and right balanced

these trees are:

1. Leaf' (vacuously true)
2. Node' (Leaf', n, Leaf') for any integer n

## Part 3

“Believe you can and you're halfway there”. - Theodore Roosevelt

## Question 3.1

This project\_binary\_tree'\_into\_left\_binary\_tree' function is partial: it is not defined on some of its input (ie.all binary trees). For example, input a not left-balanced binary tree is undefined, because the function only inputs left-balanced binary trees. So to make the function total, we need an option type. In this way, inputting a not left-balanced binary tree will return None\_left\_binary\_tree'.

## Question 3.3

### Textual description

The stitching function stitches the top of the left\_binary\_tree t1 to the bottom of the left\_binary\_tree t2.

## Construction of unit-tests

The unit-test function is given by the instruction and we have added the additional pictorial example to the unit test.

```
let test_left_stitch candidate =
  (* test_left_stitch : (left_binary_tree' -> left_binary_tree' -> left_binary_tree') -> bool *)
  (candidate Left_Leaf'
    Left_Leaf'
    = Left_Leaf')
  &&
  (candidate (Left_Node' (Left_Leaf', 1))
    Left_Leaf'
    = Left_Node' (Left_Leaf', 1))
  &&
  (candidate Left_Leaf'
    (Left_Node' (Left_Leaf', 1))
    = Left_Node' (Left_Leaf', 1))
  &&
  (candidate (Left_Node' (Left_Node' (Left_Leaf', 2), 1))
    Left_Leaf'
    = Left_Node' (Left_Node' (Left_Leaf', 2), 1))
  &&
  (candidate Left_Leaf'
    (Left_Node' (Left_Node' (Left_Leaf', 2), 1))
    = Left_Node' (Left_Node' (Left_Leaf', 2), 1))
  &&
  (candidate (Left_Node' (Left_Node' (Left_Leaf', 4), 3))
    (Left_Node' (Left_Node' (Left_Leaf', 2), 1))
    = Left_Node' (Left_Node' (Left_Node' (Left_Node' (Left_Leaf', 4), 3), 2), 1))
  &&
  (candidate (Left_Node' (Left_Node' (Left_Leaf', 5), 4))
    (Left_Node' (Left_Node' (Left_Node' (Left_Leaf', 3), 2), 1))
    = Left_Node' (Left_Node' (Left_Node' (Left_Node' (Left_Node' (Left_Leaf', 5), 4), 3), 2), 1))
  (* etc. *));;
```

## Inductive specification

### Base case:

given a left\_binary\_tree' t1 and Left\_Leaf' t2

stitching t1 and t2 gives t1

### Induction case:

given any left\_binary\_tree' t1 and left\_binary\_tree' t2'

such that stitching t1 and t2' gives t3 in Left\_Node' (t3, n)

In OCaml, this function is implemented as a structurally recursive function taking two left-binary trees and mapping to a stitched left-binary tree:

```
let rec left_stitch t1 t2 =
  (* left_stitch: left_binary_tree' -> left_binary_tree' -> left_binary_tree' *)
  match t2 with
  | Left_Leaf' ->
    t1
  | Left_Node' (t2', n) ->
    let t3 = left_stitch t1 t2'
    in Left_Node' (t3, n);;
```

## Implementation of unit-tests

The left-stitch function successfully passed the unit-tests.

```
let() =assert(test_left_stitch left_stitch);;
```

## Question 3.4

### Textual description

Intuitively, the OCaml function `left_rotate` recursively rotates the left-most sub-tree to the bottom and transform to a `left_binary_tree`.

### Construction of unit-tests

The unit-test function is given by the instruction.

```
let test_left_rotate candidate =
  (* test_left_rotate : (binary_tree' -> left_binary_tree') -> bool *)
  (candidate Leaf'
   = Left_Leaf')
  &&
  (candidate (Node' (Leaf',
                    1,
                    Leaf'))
   = Left_Node' (Left_Leaf',
                 1))
  &&
  (candidate (Node' (Node' (Leaf',
                          2,
                          Leaf'),
                    1,
                    Node' (Leaf',
                          3,
                          Leaf'))
   = Left_Node' (Left_Node' (Left_Node' (Left_Leaf',
                                         2),
                                       1),
                 3))
  &&
  (candidate (Node' (Node' (Node' (Leaf',
                                  4,
                                  Leaf'),
                                2,
                                Node' (Leaf',
                                  5,
                                  Leaf')),
                              1,
                              Node' (Node' (Leaf',
                                  6,
                                  Leaf'),
                                3,
                                Node' (Leaf',
                                  7,
                                  Leaf'))))
   = Left_Node' (Left_Node' (Left_Node' (Left_Node' (Left_Node' (Left_Node' (Left_Node' (Left_Leaf',
                                                                                      4),
                                                                                    2),
                                                                                  5),
                                                                                1),
                                                                              6),
                                                                            3),
                 7))
  (* etc. *);;
```



## Inductive specification

### Base case:

left\_rotate rotates Leaf' to become Left\_Leaf'

### Induction case:

Given left sub tree of binary\_tree' t1, interger n and sub tree of binary\_tree' t2

such that left\_rotate t1 gives left\_binary\_tree' lt1

and left\_rotate t2 gives left\_binary\_tree' lt2

so that left\_rotate Node' (t1, n, t2) gives left\_stitch Left\_Node' (lt1, n) and lt2

In OCaml, this function is implemented as a structurally recursive function mapping to a binary tree to a left-binary tree:

```
let rec left_rotate t =  
  match t with  
  | Leaf' ->  
    Left_Leaf'  
  | Node' (t1, n, t2) ->  
    let lt1 = left_rotate t1  
    and lt2 = left_rotate t2  
    in left_stitch (Left_Node' (lt1, n)) lt2  
;;
```

## Implementation of unit-tests

The left-rotate function successfully passed the unit-tests.

```
let () = assert (test_left_rotate left_rotate);;
```

## Question 3.5

The function left\_flatten works because a given binary\_tree' t is left rotated to a left\_binary\_tree'. For example:

Left rotate

Node' (Node' (Leaf', 2, Leaf'), 1, Node' (Leaf', 3, Leaf'))

Gives

Left\_Node' (Left\_Node' (Left\_Node' (Left\_Leaf', 2), 1), 3)

Then embed\_left\_binary\_tree'\_into\_binary\_tree' converts Left\_Leaf' into a Leaf' and Left\_Node' (t1, n) into Node' (t1, n, Leaf'). For example:

`embed_left_binary_tree' into_binary_tree'`

`Left_Node' (Left_Node' (Left_Node' (Left_Leaf', 2), 1), 3)`

Gives

`Node' (Node' (Node' (Leaf', 2, Leaf'), 1, Leaf'), 3, Leaf')`

Therefore, `left_flatten` fits the bill.

### Construction of unit-tests

Intuitively, the unit-test function is simple to write – for any given binary tree, we first `left_rotate` the tree into a `left_binary_tree'`, and then add transform it to a binary tree.

```
let test_left_flatten candidate =
(* test_left_flatten : (binary_tree' -> binary_tree') -> bool *)
  (candidate Leaf'
   = Leaf')
  &&
  (candidate (Node' (Leaf',
                    1,
                    Leaf'))
   = Node' (Leaf',
            1, Leaf'))
  &&
  (candidate (Node' (Node' (Leaf',
                          2,
                          Leaf'),
                    1,
                    Node' (Leaf',
                          3,
                          Leaf'))))
   = Node' (Node' (Node' (Leaf',
                          2, Leaf'),
                    1, Leaf'),
            3, Leaf'))
  &&
  (candidate (Node' (Node' (Node' (Leaf',
                                  4,
                                  Leaf'),
                            2,
                            Node' (Leaf',
                                  5,
                                  Leaf')),
                    1,
                    Node' (Node' (Leaf',
                                  6,
                                  Leaf'),
                            3,
                            Node' (Leaf',
                                  7,
                                  Leaf')))))
   = Node' (Node' (Node' (Node' (Node' (Node' (Node' (Leaf',
                                                        4, Leaf'),
                                                    2, Leaf'),
                                                5, Leaf'),
                                            1, Leaf'),
                                        6, Leaf'),
                                    3, Leaf'),
            7, Leaf'))
  (* etc. *));;
```

### Implementation of unit-tests

The `left-flatten` function successfully passed the unit-tests.

```
let () = assert (test_left_flatten left_flatten);;
```

## Part 4

### Question 4.1

This project `_binary_tree' _into _right_binary_tree'` function is partial: it is not defined on some of its input (ie.all binary trees). For example, input a not right-balanced binary tree is undefined, because the function only inputs right-balanced binary trees. So to make the function total, we need an option type. In this way, inputting a not right-balanced binary tree will return `None _right_binary_tree'`.

### Question 4.3

#### Textual description

The stitching function stitches the bottom of the `right_binary_tree t1` to the top of the `right_binary_tree t2`.

#### Construction of unit-tests

The unit-test function is given by the instruction and we have added the additional pictorial example to the unit test.

```
let test_right_stitch candidate =
(* test_right_stitch : (right_binary_tree' -> right_binary_tree'_binary_tree' -> right_binary_tree') -> bool *)
(candidate Right_Leaf'
  Right_Leaf'
  = Right_Leaf')
&&
(candidate (Right_Node' (1, Right_Leaf'))
  Right_Leaf'
  = Right_Node' (1, Right_Leaf'))
&&
(candidate Right_Leaf'
  (Right_Node' (1, Right_Leaf'))
  = Right_Node' (1, Right_Leaf'))
&&
(candidate (Right_Node' (1, Right_Node' (2, Right_Leaf')))
  Right_Leaf'
  = Right_Node' (1, Right_Node' (2, Right_Leaf')))
&&
(candidate Right_Leaf'
  (Right_Node' (1, Right_Node' (2, Right_Leaf')))
  = Right_Node' (1, Right_Node' (2, Right_Leaf')))
&&
(candidate (Right_Node' (1, Right_Node' (2, Right_Leaf')))
  (Right_Node' (3, Right_Node' (4, Right_Leaf')))
  = Right_Node' (1, Right_Node' (2, Right_Node' (3, Right_Node' (4, Right_Leaf')))))
&&
(candidate (Right_Node' (1, Right_Node' (2, Right_Leaf')))
  (Right_Node' (3, Right_Node' (4, Right_Leaf')))
  = Right_Node' (1, Right_Node' (2, Right_Node' (3, Right_Node' (4, Right_Leaf')))))
(* etc. *));;
```

#### Inductive specification

##### Base case:

given a `Right_Leaf' t1` and `right_binary_tree' t2`

stitching t1 and t2 gives t1

### Induction case:

given any `right_binary_tree' t1'` and `right_binary_tree' t2`

such that stitching t1' and t2 gives t3 in `Right_Node' (n, t3)`

In OCaml, this function is implemented as a structurally recursive function taking two right-binary trees and mapping to a stitched right-binary tree:

```
let rec right_stitch t1 t2 =  
  match t1 with  
  | Right_Leaf' ->  
    t2  
  | Right_Node' (n, t1') ->  
    let t3 = right_stitch t1' t2  
    in Right_Node' (n, t3);;
```

### Implementation of unit-tests

The right-stitch function successfully passed the unit-tests.

```
let () = assert (test_right_stitch right_stitch);;
```

## Question 4.4

### Textual description

Intuitively, the OCaml function `right_rotate` recursively rotates the right-most sub-tree to the bottom and transform to a `right_binary_tree`.

### Construction of unit-tests

The unit-test function is given by the instruction.

```

let test_right_rotate candidate =
  (* test_right_rotate : (binary_tree' -> right_binary_tree') -> bool *)
  (candidate Leaf'
    = Right_Leaf')
  &&
  (candidate (Node' (Leaf',
    1,
    Leaf'))
    = Right_Node' (1,
      Right_Leaf'))
  &&
  (candidate (Node' (Node' (Leaf',
    2,
    Leaf'),
    1,
    Node' (Leaf',
      3,
      Leaf'))))
    = Right_Node' (2,
      Right_Node' (1,
        Right_Node' (3,
          Right_Leaf')))))
  &&
  (candidate (Node' (Node' (Node' (Leaf',
    4,
    Leaf'),
    2,
    Node' (Leaf',
      5,
      Leaf'))),
    1,
    Node' (Node' (Leaf',
      6,
      Leaf'),
      3,
      Node' (Leaf',
        7,
        Leaf')))))
    = Right_Node' (4,
      Right_Node' (2,
        Right_Node' (5,
          Right_Node' (1,
            Right_Node' (6,
              Right_Node' (3,
                Right_Node' (7,
                  Right_Leaf')))))))))
  (* etc. *));;

```

## Inductive specification

### Base case:

right\_rotate rotates Leaf' to become Right\_Leaf'

### Induction case:

Given right sub tree of binary\_tree' t1, interger n and left sub tree of binary\_tree' t2

such that right\_rotate t1 gives right\_binary\_tree' rt1

and right\_rotate t2 gives right\_binary\_tree' rt2

so that right\_rotate Node' (t1, n, t2) gives right\_stitch rt1 and Right\_Node' (n, rt2)

In OCaml, this function is implemented as a structurally recursive function mapping to a binary tree to a right-binary tree:

```

let rec right_rotate t =
  match t with
  | Leaf' -> Right_Leaf'
  | Node' (t1, n, t2) ->
    let rt1 = right_rotate t1
    and rt2 = right_rotate t2
    in right_stitch rt1 (Right_Node' (n, rt2));;

```

## Implementation of unit-tests

The left-rotate function successfully passed the unit-tests.

```
let () = assert (test_right_rotate right_rotate);;
```

## Question 4.5

The function `right_flatten` works because a given `binary_tree` `t` is right rotated to a `right_binary_tree`. For example:

Right rotate

`Node' (Node' (Leaf', 2, Leaf'), 1, Node' (Leaf', 3, Leaf'))`

Gives

`Right_Node' (2, Right_Node' (1, Right_Node' (3, Right_Leaf')))`

Then `embed_right_binary_tree'_into_binary_tree'` converts `Right_Leaf'` into a `Leaf'` and `Right_Node' (n, t2)` into `Node' (Leaf', n, t2)`. For example:

`embed_right_binary_tree'_into_binary_tree'`

`Right_Node' (2, Right_Node' (1, Right_Node' (3, Right_Leaf')))`

Gives

`Node' (Leaf', 2, Node' (Leaf', 1, Node' (Leaf', 3, Leaf')))`

Therefore, `right_flatten` fits the bill.

## Construction of unit-tests

Intuitively, the unit-test function is simple to write – for any given binary tree, we first `right_rotate` the tree into a `right_binary_tree'`, and then add transform it to a binary tree.

```
let test_right_flatten candidate=
  (candidate Leaf' = Leaf')
  &&
  (candidate (Node' (Leaf', 1, Leaf'))=
   (Node' (Leaf', 1, Leaf')))
  &&
  (candidate (Node' (Node' (Leaf', 2, Node' (Leaf', 5, Leaf')), 1,
    Node' (Leaf', 3, Node' (Leaf', 4, Leaf'))))
   = (Node' (Leaf', 2, Node' (Leaf', 5, Node' (Leaf', 1, Node' (Leaf', 3, Node' (Leaf', 4, Leaf'))))))
   | (* etc *) ;;
```

## Implementation of unit-tests

The `right_flatten` function successfully passed the unit-tests.

```
let () = assert (test_right_flatten right_flatten);;
```

## Part 5

### Question 5.1

#### Textual description

Intuitively, this `left_to_right` function rotates the left-tree bottom node to the top and transforms to a right-tree.

#### Construction of unit-tests

```
let test_left_to_right candidate =  
  (* test_left_to_right : (left_binary_tree' -> right_binary_tree') -> bool *)  
  (candidate Left_Leaf'  
   = Right_Leaf')  
  &&  
  (candidate (Left_Node' (Left_Leaf', 1))  
   = Right_Node' (1, Right_Leaf'))  
  &&  
  (candidate (Left_Node' (Left_Node' (Left_Leaf', 2), 1))  
   = Right_Node' (2, Right_Node' (1, Right_Leaf')))  
  &&  
  (candidate (Left_Node' (Left_Node' (Left_Node' (Left_Leaf', 3), 2), 1))  
   = Right_Node' (3, Right_Node' (2, Right_Node' (1, Right_Leaf'))))  
  (* etc. *);;
```

#### Specification

This time, we do not need to recursively construct the function from ground-up, but combine the function we have previously defined. To map a left-balanced tree to a right-balanced tree, all we need to do is first embed the left-tree into a binary tree, and then right rotate the tree into a right-tree.

Thus this OCaml function maps a binary tree to a binary tree:

```
let left_to_right t =  
  (* left_flatten : binary_tree' -> binary_tree' *)  
  right_rotate (embed_left_binary_tree'_into_binary_tree' t);;
```

#### Implementation of unit-tests

The `left_to_right` function successfully passed the unit-tests.

```
let () = assert (test_left_to_right left_to_right);;
```

### Question 5.2

#### Textual description

Similarly, this `right_to_left` function rotates the right-tree from bottom node to the top and transforms to a left-tree.

## Construction of unit-tests

```
let test_right_to_left candidate =
  (* test_right_to_left : (right_binary_tree' -> left_binary_tree') -> bool *)
  (candidate Right_Leaf'
   = Left_Leaf')
  &&
  (candidate (Right_Node' (1, Right_Leaf'))
   = Left_Node' (Left_Leaf', 1))
  &&
  (candidate (Right_Node' (2, Right_Node' (1, Right_Leaf'))
   = Left_Node' (Left_Node' (Left_Leaf', 2), 1))
  &&
  (candidate (Right_Node' (3, Right_Node' (2, Right_Node' (1, Right_Leaf'))))
   = Left_Node' (Left_Node' (Left_Node' (Left_Leaf', 3), 2), 1))
  (* etc. *);;
```

## Specification

Similarly, we do not need to recursively construct the function from ground-up, but combine the function we have previously defined. To map a right-balanced tree to a left-balanced tree, all we need to do is first embed the right-tree into a binary tree, and then left rotate the tree into a left-tree.

Thus this OCaml function maps a binary tree to a binary tree:

```
let right_to_left t =
  (* left_flatten : binary_tree' -> binary_tree' *)
  left_rotate (embed_right_binary_tree'_into_binary_tree' t);;
```

## Implementation of unit-tests

The left\_to\_right function successfully passed the unit-tests.

```
let () = assert (test_right_to_left right_to_left);;
```

## Question 5.3

The right\_to\_left and left\_to\_right functions above are inverse functions to each other.

When left\_to\_right is applied to right\_to\_left function with input t and vice versa, we obtain the starting input t as the output.

For example, implement right\_to\_left function to a right\_binary\_tree' gives a left\_binary\_tree'. Then implement left\_to\_right function to this tree gives the original input - the right\_binary\_tree', vice versa.



## Question 5.4

Both implementations past unit tests.

```
let () = assert (test_left_rotate
  (fun t -> right_to_left (right_rotate t)));;

let () = assert (test_right_rotate
  (fun t -> left_to_right (left_rotate t)));;
```

1. Because a binary\_tree t is first right-rotated into a right\_binary\_tree'  
Then, right\_to\_left turns right\_binary\_tree' into a left\_binary\_tree'.  
right\_to\_left (right\_rotate t) has the same transformative effect as left\_rotate  
So fun t -> right\_to\_left (right\_rotate t) passes test\_left\_rotate.

2. Because a binary\_tree t is first left-rotated into a left\_binary\_tree'  
Then, left\_to\_right turns left\_binary\_tree' into a right\_binary\_tree'.  
left\_to\_right (left\_rotate t) has the same transformative effect as right\_rotate  
So fun t -> left\_to\_right (left\_rotate t) passes test\_right\_rotate.

## Conclusion

I have finally come to this part. Up to this point, we have successfully solved all the questions in this project and gain more insights than we did in weekly hand-in. I have made several progress since the start of mid-term project.

1. With the help of Aristotle's four causes, we are now able to clearly give a definition about an item or concept.
2. Through continuously building and transforming different types of binary trees, I have become more crafted in recursive function. Also with the help of inductive specification of implementation, I have a better understanding of the train of thoughts under the structurally recursive function.
3. I have learn how to construct simple unit-test function, and use that to test our program.

4. After understanding Part 3, we can quickly apply what we have learned to Part 4, which is quite similar, but structurally opposed to Part 3.
5. I gradually become more and more fascinated to the beauty of recursion, which can represent large amount of computation with minimum of code.

### **Future Goals**

In this project, there are still several things that I would like to advance in the next few weeks before the end of semester:

1. Try to develop more complex and comprehensive unit tests using such as random number generator.
2. Practice more structurally recursive implementation so I can become more proficient in it.

This project helps to refresh my knowledge of functional programming, and I think through this long process I have greatly improved my crafts.

(Word Count: 3124)