

# Cryptanalysis

## Block Ciphers-3

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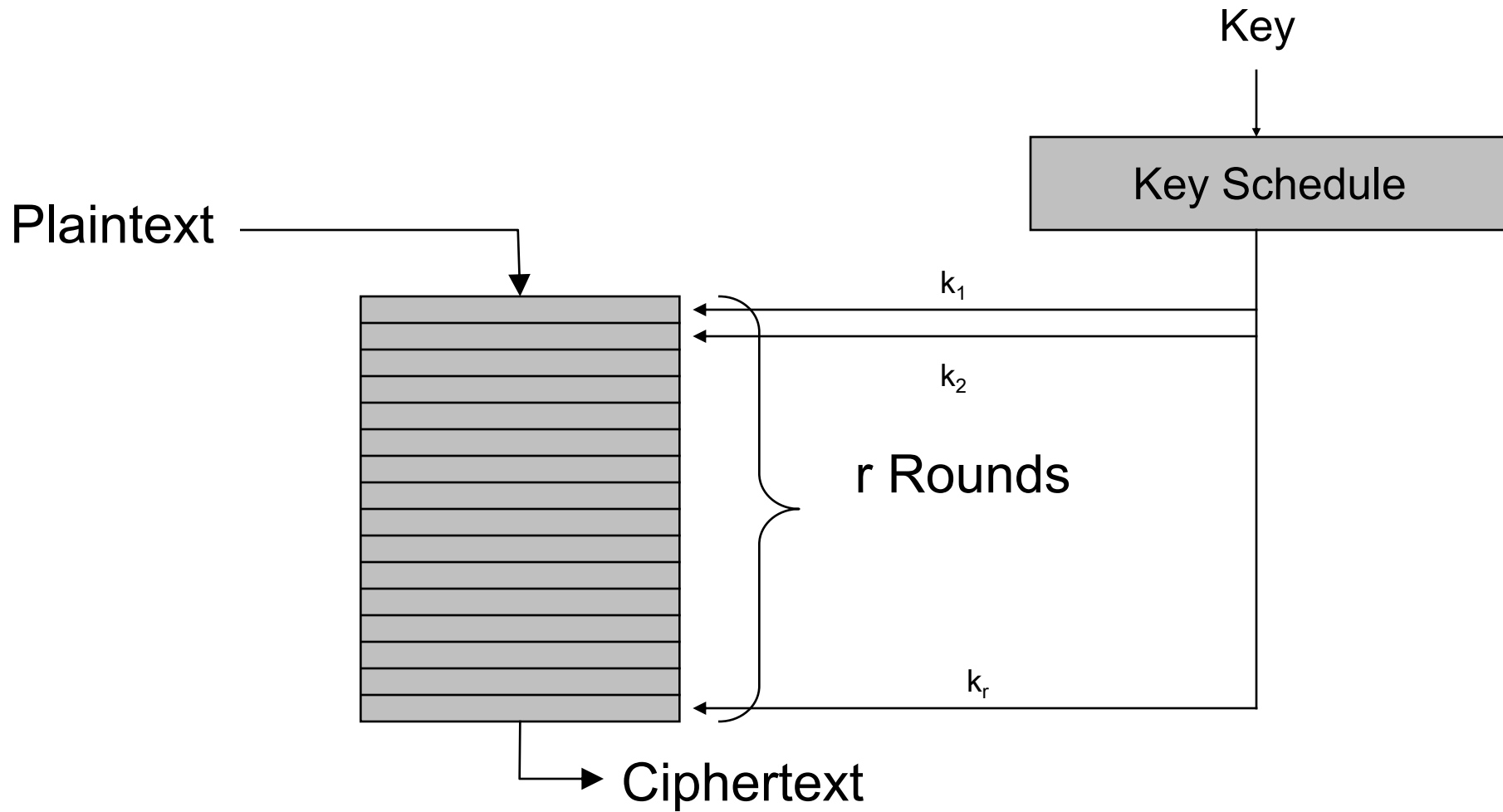
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# AES History

- Call for DES successor 1/97
- Nine Submissions
  - CAST-256, CRYPTON, DEAL, DFC (cipher), E2, FROG, HPC, LOKI97, MAGENTA, MARS, RC6, Rijndael, SAFER+, Serpent, and Twofish.
- Finalists
  - MARS, RC6, Rijndael, Serpent, and Twofish
- And the winner is Rijndael: FIPS 197 published 11/2001
- Good References:
  - Daemen and Rijimen, *The Design of Rijndael*. Springer.
  - Ferguson et. al., *The Twofish Encryption Algorithm*. Wiley.
  - Tons of contemporaneous material, thesis, etc. Almost all on WWW.

# AES



# AES Requirements

- 128, 192, 256 bit keys
- Algorithms will be judged on the following factors:
  - Actual security of the algorithm compared to other submitted algorithms (at the same key and block size).
  - The extent to which the algorithm output is indistinguishable from a random permutation on the input block.
  - Soundness of the mathematical basis for the algorithm's security.
  - Other security factors raised by the public during the evaluation process, including any attacks which demonstrate that the actual security of the algorithm is less than the strength claimed by the submitter.
  - Claimed attacks will be evaluated for practicality.
- Key agility (NSA): “Two blocks encrypted with two different keys should not take much more time than two blocks encrypted with the same key.

# DESX and whitening

- Attacks like differential and linear cryptanalysis are easier since we can directly observe the input to the first round and output of the last round directly.
- Rivest and Killian:
  - $\text{DESX}(k_1, k_2, k_3, x) = k_3 \oplus \text{DES}(k_1, k_2 \oplus x)$
- Strategy adopted by almost all the AES participants.

# Mars (Multiplication, Addition, Rotation and Substitution)

## Basic Structure

1. Whiten
2. 8 rounds of key independent mixing
3. 16 rounds of keyed Feistel transforms (2 S-boxes)
4. 8 rounds of key independent mixing
5. Whiten

# RC6 Design Philosophy

- Leverage our experience with RC5: use *data-dependent rotations* to achieve a high level of security.
- Adapt RC5 to meet AES requirements
- Take advantage of a new primitive for increased security and efficiency: *32x32 multiplication*, which executes quickly on modern processors, to compute rotation amounts.

# Description of RC6

- RC6-w/r/b parameters:
  - *Word size* in bits:  $w$  ( 32 ) ( $\lg(w) = 5$ )
  - Number of *rounds*:  $r$  (20)
  - Number of *key bytes*:  $b$  (16, 24, or 32 )
- Key Expansion:
  - Produces array  $S[0 \dots 2r+3]$  of  $w$ -bit *round keys*.
- Encryption and Decryption:
  - Input/Output in 32-bit registers A,B,C,D



# RC6 Primitive Operations

$A + B$

Addition modulo  $2^w$

$A - B$

Subtraction modulo  $2^w$

$A \oplus B$

Exclusive-Or

$A \lll B$

Rotate  $A$  left by amount in  
low-order  $\lg(w)$  bits of  $B$

$A \ggg B$

Rotate  $A$  right, similarly

$(A,B,C,D) = (B,C,D,A)$

Parallel assignment

$A \times B$

Multiplication modulo  $2^w$

# RC6 Encryption (Generic)

$B = B + S[0]$

$D = D + S[1]$

```
for i=1 to r do {  
    t = ( B x ( 2B + 1 ) ) <<< lg( w )  
    u = ( D x ( 2D + 1 ) ) <<< lg( w )  
    A = ( ( A  $\oplus$  t ) <<< u ) + S[ 2i ]  
    C = ( ( C  $\oplus$  u ) <<< t ) + S[ 2i+1 ]  
    (A, B, C, D) = (B, C, D, A)  
}
```

$A = A + S[2r + 2]$

$C = C + S[2r + 3]$

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# RC6 Encryption (for AES)

```
B = B + S[ 0 ]
D = D + S[ 1 ]
for i= 1 to 20 do {
    t = ( B x (2B+1) ) <<< 5
    u = ( D x (2D+1) ) <<< 5
    A = ( ( A  $\oplus$  t ) <<< u ) + S[ 2i ]
    C = ( ( C  $\oplus$  u ) <<< t ) + S[ 2i+1 ]
    (A, B, C, D) = (B, C, D, A)
}
A = A + S[ 42 ]
C = C + S[ 43 ]
```

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# RC6 Decryption (for AES)

```
C = C - S[ 43 ]
A = A - S[ 42 ]
for i = 20 downto 1 do {
    (A, B, C, D) = (D, A, B, C)
    u = ( D x ( 2D + 1 ) ) <<< 5
    t = ( B x ( 2B + 1 ) ) <<< 5
    C = ( ( C - S[ 2i + 1 ] ) >>> t )  $\oplus$  u
    A = ( ( A - S[ 2i ] ) >>> u )  $\oplus$  t
}
D = D - S[ 1 ]
B = B - S[ 0 ]
```

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# Key Expansion (Same as RC5's)

- Input: array  $L[0 \dots c-1]$  of input key words
- Output: array  $S[0 \dots 43]$  of round key words
- Procedure:

```
S[ 0 ] = 0xB7E15163
for i = 1 to 43 do S[i] = S[i-1] + 0x9E3779B9
A = B = i = j = 0
for s = 1 to 132 {
    A = S[ i ] = ( S[ i ] + A + B ) <<< 3
    B = L[ j ] = ( L[ j ] + A + B ) <<< ( A + B )
    i = ( i + 1 ) mod 44
    j = ( j + 1 ) mod c
}
```

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# Encryption Rate (200MHz)

MegaBytes/second  
MegaBits /second

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	<u>Java</u>	<u>Borland C</u>	<u>Assembly</u>
<u>Encrypt</u>	0.197 1.57	5.19 41.5	12.6 100.8
<u>Decrypt</u>	0.194 1.55	5.65 45.2	12.6 100.8

Over 100 Megabits / second !

# Security against linear attacks

- Estimate of number of plaintext/cipher-text pairs required to mount a linear attack. (Only  $2^{128}$  such pairs are available.)

Rounds	Pairs
--------	-------

8	$2^{47}$
---	----------

12	$2^{83}$
----	----------

16	$2^{119}$
----	-----------

20	RC6	$2^{155}$
----	-----	-----------

24	←	→	$2^{191}$
----	---	---	-----------

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Infeasible

# Differential analysis

- Considers use of (iterative and non-iterative)  $(r-2)$ -round *differentials* as well as  $(r-2)$ -round *characteristics*.
- Considers two notions of “difference”:
  - exclusive-or
  - subtraction (better!)
- Combination of quadratic function and fixed rotation by 5 bits very good at thwarting differential attacks.

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# An iterative RC6 differential

- | A          | B          | C         | D         |
|------------|------------|-----------|-----------|
| $1 \ll 16$ | $1 \ll 11$ | 0         | 0         |
| $1 \ll 11$ | 0          | 0         | 0         |
| 0          | 0          | 0         | $1 \ll s$ |
| 0          | $1 \ll 26$ | $1 \ll s$ | 0         |
| $1 \ll 26$ | $1 \ll 21$ | 0         | $1 \ll v$ |
| $1 \ll 21$ | $1 \ll 16$ | $1 \ll v$ | 0         |
| $1 \ll 16$ | $1 \ll 11$ | 0         | 0         |

- Probability =  $2^{-91}$

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# Security against differential attacks

- Estimate of number of plaintext pairs required to mount a differential attack.

(Only  $2^{128}$  such pairs are available.)

Rounds	Pairs
8	$2^{56}$
12	$2^{117}$
16	$2^{190}$
20	$2^{238}$
24	$2^{299}$

← RC6 →

Infeasible

# Twofish Observations

- Didn't use multiplication unlike other candidates
- Uses same primitives for key schedule generation as basic round functions
- Key dependent S-box built from two 256 S-Boxes.
- Two non-independent S-Boxes built from 8 fixed 16 element permutations picked for statistical properties.

# Twofish

- Basic Structure for 128-bit operation.
  - Construct 40 32-bit round keys  $K_0, \dots, K_{39}$
  - Input Whiten
  - 16 Keyed rounds
  - Output Whiten (after switching left and right blocks)
- Input bytes  $p_0, p_1, \dots, p_{15}$ . Little endian as 32-bit words.
  - $P_0 = p_0 + p_1 2^8 + p_2 2^{16} + p_3 2^{24}$ ,  $P_1 = p_4 + p_5 2^8 + p_6 2^{16} + p_7 2^{24}$
  - $P_2 = p_8 + p_9 2^8 + p_{10} 2^{16} + p_{11} 2^{24}$ ,  $P_3 = p_{12} + p_{13} 2^8 + p_{14} 2^{16} + p_{15} 2^{24}$
- Same for Output  $c_0, \dots, c_{15} = C_0, C_1, C_2, C_3$
- Output of round  $r$  designated  $R_0^r, R_1^r, R_2^r, R_3^r$
- $R_0^r = P_0, R_1^r = P_1, R_2^r = P_2, R_3^r = P_3$

# Twofish

PHT:

$$a' = a + b \pmod{2^{32}}$$

$$b' = a + 2b \pmod{2^{32}}$$

MDS=

0x01	0xef	0x5b	0x5b
0x5b	0xef	0x5b	0x01
0xef	0x5b	0x01	0xef
0xef	0x01	0xef	0x5b

GF(256) calculations (MDS) use modulus  
 $x^8 + x^6 + x^5 + x^3 + 1$  over GF(2).

# Twofish

- Input Whiten
  - $R_0^0 = P_0 \oplus K_0, R_1^0 = P_1 \oplus K_1,$
  - $R_2^0 = P_2 \oplus K_2, R_3^0 = P_3 \oplus K_3$
- 16 Keyed Rounds
  - $F_1(X,Y,r), F_2(X,Y,r)$  defined later
  - $R_0^{r+1} = \text{ror}(R_1^r \oplus F_1(R_0^r, R_1^r, r+1), 1)$
  - $R_1^{r+1} = \text{rol}(R_1^r \oplus F_2(R_0^r, R_1^r, r+1), 1)$
  - $R_2^{r+1} = R_0^r, R_3^{r+1} = R_1^r$
- Output Whiten (after switching left and right blocks)
  - $C_0 = R_3^{16} \oplus K_{36}, C_1 = R_4^{16} \oplus K_{37},$
  - $C_2 = R_0^{16} \oplus K_{38}, C_3 = R_1^{16} \oplus K_{39}$
- $F_1(X,Y,r) = g(X) + g(\text{ror}(Y,8)) + K_{2r+4} \pmod{2^{32}}$
- $F_2(X,Y,r) = g(X) + 2g(\text{ror}(Y,8)) + K_{2r+5} \pmod{2^{32}}$
- $g(x) = h(x, S)$ , where  $h$  and  $S$  are defined below

# Twofish Key Schedule

```

      01  a4  55  87  5a  58  db  9e
      a4  56  82  f3  1e  c6  68  e5
RS=    02  a1  fc  c1  47  ae  3d  19
      a4  55  87  5a  58  db  9e  03
  
```

$k=2$ , Key  $M$  consists of 16 bytes  $m_0, m_1, \dots, m_{15}$  or 4 32-bit words (little endian)  $M_0, M_1, M_2, M_3$ .

$M_e = M_0, M_2$

$M_o = M_1, M_3$

$(s_{i,0}, s_{i,1}, s_{i,2}, s_{i,3})^T = RS (m_{8i}, m_{8i+1}, \dots, m_{8i+7})^T, k=0,1$

# Twofish key schedule and S-Boxes

$$r = 2^{24} + 2^{16} + 2^6 + 1$$

$$A_i = h(2i \cdot r, M_e)$$

$$B_i = \text{rol}(h((2i+1) \cdot r, M_o), 8)$$

$$K_{2i} = (A_i + B_i) \pmod{2^8}$$

$$K_{2i+1} = \text{rol}((A_i + 2B_i) \pmod{2^8}, 9)$$

$$S_i = s_{i,0} + s_{i,1}2^8 + s_{i,2}2^{16} + s_{i,3}2^{24}$$

$$S = (S_1, S_0)$$



# The Function h

$$h(X, L_0, L_1)$$

$$l_{i,j} = \text{int}(L_i / 2^{8j}) \pmod{2^8}$$

$$x_j = \text{int}(X / 2^{8j}) \pmod{2^8}$$

$$y_{i,j} = x_j$$

$$y_0 = q_1[q_0[q_0[y_{2,0}] \oplus l_{1,0}] \oplus l_{0,0}]$$

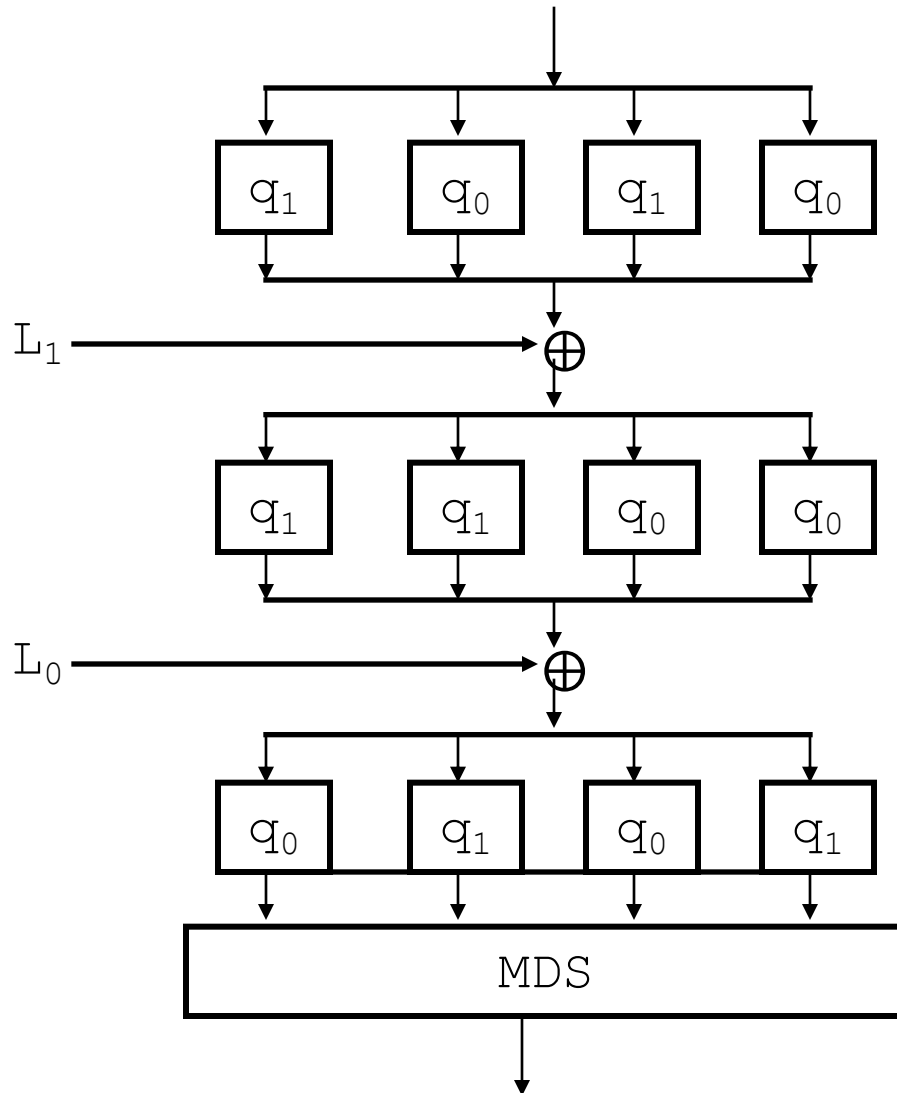
$$y_1 = q_0[q_0[q_1[y_{2,1}] \oplus l_{1,1}] \oplus l_{0,1}]$$

$$y_2 = q_1[q_1[q_0[y_{2,2}] \oplus l_{1,2}] \oplus l_{0,2}]$$

$$y_3 = q_0[q_1[q_1[y_{2,3}] \oplus l_{1,3}] \oplus l_{0,3}]$$

$$(z_0, z_1, z_2, z_3)^T = \text{MDS}(y_0, y_1, y_2, y_3)^T$$

# The Function h



# $q_0, q_1$

For  $q_0$

$t_0 = [8 \ 1 \ 7 \ d \ 6 \ f \ 3 \ 2 \ 0 \ b \ 5 \ 9 \ e \ c \ a \ 4]$

$t_1 = [e \ c \ b \ 8 \ 1 \ 2 \ 3 \ 5 \ f \ 4 \ a \ 6 \ 7 \ 0 \ 9 \ d]$

$t_2 = [b \ a \ 5 \ e \ 6 \ d \ 9 \ 0 \ c \ 8 \ f \ 3 \ 2 \ 4 \ 7 \ 1]$

$t_3 = [d \ 7 \ f \ 4 \ 1 \ 2 \ 6 \ e \ 9 \ b \ 3 \ 0 \ 8 \ 5 \ c \ a]$

For  $q_1$

$t_0 = [2 \ 8 \ b \ d \ f \ 7 \ 6 \ e \ 3 \ 1 \ 9 \ 4 \ 0 \ a \ c \ 5]$

$t_1 = [1 \ e \ 2 \ b \ 4 \ c \ 3 \ 7 \ 6 \ d \ a \ 5 \ f \ 9 \ 0 \ 8]$

$t_2 = [4 \ c \ 7 \ 5 \ 1 \ 6 \ 9 \ a \ 0 \ e \ d \ 8 \ 2 \ b \ 3 \ f]$

$t_3 = [b \ 9 \ 5 \ 1 \ c \ 3 \ d \ e \ 6 \ 4 \ 7 \ f \ 2 \ 0 \ 8 \ a]$

$q_0, q_1$

$$a_0 = \text{int}(x/16), \quad b_0 = x \pmod{16}$$

$$a_1 = a_0 \oplus b_0, \quad b_1 = a_0 \oplus \text{ror}_4(b_0, 1) \oplus 8a_0$$

$$a_2 = t_0[a_1], \quad b_2 = t_1[b_1]$$

$$a_3 = a_2 \oplus b_2, \quad b_3 = a_2 \oplus \text{ror}_4(b_2, 1) \oplus 8a_2$$

$$a_4 = t_2[a_3], \quad b_4 = t_3[b_3]$$

$$y = 16b_4 + a_4$$

# Review: Arithmetic of $GF(2^n)$

- Suppose  $m(x)$  is an irreducible polynomial of degree  $n$  over  $GF(2)$ :  $m(x) = x^n + m_{n-1}x^{n-1} + \dots + m_0$ .
- Let  $a(x)$  and  $b(x)$  be polynomials of degree  $< n$ . They form a vector space of dimension  $n$  over  $GF(2)$ . Coefficients of like exponent “add”:  $(a_{n-1}x^{n-1} + \dots + a_0) + (b_{n-1}x^{n-1} + \dots + b_0) = (a_{n-1} + b_{n-1})x^{n-1} + \dots + a_0 + b_0$
- Euclidean algorithm: for  $a(x), b(x)$  polynomials of degrees  $m < n$ , there are polynomials  $q(x), r(x)$ ,  $\deg r(x) < n$  such that  $a(x) = q(x)b(x) + r(x)$
- Polynomials over  $GF(2)$  modulo  $m(x)$  form a field (with  $2^n$  elements). Multiplication is multiplication of polynomials mod  $m(x)$ .
- Inverses exist : If  $a(x)$  and  $b(x)$  are polynomials their greatest common denominator  $d(x)$  can be written as
$$d(x) = a(x)u(x) + b(x)v(x) \text{ for some } u(x), v(x).$$

In particular, if  $a(x)$  and  $b(x)$  are co-prime:  $1 = a(x)u(x) + b(x)v(x)$  for some  $u(x), v(x)$ .

# Example of multiplication and inverse

- $m(x) = x^2 + x + 1$ .  $m(x)$  is irreducible (otherwise it would have a root in  $GF(2)$ )
- $x + (x+1) = 1$ ,  $1 + (x+1) = x$
- $(x+1)(x+1) = x^2 + 2x + 1 = x^2 + 1 = (x) + (x^2 + x + 1) = x \pmod{m(x)}$
- $(x+1)$  and  $m(x)$  are co-prime in fact,  
 $1 = (x+1)(x) + (x^2 + x + 1)(1)$
- So “ $x$ ” is the multiplicative inverse of “ $x+1$ ” in  $GF(4)$ .
- Usually elements of  $GF(2^n)$  are written in place notation so  $x^5 + x^3 + x^2 + 1 = 101101$ .

# Rijndael Overview

- Input
  - $p$  consisting of  $N_b$  words
  - $k$  with  $N_k$  words ( $N_k = 4, 6, 8$ )
- State
  - 4 rows,  $N_b$  columns
- Key
  - 4 rows, columns
- Output
  - $c$  consisting of  $N_b$  words
- All tables filled first column first  $s_{0,0}, s_{1,0}, s_{2,0}, s_{3,0}, s_{0,1}, \dots$
- 
- Design Philosophy
  - Wide Trails
- 32-bit word operations
- Non-linear substitution uses arithmetic over  $GF(2)$
- Mixing uses polynomial arithmetic mod  $(x^4+1)$

# Rijndael Round Structure

$$N_r = \max(N_k, N_b) + 6$$

$N_r$	$N_b=4$	$N_b=6$	$N_b=8$
$N_k=4$	10	12	14
$N_k=6$	12	12	14
$N_k=8$	14	14	14



# Rijndael State Layout

State:  $s_{i,j}$ ,  $i = Nb \pmod{4}$ ,  $j = \lfloor Nb/4 \rfloor$ ,  $Nb = 4j + i$

For  $Nb = 4$

$s_{0,0}$	$s_{0,1}$	$s_{0,2}$	$s_{0,3}$
$s_{1,0}$	$s_{1,1}$	$s_{1,2}$	$s_{1,3}$
$s_{2,0}$	$s_{2,1}$	$s_{2,2}$	$s_{2,3}$
$s_{3,0}$	$s_{3,1}$	$s_{3,2}$	$s_{3,3}$

# Rijndael Key Layout

- Keys:  $k_{i,j}$ ,  $i = Nk \pmod{4}$ ,  $j = [Nk/4]$ , for  $Nk = 4$

$k_{0,0}$	$k_{0,1}$	$k_{0,2}$	$k_{0,3}$
$k_{1,0}$	$k_{1,1}$	$k_{1,2}$	$k_{1,3}$
$k_{2,0}$	$k_{2,1}$	$k_{2,2}$	$k_{2,3}$
$k_{3,0}$	$k_{3,1}$	$k_{3,2}$	$k_{3,3}$

# Rijndael Algorithm

```
Rijndael (p, k, Nb, Nk)  {  
    ComputeRoundKeys (K, W[0...Nr])  
    state= p  
    AddRoundKey(0, state)  
    for (i=1, i<=Nr, i++) {  
        for each byte, b in state  
            ByteSub(b)  
        ShiftRow(state)  
        if (i<Nr)  
            MixCol(state)  
        AddRoundKey(i, state)  
    }  
    c= state  
}
```

# Inverse Rijndael Algorithm

```
InvRijndael (c, k, Nb, Nk)  {  
    ComputeRoundKeys (K, W[0...Nr])  
    state= c  
    for (i=0, i<Nr, i++) {  
        AddRoundKey (Nr-i, state)  
        if (i>0)  
            InvMixCol (state)  
            InvShiftRow (state)  
        for each byte, b in state  
            InvByteSub (b)  
    }  
    AddRoundKey (0, state)  
    p= state  
}
```

# ByteSub Primitive

```
ByteSub(b)
  if b==0
    t= 0
  else
    t= b-1
  return (Mt + a)
```

$M = \text{circ}(1,0,0,0,1,1,1,1)$

$a = (1,1,0,0,0,1,1,0)^T$

Arithmetic over GF(2) with  $m(x) = x^8 + x^4 + x^3 + x + 1$ .

# ByteSub Data

M:

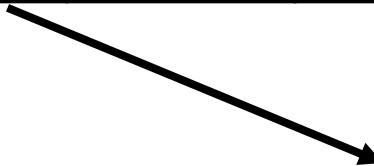
1	0	0	0	1	1	1	1
1	1	0	0	0	1	1	1
1	1	1	0	0	0	1	1
1	1	1	1	0	0	0	1
1	1	1	1	1	0	0	0
0	1	1	1	1	1	0	0
0	0	1	1	1	1	1	0
0	0	0	1	1	1	1	1

a:

1
1
0
0
0
1
1
0

# Bytesub

$s_{0,0}$	$s_{0,1}$	$s_{0,2}$	$s_{0,3}$
$s_{1,0}$	$s_{1,1}$	$s_{1,2}$	$s_{1,3}$
$s_{2,0}$	$s_{2,1}$	$s_{2,2}$	$s_{2,3}$
$s_{3,0}$	$s_{3,1}$	$s_{3,2}$	$s_{3,3}$



$t_{0,0}$	$t_{0,1}$	$t_{0,2}$	$t_{0,3}$
$t_{1,0}$	$t_{1,1}$	$t_{1,2}$	$t_{1,3}$
$t_{2,0}$	$t_{2,1}$	$t_{2,2}$	$t_{2,3}$
$t_{3,0}$	$t_{3,1}$	$t_{3,2}$	$t_{3,3}$

# Rijndael Primitives

ShiftRow(state)

shift row 1 by 0.

shift row 2 by 1.

shift row 3 by 2 if Nb<8, 3 otherwise.

shift row 3 by 3 if Nb<8, 4 otherwise.

MixCol(state)

multiply each column of state by  $c(x) \pmod{x^4+1}$

$c(x) = 0x03 x^3 + 0x01 x^2 + 0x01 x + 0x02$

InvMixCol(state)

multiply each column of state by  $d(x) \pmod{x^4+1}$

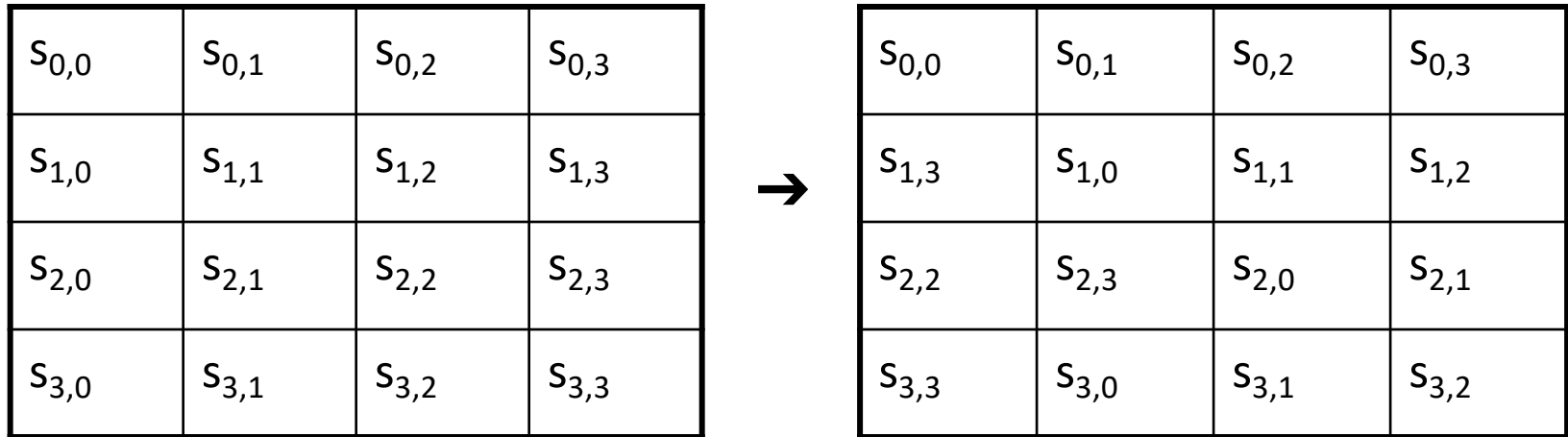
$d(x) = 0x0b x^3 + 0x0d x^2 + 0x09 x + 0x0e$

AddRoundKey(i, state)

state = state + W[i]



# ShiftRow



Before ShiftRow

0x00	0x01	0x02	0x03	0x04	0x05	0x06	0x07
0x08	0x09	0x0a	0x0b	0x0c	0x0d	0x0e	0x0f

After ShiftRow

0x00	0x05	0x0a	0x0f	0x04	0x09	0x0e	0x03
0x08	0x0d	0x02	0x07	0x0c	0x01	0x06	0x0b

# MixCol

$s_{0,0}$	$s_{0,1}$	$s_{0,2}$	$s_{0,3}$
$s_{1,0}$	$s_{1,1}$	$s_{1,2}$	$s_{1,3}$
$s_{2,0}$	$s_{2,1}$	$s_{2,2}$	$s_{2,3}$
$s_{3,0}$	$s_{3,1}$	$s_{3,2}$	$s_{3,3}$

$$t_{0,0}x^3 + t_{1,0}x^2 + t_{2,0}x + t_{3,0} =$$

$$(0x03x^3 + 0x01x^2 + 0x01x + 0x02) \times (s_{0,0}x^3 + s_{1,0}x^2 + s_{2,0}x + s_{3,0}) \pmod{x^4 + 1}$$

$t_{0,0}$	$s_{0,1}$	$s_{0,2}$	$s_{0,3}$
$t_{1,0}$	$s_{1,1}$	$s_{1,2}$	$s_{1,3}$
$t_{2,0}$	$s_{2,1}$	$s_{2,2}$	$s_{2,3}$
$t_{3,0}$	$s_{3,1}$	$s_{3,2}$	$s_{3,3}$

# RoundKeys

```
ComputeRoundKeys(K[4*Nk], W[Nb*(Nr+1)]) {  
    for(i=0; i<Nk; i++)  
        W[i]= (K[4i], K[4i+1], K[4i+2], K[4i+3])  
    for(i=Nk; i<Nb*Nr+1; i++) {  
        t= W[i-1]  
        if((i mod Nk)==0)  
            t= SubByte(RotByte(t)) + RCon(i/Nk)  
        else if( (i mod Nk)==0)      // only if Nk>6  
            t=SubByte(t)              // only if Nk>6  
        }  
        W[i]= W[i-Nk] + t  
    }  
}
```

# Roundkeys Primitives

```
SubByte(w)
```

```
    w= ByteSub(w)
```

```
RotByte(w= (a, b, c, d))
```

```
    w= (b, c, d, a)
```

```
RCon[i]= (RC[i], 0x00, 0x00, 0x00);
```

```
RC[1]= 0x01
```

```
RC[i+1]= RC[i]**(i) [multiply by "x" in polynomial  
representation]
```

# Cryptographic Effect

- Linear Mixing (diffusion)
  - MixCol
  - ShiftRow
- Non-Linear Mixing (confusion)
  - ByteSub
- Avalanche
  - MixCol
  - ShiftRow
  - RoundKeys

# Design Criteria for ByteSub

- Invertibility
- Minimize largest non-trivial correlation between input and output (Linear resistance)
- Minimize max xor table (Differential resistance)
- Complexity of Algebraic expression in  $GF(2^8)$
- Simplicity of description

# Design Criteria for Shiftrow

- Four different offsets
- Resistance against truncated differentials
- Resistance against square attack
- Simplicity

# Design Criteria for KeySched

- Invertibility
- Speed
- Eliminate symmetry with round constants (weak key resistance, related key resistance)
- Diffusion of key differences
- Partial knowledge of cipher key doesn't reveal others
- Round differences don't reveal cipher key differences
- Don't need to precompute entire schedule
- Simplicity



# Branch Number

- Let  $W(a)$  = number of non-zero (active) bytes
- Branch Number of  $F$  =  $\min_{a \neq 0} W(a) + W(F(a))$
- Prop ratio of differential trail  $\sim$  prop ratio of active S-boxes
- Correlation of linear trail  $\sim$  product of correlations of active S-boxes
- Wide Trail Strategy

# Differential Trail

- If  $\beta = \rho^{(r)} \rho^{(r-1)} \dots \rho^{(1)}$ ,  $\mathbf{\Omega} = (\omega(0), \omega(1), \dots, \omega(r))$  is a differential trail whose probability is the number of  $a(0)$  for which the differential trail follows the difference pattern divided by the number of possible  $a(0)$ .
- The weight of a differential trail is the sum of the weights of its differential steps.:  $w_r(\mathbf{\Omega}) = \sum_i w^{r(i)}(\omega(i-1), \omega(i))$ .
- The differential trail imposes restrictions on the intermediate states  $a(i)$ .
- **Theorem:**  $\Pr(a', b') = \sum_{\omega(0)=a', \omega(r)=b'} \Pr(\mathbf{\Omega})$ ,  $\Pr(\mathbf{\Omega}) \sim \exp_2(-w_r(\mathbf{\Omega}))$  where  $w_r(\mathbf{\Omega}) = \sum_i w^{r(i)}(\omega(i-1), \omega(i))$ .

# Weight Bundle

Define  $w_b(a)$  as the bundle weight of  $a$ .

$$B_d(\theta) = \min_{(a, b \neq a)} (w_b(a \oplus b) + w_b(\theta(a) \oplus \theta(b))).$$

$$B_l(\theta, a) = \min_{(a, b, C(a \cdot x, b \cdot \theta(x)) \neq 0)} (w_b(a) + w_b(b)).$$

- **Theorem:** In an alternating key block cipher with  $\gamma\lambda$  round functions, the number of active bundles in a two round trail is  $\geq$  the bundle branch number of  $\lambda$ . If  $\varphi = \gamma\theta\gamma\lambda$  is a four round function,  $B(\varphi) \geq B(\lambda) \times B^{c(\varphi)}$  where  $B$  can be either the linear or differential branch number. The linear and differential branch numbers for an AES round is 5.
- Inverse provides linear/differential immunity, linear diffusion provides algebraic complexity.

# Design strategy for Rijndael

- Choose number of rounds so that there is no correlation over all but a few rounds with amplitude significantly larger than  $2^{nb/2}$  by insuring there are no linear trails with correlation contribution above  $nk^{-1}2^{nb/2}$  and no differential trails with weight below  $nb$ .
- Examine round transformations  $\rho = \lambda \gamma$ , where  $\lambda$  is the mixing function and  $\gamma$  is a bricklayer function that acts on bundles of  $nt$  bits. Block size is  $nb = m \cdot nt$ . The correlation over  $\gamma$  is the product of correlations over different S-box positions for given input and output patterns. Define weight of correlation as  $-\lg(\text{Amplitude})$ .
- If output selection pattern is  $\neq 0$ , the S-box is active. Looking for maximum amplitude of correlations and maximum difference propagation probability.
- The weight of a trail is the sum of the active S-box positions, so it is greater than or equal to number of active S-boxes times the minimum correlation weight per S-box.
- Wide trail: design round transformations so there are no trails with low bundle weight.

# Rijndael Performance on 200MHz PII

(KeyLen, BlockLen)	Seed (Mb/sec)	Cycles/Blk
(128,128)	70.5	363
(192, 128)	59.3	432
(256, 128)	51.2	500

# AES Finalist Bakeoff

	MARS	RC6	Rijndael (AES)	Serpent	Twofish
General Security	3	2	2	3	3
Implementation	1	1	3	3	2
SW Perf	2	2	3	1	1
Smart Card Perf	1	1	3	3	2
HW Perf	1	2	3	3	2
Design features	2	1	2	1	3

Score: 1 (low) to 3 (high). From NIST report 2 Oct 2000.

# Modes of operation, block ciphers as primitives for integrity operations

# Padding

- Suppose  $E_k(P)$  has a block size of  $n$  bytes
  - For AES-128,  $n=16$ .
- If the message,  $M$ , to be encrypted is  $m$  bytes, we do
- the following:
  - Break  $M$  into  $k=\text{int}((m+15)/n)$  byte blocks,  $P_1, P_2, \dots, P_k$
  - blocks. The last block may be only partially filled.
  - If  $P_k$  is partially full, append the byte  $0x80$  and as many  $0x00$
  - bytes as needed to fill this block. Encrypt the now full blocks  $P_1,$
  - $P_2, \dots, P_k$  using whatever block cipher mode of operation is
  - employed.
  - If  $P_k$  is full, append an additional block,  $P_{k+1}$ , of  $n$  bytes, the first
  - byte of the new block begins with the byte  $0x80$  followed by  $n-1$
  - zero bytes,  $0x00$  bytes. Encrypt the blocks  $P_1, P_2, \dots, P_{k+1}$  using
  - whatever block cipher mode of operation is employed



# Padding

- With this padding, the message is “uniquely readable.”
- Decrypt each block of the transmitted cipher-text,
- $C_1, C_2, \dots, C_k$ , according to the block cipher mode of
- operation employed, to obtain,  $P_1, P_2, \dots, P_k$ .
  - Check that the final (up to  $n$ ) bytes have the prescribed
  - padding of the form  $0x80\ 0x00^*$ . If not, this is an error.
  - If the pad is correct, remove the padding to reconstruct the
  - original plain text.

# ECB

- Given padded input blocks to obtain,  $P_1, P_2, \dots, P_k$ .
  - $C_i = E_K(P_i)$
  - $P_i = E_K^{-1}(C_i)$
- If a block of plaintext is repeated, the corresponding
- cipher text blocks are identical.
- What happens if we use this to encode only two
- messages?
  - “Attack”
  - “Sleep”
- Don't use ECB

# CBC

- To encrypt in CBC mode, given padded input blocks to obtain,
- $P_1, P_2, \dots, P_k$ .
  - Generate a random n-byte block IV. Really, random.
  - $P_0 = IV$
  - $C_0 = P_0$
  - $C_{i+1} = E_K(P_i \oplus C_i)$
  - Transmit  $C_0, C_1, \dots, C_k$ .
- To decrypt  $C_0, C_1, \dots, C_k$ :
  - $P_i = E_K^{-1}(C_i) \oplus C_{i-1}, i = 1, 2, \dots, k$
- Same plaintext block has different encryption depending on
- position in message stream.

# Joux's padding attack on CBC

- We want to decrypt a block  $c = c_1 || c_2 \dots || c_{16}$  corresponding to plaintext  $p = p_1 || p_2 || \dots || p_{16}$  which was padded and CBC encrypted.
- Suppose we have a padding oracle which returns “padding error” or “success” when we submit a message to decrypt.
- Choose a randomly selected block  $r = r_1 || r_2 \dots || r_{16}$ .
- Send the oracle the message  $r || c$ 
  - If the oracle returns “success,”  $r \oplus E_K^{-1}(c)$  must be a valid pad.
    - Most likely,  $????????????0x80$ .
    - Padding could have been  $0x80$  ( $0x00^*$ ) but that’s easy to incorporate.
    - So  $E_K^{-1}(c) = r \oplus p = \dots 0x80$ . Thus  $p_{16} = r_{16} \oplus 0x80$ .
  - If oracle returns “padding error”, bump  $r_{16}$ . Eventually, we find
    - $p_{16} = r_{16} \oplus 0x80$  for some  $r_{16}$ . Thus we find  $p_{16}$  in at most  $2^8$  steps.
- Now set  $r_{16} = r_{16} \oplus 0x80$ , last plaintext byte will be  $0x00$ .
  - Repeat with  $r_{15}$  to get  $p_{15}$ , etc.
- Decrypting all of  $c$  takes at most  $16 \times 2^8$  oracle calls.

# CTR

- To encrypt in CTR, given padded input blocks to obtain,  $P_1, P_2, \dots, P_k$ :
  - Generate a random  $n$ , 32-byte block IV.
  - $CTR_0 = IV \parallel 0^{32}$
  - $CTR_{i+1} = CTR_i + 1$
  - $C_i = E_K(CTR_i) \oplus P_i$
  - Transmit  $CTR_0, C_1, \dots, C_k$ .
- To decrypt IV,  $C_1, \dots, C_k$ :
  - $P_i = E_K(CTR_i) \oplus C_i$
- Same plaintext block has different encryption
- depending on position in message stream.

# Cryptographic hashes

- Pre-image resistance:
  - Given  $y: y=h(x)$ ,  $x$ , unknown, it is computationally infeasible to compute  $x$
- Second pre-image resistance:
  - Given  $y$  and  $x$  with  $y=h(x)$ , it is computationally infeasible to compute  $x' \neq x: h(x)=h(x')$ .
- Collision resistance:
  - It is computationally infeasible to compute  $x' \neq x: h(x)=h(x')$ .

# What cryptographic hashes good for

- Unforgeable fixed length identifier
  - If  $\text{hash}(\text{MyProgram.exe}) = x$  and you find a program `Unknown.exe` with  $\text{hash}(\text{Unknown.exe}) = x$  then `Unknown.exe` is `MyProgram.exe`.
  - If I make a promise written in ASCII text, `MyPromise.txt`, and  $\text{Promise}_{\text{Hash}} = \text{hash}(\text{MyPromise.txt})$ , you and I can give  $\text{Promise}_{\text{Hash}}$  to an escrow agent without telling them the promise. If we get into a dispute and I claim I never made the promise, you can go to the agent with `IClaimJohnPromised.txt` and ask them to hash it, if  $\text{hash}(\text{IClaimJohnPromised.txt}) = \text{Promise}_{\text{Hash}}$  then you win!
- Message authentication codes
  - Suppose I send you an encrypted message, `C` and you decrypt it as `P`.
  - How do you know the cipher text wasn't tampered with?
  - I also send  $\text{Hash}(K_{\text{integrity}} \parallel C)$ .  $K_{\text{integrity}}$  is another secret key you and I share.
  - If you compute  $\text{Hash}(K_{\text{integrity}} \parallel C)$  after receiving the message and it's the same as the hash I sent, the message was correctly transmitted (and the corresponding plaintext is correct).

# Block cipher based hash functions

- Padded message to hash is  $M = m[0], m[1], \dots, m[k]$ 
  - $H[0] = IV$
  - $H[i+1] = E_{m[i]}(H[i]) \oplus H[i]$
  - Hash value is  $H[k]$ .
- Coppersmith (85) showed that  $H[i+1] = E_{m[i]}(H[i])$  was
- susceptible to collision attacks
- This hash construction takes an arbitrarily long set of bits
- and maps it to an  $8n$ -bit binary string.



# Hash Padding

- Extension attack:  
Without padding,  $\text{hash}(a)=\text{hash}(b) \rightarrow \text{hash}(a||c)=\text{hash}(b||c)$ .
- Hash pad scheme:  
Append 0x80 (0x00\*) like block cipher except for last 64-bits then append message length size (64 bits)

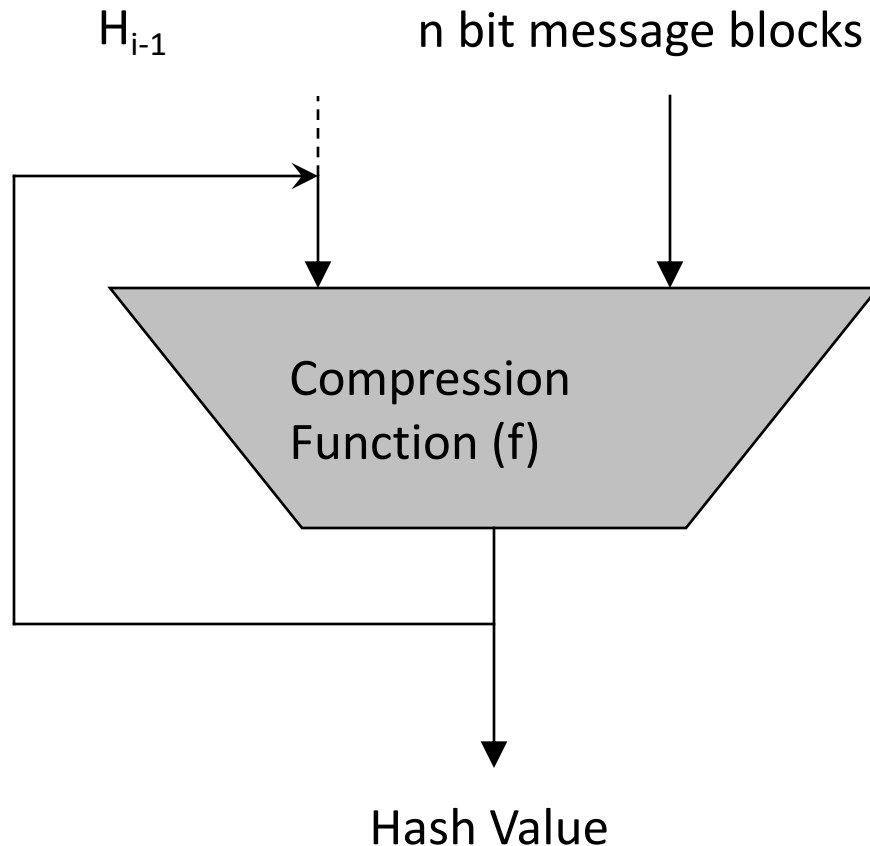
# Block cipher based hash functions

- $E(k,m)$  acts as a “compression function.”
- Ideal cipher: For random  $k$ ,  $x \rightarrow E(k, x)$  acts like a random permutation. Consequence: each of the possible  $2^n$  images is equally likely
- For Davis Meyer:
  - $\Pr[E(m,h) \oplus h = h'] = \Pr[E(m,h) = h' \oplus h] = \Pr[E(m,h) = h''] = 2^{-n}$ .
  - $\Pr[E(m,h) \oplus h = E(m,h') \oplus h'] = 2^{-n/2}$  (Rogaway-Shrimpton).
- Collision resistance can be no better than  $2^{-n/2}$ :
  - If there are  $N$  distinct outputs of a hash and the has function is “perfectly random,” after 2 output, the probability that the second pick is not the same as the first is  $(1-1/N)$ . After  $k$  picks, the probability of “non-collision” is  $(1-1/N)(1-2/N)\dots(1-(k-1)/N) \approx 1 - \exp(-k^2/(2n))$ . This is about  $\frac{1}{2}$  when  $k = N^{1/2}$ .

# Authenticated Encryption

- Example with CTR mode
  - Added input blocks to obtain,  $P_1, P_2, \dots, P_k$ , shared encryption key  $K$ , shared integrity key  $K_{\text{integrity}}$ .
  - Generate a random  $n-4$  byte block  $IV$ .
  - $CTR_0 = IV || 0^{32}$
  - $CTR_{i+1} = CTR_i + 1$
  - $C_i = E_K(CTR_i) \oplus P_i$
  - $T = \text{MAC}(K_{\text{integrity}}, C) = \text{hash}(K_{\text{integrity}} || C)$
  - Transmit  $IV, C_1, \dots, C_k, T$ .
  - Actually transmit
  - $T = \text{MAC}(K_{\text{integrity}}, C) = \text{hash}(\text{hash}(K_{\text{integrity}} || C) || K_{\text{integrity}})$
  - to avoid “extension” attacks.

# General Merkle/Damgard Construction



Input:  $x = x_1 || \dots || x_t$   
Input is usually padded

$H_0 = IV$   
 $H_i = f(H_{i-1}, x_i)$   
 $h(x) = g(h_t)$

# Proofs about compression function

- **Theorem:** If  $g: \{0,1\}^m \rightarrow \{0,1\}^n$ , for a sequence of  $n$  bit blocks,  $\mathbf{x} = x_1, x_2, \dots, x_t$ , we can define a hash function  $h: \{0,1\}^* \rightarrow \{0,1\}^n$  by  $H_0 = c$ ,  $H_{i+1} = g(H_i \parallel x_i)$  with  $h(\mathbf{x}) = H_t$ .  $h$  is collision resistant if  $g$  is.
  - Proof: Let  $\mathbf{x} = x_1, x_2, \dots, x_t$  and  $\mathbf{x}' = x'_1, x'_2, \dots, x'_t$  be two strings with  $h(\mathbf{x}) = h(\mathbf{x}')$  and let  $H_i, H'_i$  be the intermediate values. Suppose there is an  $i < t$ :  $H_{t-i} = H'_{t-i}$  and  $H_{t-i-1} \neq H'_{t-i-1}$ . Then  $g(H_{t-i-1} \parallel x_i) = g(H'_{t-i-1} \parallel x'_i)$  so  $g$  is not collision resistant. Otherwise,  $H_i = H'_i$  and either  $x_i = x'_i$ , in which case there is nothing to prove or some  $x_i \neq x'_i$  (but then  $g(H_i \parallel x_i) = g(H'_i \parallel x'_i)$  and again  $g$  is not collision resistant) or  $g(H_{t-1}) = g(H'_j \parallel x'_j)$ ,  $j > t$  and again  $g$  is not collision resistant.

# GCM

- Given  $m$  blocks of authentication data,  $A=A_1, A_2, \dots, A_m$  and  $n$  blocks,  $P=P_1, \dots, P_n$  of message, authentication length parameter,  $t$ .

GCM( $K, IV, A, P$ )

$H = E_K(0^{128})$ ,  $\text{HeGF}(2^{128})$  with minimal polynomial  $p(x) = x^{128} + x^7 + x^2 + x + 1$

$J_0 = IV \parallel 0^{31} \parallel 1$

$C = \text{GCTR}(K, J_0 + 1, P)$

$u = 128 \lceil \text{len}(C)/128 \rceil - \text{len}(C)$ ,  $v = 128 \lceil \text{len}(A)/128 \rceil - \text{len}(A)$

$S = \text{GHASH}(H, A \parallel 0^v \parallel C \parallel 0^u \parallel \text{len}(A)_{64} \parallel \text{len}(C)_{64})$ ,

$T = \text{MSB}_t(J_0, S)$

return  $(C, T)$

$\text{GHASH}(H, X)$ ,  $X = X_1, \dots, X_m$

$Y_0 = 0^{128}$

for( $i=1; i \leq m; i++$ )

$Y_i = (Y_{i-1} \oplus X_i) \cdot H$

return  $Y_m$

$\text{GCTR}(K, \text{CTR}, P)$ ,  $P = P_1, \dots, P_n$

for( $i=1; i \leq n; i++$ ) {

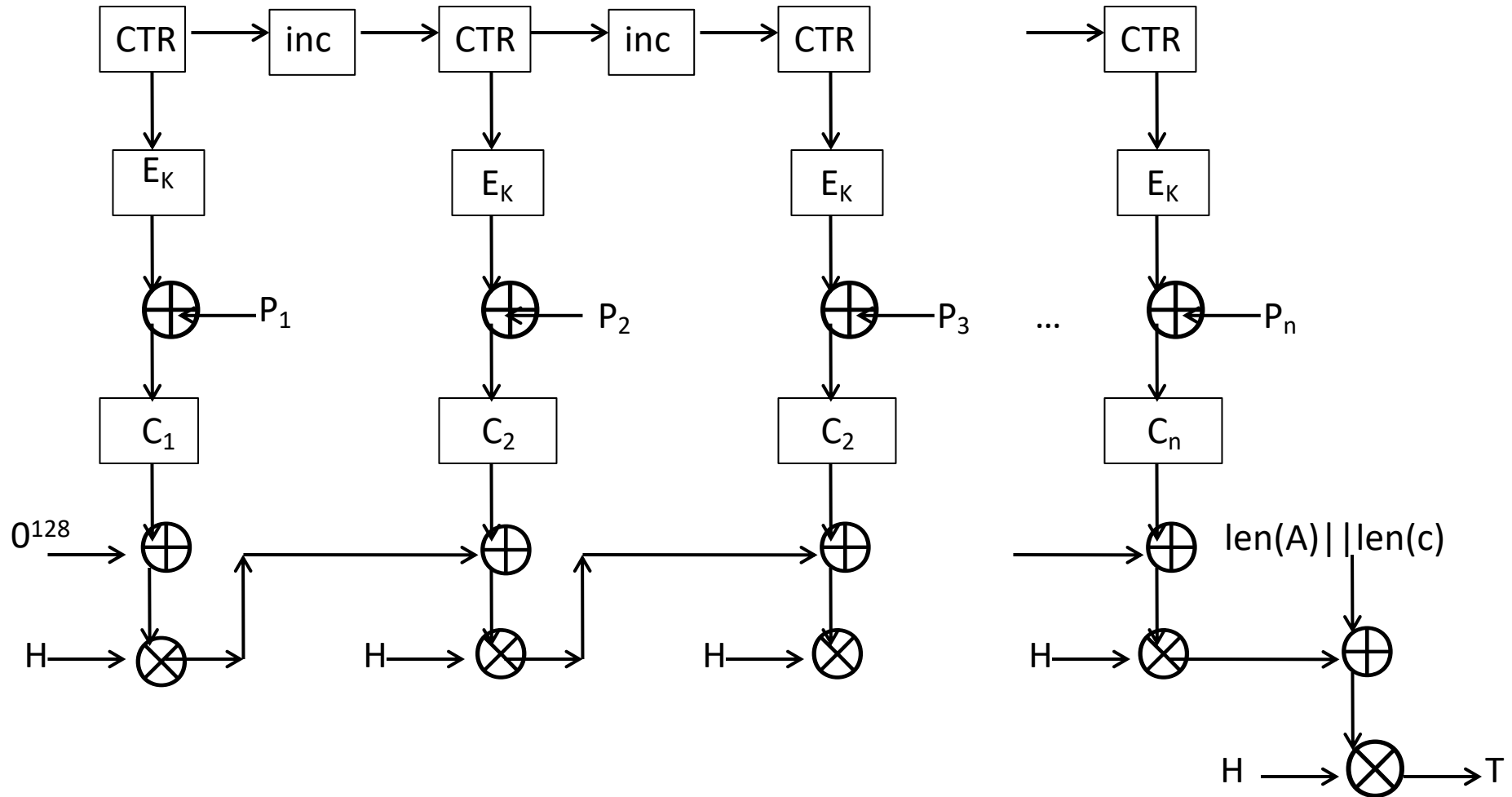
$C_i = (P_i \oplus E_K(X_i))$

$\text{CTR} = \text{CTR} + 1$

$C_n^* = X_n^* \oplus \text{MSB}_r(E_K(\text{CTR}))$ ,  $r = \text{len}(X_n^*)$

return  $C$

# GCM



# Padding attacks in the news

- “Lucky Thirteen: Breaking the TLS and DTLS Record
- Protocols,” Nadhem J. AlFardan and Kenneth G. Paterson

We present a family of attacks that apply to CBC-mode in all TLS and DTLS implementations that are compliant with TLS 1.1 or 1.2, or with DTLS 1.0 or 1.2.

- <http://www.isg.rhul.ac.uk/tls/TLStiming.pdf>



# End