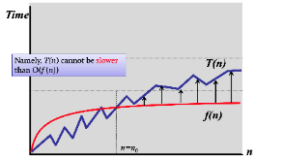
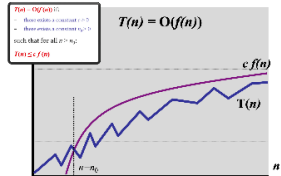
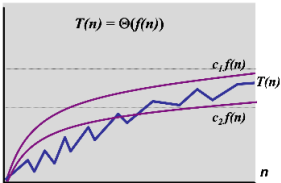


ORDERS OF GROWTH

definitions



$T(n) = \theta(f(n)) \iff T(n) = O(f(n)) \wedge T(n) = \Omega(f(n))$   
 $T(n) = O(f(n))$  if  $\exists c, n_0 > 0$  s.t.  $\forall n > n_0, T(n) \leq c f(n)$   
 $T(n) = \Omega(f(n))$  if  $\exists c, n_0 > 0$  s.t.  $\forall n > n_0, T(n) \geq c f(n)$

properties

- Let  $T(n) = O(f(n))$  and  $S(n) = O(g(n))$
- addition:  $T(n) + S(n) = O(f(n) + g(n))$
  - multiplication:  $T(n) * S(n) = O(f(n)*g(n))$
  - composition:  $f1 \circ f2 = O(g1 \circ g2)$ 
    - only if both functions are increasing
  - if/else:  $cost = \max(c1, c2) \leq c1 + c2$
  - max:  $\max(f(n), g(n)) \leq f(n) + g(n)$

space complexity

Assumption: Exiting function release mem

SORTING

insertion sort faster than other  $O(n^2)$  algos

invariants

- selection sort** – Smallest j element sorted
- bubble sort** – Largest j elements sorted
- insertion sort** – First j elements sorted
- merge sort** – Subarrays are sorted;  $O(n)$  merging
- quick sort** – Pivot is in sorted position

quicksort

partition takes  $O(n)$  time  
array of duplicates takes  $O(n^2)$  without 3-way  
**inplace algo**

$O(\log n)$  space

- First element as partition
- While left != right
  - Increment left until element > pivot
  - Decrement right until element < pivot
  - <Break cond here>
  - Swap left and right elements
- Swap partition with right index

3-way inplace algo

- Iterate through array and maintain left right
  - If current < pivot, swap start and current, increment current and left by 1
  - If current > pivot, swap end and current, decrement right by 1 but not current
  - If current = pivot, increment current

quick select

$O(n)$  average to find  $k^{th}$  smallest element  
Invariant: after partition, partition correct position

TREES

BST

Height of leaf nodes = 0  
Height of balanced tree =  $\log_2 n$

**Deletion** – If 2 children, delete successor and replace subtree root with successor value

Finding successor: find min of right subtree or traverse upwards and find first left parent

Scapegoat Trees

A tree that is not  $\alpha$ -height-balanced is not  $\alpha$ -weight-balanced. Scapegoat trees are not guaranteed to be  $\alpha$ -weight-balance, but are loosely  $\alpha$ -height-balanced

AVL

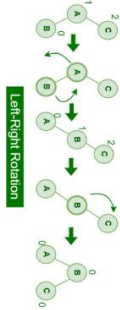
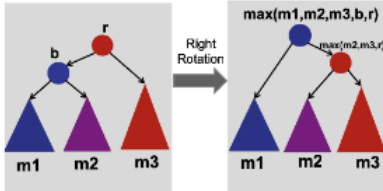
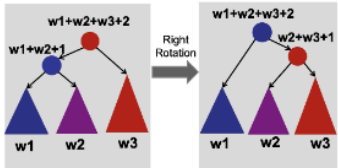
$|v.left.height - v.right.height| \leq 1$

height and nodes

Min height given n nodes:  $\text{floor}(\log_2 n)$   
Max height given n nodes:  $1.44 * \log_2 n$   
Max nodes given h height:  $2^{h+1} - 1$   
Min nodes given h:  $N(h) = N(h-1) + N(h-2) + 1$  for  $n > 2$   
where  $N(0) = 1$  and  $N(1) = 2$

insertion rebalancing

- left-left** – Right rotate unbalanced node
  - right-right** – Left rotate unbalanced node
  - left-right** – L rot child, R rot unbalanced
  - right-left** – R rot child, L rot balanced
- updating nodes after rotation**



deletion

recurse upwards and rebalance every node

tries / prefix tree

$O(L)$  time for search/insert  
 $O(Nk)$  space – N nodes \* k overhead for pointers

order statistics tree (rank)

Augmented AVL tree

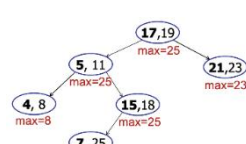
```
select(k)
    rank = m_left.weight + 1;
    if (k == rank) then
        return v;
    else if (k < rank) then
        return m_left.select(k);
    else if (k > rank) then
        return m_right.select(k-rank);
```

```
rank(node)
    rank = node.left.weight + 1;
    while (node != null) do
        if node is left child then
            do nothing
        else if node is right child then
            rank += node.parent.left.weight + 1;
        node = node.parent;
    return rank;
```

interval trees

sort by interval start/min, store max of subtree

```
interval-search(x)
    c = root;
    while (c != null and x is not in c.interval) do
        if (c.left == null) then
            c = c.right;
        else if (x > c.left.max) then
            c = c.right;
        else c = c.left;
    return c.interval;
```



All-Overlaps Algorithm:

- Repeat** until no more intervals:
  - Search for interval.
  - Add to list.
  - Delete interval.
- Repeat** for all intervals on list:
  - Add interval back to tree.

(a, b)–Trees

rules

- 1. Internal nodes have a-b children where:  
 $2 \leq a \leq (b+1)/2$

	# keys		# children	
node type	min	max	min	max
root	1	$b - 1$	2	$b$
internal	$a - 1$	$b - 1$	$a$	$b$
leaf	$a - 1$	$b - 1$	0	0

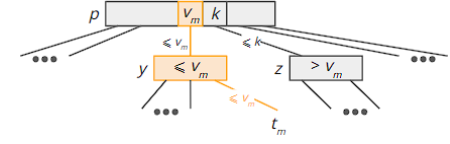
- 2. Non-leaf must have 1 more child than number of keys
- 3. All leaves are the same depth

operations

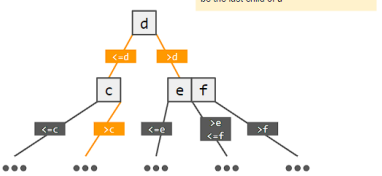
**height** – Min  $O(\log_b n)$ , Max  $O(\log_a n)$   
**search** –  $O(\log n)$ ;  $O(\log_2 b * \log_a n)$  to binary search at each node  
**insert** –  $O(\log n)$   
When inserting, check for too many children and *split* if children more than  $b$

split

1. Pick the median key  $v_m$  as the split key
2. Split  $z$  into LHS and RHS using  $v_m$
3. Create a new node  $y$
4. Move LHS split from  $z$  to  $y$
5. Create a new empty node  $r$
6. Insert  $v_m$  into  $r$
7. Promote  $r$  to new root node
8. Assign  $y$  and  $z$  to be the left and right child of  $r$  respectively
9. Assign previous subtree  $t_m$  associated with  $v_m$  to be the final child of  $y$



Corner case: splitting the root



deletion –  $O(\log n)$

If node becomes empty, merge with sibling  
After merge, may be too large; Use **share** operation instead (merge then split)

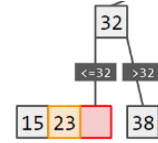
**len(w + z) >= b-1 ? share(w, z) : merge(w, z)**

merge

Reverse of split: Use parent node to join 2 children

B-Tree

(a,b)-tree with  $a = B, b = 2B$



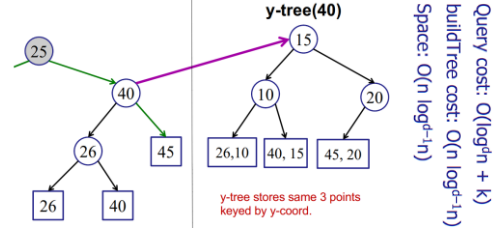
Orthogonal Range Searching

Binary Tree for coordinate points ( $O(n)$  space)  
Internal nodes:  $\max(node.left)$ ; Leaves: points  
**Invariant** – Search interval for left-traversal at node  $v$  includes maximum item in the subtree  $v$   
**query** – Find all points from  $a$  to  $b$ ;  $O(k + \log n)$  for  $k$  pts  
Steps: Find split node, recursively output left/right  
**insert** –  $O(\log n)$ , **build tree** –  $O(n \log n)$

2D-Range Tree Variant

Store a y-tree at each x-node ( $O(n \log n)$  space)

**query** –  $O(\log^2 n + k)$ , **build tree** –  $O(n \log n)$



Cannot insert/delete because of  $O(n)$  to rotate

## Qn 1 Identifying sorts

Refer to invariants

**Quicksort:** First element as pivot, see which already has initial first element (pivot) in correct place

**Bubble:** Largest sorted at the end; In place - Remaining ordering is not affected

**Insertion:** First k sorted, not smallest, remaining untouched

**Selection:** Smallest at start; Initial start must be swapped down

**Merge:** Groups of orders of 2 should be sorted

## kd-tree

Partition tree using nodes; Alternate x-y

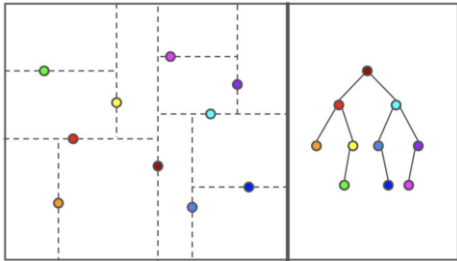
**construct** – quick select median  $O(n)$ ;  $O(n \log n)$  total

**min/max(x)** – recurse both sides for y checks;  $O(\sqrt{n})$

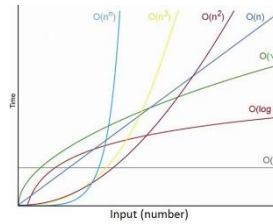
When split by x, go down the appropriate subtree.

When split by y, check both.  $T(n) = 2T(\frac{n}{4}) + O(1)$

**search** –  $O(h)$



```
def binary_search(arr, target):
    low, high = 0, len(arr) - 1
    while low <= high:
        mid = (low + high) // 2
        mid_element = arr[mid]
        if mid_element == target:
            return mid
        elif mid_element < target:
            low = mid + 1
        else:
            high = mid - 1
    return -1
```



sort	best	average	worst	stable?	memory
bubble	$\Omega(n)$	$O(n^2)$	$O(n^2)$	✓	$O(1)$
selection	$\Omega(n^2)$	$O(n^2)$	$O(n^2)$	×	$O(1)$
insertion	$\Omega(n)$	$O(n^2)$	$O(n^2)$	✓	$O(1)$
merge	$\Omega(n \log n)$	$O(n \log n)$	$O(n \log n)$	✓	$O(n)$
quick	$\Omega(n \log n)$	$O(n \log n)$	$O(n^2)$	×	$O(1)$

data structures assuming  $O(1)$  comparison cost

data structure	search	insert
sorted array	$O(\log n)$	$O(n)$
unsorted array	$O(n)$	$O(1)$
linked list	$O(n)$	$O(1)$
tree (kd/(a, b)/binary)	$O(\log n)$ or $O(h)$	$O(\log n)$ or $O(h)$
trie	$O(L)$	$O(L)$

searching

search	average
linear	$O(n)$
binary	$O(\log n)$
quickSelect	$O(n)$
interval	$O(\log n)$
all-overlaps	$O(k \log n)$
1D range	$O(k + \log n)$
2D range	$O(k + \log^2 n)$

## Simplifying Recurrence Relations & Order of Growth

Orders of growth:

- $1 < \log n < \sqrt{n} < n < n \log n < n^2 < n^3 < 2^n < 2^{2^n}$
- $\log_a n < n^a < a^n < n! < n^n$
- $\sqrt{n} \log n \rightarrow O(n)$
- $O(2^{2^n}) \neq O(2^n)$

## Math Formulas

Logarithmic:  $a^{\log b} = b^{\log a}$

$$\log_a c = \frac{\log_b c}{\log_b a}$$

$$\log n^c = c \log n$$

$$\log ab = \log a + \log b$$

AP/GP Sums:

$$S_n = \sum_{i=0}^{n-1} ar^i = a \left( \frac{1-r^n}{1-r} \right)$$

$$S_n = \sum_{i=0}^{n-1} (a + id) = \frac{n}{2} (2a + (n-1)d)$$

Harmonic Numbers:

$$\sum_{i=0}^n \frac{1}{i} = \Theta(\log n)$$

Stirling's Approximation:

$$\sum_{i=1}^n \log i = \log(n!) = \Theta(n \log n)$$

## Interpreting different time complexities

$$O(g(n)) \rightarrow f(n) \leq g(n)$$

$$\Theta(g(n)) \rightarrow f(n) = g(n)$$

$$\Omega(g(n)) \rightarrow f(n) \geq g(n)$$

## Solving recurrence relations

For  $T(n) = aT(n/b) + f(n)$

Use pattern and assume  $n = b^k$

Reduce until  $T(1)$

Use sum of gp formula to reduce

## Master's Theorem

**Theorem 1** The recurrence

$$T(n) = aT(n/b) + cn^k$$

$$T(1) = c,$$

where  $a$ ,  $b$ ,  $c$ , and  $k$  are all constants, solves to:

$$T(n) \in \Theta(n^k) \text{ if } a < b^k$$

$$T(n) \in \Theta(n^k \log n) \text{ if } a = b^k$$

$$T(n) \in \Theta(n^{\log_b a}) \text{ if } a > b^k$$

## Examples

$$T(n) = 2T(\frac{n}{2}) + O(n) \Rightarrow O(n \log n)$$

$$T(n) = T(\frac{n}{2}) + O(n) \Rightarrow O(n)$$

$$T(n) = 2T(\frac{n}{2}) + O(1) \Rightarrow O(n)$$

$$T(n) = T(\frac{n}{2}) + O(1) \Rightarrow O(\log n)$$

$$T(n) = 2T(n-1) + O(1) \Rightarrow O(2^n)$$

$$T(n) = 2T(\frac{n}{2}) + O(n \log n) \Rightarrow O(n(\log n)^2)$$

$$T(n) = 2T(\frac{n}{4}) + O(1) \Rightarrow O(\sqrt{n})$$

$$T(n) = T(n-c) + O(n) \Rightarrow O(n^2)$$