Problem 1. Consider a spin-1 particle. The unperturbed Hamiltonian is $H_0 = AS_z^2$, where A is a constant. Consider the perturbation $V = B(S_x^2 - S_y^2)$, where $|A| \gg |B|$. Note that S_i are the 3×3 spin matrices.

1.1 Calculate the first-order correction to the energies.

Solution. Firstly, note that

$$S_x = \frac{\hbar}{\sqrt{2}} \begin{bmatrix} 0 & 1 & 0 \\ 1 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix}, \qquad S_y = \frac{\hbar}{\sqrt{2}} \begin{bmatrix} 0 & -i & 0 \\ i & 0 & -i \\ 0 & i & 0 \end{bmatrix}, \qquad S_z = \hbar \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & -1 \end{bmatrix}.$$

Then

$$H_0 = A\hbar^2 \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix}, \qquad V = B\frac{\hbar^2}{2} \left(\begin{bmatrix} 1 & 0 & 1 \\ 0 & 2 & 0 \\ 1 & 0 & 1 \end{bmatrix} - \begin{bmatrix} 1 & 0 & -1 \\ 0 & 2 & 0 \\ -1 & 0 & 1 \end{bmatrix} \right) = B\hbar^2 \begin{bmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{bmatrix}.$$

The eigenvalues of H_0 are $E_1^{(0)}=E_3^{(0)}=A\hbar^2$ and $E_2^{(0)}=0$, so the problem is degenerate. The eigenkets are the S_z basis kets:

$$|1^{(0)}\rangle = |1\rangle = \begin{bmatrix} 1\\0\\0 \end{bmatrix}, \qquad |2^{(0)}\rangle = |2\rangle = \begin{bmatrix} 0\\1\\0 \end{bmatrix}, \qquad |3^{(0)}\rangle = |3\rangle = \begin{bmatrix} 0\\0\\1 \end{bmatrix}.$$

We will begin with the correction to $E_2^{(0)}$, which is nondegenerate. From (5.1.20) and (5.1.37) in Sakurai, the first-order energy corrections in the unperturbed case are given by

$$\Delta_n^{(1)} \equiv E_n^{(1)} - E_n^{(0)} = \langle n^{(0)} | V | n^{(0)} \rangle.$$

This gives us

$$\Delta_2^{(1)} = \langle 2^{(0)} | V | 2^{(0)} \rangle = \langle 2 | V | 2 \rangle = 0.$$

For $E_1^{(0)}$ and $E_2^{(0)}$, consider the degenerate subspace spanned by $\{|1\rangle, |3\rangle\}$. Let P_0 be a projection onto this subspace, and let

$$V_0 = P_0 V P_0 = B \hbar^2 \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} = B \hbar^2 \sigma_x,$$

where σ_x is the Pauli matrix. Therefore, we know that V_0 has eigenvalues $v_{\pm} = \pm B\hbar^2$ and eigenvectors

$$|v_{+}\rangle = \frac{B\hbar^{2}}{\sqrt{2}} \begin{bmatrix} 1\\1 \end{bmatrix} = \frac{B\hbar^{2}}{\sqrt{2}} (|1\rangle + |3\rangle), \qquad |v_{-}\rangle = \frac{B\hbar^{2}}{\sqrt{2}} \begin{bmatrix} -1\\1 \end{bmatrix} = \frac{B\hbar^{2}}{\sqrt{2}} (|3\rangle - |1\rangle).$$

In this basis, V_0 is diagonal.

1.2 Solve the problem exactly, and compare your result to the perturbation theory result.

Problem 2. Consider the Stark effect for the n=3 states of hydrogen. There are initially nine degenerate states $|3, l, m\rangle$ (neglect spin), and an electric field E is turned on in the z direction.

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- 2.1 Construct the 9×9 matrix representing the perturbing Hamiltonian in this case. Show your work when deriving the nonzero matrix elements, and provide an explanation as to why the other elements are zero.
- **2.2** Determine the first order corrections, $E^{(1)}$, to the energies due to this perturbation, and write down the degeneracies of these energies.
- **Problem 3.** Consider the Hamiltonian H_0 acting on a three-dimensional Hilbert space spanned by the orthonormal basis $\{|1\rangle, |2\rangle, |3\rangle\}$. $H_0 = \sum_{i=3}^3 E_i |i\rangle\langle i|$, with energy eigenvalues E_1, E_2, E_3 . Assume $E_1 = E_2 = E$. To H_0 , we add a perturbation

$$V = v_1 |1\rangle\langle 3| + v_1^* |3\rangle\langle 1| + v_2 |2\rangle\langle 3| + v_2^* |3\rangle\langle 2|.$$

Here, v_1 and v_2 are complex constants and small compared to E_3 .

- **3.1** To second order in V, write down the explicit form of the effective Hamiltonian acting on the subspace spanned by $\{|1\rangle, |2\rangle\}$.
- **3.2** By solving the effective Hamiltonian, construct the approximate solution for the eigenvalues and eigenfunctions of $H_0 + V$. (The eigenkets only need to be constructed within the degenerate subspace.)

While writing up these solutions, I consulted Sakurai's *Modern Quantum Mechanics* and Shankar's *Principles of Quantum Mechanics*.

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