

Algorithm Analysis: Useful Equalities, Definitions, and Theorems

A list of useful mathematical definitions for algorithm analysis and computer science in general. Much of this was copied with minor modification from our textbook and:

- Cormen, Thomas H., et. al.. *Introduction to Algorithms*. Second Edition. MIT Press. Cambridge, MA. 2001.

Big Oh

Definition 1 (Big Oh).

Where $f(n) = \mathcal{O}(g(n))$, then there exists some constant c such that $f(n) \leq c * g(n)$ for all $n \geq n_0$.

$g(n)$ is an upper bound on $f(n)$. $g \geq f$ (ish). "I can draw a $g(n)$ above $f(n)$ "

Definition 2 (Big Omega).

Where $f(n) = \Omega(g(n))$, then there exists some constant c such that $f(n) \geq c * g(n)$ for all $n \geq n_0$.

$g(n)$ is a lower bound on $f(n)$. $g \leq f$ (ish). "I can draw a $g(n)$ below $f(n)$ "

Definition 3 (Big Theta).

Where $f(n) = \Theta(g(n))$, then there exists constants c_1 and c_2 such that $c_1 * g(n) \leq f(n) \leq c_2 * g(n)$ for all $n \geq n_0$.

$f(n)$ is the same class of function as $g(n)$. " $f(n)$ is a $g(n)$ (ish)."**We can now classify functions based on Θ . Think $f(n) \in \Theta(g(n))$. $\Theta(g(n))$ is the class. This means we can classify algorithms in terms of their Time Complexity (work done).**

Theorem 1 (Transitivity).

If $f(n) = \mathcal{O}(g(n))$ and $g(n) = \mathcal{O}(h(n))$, then $f(n) = \mathcal{O}(h(n))$.

If $a \leq b$ and $b \leq c$, then $a \leq c$. Ordering still works how you think it does.

Definition 4 (Dominance Relations).

Where $f(n) \neq \Theta(g(n))$, we say that $f(n)$ dominates $g(n)$, or $f(n) \gg g(n)$, when $f(n) = \Omega(g(n))$ or similarly $g(n) = \mathcal{O}(f(n))$.

\mathcal{O} , Ω , and Θ impose an ordering on classes of functions.

Theorem 2 (Dominance Relations of Common Functions).

$$n! \gg 2^n \gg n^3 \gg n^2 \gg n \log n \gg n \gg \log n \gg 1$$

Theorem 3 (Adding Functions).

$$f(n) + g(n) \rightarrow \Theta(\max(f(n), g(n)))$$

The dominant term of a sum determines the class of the sum.

Algorithms can always be deconstructed into a series of independent blocks of code. The work done by the algorithm is the sum of the work done by each block. **You can analyze each block independently of the others and reduce the algorithm's complexity to the dominant block.**

Theorem 4 (Multiplying Functions).

$$\mathcal{O}(f(n) * g(n)) = \mathcal{O}(f(n)) * \mathcal{O}(g(n))$$

$$\Omega(f(n) * g(n)) = \Omega(f(n)) * \Omega(g(n))$$

$$\Theta(f(n) * g(n)) = \Theta(f(n)) * \Theta(g(n))$$

Multiplying can result in reclassification.

Repetition of work happens through loops (loop body is repeated) and recursion (function is repeated). Both forms of repetition induce a multiplication of work. "Repeat these operations, this number of times." **Multiplication is impactful, but orderly. You can simplify the terms (see R.H.S.) but cannot ignore one for the other like with addition.**

Sums

Definition 5 (Summation Notation).

$$\sum_{i=1}^n f(i) = f(1) + f(2) + \dots + f(n-1) + f(n)$$

It's a concise way of writing the sum of n things,
 $\text{sum}([f(i) \text{ for } i \text{ in range}(1, n+1)])$

Theorem 5 (Sum Closed-Forms and Equivalents).

$$\begin{aligned} \sum_{i=1}^n a &= a \times n \\ \sum_{i=k}^n i &= \sum_{i=1}^n f(i) - \sum_{i=1}^{k-1} f(i) \\ \sum_{i=1}^n i &= \frac{n(n+1)}{2} = \Theta(n^2) \\ \sum_{i=1}^n i^2 &= \frac{n(n+1)(2n+1)}{6} = \Theta(n^3) \\ \sum_{i=1}^n i^3 &= \frac{n^2(n+1)^2}{4} = \Theta(n^4) \end{aligned}$$

a is independent of i . Its just multiplication disguised as addition.

Sums can always be made to start from 1 (or zero if needed)

Theorem 6 (Geometric Progression. Sum of Exponential Sequence).

For $a \geq 1$,

$$\sum_{i=0}^n a^i = \frac{(a^{n+1} - 1)}{(a - 1)} = \Theta(a^{n+1})$$

We really like $a = 2$, where

$$\sum_{i=0}^n 2^i = (2^{n+1} - 1) = \Theta(2^{n+1})$$

Theorem 7 (Sum of Increasing Logarithms).

$$\sum_{i=1}^n \log i = \log n! = \Theta(n \log n)$$

$$\log 1 + \log 2 + \dots + \log n - 1 + \log n$$

Theorem 8 (Sum of p^{th} power of an Integer Sequence).

For $p \geq 0$,

$$\sum_{i=1}^n i^p = \Theta(n^{p+1})$$

Greatest hits: $\sum_{i=1}^n i$, $\sum_{i=1}^n i^2$, $\sum_{i=1}^n i^3$

Theorem 9 (Harmonic Numbers. Sum of a Fraction Sequence).

$$\sum_{i=1}^n \frac{1}{i} = \sum_{i=1}^n i^{-1} = \Theta(\log n)$$

$$\frac{1}{1} + \frac{1}{2} + \frac{1}{3} + \dots$$

Theorem 10 (Sum of the multiplicative inverse of p^{th} power of an Integer Sequence).

For $p < -1$,

$$\sum_{i=1}^n i^p = \sum_{i=1}^n \frac{1}{i^{-p}} = \Theta(1)$$

for $p = -2$,

$$\sum_{i=1}^n i^{-2} = 1 + \frac{1}{4} + \frac{1}{9} + \frac{1}{16} + \dots$$

Theorem 11 (Sum of Exponential Sequence with Fractional Base).

For $|a| < 1$,

$$\sum_{i=0}^n a^i = \Theta(1)$$

We really like $a = \frac{1}{2}$, where

$$\sum_{i=0}^n \left(\frac{1}{2}\right)^i = 1 + \frac{1}{2} + \frac{1}{4} + \frac{1}{8} + \dots < 2$$

Exponentials

Definition 6 (Product Notation).

Σ is to addition as \prod is to multiplication

$$\prod_{i=1}^n f(i) = f(1) * f(2) * \dots * f(n-1) * f(n)$$

Definition 7 (Exponentiation with Integer Exponents).

$$\text{For integer } n \geq 1, a^n = \prod_{i=1}^n a$$

$$a^2 = a * a$$

$$\text{For integer } n \leq -1, a^n = \prod_{i=1}^n \frac{1}{a^{-n}}$$

$$a^{-2} = \frac{1}{a^2} = \frac{1}{a} * \frac{1}{a}$$

Theorem 12 (Properties of Exponents).

For all real $a > 0, m, n$:

$$a^0 = 1$$

$$a^m a^n = a^{m+n}$$

$$a^{-1} = \frac{1}{a}$$

$$(a^m)^n = a^{mn} = (a^n)^m = a^{nm}$$

Logarithms

Definition 8 (Logarithm base b).

Where $b^y = x, \log_b x = y$. For notational convenience: $\log_b^k n = (\log_b n)^k$. Also, \log_{10} is \log , \log_2 is \lg and \log_e is \ln .

\log is the inverse of exponential. "The $\log_b x$ is the number you'd need to raise b to in order to get x ."

Theorem 13 (Properties of Logarithms).

For all $a > 0, b > 0, c > 0, n$,

$$\log_c(ab) = \log_c a + \log_c b$$

$$\log_b \frac{1}{a} = -\log_b a$$

$$\log_b \frac{c}{a} = \log_b c - \log_b a$$

$$\log_b a^n = n \log_b a$$

$$a^{\log_b c} = c^{\log_b a}$$

Think in terms of exponent properties. Like, "With $\log a$, a is an exponent and operates by the same rules."

Theorem 14 (Relationships between Log bases).

$$\log_b a = \frac{\log_c a}{\log_c b} = \frac{1}{\log_c b} \log_c a$$

$$\log_b a = \frac{1}{\log_a b}$$

Logs of different bases differ by a constant multiple.

Roots

Definition 9 (Roots). Where $r^n = x$, then the n^{th} root of x is r and is denoted by $\sqrt[n]{x} = x^{\frac{1}{n}} = r$

Theorem 15 (Properties of Roots).

$$\begin{aligned}\sqrt[n]{ab} &= \sqrt[n]{a} \sqrt[n]{b} \\ \sqrt[n]{\frac{a}{b}} &= \frac{\sqrt[n]{a}}{\sqrt[n]{b}} \\ \sqrt[n]{a^m} &= (a^m)^{\frac{1}{n}} = a^{\frac{m}{n}}\end{aligned}$$

Floors and Ceilings

Definition 10 (Floor Function).

For real number x , the **floor** of x , $\lfloor x \rfloor$, is the greatest integer less than x .

Round down to the nearest integer.

Definition 11 (Ceiling Function).

For real number x , the **ceiling** of x , $\lceil x \rceil$, is the least integer greater than x .

Round up to the nearest integer.

Theorem 16 (Rounding Reals).

For any real number x ,

$$x - 1 < \lfloor x \rfloor \leq x \leq \lceil x \rceil < x + 1$$

For any real $n \geq 0$ and integers $a, b > 0$:

$$\begin{aligned}\lceil \lceil n/a \rceil / b \rceil &= \lceil n/ab \rceil \\ \lfloor \lfloor n/a \rfloor / b \rfloor &= \lfloor n/ab \rfloor \\ \lceil a/b \rceil &\leq (a + (b - 1)) / b \\ \lfloor a/b \rfloor &\geq (a - (b - 1)) / b\end{aligned}$$

Theorem 17 (Rounding Integers).

For any integer n ,

$$\lceil n/2 \rceil + \lfloor n/2 \rfloor = n$$